

### ***Binary Trees***

We regard the empty tree  $\epsilon$  as a binary tree.

A *binary tree* with at least one vertex is a tree with a distinguished vertex, called the *root*, such that every vertex has a *left branch* and a *right branch*, each of which is itself a (possibly empty) binary tree.

There is a natural way to draw a binary tree in the plane. (Left, Root, Right)

Binary trees they may be different even if they are isomorphic as graphs

We define a weight function on the set  $\mathbb{T}$  of all binary trees by  $n(T)$  = number of vertices of  $T$ .

If  $T \in \mathbb{T}$  is nonempty, and has left branch  $T_1 \in \mathbb{T}$  and right branch  $T_2 \in \mathbb{T}$  then  $n(T) = 1 + n(T_1) + n(T_2)$ .

**Question** How many binary trees are there of weight  $n$ ?

There is a natural bijection from  $\mathbb{T} \setminus \{\epsilon\}$  to  $\{o\} \times \mathbb{T} \times \mathbb{T}$

Where  $\{o\}$  is the single vertex binary tree and the two copies of  $\mathbb{T}$  correspond to the left and right branches.

The weight function  $n$  satisfies the conditions for the Product Lemma, so:

$$\Phi_{\mathbb{T} \setminus \{\epsilon\}}(x) = \Phi_{\{o\}}(x) (\Phi_{\mathbb{T}}(x))^2$$

$$\Phi_{\mathbb{T}}(x) = 1 + x (\Phi_{\mathbb{T}}(x))^2$$

$$x (\Phi_{\mathbb{T}}(x))^2 - \Phi_{\mathbb{T}}(x) + 1 = 0$$

Let us complete the square

$$4x^2 (\Phi_{\mathbb{T}}(x))^2 - 4x\Phi_{\mathbb{T}}(x) + 4x + 1 = 0 + 1$$

$$4x^2 (\Phi_{\mathbb{T}}(x))^2 - 4x\Phi_{\mathbb{T}}(x) + 1 = 1 - 4x$$

$$(2x\Phi_{\mathbb{T}}(x) - 1)^2 = 1 - 4x$$

So

$$2x\Phi_{\mathbb{T}}(x) - 1 = \pm (1 - 4x)^{\frac{1}{2}}$$

Recall the Binomial Theorem

$$(1 + x)^n = \sum_{k \geq 0} \binom{n}{k} x^k$$

Where  $\binom{n}{k} = \frac{n(n-1)(n-2)\dots(n-k+1)}{k!}$ .

What is this when  $n = \frac{1}{2}$ .

First assume  $k \geq 1$

$$\begin{aligned} \binom{\frac{1}{2}}{k} &= \frac{(\frac{1}{2})(-\frac{1}{2})(-\frac{3}{2})\dots(\frac{1}{2} - k + 1)}{k!} \\ &= \frac{(-1)^{k-1}(1)(3)(5)\dots(2k-3)}{2^k k!} \times \frac{(2)(4)\dots(2k-2)}{(2)(4)\dots(2k-2)} \end{aligned}$$

$$\begin{aligned}
 &= \frac{(-1)^{k-1}(2k-2)!}{2^k k! 2^{k-1}(k-1)!} \\
 &= \frac{(-1)^{k-1}(2k-2)!}{2^{2k-1} k (k-1)! (k-1)!} \\
 &= \frac{(-1)^{k-1}(2k-2)!}{2^{2k-1} k} \times \binom{2k-2}{k-1}
 \end{aligned}$$

If  $k = 0$  then  $\binom{\frac{1}{2}}{k} = 1$

So the Binomial theorem says:

$$(1+x)^{\frac{1}{2}} = 1 + \sum_{k \geq 1} \frac{(-1)^{k-1}(2k-2)!}{2^{2k-1} k} \times \binom{2k-2}{k-1} x^k$$

then

$$\begin{aligned}
 (1-4x)^{\frac{1}{2}} &= 1 + \sum_{k \geq 1} \frac{(-1)^{k-1}(2k-2)!}{2^{2k-1} k} \times \binom{2k-2}{k-1} 4^k x^k (-1)^k \\
 &= 1 - 2 \sum_{k \geq 1} \frac{1}{k} \binom{2k-2}{k-1} x^k
 \end{aligned}$$

So we get

$$2x\Phi_{\mathbb{T}}(x) - 1 = \pm \left( 1 - 2 \sum_{k \geq 1} \frac{x^k}{k} \binom{2k-2}{k-1} \right)$$

Note the left hand has a constant coefficient of -1, so we want to take the negative to get a matching constant coefficient.

$$2x\Phi_{\mathbb{T}}(x) = 2 \sum_{k \geq 1} \frac{x^k}{k} \binom{2k-2}{k-1}$$

$$2x\Phi_{\mathbb{T}}(x) = 2 \sum_{n \geq 0} \frac{x^{n+1}}{n+1} \binom{2n}{n}$$

$$\Phi_{\mathbb{T}}(x) = \sum_{n \geq 0} \frac{1}{n+1} \binom{2n}{n} x^{n+1}$$

So the number of binary trees with  $n$  vertices is  $\frac{1}{n+1} \binom{2n}{n}$  (Called the  $n^{th}$  Catalan number).

These count many different combinatorial structures.