Sequential Composition for Relaxed Memory

Alan Jeffrey[*] and James Riely[†]
[*]*The Servo Project and Roblox*
[†]*DePaul University*

1. Introduction

Our approach is only vaguely related to the theories of Dijkstra [1975], which provide an alternative characterization of Hoare logic by mapping postconditions to preconditions (*wp* and *wlp*) or preconditions to postconditions (*sp*). Instead our transformers τ map preconditions to preconditions. The relation to Hoare triples is that

$$\{\phi\}\, D\, \{\psi\} \;\Leftrightarrow\; \{\tau\,(C,\phi)\}\, C; D\, \{\psi\}.$$

For example

$$\{r{=}1\}\, y{:=}r\, \{y{=}1\}$$
$$\{x{=}1\}\, r{:=}x; y{:=}r\, \{y{=}1\}$$

2. Model

Batty suggest example where dependencies are added and also go away, perhaps by store forwarding. Something like: (r=x; y=1); (s=y; z=s+r)

2.1. Preliminaries

The syntax is built from

- a set of *values* $\mathcal{V}$, ranged over by v, w, ℓ, k,
- a set of *registers* $\mathcal{R}$, ranged over by r, s,
- a set of *expressions* $\mathcal{M}$, ranged over by M, N, L.

Memory locations are tagged values, written $[\ell]$. Let $\mathcal{X}$ be the set of memory locations, ranged over by x, y, z.

We require that

- values and registers are disjoint,
- values include at least the constants 0 and 1,
- for any set E there are registers $\mathcal{S}_E = \{s_e \mid e \in E\}$,
- expressions include at least registers and values,
- expressions do *not* include memory locations or registers in $\mathcal{S}_E$, for any set E.

We model the following language.

$$\mu \;::=\; \mathsf{rlx} \;\mid\; \mathsf{ra} \;\mid\; \mathsf{sc}$$
$$C, D \;::=\; \mathsf{skip} \;\mid\; r{:=}M \;\mid\; r{:=}[L]^{\mu} \;\mid\; [L]^{\mu}{:=}M$$
$$\mid\; \mathsf{fork}\, G \;\mid\; C; D \;\mid\; \mathsf{if}\,(M)\,\{C\}\,\mathsf{else}\,\{D\}$$
$$G, H \;::=\; 0 \;\mid\; \mathsf{thread}\, C \;\mid\; G \parallel H$$

Memory modes, μ, are relaxed (rlx), release-acquire (ra), and sequentially consistent (sc). Relaxed is the default. *Commands*, C, include reads from and writes to memory at

a given mode, as well as the usual structural constructs. *Thread groups*, G, include commands and 0, which denotes inaction. The fork command spawns a thread group. We often drop the words fork and thread.

The semantics is built from the following.

- a set of *actions* $\mathcal{A}$, ranged over by a,
- a set of *logical formulae* Φ, ranged over by ϕ, ψ, χ.

We require that

- actions include writes $(\mathsf{W}xv)$ and reads $(\mathsf{R}xv)$,
- formulae include equalities $(M{=}N)$ and $(M{=}x)$,
- formulae are closed under negation, conjunction, disjunction, and substitutions $[M/r]$ and $[M/x]$,
- there is an entailment relation $\vDash$ between formulae, with the expected semantics.

Logical formulae include equations over locations and registers, such as $(x{=}1)$ and $(r{=}s{+}1)$. We use expressions as formulae, coercing M to $M{\neq}0$. Formulae are subject to substitutions of the form $[M/x]$; actions are not.

We say ϕ *implies* ψ if $\phi \vDash \psi$. We say ϕ is a *tautology* if $\mathsf{tt} \vDash \phi$. We say ϕ is *unsatisfiable* if $\phi \vDash \mathsf{ff}$.

2.2. Pomsets

We first consider a fragment of our language that can be modeled using simple pomsets.

Definition 1. A *pomset* over $\mathcal{A}$ is a tuple $(E, \leq, \lambda)$ where

- E is a set of *events*,
- $\leq\, \subseteq (E \times E)$ is the *causality* partial order,
- $\lambda : E \to \mathcal{A}$ is a *labeling*.

Let P range over pomsets, and $\mathcal{P}$ over sets of pomsets.

We lift terminology from actions to events. For example, we say that e writes x if $\lambda(e)$ writes x. We also drop quantifiers when clear from context, such as $(\forall e \in E)(\forall x \in \mathcal{X})$.

Definition 2. Action $(\mathsf{W}xv)$ *matches* $(\mathsf{R}xw)$ when $v = w$. Action $(\mathsf{W}xv)$ *blocks* $(\mathsf{R}xw)$, for any v, w.

Event e is *fulfilled* if there is a $d \leq e$ which matches it and, for any c which can block e, either $c \leq d$ or $e \leq c$.

Pomset P is *fulfilled* if every read in P is fulfilled.

Independency $(\leftrightarrow\, \subseteq \mathcal{A} \times \mathcal{A})$ is defined as follows.

$$\leftrightarrow = \{(\mathsf{R}xv, \mathsf{W}yw), (\mathsf{W}xv, \mathsf{R}yw), (\mathsf{W}xv, \mathsf{W}yw) \mid x \neq y\}$$
$$\cup\, \{(\mathsf{R}xv, \mathsf{R}yw)\}$$

In order to give the semantics, we define several operators over sets of pomsets.

Definition 3.
If $P \in NIL$ then $E = \emptyset$.
If $P \in (\mathcal{P}_1 \parallel \mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\ (\exists P_2 \in \mathcal{P}_2)$

1) $E = (E_1 \cup E_2)$,
2) if $e \in E_1$ then $\lambda(e) = \lambda_1(e)$,
3) if $e \in E_2$ then $\lambda(e) = \lambda_2(e)$,
4) if $d \leq_1 e$ then $d \leq e$,
5) if $d \leq_2 e$ then $d \leq e$,
6) E_1 and E_2 are disjoint.

If $P \in (a \to \mathcal{P}_2)$ then $(\exists P_2 \in \mathcal{P}_2)$

1) $E = (E_1 \cup E_2)$,
2) if $d, e \in E_1$ then $d = e$,
3) if $e \in E_1$ then $\lambda(e) = a$,
4) if $e \in E_2$ then $\lambda(e) = \lambda_2(e)$,
5) if $d \leq_2 e$ then $d \leq e$,
6) if $d \in E_1$ and $e \in E_2$ then either $d \leq e$ or $a \leftrightarrow \lambda_2(e)$.

Using these operators, we can give the semantics for a simple fragment of our language.

$$\llbracket \texttt{skip} \rrbracket = \llbracket 0 \rrbracket = NIL$$
$$\llbracket G \parallel H \rrbracket = \llbracket G \rrbracket \parallel \llbracket H \rrbracket$$
$$\llbracket x := v; C \rrbracket = (\mathsf{W} x v) \to \llbracket C \rrbracket$$
$$\llbracket r := x; C \rrbracket = \bigcup_v (\mathsf{R} x v) \to \llbracket C \rrbracket$$

If we take $\leftrightarrow = \emptyset$, then we have sequentially consistent execution.

[Do Examples.]
[Do examples with coherence.]
[Note that this allows mumbling for reads and writes.]
[Use refinement (that is subset order) as notion of compiler optimization.]
[Talk about Mazurkiewicz traces.]

2.3. Pomsets with Preconditions

[Problem with previous section is that notion of dependency is impoverished]

The model described here is essentially the model of Jagadeesan et al. [2020], restricting attention to relaxed access. We discuss the differences in the appendix.

Definition 4. A *pomset with preconditions* is a pomset together with $\kappa : E \to \Phi$.

Definition 5. A pomset with preconditions is *top level* if it is fulfilled and every precondition is a tautology.

Definition 6. Let σ be a substitution. If $P \in (\mathcal{P}\sigma)$ then $(\exists P \in \mathcal{P})\ E = E'$, $\leq = \leq'$, $\lambda = \lambda'$, and $\kappa(e) = \kappa'(e)\sigma$.

Definition 7.
If $P \in NIL$ then $E = \emptyset$.
If $P \in (\mathcal{P}_1 \parallel \mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\ (\exists P_2 \in \mathcal{P}_2)$

1–6) as for $\parallel$ in Definition 3,
7) if $e \in E_1$ then $\kappa(e)$ implies $\kappa_1(e)$,

8) if $e \in E_2$ then $\kappa(e)$ implies $\kappa_2(e)$.

If $P \in IF(\psi, \mathcal{P}_1, \mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\ (\exists P_2 \in \mathcal{P}_2)$

1–5) as for $\parallel$ in Definition 3 (ignoring disjointness),
6) if $e \in E_1 \setminus E_2$ then $\kappa(e)$ implies $\psi \wedge \kappa_1(e)$,
7) if $e \in E_2 \setminus E_1$ then $\kappa(e)$ implies $\neg\psi \wedge \kappa_2(e)$,
8) if $e \in E_1 \cap E_2$ then
$\kappa(e)$ implies $(\psi \wedge \kappa_1(e)) \vee (\neg\psi \wedge \kappa_2(e))$.

If $P \in STOREPRE(x, M, \mathcal{P}_2)$ then $(\exists P_2 \in \mathcal{P}_2)\ (\exists v \in \mathcal{V})$

1–6) as for $(\mathsf{W} x v) \to \mathcal{P}_2$ in Definition 3,
7) if $e \in E_1 \setminus E_2$ then $\kappa(e)$ implies $M = v$,
8) if $e \in E_2 \setminus E_1$ then $\kappa(e)$ implies $\kappa_2(e)$,
9) if $e \in E_1 \cap E_2$ then $\kappa(e)$ implies $M = v \vee \kappa_2(e)$.

If $P \in LOADPRE(r, x, \mathcal{P}_2)$ then $(\exists P_2 \in \mathcal{P}_2)\ (\exists v \in \mathcal{V})$

1–6) as for $(\mathsf{R} x v) \to \mathcal{P}_2$ in Definition 3,
7) if $e \in E_2 \setminus E_1$ then either
$\kappa(e)$ implies $(r = v \vee r = x) \Rightarrow \kappa_2(e)[r/x]$ or
$\kappa(e)$ implies $(r = v) \Rightarrow \kappa_2(e)[r/x]$ and $d < e$
for some $d \in E_1$.

Following our convention for subscripts, in the final clause of *LOADPRE*, $<$ refers to the order of P. Also note that *LOADPRE* does not constrain $\kappa(e)$ if $e \in E_1$.

The semantics of $\texttt{skip}$, 0, and $\parallel$ are as before.

$$\llbracket \texttt{if}\,(M)\,\{C\}\,\texttt{else}\,\{D\} \rrbracket = IF(M \neq 0, \llbracket C \rrbracket, \llbracket D \rrbracket)$$
$$\llbracket r := M; C \rrbracket = \llbracket C \rrbracket[M/r]$$
$$\llbracket x := M; C \rrbracket = STOREPRE(x, M, \llbracket C \rrbracket)$$
$$\llbracket r := x; C \rrbracket = LOADPRE(r, x, \llbracket r \rrbracket)$$

[Stuff about conditionals and merging events.]

2.4. Pomsets with Predicate Transformers

[The problem with the previous section is that there's no story for sequential composition.]

Definition 8. A *predicate transformer* is a monotone function $\tau : \Phi \to \Phi$ such that $\tau(\mathsf{ff})$ is ff, $\tau(\phi \wedge \psi)$ is $\tau(\phi) \wedge \tau(\psi)$, and $\tau(\phi \vee \psi)$ is $\tau(\phi) \vee \tau(\psi)$.

Definition 9. A *family of predicate transformers* for E consists of a predicate transformer τ^D for each set of events D, such that if $C \cap E \subseteq D$ then $\tau^C(\phi)$ implies $\tau^D(\phi)$.

[Predicates with smaller subsets of E are stronger.]

Definition 10. A pomset with predicate tansformers is a pomset with preconditions, together with a family of predicate transformers for E.

Definition 11. If $P \in ABORT$ then $E = \emptyset$ and

1) $\tau^D(\phi)$ implies ff.

If $P \in SKIP$ then $E = \emptyset$ and

1) $\tau^D(\phi)$ implies ϕ.

If $P \in LET(r, M)$ then $E = \emptyset$ and

$$wlp(\texttt{skip},\psi) = \psi$$
$$wlp(\texttt{abort},\psi) = \mathsf{ff}$$
$$wlp(x\!:=\!M,\psi) = (\forall y)\; y\!=\!M \Rightarrow \psi[y/x], \text{ where } y \text{ is fresh}$$
$$wlp(x\!:=\!M,\psi) = \psi[M/x]$$
$$wlp(r\!:=\!x,\psi) = \psi[x/r]$$
$$wlp(C;D,\psi) = wlp(C,wlp(D,\psi))$$
$$wlp(\texttt{if}\,(M)\,\{C\}\,\texttt{else}\,\{D\},\psi) = (M\!\neq\!0 \Rightarrow wlp(C,\psi)) \wedge (M\!=\!0 \Rightarrow wlp(D,\psi))$$

$$sp(\texttt{skip},\phi) = \phi$$
$$sp(x\!:=\!M,\phi) = (\exists y)\; x\!=\!M[y/x] \wedge \phi[y/x], \text{ where } y \text{ is fresh}$$
$$sp(C;D,\phi) = sp(D,sp(C,\phi))$$
$$sp(\texttt{if}\,(M)\,\{C\}\,\texttt{else}\,\{D\},\phi) = sp(C,(M\!\neq\!0 \wedge \phi)) \wedge sp(D,(M\!=\!0 \wedge \phi))$$

$$sp(C,\phi) \text{ implies } \psi \quad \text{iff} \quad \{\phi\}\,C\,\{\psi\} \quad \Leftrightarrow \quad \phi \text{ implies } wlp(C,\psi)$$

$$\{\tau(C,\phi)\}\,D\,\{\psi\} \quad \Leftrightarrow \quad \{\phi\}\,C;D\,\{\psi\}$$

Figure 1. Weakest Precondition and Strongest Postcondition

1) $\tau^D(\phi)$ implies $\phi[M/r]$.

If $P \in \mathit{IF}(\psi, \mathcal{P}_1, \mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\,(\exists P_2 \in \mathcal{P}_2)$

1–8) as for *IF* in Definition 7,
  9) $\tau^D(\phi)$ implies $(\psi \wedge \tau_1^D(\phi)) \vee (\neg\psi \wedge \tau_2^D(\phi))$.

If $P \in (\mathcal{P}_1\,;\mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\,(\exists P_2 \in \mathcal{P}_2)$,

1–5) as for $\parallel$ in Definition 3 (ignoring disjointness),
  6) if $e \in E_1 \setminus E_2$ then $\kappa(e)$ implies $\kappa_1(e)$,
  7) if $e \in E_2 \setminus E_1$ then $\kappa(e)$ implies $\kappa_2'(e)$,
  8) if $e \in E_1 \cap E_2$ then $\kappa(e)$ implies $\kappa_1(e) \vee \kappa_2'(e)$,
    where $\kappa_2'(e) = \tau_1^C(\kappa_2(e))$, where $C = \{c \mid c < e\}$,
  9) $\tau^D(\phi)$ implies $\tau_1^D(\tau_2^D(\phi))$.

If $P \in \mathit{STORE}(x, M, \mu)$ then $(\exists v \in \mathcal{V})\,(\forall D \neq \emptyset)$

S1) if $d,e \in E$ then $d = e$,
S2) $\lambda(e) = (\mathsf{W}xv)$,
S3) $\kappa(e)$ implies $M\!=\!v$,
S4) $\tau^D(\phi)$ implies $\phi[M/x] \wedge (\mathsf{Q} \Rightarrow M\!=\!v)$,
S5) $\tau^\emptyset(\phi)$ implies $\phi[M/x] \wedge \neg\mathsf{Q}$.

If $P \in \mathit{LOAD}(r, x, \mu)$ then $(\exists v \in \mathcal{V})\,(\forall D \neq \emptyset)$

L1) if $d,e \in E$ then $d = e$,
L2) $\lambda(e) = (\mathsf{R}xv)$,
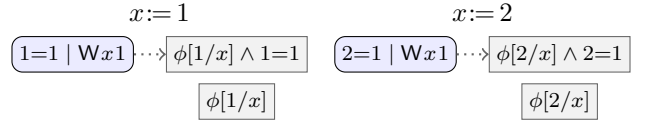L3) $\kappa(e)$ implies tt,
L4) $\tau^D(\phi)$ implies $(v\!=\!r) \Rightarrow \phi[r/x]$,
L5) $\tau^\emptyset(\phi)$ implies $((x\!=\!r \vee v\!=\!r) \Rightarrow \phi[r/x]) \wedge \neg\mathsf{Q}$.
L6) if $E = \emptyset$ then $\tau^\emptyset(\phi)$ implies $\phi[r/x] \wedge \neg\mathsf{Q}$.
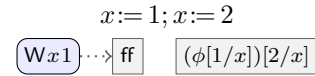
2.4.1. Examples without Q.

Example 1. Merging left.
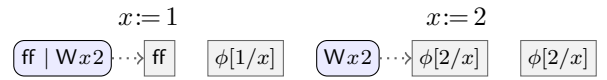

Simplifying:
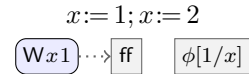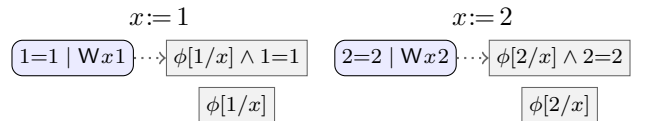

Merging the actions, we have:

which simplifies to

Example 2. Merging right.

Merging the actions, we have:

Example 3. Separate actions:

Simplifying:

$$\boxed{Wx1} \cdots\!\rightarrow \boxed{\phi[1/x]} \qquad \boxed{\phi[1/x]} \qquad \boxed{Wx2} \cdots\!\rightarrow \boxed{\phi[2/x]} \qquad \boxed{\phi[2/x]}$$

Putting these together unordered:

$$x := 1 \, ; \, x := 2$$
$$\boxed{Wx1} \qquad \boxed{Wx2}$$
$$\boxed{\phi[1/x]} \quad \boxed{\phi[1/x]} \quad \boxed{\phi[1/x]} \quad \boxed{\phi[1/x]}$$
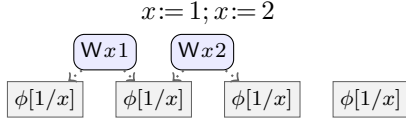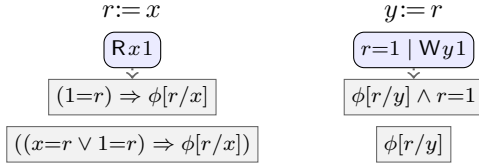
Adding order does nothing since the preconditions are tautologies.

Example 4. Read to write dependency, first separately:

$$r := x \qquad\qquad y := r$$
$$\boxed{Rx1} \qquad\qquad \boxed{r{=}1 \mid Wy1}$$
$$\boxed{(1{=}r) \Rightarrow \phi[r/x]} \qquad \boxed{\phi[r/y] \wedge r{=}1}$$
$$\boxed{((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x])} \qquad \boxed{\phi[r/y]}$$

Putting these together without order:

$$r := x \, ; \, y := r$$
$$\boxed{((1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge r{=}1}$$
$$\boxed{Rx1} \quad \boxed{((x{=}r \vee 1{=}r) \Rightarrow r{=}1) \mid Wy1}$$
$$\boxed{(1{=}r) \Rightarrow \phi[r/x][r/y]} \quad \boxed{((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge r{=}1}$$
$$\boxed{(x{=}r \vee 1{=}r) \Rightarrow \phi[r/x][r/y]}$$

If the read is ordered before the write, then the precondition of the write can be weakened:

$$\boxed{Rx1} \longrightarrow \boxed{1{=}r \Rightarrow r{=}1 \mid Wy1}$$
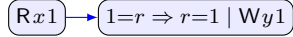
2.4.2. Examples with Q.

Example 5. Merging left.

$$x := 1 \qquad\qquad x := 2$$
$$\boxed{1{=}1 \mid Wx1} \qquad\qquad \boxed{2{=}1 \mid Wx1}$$
$$\boxed{\phi[1/x] \wedge (Q \Rightarrow 1{=}1)} \qquad \boxed{\phi[2/x] \wedge (Q \Rightarrow 2{=}1)}$$
$$\boxed{\phi[1/x] \wedge \neg Q} \qquad\qquad \boxed{\phi[2/x] \wedge \neg Q}$$

Simplifying:

$$x := 1 \qquad\qquad x := 2$$
$$\boxed{Wx1} \cdots\!\rightarrow \boxed{\phi[1/x]} \qquad \boxed{\text{ff} \mid Wx1} \cdots\!\rightarrow \boxed{\phi[2/x] \wedge \neg Q}$$
$$\boxed{\phi[1/x] \wedge \neg Q} \qquad\qquad \boxed{\phi[2/x] \wedge \neg Q}$$

Merging the actions, we have:

$$\boxed{Wx1} \cdots\!\rightarrow \boxed{(\phi[1/x])[2/x] \wedge \neg Q}$$
$$\boxed{(\phi[1/x] \wedge \neg Q)[2/x] \wedge \neg Q}$$

which simplifies to

$$\boxed{Wx1} \cdots\!\rightarrow \boxed{\phi[1/x] \wedge \neg Q} \qquad \boxed{\phi[1/x] \wedge \neg Q}$$

Example 6. Separate actions:
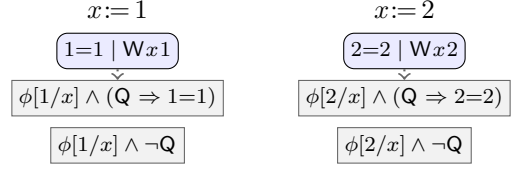
$$x := 1 \qquad\qquad x := 2$$
$$\boxed{1{=}1 \mid Wx1} \qquad\qquad \boxed{2{=}2 \mid Wx2}$$
$$\boxed{\phi[1/x] \wedge (Q \Rightarrow 1{=}1)} \qquad \boxed{\phi[2/x] \wedge (Q \Rightarrow 2{=}2)}$$
$$\boxed{\phi[1/x] \wedge \neg Q} \qquad\qquad \boxed{\phi[2/x] \wedge \neg Q}$$

Simplifying:

$$x := 1 \qquad\qquad x := 2$$
$$\boxed{Wx1} \cdots\!\rightarrow \boxed{\phi[1/x]} \qquad \boxed{Wx2} \cdots\!\rightarrow \boxed{\phi[2/x]}$$
$$\boxed{\phi[1/x] \wedge \neg Q} \qquad\qquad \boxed{\phi[2/x] \wedge \neg Q}$$

Putting these together unordered:

$$x := 1 \, ; \, x := 2$$
$$\boxed{Wx1} \qquad \boxed{\neg Q \mid Wx2}$$
$$\boxed{\phi[1/x] \wedge \neg Q} \quad \boxed{\phi[1/x]} \quad \boxed{\phi[1/x] \wedge \neg Q}$$
$$\boxed{\phi[1/x] \wedge \neg Q}$$
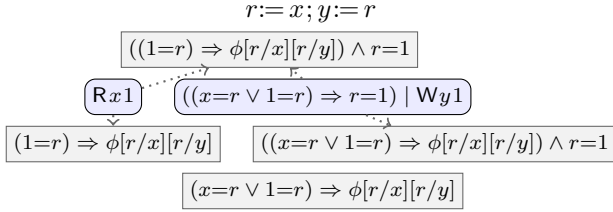
Adding order does nothing since the preconditions are tautologies.

Example 7. Read to write dependency, first separately:

$$r := x \qquad\qquad y := r$$
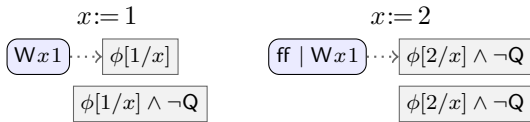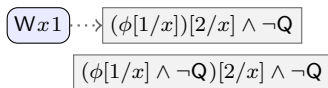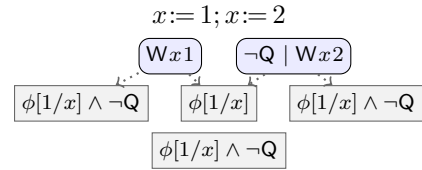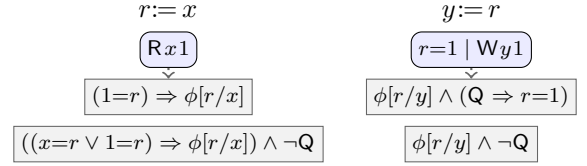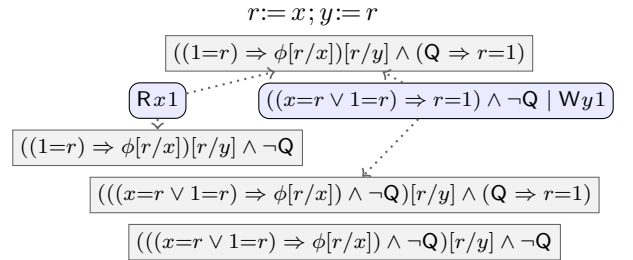$$\boxed{Rx1} \qquad\qquad \boxed{r{=}1 \mid Wy1}$$
$$\boxed{(1{=}r) \Rightarrow \phi[r/x]} \qquad \boxed{\phi[r/y] \wedge (Q \Rightarrow r{=}1)}$$
$$\boxed{((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x]) \wedge \neg Q} \qquad \boxed{\phi[r/y] \wedge \neg Q}$$

Putting these together without order:

$$r := x \, ; \, y := r$$
$$\boxed{((1{=}r) \Rightarrow \phi[r/x])[r/y] \wedge (Q \Rightarrow r{=}1)}$$
$$\boxed{Rx1} \quad \boxed{((x{=}r \vee 1{=}r) \Rightarrow r{=}1) \wedge \neg Q \mid Wy1}$$
$$\boxed{((1{=}r) \Rightarrow \phi[r/x])[r/y] \wedge \neg Q}$$
$$\boxed{(((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x]) \wedge \neg Q)[r/y] \wedge (Q \Rightarrow r{=}1)}$$
$$\boxed{(((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x]) \wedge \neg Q)[r/y] \wedge \neg Q}$$

Note that $\neg Q \wedge (Q \Rightarrow r{=}1)$ simplifies to $\neg Q$.

$$r := x \, ; \, y := r$$
$$\boxed{((1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge (Q \Rightarrow r{=}1)}$$
$$\boxed{Rx1} \quad \boxed{((x{=}r \vee 1{=}r) \Rightarrow r{=}1) \wedge \neg Q \mid Wy1}$$
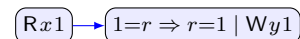$$\boxed{((1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge \neg Q}$$
$$\boxed{((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge \neg Q}$$
$$\boxed{((x{=}r \vee 1{=}r) \Rightarrow \phi[r/x][r/y]) \wedge \neg Q}$$

With order:

$$\boxed{Rx1} \longrightarrow \boxed{1{=}r \Rightarrow r{=}1 \mid Wy1}$$
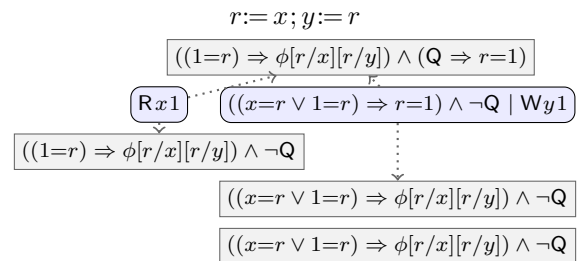
2.4.3. Continuing. We have not given a semantics for parallel composition with predicate transformers. Define *THREAD* to embed pomsets with predicate transformers into pomsets with preconditions simply by dropping the predicate transformer. For the reverse embedding, *FORK* adopts the identity transformer.

Definition 12.
If $P \in THREAD(\mathcal{P})$ then $(\exists P_1 \in \mathcal{P})$

1) $E = E_1$,
2) $\lambda(e) = \lambda_1(e)$,
3) $\kappa(e)$ implies $\kappa_1(e)$.

If $P \in FORK(\mathcal{P})$ then $(\exists P_1 \in \mathcal{P})$

F1) $E = E_1$,
F2) $\lambda(e) = \lambda_1(e)$,
F3) $\kappa(e)$ implies $\kappa_1(e)[\mathsf{tt}/\mathsf{Q}]$,
F4) $\tau^D(\phi)$ implies ϕ.

The complete semantics is as follows.

$$[\![\mathsf{abort}]\!] = ABORT$$
$$[\![\mathsf{skip}]\!] = SKIP$$
$$[\![r{:=}x^\mu]\!] = LOAD(r,\, x,\, \mu)$$
$$[\![x^\mu{:=}M]\!] = STORE(x,\, M,\, \mu)$$
$$[\![r{:=}M]\!] = LET(r,\, M)$$
$$[\![\mathsf{fork}\, G]\!] = FORK[\![G]\!]$$
$$[\![C; D]\!] = [\![C]\!]\,;\,[\![D]\!]$$
$$[\![\mathsf{if}\,(M)\,\{C\}\,\mathsf{else}\,\{D\}]\!] = IF(M{\neq}0,\, [\![C]\!],\, [\![D]\!])$$
$$[\![\mathsf{0}]\!] = NIL$$
$$[\![\mathsf{thread}\, C]\!] = THREAD[\![C]\!]$$
$$[\![G \parallel\!\parallel H]\!] = [\![G]\!] \parallel [\![H]\!]$$

[Examples.]

[Skolemization ensures disjunction closure, which is necessary for associativity. Show example.]

Definition 13. P is *completed* if $\tau^E(\mathsf{Q})$ implies Q.

2.5. Fork-Join

[We drop $\leftrightarrow$ because incompatible with *FORK*. If you want to use $\leftrightarrow$, then you need to use fork-join as the sequential combinator, rather than fork.]

Definition 14. A *pomset with preconditions and termination* is a pomset with preconditions together with a predicate $\checkmark$.

Definition 15.
If $P \in (\mathcal{P}_1 \parallel \mathcal{P}_2)$ then $(\exists P_1 \in \mathcal{P}_1)\, (\exists P_2 \in \mathcal{P}_2)$

1–8) as for $\parallel$ in Definition 7,
9) $\checkmark$ implies $\checkmark_1 \wedge \checkmark_2$.

If $P \in THREAD(\mathcal{P})$ then $(\exists P_1 \in \mathcal{P})$

1–3) as for *THREAD* in Definition 12,
4) if $\checkmark$ then $\tau^E(\mathsf{Q})$ implies Q.

If $P \in FORKJOIN(\mathcal{P})$ then $(\exists P_1 \in \mathcal{P})$

1–4) as for *FORK* in Definition 12,
F5) $\checkmark_1$.

$$[\![\mathsf{fork}\, G; \mathsf{join}]\!] = FORKJOIN[\![G]\!]$$

We can then encode coherence as follows.

10) if $d \in E_1$ and $e \in E_2$ either $d < e$ or $a \leftrightarrow \lambda_2(e)$.

3. Complications

[I have a note: TC1: Track local state ???]

3.1. Release, Acquire, and SC Access

Write Q as Q_{sc} and introduce Q_{ra}.
Q_{sc} implies Q_{ra}.
Access modes can be encoded in the independency relation, indexing labels by μ, but the extra flexibility of the logic is necessary for ARM8 (see §3.2). Using independency, one would also need another way to define completed pomsets. Finally, this use of independency is incompatible with fork (see §3.5).

[visualization. Labels to be turned off later in macros]

$$\boxed{\mathsf{W}x0}\dashrightarrow\boxed{\mathsf{W}^{\mathsf{ra}}x1}\rightarrow\boxed{\mathsf{R}^{\mathsf{sc}}x0}\dashrightarrow\boxed{\phi}$$
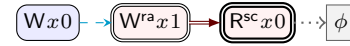
3.2. ARM Compilation: Internal Acquires

Downgrading acquires/Anton example: $\downarrow^x$
We write $[\phi/\downarrow^*]$ for the substitution that performs $[\phi/\downarrow^x]$ for every x.
Our solution allows executions that are not allowed under ARM8 since we do not insist that the local relaxed write is actually read from. This may seem counterintuitive, but we don't see a local way to be more precise.

3.3. ARM Compilation: Read-read dependencies

Control dependencies into reads as in MP with release on right and control dependency on left.
RW implies ¬RO and RO implies ¬RW.

3.4. Putting it together

If we move coherence to independency (and use fork-join), we have the following, assuming that each register occurs at most once.

$\mathsf{qs}_{\mathsf{sc}} = \mathsf{Q}_{\mathsf{sc}}$	$\mathsf{qs}_{\mathsf{ra}} = \mathsf{Q}_{\mathsf{ra}}$	$\mathsf{qs}_{\mathsf{rlx}} = \mathsf{Q}^x_{\mathsf{rlx}}$
$\mathsf{ql}_{\mathsf{sc}} = \mathsf{Q}_{\mathsf{sc}}$	$\mathsf{ql}_{\mathsf{ra}} = \mathsf{Q}^x_{\mathsf{w}}$	$\mathsf{ql}_{\mathsf{rlx}} = \mathsf{Q}^x_{\mathsf{w}}$
$\mathsf{ds}^x_{\mathsf{sc}}\phi = \phi[\mathsf{ff}/\downarrow^*]$	$\mathsf{ds}^x_{\mathsf{ra}}\phi = \phi[\mathsf{ff}/\downarrow^*]$	$\mathsf{ds}^x_{\mathsf{rlx}}\phi = \phi[\mathsf{tt}/\downarrow^x]$
$\mathsf{dl}^x_{\mathsf{sc}} = \downarrow^x$	$\mathsf{dl}^x_{\mathsf{ra}} = \downarrow^x$	$\mathsf{dl}^x_{\mathsf{rlx}} = \mathsf{tt}$

$\mathsf{qs}_{\mathsf{rlx}} = \mathsf{tt}$ and otherwise $\mathsf{qs}_\mu = \mathsf{Q}_\mu$.
$\mathsf{ql}_{\mathsf{sc}} = \mathsf{Q}_{\mathsf{sc}}$ and otherwise $\mathsf{ql}_\mu = \mathsf{tt}$.
$\mathsf{ds}^x_{\mathsf{rlx}}\phi = \phi[\mathsf{tt}/\downarrow^x]$ and otherwise $\mathsf{ds}^x_\mu\phi = \phi[\mathsf{ff}/\downarrow^*]$.
$\mathsf{dl}^x_{\mathsf{rlx}} = \mathsf{tt}$ and otherwise $\mathsf{dl}^x_\mu = \downarrow^x$.

Definition 16.

$\mathsf{qs}_{\mathsf{rlx}} = \mathsf{tt}$ and otherwise $\mathsf{qs}_\mu = \mathsf{Q}_\mu$.
$\mathsf{ql}_{\mathsf{sc}} = \mathsf{Q}_{\mathsf{sc}}$ and otherwise $\mathsf{ql}_\mu = \mathsf{tt}$.

If $P \in STORE(x, M, \mu)$ then

S1–S2) as before,
S3) $\kappa(e)$ implies $M{=}v \wedge \mathsf{RW} \wedge \mathsf{qs}_\mu$,
S4) $\tau^D(\phi)$ implies $M{=}v \wedge \mathsf{ds}_\mu^x \phi[M/x]$,
S5) $\tau^\emptyset(\phi)$ implies $\neg\mathsf{Q}_{\mathsf{ra}} \wedge \mathsf{ds}_\mu^x \phi[M/x]$

If $P \in LOAD(r, x, \mu)$ then

L1–L2) as before,
L3) $\kappa(e)$ implies $\mathsf{RO} \wedge \mathsf{ql}_\mu$,
L4) $\tau^D(\phi)$ implies $(v{=}r) \Rightarrow \phi[r/x]$
L5) $\tau^\emptyset(\phi)$ implies $\mathsf{dl}_\mu^x \wedge \neg\mathsf{Q}_{\mathsf{ra}} \wedge (\mathsf{RW} \Rightarrow (v{=}r \vee x{=}r) \Rightarrow \phi[r/x])$.

3.5. Coherence

Q_{sc} implies Q_{ra} implies $\mathsf{Q}_{\mathsf{rlx}}^x$ implies $\mathsf{Q}_{\mathsf{w}}^x$

- Coherence respects program order: $\mathsf{Q}_{\mathsf{rlx}}^x$
- Drop read-read coherence: $\mathsf{Q}_{\mathsf{w}}^x$ (Required for CSE without alias analysis over read only code, not required by hardware)

It is also possible to put coherence in the independency relation, in which case, the semantics of ; includes the following.

10) if $d \in E_1$ and $e \in E_2$ either $d < e$ or $a \leftrightarrow \lambda_2(e)$.

One must be careful, however, due to *inconsistency*. Consider that `x=0;x=1` should not have completed pomset with only $(\mathsf{W}x0)$.

(10) does not do the right thing with fork either. If you want to enforce coherence this way then you need to use fork-join as the sequential combinator, rather than fork.

Combining the features defined thus far, we have the following, assuming that each register occurs at most once.

$$\mathsf{qs}_{\mathsf{sc}}^x = \mathsf{Q}_{\mathsf{sc}} \qquad \mathsf{qs}_{\mathsf{ra}}^x = \mathsf{Q}_{\mathsf{ra}} \qquad \mathsf{qs}_{\mathsf{rlx}}^x = \mathsf{Q}_{\mathsf{rlx}}^x$$
$$\mathsf{ql}_{\mathsf{sc}}^x = \mathsf{Q}_{\mathsf{sc}} \qquad \mathsf{ql}_{\mathsf{ra}}^x = \mathsf{Q}_{\mathsf{w}}^x \qquad \mathsf{ql}_{\mathsf{rlx}}^x = \mathsf{Q}_{\mathsf{w}}^x$$
$$\mathsf{ds}_{\mathsf{sc}}^x \phi = \phi[\mathsf{ff}/\downarrow^*] \quad \mathsf{ds}_{\mathsf{ra}}^x \phi = \phi[\mathsf{ff}/\downarrow^*] \quad \mathsf{ds}_{\mathsf{rlx}}^x \phi = \phi[\mathsf{tt}/\downarrow^x]$$
$$\mathsf{dl}_{\mathsf{sc}}^x = \downarrow^x \qquad \mathsf{dl}_{\mathsf{ra}}^x = \downarrow^x \qquad \mathsf{dl}_{\mathsf{rlx}}^x = \mathsf{tt}$$

$\mathsf{qs}_{\mathsf{rlx}}^x = \mathsf{Q}_{\mathsf{rlx}}^x$ and otherwise $\mathsf{qs}_\mu^x = \mathsf{Q}_\mu$.
$\mathsf{ql}_{\mathsf{sc}}^x = \mathsf{Q}_{\mathsf{sc}}$ and otherwise $\mathsf{ql}_\mu^x = \mathsf{Q}_{\mathsf{w}}^x$.
$\mathsf{ds}_{\mathsf{rlx}}^x \phi = \phi[\mathsf{tt}/\downarrow^x]$ and otherwise $\mathsf{ds}_\mu^x \phi = \phi[\mathsf{ff}/\downarrow^*]$.
$\mathsf{dl}_{\mathsf{rlx}}^x = \mathsf{tt}$ and otherwise $\mathsf{dl}_\mu^x = \downarrow^x$.

Definition 17.

If $P \in STORE(x, M, \mu)$ then

S1–S2) as before,
S3) $\kappa(e)$ implies $M{=}v \wedge \mathsf{RW} \wedge \mathsf{qs}_\mu^x$,
S4) $\tau^D(\phi)$ implies $(\mathsf{Q}_{\mathsf{w}}^x \Rightarrow M{=}v) \wedge \mathsf{ds}_\mu^x \phi[M/x]$,
S5) $\tau^\emptyset(\phi)$ implies $\neg\mathsf{Q}_{\mathsf{w}}^x \wedge \mathsf{ds}_\mu^x \phi[M/x]$.

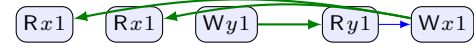If $P \in LOAD(r, x, \mu)$ then

L1–L2) as before,
L3) $\kappa(e)$ implies $\mathsf{RO} \wedge \mathsf{ql}_\mu^x$,
L4) $\tau^D(\phi)$ implies $(v{=}r) \Rightarrow \phi[r/x]$
L5) $\tau^\emptyset(\phi)$ implies $\mathsf{dl}_\mu^x \wedge \neg\mathsf{Q}_{\mathsf{rlx}}^x \wedge (\mathsf{RW} \Rightarrow (v{=}r \vee x{=}r) \Rightarrow \phi[r/x])$.

4. Further Complications

4.1. Redundant Read Elimination

Requires indexing to resolve nondeterminism.

$$r{:=}x; s{:=}x; \mathtt{if}\ (r{=}s)\ \{y{:=}1\}\ \|\ x{:=}y \qquad (\text{TC2})$$

Precondition of $(\mathsf{W}y1)$ is $(r{=}s)$ in $[\![\mathtt{if}\ (r{=}s)\ \{y{:=}1\}]\!]$. Predicate transformers for $\emptyset$ in $[\![r{:=}x]\!]$ and $[\![s{:=}x]\!]$ are

$$\langle (r{=}1 \vee r{=}x) \Rightarrow \phi[r/x] \mid \phi \rangle,$$
$$\langle (s{=}1 \vee s{=}x) \Rightarrow \phi[s/x] \mid \phi \rangle.$$

Combining the transformers, we have

$$\langle (r{=}1 \vee r{=}x) \Rightarrow (s{=}1 \vee s{=}r) \Rightarrow \phi[s/x] \mid \phi \rangle.$$

Applying this to $(r{=}s)$, we have

$$\langle (r{=}1 \vee r{=}x) \Rightarrow (s{=}1 \vee s{=}r) \Rightarrow (r{=}s) \mid \phi \rangle,$$

which is not a tautology.

Same problem occurs oopsla, where we have:

$$\langle \phi[v/x, r] \wedge \phi[x/r] \mid \phi \rangle,$$
$$\langle \phi[v/x, s] \wedge \phi[x/s] \mid \phi \rangle.$$

Combining the transformers, we have

$$\langle \phi[v/x, r, s] \wedge \phi[v/x, r][x/s] \wedge \phi[x/r][v/x, s] \wedge \phi[x/r, s] \mid \phi \rangle.$$

Applying this to $(r{=}s)$, we have

$$\langle v{=}v \wedge v{=}x \wedge x{=}v \wedge x{=}x \mid \phi \rangle,$$

which is not a tautology.

The semantics here allows this by coalescing:

$$r{:=}x; s{:=}x; \mathtt{if}\ (r{=}s)\ \{y{:=}1\}\ \|\ x{:=}y$$

4.2. If Closure

Requires indexing to resolve nondeterminism.
IF closure/case analysis: ψ_e

If $P \in STORE(L, M, \mu)$ then $(\exists \ell : E \to \mathcal{V})\ (\exists v : E \to \mathcal{V})\ (\exists \psi : E \to \Phi)$

S1) if $\psi_d \wedge \psi_e$ is satisfiable then $d = e$,

S2) $\lambda(e) = (\mathsf{W}\,[\ell_e]\,v_e)$,

S3) $\kappa(e)$ implies $\psi_e \wedge L{=}\ell_e \wedge M{=}v_e \wedge \mathsf{RW} \wedge \mathsf{qs}_\mu^{[\ell_e]}$,

S4) $(\forall k)$ if $d \in D$ then $\tau^D(\phi)$ implies $\psi_d \Rightarrow (L{=}k) \Rightarrow \big((\mathsf{Q}_{\mathsf{w}}^{[k]} \Rightarrow M{=}v_d) \wedge \mathsf{ds}_\mu^{[k]}\phi[M/[k]]\big)$,

S5) $(\forall k)\ \tau^D(\phi)$ implies $(\nexists d \in D.\ \psi_d) \Rightarrow (L{=}k) \Rightarrow (\neg\mathsf{Q}_{\mathsf{w}}^{[k]} \wedge \mathsf{ds}_\mu^{[k]}\phi[M/[k]])$.

If $P \in LOAD(r, L, \mu)$ then $(\exists \ell : E \to \mathcal{V})\ (\exists v : E \to \mathcal{V})\ (\exists \psi : E \to \Phi)$

L1) if $\psi_d \wedge \psi_e$ is satisfiable then $d = e$,

L2) $\lambda(e) = (\mathsf{R}\,[\ell_e]\,v_e)$,

L3) $\kappa(e)$ implies $\psi_e \wedge L{=}\ell_e \wedge \mathsf{RO} \wedge \mathsf{ql}_\mu^{[\ell_e]}$,

L4) $(\forall k)$ if $d \in D$ then $\tau^D(\phi)$ implies $\psi_d \Rightarrow (L{=}k) \Rightarrow (v{=}s_d) \Rightarrow \phi[s_d/r][s_d/[k]]$,

L5) $(\forall k)$ if $d \notin D$ then $\tau^D(\phi)$ implies $\psi_d \Rightarrow (L{=}k) \Rightarrow \big(\mathsf{dl}_\mu^{[k]} \wedge \neg\mathsf{Q}_{\mathsf{rlx}}^{[k]} \wedge (\mathsf{RW} \Rightarrow (v{=}s_d \vee x{=}s_d) \Rightarrow \phi[s_d/r][s_d/[k]])\big)$,

L6) $(\forall k)(\forall s)\ \tau^D(\phi)$ implies $(\nexists d \in D.\ \psi_d) \Rightarrow (L{=}k) \Rightarrow \big(\mathsf{dl}_\mu^{[k]} \wedge \neg\mathsf{Q}_{\mathsf{rlx}}^{[k]} \wedge \Rightarrow \phi[s/r][s/[k]]\big)$.

Figure 2. Full Semantics of Load and Store

4.3. Address Calculation

Do this after if closure, because problem with punning badly.

In *STORE*:

S1) $\lambda(e) = (\mathsf{W}\,[\ell]\,v)$,

1) $\kappa(e)$ implies $(L{=}\ell \wedge M{=}v)$,

2) $\tau^\emptyset(\phi)$ implies $(L{=}\ell) \Rightarrow \phi[M/[\ell]]$,

3) $\tau^D(\phi)$ implies $(L{=}\ell) \Rightarrow (M{=}v) \wedge \phi[M/[\ell]]$,

In *LOAD*:

1) $\lambda(e) = (\mathsf{R}\,[\ell]\,v)$,

2) $\kappa(e)$ implies $(L{=}\ell)$,

3) $\tau^\emptyset(\phi)$ implies $(L{=}\ell) \Rightarrow (r{=}v \vee r{=}[\ell]) \Rightarrow \phi[r/[\ell]]$,

4) $\tau^D(\phi)$ implies $(L{=}\ell) \Rightarrow (r{=}v) \Rightarrow \phi[r/[\ell]]$,

4.4. Putting it together

The full semantics of load and store is given in Figure 2. Recall that $\mathcal{S}_D = \{s_d \mid d \in D\}$.

References

E. W. Dijkstra. Guarded commands, nondeterminacy and formal derivation of programs. *Commun. ACM*, 18(8): 453–457, 1975. doi: 10.1145/360933.360975. URL https://doi.org/10.1145/360933.360975.

R. Jagadeesan, A. Jeffrey, and J. Riely. Pomsets with preconditions: a simple model of relaxed memory. *Proc. ACM Program. Lang.*, 4(OOPSLA):194:1–194:30, 2020. doi: 10.1145/3428262. URL https://doi.org/10.1145/3428262.