

Automating Emendations of the Ontological Argument in Intensional Higher-Order Modal Logic

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Abstract. A shallow semantical embedding of an intensional higher-order modal logic (IHOML) in Isabelle/HOL is presented. IHOML draws on Montague/Gallin intensional logics and has been introduced by M. Fitting in his textbook *Types, Tableaus and Gödel's God* in order to discuss his emendation of Gödel's ontological argument (for the existence of God). We subsequently utilize the embedded logic for the computer-formalization and evaluation of these arguments. In particular, Fitting's and Anderson's variants are verified and their claims confirmed. These variants aim to avoid the modal collapse, which has been criticized as an undesirable side-effect of Kurt Gödel's (and Dana Scott's) versions of the ontological argument.

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1 Introduction

This work is divided in two parts. In the first one we present a shallow semantical embedding of an *intensional* higher-order modal logic (IHOML) in Isabelle/HOL, which has been introduced by Fitting in his textbook *Types, Tableaus and Gödel's God* [13], in order to formalize his emendation of Gödel's ontological argument. IHOML is a modification of the intensional logic originally developed by Montague and later expanded by Gallin [16] by building upon Church's type theory and Kripke's possible-world semantics. Our approach has been inspired by previous work on the semantical embedding of multimodal logics with quantification [7], which we expand here to allow for actualist quantification, intensional terms and their related operations. From an AI perspective we contribute a highly flexible 'implementation' of an automated reasoning infrastructure for IHOML. Such an intensional logic has not been automated before and it is highly relevant e.g. for the deep semantical analysis of natural language rational arguments. In this sense, our work contributes to the objective of the new DFG Schwerpunktprogramm RATIO (SPP 1999).

For the second part, we present an exemplary, non-trivial application of this reasoning infrastructure, a study on Computational Metaphysics: the computer-formalization and critical assessment of Gödel’s [17] (resp. Dana Scott’s [20]) modern variant of the ontological argument. Several authors (e.g. [3, 2, 11, 18, 13]) have proposed emendations of this argument with the aim of retaining its essential result (the necessary existence of God) while at the same time avoiding the *modal collapse* [21, 22], which has been criticized as an undesirable side-effect of the axioms of Gödel (resp. Scott). The modal collapse essentially states that there are no contingent truths and that everything is determined. Related work has formalized several of these variants on the computer and verified or falsified them. For example, Gödel’s axiom’s system has been shown inconsistent [9, 10], while Scott’s version has been verified [6]. Further experiments, contributing amongst others to the clarification of a related debate between Hájek and Anderson, are presented and discussed in [7]. The enabling technique in all of these experiments has been shallow semantical embeddings of (extensional) higher-order modal logics in classical higher-order logic (see [7, 4] and the references therein).

In our work, we additionally discuss two emendations of Gödel’s argument (by Fitting [13] and Anderson [3]). In contrast to all variants mentioned above, Fitting’s solution is based on the use of an intensional as opposed to an extensional higher-order modal logic. For our work this imposed the additional challenge to provide a shallow embedding of this more advanced logic (IHOML). The experiments presented below confirm that Fitting’s argument as presented in his textbook [13] is valid and that it avoids the modal collapse as intended. The work presented here originates from the *Computational Metaphysics* lecture course held at FU Berlin in Summer 2016 [8].

2 Embedding of Intensional Higher-Order Modal Logic

2.1 Type Declarations

Since IHOML and Isabelle/HOL are both typed languages, we introduce a type-mapping between them. We follow as closely as possible the syntax given by Fitting (see p. 86). According to this syntax, if τ is an extensional type, $\uparrow\tau$ is the corresponding intensional type. For instance, a set of (red) objects has the extensional type $\langle\mathbf{0}\rangle$, whereas the concept ‘red’ has intensional type $\uparrow\langle\mathbf{0}\rangle$.

typedec1 i — type for possible worlds
type-synonym $io = (i \Rightarrow \text{bool})$ — formulas with world-dependent truth-value
typedec1 e $(\mathbf{0})$ — individual objects

Aliases for common complex types (predicates and relations):

type-synonym $ie = (i \Rightarrow \mathbf{0})$ $(\uparrow\mathbf{0})$ — individual concepts map worlds to objects
type-synonym $se = (\mathbf{0} \Rightarrow \text{bool})$ $(\langle\mathbf{0}\rangle)$ — (extensional) sets
type-synonym $ise = (\mathbf{0} \Rightarrow io)$ $(\uparrow\langle\mathbf{0}\rangle)$ — intensional (predicate) concepts
type-synonym $sise = (\uparrow\langle\mathbf{0}\rangle \Rightarrow \text{bool})$ $(\langle\uparrow\langle\mathbf{0}\rangle\rangle)$ — sets of concepts

type-synonym $isise = (\uparrow\langle 0 \rangle \Rightarrow io) (\uparrow\langle \uparrow\langle 0 \rangle \rangle)$ — 2nd-order intensional concepts
type-synonym $see = (0 \Rightarrow 0 \Rightarrow bool) (\langle 0, 0 \rangle)$ — (extensional) relations
type-synonym $isee = (0 \Rightarrow 0 \Rightarrow io) (\uparrow\langle 0, 0 \rangle)$ — intensional relational concepts
type-synonym $isisee = (\uparrow\langle 0 \rangle \Rightarrow 0 \Rightarrow io) (\uparrow\langle \uparrow\langle 0 \rangle, 0 \rangle)$ — 2nd-order intensional relation

2.2 Logical Constants as Truth-Sets

We embed each modal operator as the set of worlds satisfying the corresponding HOL formula.

abbreviation $mnot :: io \Rightarrow io$ (\neg -[52]53) **where** $\neg\varphi \equiv \lambda w. \neg(\varphi w)$
abbreviation $mand :: io \Rightarrow io \Rightarrow io$ (**infixr** \wedge 51) **where** $\varphi \wedge \psi \equiv \lambda w. (\varphi w) \wedge (\psi w)$
abbreviation $mor :: io \Rightarrow io \Rightarrow io$ (**infixr** \vee 50) **where** $\varphi \vee \psi \equiv \lambda w. (\varphi w) \vee (\psi w)$
abbreviation $mimp :: io \Rightarrow io \Rightarrow io$ (**infix** \rightarrow 49) **where** $\varphi \rightarrow \psi \equiv \lambda w. (\varphi w) \rightarrow (\psi w)$

Possibilist and *actualist* quantifiers are embedded as follows.³

abbreviation $mforall :: (t \Rightarrow io) \Rightarrow io$ (\forall) **where** $\forall \Phi \equiv \lambda w. \forall x. (\Phi x w)$
abbreviation $meexists :: (t \Rightarrow io) \Rightarrow io$ (\exists) **where** $\exists \Phi \equiv \lambda w. \exists x. (\Phi x w)$

The *existsAt* predicate is used to embed *actualist* quantifiers by restricting the domain of quantification at every possible world. This standard technique has been referred to as *existence relativization* ([14], p. 106), highlighting the fact that this predicate can be seen as a kind of meta-logical ‘existence predicate’ telling us which individuals *actually* exist at a given world. This meta-logical concept does not appear in our object language.

consts $ExistsAt :: \uparrow\langle 0 \rangle$ (**infix** $existsAt$ 70)

abbreviation $mforallAct :: \uparrow\langle \uparrow\langle 0 \rangle \rangle$ (\forall^E) — actualist variants use superscript
where $\forall^E \Phi \equiv \lambda w. \forall x. (x \text{ existsAt } w) \rightarrow (\Phi x w)$
abbreviation $meexistsAct :: \uparrow\langle \uparrow\langle 0 \rangle \rangle$ (\exists^E)
where $\exists^E \Phi \equiv \lambda w. \exists x. (x \text{ existsAt } w) \wedge (\Phi x w)$

Model’s *accessibility relation* and modal operators \Box and \Diamond .

consts $aRel :: i \Rightarrow i \Rightarrow bool$ (**infixr** r 70)
abbreviation $mbox :: io \Rightarrow io$ (\Box -[52]53) **where** $\Box\varphi \equiv \lambda w. \forall v. (w \text{ } r \text{ } v) \rightarrow (\varphi v)$
abbreviation $mdia :: io \Rightarrow io$ (\Diamond -[52]53) **where** $\Diamond\varphi \equiv \lambda w. \exists v. (w \text{ } r \text{ } v) \wedge (\varphi v)$

abbreviation $meq :: t \Rightarrow t \Rightarrow io$ (**infix** \approx 60) — normal equality (for all types)
where $x \approx y \equiv \lambda w. x = y$
abbreviation $meqC :: \uparrow\langle \uparrow\langle 0 \rangle, \uparrow\langle 0 \rangle \rangle$ (**infixr** \approx^C 52) — equality for individual concepts
where $x \approx^C y \equiv \lambda w. \forall v. (x v) = (y v)$
abbreviation $meqL :: \uparrow\langle 0, 0 \rangle$ (**infixr** \approx^L 52) — Leibniz equality for individuals
where $x \approx^L y \equiv \forall \varphi. \varphi(x) \rightarrow \varphi(y)$

³ Possibilist and actualist quantification can be seen as the semantic counterparts of the concepts of possibilism and actualism in the metaphysics of modality. They relate to natural-language expressions such as ‘there is’, ‘exists’, ‘is actual’, etc.

2.3 Extension-of Operator

According to Fitting’s semantics ([13], pp. 92-4) \downarrow is an unary operator applying only to intensional terms. A term of the form $\downarrow\alpha$ designates the extension of the intensional object designated by α , at some *given* world. For instance, suppose we take possible worlds as persons, we can therefore think of the concept ‘red’ as a function that maps each person to the set of objects that person classifies as red (its extension). We can further state, the intensional term r of type $\uparrow\langle\mathbf{0}\rangle$ designates the concept ‘red’. As can be seen, intensional terms in IHOML designate functions on possible worlds and they always do it *rigidly*. We will sometimes refer to an intensional object explicitly as ‘rigid’, implying that its (rigidly) designated function has the same extension in all possible worlds.⁴

Terms of the form $\downarrow\alpha$ are called *relativized* (extensional) terms; they are always derived from intensional terms and their type is *extensional* (in the color example $\downarrow r$ would be of type $\langle\mathbf{0}\rangle$). Relativized terms may vary their denotation from world to world of a model, because the extension of an intensional term can change from world to world, i.e. they are non-rigid.

For our Isabelle/HOL embedding, we had to follow a slightly different approach; we model \downarrow as a predicate applying to formulas of the form $\Phi(\downarrow\alpha_1, \dots, \alpha_n)$ (for our treatment we only need to consider cases involving one or two arguments, the first one being a relativized term). For instance, the formula $Q(\downarrow a_1)^w$ (evaluated at world w) is modelled as $\downarrow(Q, a_1)^w$ (or $(Q \downarrow a_1)^w$ using infix notation), which gets further translated into $Q(a_1(w))^w$.

(a) Predicate φ takes as argument a relativized term derived from an (intensional) individual of type $\uparrow\mathbf{0}$.

abbreviation $extIndArg::\uparrow\langle\mathbf{0}\rangle\Rightarrow\uparrow\mathbf{0}\Rightarrow io$ (**infix** $\downarrow 60$) **where** $\varphi \downarrow c \equiv \lambda w. \varphi (c \ w) \ w$

(b) A variant of (a) for terms derived from predicates (types of form $\uparrow\langle t \rangle$).

abbreviation $extPredArg::('t\Rightarrow bool)\Rightarrow io\Rightarrow('t\Rightarrow io)\Rightarrow io$ (**infix** $\downarrow 60$)
where $\varphi \downarrow P \equiv \lambda w. \varphi (\lambda x. P \ x \ w) \ w$

2.4 Verifying the Embedding

The above definitions introduce modal logic K with possibilist and actualist quantifiers, as evidenced by following tests:⁵

abbreviation $valid::io\Rightarrow bool$ ($\lfloor \cdot \rfloor$) **where** $\lfloor \psi \rfloor \equiv \forall w. (\psi \ w)$ — modal validity

⁴ The notion of rigidity was introduced by Kripke in [19], where he discusses its interesting philosophical ramifications at some length.

⁵ In our computer-formalization and assessment of Fitting’s textbook [15], we provide further evidence that our embedded logic works as intended by formalizing and verifying the book’s theorems and examples. We refer the reader to this work for further details.

Verifying K principle and the *necessitation* rule.⁶

lemma K : $\llbracket (\Box(\varphi \rightarrow \psi)) \rightarrow (\Box\varphi \rightarrow \Box\psi) \rrbracket$ **by** *simp* — K schema

lemma NEC : $\llbracket \varphi \rrbracket \Rightarrow \llbracket \Box\varphi \rrbracket$ **by** *simp* — necessitation

Local consequence implies global consequence (not the other way round).⁷

lemma *localImpGlobalCons*: $\llbracket \varphi \rightarrow \xi \rrbracket \Rightarrow \llbracket \varphi \rrbracket \rightarrow \llbracket \xi \rrbracket$ **by** *simp*

lemma $\llbracket \varphi \rrbracket \rightarrow \llbracket \xi \rrbracket \Rightarrow \llbracket \varphi \rightarrow \xi \rrbracket$ **nitpick oops** — countersatisfiable

(Converse-)Barcan formulas are satisfied for possibilist, but not for actualist, quantification.

lemma $\llbracket (\forall x. \Box(\varphi x)) \rightarrow \Box(\forall x. (\varphi x)) \rrbracket$ **by** *simp*

lemma $\llbracket \Box(\forall x. (\varphi x)) \rightarrow (\forall x. \Box(\varphi x)) \rrbracket$ **by** *simp*

lemma $\llbracket (\forall^E x. \Box(\varphi x)) \rightarrow \Box(\forall^E x. (\varphi x)) \rrbracket$ **nitpick oops** — countersatisfiable

lemma $\llbracket \Box(\forall^E x. (\varphi x)) \rightarrow (\forall^E x. \Box(\varphi x)) \rrbracket$ **nitpick oops** — countersatisfiable

$\beta\eta$ -redex is valid for non-relativized (intensional or extensional) terms.

lemma $\llbracket ((\lambda\alpha. \varphi \alpha) (\tau::\uparrow\mathbf{0})) \leftrightarrow (\varphi \tau) \rrbracket$ **by** *simp*

lemma $\llbracket ((\lambda\alpha. \varphi \alpha) (\tau::\mathbf{0})) \leftrightarrow (\varphi \tau) \rrbracket$ **by** *simp*

lemma $\llbracket ((\lambda\alpha. \Box\varphi \alpha) (\tau::\uparrow\mathbf{0})) \leftrightarrow (\Box\varphi \tau) \rrbracket$ **by** *simp*

lemma $\llbracket ((\lambda\alpha. \Box\varphi \alpha) (\tau::\mathbf{0})) \leftrightarrow (\Box\varphi \tau) \rrbracket$ **by** *simp*

$\beta\eta$ -redex is valid for relativized terms as long as no modal operators occur inside the predicate abstract.

lemma $\llbracket ((\lambda\alpha. \varphi \alpha) \downarrow(\tau::\uparrow\mathbf{0})) \leftrightarrow (\varphi \downarrow\tau) \rrbracket$ **by** *simp*

lemma $\llbracket ((\lambda\alpha. \Box\varphi \alpha) \downarrow(\tau::\uparrow\mathbf{0})) \leftrightarrow (\Box\varphi \downarrow\tau) \rrbracket$ **nitpick oops** — countersatisfiable

Modal collapse is countersatisfiable.

lemma $\llbracket \varphi \rightarrow \Box\varphi \rrbracket$ **nitpick oops** — countersatisfiable

2.5 Stability, Rigid Designation, *De Re* and *De Dicto*

As said before, intensional terms are trivially rigid. The following predicate tests whether an intensional predicate is ‘rigid’ in the sense of denoting a world-independent function.

abbreviation *rigidPred*:: $(t \Rightarrow io) \Rightarrow io$ **where**

rigidPred $\tau \equiv (\lambda\beta. \Box((\lambda z. \beta \approx z) \downarrow\tau)) \downarrow\tau$

⁶ We prove here our first theorem with Isabelle, as indicated by the keyword ‘by’ followed by the name of the method used for the proof. In this case Isabelle’s simplifier (term rewriting) sufficed. Other proof methods used in this work are: *blast* (tableaus), *meson* (model elimination), *metis* (ordered resolution and paramodulation), *auto* (classical reasoning and term rewriting) and *force* (exhaustive search trying different tools).

⁷ We utilize here (counter-)model finder *Nitpick* [12] for the first time. For the conjectured lemma, *Nitpick* finds a countermodel, i.e. a model satisfying all the axioms which falsifies the given formula, which means it is not valid, as indicated by the ‘oops’ keyword.

Following definitions are called ‘stability conditions’ by Fitting ([13], p. 124).

abbreviation $stabilityA::('t \Rightarrow io) \Rightarrow io$ **where** $stabilityA \tau \equiv \forall \alpha. (\tau \alpha) \rightarrow \Box(\tau \alpha)$

abbreviation $stabilityB::('t \Rightarrow io) \Rightarrow io$ **where** $stabilityB \tau \equiv \forall \alpha. \Diamond(\tau \alpha) \rightarrow (\tau \alpha)$

We prove them equivalent in *S5* logic (using *Sahlqvist correspondence*).

lemma *equivalence* $aRel \Rightarrow \llbracket stabilityA (\tau::\uparrow\langle 0 \rangle) \rrbracket \rightarrow \llbracket stabilityB \tau \rrbracket$ **by** *blast*

lemma *equivalence* $aRel \Rightarrow \llbracket stabilityB (\tau::\uparrow\langle 0 \rangle) \rrbracket \rightarrow \llbracket stabilityA \tau \rrbracket$ **by** *blast*

A term is rigid if and only if it satisfies the stability conditions.

theorem $\llbracket rigidPred (\tau::\uparrow\langle 0 \rangle) \rrbracket \longleftrightarrow \llbracket (stabilityA \tau \wedge stabilityB \tau) \rrbracket$ **by** *meson*

theorem $\llbracket rigidPred (\tau::\uparrow\langle 0 \rangle) \rrbracket \longleftrightarrow \llbracket (stabilityA \tau \wedge stabilityB \tau) \rrbracket$ **by** *meson*

De re is equivalent to *de dicto* for non-relativized (i.e. rigid) terms.

lemma $\llbracket \forall \alpha. ((\lambda \beta. \Box(\alpha \beta)) (\tau::\langle 0 \rangle)) \rrbracket \leftrightarrow \llbracket \Box((\lambda \beta. (\alpha \beta)) \tau) \rrbracket$ **by** *simp*

lemma $\llbracket \forall \alpha. ((\lambda \beta. \Box(\alpha \beta)) (\tau::\uparrow\langle 0 \rangle)) \rrbracket \leftrightarrow \llbracket \Box((\lambda \beta. (\alpha \beta)) \tau) \rrbracket$ **by** *simp*

De re is not equivalent to *de dicto* for relativized terms.

lemma $\llbracket \forall \alpha. ((\lambda \beta. \Box(\alpha \beta)) \downarrow(\tau::\uparrow\langle 0 \rangle)) \rrbracket \leftrightarrow \llbracket \Box((\lambda \beta. (\alpha \beta)) \downarrow\tau) \rrbracket$

nitpick $[card \ 't=1, card \ i=2]$ **oops** — *countersatisfiable*

2.6 Useful Definitions for Axiomatization of Further Logics

The best known normal logics (*K4*, *K5*, *KB*, *K45*, *KB5*, *D*, *D4*, *D5*, *D45*, ...) can be obtained by combinations of the following axioms:

abbreviation *M* **where** $M \equiv \forall \varphi. \Box \varphi \rightarrow \varphi$

abbreviation *B* **where** $B \equiv \forall \varphi. \varphi \rightarrow \Box \Diamond \varphi$

abbreviation *D* **where** $D \equiv \forall \varphi. \Box \varphi \rightarrow \Diamond \varphi$

abbreviation *IV* **where** $IV \equiv \forall \varphi. \Box \varphi \rightarrow \Box \Box \varphi$

abbreviation *V* **where** $V \equiv \forall \varphi. \Diamond \varphi \rightarrow \Box \Diamond \varphi$

Instead of postulating (combinations of) the above axioms we instead make use of the well-known *Sahlqvist correspondence*, which links axioms to constraints on a model’s accessibility relation (e.g. reflexive, symmetric, etc). We show that reflexivity, symmetry, seriality, transitivity and euclideaness imply axioms *M*, *B*, *D*, *IV*, *V* respectively.⁸

lemma *reflexive* $aRel \Rightarrow \llbracket M \rrbracket$ **by** *blast* — aka *T*

lemma *symmetric* $aRel \Rightarrow \llbracket B \rrbracket$ **by** *blast*

lemma *serial* $aRel \Rightarrow \llbracket D \rrbracket$ **by** *blast*

lemma *transitive* $aRel \Rightarrow \llbracket IV \rrbracket$ **by** *blast*

lemma *euclidean* $aRel \Rightarrow \llbracket V \rrbracket$ **by** *blast*

lemma *preorder* $aRel \Rightarrow \llbracket M \rrbracket \wedge \llbracket IV \rrbracket$ **by** *blast* — *S4*: reflexive + transitive

lemma *equivalence* $aRel \Rightarrow \llbracket M \rrbracket \wedge \llbracket V \rrbracket$ **by** *blast* — *S5*: preorder + symmetric

⁸ Implication can also be proven in the reverse direction (which is not needed for our purposes). Using these definitions, we can derive axioms for the most common modal logics (see also [5]). Thereby we are free to use either the semantic constraints or the related *Sahlqvist* axioms. Here we provide both versions. In what follows we use the semantic constraints (for improved performance).

3 Gödel's Ontological Argument

3.1 Part I - God's Existence is Possible

Gödel's particular version of the argument is a direct descendent of that of Leibniz, which in turn derives from one of Descartes. His argument relies on proving (T1) 'Every positive property is possibly instantiated', which together with (T2) 'God is a positive property' directly implies the conclusion. In order to prove T1, Gödel assumes (A2) 'Any property entailed by a positive property is itself positive'. As we will see, the success of this argumentation depends on how we choose to formalize our notion of entailment:

abbreviation *Entailment*:: $\uparrow\langle\uparrow\langle\mathbf{0}\rangle, \uparrow\langle\mathbf{0}\rangle\rangle$ (infix \Rightarrow 60) **where**

$X \Rightarrow Y \equiv \Box(\forall^E z. X z \rightarrow Y z)$

lemma $[(\lambda x w. x \neq x) \Rightarrow \chi]$ **by** *simp* — an impossible property entails anything

lemma $[\neg(\varphi \Rightarrow \chi) \rightarrow \Diamond \exists^E \varphi]$ **by** *auto* — possible instantiation of φ implicit

The definition of property entailment introduced by Gödel can be criticized on the grounds that it lacks some notion of relevance and is therefore exposed to the paradoxes of material implication. In particular, when we assert that property A does not entail property B, we implicitly assume that A is possibly instantiated. Conversely, an impossible property (like being a round square) entails any property (like being a triangle). It is precisely by virtue of these paradoxes that Gödel manages to prove T1.⁹

consts *Positiveness*:: $\uparrow\langle\uparrow\langle\mathbf{0}\rangle\rangle$ (\mathcal{P}) — positiveness applies to intensional predicates

abbreviation *Existence*:: $\uparrow\langle\mathbf{0}\rangle$ ($E!$) — object-language existence predicate

where $E! x \equiv \lambda w. (\exists^E y. y \approx x) w$

The following two definitions are needed to formalize A3:

abbreviation *appliesToPositiveProps*:: $\uparrow\langle\uparrow\langle\uparrow\langle\mathbf{0}\rangle\rangle\rangle$ (*pos*) **where**

$pos Z \equiv \forall X. Z X \rightarrow \mathcal{P} X$

abbreviation *intersectionOf*:: $\uparrow\langle\uparrow\langle\mathbf{0}\rangle, \uparrow\langle\uparrow\langle\mathbf{0}\rangle\rangle\rangle$ (*intersec*) **where**

$intersec X Z \equiv \Box(\forall x. (X x \leftrightarrow (\forall Y. (Z Y) \rightarrow (Y x))))$

axiomatization where

A1a: $[\forall X. \mathcal{P} (\neg X) \rightarrow \neg(\mathcal{P} X)]$ **and** — for any property, exactly one of it

A1b: $[\forall X. \neg(\mathcal{P} X) \rightarrow \mathcal{P} (\neg X)]$ **and** — or its negation must be positive

A2: $[\forall X Y. (\mathcal{P} X \wedge (X \Rightarrow Y)) \rightarrow \mathcal{P} Y]$ **and** — perfections closed under entailment

A3: $[\forall Z X. (pos Z \wedge intersec X Z) \rightarrow \mathcal{P} X]$ — and also under conjunction

lemma *True* **nitpick**[*satisfy*] **oops** — model found: axioms are consistent

lemma $[D]$ **using** A1a A1b A2 **by** *blast* — D axiom is implicitly assumed

Positive properties are possibly instantiated.

⁹ When proving T1 we need to use the fact that positive properties cannot *entail* negative ones (A2), from which the possible instantiation of positive properties follow. A computer-formalization of Leibniz's theory of concepts can be found in the work of [1], where the notion of *concept containment* in contrast to ordinary *property entailment* is discussed at some length.

theorem *T1*: $[\forall X. \mathcal{P} X \rightarrow \Diamond \exists^E X]$ **using** *A1a A2* **by** *blast*

Being Godlike is defined as having all (and only) positive properties.

abbreviation *God*:: $\uparrow\langle 0 \rangle (G)$ **where** $G \equiv (\lambda x. \forall Y. \mathcal{P} Y \rightarrow Y x)$

abbreviation *God-star*:: $\uparrow\langle 0 \rangle (G^*)$ **where** $G^* \equiv (\lambda x. \forall Y. \mathcal{P} Y \leftrightarrow Y x)$

lemma *GodDefsAreEquivalent*: $[\forall x. G x \leftrightarrow G^* x]$ **using** *A1b* **by** *force*

Being Godlike is itself a positive property. While Leibniz provides an informal proof for the compatibility of all perfections, Gödel postulates this as *A3* (the conjunction of *any* collection of positive properties is positive), which is a third-order axiom. As can be seen in the proof below, the only use of *A3* is to show that being Godlike is positive. Dana Scott, apparently noting this, proposed taking *T2* directly as an axiom (see [13], p. 152).¹⁰

theorem *T2*: $[\mathcal{P} G]$ **proof** –

```
{ fix w
  have 1: pos  $\mathcal{P} w$  by simp
  have 2: intersec  $G \mathcal{P} w$  by simp
  have  $[\forall Z X. (pos Z \wedge \text{intersec } X Z) \rightarrow \mathcal{P} X]$  by (rule A3)
  hence  $(\forall Z X. (pos Z \wedge \text{intersec } X Z) \rightarrow \mathcal{P} X) w$  by (rule allE)
  hence  $(\forall X. ((pos \mathcal{P}) \wedge (\text{intersec } X \mathcal{P})) \rightarrow \mathcal{P} X) w$  by (rule allE)
  hence  $((pos \mathcal{P}) \wedge (\text{intersec } G \mathcal{P})) \rightarrow \mathcal{P} G w$  by (rule allE)
  hence 3:  $((pos \mathcal{P} \wedge \text{intersec } G \mathcal{P}) w) \rightarrow \mathcal{P} G w$  by simp
  hence 4:  $((pos \mathcal{P}) \wedge (\text{intersec } G \mathcal{P})) w$  using 1 2 by simp
  from 3 4 have  $\mathcal{P} G w$  by (rule mp)
} thus thesis by (rule allI)
qed
```

Conclusion for the first part: Possibly God exists.

theorem *T3*: $[\Diamond \exists^E G]$ **using** *T1 T2* **by** *simp*

3.2 Part II - God's Existence is Necessary, if Possible

In this part we show that God's necessary existence follows from its possible existence by adding some additional (philosophically controversial) assumptions including an *essentialist* premise and the *S5* axioms. Further derived results like monotheism and absence of free will are also discussed.

axiomatization where *A4a*: $[\forall X. \mathcal{P} X \rightarrow \Box(\mathcal{P} X)]$

Following lemma was originally assumed by Gödel as an axiom:

lemma *A4b*: $[\forall X. \neg(\mathcal{P} X) \rightarrow \Box \neg(\mathcal{P} X)]$ **using** *A1a A1b A4a* **by** *blast*

lemma *True nitpick[satisfy] oops* — model found: all axioms A1-4 consistent

Axiom *A4a* and its consequence *A4b* together imply that \mathcal{P} satisfies Fitting's *stability conditions* ([13], p. 124). This means \mathcal{P} designates rigidly. Note that this

¹⁰ We provide a proof in Isabelle/Isar, a language specifically tailored for writing proofs that are both computer- and human-readable. We refer the reader to [15] for all proofs not shown in this article.

makes for an *essentialist* assumption which may be considered controversial by some philosophers: every property considered positive in our world (e.g. honesty) is necessarily so.

lemma $[rigidPred \mathcal{P}]$ **using** $A4a \ A4b$ **by** *blast*

Gödel defines a particular notion of essence. Y is an essence of x iff Y entails every other property x possesses.¹¹

abbreviation $essenceOf::\uparrow\langle\uparrow\langle\mathbf{0}\rangle,\mathbf{0}\rangle (\mathcal{E})$ **where**

$\mathcal{E} \ Y \ x \equiv (Y \ x) \wedge (\forall Z. Z \ x \rightarrow Y \Rightarrow Z)$

abbreviation $beingIdenticalTo::\mathbf{0}\Rightarrow\uparrow\langle\mathbf{0}\rangle (id)$ **where**

$id \ x \equiv (\lambda y. y \approx x) — id$ is here a rigid predicate (following Kripke [19])

Being Godlike is an essential property.

theorem $GodIsEssential: [\forall x. G \ x \rightarrow (\mathcal{E} \ G \ x)]$ **using** $A1b \ A4a$ **by** *metis*

Something can only have *one* essence:

theorem $[\forall X \ Y \ z. (\mathcal{E} \ X \ z \wedge \mathcal{E} \ Y \ z) \rightarrow (X \Rightarrow Y)]$ **by** *meson*

An essential property offers a complete characterization of an individual.

theorem $EssencesCharacterizeCompletely: [\forall X \ y. \mathcal{E} \ X \ y \rightarrow (X \Rightarrow (id \ y))]$

proof (*rule ccontr*) — Isar proof by contradiction not shown here

Gödel introduces a particular notion of *necessary existence* as the property something has provided any essence of it is necessarily instantiated:

abbreviation $necessaryExistencePredicate::\uparrow\langle\mathbf{0}\rangle (NE)$

where $NE \ x \equiv (\lambda w. (\forall Y. \mathcal{E} \ Y \ x \rightarrow \Box \exists^E Y) \ w)$

axiomatization where $A5: [\mathcal{P} \ NE]$ — necessary existence is a positive property

lemma *True* **nitpick** $[satisfy]$ **oops** — model found: so far all axioms consistent

(Possibilist) existence of God implies its necessary (actualist) existence.

theorem $GodExistenceImpliesNecEx: [\exists \ G \rightarrow \Box \exists^E G]$ **proof** — not shown

Below we postulate semantic frame conditions for some modal logics:¹²

axiomatization where

refl: reflexive *aRel* **and** *tran*: transitive *aRel* **and** *symm*: symmetric *aRel*

lemma *True* **nitpick** $[satisfy]$ **oops** — model found: axioms still consistent

Possible existence of God implies its necessary (actualist) existence (note that only symmetry and transitivity are needed as frame conditions).

¹¹ Essence is defined here (and in Fitting's variant) in the version of Scott; Gödel's original version leads to the inconsistency reported in [9, 10]

¹² Taken together, reflexivity, transitivity and symmetry make for an equivalence relation and therefore an *S5* logic (via *Sahlqvist correspondence*). They are individually postulated in order to get more detailed information about their relevance in the proofs below.

theorem *T4*: $[\Diamond \exists G] \longrightarrow [\Box \exists^E G]$ **proof** — — not shown

Conclusion: Necessary (actualist) existence of God. (To prove validity we still need reflexivity for our frame conditions.)

theorem *GodNecExists*: $[\Box \exists^E G]$ **using** *T3 T4* **by** *metis*

theorem *GodExistenceIsValid*: $[\exists^E G]$ **using** *GodNecExists refl* **by** *auto*

Monotheism for non-normal models (using Leibniz equality) follows directly from God having all and only positive properties, but the proof for normal models is trickier. We need to consider previous results ([13], p. 162).

theorem *Monotheism-LeibnizEq*: $[\forall x. G * x \longrightarrow (\forall y. G * y \longrightarrow x \approx^L y)]$ **by** *meson*

theorem *Monotheism-normal*: $[\exists x. \forall y. G y \leftrightarrow x \approx y]$ **proof** — — not shown

Fitting [13] also discusses the objection raised by Sobel [22], who argues that Gödel's axiom system is too strong: it implies that whatever is the case is so necessarily, i.e. the modal system collapses ($\varphi \longrightarrow \Box \varphi$). Modal collapse has been philosophically interpreted as implying the absence of free will. In the context of our S5 axioms, we were able to formalize Sobel's argument and prove *modal collapse* valid ([13], pp. 163-4):

lemma *useful*: $(\forall x. \varphi x \longrightarrow \psi) \implies ((\exists x. \varphi x) \longrightarrow \psi)$ **by** *simp*

theorem *ModalCollapse*: $[\forall \Phi. (\Phi \longrightarrow (\Box \Phi))]$ **proof** —

```

{ fix w
  { fix Q
    have  $(\forall x. G x \longrightarrow (\mathcal{E} G x)) w$  using GodIsEssential by (rule allE)
    hence  $\forall x. G x w \longrightarrow \mathcal{E} G x w$  by simp
    hence  $\forall x. G x w \longrightarrow (\forall Z. Z x \longrightarrow \Box(\forall^E z. G z \longrightarrow Z z)) w$  by force
    hence  $\forall x. G x w \longrightarrow ((\lambda y. Q) x \longrightarrow \Box(\forall^E z. G z \longrightarrow (\lambda y. Q) z)) w$  by force
    hence  $\forall x. G x w \longrightarrow (Q \longrightarrow \Box(\forall^E z. G z \longrightarrow Q)) w$  by simp
    hence 1:  $(\exists x. G x w) \longrightarrow ((Q \longrightarrow \Box(\forall^E z. G z \longrightarrow Q)) w)$  by (rule useful)
    have  $\exists x. G x w$  using GodExistenceIsValid by auto
    from 1 this have  $(Q \longrightarrow \Box(\forall^E z. G z \longrightarrow Q)) w$  by (rule mp)
    hence  $(Q \longrightarrow \Box((\exists^E z. G z) \longrightarrow Q)) w$  using useful by blast
    hence  $(Q \longrightarrow (\Box(\exists^E z. G z) \longrightarrow \Box Q)) w$  by simp
    hence  $(Q \longrightarrow \Box Q) w$  using GodNecExists by simp
  } hence  $(\forall \Phi. \Phi \longrightarrow \Box \Phi) w$  by (rule allI)
} thus ?thesis by (rule allI)
qed

```

4 Fitting's Variant

In this section we consider Fitting's solution to the objections raised in his discussion of Gödel's Argument ([13], pp. 164-9), especially the problem of modal collapse, which has been metaphysically interpreted as implying a rejection of free will. In Gödel variant, positiveness and essence were thought of as predicates applying to *intensional* properties and correspondingly formalized using intensional types for their arguments ($\uparrow\langle\uparrow\langle 0 \rangle\rangle$ and $\uparrow\langle\uparrow\langle 0 \rangle, 0\rangle$ respectively). In this variant, Fitting chooses to reformulate these definitions using *extensional*

types instead ($\uparrow\langle\langle 0 \rangle\rangle$ and $\uparrow\langle\langle 0 \rangle, 0 \rangle$) and makes the corresponding adjustments to the rest of the argument (to ensure type correctness). This has some philosophical repercussions, e.g. while we could say before that honesty (as concept) was a positive property, we can now only talk of its extension at some world and say of some group of people that they are honest (and in fact, that they are *necessarily* so, since \mathcal{P} has also be proven rigid in this variant).

consts *Positiveness*:: $\uparrow\langle\langle 0 \rangle\rangle$ (\mathcal{P})
abbreviation *Entailment*:: $\uparrow\langle\langle 0 \rangle, \langle 0 \rangle\rangle$ (**infix** $\Rightarrow 60$)
where $X \Rightarrow Y \equiv \Box(\forall^E z. (\downarrow X z) \rightarrow (\downarrow Y z))$
abbreviation *essenceOf*:: $\uparrow\langle\langle 0 \rangle, 0 \rangle$ (\mathcal{E}) **where**
 $\mathcal{E} Y x \equiv (\downarrow Y x) \wedge (\forall Z::\langle 0 \rangle. (\downarrow Z x) \rightarrow Y \Rightarrow Z)$

Axioms and theorems remain essentially the same. Particularly (T2) $[\mathcal{P} \downarrow G]$ and (A5) $[\mathcal{P} \downarrow NE]$ work with *relativized* extensional terms now. Fitting's original treatment in [13] left several details unspecified and we had to fill in the gaps by choosing appropriate formalization variants (see [15] for details).

theorem *T1*: $[\forall X::\langle 0 \rangle. \mathcal{P} X \rightarrow \Diamond(\exists^E z. (\downarrow X z))]$ **using** *A1a A2* **by** *blast*
theorem *T3*: $[(\lambda X. \Diamond \exists^E X) \downarrow G]$ **using** *T1 T2* **by** *simp — de re variant chosen*
lemma *GodIsEssential*: $[\forall x. G x \rightarrow ((\mathcal{E} \downarrow_1 G) x)]$ **using** *A1b* **by** *metis*

(Possibilist) existence of God implies necessary (actualist) existence. This theorem could be formalized in two variants (drawing on the *de re/de dicto* distinction). We prove both of them valid and show how the argument splits in two, culminating in two non-equivalent versions of the conclusion (one *de re* and the other *de dicto*), both of which are proven valid.

lemma *GodExImpNecEx1*: $[\exists \downarrow G \rightarrow \Box \exists^E \downarrow G]$ **proof** — not shown
lemma *GodExImpNecEx2*: $[\exists \downarrow G \rightarrow ((\lambda X. \Box \exists^E X) \downarrow G)]$
using *A4a GodExImpNecEx1* **by** *metis*

In contrast to Gödel's argument (as presented by Fitting), the following theorems can be proven in logic *K* (the *S5* axioms are no longer needed):

lemma *T4v1*: $[\Diamond \exists \downarrow G] \rightarrow [\Box \exists^E \downarrow G]$ **using** *GodExImpNecEx1 T3* **by** *metis*
lemma *T4v2*: $[(\lambda X. \Diamond \exists^E X) \downarrow G] \rightarrow [(\lambda X. \Box \exists^E X) \downarrow G]$
using *GodExImpNecEx2* **by** *blast*

Necessary Existence of God (*de dicto* and *de re* readings).

lemma *GodNecExists-deDicto*: $[\Box \exists^E \downarrow G]$ **using** *GodExImpNecEx1 T3* **by** *force*
lemma *GodNecExists-deRe*: $[(\lambda X. \Box \exists^E X) \downarrow G]$ **using** *T3 T4v2* **by** *blast*

Modal collapse is countersatisfiable even in *S5*. Note that countermodels with a cardinality of *one* for the domain of individuals are found by *Nitpick* (the countermodel shown in Fitting's book has cardinality of *two*).

lemma *equivalence aRel* $\Rightarrow [\forall \Phi. (\Phi \rightarrow (\Box \Phi))]$ **nitpick**[*card 't=1, card i=2*] **oops**

5 Anderson's Variant

In this final section, we verify Anderson's emendation of Gödel's argument [3], as presented by Fitting ([13], pp. 169-171). In the previous variants 'indifferent'

properties were not possible, every property had to be either positive or negative. Anderson makes room for ‘indifferent’ properties by dropping axiom *A1b* ($\lceil \forall X. \neg(\mathcal{P} X) \rightarrow \mathcal{P}(\neg X) \rceil$). Consequently, he also changed some definitions in order to ensure argument’s validity.

abbreviation *God*:: $\uparrow\langle \mathbf{0} \rangle (G^A)$ **where** $G^A \equiv \lambda x. \forall Y. (\mathcal{P} Y) \leftrightarrow \Box(Y x)$

abbreviation *essenceOf*:: $\uparrow\langle \uparrow\langle \mathbf{0} \rangle, \mathbf{0} \rangle (\mathcal{E}^A)$ **where**

$\mathcal{E}^A Y x \equiv (\forall Z. \Box(Z x) \leftrightarrow Y \Rightarrow Z)$

There is now the requirement, a Godlike being must have positive properties *necessarily*. For the definition of essence, Scott’s addition, that the essence of an object actually applies to the object, is dropped. A necessity operator has been introduced instead.¹³

The rest of the ontological argument is essentially similar to Gödel’s variant (which also needs *S5* axioms).

theorem *T1*: $\lceil \forall X. \mathcal{P} X \rightarrow \Diamond^E X \rceil$ **using** *A1a A2* **by** *blast*

theorem *T3*: $\lceil \Diamond^E G^A \rceil$ **using** *T1 T2* **by** *simp*

If *g* is God-like, the property of being God-like is its essence.¹⁴

theorem *GodIsEssential*: $\lceil \forall x. G^A x \rightarrow (\mathcal{E}^A G^A x) \rceil$ **proof** — — not shown

The necessary existence of God follows from its possible existence:

theorem *T4*: $\lceil \Diamond^E G^A \rceil \longrightarrow \lceil \Box^E G^A \rceil$ **proof** — — not shown

The conclusion of the argument can be proven (and with one fewer axiom, though more complex definitions). *Nitpick* is able to find a countermodel for the *modal collapse*, thus confirming Anderson’s (and Fitting’s) claims.

lemma *GodNecExists*: $\lceil \Box^E G^A \rceil$ **using** *T3 T4* **by** *metis*

lemma *ModalCollapse*: $\lceil \forall \Phi. (\Phi \rightarrow (\Box \Phi)) \rceil$ **nitpick oops** — — countersatisfiable

6 Conclusion

We presented a shallow semantical embedding in Isabelle/HOL for an intensional higher-order modal logic (a successor of Montague/Gallin intensional logics) as introduced by M. Fitting in his textbook *Types, Tableaus and Gödel’s God* [13, ?]. We employed this logic to formalize and verify all results relevant to the subsequent discussion of three different variants of the ontological argument: the first one by Gödel himself (respectively, Scott), the second one by Fitting and the last one by Anderson.

¹³ Without Scott’s addition [20], Gödel’s original axiom system can be proven inconsistent as shown by [9].

¹⁴ As shown before, this theorem’s proof could be completely automatized for Gödel’s and Fitting’s variants. For Anderson’s version however, we had to provide Isabelle with some help based on the corresponding natural-language proof given by Anderson (see [3] Theorem 2*, p. 296)

By employing an interactive theorem-prover like Isabelle, we were not only able to verify Fitting’s results, but also to guarantee consistency. We could prove even stronger versions of many of the theorems and find better countermodels (i.e. with smaller cardinality) than the ones presented in his book. Another interesting aspect was the possibility to explore the implications of alternative formalizations for definitions and theorems which shed light on interesting philosophical issues concerning entailment, essentialism and free will, which are currently the subject of some follow-up analysis.

The latest developments in *automated theorem proving* allow us to engage in much more experimentation during the formalization and assessment of arguments than ever before. The potential reduction (of several orders of magnitude) in the time needed for proving or disproving theorems (compared to pen-and-paper proofs), results in almost real-time feedback about the suitability of our speculations. The practical benefits of computer-supported argumentation go beyond mere quantitative (easier, faster and more reliable proofs). The advantages are also qualitative, since it fosters a different approach to argumentation: We can now work iteratively (by trial-and-error) on an argument by making gradual adjustments to its definitions, axioms and theorems (and getting instant feedback). This allows us to continuously expose and revise the assumptions we indirectly commit ourselves everytime we opt for some particular formalization.

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