Automated Deduction in Historical Phonology

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TL; DR

I wrote a small piece of program ($\sim 1,000 \text{ loc}$) that implements a couple dozen major phonological changes from Latin to Modern Spanish. The program is able to derive a good amount of Modern Spanish words from their Latin etymon.

This presentation is about how it is done.

Reviving a field that has been silent for a while

Early attempts of works alike goes back the late '60s¹. Previous works on automated forward reconstruction has been done on Romance languages a couple times e.g. for Old French², and Spanish³.

As of now, this field has been silent since the late '90s.

¹Patrick Sims-Williams. "Mechanising Historical Phonology". In: Transactions of the Philological Society 116.3 (2018), pp. 555-573; Raoul N. Smith. "Automatic Simulation of Historical Change". In: COLING 1969 (1969). URL: https://aclanthology.org/C69-0901.pdf.

²Sarah K. Burton-Hunter. "Romance Etymology: A Computerized Model". In: Computers and the Humanities 10.4 (1976), pp. 217-220. URL: https://www.jstor.org/stable/30199805.

³Charles L. Eastlack. "A Program to Simulate Systematic Sound Change in Ibero-Romance". In: Computers and the Humanities 11.2 (1977), pp. 81-88. URL: https://www.jstor.org/stable/30199864.

Why do it again?

An essentially identical project was done from the 1980s to early 2000s by S. Lee Hartman and is still accessible online as of now⁴.

So why do it again?

The answer is that, sadly, this is field largely inactive as of now; many works were done in a time when publishing programs were not feasible, thus many works were simply lost.

Luckily, computing has made enough progress so that this work could be done rather quickly in a high-level programming language.

⁴Steven Lee Hartman. Phono (Version 4.0): Software for Modeling Regular Historical Sound Change. 2003. URL: https://langnhist.weebly.com/files/theme/ver40.pdf (visited on 10/18/2022).

The Standard ML Programming Language

This small system is written entirely in Standard ML.

SML is a relatively small and concise programming language that has been primarily used in programming language implementation and automated theorem proving.

Its Heimatland was University of Edinburgh, frist implemented in the LCF (logic of computable functions) theorem prover⁵ by Robin Milner and his collegues, whose predecessor is the Stanford LCF system, written in LISP, also by R. Milner.

⁵David MacQueen, Robert Harper, and John Reppy. "The History of Standard ML". In: Proc. of the ACM on Programming Languages 4 (2020). HOPL. DOI: https://doi.org/10.1145/3386336.

Implementing a Phonology

This project has a simple two-layer structure: the first layer that defines ways of constructing the *statics* of a phonology – namely the segmental inventory, syllable structure, and the phonological word of the Spanish language and her predecessors – and the second layer that defines ways of constructing the *dynamics* of a phonology – namely sound changes and how to compose them into chain shifts.

The Statics

Representing Features, Segments, Syllables, and the Phonological Word

We define all of the *static* element of this system through recursive types in ML.

Recursive types are basically a combination of and & or's.

The Dynamics

Rewriting of Syllabic Constituents, Syllables, and Phonological Words

ML provides extensive supports for composing functions.

```
(* rewrites that changes
a constituent of the syllable *)
datatype rewrite = Onsetism of (onset -> onset)
                 | Nucleusism of (nucleus -> nucleus)
                 | Codism of (coda -> coda)
(* syllabism that rewrites a syllable to another *)
datatype context = NoContext
                 | Predicate of (syllable -> bool)
                 WordInit
                 | WordFinal
datatype syllabism
```

= Syllabism of (syllable -> syllable) * context

Pearls of Sound Changes from Latin to Romance

In the remainder of this presentation, I am going to demonstrate 6 sets of sound changes to demonstrate how this system works. The majority of the words in examples comes from Romance Languages: A Historical Introduction⁶, From Latin to Spanish⁷, and A History of the Spanish Language⁸.

⁶Ti Alkire and Carol Rosen. Romance Languages: A Historical Introduction. Cambridge University Press, 2010.

⁷Paul M. Lloyd. From Latin to Spanish. American Philosophical Society, 1987.

⁸Ralph Penny. A History of the Spanish Language. Cambridge University Press, 2002.

Latin \rightarrow Proto-Romance: Romance Vowel Shifts

Arguably the most fundamental change from Late Latin to Proto-Romance is the transformation of its vowel system.

The transformation has the following components:

- Loss of Vowel Quantity
- The Great Vowel Merger
- Merger in Atonic Vowels

Another important sound change, the loss of hiatus, unfortunately we are not going to cover in this presentation.

Loss of Vowel Quantity

	Fro	nt	Ce	nt.	Ba	ck
High	ĭ	Ī			ŭ	Ū
Mid	ĕ	Ē			ŏ	ō
Low			Ă	Ā		

	Front	Central	Back
High	i		u
High-Mid	I		ប
Mid	e		О
Low-Mid	3		Э
Low		a	

LATINA	Español	
VĪTA	vida	
VICĪNA	vecina	
FARĪNA	harina	
$L\bar{\overline{\mathbf{U}}}\mathbf{N}\mathbf{A}$	l <mark>u</mark> na	
$D\overline{\overline{\mathbf{U}}}\mathbf{R}\mathbf{A}$	d <mark>u</mark> ra	
$M\overline{\mathbf{U}}\mathbf{R}\mathbf{U}$	muro	
HŌRA	h <mark>o</mark> ra	
CŌRTE	corte	
$D\bar{E}BET$	d <mark>e</mark> be	
TĒRNU	terno	

The Great Merger

	Front	Central	Back
High	i		u
High-Mid	I		υ
Mid	e		0
Low-Mid	3		Э
Low		a	
	Front	Central	Back
High	i		u
Mid	e		О
Low-Mid	3		Э
Low		a	

LATINA	PrRom	Español
G <mark>U</mark> LA	[ប]	gola
CURRIT	[ប]	corre
M <mark>ŭ</mark> SCA	[ប]	mosca
BIBIT	[1]	bebe
LITTERA	[1]	l <mark>e</mark> tra
V <mark>Ĭ</mark> CE	[1]	vez

Atonic Merger

 $\varepsilon \rightarrow e$

 $0 \rightarrow 0$

We can see that the Latin five vowel system is basically restored after these changes (after stressed [ɛ] and [ɔ] diphthongizes in Castilian, it is indeed fully restored.)

LAT.	Es.
HĪBERN <mark>U</mark>	ivierno
CIRCĀRE	cercar
VĒNĀT <mark>U</mark>	vena <mark>d</mark> o

Romance Vowel Shifts: Rule Ordering

Loss of Quantity < Great Merger < Atonic Merger

Latin \rightarrow Proto-Romance: **Fundamental Consonantal Shifts**

$$\begin{split} m &\to \emptyset \\ j &\sim j \to d_{\mathbb{Z}} \sim \mathfrak{f} \\ w &\to \beta \\ t^{j} &\to ts \\ k^{j} &\to t \mathfrak{f} \\ d^{j}, \, g^{j} &\to d_{\mathbb{Z}} \sim \mathfrak{f} \end{split}$$

Proto-Romance → Western Romance: Intervocalic Lenition I

LAT	Es.	
CABALLU	ca <mark>b</mark> allo [β]	
DEBERE	deber [β]	
HABERE	ha <mark>b</mark> er [β]	
CRUDU PEDE	crudo [ð] pie [Ø]	
AUGUSTU	agosto [γ]	
LIGARE	ligar [γ]	
PAGANU	pa <mark>g</mark> ano [γ]	

LAT.	Es.	
SAPORE	sa <mark>b</mark> or [β]	
CAPUT	ca <mark>b</mark> o [β]	
COPERTU	cubierto [β]	
VITA	vi <mark>d</mark> a [ð]	
FATA	ha <mark>d</mark> a [ð]	
CATENA	ca <mark>d</mark> ena [ð]	
AMICA	ami <mark>g</mark> a [γ]	
SECURU	seguro [γ]	
FOCU	fuego [γ]	

LAT.	Es.	
SERPENTE	serpiente	
ALPES	aples	
RUMPERE	rumper	
ORTICA	ortiga	
MENTA	men <mark>t</mark> a	
ARCU	arco	
FALCONE	hal <mark>c</mark> ón	

Proto-Romance → Western Romance: Degemination

LAT.	Es.
OSSU	hueso
SU <mark>MM</mark> A	suma
APPELLAT	a <mark>pel</mark> a
LITTERA	letra
SICCU	seco

Westerm Romance → Old Spanish: Debuccalization of $[\phi]$

LAT.	Es.
FILU	hilo
FERIRE	herir
FERRO	<u>h</u> ierro
FALCONE	h alcón
FOCU	fuego
FORA	fuera
FONTE	fuente
FRONTE	frente
FLORE	flor
FLACCU	flaco

Old Spanish \rightarrow Modern Spanish: The Spanish Sibilant Rearrangement

The sibilants in Alfonsino Spanish:

	dental affricate	alveolo-apical	palatal
voiceless	ç [ts] (→ [s̪])	-ss- [s]	x [ʃ]
voiced	$z [dz] (\rightarrow [z])$	-s- [z]	j, ge, gi $[\mathfrak{z}] \leftarrow [\mathfrak{z}]$

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