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# Faster Ruby and JavaScript with GraalVM

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Research Manager  
Oracle Labs  
September 20, 2016

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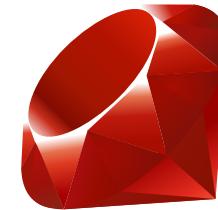
# Safe Harbor Statement

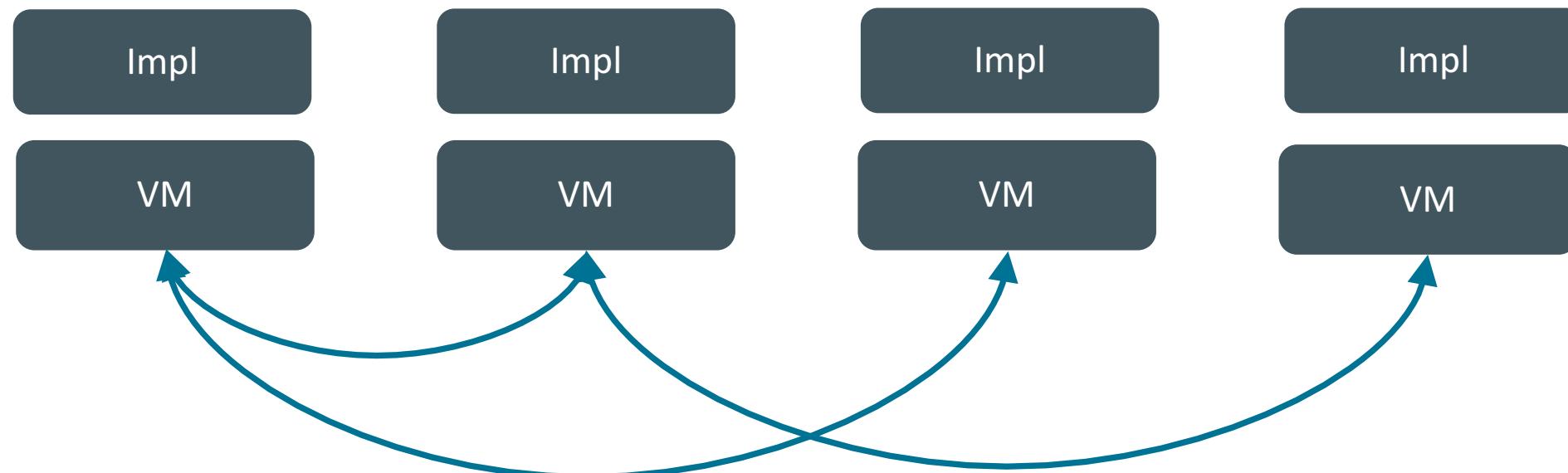
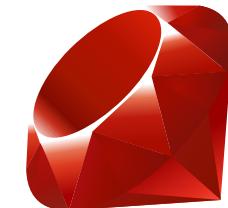
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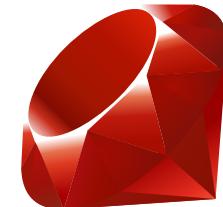
# The One VM Concept

High performance polyglot virtual machine

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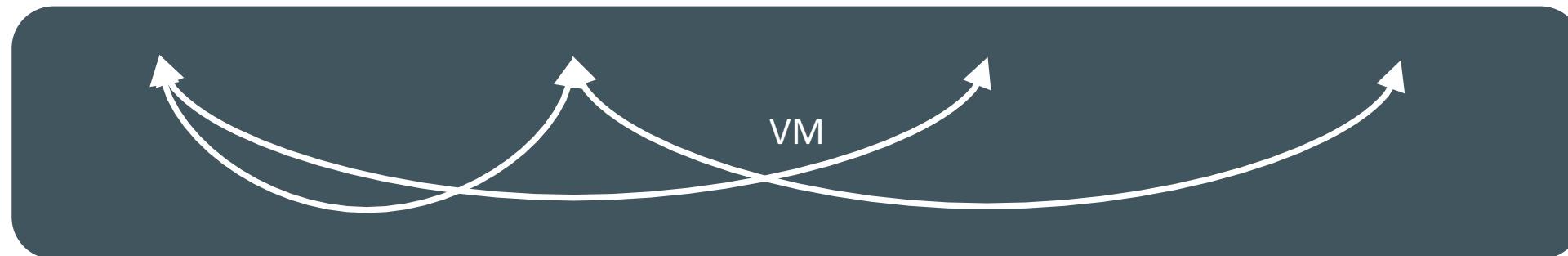
Impl

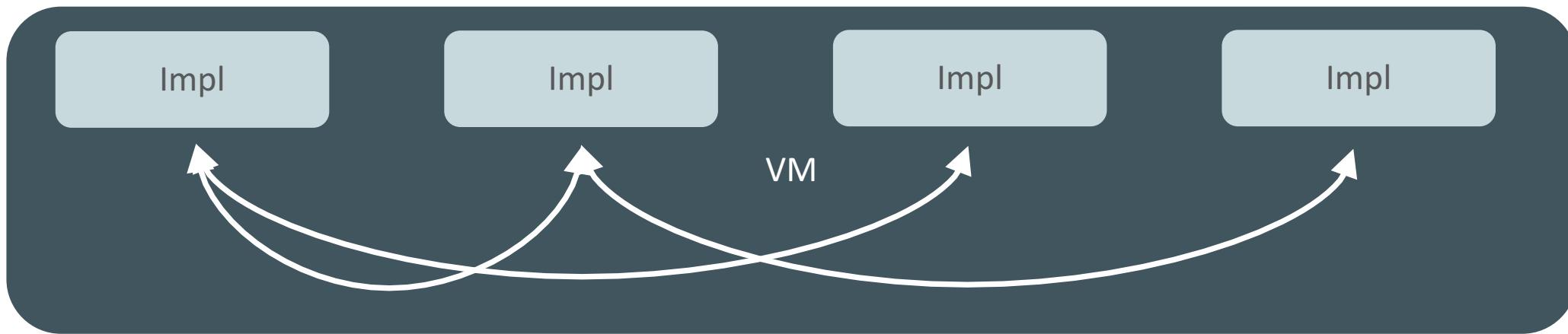
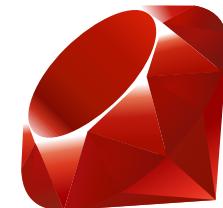
Impl

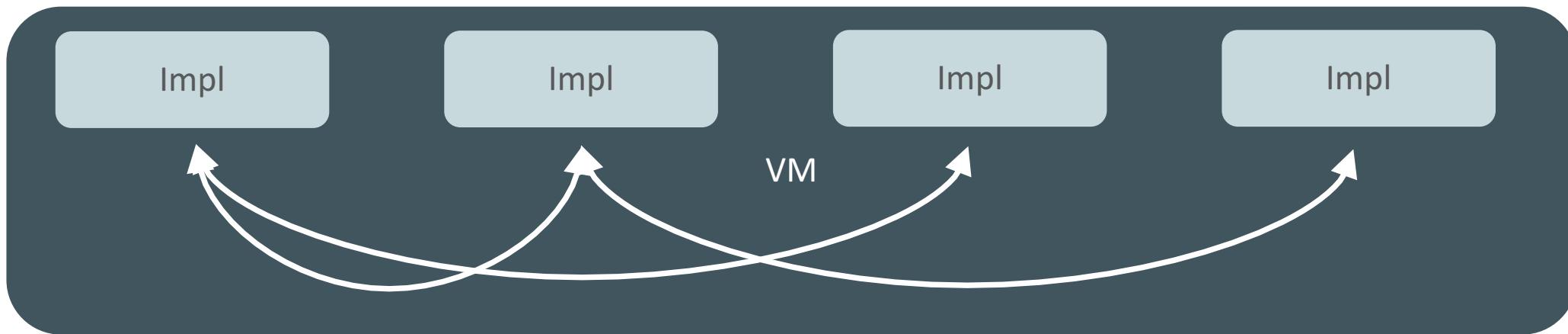
Impl

Impl

VM







# JavaScript in GraalVM

# Completeness

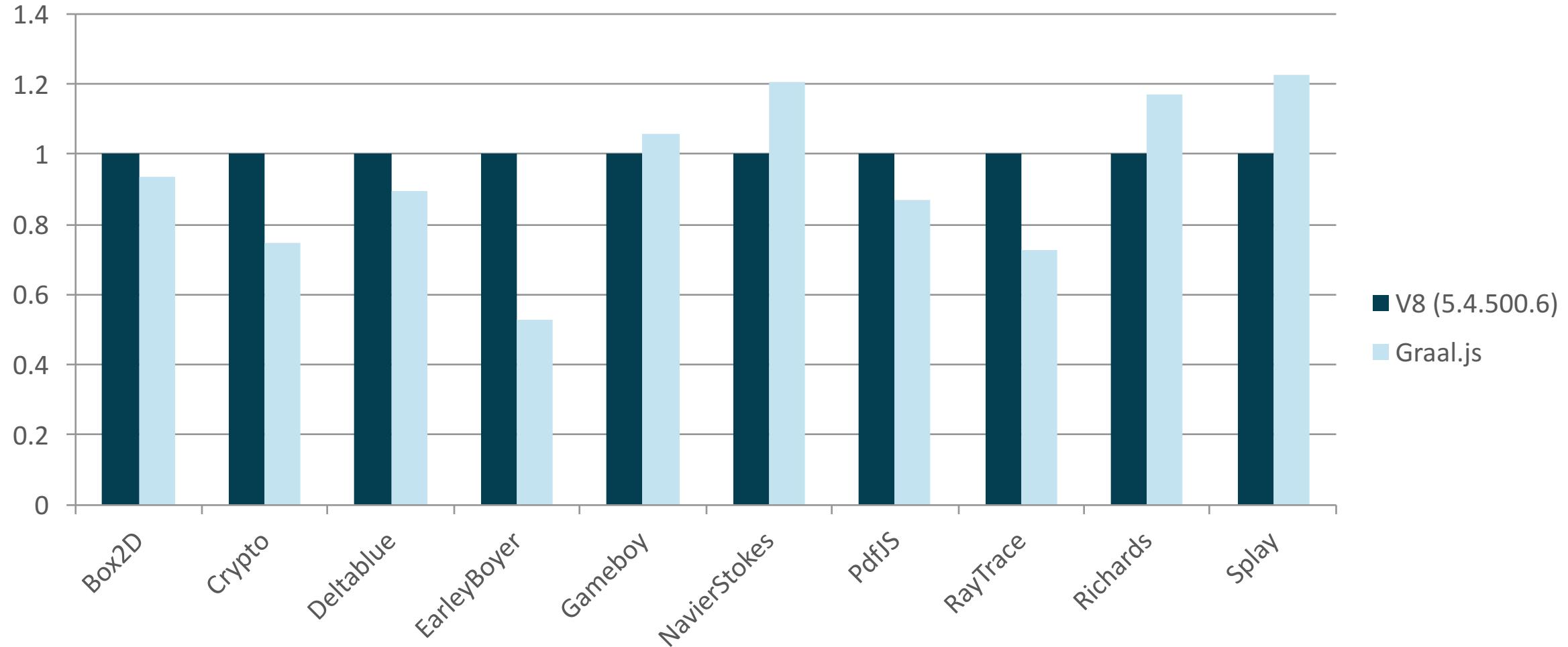
## ECMAScript 2015 (ES6)

- Graal passes 99.3% (16298 of 16417 tests)
- Failing tests are to a large part Unicode Regular Expressions

## ECMAScript 2016 (ES7)

- Graal passes 93.4% (20785 of 22260 tests)
- V8 (5.4.500.6) passes 91.1%
- Graal supports ES7 (exponentiation operator, Array.prototype.includes)
- Fails due to new block-level function declaration and corner-case tests of the spread operator

# Classic research benchmarks – roughly level with V8



# Ruby in GraalVM

# Completeness – language and core library

99%

Ruby language

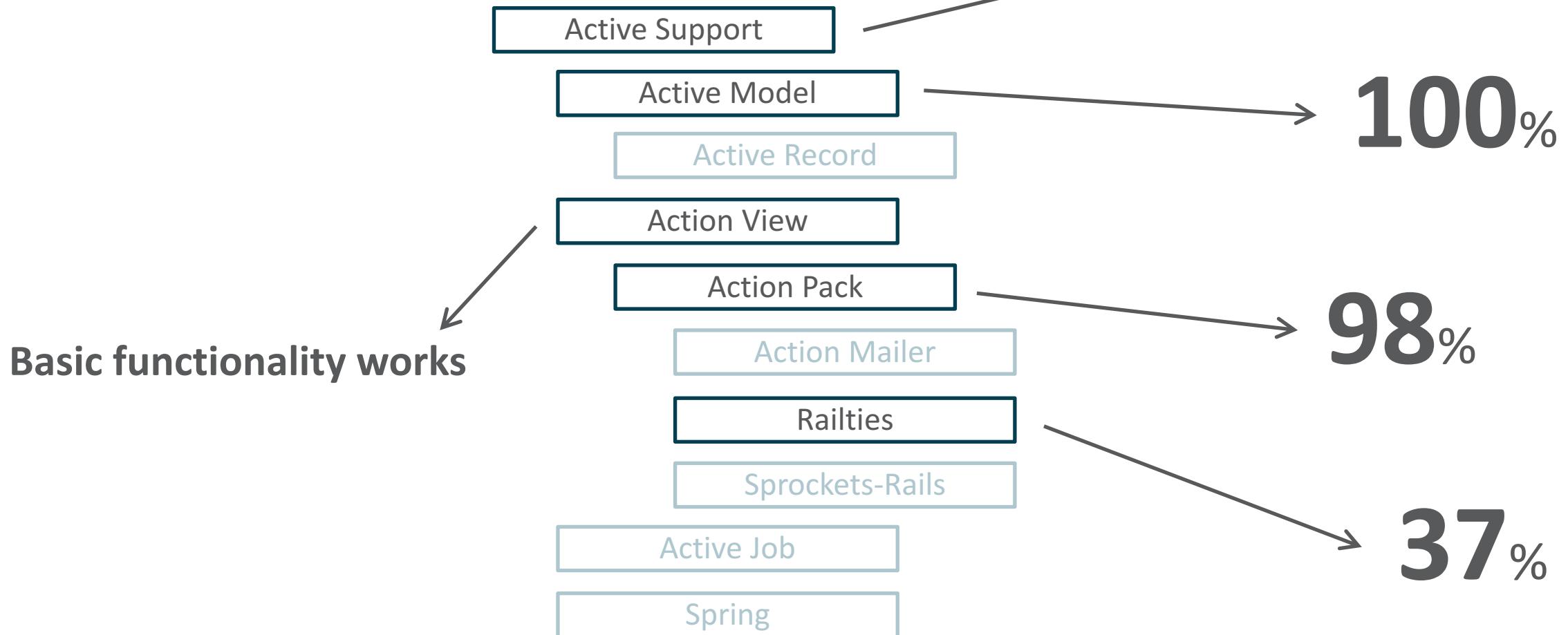
JRuby passes 94%

96%

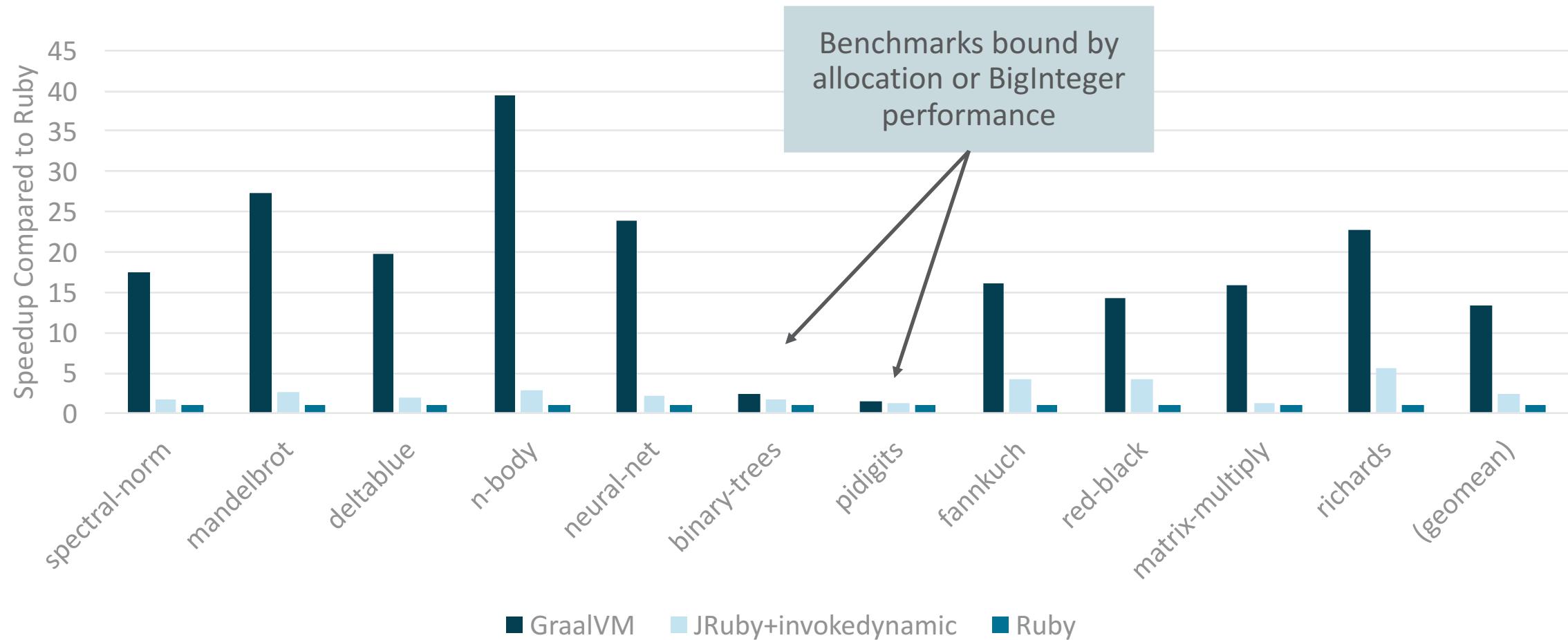
Ruby core library

JRuby passes 95%

# Completeness – the basic Rails stack



# Classic research benchmarks – 10-20x faster



‘But it’s easy to optimise that kind of code!’

Basic loops

No method calls  
(except operators)

Simple local variables

Only types are numerical  
or boolean

Simple floating point  
arithmetic

Vectorisation  
opportunities

```
z = 0
while z < 50
    tr = zr*sr - zi*zi + cr
    ti = 2.0*sr*zi + ci
    sr = tr
    zi = ti
    zr*sr = sr*sr
    zi*zi = zi*zi
    if sr*sr+zi*zi > 4.0
        escape = 0b0
        break
    end
    z += 1
end
```

# ‘Real Ruby is much more complex!’

Loop bounds are objects  
instead of simple values

Smalltalk-style blocks  
instead of loops

Instance  
variables

Arrays

```
def combine_greyscale_channel
  if channels == 2
    (0...@num_pixels).step(pixel_step) do |i|
      grey = @channel_data[i]
      alpha = @channel_data[@channel_length + i]
      @pixel_data.push ChunkyPNG::Color.grayscale_alpha(grey, alpha)
    end
  else
    (0...@num_pixels).step(pixel_step) do |i|
      @pixel_data.push ChunkyPNG::Color.grayscale(@channel_data[i])
    end
  end
end
```

Logic hidden in  
methods

```
def grayscale_alpha(teint, a)
  teint << 24 | teint << 16 | teint << 8 | a
end
```

Inner loop pixels  
represented as a hash of  
r, g, b

No local variables, only  
method calls

```
def cmyk_to_rgb(c, m, y, k)
Hash[{
  r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
  g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
  b: (65535 - (y * (255 - k) + (k << 8))) >> 8
}.map { |k, v| [k, Util.clamp(v, 0, 255)] }]
end
```

Hash mapped to an array  
of arrays, via another  
array, converted back to a  
hash

Intermediate objects

```
def clamp(num, min, max)
[min, num, max].sort[1]
end
```

Arithmetic hidden in core  
library methods

Metaprogramming send

Dynamically created symbol

```
ChunkyPNG:::Canvas.send(:decode_png_resample_#{bit_depth}bit_value", pixel)
```

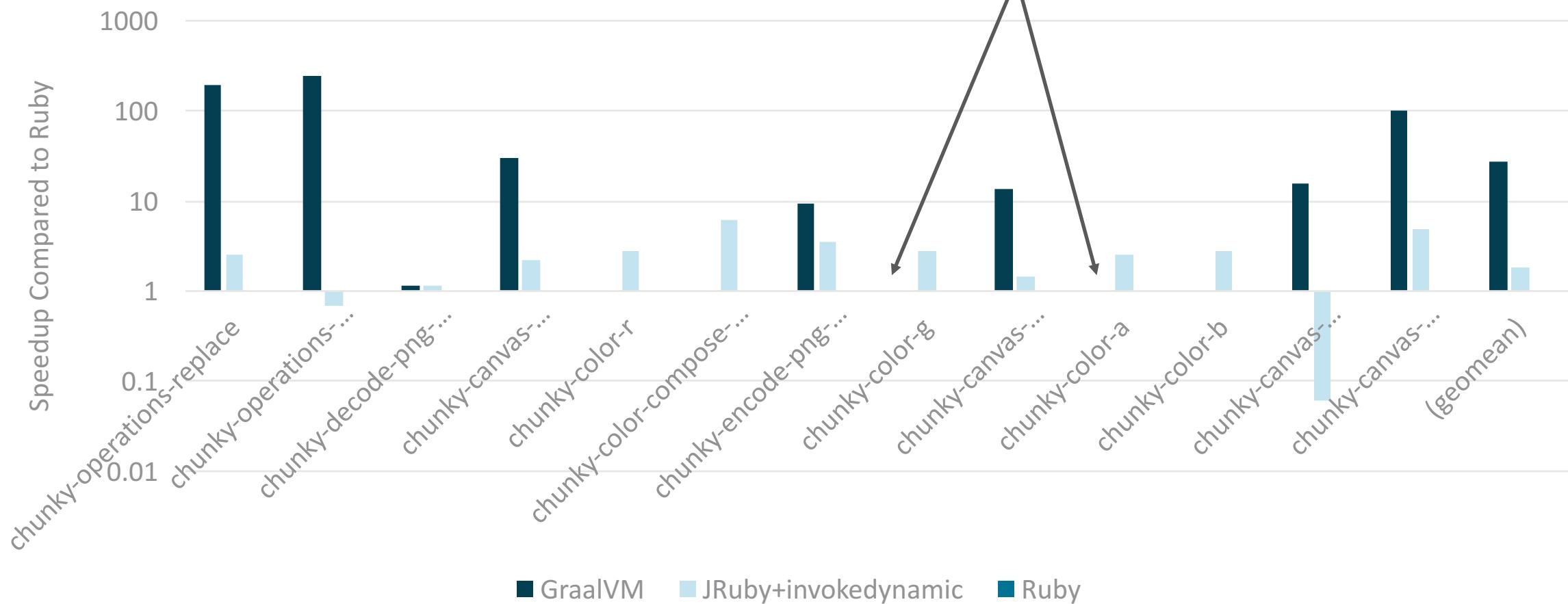
Actual logic method  
dynamic method calls

```
def decode_png_resample_16bit_value(value)
  value >> 8
end
```

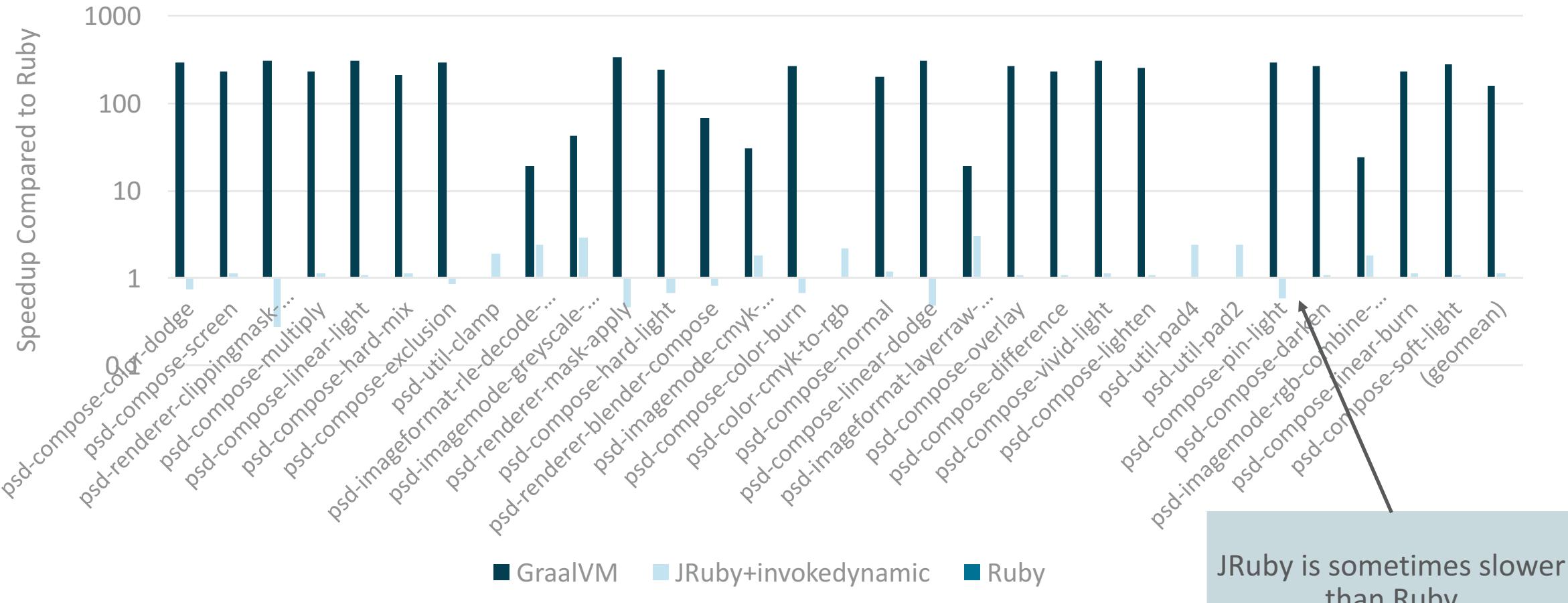
```
def decode_png_resample_8bit_value(value)
  value
end
```

```
def decode_png_resample_4bit_value(value)
  value << 4 | value
end
```

# Chunky PNG kernels

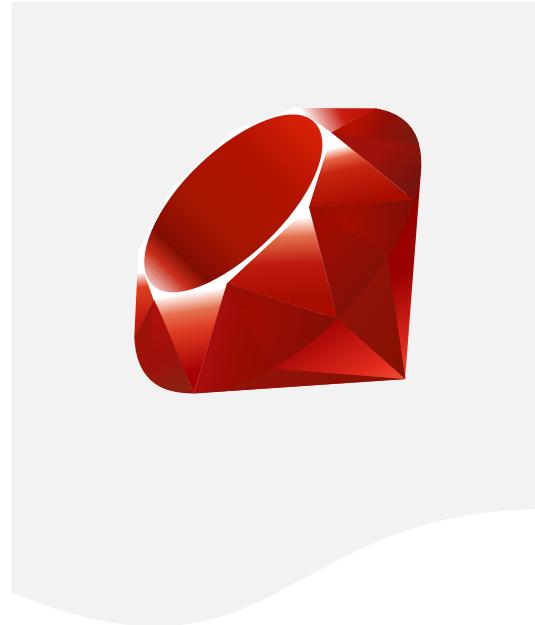


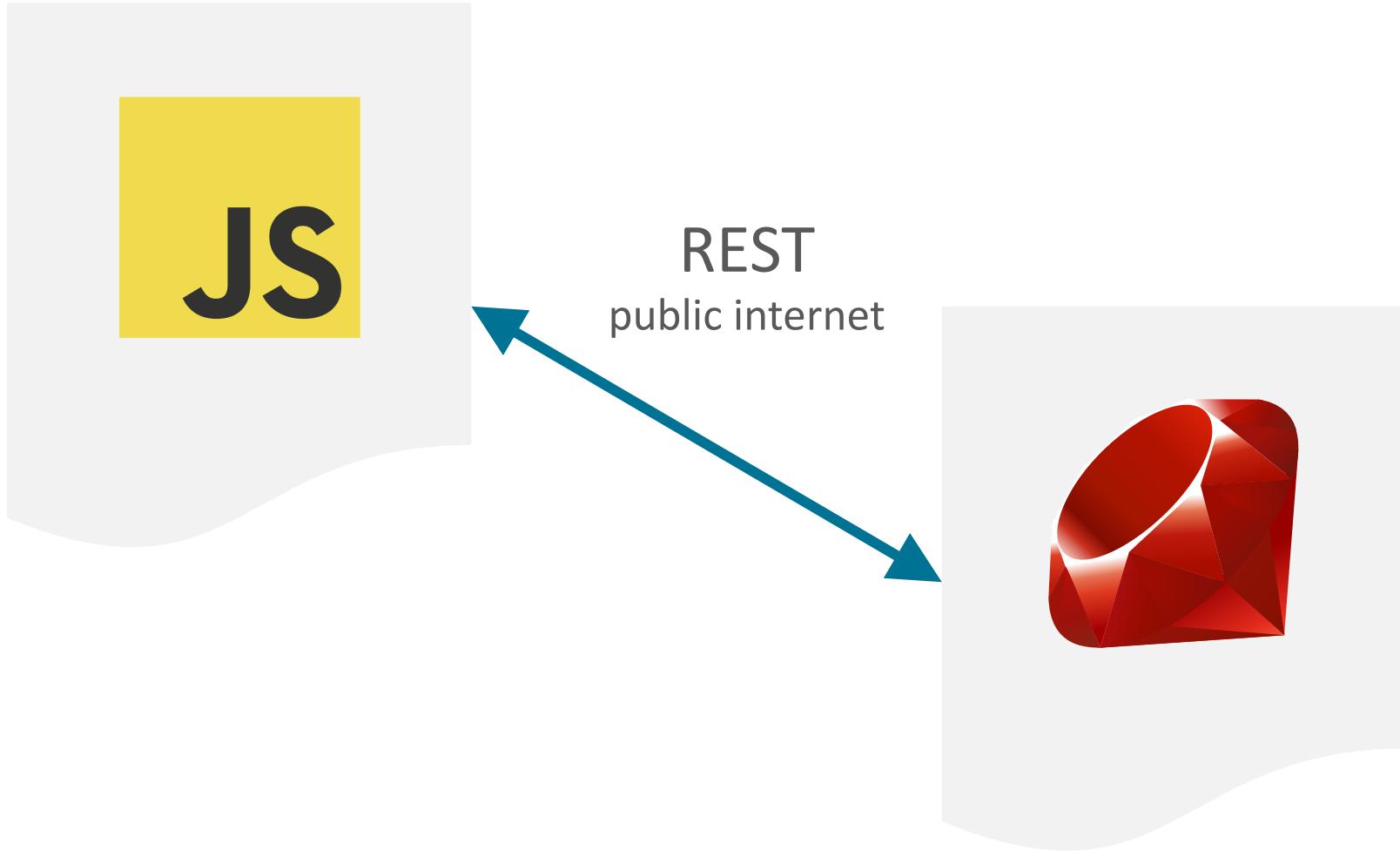
# PSD.rb kernels

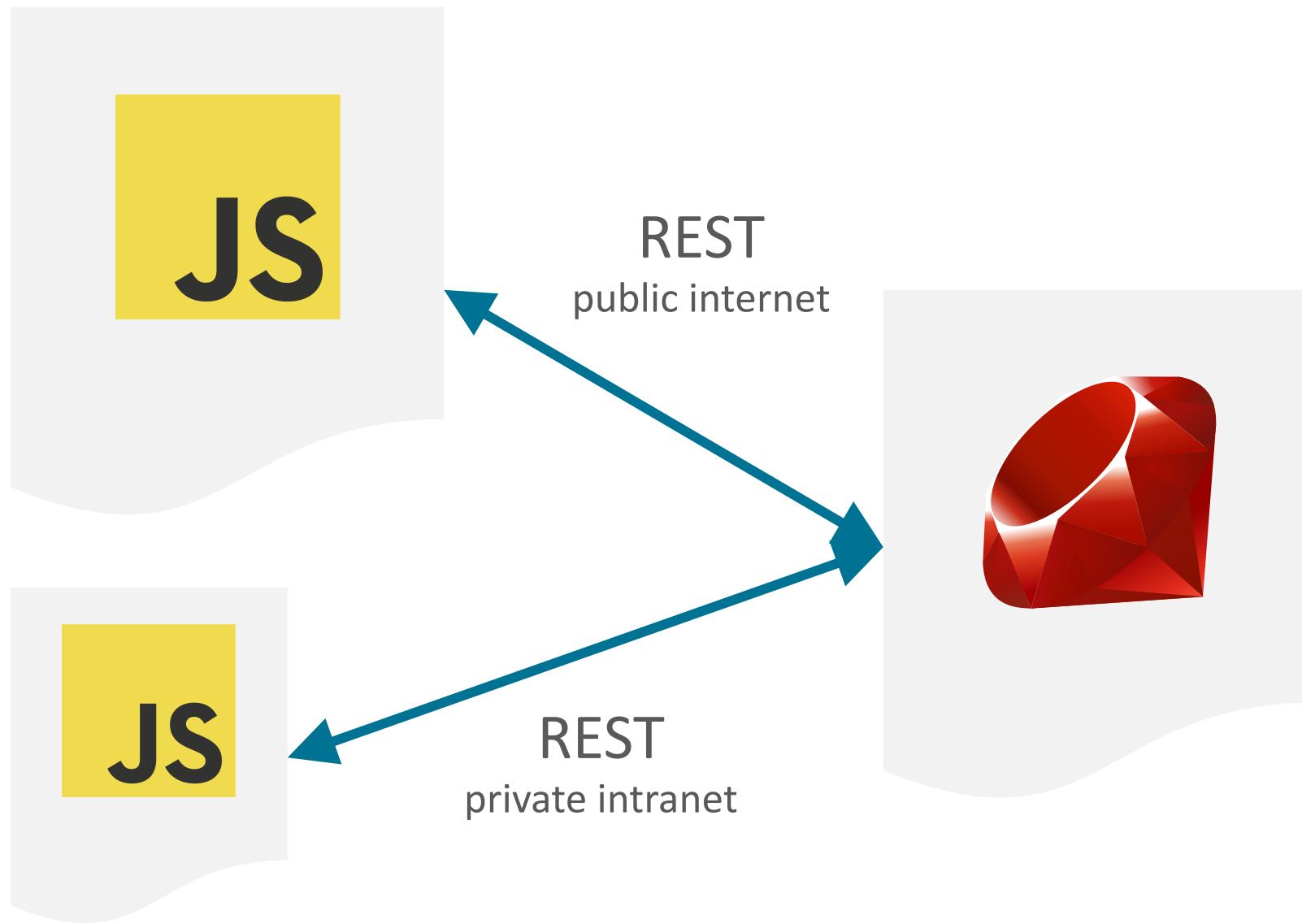


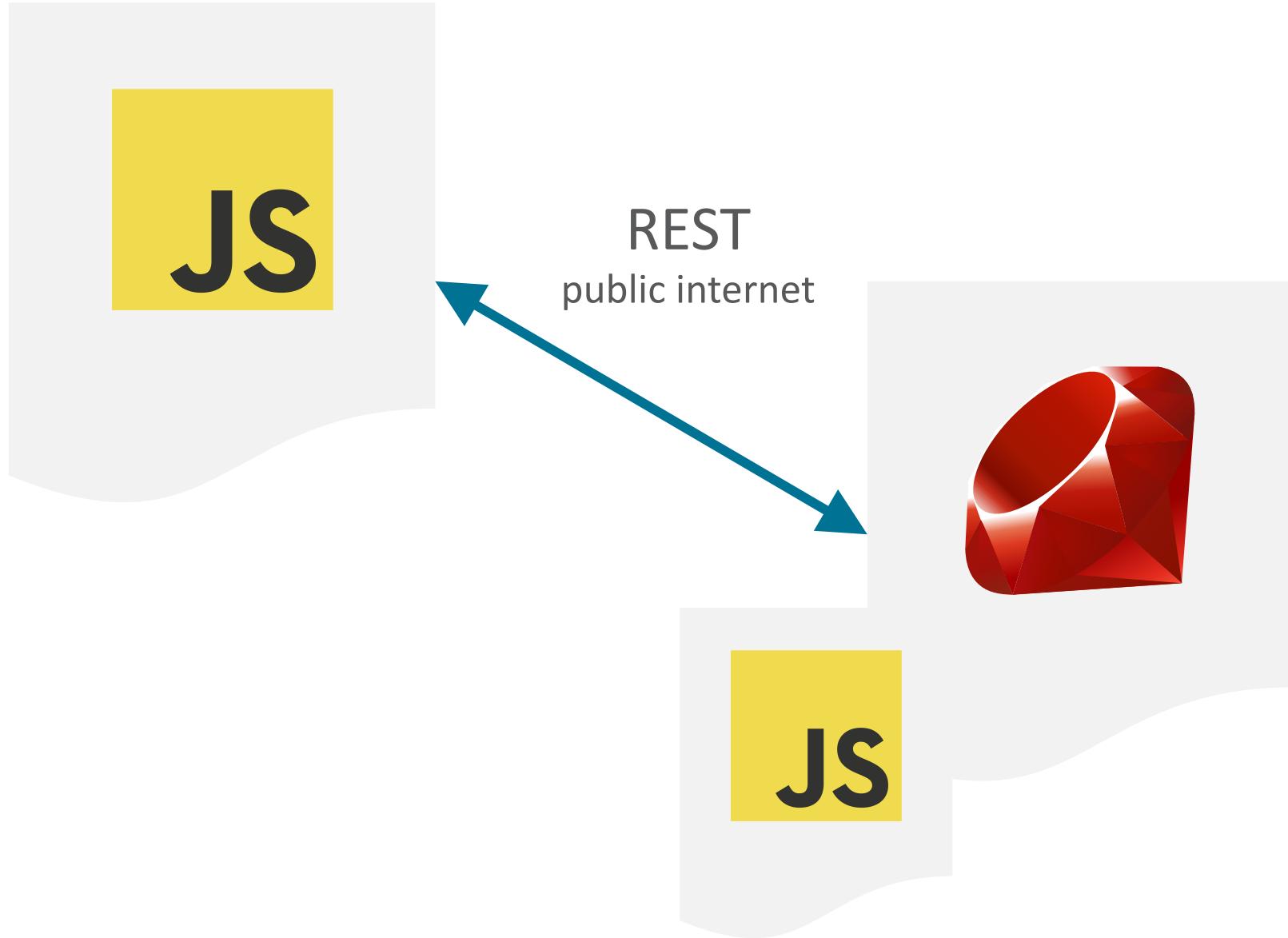
JRuby is sometimes slower than Ruby

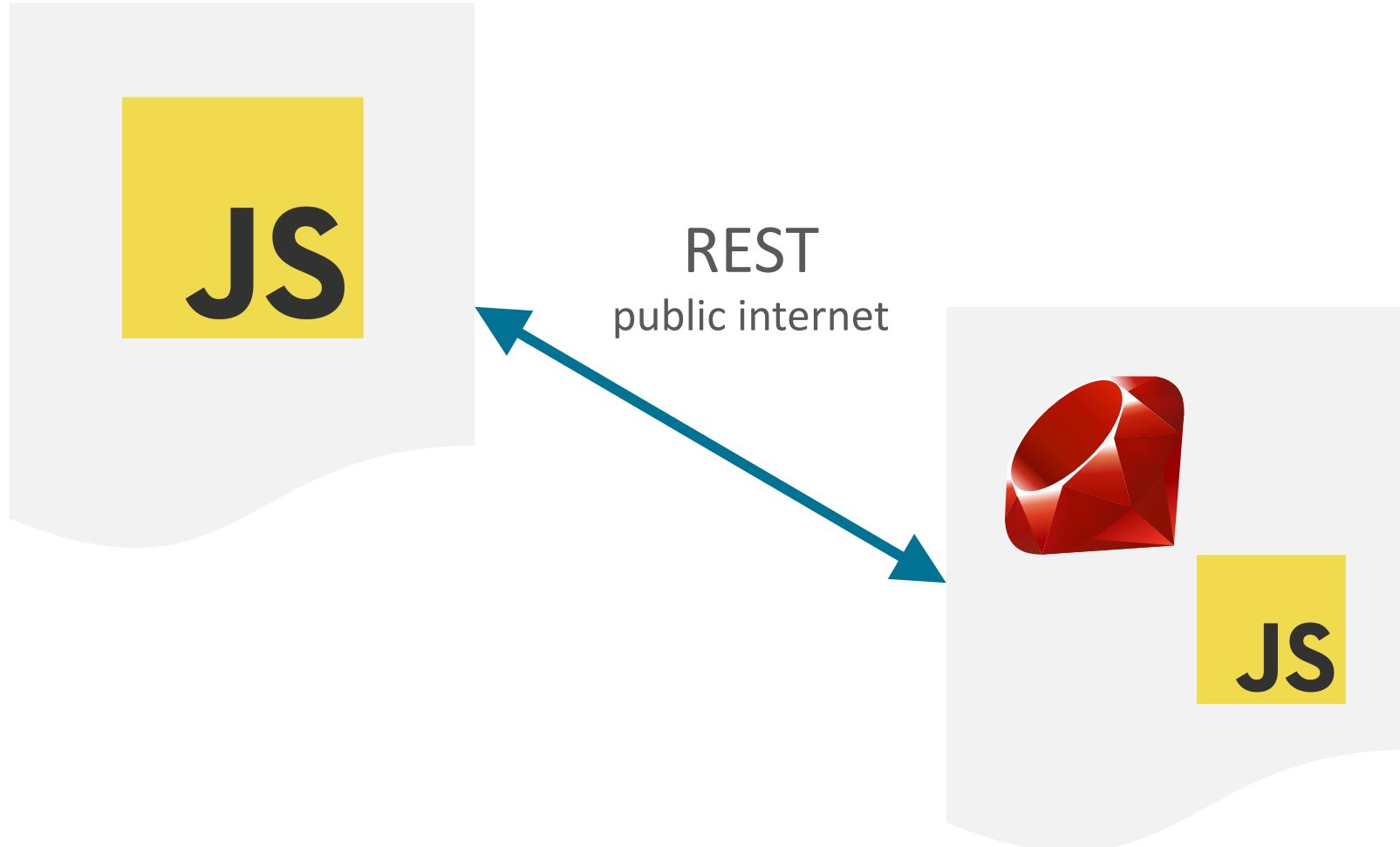
# Polyglot











# How we do polyglot in GraalVM

```
Truffle::Interop.eval('application/language', source)

value = Truffle::Interop.import(name)

Truffle::Interop.export(name)
```

```
Interop.eval('application/language', source)
```

```
value = Interop.import(name)
```

```
Interop.export(name)
```

```
puts Truffle::Interop.eval('application/javascript', '14 + 2')
# 16
```

Ruby

```
puts Truffle::Interop.eval('application/javascript', '14 + 2')  
# 16
```

JavaScript



```
Truffle::Interop.eval('application/javascript', "
    function add(a, b) {
        return a + b;
    }

    Interop.export('add', add.bind(this));
")

add = Truffle::Interop.import('add')

puts add.call(14, 2)
# 16
```

Ruby

```
Truffle::Interop.eval('application/javascript', "
  function add(a, b) {
    return a + b;
  }

  Interop.export('add', add.bind(this));
")

add = Truffle::Interop.import('add')

puts add.call(14, 2)
# 16
```

JavaScript

```
function add(a, b) {  
    return a + b;  
}  
  
puts add(14, 2)  
# 16
```

JavaScript

```
function add(a, b) {  
    return a + b;  
}
```

Ruby

```
puts add(14, 2)  
# 16
```

```
function Point(x, y) {  
    this.x = x;  
    this.y = y;  
}  
  
function random_points(n) {  
    points = [];  
    for (i = 0; i < n; i++) {  
        points[i] = new Point(Math.random(), Math.random())  
    }  
    return points;  
}  
  
points = random_points(100)  
  
point = points[0]  
puts point.x, point.y  
# 0.642460680339328  
# 0.116305386298814
```

JS

```
function Point(x, y) {  
    this.x = x;  
    this.y = y;  
}  
  
function random_points(n) {  
    points = [];  
    for (i = 0; i < n; i++) {  
        points[i] = new Point(Math.random(), Math.random())  
    }  
    return points;  
}
```

Ruby

```
points = random_points(100)  
  
point = points[0]  
puts point.x, point.y  
# 0.642460680339328  
# 0.116305386298814
```

# Performance

```
def clamp(num, min, max)
  [min, num, max].sort[1]
end

def cmyk_to_rgb(c, m, y, k)
  Hash[{
    r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
    g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
    b: (65535 - (y * (255 - k) + (k << 8))) >> 8
  ].map { |k, v| [k, clamp(v, 0, 255)] }
end

benchmark do
  cmyk_to_rgb(rand(255), rand(255), rand(255), rand(255))
end
```

Warms up and then  
reports iterations per  
second

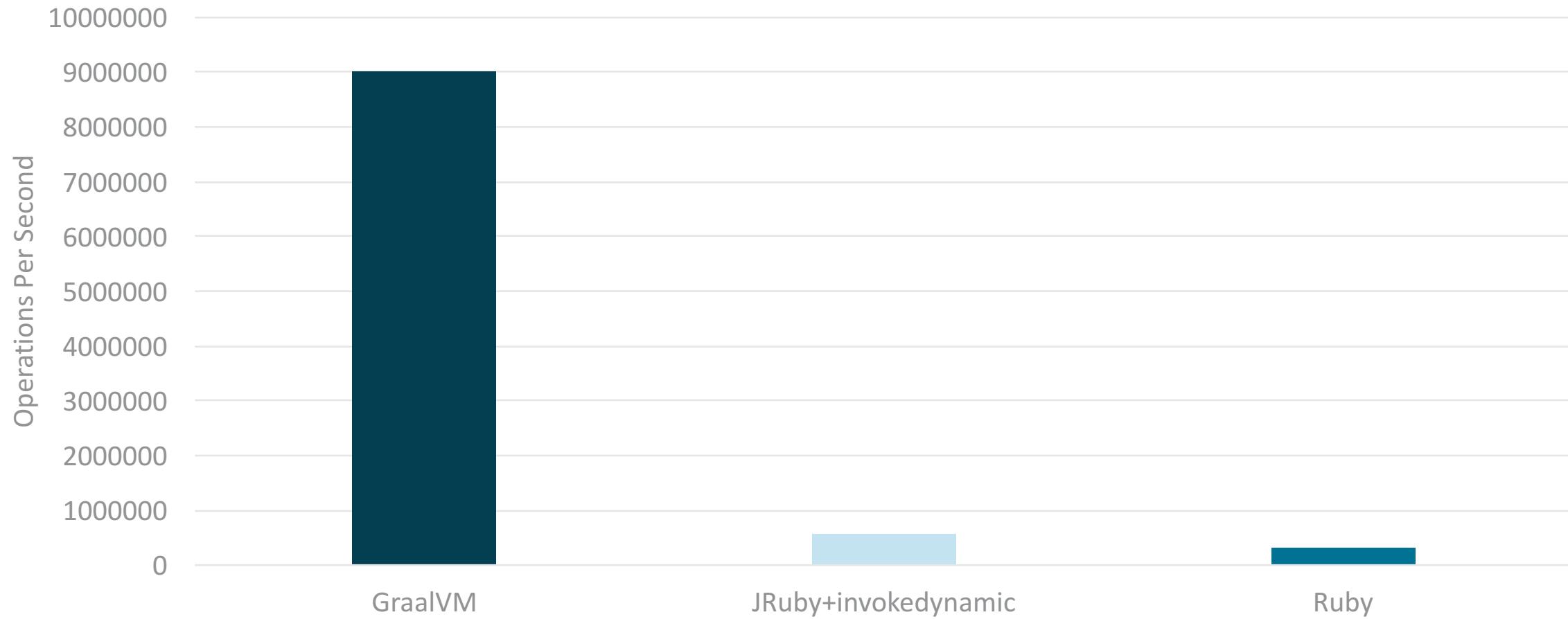
```
def clamp(num, min, max)
  [min, num, max].sort[1]
end

def cmyk_to_rgb(c, m, y, k)
  Hash[{
    r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
    g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
    b: (65535 - (y * (255 - k) + (k << 8))) >> 8
  }.map { |k, v| [k, clamp(v, 0, 255)] }]
end

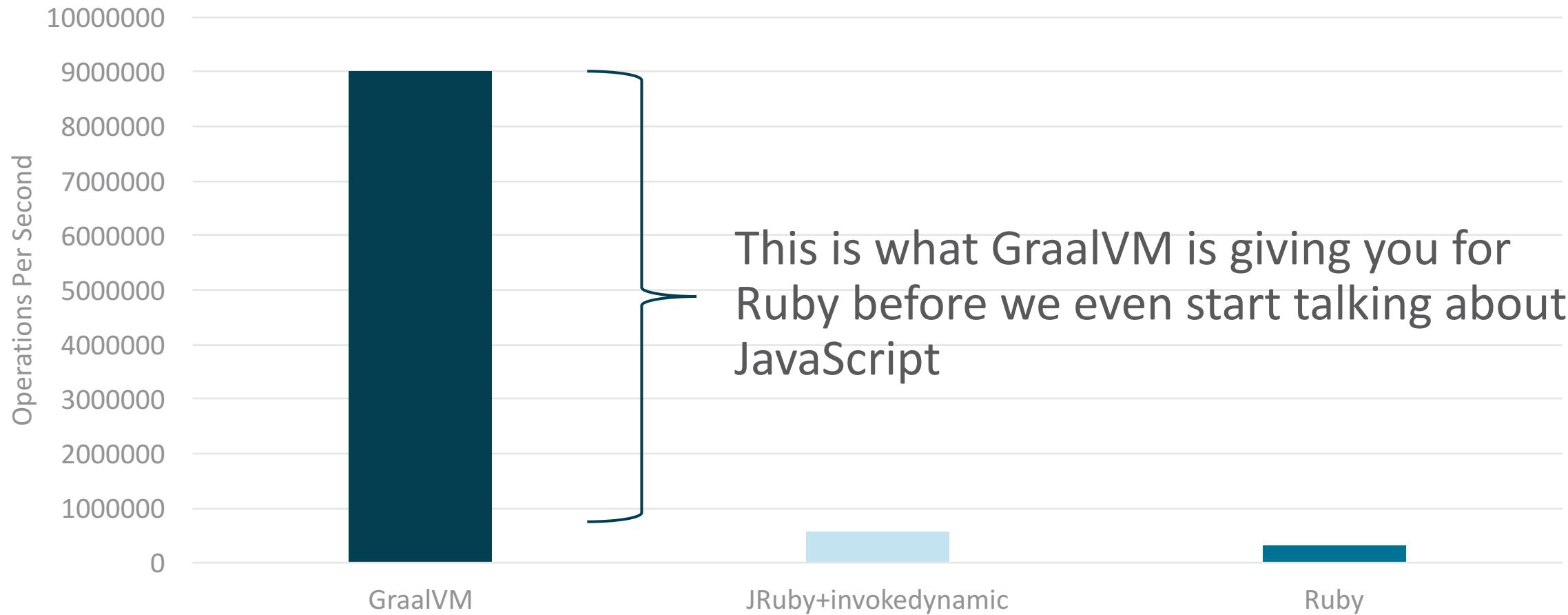
benchmark do
  cmyk_to_rgb(rand(255), rand(255), rand(255), rand(255))
end
```

Random inputs stop the  
whole thing being totally  
optimised away

# clamp in Ruby



# clamp in Ruby



```
require 'v8'

context = V8::Context.new

$clamp = context.eval("
  function clamp(num, min, max) {
    if (num < min) {
      return min;
    } else if (num > max) {
      return max;
    } else {
      return num;
    }
  }
  clamp;
")

def cmyk_to_rgb(c, m, y, k)
  Hash[{
    r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
    g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
    b: (65535 - (y * (255 - k) + (k << 8))) >> 8
  ].map { |k, v| [k, $clamp.call(v, 0, 255)] }
end
```

```
require 'v8'

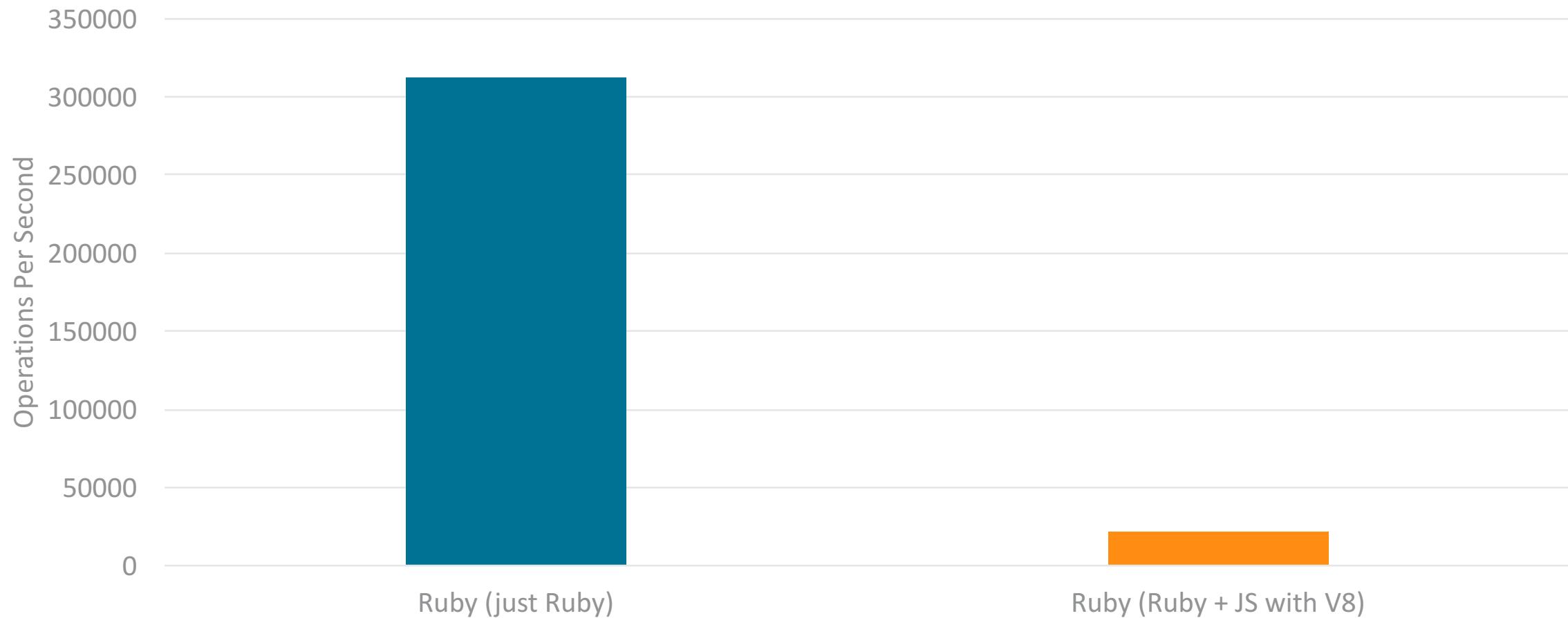
context = V8::Context.new

$clamp = context.eval("
  function clamp(num, min, max) {
    if (num < min) {
      return min;
    } else if (num > max) {
      return max;
    } else {
      return num;
    }
  }
  clamp;
")

def cmyk_to_rgb(c, m, y, k)
  Hash[{
    r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
    g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
    b: (65535 - (y * (255 - k) + (k << 8))) >> 8
  ].map { |k, v| [k, $clamp.call(v, 0, 255)] }
end
```

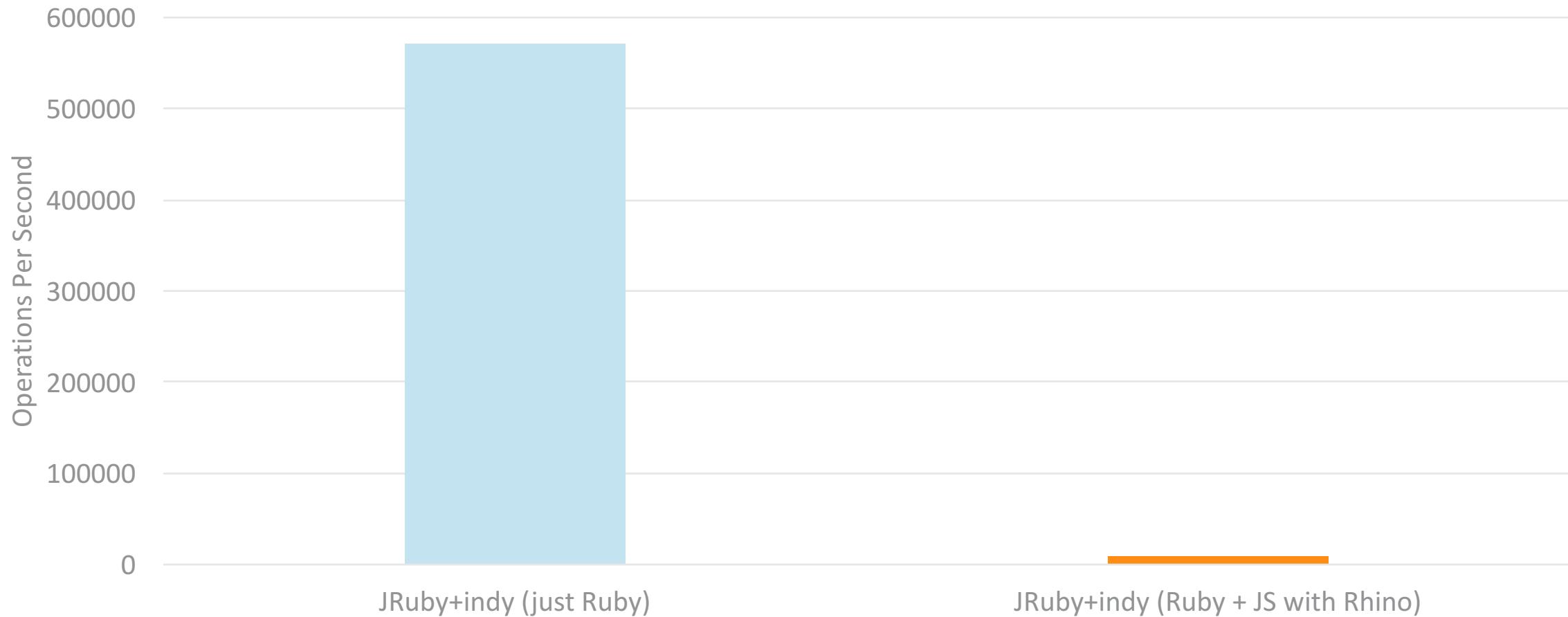
Not only have we rewritten  
in JavaScript, but the  
JavaScript code is simpler  
than the Ruby

# clamp in Ruby and JavaScript with V8



```
require 'rhino'  
  
context = Rhino::Context.new
```

# clamp in Ruby and JavaScript with JRuby and Rhino

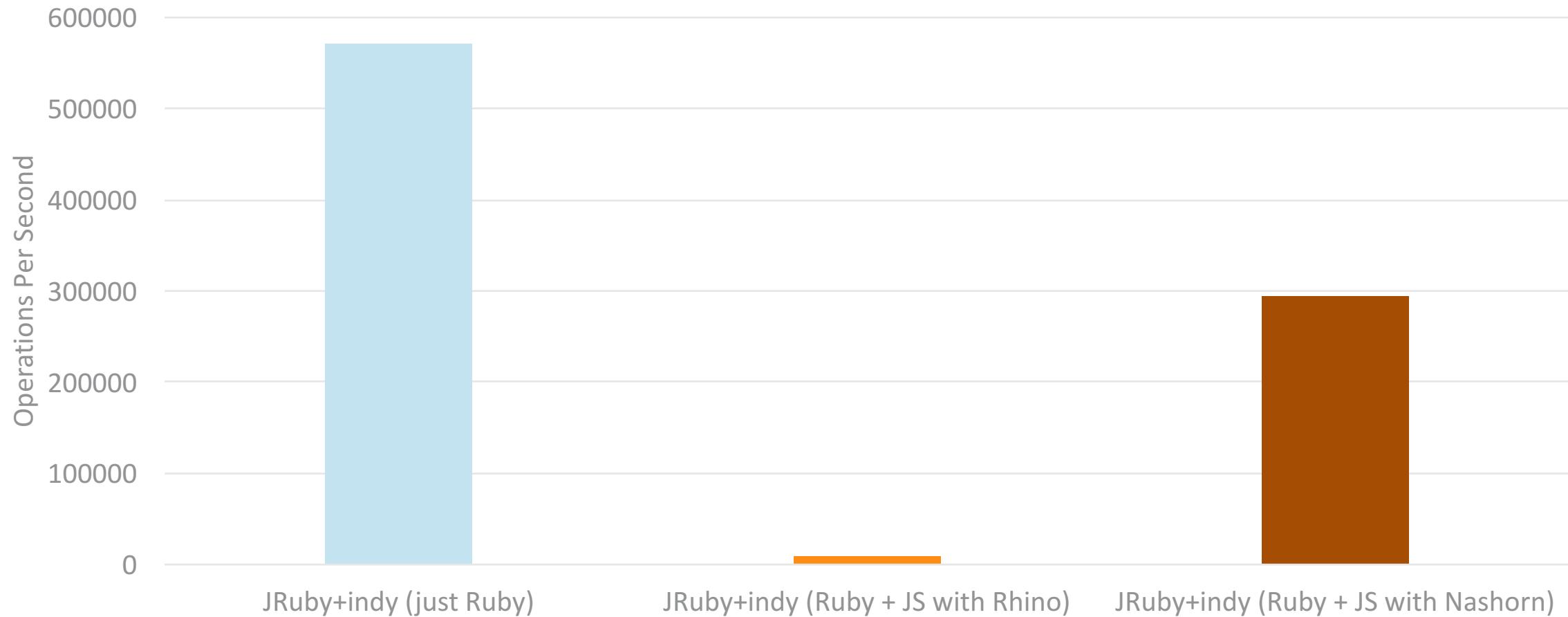


```
factory = javax.script.ScriptEngineManager.new
engine = factory.getEngineByName 'nashorn'
bindings = engine.createBindings

$clamp = engine.eval("
    function clamp(num, min, max) {
        if (num < min) {
            return min;
        } else if (num > max) {
            return max;
        } else {
            return num;
        }
    }
", bindings)

def cmyk_to_rgb(c, m, y, k)
    Hash[{
        r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
        g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
        b: (65535 - (y * (255 - k) + (k << 8))) >> 8
    ].map { |k, v| [k, $clamp.call(v, 0, 255)] }
end
```

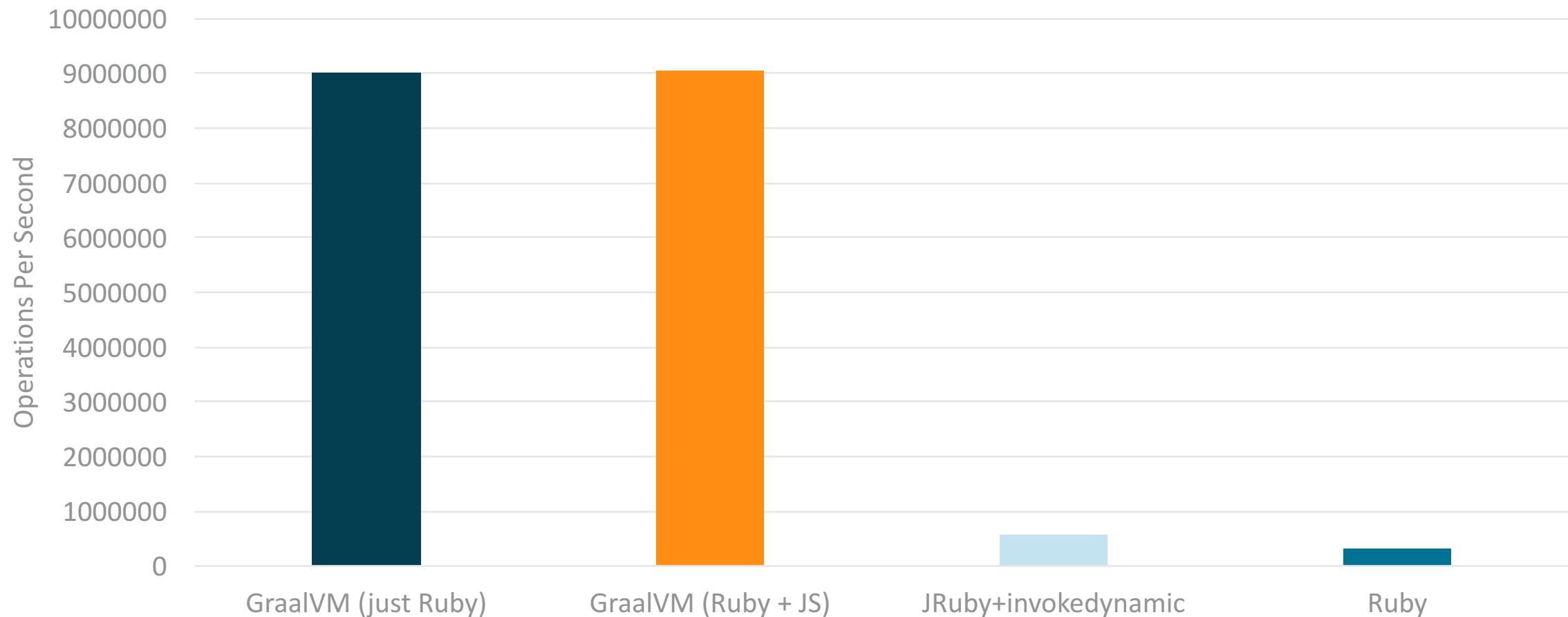
# clamp in Ruby and JavaScript with JRuby and Nashorn



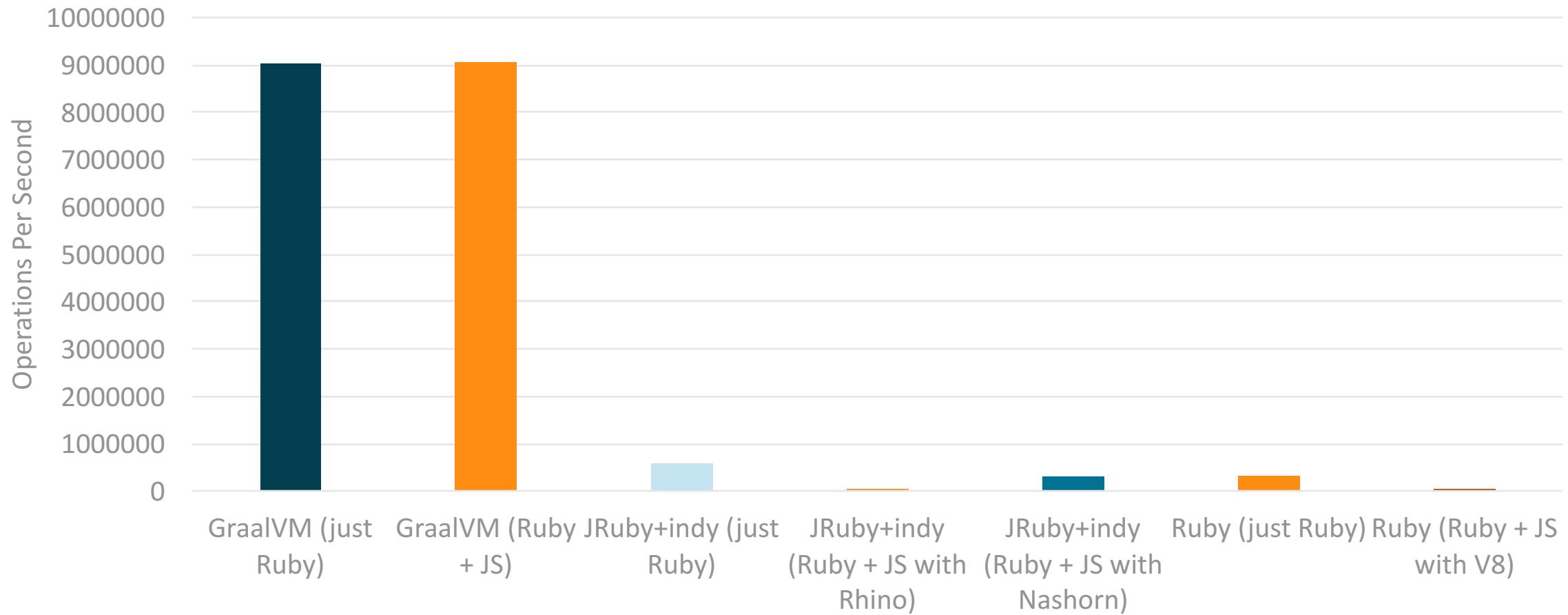
```
function clamp(num, min, max) {
  if (num < min) {
    return min;
  } else if (num > max) {
    return max;
  } else {
    return num;
  }
}

def cmyk_to_rgb(c, m, y, k)
  Hash[{
    r: (65535 - (c * (255 - k) + (k << 8))) >> 8,
    g: (65535 - (m * (255 - k) + (k << 8))) >> 8,
    b: (65535 - (y * (255 - k) + (k << 8))) >> 8
  ].map { |k, v| [k, clamp(v, 0, 255)] }
end
```

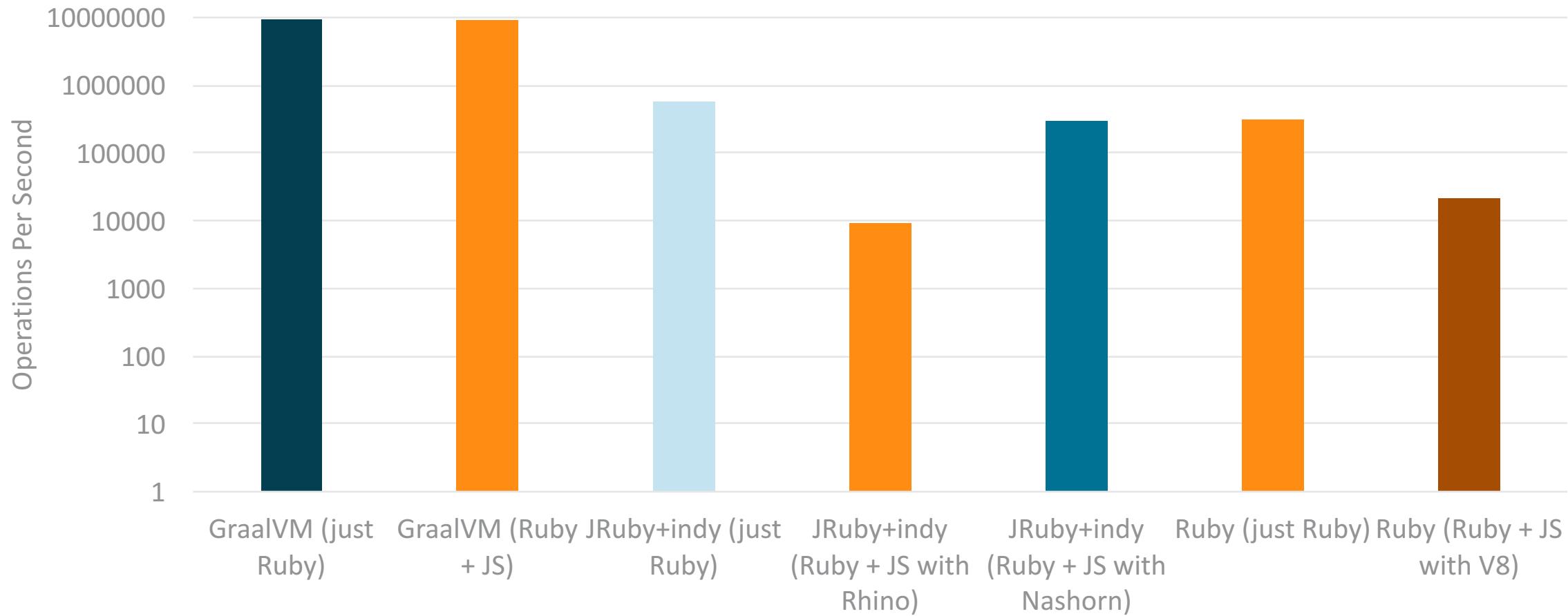
# clamp in Ruby and JavaScript with GraalVM



# clamp in all configurations



# clamp in all configurations



# How Graal achieves this



Hotspot

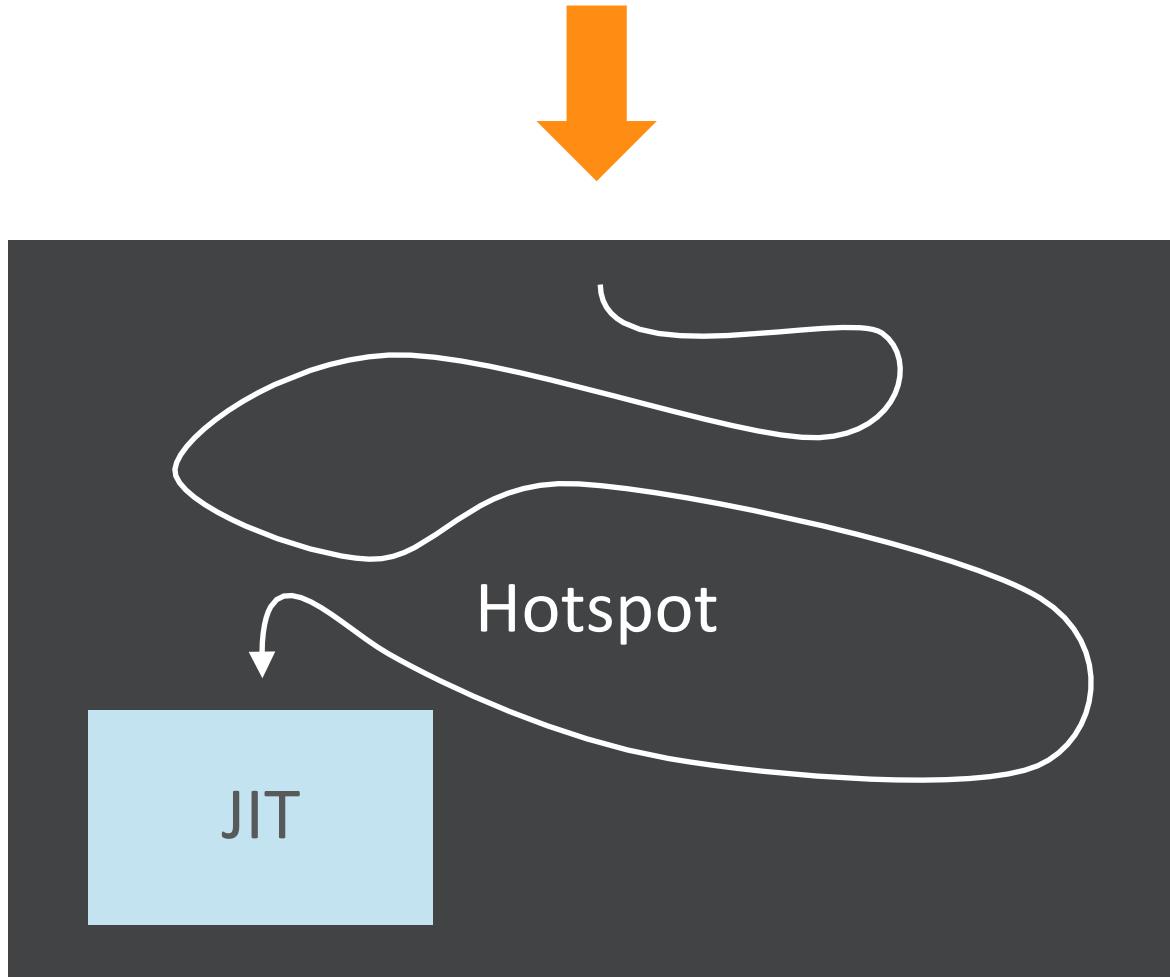


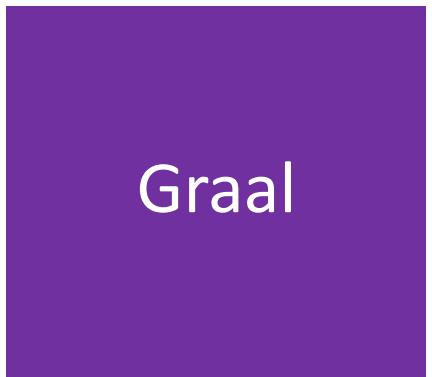
Hotspot



Hotspot

JIT





Truffle



Graal

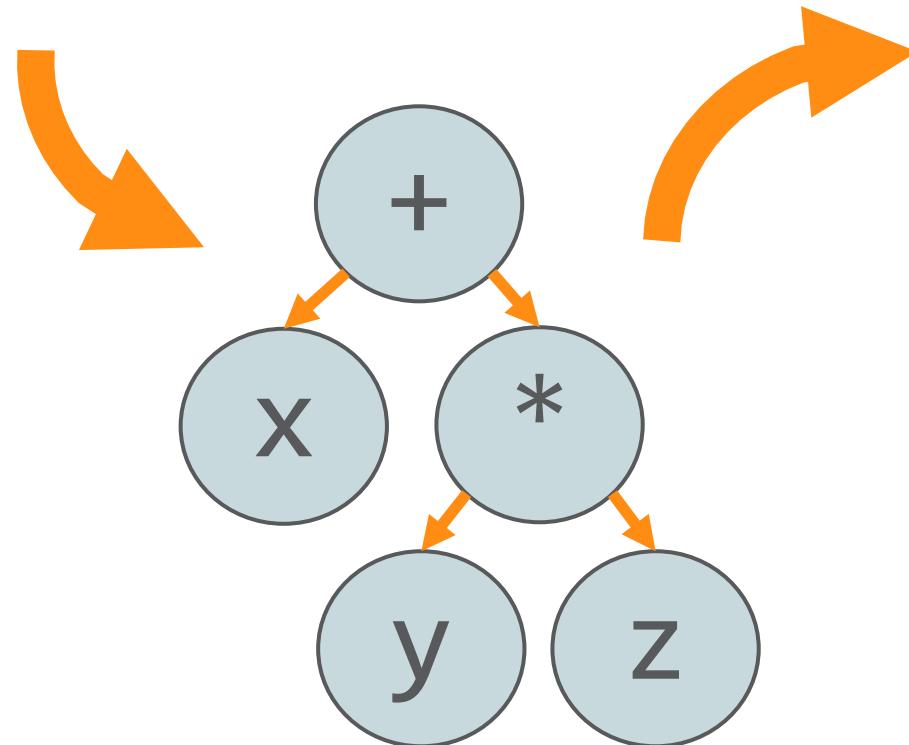
Hotspot

JIT

# The very basics of Truffle and Graal

- Common representation of programs
- Keep it rich enough to not have to throw away meaning
- Common optimisation of the representation

$x + y * z$

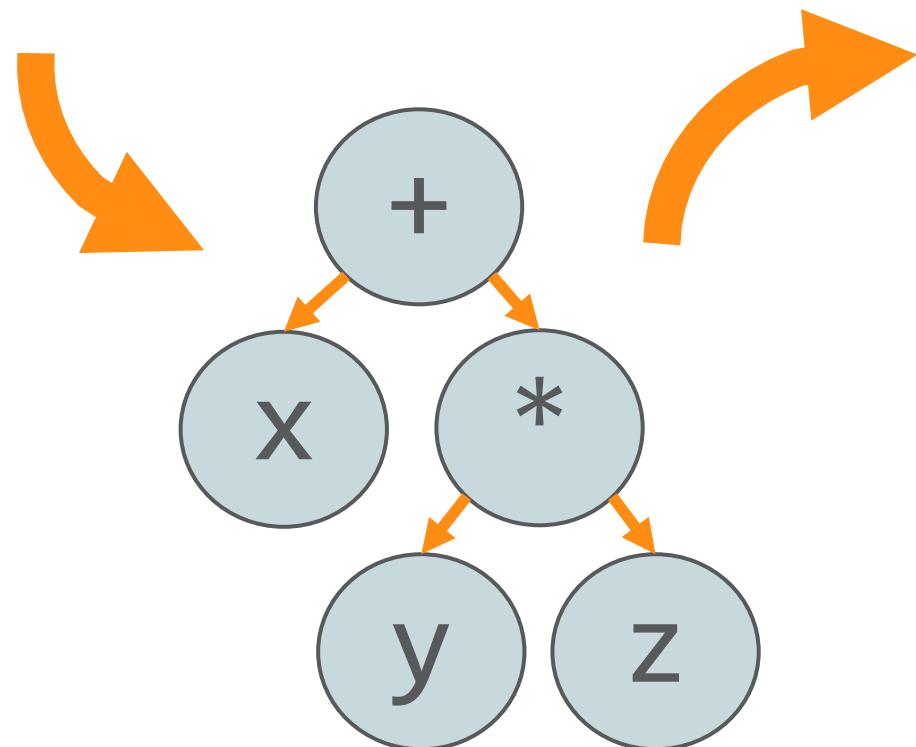


load\_local x  
load\_local y  
load\_local z  
call \*  
call +



```
pushq %rbp
movq %rsp, %rbp
movq %rdi, -8(%rbp)
movq %rsi, -16(%rbp)
movq %rdx, -24(%rbp)
movq -16(%rbp), %rax
movl %eax, %edx
movq -24(%rbp), %rax
imull %edx, %eax
movq -8(%rbp), %rdx
addl %edx, %eax
popq %rbp
ret
```

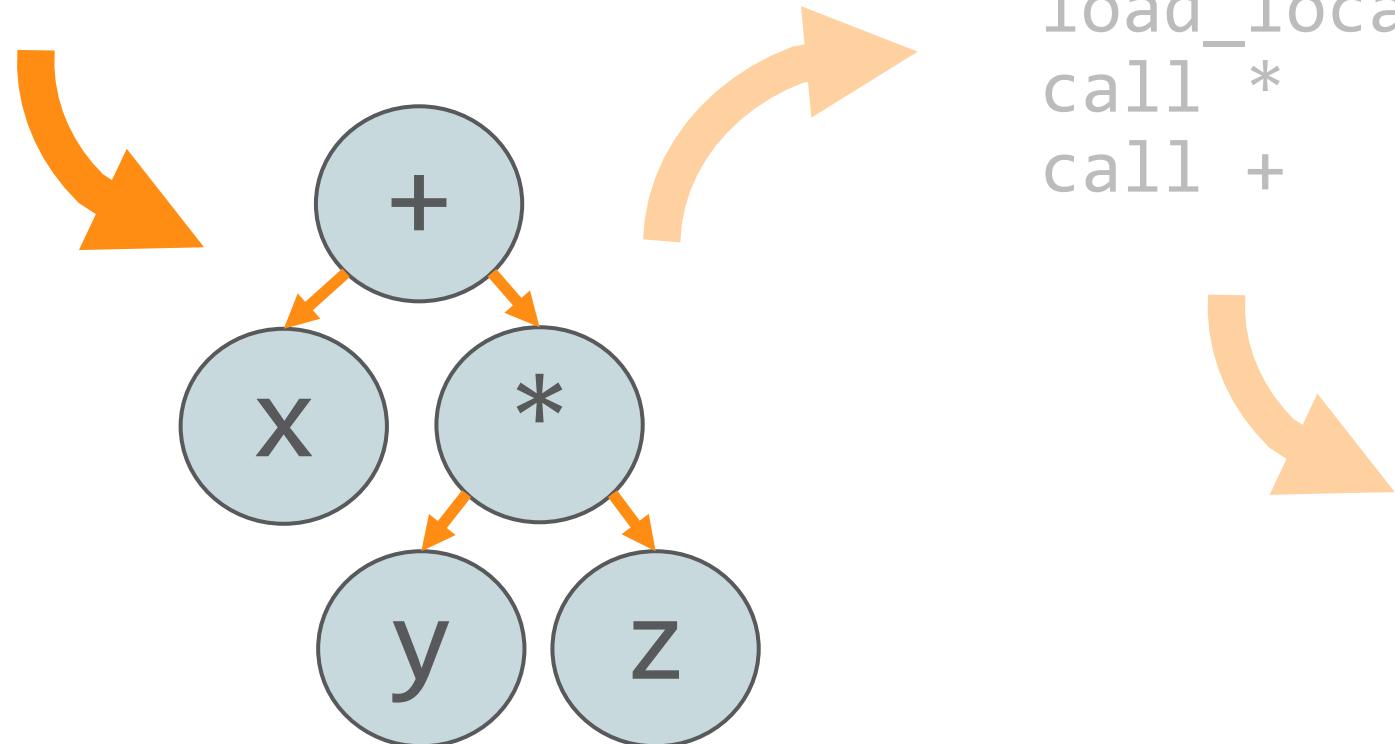
$x + y * z$



load\_local x  
load\_local y  
load\_local z  
call \*  
call +

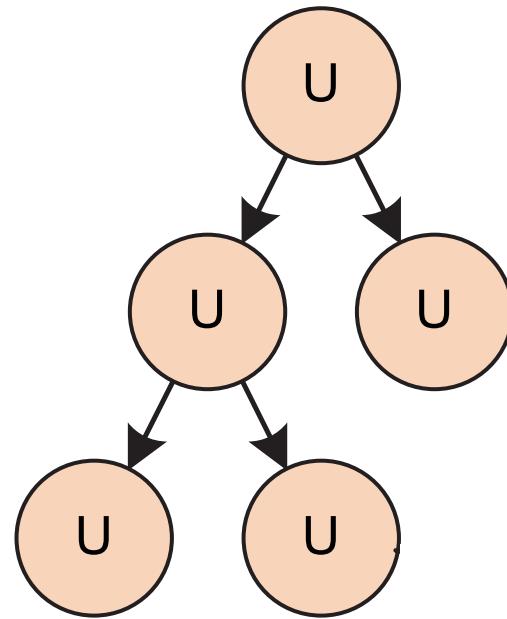
pushq %rbp  
movq %rsp, %rbp  
movq %rdi, -8(%rbp)  
movq %rsi, -16(%rbp)  
movq %rdx, -24(%rbp)  
movq -16(%rbp), %rax  
movl %eax, %edx  
movq -24(%rbp), %rax  
imull %edx, %eax  
movq -8(%rbp), %rdx  
addl %edx, %eax  
popq %rbp  
ret

$x + y * z$



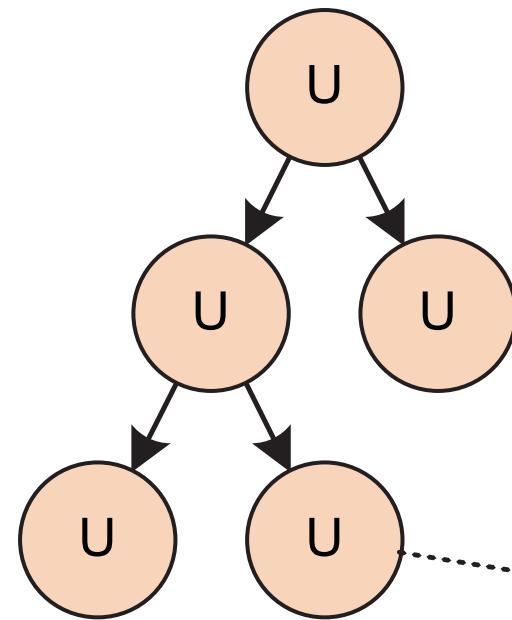
load\_local x  
load\_local y  
load\_local z  
call \*  
call +

pushq %rbp  
movq %rsp, %rbp  
movq %rdi, -8(%rbp)  
movq %rsi, -16(%rbp)  
movq %rdx, -24(%rbp)  
movq -16(%rbp), %rax  
movl %eax, %edx  
movq -24(%rbp), %rax  
imull %edx, %eax  
movq -8(%rbp), %rdx  
addl %edx, %eax  
popq %rbp  
ret



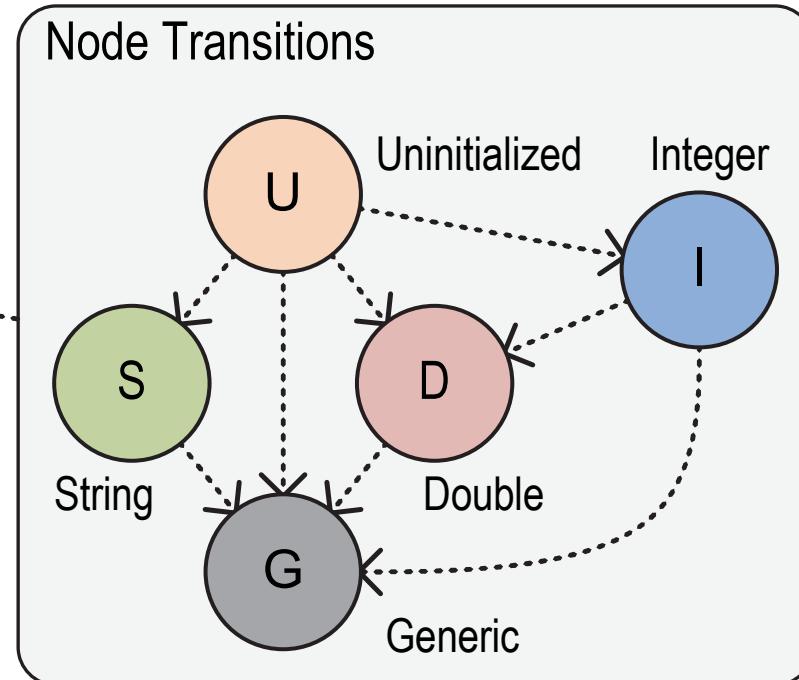
AST Interpreter  
Uninitialized Nodes

T. Würthinger, C. Wimmer, A. Wöß, L. Stadler, G. Duboscq, C. Humer, G. Richards, D. Simon, and M. Wolczko. One VM to rule them all. In Proceedings of Onward!, 2013.

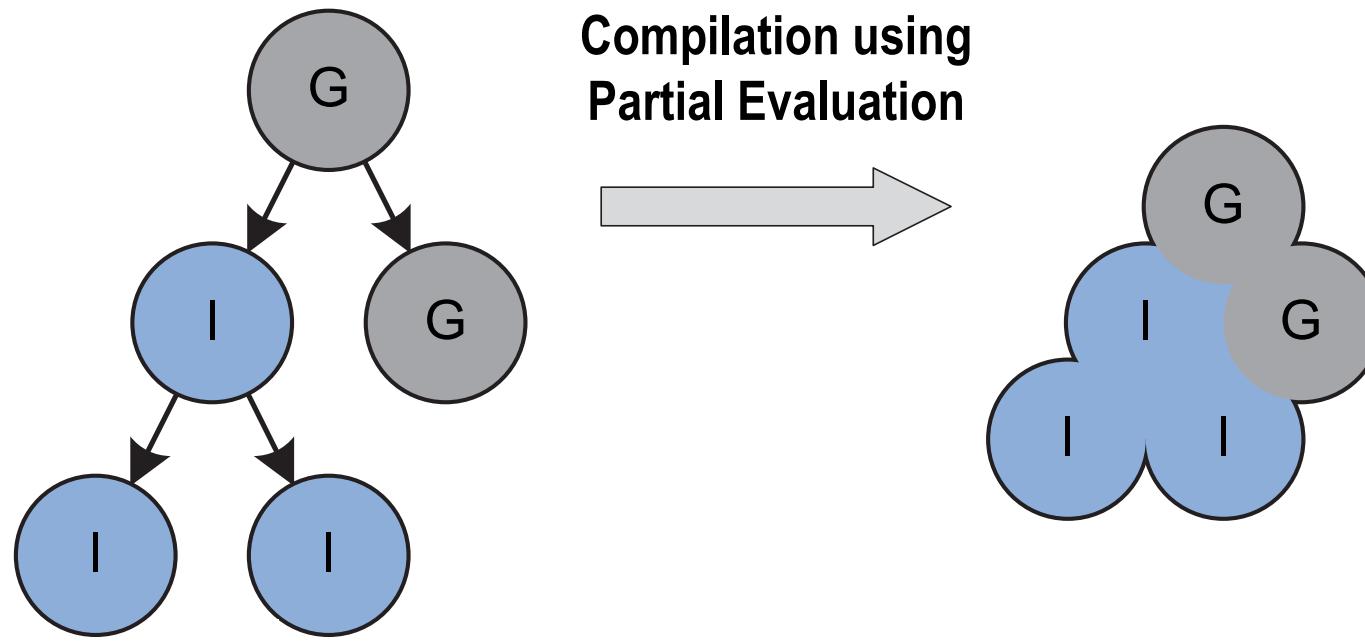


AST Interpreter  
Uninitialized Nodes

## Node Rewriting for Profiling Feedback



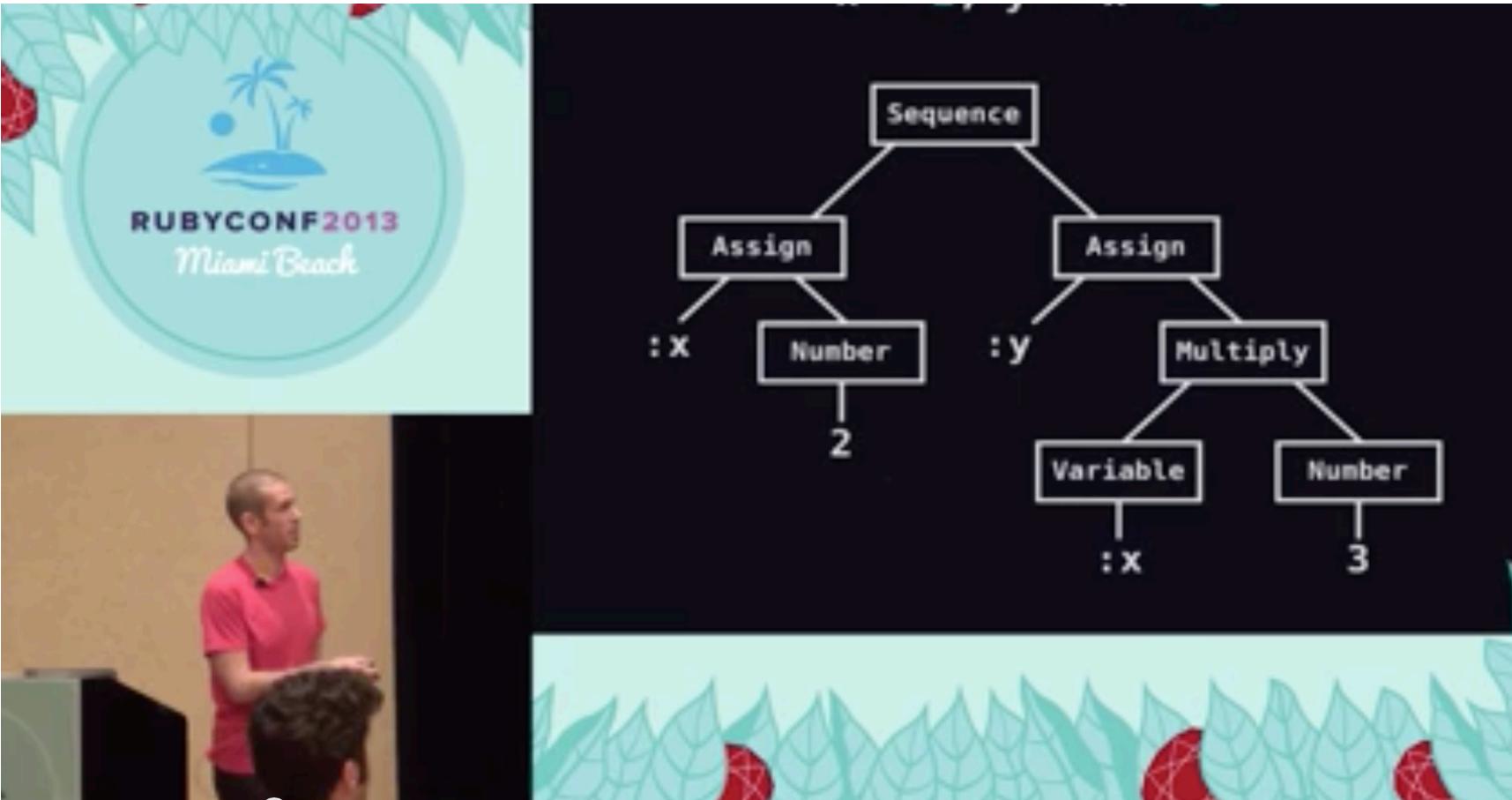
T. Würthinger, C. Wimmer, A. Wöß, L. Stadler, G. Duboscq, C. Humer, G. Richards, D. Simon, and M. Wolczko. One VM to rule them all. In Proceedings of Onward!, 2013.



AST Interpreter  
Rewritten Nodes

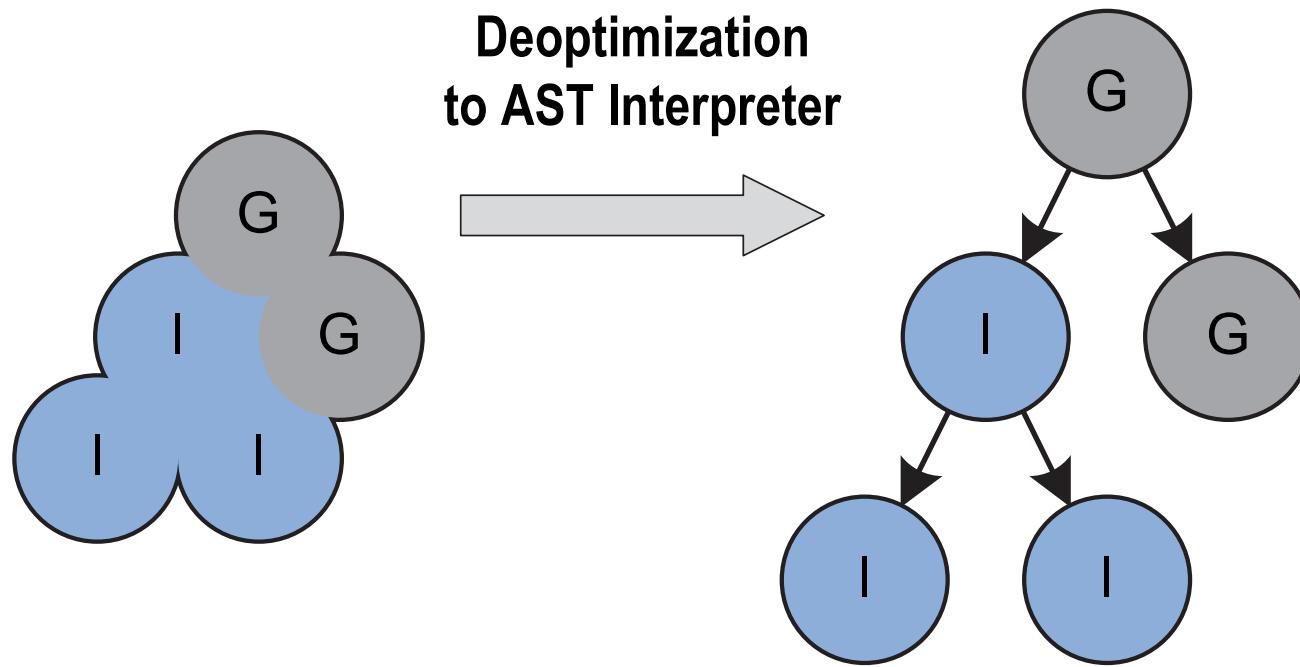
Compiled Code

T. Würthinger, C. Wimmer, A. Wöß, L. Stadler, G. Duboscq, C. Humer, G. Richards, D. Simon, and M. Wolczko. One VM to rule them all. In Proceedings of Onward!, 2013.



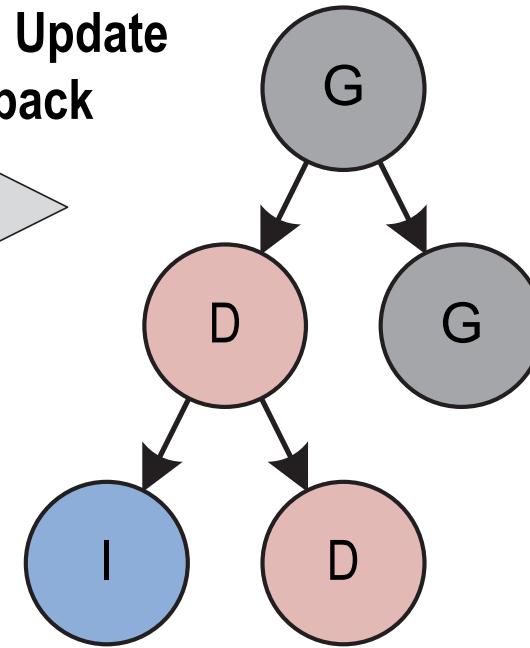
[codon.com/compilers-for-free](http://codon.com/compilers-for-free)

Presentation, by Tom Stuart, licensed under a Creative Commons Attribution ShareAlike 3.0

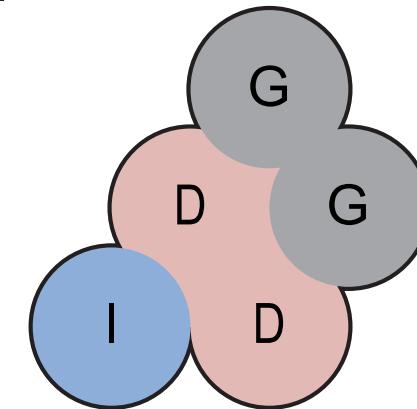


T. Würthinger, C. Wimmer, A. Wöß, L. Stadler, G. Duboscq, C. Humer, G. Richards, D. Simon, and M. Wolczko. One VM to rule them all. In Proceedings of Onward!, 2013.

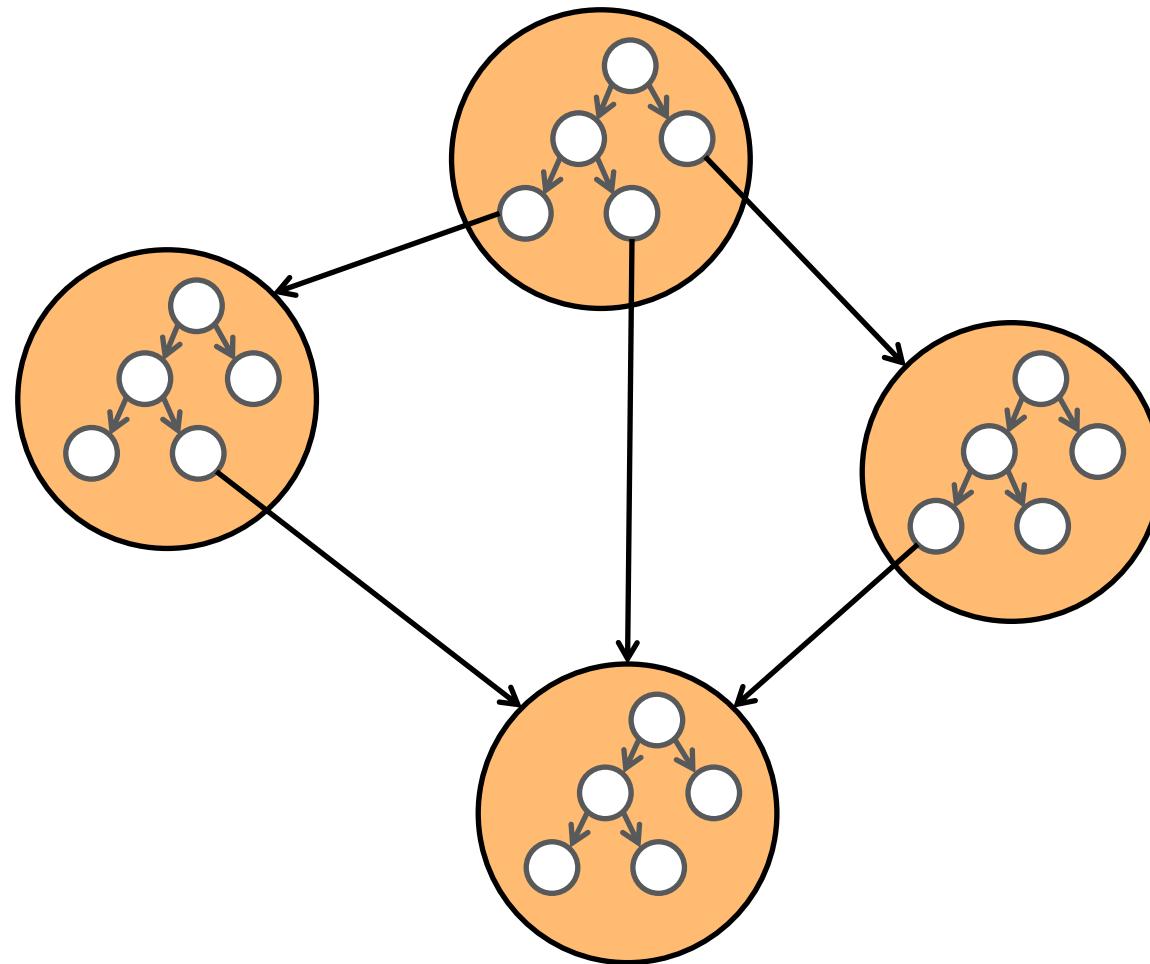
## Node Rewriting to Update Profiling Feedback

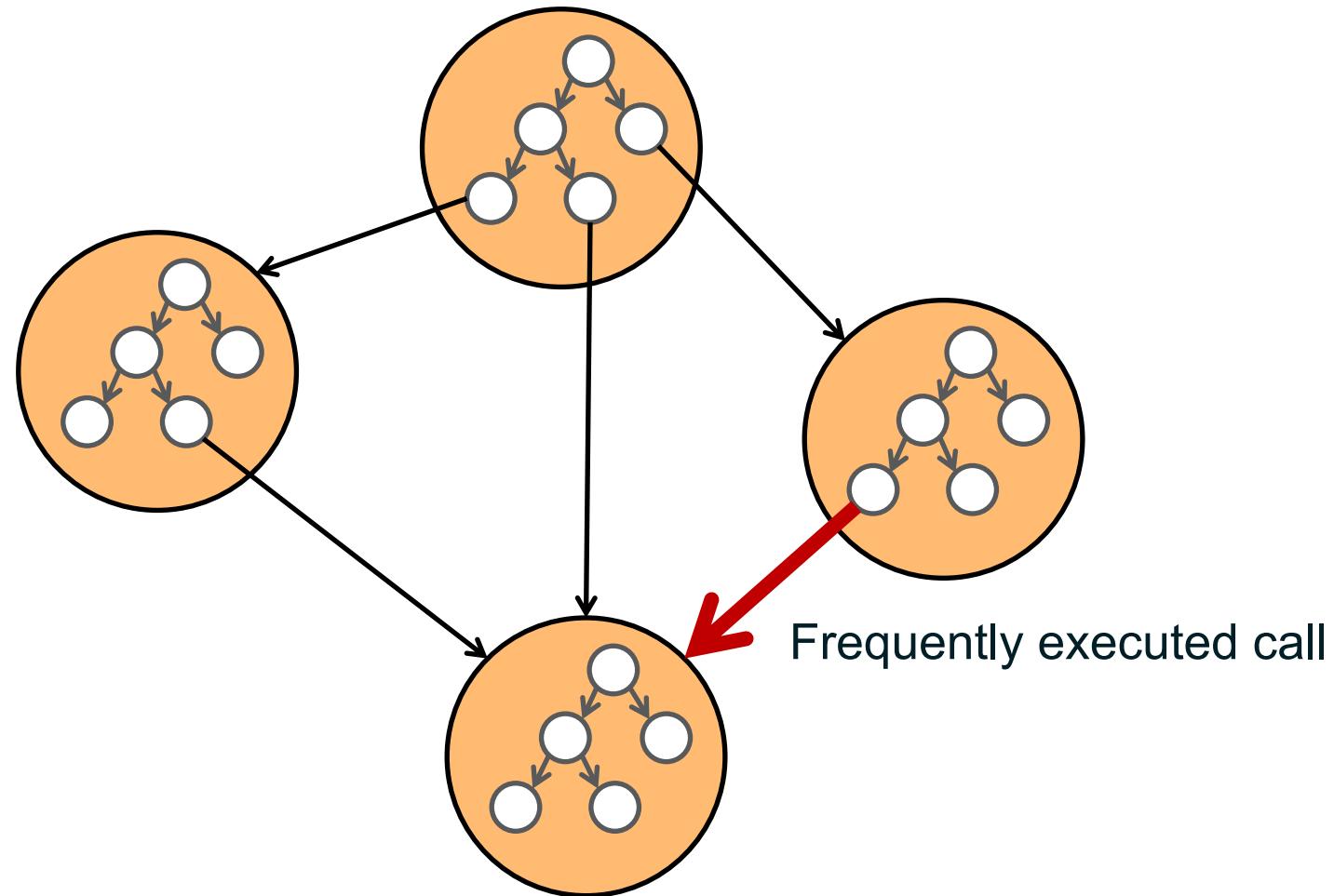


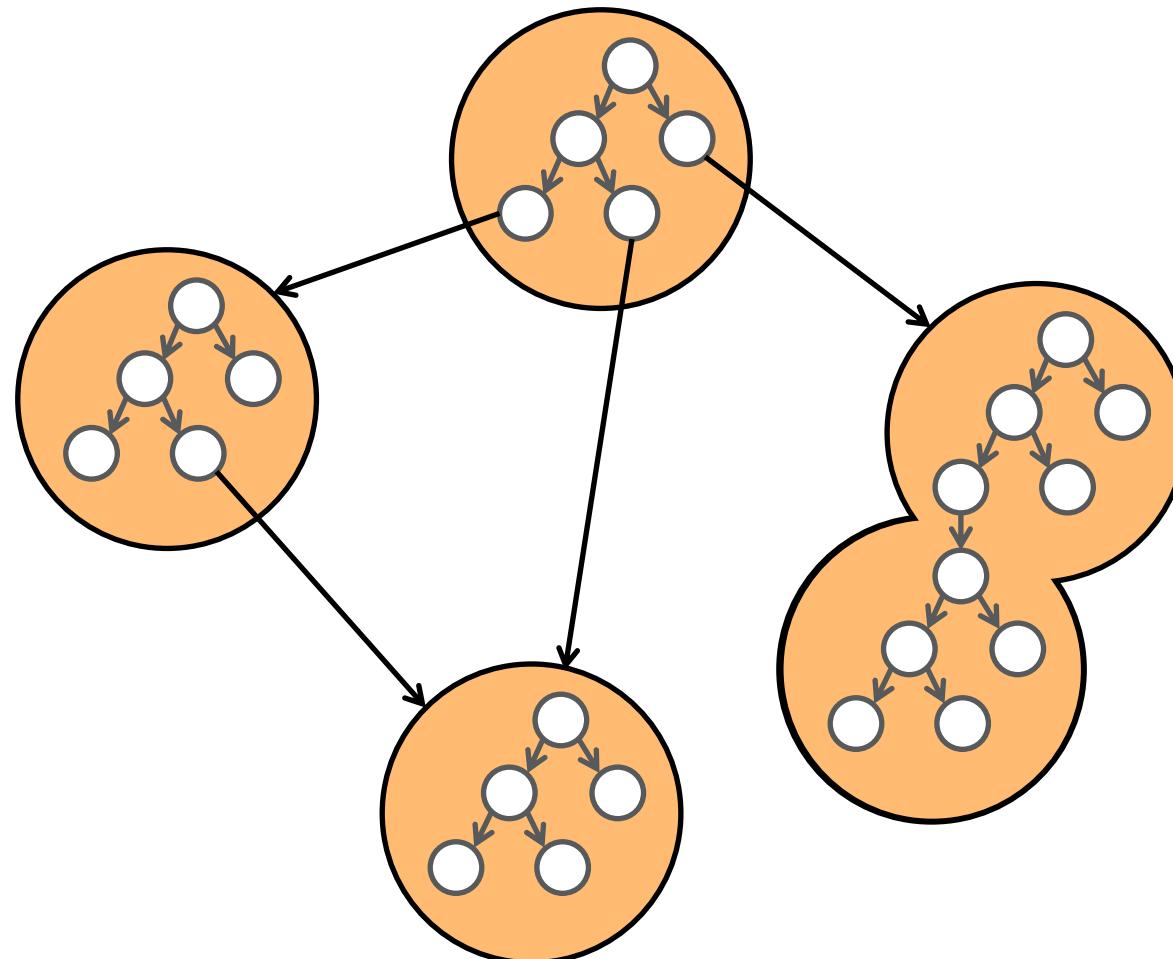
## Recompilation using Partial Evaluation

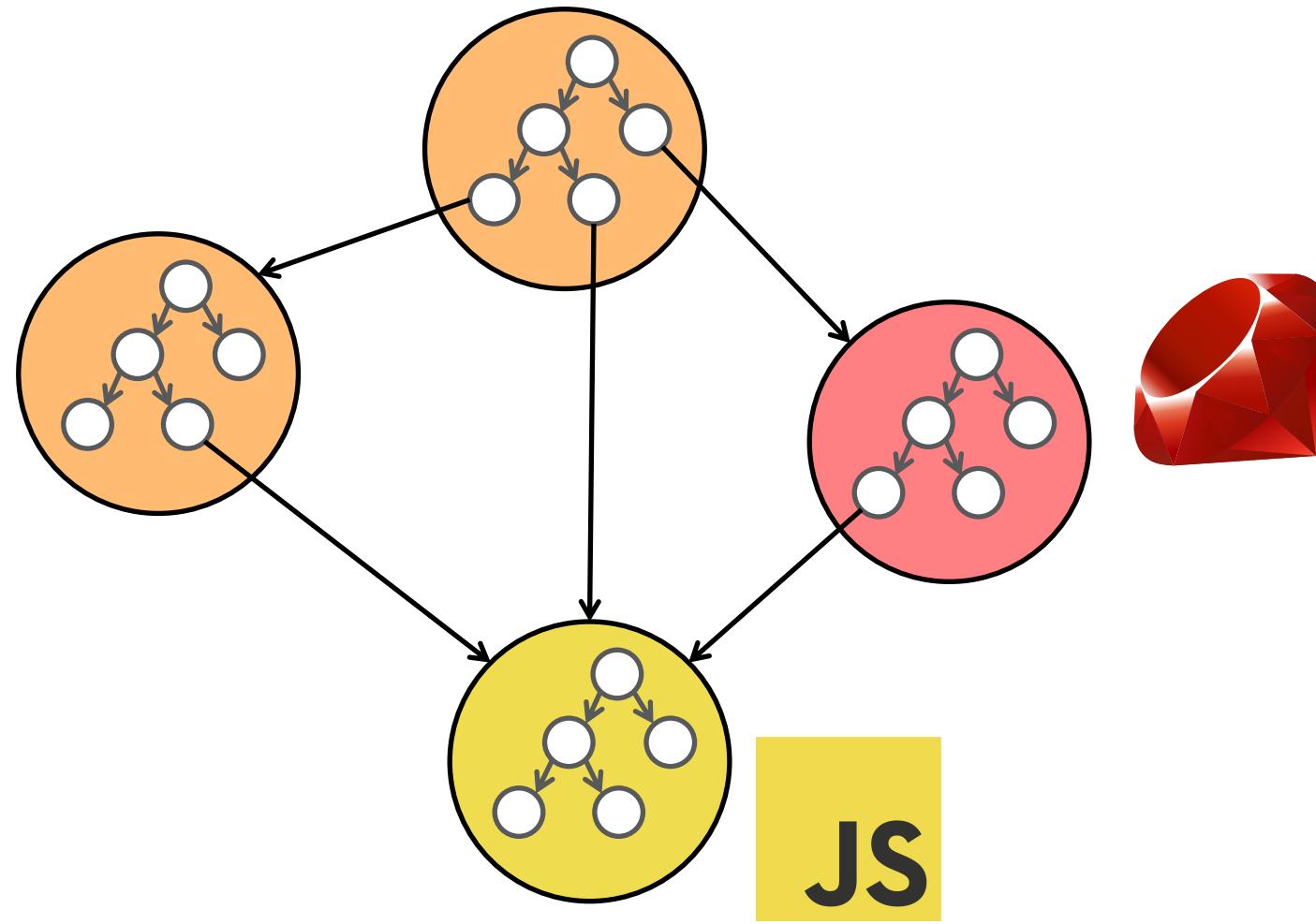


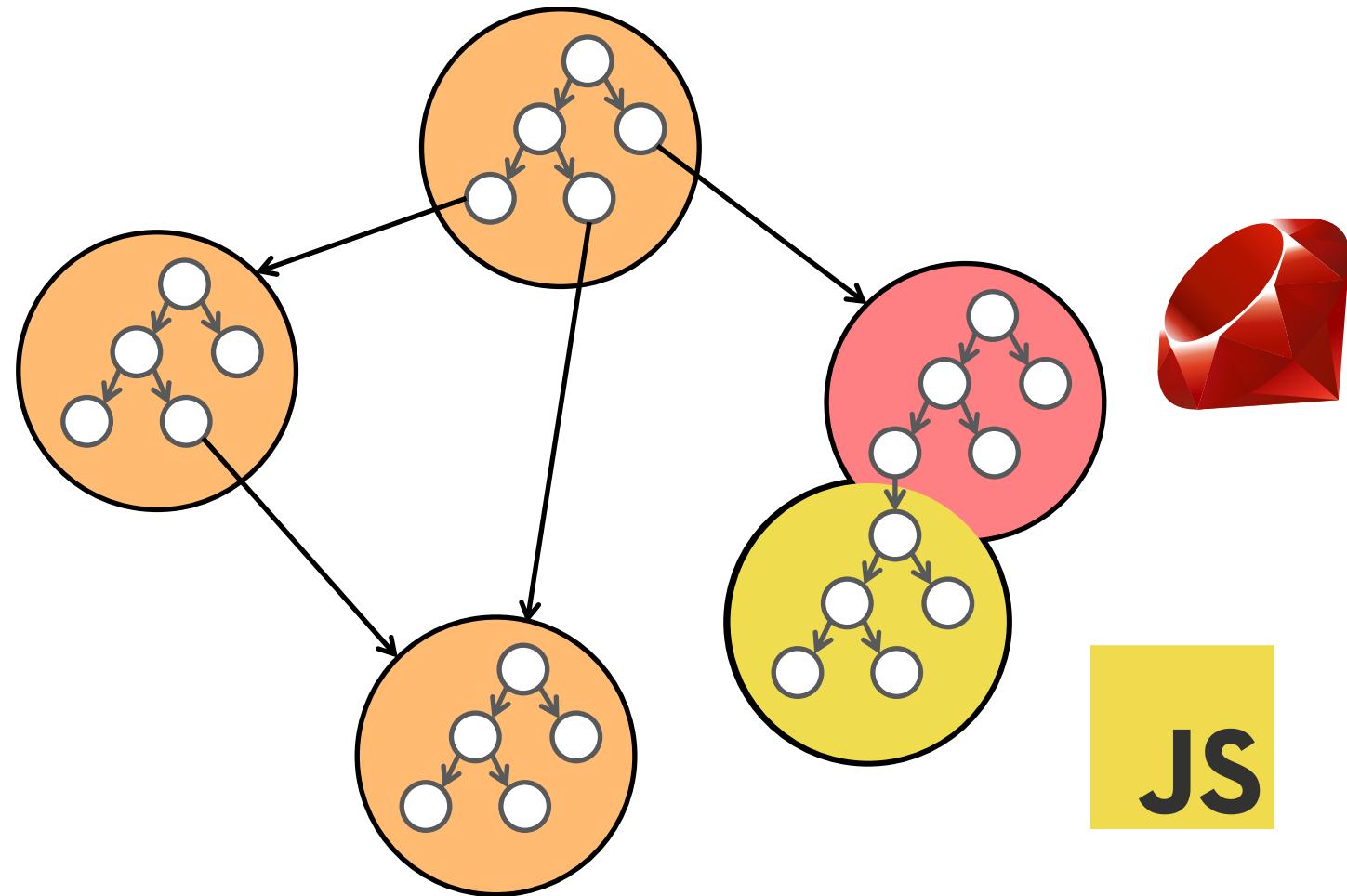
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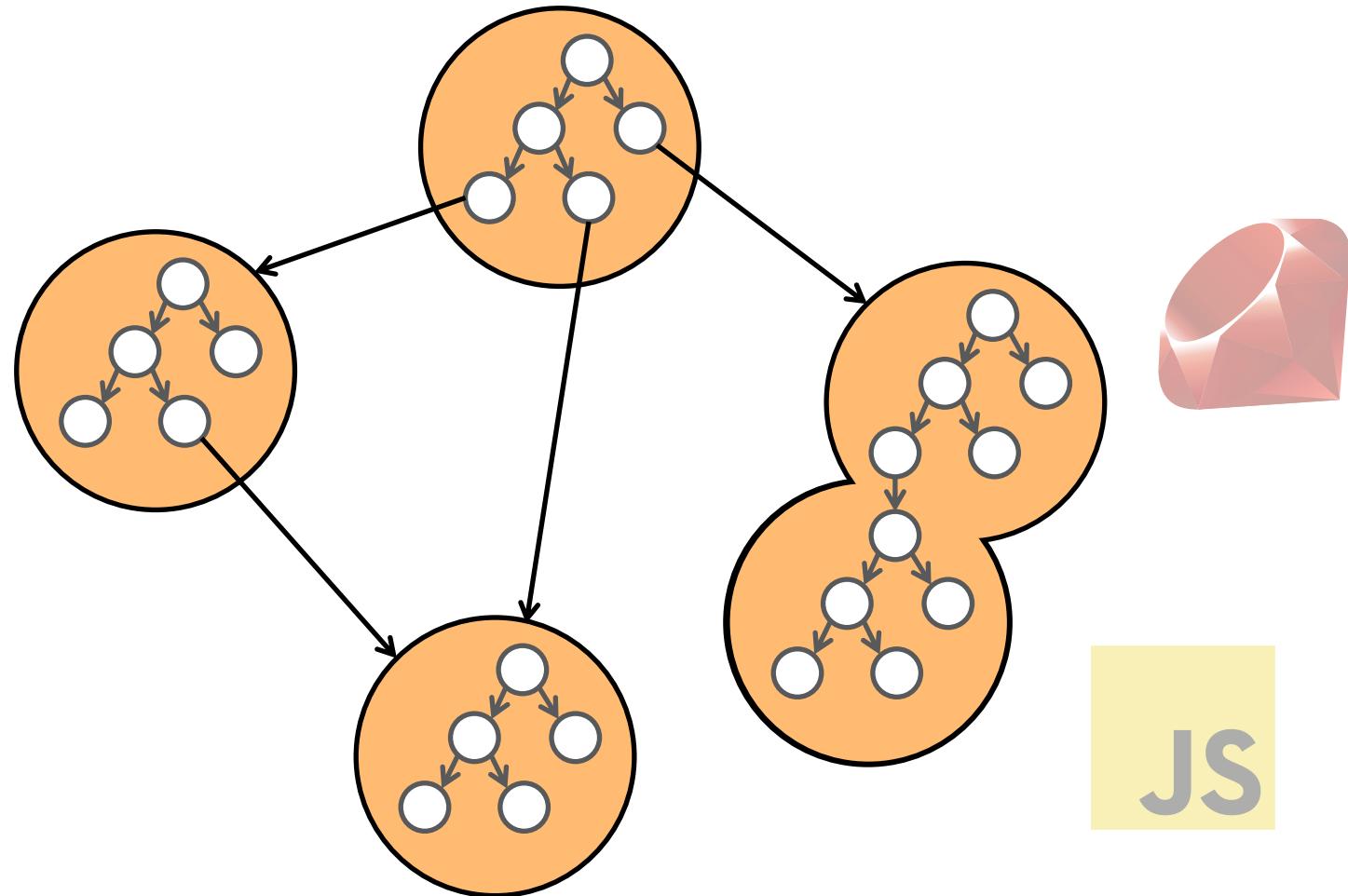












# Looking at how effective this is

```
def sum(n)
  i = 0
  a = 0
  while i < n
    i += 1
    a += n
  end
  a
end

values = (1..100).to_a

loop do
  values.each do |v|
    sum(v)
  end
end
```

```
function sum(n) {
  var i = 0;
  var a = 0;
  while (i < n) {
    i += 1;
    a += n;
  }
  return a;
}

values = (1..100).to_a

loop do
  values.each do |v|
    sum(v)
  end
end
```

```
def sum(n)
  i = 0
  a = 0
  while i < n
    i += 1
    a += n
  end
  a
end
```



Looking at this loop here

```
values = (1..100).to_a

loop do
  values.each do |v|
    sum(v)
  end
end
```

```
function sum(n) {
  var i = 0;
  var a = 0;
  while (i < n) {
    i += 1;
    a += n;
  }
  return a;
}
```

```
values = (1..100).to_a

loop do
  values.each do |v|
    sum(v)
  end
end
```

```
def sum(n)
  i = 0
  a = 0
  while i < n
    i += 1
```

```
0x00000001118dfa30: mov    esi,edi
0x00000001118dfa32: add    esi,r9d
0x00000001118dfa35: jo     0x00000001118dfb62
0x00000001118dfa3b: inc    ecx
0x00000001118dfa3d: mov    edi,esi
0x00000001118dfa3f: cmp    r9d,ecx
0x00000001118dfa42: jg    0x00000001118dfa30
```

```
loop do
  values.each do |v|
    sum(v)
  end
end
```

```
function sum(n) {
  var i = 0;
  var a = 0;
  while (i < n) {
    i += 1;
```

```
0x000000010ca4ad90: mov    eax,r11d
0x000000010ca4ad93: add    eax,r14d
0x000000010ca4ad96: jo     0x000000010ca4ae68
0x000000010ca4ad9c: inc    r10d
0x000000010ca4ad9f: mov    r11d,eax
0x000000010ca4ada2: cmp    r14d,r10d
0x000000010ca4ada5: jg    0x000000010ca4ad90
```

```
loop do
  values.each do |v|
    sum(v)
  end
end
```

```
def add(a, b)
  a + b
end

def sum(n)
  i = 0
  a = 0
  while i < n
    i += 1
    a = add(a, n)
  end
  a
end
```

```
function add(a, b) {
  return a + b;
}

def sum(n)
  i = 0
  a = 0
  while i < n
    i += 1
    a = add(a, n)
  end
  a
end
```

```
def add(a, b)
  a + b
end
```

```
0x0000000103a7dc70: mov    esi,edi
0x0000000103a7dc72: add    esi,r9d
0x0000000103a7dc75: jo     0x0000000103a7dda2
0x0000000103a7dc7b: inc    ecx
0x0000000103a7dc7d: mov    edi,esi
0x0000000103a7dc7f: cmp    r9d,ecx
0x0000000103a7dc82: jg     0x0000000103a7dc70
```

```
a = add(a, n)
end
a
end
```

```
function add(a, b) {
  return a + b;
}
```

```
0x000000010aadb1f0: mov    esi,edi
0x000000010aadb1f2: add    esi,r9d
0x000000010aadb1f5: jo     0x000000010aadb322
0x000000010aadb1fb: inc    ecx
0x000000010aadb1fd: mov    edi,esi
0x000000010aadb1ff: cmp    r9d,ecx
0x000000010aadb202: jg     0x000000010aadb1f0
```

```
a = add(a, n)
end
a
end
```

```
def add(a, b)
    a + b
end
```

```
0x0000000103a7dc70: mov    esi,edi
0x0000000103a7dc72: add    esi,r9d
0x0000000103a7dc75: jo     0x0000000103a7dda2
0x0000000103a7dc7b: inc    ecx
0x0000000103a7dc7d: mov    edi,esi
0x0000000103a7dc7f: cmp    r9d,ecx
0x0000000103a7dc82: jg    0x0000000103a7dc70
```

```
end
```

```
a
```

```
end
```

```
function add(a, b) {
    return a + b;
}
```

```
1
```

```
esi,edi
esi,r9d
0x00000010adb322
ecx
edi,esi
r9d,ecx
0x00000010adb1f0
```

```
(a, n)
```

```
end
```

```
a
```

```
end
```

```
def add(a, b)
    a + b
end
```

```
0x0000000103a7dc70: mov    esi,edi
0x0000000103a7dc72: add    esi,r9d
0x0000000103a7dc75: jo     0x0000000103a7dda2
0x0000000103a7dc7b: inc    ecx
0x0000000103a7dc7d: mov    edi,esi
0x0000000103a7dc7f: cmp    r9d,ecx
0x0000000103a7dc82: jg    0x0000000103a7dc70
```

```
end
```

```
a
```

```
end
```

```
function add(a, b) {
    return a + b;
}
```

```
1
```

```
esi,edi
esi,r9d
0x00000010aadb322
ecx
edi,esi
r9d,ecx
0x00000010aadb1f0
```

```
(a, n)
```

```
end
```

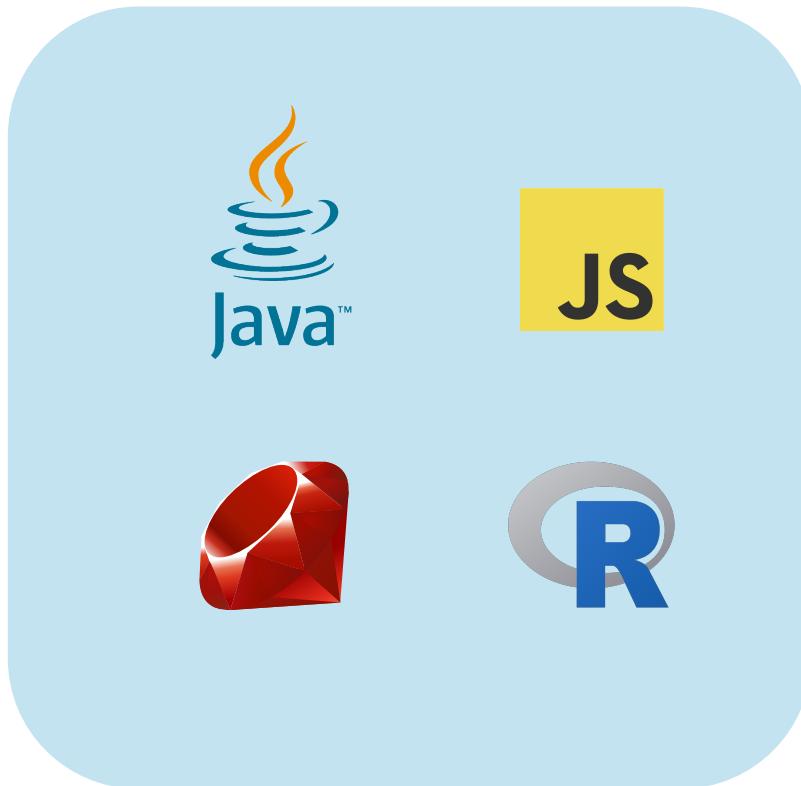
```
a
```

```
end
```

# How to use GraalVM

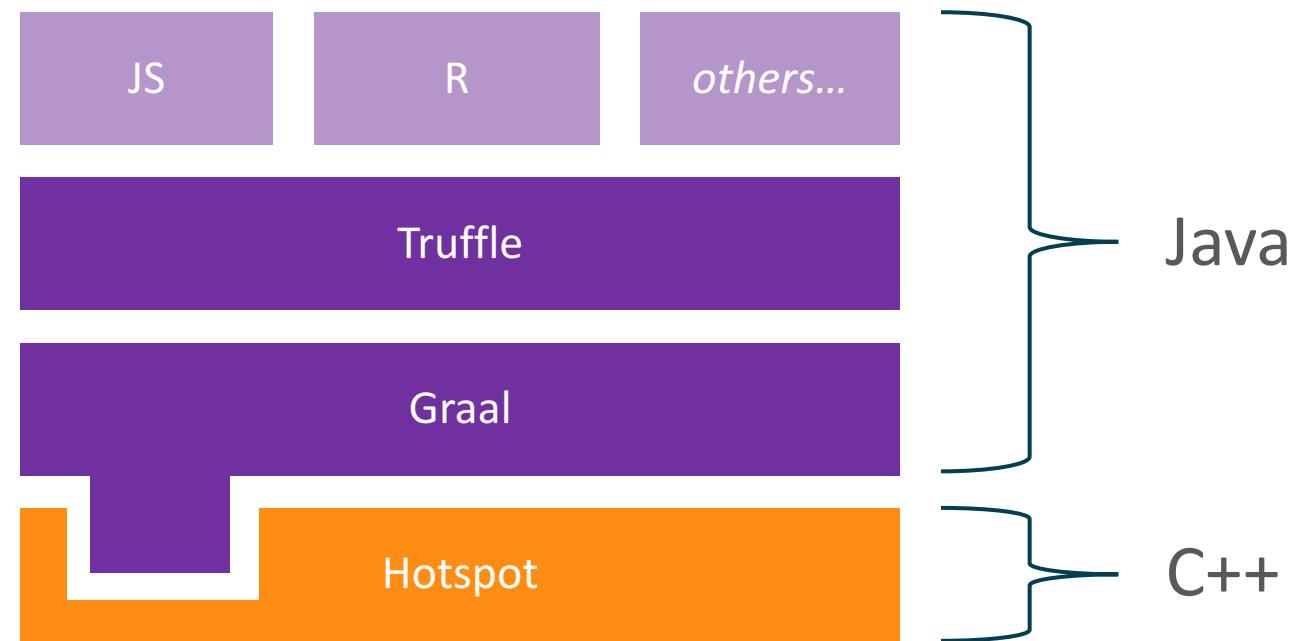
# GraalVM – everything in one package today

- Includes:
  - JVM (RE or DK)
  - Java
  - JavaScript
  - Ruby
  - R
  - More in the future
- Binary tarball release
- Mac or Linux

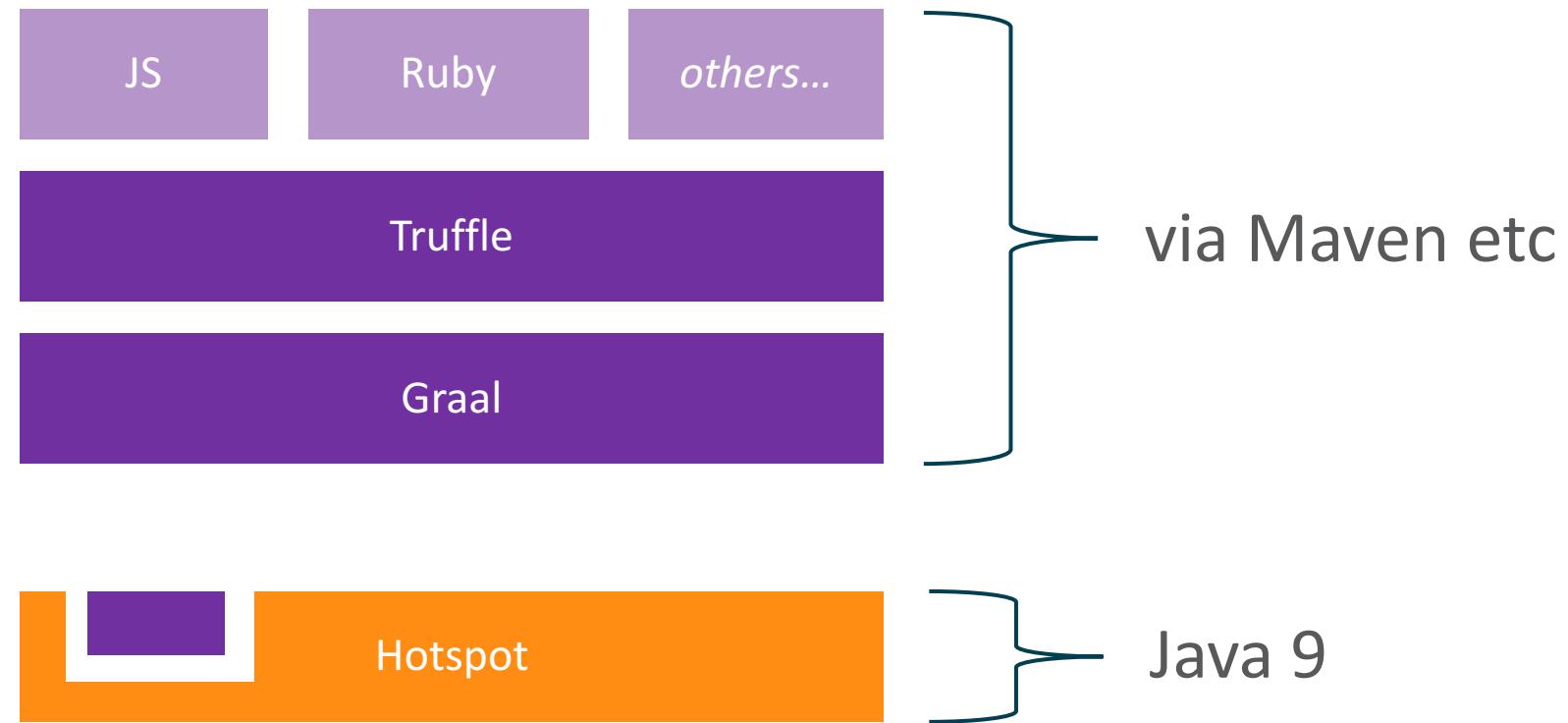


# Java 9 – runs on an unmodified JVM

JVMCI  
(JVM Compiler Interface)



# Java 9 – runs on an unmodified JVM



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Thank you for downloading this release of the Oracle Labs GraalVM. With this release, one can execute Java applications with Graal, as well as applications written in JavaScript, Ruby, and R, with our Polyglot language engines.

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 GraalVM preview for Linux (v0.16), Development Kit

 GraalVM preview for Linux (v0.16), Runtime Environment

 GraalVM preview for Mac OS X (v0.16), Development Kit

 GraalVM preview for Mac OS X (v0.16), Runtime Environment

 labsjdk-8u92-jvmci-0.20-darwin-amd64.tar.gz

 labsjdk-8u92-jvmci-0.20-linux-amd64.tar.gz

 labsjdk-8u92-jvmci-0.20-solaris-sparcv9.tar.gz

### How to install GraalVM

Unpack the downloaded \*.tar.gz file on your machine. You can then use the java executable to execute Java programs. All those executables are in the bin directory of GraalVM. You might want to add that directory to your operating system's PATH.

More detailed getting started instructions are available in the README files in the download. The README files for the language engines can be found in jre/lang

[www.oracle.com/technetwork/oracle-labs/program-languages](http://www.oracle.com/technetwork/oracle-labs/program-languages)

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github.com/graalvm

```
41 import jdk.vm.ci.meta.Constant;
42 import jdk.vm.ci.meta.PrimitiveConstant;
43
44 @NodeInfo(shortName = "|")
45 public final class OrNode extends BinaryArithmeticNode<Or> implements BinaryCommutative<ValueNode>, NarrowableArithmeticNode {
46
47     public static final NodeClass<OrNode> TYPE = NodeClass.create(OrNode.class);
48
49     public OrNode(ValueNode x, ValueNode y) {
50         super(TYPE, ArithmeticOpTable::getOr, x, y);
51     }
52
53     public static ValueNode create(ValueNode x, ValueNode y) {
54         BinaryOp<Or> op = ArithmeticOpTable.forStamp(x.stamp()).getOr();
55         Stamp stamp = op.foldStamp(x.stamp(), y.stamp());
56         ConstantNode tryConstantFold = tryConstantFold(op, x, y, stamp);
57         if (tryConstantFold != null) {
58             return tryConstantFold;
59         } else {
60             return new OrNode(x, y).maybeCommuteInputs();
61         }
62     }
63
64     @Override
65     public ValueNode canonical(CanonicalizerTool tool, ValueNode forX, ValueNode forY) {
66         ValueNode ret = super.canonical(tool, forX, forY);
67         if (ret != this) {
68             return ret;
69         }
70
71         if (GraphUtil.unproxyify(forX) == GraphUtil.unproxyify(forY)) {
72             return forX;
73         }
74         if (forX.isConstant() && !forY.isConstant()) {
75             return new OrNode(forY, forX);
76         }
77         if (forY.isConstant()) {
78             Constant c = forY.asConstant();
79             if (getOp(forX, forY).isNeutral(c)) {
80                 return forX;
81             }
82             if (c instanceof PrimitiveConstant && ((PrimitiveConstant) c).getJavaKind().isNumericInteger()) {
83                 return forY;
84             }
85         }
86     }
87 }
```

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JRuby, an implementation of Ruby on the JVM <http://www.jruby.org> — Edit

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kares committed on GitHub Merge pull request #4126 from etehtsea/gh-3954-signal-exception ... Latest commit 2aab98 23 hours ago

.mvn runnable.jar uses jruby-complete to build itself, so move it 5 months ago

antlib Merge branch 'jruby-1\_7' 10 months ago

bench avoid reflected array-copy since its (still) slow + DRY out error map... 2 months ago

bin [Truffle] improve rbconfig compatibility 29 days ago

core Merge pull request #4126 from etehtsea/gh-3954-signal-exception 23 hours ago

install Update irb launcher on windows installer to mention 2.3 and not 2.2 4 months ago

ivy Bump for next dev version 2 years ago

lib Fix JRuby issue#4147 3 days ago

maven Removed bad entries from jruby-complete.jar. 2 months ago

github.com/jruby/jruby

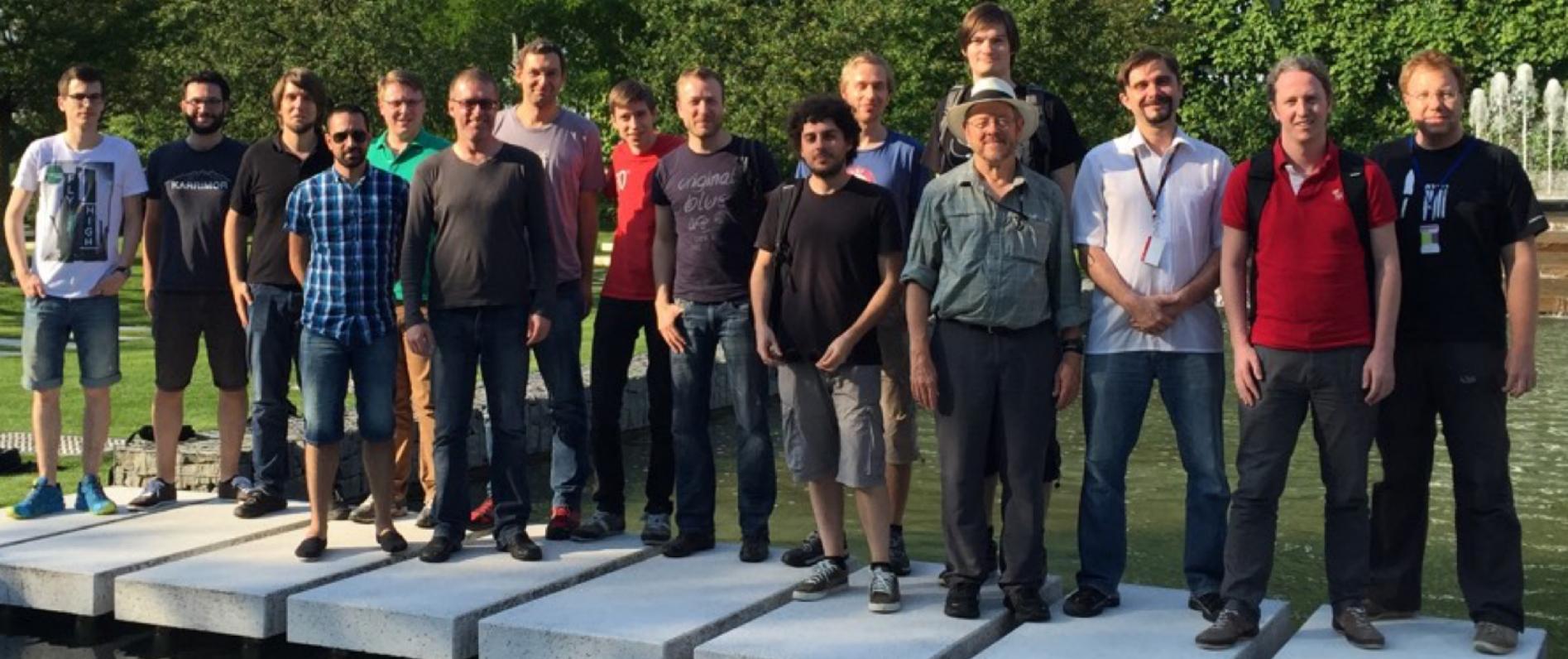


# Polyglot on the JVM with Graal

## [CON4553]

Tuesday, Sep 20, 5:30 p.m. - 6:30 p.m.

Hilton - Plaza Room A



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