

Prophasis - An IT Infrastructure Monitoring Solution for Small and Medium-sized Enterprises

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Fourth Year Project Report
School of Informatics
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2016

Abstract

This project focussed on systems for monitoring IT infrastructure, specifically examining their suitability for the small and medium-sized enterprise (SME) sector.

First it examined a selection of tools that are currently popular and evaluated them based on several points that are important in an SME environment. From this research several key weaknesses with current tools and potential improvements on these were identified.

The main part of the project involved developing from the ground up, deploying and testing a new IT infrastructure monitoring system for use in SME environments. This has been named “Prophasis”.

The design and structure of Prophasis has been set out in detail and how the system was implemented, tested and deployed in real world environments was explained. Finally how the system behaved in these deployments was discussed and evaluated. Areas for further development were also identified.

In summary this project has demonstrated that existing systems for monitoring IT infrastructure have some major drawbacks in terms of their suitability for use in the SME environment. Prophasis, which has been successfully developed and deployed in a real world environment has improved on the shortcomings which were identified with the existing systems and provides a solution for the SME sector.

Acknowledgements

I would like to thank my supervisor, William Waites for his help and support throughout the project. I would also like to thank the members of The Tardis Project and CompSoc IRC channels for their advice and suggestions for Prophasis. Additionally I would like to thank my employer, Lynchpin Analytics Limited for generously allowing me to test Prophasis on their IT infrastructure. Finally, I would like to thank my family for their help and support throughout my university career.

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Chapter 1

Introduction

In recent years, almost all businesses have been expanding their IT infrastructure to handle the modern demand for IT systems. As these systems grow and become increasingly important for business operation it is crucial that they are sufficiently monitored to prevent faults and periods of downtime going unnoticed. There is already a large range of tools for monitoring IT systems however they are designed for use on massive scale networks managed by teams of specialised system administrators. As a consequence they are complicated to set up and manage and multiple tools are often required to gain a suitable level of monitoring.

Many current tools either fall into the category of real time alerting (sending an alert when there is a problem) and time series monitoring (capturing data about the performance of systems and presenting graphs and statistics based on it). There is a gap in the market for a tool that provide both of these in one package. Such a tool would reduce the time required to manage the system as it eliminates the need to set up and configure two completely separate tools.

Current tools are also generally managed and configured through various configuration files split across different machines on the network. This means that in order to efficiently use these tools a configuration management system such as Puppet or Ansible must be used. In an SME environment with limited IT resources, a completely self contained system is often preferable.

Chapter 2

Analysis of Existing Tools & Suggested Improvements

This chapter investigates existing tools and then suggests improvements on these. Firstly it will take a look at a selection of current tools which are widely used in industry. It will then evaluate these to establish their suitability for SME environments. From here, several areas for improvement are identified. Finally, suggestions are made as to how a new tool could be designed for use in SME environments.

2.1 Analysis of Existing Tools

This section will review current IT infrastructure monitoring systems and evaluate them on several points as follows:

- Support for time series monitoring and real time alerting
- How they can be configured to monitor custom metrics
- How are alert thresholds defined
- How configuration and custom code is delivered to nodes (if required)
- How the user configures the system
- How dependencies are handled

The tools being reviewed are Nagios which is generally seen as the “industry standard” monitoring tool along with Icinga 2 which attempts to improve on Nagios to provide a more modern solution. Munin and collectd were also investigated as they focus on time series monitoring. Finally, OpenNMS is investigated as this is a very comprehensive tool designed for large scale networks.

2.1.1 Time series monitoring and real time alerting

There is currently a lack of tools that support both time series monitoring as well as real time alerting in any reasonable capacity. Nagios and Icinga2 are mainly focused on real time alerting so do not have much in the way of support for time series monitoring. Whilst additional plugins are available to perform basic graphing functionality, historical data cannot be used to make decisions when it comes to determining the overall health of a host. On the other hand, Munin is designed primarily as a tool for time series monitoring. Therefore it has extensive functionality for graphing collected data but has no built in support for alerting about faults or working out the health of a system. Collectd, as its name suggests is used to collect information about a system and can be used to transfer it across the network. It has no built in functionality for viewing or analysing the collected data, instead the data is stored in RRD files which can be processed with separate software such as RRDtool. It is also possible to alert based on this RRD data, however this would require additional tooling or custom scripts which are non-trivial to configure and manage. OpenNMS on the other hand supports both real time alerting as well as collection and visualisation of time series data.

It was identified that that the majority of tools only support either real time alerting or time series monitoring. This means that in order to gain both of these, two separate tools (e.g. Nagios and Munin) need to be installed, configured and maintained completely separately. OpenNMS supports both and can be used to perform both real time alerting and time series data collection/visualisation.

2.1.2 Support for Custom Metrics

Nagios, Icinga 2, collectd and Munin all operate in similar ways here through the use of simple scripts that can be written in any language. Both Nagios and Icinga 2 execute the shell script and use the exit code to determine the health of the output (e.g. Exit code 0 means “OK” and exit code 2 means “CRITICAL”). [8] They also read data from stdout which can contain more information about the status of the check. Munin plugins are similar where the field name and a numerical value are written to stdout and then picked up by the plugin. When passed “config” as a command line argument, Munin plugins must print out information such as the title of the graph. Collectd, in the same fashion as Munin, relies on a custom executable to write the collected data to stdout following a specific format. OpenNMS primarily uses SNMP to collect data from remote hosts. Custom SNMP modules can be built to monitor custom metrics. Alternatively, OpenNMS can be configured to read XML or JSON data over HTTP from an existing web application.[12]

In this area, most current tools are all much the same in the sense that they execute scripts and parse the output. This can be quite fragile as a badly written script could fairly easily output data in the wrong format. Using exit codes to output the overall health of a check is also very restrictive as described in sub-

section 2.1.3. Using SNMP such as OpenNMS gives a much more well defined interface for exposing data about a system however this requires extensive configuration on the host being monitored. Using XML or JSON over HTTP with OpenNMS is another option however this would require a custom application to be developed to expose the API.

2.1.3 Classification Threshold Definitions

Both Nagios and Icinga2 classify the result of a check within the plugin itself by the plugin exiting with the appropriate exit code to define the result.[7][9] This means that the thresholds are also generally stored inside the plugin script. OpenNMS allows thresholds to be defined on the raw data however these are complex to configure and are essentially defined as either upper/lower limits or are based off of changes between two samples.[13] Because Munin and Collectd do not support real time alerting, there is no support for classifying data.

This is a clear issue with current systems, with most of them classification is performed on remote machines meaning that the logic used for this along with any threshold values are located on every machine in the network making it difficult to update. This also means that classifications can only use the current data collected and can't easily use historical data when deciding on a classification. OpenNMS is somewhat better in this respect as it performs classification on the monitoring server itself however thresholds are somewhat limited as instead of being able to use arbitrary logic (as can be done in the shell scripts used by Nagios and Icinga2), thresholds must be defined as upper/lower limits or be based off of changes between two data points.

2.1.4 Code/Configuration Delivery to Nodes

Nagios, Icinga2, Collectd and Munin are configured through several different configuration files and scripts stored on the disks of the server running the monitoring as well as the hosts being monitored. There is no built in functionality in any of these tools for distributing these files to their respective machines. For this, separate configuration management tools such as Puppet or Ansible would need to be used. OpenNMS does not have any component that runs on the remote hosts, instead relying on the SNMP protocol or JSON/XML to be served over HTTP from the remote hosts. This requires extensive configuration to be performed on all remote hosts. This configuration is completely separate from OpenNMS hence there is no way to manage the remote hosts from within OpenNMS.

This is a clear area for improvement. In a SME environment with a limited number of machines, each with their own separate role, configuration management tools are often not deployed. Deploying an existing monitoring tool in such an environment would be time consuming as configuration would need to be manually copied between hosts. In addition editing the configuration later on would be

a manual process of logging into every machine and changing the configuration files manually. This risks creating discrepancies between hosts if one gets missed during an update or updated incorrectly.

2.1.5 How the user configures the system

Nagios, Icinga2, Collectd and Munin are all configured entirely using configuration files stored on disk. OpenNMS configuration is stored in a variety of XML files on disk. These can either be edited directly or through a web interface. However, due to the complexity of the XML files, the web interface for configuration is very complex due to the vast number of options available.

This is another area for improvement. While configuration files are suitable for tools targeted towards expert users, they require a rather in depth amount of knowledge about how the system is configured. When configuring the system, users will continuously need to reference large amounts of documentation. Using configuration files also provides no mechanism for input sanitisation allowing for broken configuration to be saved. OpenNMS's web interface does make configuration somewhat more accessible however it is complex and still requires reference to extensive documentation.

2.1.6 How Dependencies are Handled

Nagios and Icinga 2 both handle dependencies as a rigid tree where hosts can be set as dependent on other hosts.[7] [9] There is however no support for redundancy where the availability of a service can be dependant on one of several hosts being available. This is increasingly common in modern environments which often include large amounts of redundancy and fail over capacity. Munin and Collectd have no dependency functionality due to its lack of real time alerting. OpenNMS does not have explicit functionality to define services which are dependent on multiple hosts, instead this can be accomplished by creating a "virtual node" which behaves the same as a regular node in the system. This can be then configured to run an external script which would check the health of all hosts in the service and return the health of the overall service.

This is another area for improvement. In a modern environment it is important for a monitoring system to be able to understand redundancy. While OpenNMS could be configured to check the health of a service where redundancy/failover is in place, however is a non-trivial process requiring custom scripts to be written.[2]

2.2 Improvements over Existing Tools

After looking into the weaknesses in existing offerings Prophasis was designed. This tool was designed specifically for use in small and medium-sized enterprise

(SME) environments. Such businesses may have a small IT team with limited resources or may not even have a dedicated IT team at all, instead relying on one or two employees in other roles who manage the business's IT systems in addition to their regular jobs. The system therefore needs to be quick to deploy and manage without a steep learning curve. In order to use the system efficiently there should be no requirement for additional tooling to be deployed across the company.

2.2.1 Configuration Management

It should be possible to manage the configuration of the system from a single location. Prophasis provides a responsive web interface where every aspect of the system's operation can be configured, Prophasis then handles distributing this configuration to all other machines in the system in the background. Custom code for plugins is handled in the same way; it is uploaded to the single management machine and is then automatically distributed to the appropriate remote machines when it is required.

2.2.2 Time Series Monitoring & Real Time Alerting

Prophasis provides both the ability to alert administrators in real time when a system fault is discovered and functionality to collect performance metrics over time. This data can be used to generate statistics about how the system has been performing. This time series data can be used to both investigate the cause of a failure in post-mortem investigations and to predict future failures by analysing trends in the collected data.

2.2.3 Expandability

It is important that a monitoring tool can be expanded to support the monitoring of custom hardware and software. Without this, Prophasis would be limited to monitoring the hardware and software that it was designed with. An example of this would be hardware RAID cards. Getting the drive health from these types of devices can range from probing for SMART data all the way to communicating with the card over a serial port. It is therefore crucial that Prophasis can be easily expanded to support custom functionality such as this. Prophasis therefore supports a system of custom "plugins" which can be written and uploaded to the monitoring server where they can then be configured to monitor machines. These plugins are designed to be self contained and to follow a well defined and documented structure. This provides scope for a plugin "marketplace" eliminating the need for every user to implement custom monitoring code for the systems they are using.

2.3 What Prophasis is Aimed At

Prophasis is designed for monitoring the IT infrastructure of SMEs. This may consist of a network of several physical or virtual servers potentially split between branch offices and a central datacentre. These environments usually contain tens of hosts rather than thousands. Prophasis is designed to monitor networks such as these in a clear and intuitive way. It is not designed for monitoring massive multinational networks with many internationally distributed datacentres containing thousands of machines.

Chapter 3

Design

This chapter will explain the design of Prophasis and discuss various different design decisions that were made. First it will go into detail explaining the choice of different technologies used in Prophasis and will explain the reasoning behind these decisions. Next it explains the terminology used within Prophasis to give a better idea how everything is structured. It will then explain the layout of the various components in the system and will go into detail about the purpose of each of these components and how they operate. It then goes into detail about the design decisions made for how Prophasis determines the overall health of a given host or service from the individual data points that it has collected.

3.1 Technology Choice

Implementation Language It was decided to use Python as the language of choice to implement Prophasis for many different reasons. It is available on practically all platforms and is usually bundled with most UNIX-like operating systems. Python also abstracts a large amount of low level details away which both saves development time and prevents subtle, hard to find errors such as memory management issues. Python also has a huge library of available packages along with a powerful and flexible package manager (pip) and package repository (PyPi). This allows external libraries to be used to provide functionality instead of requiring everything to be implemented from scratch. Development time is therefore saved and specialised, well tested and maintained code can be used to provide some of the functionality. Pulling in packages from PyPi instead of bundling external libraries with Prophasis ensures that any external libraries are kept up to date and prevents any licensing issues that could arise from bundling code from other sources alongside the Prophasis source code.

Communications Protocol HTTP was chosen as a communication protocol for several reasons. It is well supported and understood with a large variety of server and client libraries available. Using a premade library has major benefits for

development time, security and support. HTTPS provides suitable encryption and certificate validation technologies to secure the system. HTTP is also widely permitted through firewalls and can be routed through web/reverse proxies. This means that Prophasis can be operated on most networks problems being caused by firewalls. HTTP also includes methods for transferring plain text as well as large binary files which is required for sending plugins to remote agents. Originally an attempt was made to use SSH to implement communication between the core and the agent. However, it was found that this introduced a huge amount of additional complexity on both the server and client, especially if the SSH server were to be embedded into the agent to keep it as a self contained application. This additional complexity not only made maintenance and development harder, it also increased the risk of errors being introduced. SSH was also more difficult to route through restricted networks. Another benefit of using HTTP is how many well documented HTTP libraries and servers there are available, this means that alternative agents can be implemented with ease versus SSH which would involve having to develop a custom wire protocol.

3.2 Terminology

This section explains the terminology used throughout the system to give a better idea of how everything is structured.

3.2.1 Hosts & Host Groups

In Prophasis, a “host” refers to a single machine that is being monitored. To aid management and organisation, it is possible to organise hosts into “Host Groups.” These can be comprised of hosts or other groups and can be used in place of hosts when defining services and checks. Hosts can be grouped in various ways such as their role (Web server, VM Host), hardware configuration (Hardware RAID, Contains GPU), location (Datacentre, Edinburgh Office) or any other way that makes sense given the specific implementation.

3.2.2 Plugins

A “plugin” is a package that checks a single attribute of a system. For example a plugin could check the CPU load of a given machine or be used to check the health of all the hard drives in a system. Plugins are implemented as Python modules that implement a specific API, they can return both a “value” (a numerical representation of the data they collected) and/or a “message” (a string representation of the collected data). Plugins are then packaged in a .tar.gz archive along with a manifest JSON file which contains information about the plugin for use when it is installed.

Plugins are automatically distributed from the core to remote machines and when executed by the agent the value and message are returned to the core for classification and storage in the database.

3.2.3 Checks

A “check” is a named set of hosts/host groups and a set of plugins to be executed on them. When a check is executed, all of the plugins specified in the check will be executed across all of the hosts specified in the check.

Checks allow logical grouping of various plugins. For example you may have a check for “Web server Resource Usage” which will execute plugins to check the CPU load and memory usage across all hosts in the “Web servers” host group.

3.2.4 Schedules

A schedule defines when one or more checks are to be executed. Each schedule can contain multiple “intervals” which define when the check is run. An interval has a start date/time and a time delta that defines how regularly it is to be executed.

3.2.5 Services

A service is defined in terms of “dependencies” and “redundancy groups”. Each dependency represents a host that must be operational for the service to work. A redundancy group can contain multiple hosts where at least one must be operational for the service to work.

As an example, there may be a “Website” service that has a dependency on the single router but has a redundancy group containing multiple web servers. In this case, if the router fails then the website will be marked as failed. If however one of the web servers fails but at least one other web server is still operational, the website service will only be marked as degraded.

The use of services provides a clearer view of the impact of a given failure on the functionality of the network. It also allows alerts to be set up so that they are only triggered when the service functionality is severely impacted and prevents alerts from being sent out for host failures that do not have a severe impact on the availability of a service.

3.2.6 Alerts

In Prophasis, alerts are set up to communicate with a specific person/group of people in a certain situation. Alerts are defined in terms of to/from state changes

where an alert will be sent if the state of a host/service changes from one state to another (e.g. “ok” to “critical”). These can then be restricted to certain hosts, host groups, services, plugins and checks.

The system supports “alert modules” - Python modules that are used to send alerts using various methods. Examples of alert modules could be email, SMS or telephone call.

Multiple alerts can be set up to handle different severities of issues. For example, if a redundant service becomes degraded, a SMS or email message may be sufficient but if a service becomes critical it may be desirable to use a telephone call to gain quicker attention.

3.2.7 Classification

A classification defines how serious or important the data from a plugin is, for example, the CPU load being slightly higher than normal would probably be classed as a minor issue whereas a system not responding to checks from Prophasis would be likely to be classed as a critical issue. Table 3.1 lists all the different classifications ordered by severity.

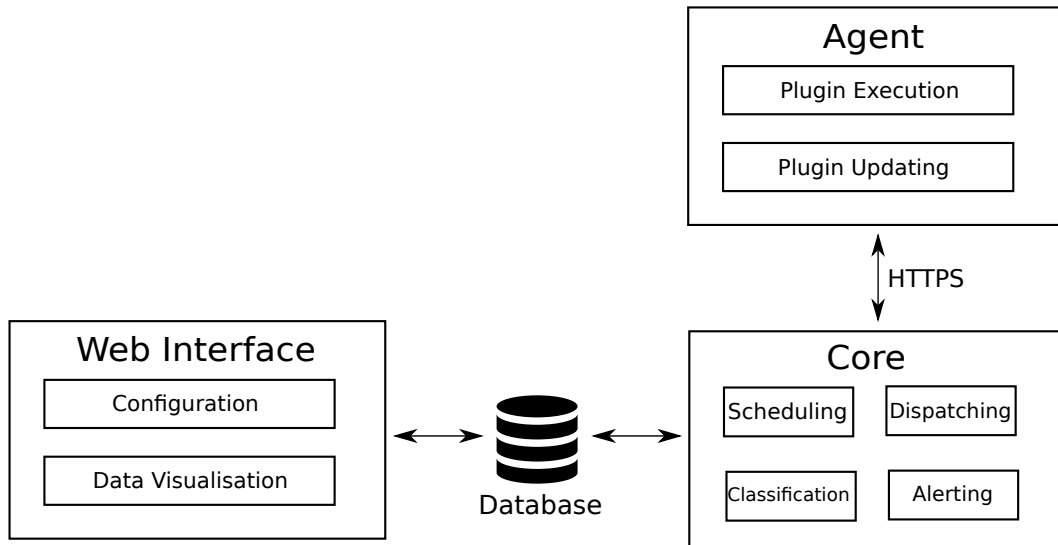
Table 3.1: Different classifications that can be given to data ordered by severity

Severity	Classification	Notes
Most severe	Critical	Only applies to services
⋮	Major	
⋮	Minor	
⋮	Degraded	
⋮	Unknown	
⋮	OK	Plugin has never been executed on this host
Least severe	No data	

3.3 System Structure

The system is split into three separate components; Agent, Core and Web. Web and Core share the same relational database allowing data to be shared between them. Figure 3.1 shows the individual components of the system and how they all interact.

Figure 3.1: Diagram showing the layout of the components of the system



3.3.1 Agent

The agent runs on every machine that is being monitored and provides an API for communication with the monitoring server. It listens on port 4048 (by default) and exposes an HTTPS API. This API includes methods to check the version of a plugin currently installed on the agent, a method to push updated plugins to the agent and another method to execute a plugin and to retrieve the value and message produced by it.

The agent's API is authenticated using a long, pre-shared key of which a salted hash is stored on the agent in a configuration file. Being hashed prevents users who may have access to read the configuration file (possibly through misconfiguration) from getting the key to be able to communicate with the agent which may have privileges that the user does not have.

3.3.2 Core

The core runs on the machine that is performing the monitoring, it has several different roles; scheduling, dispatching, classification and alerting.

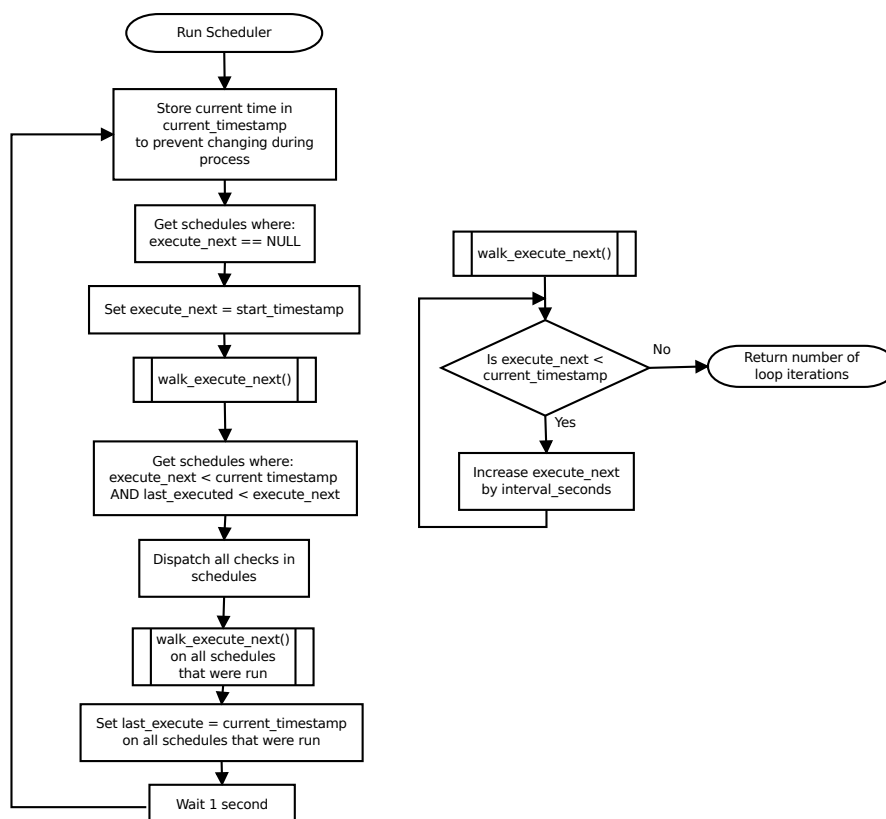
3.3.2.1 Scheduling

The core is responsible for looking at the schedules stored in the database and executing the appropriate checks on the correct hosts at the correct time. There is a configuration value for "maximum lateness" that defines how late after its defined time slot a check can be executed. The core repeatedly checks the database looking at the intervals for each schedule along with the time at which a given

schedule was last executed. If it decides that a schedule is due to be executed it passes this onto the dispatcher.

Figure 3.2 describes how the scheduler operates. Each schedule has a `start_timestamp` which is defined by the user when the schedule is created, an `interval` which is how often the schedule executes and a value for `execute_next` which is the timestamp that the schedule is next to be executed. When the scheduler starts up it first gets all schedules that do not have an `execute_next` value - These are schedules that have never run. It then calls `walk_execute_next` which is a simple algorithm that “fast forwards” the `execute_next` value until it reaches a timestamp that is in the future. It then retrieves any schedules that are due to be executed (`execute_next` is in the past) and executes them, it then calls `walk_execute_next` on each of these to set the `execute_next` value to the time that the schedule should be run again. The algorithm will then wait for one second before executing the process again.

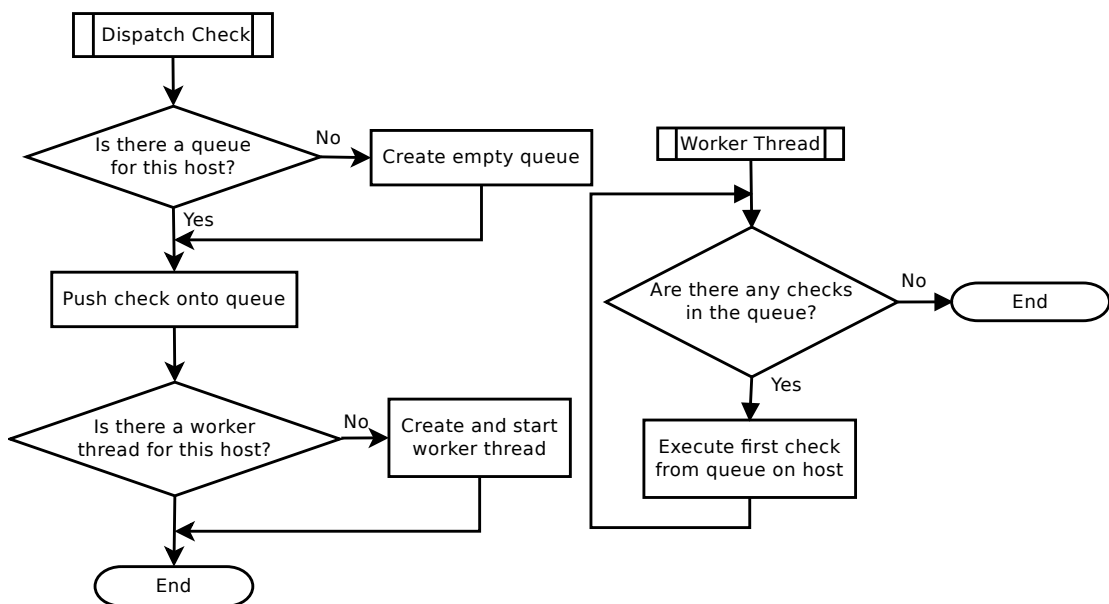
Figure 3.2: Flowchart describing the operation of the scheduler



3.3.2.2 Dispatching

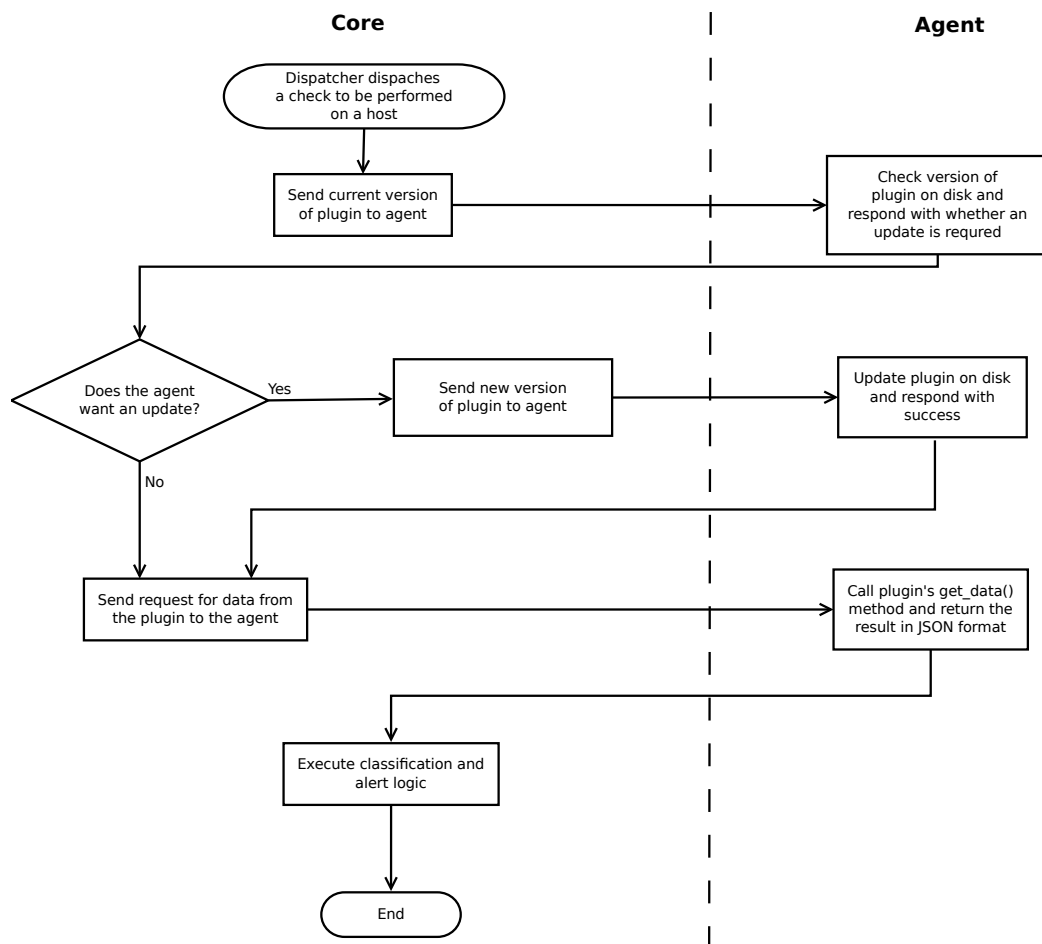
The dispatcher component of the core is responsible for issuing checks to agents when they are due to be run (as decided by the scheduler). Checks may take some time to execute so executing these all in series would all be impractical. The solution for this was for the dispatcher to spawn a process for each agent that it is currently executing checks for. Each process maintains a queue of plugins that are due to be executed and issues them to the agent in the order that they were dispatched. This way only one plugin can be executing on a given agent at any moment in time. This both prevents agents from becoming overwhelmed and means that plugin developers do not need to be concerned about other plugins interfering with their plugin while it is being executed. Figure 3.3 shows the operation of the dispatcher.

Figure 3.3: Flowchart describing the operation of the dispatcher



Communication Between Core and Agent When a check is dispatched, the core and agent communicate to first of all establish if the agent already has the correct version of the plugin due to be executed installed. If it does not then an update will be sent to the agent. Once this is done the core will then request the agent to execute the plugin and the data will be returned for classification. Figure 3.4 describes the communication between the core and the agent. The vertically dashed line separates the actions that occur on the core on the left from the actions performed by the agent on the right.

Figure 3.4: Flowchart describing the communication between the core and the agent when a check is dispatched



3.3.2.3 Classification

When data is collected from an agent, it needs to be classified as “ok”, “major”, “minor”, “critical” or “unknown”. Classification is performed by Lua code that is stored alongside each plugin. When the core finishes collecting a from an agent it will retrieve the classification code for the plugin and execute it in a sandboxed Lua runtime environment. The result of the classification is then stored in the database. The use of Lua code provides total flexibility. Classifications can be as simple as comparing values to a threshold or could go as far as looking at previous historical values and classifying based on a trend in the data. Plugins define how many previous historical values they want to classify on (n), the plugin’s classifier code is then executed with two Lua tables, one containing the previous n “values” stored and the other containing the previous n “messages”.

3.3.2.4 Alerting

Once the core has classified a piece of data, it now needs to work out whether it needs to send an alert or not. To do this it looks at the previous state for the plugin and host along with the current state. It then looks through the database for alerts that match that state transition and are applicable to the host/plugin combination. If any alerts match it will call the alert module to send the alert out to inform the necessary people about the state change.

3.3.3 Web Interface

Prophasis provides a web interface for both configuring the system and viewing collected data. The interface is designed to be clear and easy to understand for users. It is also built to be fully responsive so will work correctly on mobile devices without any loss of functionality. Designing it to be responsive was the aim from the outset as servers often fail when the administrator may not currently be in easy reach of a computer so will need to use a mobile device to investigate the issue.

The web interface provides dashboards for visualisation of data collected from plugins. The collected data can then be displayed using graphs, tables, lists of messages or any other way that suits the type of data collected.

In order to keep Prophasis as intuitive as possible, the user interface contains clear explanations of different functionality as well as help text and detailed error messages.

3.4 Determining the Overall Health of a Host or Service

In Prophasis, although the data returned by each plugin is classified individually, in order to display the collected data or to create alerts it is necessary to be able to decide on a general health classification for an entire machine or service based on the classifications for the individual plugins.

Determining the health of a host In order to determine the health of a host it is first necessary to establish what checks the host in question is part of. For this the database is queried to get a list of all checks the host is assigned to and then for each host group that the host is part of, all checks that the group is assigned to are fetched. The next step is to iterate over the checks that have been found to build a de-duplicated list of all plugins that are being executed on the host in question. Then, for each plugin, the result of the most recent check of the plugin on the host is retrieved. Then, using the severity ordering in Table 3.1,

the most severe classification from the plugins is chosen and this is used as the overall health of the host.

Determining the health of a service Determining the health of a service is somewhat less clear cut due to the use of dependencies and redundancy groups. In Prophasis the health of all dependencies and redundancy groups in the service is obtained. The health of a redundancy group is taken as the least severe health out of all hosts in the group (unless the least severe is “ok” and there are non-“ok” hosts in the group in which case the group is classified as degraded). For example, a redundancy group containing a host with health “minor” and a host with health “major” would be classed as “minor” and a redundancy group with a host of health “critical” and a host of health “ok” would be classed as “degraded”. The overall health of each redundancy group is used along with the health of all dependencies (which is simply the health of the host that the dependency is for) and then the most severe of all of these is taken. Severities are determined based on Table 3.1.

Chapter 4

Implementation

This chapter discusses the implementation of Prophasis and explains how all the individual components work. It first explains the various technologies used to implement Prophasis going into detail about the libraries in use explaining the functionality that they provide. It then explains the structure of each distinct part of the system, first explaining how plugins are structured before going on to explaining the database design. It then discusses the core along with the functionality that this provides and then explains the operation of the agent. It finally explains the layout of the web interface and demonstrates various aspects of its design.

4.1 Technologies

The vast majority of the system is implemented in Python 3. This allows for a large variety of modules to be used during development. Python is also widely available on UNIX systems and is easy to install on machines where it is not included.

The Python “Virtual Environment” (Virtualenv) system is also extremely useful for developing and deploying Prophasis. It allows Prophasis’s dependencies to be kept totally separate from the system’s Python environment, this is particularly important for the agent as it ensures that it cannot interfere with anything else running on the system.

4.1.1 Flask Web Framework

The agent and web interface are both built using the Flask web framework which handles routing URLs to the correct Python functions as well as handling request data and building the correct HTTP responses. The agent purely uses the routing and request/response functionality provided by Flask whereas the web interface also uses Flask’s bundled templating engine, Jinja2, to render the HTML pages

that are displayed to the user. The web interface also uses the Flask-Login[4] package to provide login and user session management functionality.

4.1.2 Tornado HTTP Server

While Flask provides a built in web server, it is only designed for development use.[3] In order to provide a production suitable web server for the agent it was decided to use the Tornado HTTP server which uses the uWSGI interface provided by Flask. Tornado was chosen as it can easily be integrated directly into the Flask application and therefore does not require any sort of external web server to be installed/configured on the system.

4.1.3 SQLAlchemy ORM

All database functionality in Prophasis is handled through the SQLAlchemy ORM which abstracts the database into a set of Python classes (known as models). This not only reduces development time, it also reduces the likelihood of errors as all of the database queries are generated by the library rather than being handwritten. The SQLAlchemy models file can also be shared between both the web interface and the core preventing duplication of database query logic.

4.1.4 Lupa

Lupa provides an interface between the system's Lua runtime and Python. It is used by Prophasis to execute the user provided Lua code that is used to classify the results of checks. A sandboxed Lua environment is configured to prevent this user provided code from performing undesired operations. Sandboxing is covered further in Section 4.5.2.1.

4.1.5 PostgreSQL Database

Prophasis has been officially developed to support PostgreSQL databases, however through the use of an ORM it is possible to easily move to different database platforms such as MySQL or Oracle. SQLAlchemy transparently handles the difference between different database platforms when generating its queries.

4.1.6 Appdirs

Prophasis has been designed to support a variety of different operating systems. Different platforms have different conventions for where an application should store configuration files and other application data. Appdirs is a Python library that works out the correct path for various types of files for the detected platform.

This means that files are stored in the correct directory no matter if Prophasis is installed on Linux, BSD, Windows or any other supported platform.

4.1.7 AdminLTE & Bootstrap

AdminLTE is an open source theme for building administration dashboards and control panels. It is MIT licensed and is therefore compatible with the MIT licence Prophasis is built under. AdminLTE is built on top of Twitter's Bootstrap framework which is well supported with a large range of plugins available. AdminLTE and Bootstrap have extensive support for responsive user interfaces and therefore very little manual work needs to be carried out to make the user interface responsive to work well on both desktop and mobile devices.

4.1.8 Handlebars.js

Handlebars.js is a very lightweight JavaScript templating engine. It is used in the frontend of the web interface for rendering portions of the page that are generated after the page has loaded. In Prophasis, Handlebars templates are used to render alerts and items that are dynamically added to the DOM such as dependencies when editing services or intervals when editing schedules. This means that any HTML markup can be totally separated from the JavaScript source code. Unfortunately Handlebars templates are slightly different to Jinja2 ones. For simply filling in placeholders they are compatible with one another however, more advanced functionality such as conditionals and loops require slightly different syntax. Therefore care must be taken when rendering the same template with both Jinja2 and handlebars.

4.1.9 Stopit

Stopit is a Python library that provides an easy interface for setting timeouts on blocks of code or function calls. This means that if the code inside the block takes longer than the timeout, it will be terminated and an exception will be thrown. This is used to ensure that plugins do not take too long to execute. Stopit can implement timeouts either by using multithreading or through the use of UNIX signals. Prophasis uses the multithreading variant since UNIX's `SIGALRM` is not supported under Microsoft Windows.

4.2 Plugins

Plugins are bundles of code that are developed to monitor a specific aspect of a system, a plugin for example could be developed to monitor the CPU load of a system or to check the health of all RAID arrays on a given type of RAID

controller. Plugins are not bundled as part of the Prophasis distribution and are instead installed afterwards by uploading them through the web interface.

Plugins are packaged as gzipped TAR archives containing several different files. The contents of a plugin archive explained below.

Manifest File All plugins must contain a file to store information about the plugin called `manifest.json`. Table 4.1 explains the different attributes of the manifest file and if they are required or not. The additional files described in the manifest file will be explained later in this section.

Table 4.1: The contents of a plugin’s manifest file

Key	Description	Required?
name	The name of the plugin	Yes
id	A unique identifier for the plugin, e.g. <code>com.example.plugin</code>	Yes
description	A description for the plugin	Yes
version	Numerical plugin version, needs to be increased to ensure agents are updated	Yes
default_n_historical	Integer representing how many previous values should be passed to classification logic. May be overridden from web interface.	Yes
default_classifier	File containing lua code to be used as the default classifier.	Yes
view	Either the name of an built-in view or “custom”	Yes
view_source	Name of the HTML file containing the view	If view is “custom”

Default Classifier This is a Lua file containing the code that will be used by default to classify the data from the plugin. This code will be loaded into the database on plugin installation and can be edited by users through the web interface.

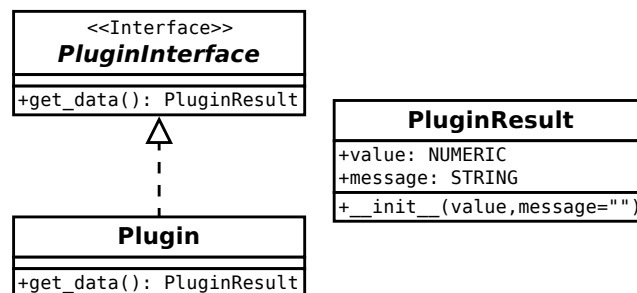
View Source Code If a “custom” view is specified in the manifest file, an HTML file must be included to be used as a view for the data. This HTML file is passed a list of collected data for the plugin for the date range specified for the report and is rendered using the Jinja2 templating engine. Any HTML or JavaScript can be used to display the data as desired.

Python Code The plugin archive must be a Python module containing a class called `Plugin` which is a subclass of `PluginInterface`. Therefore, an `__init__.py` file must be included. There is no restriction to how the rest of the Python code in the plugin must be layed out however generally there will be a single Python source file containing the `Plugin` class which is exposed in `__init__.py`.

4.2.1 Plugin Logic

The plugin is a Python module and can therefore contain any logic that it needs in order to be able to retrieve the appropriate data. This may involve using built in Python logic to get data such as CPU load, executing shell commands and parsing the result or reading system files. However, the external interface for a plugin must follow a defined structure. The structure of a plugin is shown in Figure 4.1.

Figure 4.1: UML diagram showing the structure of a plugin



All plugins must contain a class that extends from **PluginInterface**. This class must contain a `get_data()` method which is called by the agent to get the data from the plugin. The `get_data()` method must return an instance of **PluginResult**. The **PluginResult** object must contain a numerical value to represent the data collected by the plugin as well as a message which can contain more information about the data collected. The message defaults to being the empty string so does not need to be specified.

The plugin's main class (the one containing the `get_data()` method) must be exposed through `__init__.py` under the name **Plugin**. If the plugin's main class was called `CPUload` in a module called `plugin.py` then it would be exposed using the line `from plugin import CPUload as Plugin` in the `__init__.py` file.

Structuring plugins in this way provides a large amount of flexibility. The plugin can have any sort of internal implementation (it can even call out to shell scripts if desired) as long as the external interface follows the correct structure. Forcing plugins to return data in the form of the **PluginResult** object forces them to return data in a sensible manner unlike existing tools which attempt to parse `stdout` of a shell script which is very fragile.

4.2.2 PGP Signing

Since plugins are sent to agents over the network from the monitoring server and then executed, this introduces a risk that if the monitoring server is compromised,

malicious code can be sent to and executed on all hosts being monitored. As a solution to this, it is possible to PGP sign plugins. A detached signature file can be uploaded along with the plugin archive file through the web interface, agents can then store public keys locally. If an agent is configured to enforce checking of signatures it will only allow plugins with valid signatures to be installed and executed. Due to the additional complexity that this introduces, it is possible to disable this functionality although it is strongly recommended that it is used. GNU Privacy Guard (GnuPG)[5] is used to verify signatures, this is available on most major operating systems.

4.3 Database

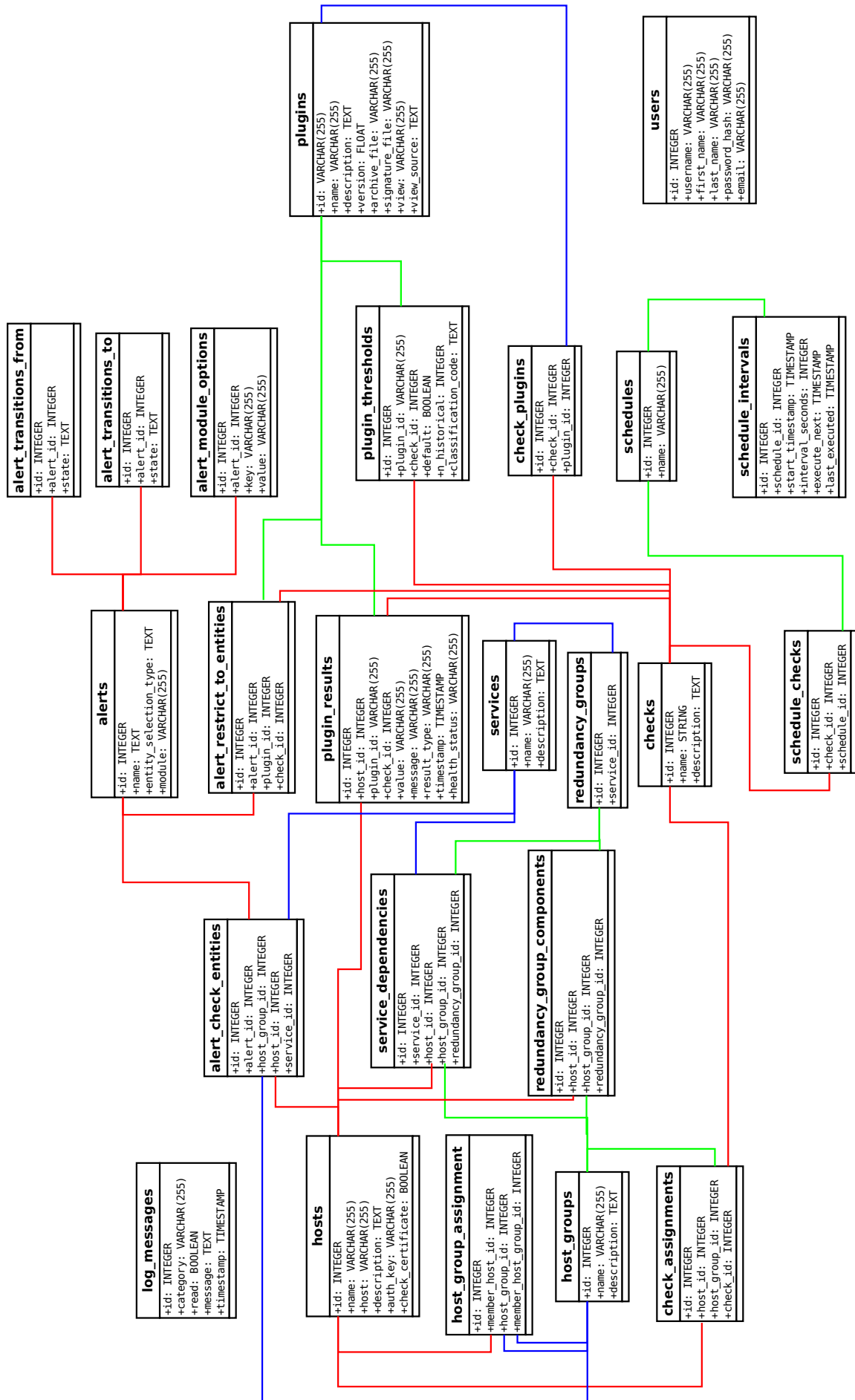
The database is at the very center of Prophasis, it is used to store the configuration of the system along with data collected from agents, it is also the primary means of communication between the core and the web interface. Prophasis was designed to use the PostgreSQL DBMS and great care was taken to ensure that the database design was built to be efficient yet flexible enough to allow complex queries.

The database was designed in a traditional manner using tables and relations between them in order to build an efficient and normalised design however it was implemented using the SQLAlchemy ORM which abstracts the database into a series of classes which saves time and reduces errors as queries do not need to be written manually. The manual design was carefully implemented using extensive use of SQLAlchemy's `relationship()` function.[18] This allows relationships in the database to be traversed as easily as properties of an object. For example, if you have an entity `h` of class `Host` and an entity `r` of class `PluginResult` then it is possible to both access a list of all plugin results attributed to the host with `h.check_results` and to access, for example, the name of a host from the plugin result with `r.host.name`. The ORM handles all the logic of building the queries to gather the correct data. The ORM also makes it easy to edit data in the database, it is a simple case of retrieving the object, changing its attributes and committing the session. It is also transactional which means that changes can be staged up and then either committed or rolled back, this is ideal as it makes it easy to abort from changes to the database in the event of an error. This also provides functionality to make multiple database operations atomic, this is important since there are multiple processes/threads writing to the same database.

Figure 4.2 shows a diagram that represents the structure of the entire database. The colours have no significance and are simply used to illustrate which lines are crossing over and which are not. This diagram was built in a tool called Dia and stored in an XML file which could be kept on version control, this meant that throughout the development process the diagram could be easily maintained to match changes to the database, in fact, the diagram was always updated before the changes were applied to the actual SQLAlchemy models. Having this diagram available was extremely useful when developing parts of the logic that utilise the

database as it makes the layout much clearer than simply reading the source code for the models file or by looking at the actual, running database instance.

Figure 4.2: Diagram representing the Prophesis Database Schema



4.4 Common Module

Even though the core and web interface are completely separate processes, they still share some common logic: namely the database models, error logging and alert module handling. It would be impractical to write this functionality twice, especially the database models as this is a huge piece of work.

The best solution to this problem was to build a “common” python module known as `prophasis_common`. This contains the database models as well as error logging and alert module handling and is required by both the core and web interface. When installing either of these the common module must be installed first. Structuring the system this way prevents duplication of logic and ensures that there is no risk of there being any differences between the code in the core and the agent.

4.5 Core

4.5.1 Dispatcher

The dispatcher is the system which sends off requests to the agent and waits for the responses back which are then classified and then stored in the database. Due to the time it may take to execute some checks it would be impractical to execute them in series, therefore the dispatcher is multi-threaded.

The Prophasis dispatcher uses Python’s built in “multiprocessing” library. This provides various methods to manage processes as well as thread-safe data structures for communicating between them. The dispatcher uses `multi-threading.Process` to spawn worker processes and uses `multiprocessing.Queue` to define thread safe queues for passing data into these worker processes.

Fork vs Spawn The multiprocessing library supports three different ways to start a process.[16] By default on UNIX systems it uses `os.fork()` to fork the existing process. This is unsuitable in this situation as the process creates a copy of the already open database connection - this causes conflicts when the workers try to write to the database. The solution to this is to tell the multiprocessing library to use the “spawn” context (the default on Windows) which will create a fresh Python interpreter process. This prevents open handles from being carried over into the child process. Using spawn is marginally slower than forking the process. In practice this not an issue however because processes are only spawned when schedules are called and these schedules are only called at most every second.

4.5.2 Classification

When data is collected from the agent it needs to be “classified” to determine whether it is “ok”, “major”, “critical”.etc. Classification is done by Lua code that is bundled with the plugin and can also be modified by the user through the web interface. The Lua classification code is stored in the database for ease of modification. The “Lupa” Python library is used to integrate the Lua runtime into the Python code.

4.5.2.1 Sandboxing

Classification code is executed directly on the machine under the same user as the core. Since this classification code can be changed through the Prophasis web interface it is critical that this code cannot perform malicious operations on the system. In order to resolve this, a Lua sandbox is created.[6] This is done by creating a Lua table with only specific, trusted functions such as `math` and `ipairs` added to it. Lua’s `setfenv` (set function environment) function is then called to ensure that all user provided code is executed inside this sandbox and can therefore not access more risky operating system functions such as file handling.

4.5.2.2 Functions

In order to make developing classification code easier, several predefined functions are provided to handle common operations such as `arrayMax(array)` which will return the maximum value in an array and `arrayContains(array, value)` which returns a boolean defining whether the given value is in the array or not. These functions are stored in a separate Lua file and are included before the user provided classification code before it is executed.

4.5.2.3 Handling Errors

When dealing with user defined code there is always the potential for errors to occur when the classification code is executed. In this situation the system will automatically fall back and classify the result as “unknown”. The error will also be logged within Prophasis and can be easily viewed by the user in the web interface’s “System Logs” area.

4.6 Agent

The agent is implemented using the Flask web framework to expose an HTTPS API that the core communicates with. Requests are sent to the agent using regular HTTP GET and POST requests with information passed using URL

parameters or HTTP form data respectively. Responses are formatted as JSON. The Tornado HTTP server is used to handle incoming HTTP connections and communicates with Flask through using uWSGI.

Authentication In order to prevent unauthorised actions being performed on the agent, the core must authenticate with every request. The agent stores a hash of a long authentication key in its configuration file. This key is generated during agent installation and is different for every agent. This ensures that if the key for one agent is obtained, an attacker cannot access every other agent on the network. Storing a hash means that if someone was able to read the agent configuration file they cannot obtain the authentication key which could have allowed them to execute code as the agent's user which may have higher privileges than their user. HTTP's basic access authentication is used which allows a username and password to be easily sent along with an HTTP request, in this situation the username is "core" and the password is the authentication key. A Python decorator (`@requires_auth`) is applied to functions that require authentication which will verify the authentication token and only allow the function to execute if the token is correct, otherwise it will respond with HTTP error 401 (Unauthorised). Using a decorator keeps authentication logic out of the body of the function which ensures that the user is authenticated before the function is even entered. This prevents errors such as the authentication check being moved after some restricted functionality or accidentally being removed during changes to the function body.

Plugin Execution When a call is made to the Agent's `get-plugin-data` method, the agent will execute the plugin and return the data (value and message) returned by it. The agent uses `importlib.machinery.SourceFileLoader` to load the plugin's `__init__.py` file. A new instance of the module's `Plugin` class is then instantiated and its `get_data()` method is called. The data returned by the plugin is then returned to the core. It must be guaranteed that plugin execution will terminate in a timely manner as a plugin taking too long to execute will delay the execution of future plugins. In order to ensure this, a timeout is set so that plugin execution must complete within a predetermined amount of time, otherwise the execution will be terminated and an error will be logged by the core. The "stopit" python library is used which uses threading to implement this. Initially the "timeout-decorator" library was used however this uses the UNIX `SIGALRM` signal which is not supported by Microsoft Windows.

4.7 Web Interface

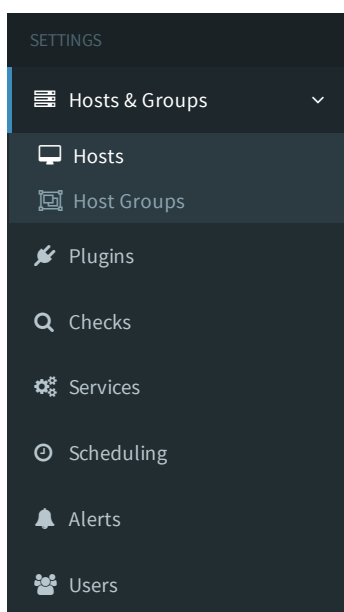
The web interface is implemented using the Flask web framework. The frontend is built using AdminLTE which in turn is based on Twitter's Bootstrap framework. The web interface is built to be fully responsive so that it performs equally as

well on both desktop and mobile devices. The Jinja2 templating engine is used to render the pages from HTML templates. This allows certain pieces of template logic to be reused preventing code duplication and enforces a clear separation of logic between the processing and templating logic.

4.7.1 Configuration

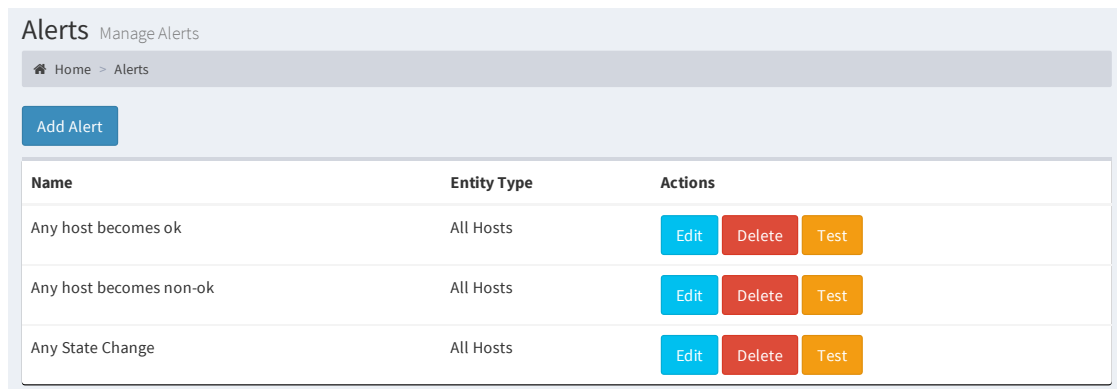
All of the different configuration options are accessible through a clearly organised menu displayed on every page. This allows the user to access all of the different configuration options from a single location. This navigation bar can be seen in Figure 4.3.

Figure 4.3: The “settings” navigation bar



Clicking each of these links will take the user to the management area for that section allowing them to configure that part of the system. The first page of any of these sections is an index page that lists all objects (e.g. plugins or alerts) in the section with options to manage each of them as well as providing a link to add new objects. An example of the index page for alerts can be seen in Figure 4.4. This page lists all alerts in the system and provides buttons to edit, delete and test them. There is also a button allowing the user to add new alerts to the system.

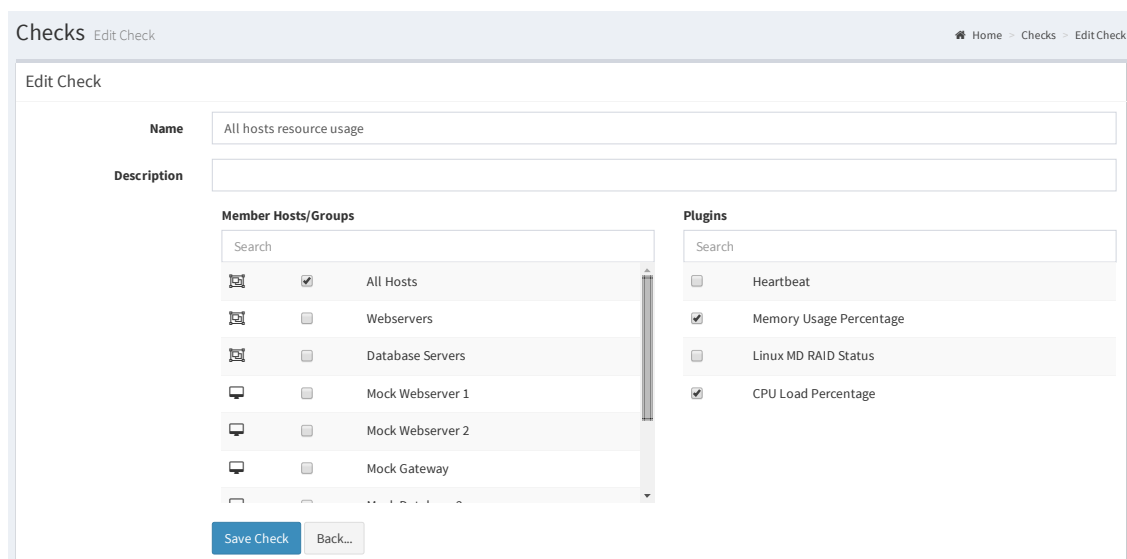
Figure 4.4: The alerts index page



Name	Entity Type	Actions
Any host becomes ok	All Hosts	Edit Delete Test
Any host becomes non-ok	All Hosts	Edit Delete Test
Any State Change	All Hosts	Edit Delete Test

When the user chooses to add or edit an object a form is loaded. The add and edit forms are both rendered using the exact same template files ensuring that both forms are consistent. A `method` parameter is passed into these templates which is set to either “add” or “edit”. This is used to adjust the template. Figure 4.5 shows the form for editing a check.

Figure 4.5: The Edit Check form



This form has a pair of text boxes to specify a name and description for the check and then a pair of lists of checkboxes: the first lists hosts and host groups that the check will be executed on and the second lists plugins that will be executed on those hosts when the check is run. The search boxes above each of these lists will filter the list to entries that contain the search term. This search system is provided entirely in JavaScript and is generic enough to be applied to any HTML table by simply specifying some classes and HTML5 data attributes. These search boxes are applied to any tables in the system which may contain a lot of items.

Code editing As described in Section 3.3.2.3, Lua code is used to classify the results retrieved from plugins. In order to maintain the ability to manage everything through the web interface, it needs to be possible to edit this code through the web interface. For this, the CodeMirror[1] editor is used which provides an editor with syntax highlighting and support for using the tab key to indent blocks of code as well as intelligently handling indentation when pressing return. The form for editing classification code can be seen in Figure 4.6.

Figure 4.6: The form for editing classification Lua code

Plugins Set Thresholds

Default Thresholds

Number of historical values How many previously collected values should be aggregated

Classification Code

```

1 -- The following arrays are provided which contain data collected by the plugin
2 -- values: Array of "values" returned by the plugin
3 -- messages: Array of "messages" returned by the plugin
4 -- result_types: Array with "plugin" for a successful check or the following error values:
5 --   "command_unsuccessful", "authentication_error", "request_error", "connection_error", "connection_timeout"
6 if arrayContains(values, "none") then
7   return "unknown"
8 end
9
10 maxValue = arrayMax(values)
11
12 if maxValue >= 100 then
13   return "critical"
14 elseif maxValue >= 90 then
15

```

Save Thresholds Add Custom Threshold

Schedules As described in Section 3.2.4, a schedule is used to define when to execute one or more checks. The interface for this includes the usual form fields for a name for and description of the schedule as well as a checkbox list of available checks to execute. This page also has additional functionality to configure the time intervals at which the schedule is run. This can be seen in Figure 4.7. Each interval has fields to specify the start time for the schedule as well as a text box and drop down menu to enter the interval period. The green “Add Interval” button will add another row to this list allowing more intervals to be added and the red “X” button will remove the row its in from the table. This is all handled purely in frontend JavaScript.

Figure 4.7: Form for managing the intervals for a schedule

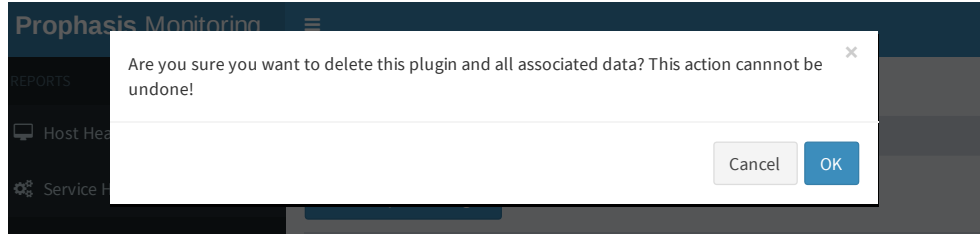
Starting from	<input type="text" value="2016-03-19 00:00:00"/>	execute every	<input type="text" value="7"/>	Days	<input type="button" value="X"/>
Starting from	<input type="text" value="2016-03-16 00:00:00"/>	execute every	<input type="text" value="7"/>	Days	<input type="button" value="X"/>

Add Interval

In order to display confirmation messages to users, Bootbox.js[14] is used which

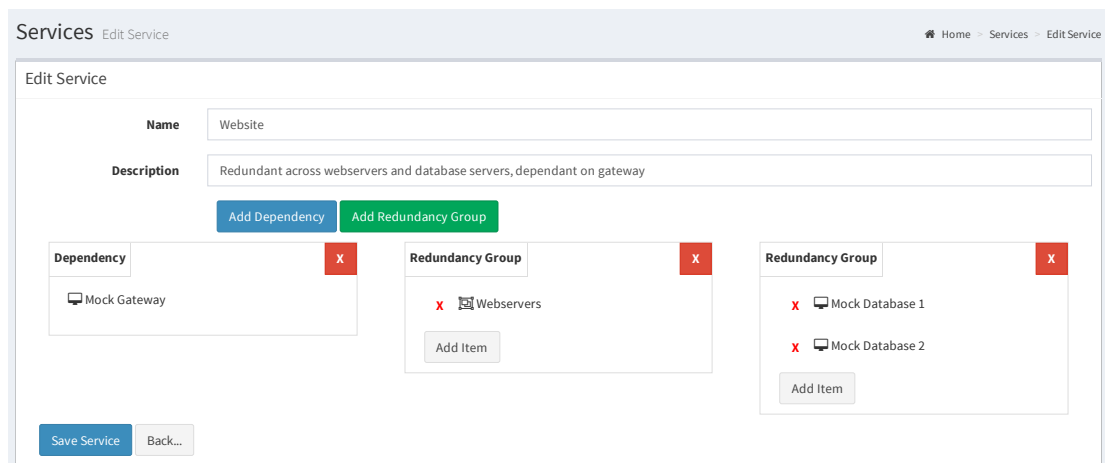
provides a convenient abstraction around Bootstrap’s build in modal functionality. This allows confirmation messages to be displayed to users without any manual HTML markup and responses to be collected straight into JavaScript. An example of a confirmation message can be seen in Figure 4.8.

Figure 4.8: The confirmation message displayed when deleting a plugin



Services As described in Section 3.2.5, services are comprised of one or more dependencies or redundancy groups. Redundancy groups in turn are comprised of one or more hosts or host groups. Building a user interface to represent this was particularly challenging as data needed to be represented clearly and be intuitive to edit. The finished interface can be seen in Figure 4.9.

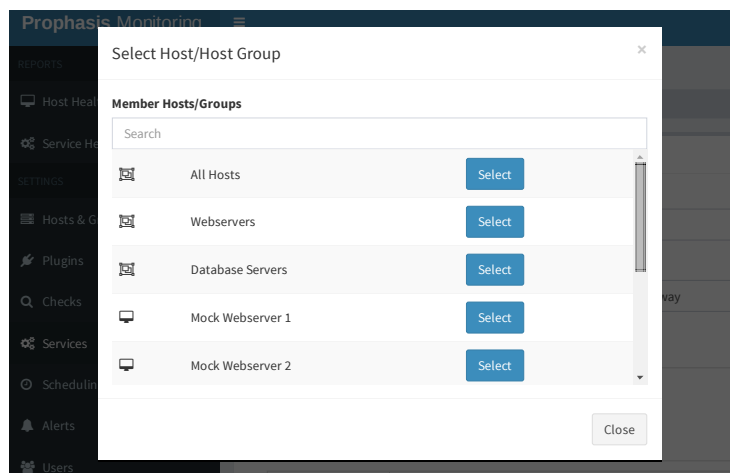
Figure 4.9: The user interface for editing services



In this interface, dependencies and redundancy groups are represented as boxes each containing the host(s) and host group(s) that are a member of that dependency or redundancy group. Individual hosts can be added to redundancy groups by clicking the “Add Item” button or removed by clicking the red “X” next to it. Entire dependencies can be removed by clicking the red “X” in the top right of the box. To select hosts or host groups to create a dependency or to add to a redundancy group, a Bootstrap modal dialog is displayed as shown in Figure 4.10. On this form, HTML5 data attributes are used to attach data such as IDs to the actual DOM elements that represent the structure of the service. When the form is saved, JavaScript is used to serialise this structure for processing. This massively simplifies the code as adding and removing dependencies, redundancy

groups, hosts.etc can be handled entirely in the DOM without having to also maintain a JavaScript data structure at the same time.

Figure 4.10: The modal dialog for selecting hosts and host groups



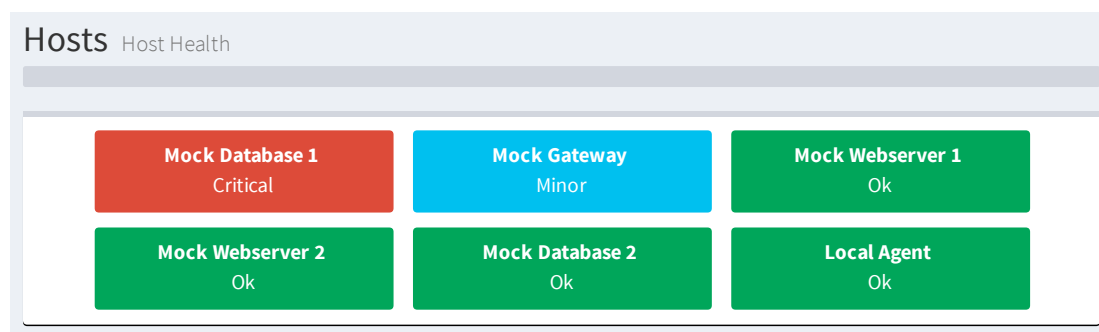
4.7.2 Reporting

In addition to being used to configure the system, the web interface is also used to visualise the collected data. There are currently two reports, one to show the health of all hosts in the system and another to show the health of all services. There is scope to add more reports to give different views of the data.

4.7.2.1 Host Health

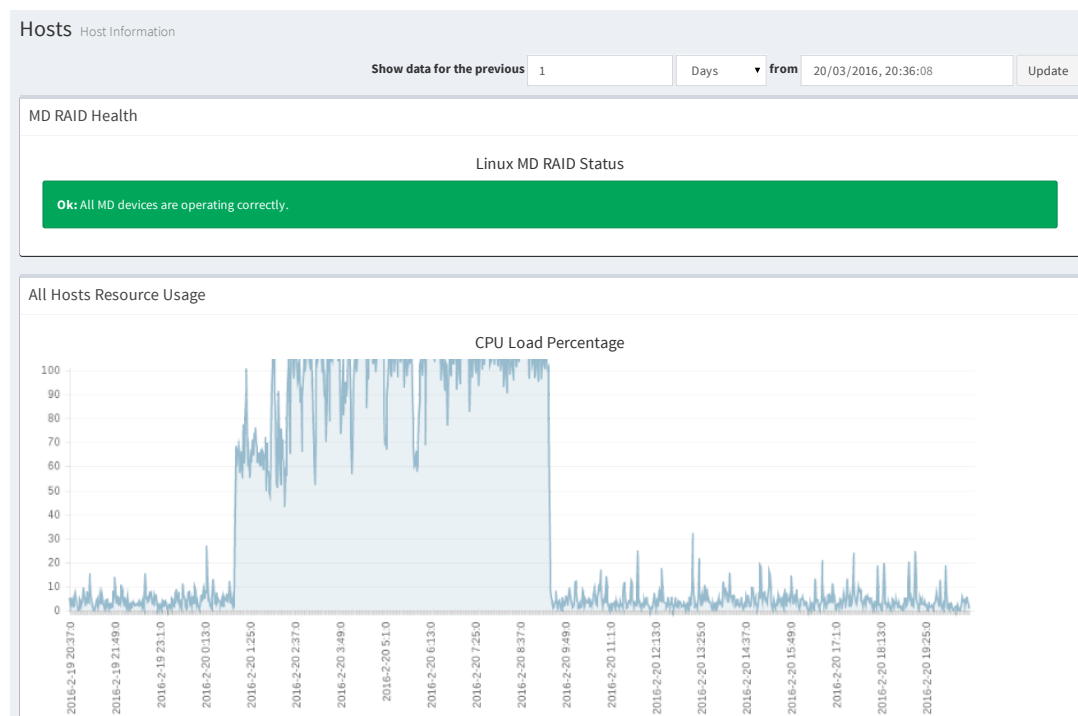
Figure 4.11 shows the host health report for a selection of machines. This report lists all the hosts in the system as well as displaying their health in both a textual and colour representation. The results here are sorted in order from least to most healthy. This ensures that even in a network with a large number of systems, unhealthy hosts will not go unnoticed due to being buried deep inside a list, especially on the small screens of mobile devices.

Figure 4.11: The index page for the Host Health report



Clicking on any of these hosts will open a page displaying more detailed breakdowns of the data stored for that host. This is where the time series data can be visualised. Figure 4.12 shows a sample output of time series data collected from a running server. This shows both the status of the Linux MD RAID devices as well as a graph of CPU load showing a large spike due to a RAID consistency check that occurred on the machine. At the top of this report are a pair of inputs allowing the time period that the data represents to be specified.

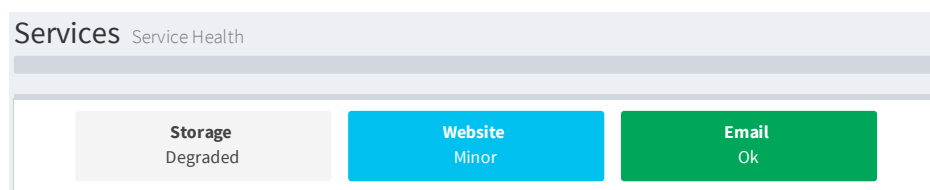
Figure 4.12: The host information page showing time series data for a single host



4.7.2.2 Service Health

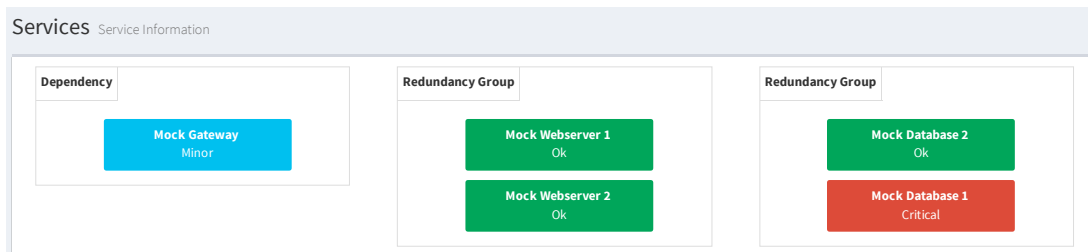
In order to give a view of the overall health of the services running on a system or to show the impact that a fault with a host has on the overall functionality of a system, a report is available to list the health of all services. This is shown in Figure 4.13. Like the Host Health report, the services are ordered to show the services with the most serious condition first. As can be seen, services have an additional health status of “Degraded” which means that while a service is operating normally, one of its redundant components is experiencing an issue.

Figure 4.13: Page showing the overall health of all services in the system



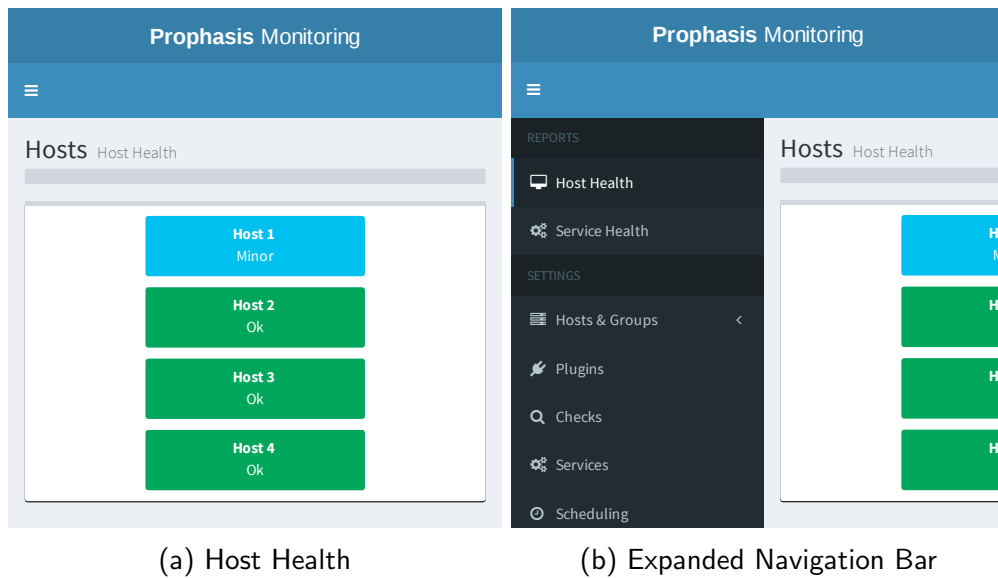
Clicking on each of these services will load a view that shows the status of all hosts in the service as well as how they are structured in terms of dependencies and redundancy groups. This is shown in Figure 4.14. Clicking on each of the hosts on this page loads the host information view as shown in Figure 4.12. This view clearly shows the reason for a service's health status and allows issues affecting a service to be clearly seen.

Figure 4.14: Page showing the overall health of all services in the system



4.8 Responsive Design

It is important that Prophasis works equally as well on both mobile and desktop devices with no loss of functionality on either. To enable this, a responsive design was chosen. This will automatically scale and rearrange the user interface based on the screen size. When being viewed on a small screen, certain items that are normally displayed side by side will be stacked as shown with the host health screen shown in Figure 4.15a. The navigation bar is also automatically collapsed to save screen space. It can be expanded by pressing the menu button (three horizontal lines) in the top left hand corner of any page. This operation of the menu can be seen in Figure 4.15b. Forms are also adapted so that they are stacked vertically as seen with the alert creation form shown in Figure 4.15c.



(c) Alert Creation Form

Figure 4.15: Parts of the Web Interface being Viewed on a Small Screen

4.9 Error Logging

In order to provide notifications about any error conditions or problems that occur anywhere in the Prophasis system the web interface provides a system for displaying system logs. The navigation bar contains an item which will display the number of unread system logs if any exist as shown in Figure 4.16. Clicking this will load the page shown in Figure 4.17 which allows the full logs to be seen.

Figure 4.16: Navigation bar showing unread system logs

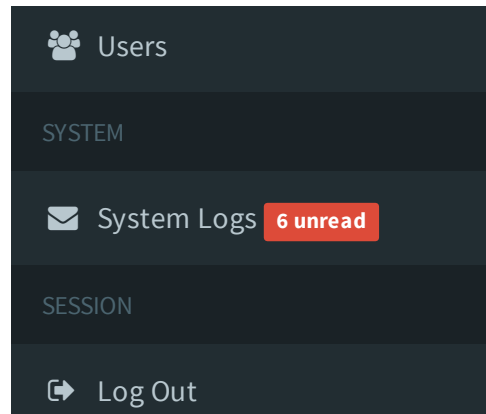
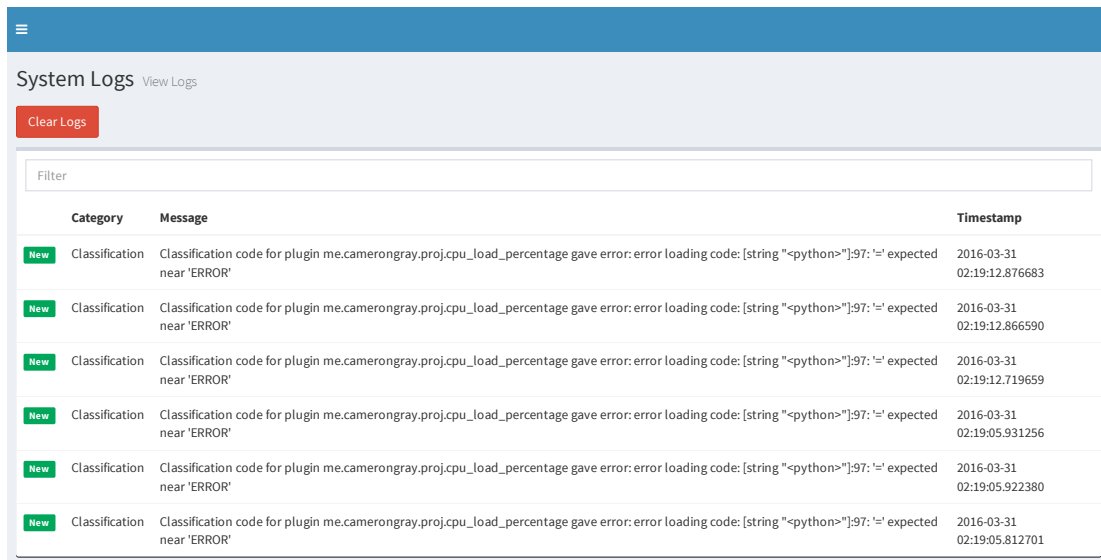


Figure 4.17: Page showing system logs

The screenshot shows a 'System Logs' page with a blue header bar. Below the header, there's a 'System Logs' title with a 'View Logs' link and a red 'Clear Logs' button. A 'Filter' input field is present. The main content is a table with columns for 'Category', 'Message', and 'Timestamp'. Each row starts with a green 'New' badge. The messages are identical, describing an error in loading a classification code for a specific plugin. The timestamps are sequential, starting from 2016-03-31 02:19:12.876683.

	Category	Message	Timestamp
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:12.876683
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:12.866590
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:12.719659
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:05.931256
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:05.922380
New	Classification	Classification code for plugin me.camerongray.proj.cpu_load_percentage gave error: error loading code: [string "<python>"]:97: '=' expected near 'ERROR'	2016-03-31 02:19:05.812701

Chapter 5

Testing

This chapter explains how Prophasis was tested to ensure both correct operation and to evaluate its general performance. It first starts off by explaining the automated unit testing that was set up on certain, complex functionality within the system. It then explains the “mock agent” that was used during development to allow easy simulation of a network containing many different hosts. Finally it goes on to explain the use of Prophasis in real world environments including The Tardis Project within The University of Edinburgh as well as in an external company, Lynchpin Analytics Limited.

5.1 Unit Testing

Unit tests were used to test the more complex functionality of the system such as getting all member hosts in a host group or determining the overall health of a host or service. These algorithms are somewhat complex so having unit tests was useful to both verify the functionality during development as well as to ensure this functionality is not broken by changes to other parts of the system. The Python `unittest` library was used as it is included as part of a standard Python distribution and is well documented.

Each test case was implemented as a single class with each different test in a separate function within this. Each `TestCase` has a `setUp()` method which is called before each test in the class is executed. The `setUp()` method will drop all database tables, recreate the database structure from the models file and will then insert sample data for use in the tests. This ensures that every test starts on a clean database.

In order to prevent tests from affecting the main database or requiring a new database to be set up, SQLite is used. A temporary, in memory database is created when the tests are executed which is then destroyed when the tests are completed. When a test is started it sets an OS environment variable called `UNDER_TEST`. If this is set the models module will set the database connection

string to "sqlite://" instead of the one stored in the config file. The SQLAlchemy ORM transparently handles the differences between PostgreSQL and SQLite therefore requiring no changes to the database code for use during testing.

For each function, the input space was divided into inputs that are to be expected by the code and tests are used to ensure that the correct output is given. Inputs are also provided that are invalid to ensure that the appropriate exceptions are raised. Inputs are built with the logic under test in mind to ensure that behaviour is correct even in edge cases. For example, the test that retrieves a list of hosts inside a host group tests the case where group *A* contains group *B* and group *B* contains group *A*. If care was not taken, attempting to recursively get a list of hosts from either of these groups could recurse indefinitely. Having a test for this case ensures that this does not happen.

5.2 Mock Agent

When developing and testing Prophasis, it was important to be able to simulate a network of multiple machines all being monitored and in addition to this it was essential to be able to simulate faults with these machines. While it would have been possible to run multiple agents and then use plugins to return data and to simulate faults this would have been impractical to set up, run and maintain.

As a solution to this, a mock agent was developed. This is a simple application that implements enough of the agent's functionality to allow the core to communicate with it as though were is a regular agent. It does not use plugins and instead reads the data to respond with from a JSON file stored on disk. The agent's `ping` method is implemented as normal, the `check_plugin_version` method always responds saying that an update for the plugin is not required (this means that the `update_plugin` method does not need to be implemented). The `get_plugin_data` method is then used to read the JSON file and respond with the appropriate data. Figure 5.1 shows an example of the JSON file used by the agent.

Figure 5.1: An example of the JSON file read by the mock agent

```
{
  "host1:4048": {
    "me.camerongray.proj.cpu_load_percentage": {
      "value": 20, "message": ""},
    "me.camerongray.proj.memory_usage_percentage": {
      "value": 20, "message": ""}
  },
  "host2:4048": {
    "me.camerongray.proj.cpu_load_percentage": {
      "value": 90, "message": ""},
    "me.camerongray.proj.memory_usage_percentage": {
      "value": 50, "message": ""}
  }
}
```

In order to keep the mock agent simple, it listens on a single port (4048, the same as the regular agent) and binds to the system's loopback address (typically 127.0.0.1). Multiple hostnames should then be configured to point to the loopback address either through the system's hosts file or on the DNS server itself. For each of these hostnames, a host should be added within Prophasis. The HTTP `host` request header field is used as the key to look up the data to return from the JSON file. This header contains the hostname that the core is connecting to allowing, for example, requests for data from `host1` to be differentiated from requests for data from `host2`.

The JSON file is read every time a request reaches the mock agent. This means that the test data can be changed on the fly while the mock agent is running by simply changing the data in the file and saving it. For example, to test what happens if the CPU load on a machine is too high the value in the JSON file can simply be changed to the new CPU load, the next time the CPU load plugin is executed on that specific agent, the new CPU load will be sent out.

5.3 Use in the Field

There is only so much information that can be gained from testing a system such as Prophasis in a synthetic environment through the use of the mock agent and unit tests. In order to see how Prophasis performs when deployed in a real world environment Prophasis was installed and run in two different real world environments.

5.3.1 The Tardis Project

The Tardis Project is a computer system operated and maintained by students at the University of Edinburgh.[15] It provides services to students including shell

accounts, web hosting and mail services. The project currently runs off of 9 physical servers and on these operates a large number of virtual machines.

Prophasis was installed on the Tardis system somewhat early in its development so that it could be operated in a running environment 24 hours a day. A virtual machine was created to run the core, web interface, an agent and the database, the agent was also installed on several different hosts including the shell server and the machine that provides network storage to the rest of the system.

Tardis's primary storage host uses Linux MD RAID to provide redundancy. For this a custom plugin was developed to parse the file at `/proc/mdstat` which provides information about the health of the disks in the system. This allowed Prophasis to be able to monitor the health of the Tardis system's primary storage.

The deployment on Tardis was extremely useful as it allowed Prophasis to be tested in a real world environment from the very beginning where new features could be launched and tested on live servers rapidly. It also highlighted issues that would otherwise be hard to find such as those that only occurred infrequently or after the system had been running for a reasonable period of time.

Scheduler Deadlock One issue that was discovered while running on Tardis was where the scheduler could, in rare cases completely lock preventing future checks from being executed. This issue was caused where a check could take too long to run resulting in the time at which it was next meant to execute being set to a time which, by the time the check had completed, was in the past. The result was that the check would never be executed again. This issue was later solved by allowing checks to execute "late" up until a defined threshold. If they are later than this threshold, the time at which the check is to execute next will still be incremented. This prevents this locking issue from occurring. Since this issue occurred very rarely, it was extremely useful to be able to use Tardis as the core could be left running 24/7 which allowed this issue to be noticed. If Prophasis had only ever been run on a single machine for short periods of time during development, it is possible that this issue would never have been discovered. This also highlights the danger of a system such as Prophasis deadlocking. In order to ensure that the system cannot deadlock in any other way, the scheduler and dispatching algorithms should be checked carefully by hand to ensure that there is no situations where a deadlock can arise.

5.3.2 Lynchpin Analytics Limited

Lynchpin Analytics Limited ("Lynchpin") is full service analytics consultancy.[11] Lynchpin is a small business yet operates a reasonably sized network running entirely on their own hardware. This is typical of the environment that Prophasis is aimed at and where existing tools fall short. Prophasis was deployed at Lynchpin when it was nearly complete in order to evaluate how the finished product works in a heterogeneous environment and to highlight any major issues that occur.

Lynchpin operates a range of vastly different systems which makes it an ideal environment to test Prophasis. Unlike on Tardis where the majority of systems run Debian Linux, Lynchpin operates systems running Citrix XenServer (where dom0 is based on CentOS 5), Debian and FreeBSD with a wide variety of different types of hardware. The Prophasis core, database and web interface were installed in a Debian Linux Virtual Machine running on one of the XenServer hosts. The agent was then installed on several different systems. Table 5.1 lists the systems on which the Prophasis agent was installed. This allowed Prophasis to be tested on a selection of different operating system families (Linux, BSD and Windows) and storage configurations (Linux MD RAID, Dell Hardware RAID and ZFS on top of an HP SmartArray controller).

Table 5.1: Systems on which Prophasis was installed

Type	Operating System	Storage
Virtual Machine	Debian 8 (Jessie)	Single Virtual Disk Image
Virtual Machine	Windows Server 2012 R2	Single Virtual Disk Image
HP ProLiant DL180 g6	FreeBSD 10.2	ZFS, HP SmartArray P410
HP ProLiant DL180 g6	FreeBSD 10.2	ZFS, HP SmartArray P410
Dell CS24-SC	Citrix XenServer 6.5	Linux MD (Software) RAID
Dell CS24-SC	Citrix XenServer 6.5	Linux MD (Software) RAID
Dell PowerEdge R630	Citrix XenServer 6.5	Dell PERC H330 RAID
Dell PowerEdge R630	Citrix XenServer 6.5	Dell PERC H330 RAID

During the time in which Prophasis was installed, one of the XenServer hosts experienced a prolonged period of very high CPU load. Prophasis correctly detected this and sent out the appropriate alerts. It was then possible to look at the time series data for the CPU load on the host which had experienced the issue. This data showed the start and end times of the period with high load. This information was useful when looking through log files to find the cause of the issue which ultimately turned out to be a MD RAID consistency check.

The deployment was extremely successful with Prophasis reliably monitoring all systems. Performing this deployment on Lynchpin's systems was extremely valuable and both demonstrated that Prophasis works well in such a deployment and highlighted some improvements that could be made.

Stability The deployment of Prophasis at Lynchpin has demonstrated the stability of Prophasis running in a live environment. The entire system operated successfully for more than a month without requiring any component of the system to be rebooted. Over this time a large amount of historical data has been collected and stored within the Prophasis database without causing any sort of major performance degradation, this shows that the system will not slow down over time due to the database filling up. There was also no incidents of the scheduler locking as described in Section 5.3.1 which, while it doesn't prove the absence

of issues of this type, shows that the scheduler is stable enough for production use.

Documentation Deploying Prophasis on several different systems at Lynchpin gave a valuable opportunity to document the install process on several different platforms. This allowed installation instructions to be written for Debian Linux, Citrix XenServer, FreeBSD and Windows. This also gave insight into subtle differences in the availability of different dependencies on different platforms such as the lack of Python 3 in the XenServer (CentOS 5) repositories, requiring it to be built from source.

Plugin Development The large number of different storage configurations on which Prophasis was deployed provided a valuable opportunity to develop plugins for the different storage configurations. A plugin was developed to read the health of the drives running on an HP SmartArray P410 RAID controller under FreeBSD through the use of `camcontrol`.^[17] Another plugin was written to check the health of a ZFS zpool which executes `zpool status` and parses the output. In order to check the health of the disks running behind the Dell PERC H330 RAID controller, a plugin was developed which read SMART data by calling `smartctl` with `-d megaraid,n` to access the disks behind the Dell PERC (a rebranded LSI MegaRAID) RAID controller.^[10]

Plugin Execution Timeouts While testing the deployment at Lynchpin, an issue was discovered with the plugin that was checking the zpool status on the machines using ZFS. One of the hosts developed an issue resulting in the `zpool status` command simply hanging. Prophasis had no timeout for this so once this plugin had been executed, any future plugins would be stuck in the dispatch queue in the core and would never be executed (due to the “one simultaneous check per host” policy as described in Subsection 3.3.2.2). The solution for this was to implement timeouts on the agent so that if a plugin takes longer than a predetermined time limit to execute, the execution will be terminated and an error would be logged. This prevented the dispatch queue from being blocked up in the event of a long/infinately running plugin.

Windows Support The agent was deployed on a virtual machine running Windows Server 2012 R2 Standard, this provided an opportunity to test the behaviour of the Prophasis agent when running under Windows (previously it had only ever been executed on UNIX-like operating systems). It was found that the “timeout-decorator” library being used to allow plugin execution to halted if a plugin was taking too long to execute used `SIGALRM` signals which are not supported by Windows. The solution was to swap the “timeout-decorator” library out for “stopit” which can use multithreading to implement timeouts instead of UNIX signals. This solution works on Windows as well as UNIX-like operating systems.

Ideas for Future Work Deploying and using Prophasis at Lynchpin provided several ideas for future features that could be implemented. While the system was operating, one of the XenServer hosts automatically checked the consistency of its MD RAID arrays. This resulted in extremely high CPU load which sent out alerts warning of this issue despite this being standard operation. Future work to solve this could involve allowing data from one plugin to be retrieved when classifying data from another plugin. This would mean that the CPU load classification logic could be configured to ignore CPU load caused by MD RAID checks on any hosts which use Linux MD RAID. It was also determined that it would be useful to be able to pass arguments into plugins from the core. This means that, for example, a plugin could be written to check the free space available on a disk and the disk to check could be specified through an argument to the plugin. This would allow different checks to be created to check the free space on different disks. These features are described in more detail in Section 6.1

Testing Prophasis by deploying it at Lynchpin was incredibly useful. Lynchpin as a company perfectly suits the type of environment that Prophasis is designed for. Testing showed that Prophasis works reliably in such an environment. It also provided a valuable opportunity to test on a wide selection of software platforms and hardware configurations to ensure software support. Deployment also indicated some potential issues which have now been resolved as well as inspiring ideas for future features and enhancements.

Chapter 6

Evaluation

This chapter starts off by evaluating Prophasis against the same points which existing tools were evaluated against in Section 2.1 to demonstrate the improvements made. It then goes on to describe future work that could be implemented in order to further enhance the functionality of the system.

Prophasis is now a complete system that is sufficiently developed to be able to be deployed on a network. It has been tested both in a synthetic environment and on multiple real world networks. The complete system improves on the points at which current systems tend to fall short as described in Section 2.1. These improvements are described below.

Time series monitoring and real time alerting Prophasis has support for both time series monitoring and real time alerting built in. Time series data is tightly integrated into the system rather than being bolted on later meaning that historical data can be used to make decisions such as deciding the overall health of a host. This is a clear improvement on existing tools where they traditionally only support time series monitoring or real time alerting.

Support for Custom Metrics Like all other tools, Prophasis supports monitoring custom metrics using custom code. Prophasis however has a much more rigid structure for plugins which helps to ensure consistency between and reliability of plugins.

Classification Threshold Definitions This is an area where Prophasis clearly improves on existing systems. Many other systems rely on the plugin code that is executed on the remote host to determine and return the overall classification. As a result, classification logic is stored in various locations spread out all over the network which makes management difficult. In Prophasis, plugins simply return a raw value that represents the collected data. Classification is then performed by user provided Lua code on the monitoring server itself. This means that classification logic is all stored in one place and can be edited directly from the

web interface. Classification code also has access to historical data so it can make better decisions than it could if it only knew the current state of a host. Using short blocks of Lua code provides total flexibility to allow any sort of logic to be used to classify the data instead of simply having rigid thresholds defined through a form in the web interface.

Code/Configuration Delivery to Nodes This is another area that Prophasis has improved on. Current systems rely on code for custom plugins to be stored on the machines being monitored but do not provide functionality to handle this. This requires a separate tool such as Puppet or Ansible to manage configuration. Tools such as these may not be in place on smaller networks where each machine has a very distinct role which reduces the need for centralised configuration management. In contrast, Prophasis stores a central repository of all plugins on the monitoring server and distributes these to remote agents automatically. This means that plugins only need to be updated in one place by uploading the plugin archive through the web interface. Prophasis automatically handles the rest.

How the user configures the system Current tools store all of their configuration settings in files stored on disk. These require a deep understanding of the system to configure and maintain. In Prophasis, configuration files only store the minimum amount of information required for the system to be able to start such as database connection settings. The majority of configuration relating to checks, alerts, schedules etc is stored in the database and managed through clearly laid out forms in the web interface. The web interface contains help text and explanations of various terms as well as validating user provided input to prevent invalid configuration being saved.

How Dependencies are Handled Unlike many current tools which only support simple AND dependencies which require all hosts to be operational, Prophasis has a flexible system for handling dependencies. A service can be configured as being dependent on all specified hosts being accessible (an AND dependency) or can be configured for redundant systems where the availability of a service requires at least one host from a set of hosts to be accessible (an OR dependency). Various different combinations of AND and OR dependencies are possible. This allows alerts to be fine tuned preventing unnecessary alerts resulting from minor faults in a highly redundant environment.

6.1 Future Work

While Prophasis is now a complete system which could be (and has been) used in production environments in its current form, there are additional enhancements and functionality which could be added to the system to improve it even further.

Distributed Monitoring The Prophasis core currently runs on a single host and monitors all other hosts in the network. This is sufficient for most small to medium sized networks (the ones Prophasis targets) but provides no redundancy in terms of the monitoring system. If the Prophasis host were to fail then no alerts would be sent out. This could be made worse if Prophasis shared a physical machine with more critical services and this machine was to fail as critical services would become unavailable but since Prophasis has also failed, notifications would be sent out. A solution to this would be to build in support for multiple cores to be operating simultaneously where each monitors its own set of nodes. This could be implemented through the use of database replication to keep the database in sync across all monitoring nodes. One node would then be designated the “master” and would handle the web interface, all other nodes can only insert the results of checks into the database which would reduce the complexity involved. This could be enhanced with functionality to automatically “repair” the monitoring system in the event of a monitoring node failure by assigning the hosts that the failed node was responsible for monitoring amongst the remaining monitoring nodes.

Server-side Plugins Currently, plugins are executed exclusively on the host they are checking. This additional feature would allow plugins to be marked as “server-side” meaning that they are executed by the core and run on the monitoring node instead of the agent. Plugins of this type could check external availability of services running on a host such as ensuring that a web server is listening externally and that no firewalls are blocking traffic. Server-side plugins could also be used to check devices that cannot run the Agent directly such as routers and switches. In this case a server-side plugin could be written to communicate with these devices over SNMP.

Access another plugin’s data in classification logic Currently, the classification logic for a plugin can only obtain the previous n values that were collected for that plugin itself. This feature would allow one plugin to read data from another plugin for use when it is deciding how to classify the data. For example, a CPU load monitoring plugin may want to pull in a list of running processes so that it does not alert about high CPU load when a known “safe” process is using a large amount of CPU. Another example of this could be for a plugin that monitors the temperatures in a system where it may want to have different temperature thresholds based on the current CPU load of the system.

Passing arguments into plugins This feature would allow plugins to accept arguments when they are executed. These arguments would be specified at the check level so that multiple checks can be configured to execute the same plugin but with different arguments. An example of this would be a plugin to check if a certain process is running on a system. It would be impractical to have a different plugin to check each process so a general one could be developed where the name of the process it is checking for can be passed in as an argument. It

would then be possible to have a check to check if the web server is running by passing “httpd” in as the argument and another check that uses the same plugin to check that the database server is running where “postgres” is passed in as the argument.

External API An API could be developed to allow data collected by Prophasis to be accessed. This would allow external tools to use the data collected by Prophasis. This could allow deeper analysis and better use of the collected data such as automatically provisioning additional servers on a cloud platform in the event of current hosts becoming overloaded. This API could also be used to manage the configuration of the system such as adding new hosts automatically which would be particularly important in cloud environments where hosts are created and destroyed on a regular basis, often automatically.

Documentation Currently there is very little in the way of documentation explaining how to configure and operate the system as well as information about developing plugins. A large amount of future work would be to write comprehensive documentation about the system instead of relying on using the source code as a reference.

Nested Services Currently services can be defined as a set of **AND** and **OR** dependencies across hosts and host groups. This functionality could be enhanced by allowing these dependencies to factor in the health of other services thereby reducing duplication of dependency structure. For example, a website may be redundant across multiple web server hosts and also be dependant on the availability of the storage service.

Daemon Generation during Setup In a deployment, the agent, core and web interface should be run as a daemon. Currently when the system is installed the daemon needs to be created manually, the way this is done varies between operating systems. Future work could involve enhancing the setup scripts that are included with the agent, core and web interface so that they detect the operating system they are running under and perform the appropriate tasks to install the component correctly as a daemon. Doing this would greatly ease the setup process.

Testing Prophasis has already been tested with both unit tests as well as through deployment in multiple real world environments. It would however be valuable to extend the unit test suite to provide higher code coverage. It would also be useful to formally analyse the algorithms in the scheduler and dispatcher to ensure that they perform reliably without the risk of deadlocks occurring.

Chapter 7

Conclusion

From personal experience in an SME environment and The Tardis Project weaknesses were discovered in current IT infrastructure monitoring tools in terms of their suitability for the SME sector. This project examined in depth the suitability and limitations of existing tools to develop a complete system to suit the specific needs of the SME sector.

Research was split into two areas. Firstly existing tools namely Nagios, Icinga2, Munin, collectd and OpenNMS were reviewed. In the second part the design of a tool for use in the SME sector was researched.

From the research carried out it was found that existing tools are often complex to set up and configure and often only provide part of the information required to monitor a network meaning that multiple tools are required in order to gain a sufficient level of monitoring.

In order to sufficiently monitor a network it is important to have both real time alerting to provide notifications about issues along with time series monitoring which can be used to both investigate issues post-mortem along with being usable to predict future issues before they occur. Existing tools often only provide one of these which means that businesses need to deploy and maintain two different pieces of software to monitor their network. Prophasis was developed with support for time series monitoring and real time alerting from the very beginning. This means that only one tool needs to be deployed and managed to gain a suitable level of monitoring.

Current tools are generally configured through configuration files stored on various different hosts on the network. This requires both an expert user to edit as well as some sort of configuration management tool to ensure that configuration is kept consistent across the network. In contrast, Prophasis can be managed from a single, responsive web interface with all configuration management being handled internally.

It is important for an IT infrastructure monitoring system to be easily expandable for monitoring custom hardware/software. Most current tools rely on custom

scripts with no defined structure to be manually set up on remote hosts being monitored. Prophasis, on the other hand, has a clear structure for plugins which are stored as self contained “packages”. Plugin packages can simply be uploaded to the monitoring server and assigned to specific hosts. Prophasis handles the rest by distributing the plugin to the correct hosts.

One improvement would be to perform more testing on the finished system. It was only used in a production environment for a month during which only minor issues arose with hosts being monitored. If it were to have been deployed for longer there would be a higher likelihood of a more serious problem arising allowing Prophasis’s behaviour when detecting major faults to be investigated further. It would also be beneficial to increase unit testing to have higher code coverage. Formal methods could also be used to analyse algorithms in order to ensure there is no risk of faults such as deadlocks occurring.

In conclusion, the project demonstrated that current tools are not best suited for use in an SME environment and that it was possible to develop a fit for purpose solution. Prophasis improves on these issues and provides a complete system that is ready for use in a real world environment. It has been tested in both synthetic and real world environments and performed reliably in both. There is a large amount of scope for future expansion and enhancements however, in its current form, Prophasis is sufficiently complete for use in a production environment.

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