

**Co-NP  
and  
the Asymmetry of NP**

# Efficient Certification

B is an efficient certifier for a problem X if the following properties hold

- B is a polynomial-time algorithm that takes two input arguments s and t
- There is a polynomial function p so that for every string s, we have  $s \in X$  if and only if there exists a string t such that  $|t| \leq p(|s|)$  and  $B(s, t) = \text{yes}$

# Observation

- An input string is a 'yes' instance if and only if there exists  $t$  so that  $B(s, t) = \text{yes}$
- Negating this statement, we see that an input string  $s$  is a 'no' instance if and only if for all  $t$ , it's the case that  $B(s, t) = \text{no}$

# Complementary Problem

For every problem  $x$ , there is a natural complementary problem  $\bar{x}$ :

- For all input strings  $s$ , we say  $s \in \bar{x}$  if and only if  $s \notin x$

If  $x \in P$ , then  $\bar{x} \in P$

# Should $\bar{x} \in \text{NP}$ if $x \in \text{NP}$ ?

- The problem  $\bar{x}$ , rather, has a different property: for all  $s$ , we have  $s \in \bar{x}$  if and only if for all  $t$  of length at most  $p(|s|)$ ,  $B(s, t) = \text{no}$
- This is a fundamentally different definition, and it can't be worked around by simply “inverting” the output of the efficient certifier  $B$  to produce  $B$
- The problem is that the “exists  $t$ ” in the definition of NP has become a “for all  $t$ ,” and this is a serious change

A problem  $X$  belongs  
to co-NP if and only if  
the complementary  
problem  $\bar{X}$  belongs to  
NP

**If  $\text{NP} \neq \text{co-NP}$ , then  $\text{P} \neq \text{NP}$**

$$X \in \text{NP} \implies X \in \mathcal{P} \implies \bar{X} \in \mathcal{P} \implies \bar{X} \in \text{NP} \implies X \in \text{co-NP}$$

and

$$X \in \text{co-NP} \implies \bar{X} \in \text{NP} \implies \bar{X} \in \mathcal{P} \implies X \in \mathcal{P} \implies X \in \text{NP}$$

Hence it would follow that  $\text{NP} \subseteq \text{co-NP}$  and  $\text{co-NP} \subseteq \text{NP}$ , whence  $\text{NP} = \text{co-NP}$

# The Class $NP \cap co-NP$

- If a problem  $X$  belongs to both  $NP$  and  $co-NP$ , then
  - When the answer is yes, there is a short proof
  - When the answer is no, there is a short proof
- The problems that belong to this intersection  $NP \cap co-NP$  are said to have a good characterization, since there is always a nice certificate for the solution
  - Example : Determine whether a flow network contains a flow of value at least  $v$ , for some quantity  $v$
- $P \subseteq NP \cap co-NP$



# PSPACE

The set of all problems that can be solved by an algorithm with polynomial space complexity

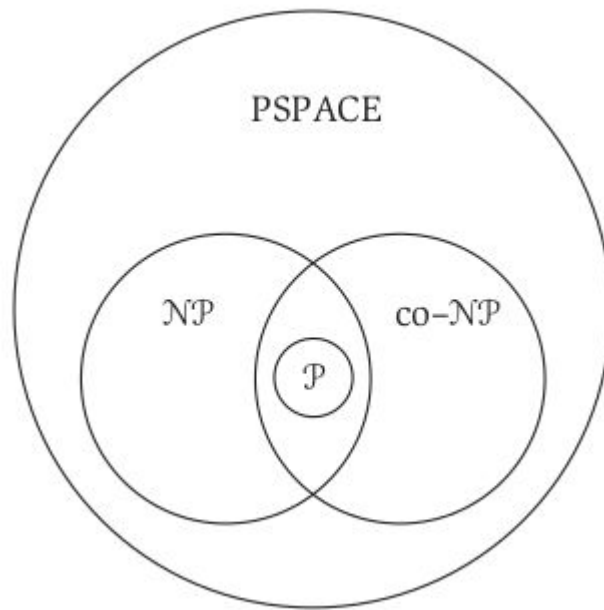
# There is an algorithm that solves 3-SAT using only a polynomial amount of space

- Brute-force algorithm that tries all possible truth assignment
- Increment  $n$ -bit counter from 0 to  $2^n - 1$
- Counter holding value 'q' then  $x_i$  take that value of  $i^{\text{th}}$  bit in q
- We spend only polynomial space in checking the truth assignment

# **$NP \subseteq PSPACE$**

- Consider problem  $Y$  in  $NP$
- Since  $Y \leq 3\text{-SAT}$ , there exist an algorithm that solves  $Y$  in polynomial number of steps
- $Y$  uses only polynomial space

# Subset relationship



# Quantification

Let  $\Phi(x_1, x_2, \dots, x_n)$  be a boolean formula of form

$$C_1 \wedge C_2 \wedge C_3 \wedge \dots \wedge C_k$$

## 3-SAT

$$\exists x_1 \exists x_2 \dots \exists x_{n-2} \exists x_{n-1} \exists x_n \Phi(x_1, \dots, x_n)?$$

## QSAT

$$\exists x_1 \forall x_2 \dots \exists x_{n-2} \forall x_{n-1} \exists x_n \Phi(x_1, \dots, x_n)?$$

## Example

$$\Phi(x_1, x_2, x_3) = (x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3}) \wedge (\overline{x_1} \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_3})$$

$$\exists x_1 \forall x_2 \exists x_3 \Phi(x_1, x_2, x_3)?$$

# Reference

Jon Kleinberg and Éva Tardos. 2006. *Algorithm Design*

**Thank you!**

Q&A