# The Quantum Group $U_q(\mathfrak{sl}_2)$

# Talk 14 on Hopf Algebras and Tensor Categories

# 1. Recalling $\mathfrak{sl}_2$ -Theory

Let k be a field. The Lie algebra

$$\mathfrak{sl}_2 := \{ A \in M(2, \mathbb{k}) \mid tr(A) = 0 \}$$

admits the basis

$$E := \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad H := \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad F := \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix},$$

and these basis elements satisfy the commutator relations

$$[H, E] = 2E, \quad [H, F] = -2F, \quad [E, F] = H.$$
 (1)

Its universal enveloping algebra

$$U(\mathfrak{sl}_2) := T(\mathfrak{sl}_2)/(XY - YX - [X,Y] \mid X,Y \in \mathfrak{sl}_2)$$

is generated by the elements *E*, *H*, *F* subject to the relations (1), i.e.

$$U(\mathfrak{sl}_2) \cong \mathbb{k}\langle E, H, F \rangle / ([H, E] - 2E, [H, F] + 2F, [E, F] - H).$$

The universal enveloping algebra  $U(\mathfrak{sl}_2)$  is a Hopf algebra with comultiplication

$$\Delta(X) = X \otimes 1 + 1 \otimes X$$
,  $\varepsilon(X) = 0$ ,  $S(X) = 0$  for every  $X \in \mathfrak{sl}_2$ .

A representation of  $\mathfrak{sl}_2$  is the same as an  $U(\mathfrak{sl}_2)$ -module.

**Theorem 1.1** (Poincaré-Birkhoff-Witt). The algebra U( $\mathfrak{sl}_2$ ) admits the vector space basis

$$F^lH^mE^n$$
 with  $l, m, n \in \mathbb{N}$ .

**Theorem 1.2.** Let k be of characteristic zero.

- 1. Every finite-dimensional  $\mathfrak{sl}_2$ -representation is semisimple.
- 2. The finite-dimensional irreducible  $\mathfrak{sl}_2$ -representation are (up to isomorphism) given by certain representations L(n) for  $n \in \mathbb{N}$ . This representation L(n) has a basis  $w_0, \dots, w_n$  on which E, H, F act as depicted in Figure 1.

We refer to Appendix A.1 for more details on the representation theory of the Lie algebra  $\mathfrak{sl}_2$  in characteristic zero.

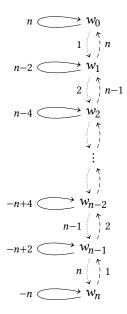


Figure 1: The irreducible representation L(n) of  $U(\mathfrak{sl}_2)$ . Loops depict the action of H, dashed arrows the action of E and dotted arrows the action of F.

# 2. The Algebra $U_q(\mathfrak{sl}_2)$

**Convention 2.1.** In the following k denotes a field of characteristic zero and q is an element of k with  $q \neq 0, 1, -1$ .

**Definition 2.2.** The k-algebra  $U_q(\mathfrak{sl}_2)$  is given by the generators

$$E$$
,  $F$ ,  $K$ ,  $K^{-1}$ 

subject to the relations

$$KK^{-1} = 1 = K^{-1}K$$
,  $KE = q^2EK$ ,  $KF = q^{-2}FK$ ,  $EF - FE = \frac{K - K^{-1}}{q - q^{-1}}$ . (2)

**Remark 2.3** (Choice of q). One often requires additional conditions on q, namely that

- 1. *q* is not a root of unity, or that
- 2.  $\mathbb{K}$  is the field  $\mathbb{K}(q)$  over some other field  $\mathbb{K}$ , with q being the indeterminate.

**Remark 2.4** (The case q=1). The algebra  $\mathrm{U}_q(\mathfrak{sl})$  admits another useful presentations: One introduces the element

$$\widetilde{H} := \frac{K - K^{-1}}{q - q^{-1}}$$

as an additional generator, and then adjust the relations (2). The resulting presentation does then make sense for any  $q \in \mathbb{k}$ , and one has

$$U_1(\mathfrak{sl}_2) \cong U(\mathfrak{sl}_2)[\sigma]/(\sigma^2 - 1)$$

given by

$$E \mapsto \sigma E, \quad \widetilde{H} \mapsto \sigma H, \quad F \mapsto F, \quad K \mapsto \sigma.$$
 (3)

We refer to Appendix A.2 for more details on this presentation.

**Remark 2.5.** One might think about E and F as the usual elements of  $\mathfrak{sl}_2$ , but  $U_q(\mathfrak{sl}_2)$  does not contain H. We will later see that the algebra  $U_q(\mathfrak{sl})$  lives (up to some technical details) inside an  $\mathbb{k}[\![\hbar]\!]$ -algebra  $U_{\hbar}(\mathfrak{sl}_2)$  that also contains H, and in which

$$q = e^{\hbar}$$
,  $K = e^{\hbar H}$ .

We may therefore think about the element K as

$$K = q^H$$
.

**Theorem 2.6** (PBW basis). The algebra  $U_q(\mathfrak{sl}_2)$  has a vector space basis given by

$$F^l K^m E^n$$
 with  $l, n \in \mathbb{N}$  and  $m \in \mathbb{Z}$ 

Proof. See Appendix A.4.

We refer to Appendix A.5 for more remarks on the algebra structure of  $U_q(\mathfrak{sl}_2)$ .

# 3. Representation Theory of $U_q(\mathfrak{sl}_2)$

We will in this section focus on the finite-dimensional representation theory of  $U_q(\mathfrak{sl}_2)$ .

## 3.1. The Case q = 1

Every  $\mathfrak{sl}_2$ -representation extends to a  $U_1(\mathfrak{sl}_1)$ -module by letting  $\sigma$  act by either 1 or -1. The resultings  $U_1(\mathfrak{sl}_1)$ -modules are denoted by  $L(\varepsilon,n)$  for  $\varepsilon=\pm$  and  $n\in\mathbb{N}$ . One can conclude from Theorem 1.2 that every finite-dimensional  $U_1(\mathfrak{sl}_2)$ -module is semisimple, and that the irreducible finite-dimensional  $U_1(\mathfrak{sl}_2)$ -modules are given precisely given by  $L(\pm,n)$ . One can depict these irreducible modules as in Figure 2. We refer to Appendix A.3 for proofs of these claims.

We will keep the case of  $U_1(\mathfrak{sl}_2)$  in the back of our minds while considering the following discussion.

#### 3.2. Weight Space Decomposition

Convention 3.1. In the following q is an element of k which is not a root of unity, unless otherwise specified.

**Definition 3.2.** Let M be an  $U_q(\mathfrak{sl}_2)$ -module. For every scalar  $\lambda \in \mathbb{k}^{\times}$  the associated weight space is given by

$$M_{\lambda} := \{ m \in M \mid Km = \lambda m \} .$$

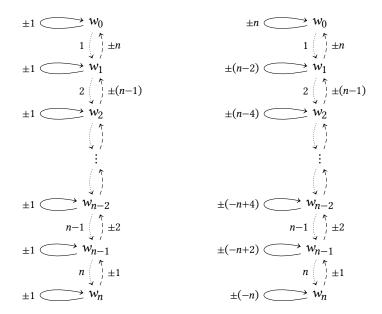


Figure 2: The irreducible representation  $L(\pm, n)$  of  $U_1(\mathfrak{sl}_2)$ . On the left side loops depict the action of K, and on the right side they depict the action of  $\widetilde{H}$ . On both sides dashed arrows depict the action of E and dotted arrows depict the action of E.

**Theorem 3.3.** Let M be an  $U_q(\mathfrak{sl}_2)$ -module.

1. It holds for every scalar  $\lambda \in \mathbb{k}^{\times}$  that

$$EM_{\lambda} \subseteq M_{q^2\lambda}$$
,  $FM_{\lambda} \subseteq M_{q^{-2}\lambda}$ .

2. If M is finite-dimensional then M decomposes into weight spaces, and all occurring weights are of the form  $\pm q^n$  with  $n \in \mathbb{Z}$ .

*Proof.* See Appendix A.6. □

## 3.3. Verma Modules and Classifications

**Definition 3.4.** Let M be an  $U_q(\mathfrak{sl}_2)$ -module.

- 1. A weight vector m is *primitive* if it is nonzero and Em = 0.
- 2. The module M is of highest weight  $\lambda$  if it is generated by a primitive weight vector of weight  $\lambda$ .

**Proposition 3.5.** Every irreducible, finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module is a highest weight module.

*Proof.* The assertion follows from Theorem 3.3.  $\Box$ 

We will classify the irreducible highest-weight representations of  $U_q(\mathfrak{sl}_2)$  and its irreducible finite-dimensional representations. We mirror the corresponding classifications of  $\mathfrak{sl}_2$ -representations.

**Definition 3.6.** Let  $U_q(\mathfrak{b})$  be the subalgebra of  $U_q(\mathfrak{sl}_2)$  generated by  $E, K, K^{-1,1}$ 

**Definition** 3.7. Let  $\lambda \in \mathbb{k}^{\times}$ .

1. Let  $\mathbb{k}_{\lambda}$  be the one-dimensional  $\mathrm{U}_q(\mathfrak{b})$ -module whose underlying vector space is given by  $\mathbb{k}$ , together with the action

$$K \cdot 1 = \lambda$$
,  $E \cdot 1 = 0$ .

2. The *Verma module* associated to  $\lambda$  is the  $U_q(\mathfrak{sl}_2)$ -module given by

$$M(\lambda) := U_q(\mathfrak{sl}_2) \otimes_{U_q(\mathfrak{b})} \mathbb{k}_{\lambda}.$$

**Definition 3.8.** For  $q \in \mathbb{k}$  with  $q \neq 0$  the *n*-th quantum integer is

$$[n]_q := q^{n-1} + q^{n-3} + \dots + q^{-n+3} + q^{-n+1}$$
,

and thus for  $q \neq 1, 0, -1$ ,

$$[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}}.$$

The quantum factorial is

$$[n]_q! := [n]_q[n-1]_q \cdots [1]_q.$$

For every invertible element  $x \in U_q(\mathfrak{sl}_2)$  and integer  $n \in \mathbb{Z}$  let

$$[x,n]_q := \frac{q^n x - q^{-n} x^{-1}}{q - q^{-1}}.$$

**Remark 3.9.** For q = 1 we have  $[n]_1 = n$  and  $[n]_1! = n!$ .

**Proposition 3.10.** Let  $\lambda \in \mathbb{k}^{\times}$ .

1. The Verma module  $M(\lambda)$  has the basis

$$m_i := F^i \otimes 1$$
 with  $i \ge 0$ ,

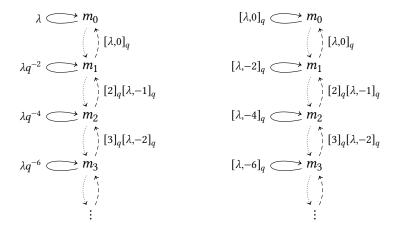
and the actions of E, K, F on this basis is given by

$$Fm_i = m_{i+1}$$
,  $Km_i = q^{-2i} \lambda m_i$ ,  $Em_i = [i]_a [\lambda, 1 - i]_a m_{i-1}$ .

This action can be graphically described as in Figure 3.

2. The Verma module  $M(\lambda)$  is indecomposable.

<sup>&</sup>lt;sup>1</sup>Here β refers to the Lie subalgebra of s ι<sub>2</sub> consisting of the traceless upper triangular matrices, see Appendix A.1.



- Figure 3: The Verma module  $M(\lambda)$ . On the left side the loopes depict the action of K, an on the right side they depict the action of  $\widetilde{H}$ . On both sides the action of F is depicted by dotted arrows and the action of E by dashed arrows.
- 3. a. If  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  contains a unique nonzero, proper submodule  $N_{\lambda}$ , which is spanned by the elements

$$m_i$$
 with  $i \ge n + 1$ .

This submodule is isomorphic to  $M(q^{-n-2}\lambda)$ .

b. If  $\lambda \neq \pm q^n$  for every  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  is irreducible.

**Definition 3.11.** For every scalar  $\lambda \in \mathbb{k}^{\times}$  let

$$L(\lambda) := \begin{cases} M(\lambda)/N_{\lambda} & \text{if } \lambda = \pm q^n \text{ for some } n \in \mathbb{N}, \\ M(\lambda) & \text{otherwise.} \end{cases}$$

Theorem 3.12.

1. There is a one-to-one correspondence given by

$$\mathbb{k}^{\times} \longmapsto \begin{cases} \text{isomorphism clases of} \\ \text{highest-weight irreducible} \\ U_q(\mathfrak{sl}_2)\text{-modules} \end{cases},$$
 
$$\lambda \longmapsto \mathsf{L}(\lambda)\,.$$

2. The module  $L(\lambda)$  is finite-dimensional if and only if  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$ . The above

one-to-one correspondence does therefore restrict to a one-to-one correspondence given by

$$\{1,-1\}\times \mathbb{N} \longmapsto \begin{cases} \text{isomorphism clases of} \\ \text{finite-dimensional irreducible} \\ U_q(\mathfrak{sl}_2)\text{-modules} \end{cases},$$
 
$$(\varepsilon,n) \longmapsto \mathsf{L}(\varepsilon q^n).$$

We have for every  $n \in \mathbb{N}$  that

$$\dim(L(\pm q^n)) = n + 1.$$

#### Remark 3.13.

1. For every  $n \in \mathbb{Z}$  we have

$$[\pm q^n, -i+1]_q = \pm [n-i+1]_q.$$

On the rescalled basis  $m_0, ..., m_n$  of  $L(\pm q^n)$  given by

$$w_i := \frac{m_i}{[i]_q!}$$

the actions of E, F thus become

$$Ew_i = \pm [n-i+1]_q w_{i-1}$$
,  $Fw_i = [i+1]_q w_{i+1}$ .

The action of E, H, K on  $L(\pm q^n)$  can therefore be graphically be represented as in Figure 4

2. We can consider again the element

$$\widetilde{H} := \frac{K - K^{-1}}{q - q^{-1}}$$

of  $U_q(\mathfrak{sl}_2)$ . It acts on the weight space  $M_{\lambda q^{-2i}}$  by the scalar  $[\lambda, -2i]_q$ . For  $\lambda = \pm q^n$  this means

$$[\lambda, -2i]_q = [\pm q^n, -2i]_q = \pm [n-2i]_q.$$

The action of  $\widetilde{H}$  on the Verma module  $M(\lambda)$  and irreducible modules  $L(\pm q^n)$  is therefore as depicted in Figure 3 and Figure 4.

3. We observe that for q = 1 the descriptions of the irreducible  $U_q(\mathfrak{sl}_2)$ -modules  $L(\pm q^n)$  from Figure 4 becomes the description of the irreducible  $U_1(\mathfrak{sl}_2)$ -modules  $L(\pm, n)$  from Figure 2.

# 3.4. Semisimplicity of Finite-Dimensional $U_q(\mathfrak{sl}_2)$ -modules

**Theorem 3.14**. Every finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module is semisimple.

*Proof.* See Appendix A.8. 
$$\Box$$

**Corollary 3.15.** Let M, N be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules with dim  $M_{\lambda} = \dim N_{\lambda}$  for every  $\lambda \in \mathbb{k}^{\times}$ . Then  $M \cong N$ .

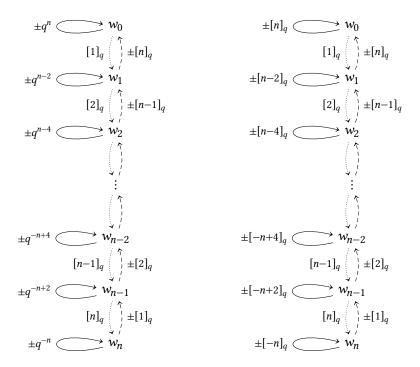


Figure 4: The irreducible representation  $L(\pm q^n)$  of  $U_q(\mathfrak{sl}_2)$ . On the left side the loops depict the action of K, an on the right side they depict the action of  $\widetilde{H}$ . On both sides the action of F is depicted by dotted arrows and the action of F by dashed arrows.

# 4. Hopf Algebra Structure on $U_q(\mathfrak{sl}_2)$

**Proposition 4.1.** The algebra  $U_q(\mathfrak{sl}_2)$  becomes a Hopf algebra when endowed with the comultiplication

$$\Delta(E) = E \otimes K + 1 \otimes E$$
,  $\Delta(F) = F \otimes 1 + K^{-1} \otimes F$ ,  $\Delta(K) = K \otimes K$ ,

the counit

$$\varepsilon(E) = 0$$
,  $\varepsilon(F) = 0$ ,  $\varepsilon(K) = 1$ 

and the antipode

$$S(E) = -EK^{-1}$$
,  $S(F) = -KF$ ,  $S(K) = K^{-1}$ .

*Proof.* One checks that the proposed images of the algebra generators E, F, K,  $K^{-1}$  are compatible with the defining relations of  $U_q(\mathfrak{sl}_2)$ , and that the Hopf algebra diagram commute on these algebra generators.

**Definition 4.2.** The Hopf algebra structure of  $U_q(\mathfrak{sl}_2)$  is given as in Proposition 4.1.

**Remark 4.3**. The Hopf algebra  $U_q(\mathfrak{sl}_2)$  is neither commutative nor cocommutative. It is an example of a so-called *quantum group*.

**Lemma 4.4.** Let M, N be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules. Then

$$(M\otimes N)_{\lambda}=\bigoplus_{\mu\kappa=\lambda}M_{\mu}\otimes N_{\kappa}.$$

*Proof.* See Appendix A.9.

Corollary 4.5. Let M, N be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules. Then

$$M \otimes N \cong N \otimes M$$
.

Proof. This follows from Corollary 3.15 and Lemma 4.4.

**Warning 4.6.** For two (finite-dimensional)  $U_q(\mathfrak{sl}_2)$ -modules M, N the flip map

$$\tau: M \otimes N \to N \otimes M, \quad m \otimes n \mapsto n \otimes m$$

is in general not  $U_a(\mathfrak{sl}_2)$ -linear.

**Example 4.7.** Indeed, let us consider M = N = L(q) with basis  $m_0, m_1$ . Then

$$F \cdot (m_0 \otimes m_1) = m_1 \otimes m_1 \neq q m_1 \otimes m_1 = F \cdot (m_1 \otimes m_0).$$

There exists a quantum version of the Clebsch-Gordan formula, see Appendix A.10.

# 5. Outlook: The Deformation $U_{\hbar}(\mathfrak{sl}_2)$

**Definition 5.1.** Let A be a Hopf algebra over  $\mathbb{k}$ . A *(formal) deformation* of a Hopf algebra A is a Hopf algebra over  $\mathbb{k}[\![\hbar]\!]$  such that  $A_{\hbar} = A[\![\hbar]\!]$  as  $\mathbb{k}[\![\hbar]\!]$ -modules and  $A_{\hbar}/\hbar A_{\hbar} = A$  as Hopf algebras over  $\mathbb{k}$ .

**Remark 5.2.** The above definition is actually wrong. Instead of simply Hopf algebras over  $\mathbb{k}[\![\hbar]\!]$  one needs to consider *topological Hopf algebras*. This means that for the comultiplication of  $A_{\hbar}$  one has to replace the tensor product

$$A_{\hbar} \otimes_{\mathbb{k} \llbracket \hbar \rrbracket} A_{\hbar}$$

by its  $\hbar$ -adic completion

$$A_{\hbar} \widehat{\otimes} A_{\hbar}$$
.

In the given situation we have

$$A_{\hbar} \mathbin{\widehat{\otimes}} A_{\hbar} = A[\![\hbar]\!] \mathbin{\widehat{\otimes}} A[\![\hbar]\!] \cong (A \otimes A)[\![\hbar]\!]$$

as  $\mathbb{k}[\![h]\!]$ -modules. This means that we must allow the comultiplication to take as values not only tensors, but actually power series of tensors.

**Theorem 5.3.** The universal enveloping algebra  $U(\mathfrak{sl}_2)$  admits a Hopf algebra deformation

$$U_{\hbar}(\mathfrak{sl}_2)$$

with

$$[H, E] = 2E, \quad [H, F] = 2F, \quad [E, F] = \frac{e^{\hbar H} - e^{-\hbar H}}{e^{\hbar} - e^{-\hbar}},$$

$$\Delta(E) = E \otimes e^{\hbar H} + 1 \otimes E, \quad \Delta(H) = H \otimes 1 + 1 \otimes H, \quad \Delta(F) = F \otimes 1 + e^{-\hbar H} \otimes F,$$

$$\varepsilon(E) = 0, \quad \varepsilon(H) = 0, \quad \varepsilon(F) = 0,$$

$$S(E) = -Ee^{-\hbar H}, \quad S(H) = -H, \quad S(F) = -e^{\hbar H} F.$$

#### Remark 5.4.

1. In the algebra  $U_{\hbar}(\mathfrak{sl}_2)$  we can consider the well-defined elements

$$q := e^{\hbar}$$
,  $K := e^{\hbar H}$ .

The elements  $E, F, K, K^{-1}$  satisfy the defining relations of  $U_q(\mathfrak{sl}_2)$ . We can thus (up to some technical details) regard  $U_q(\mathfrak{sl}_2)$  as a subalgebra of  $U_{\hbar}(\mathfrak{sl}_2)$ . We refer to Appendix A.11.

2. In  $U_{\hbar}(\mathfrak{sl}_2)$  we have both the element H and the element

$$\widetilde{H} := [E, F] = \frac{K - K^{-1}}{q - q^{-1}} = \frac{e^{\hbar H} - e^{-\hbar H}}{e^{\hbar} - e^{-\hbar}}$$

which is of the form

$$\widetilde{H} = H + \text{terms of order } \hbar^2$$
.

We may think about  $\widetilde{H}$  is a deformation of H (in an informal sense). We note that

$$q \equiv 1$$
,  $K \equiv 1$ ,  $\widetilde{H} \equiv H \pmod{\hbar}$ .

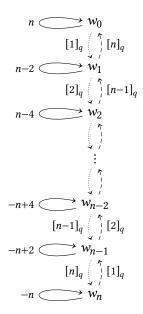


Figure 5: The irreducible representation L(n) of  $U(\mathfrak{sl}_2)$ . Loops depict the action of H, dashed arrows the action of E and dotted arrows the action of F.

**Theorem 5.5** ([CP95, Proposition 6.4.10]). For every natural number  $n \in \mathbb{N}$  let L(n) be the free  $\mathbb{k}[\![\hbar]\!]$ -module of rank n+1 with basis  $w_0, \dots, w_n$ .

1. There exists a unique  $U_{\hbar}(\mathfrak{sl}_2)$ -module structure on V(n) such that

$$Hw_i := (n-2i)w_i$$
,  $Ew_i := [n-i+1]_q w_{i-1}$ ,  $Fw_i := [i+1]_q w_{i+1}$ .

The actions of *E*, *H*, *F* can be graphically depicted as in Figure 5.

- 2. The  $U_{\hbar}(\mathfrak{sl}_2)$ -modules V(n) is indecomposable.
- 3. The  $U_{\hbar}(\mathfrak{sl}_2)$ -module V(n) reduces modulo  $\hbar$  to the irreducible representations L(n) of  $U(\mathfrak{sl}_2)$ .
- 4. The actions of K and  $\widetilde{H}$  on V(n) is given by

$$Kv_i = q^{n-2i}v_i$$
,  $\widetilde{H}v_i = [n-2i]_q v_i$ .

It follows that the module V(n) becomes the irreducible representation  $L(q^n)$  of  $U_q(\mathfrak{sl}_2)$ , see Appendix A.12.

We refer to Appendix B for more a more detailed account about deformations of algebras and Hopf algebras.

# A. Remarks and Proofs

# A.1. Representation Theory of $\mathfrak{sl}_2$

Let  $\mathfrak b$  denote the Lie subalgebra of  $\mathfrak s\mathfrak l_2$  consisting of (traceless) upper triangular matrices. It has the matrices E, H as a basis. Its universal enveloping algebra  $U(\mathfrak b)$  has the PBW-basis  $H^m E^n$  with  $m,n\geq 0$ , and it is a subalgebra of  $U(\mathfrak s\mathfrak l_2)$ .

#### A.1.1. Weight Spaces and Shifting of Weight Spaces

**Definition** A.1. Let V be a representation of  $\mathfrak{sl}_2$ .

1. The *weight space* of *V* with respect to  $\lambda$  is given by

$$V_{\lambda} := \{ v \in V \mid H.v = \lambda v \}.$$

- 2. A nonzero weight vector v of V is *primitive* if E.v = 0.
- 3. The representation V is of *highest weight*  $\lambda$  if it is generated by a primitive weight vector of weight  $\lambda$ .

**Proposition A.2** (Shifting weight spaces). Let V be a representation of  $\mathfrak{sl}_2$  and let  $\lambda \in \mathbb{k}$ . Then

$$E.V_{\lambda} \subseteq V_{\lambda+2}$$
,  $F.V_{\lambda} \subseteq V_{\lambda-2}$ .

*Proof.* This follows from the commutator relations [H, E] = 2E and [H, F] = -2F.

**Lemma A.3.** Let k be algebraically closed. Then every finite-dimensional irreducible representation of  $\mathfrak{sl}_2$  is a highest-weight representation.

#### A.1.2. Verma Modules

There exists for every scalar  $\lambda \in \mathbb{k}$  a universal representation of highest weight  $\lambda$ , the so-called Verma module.

**Definition A.4.** For every scalar  $\lambda \in \mathbb{R}$  let  $\mathbb{R}_{\lambda}$  be the one-dimensional representation of  $\mathfrak{b}$  which is given by  $\mathbb{R}$  as its underlying vector space together with the action of  $\mathfrak{b}$  on  $\mathbb{R}$  given by

$$H.1 = \lambda, \quad E.1 = 0.$$

**Lemma A.5.** There is an isomorphism of  $U(\mathfrak{b})$ -modules given by

$$U(\mathfrak{b})/\langle E, H - \lambda \rangle \to \mathbb{k}_{\lambda}, \quad x \mapsto x.1.$$

**Definition A.6.** The *Verma module* of highest weight  $\lambda$  is the U( $\mathfrak{sl}_2$ )-module given by

$$M(\lambda) := U(\mathfrak{sl}_2) \otimes_{U(\mathfrak{b})} \mathbb{k}_{\lambda}.$$

**Convention** A.7. From now on the field k is of characteristic zero.

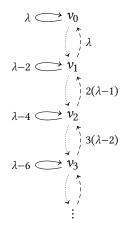


Figure 6: The Verma module  $M(\lambda)$  of  $U(\mathfrak{sl}_2)$ . The action of H is depicted by loops, the action of F by dotted arrows and the action of E by dashed arrows.

# **Proposition A.8.** Let $\lambda \in \mathbb{k}$ .

1. The Verma module  $M(\lambda)$  has the vectors

$$v_i := F^i \otimes 1$$
 with  $i \ge 0$ ,

as a basis. The actions of E, H, F on this basis are given by

$$F.v_i = v_{i+1}$$
,  $H.v_i = (\lambda - 2i)v_i$ ,  $E.v_i = i(\lambda - i + 1)v_{i-1}$ .

This action can be graphically described as in Figure 6.

- 2. The Verma module  $M(\lambda)$  is a representation of highest weight  $\lambda$ .
- 3. There exists for every representation V of  $\mathfrak{sl}_2$  an isomorphism of vector spaces given by

$$\operatorname{\mathsf{Hom}}_{\mathfrak{sl}_2}(\mathrm{M}(\lambda),V) \longrightarrow \left\{ v \in V \mid v \text{ is of weight } \lambda \text{ with } E.v = 0 \right\},$$
 
$$\varphi \longmapsto \varphi(1 \otimes 1).$$

In particular

$$\operatorname{End}_{\mathfrak{sl}_2}(M(\lambda)) = \mathbb{k}$$
.

- 4. The representation  $M(\lambda)$  is indecomposable.
- 5. a. If  $\lambda \notin \mathbb{N}$  then the representation  $M(\lambda)$  is irreducible.
  - b. If  $\lambda = n \in \mathbb{N}$  then the representation  $M(\lambda)$  has a unique nonzero, proper subrepresentation, which is spanned by the vectors

$$v_i$$
 with  $i \ge n + 1$ .

This subrepresentation is isomorphic to M(-n-2).

**Definition A.9.** Let  $\lambda \in \mathbb{k}$ .

- 1. For  $\lambda \notin \mathbb{N}$  let  $L(\lambda) := M(\lambda)$ .
- 2. For  $\lambda \in \mathbb{N}$  let  $L(\lambda) := M(\lambda)/N$  where N is the unique nonzero, proper subrepresentation of  $M(\lambda)$ .

## A.1.3. Classifications of Certain Irreducible Representations

#### Theorem A.10.

1. There is a one-to-one correspondence given by

$$\begin{cases} \text{irreducible highest weight} \\ \text{representations of } \mathfrak{sl}_2 \end{cases} \longleftrightarrow \mathbb{k} \,,$$
 
$$L(\lambda) \longleftrightarrow \lambda \,.$$

2. The representation  $L(\lambda)$  is finite-dimensional if and only if  $\lambda = n \in \mathbb{N}$ , in which case

$$\dim(\mathrm{L}(n)) = n+1.$$

If  $\Bbbk$  is algebraically closed (so that every irreducible finite-dimensional  $\mathfrak{sl}_2$ -representation is a highest-weight representation) then the above correspondence does therefore restrict to a one-to-one correspondence

$$\begin{cases} \text{irreducible finite-dimensional} \\ \text{representations of } \mathfrak{sl}_2 \end{cases} \longleftrightarrow \mathbb{N} \,, \\ L \longmapsto \dim(L) - 1 \,, \\ L(n) \longleftrightarrow n \,. \end{cases}$$

**Remark A.11.** Let  $n \in \mathbb{N}$ . The basis  $v_0, \dots, v_n$  of L(n) can be rescaled to the basis

$$w_i := \frac{1}{i!} v_i \,.$$

The actions of *E* and *F* then become

$$E.w_i = (n-i+1)w_{i-1}$$
,  $F.w_i = (i+1)w_{i+1}$ .

The actions of E, H, F on L(n) can now be graphically be represented as in Figure 1.

#### A.1.4. Semisimplicity of Finite-Dimensional Representations

Theorem A.12 (Weyl). Let  $\Bbbk$  be algebraically closed. Every finite-dimensional representation of  $\mathfrak{sl}_2$  is semisimple.

Corollary A.13. Any finite-dimensional representation of  $\mathfrak{sl}_2$  admits a weight space decomposition. All occurring weights are integral.

The decomposition of a finite-dimensional representation of  $\mathfrak{sl}_2$  into irreducible representations can be read off from its weight space decomposition. From this the following result can be shown.

**Proposition** A.14 (Clebsch–Gordan). Let n, m be natural numbers with  $n \ge m$ . Then

$$L(n) \otimes L(m) \cong L(n+m) \oplus L(n+m-2) \oplus \cdots \oplus L(n-m)$$
.

#### A.1.5. The General Case of Characteristic Zero

We have above used a few times the additional assumption that k is algebraically closed. We will now explain how to get rid of this assumption. For this we first recall some standard results about semisimplicity of algebras.

**Lemma A.15**. Let  $\mathbb{k}$  be any field and let V be a finite-dimensional  $\mathbb{k}$ -vector spaces. Let A be a subalgebra of  $\operatorname{End}_{\mathbb{k}}(V)$ . Then A is semisimple if and only if V is semisimple as an A-module.

*Proof.* See [Lan02, XVII, §5, Proposition 4.7] and [MS16].

**Definition A.16.** The *Jacobson radical* of a ring R is the intersection of all maximal left ideal of R. It is denoted by J(R).

Remark A.17. Let R be a ring. The irreducible R-modules are up to isomorphism precisely those R-modules of the form  $R/\mathfrak{m}$ , where  $\mathfrak{m}$  is a maximal left ideal in R. The Jacobson radical of R does therefore consists of precisely those elements of R which annihilate every irreducible R-module.

**Proposition A.18.** Let A be a finite-dimensional k-algebra.

- 1. The Jacobson radical J(A) is a nilpotent, two-sided ideal in A.
- 2. Every nilpotent, two-sided ideal of A is contained in the Jacobson raidcal J(A). It is thus the unique maximal nilpotent, two-sided ideal.
- 3. The following conditions on *A* are equivalent:
  - i. The algebra *A* is semisimple.
  - ii. The Jacobson radical J(A) vanishes.
  - iii. The algebra A does not contain any nonzero nilpotent, two-sided ideal.

*Proof.* See [Lam01, §4]. □

Corollary A.19. Let A be a finite-dimensional k-algebra. Let K be a field extension of k and suppose that  $K \otimes_k A$  is semisimple. Then A is semisimple.

Corollary A.20. Let A be an k-algebra and let M be a finite-dimensional A-module. Let K be a field extension of k and let

$$A_{\mathbb{K}} := \mathbb{K} \otimes_{\mathbb{K}} A$$
,  $M_{\mathbb{K}} := \mathbb{K} \otimes_{\mathbb{K}} M$ .

If  $M_{\mathbb{K}}$  is irreducible as an  $A_{\mathbb{K}}$ -module then M is irreducible as an A-module.

Remark A.21. Corollary A.20 asserts that extension of scalars reflects irreducibility of finite-dimensional modules. It is generally not true that it preserves irreducibility: it may happen that M is irreducible as an A-module but  $M_{\mathbb{K}}$  is not irreducible as an  $A_{\mathbb{K}}$ -module.

**Theorem A.22.** Let k be a field of characteristic zero.

- 1. Every finite-dimensional  $\mathfrak{sl}_2$ -representation is semisimple.
- 2. Every finite-dimensiona  $\mathfrak{sl}_2$ -representation decomposes into weight spaces, and all occurring weights are integral.
- 3. The irreducible finite-dimensional representations of  $\mathfrak{sl}_2$  are given by L(n) for  $n \in \mathbb{N}$ .

# A.2. An Alternative Presentation for $U_q(\mathfrak{sl}_2)$

Let  $q \in \mathbb{k}$  and let  $U_q$  be the algebra given by the generators

$$E, \widetilde{H}, F, K, K^{-1}$$

and the relations

$$KK^{-1} = 1 = K^{-1}K$$
,  $KE = q^{2}EK$ ,  $KF = q^{-2}FK$ , 
$$[E, F] = \widetilde{H}, \quad (q - q^{-1})\widetilde{H} = K - K^{-1},$$
 
$$[\widetilde{H}, E] = q(EK + K^{-1}E), \quad [\widetilde{H}, F] = -q^{-1}(FK + K^{-1}F).$$

Proposition A.23. There exists a unique homomorphism of algebras

$$\psi: U_q \to U_q(\mathfrak{sl}_2)$$

that is given by

$$\psi(E) = E, \quad \psi(\widetilde{H}) = \frac{K - K^{-1}}{q - q^{-1}}, \quad \psi(F) = F, \quad \psi(K) = K,$$

and this homomorphism is an isomorphism.

Proof. See [Kas95, Proposition VI.2.1].

**Proposition A.24**. For q = 1 there exists a unique homomorphism of algebras

$$\varphi: U_1 \to \mathrm{U}(\mathfrak{sl}_2)[\sigma]/(\sigma^2-1)$$

that is given by

$$\varphi(E) = \sigma E$$
,  $\varphi(\widetilde{H}) = \sigma H$ ,  $\varphi(F) = F$ ,  $\varphi(K) = \sigma$ .

Proof. See [Kas95, Proof of Proposition VI.2.2].

**Remark A.25**. There also exist other, more exotic presentations of  $U_q(\mathfrak{sl}_2)$ . We refer to [ITW05] for an example.

# A.3. Representation Theory of $U_1(\mathfrak{sl}_2)$

Let A denote the algebra  $U(\mathfrak{sl}_2)[\sigma]/(\sigma^2-1)$ .

Let M be an  $\mathfrak{sl}_2$ -representation and let  $\varepsilon=\pm 1$ . The corresponding  $U(\mathfrak{sl}_2)$ -module structure on M extends to an  $U(\mathfrak{sl}_2)[\sigma]$ -module structure for which  $\sigma$  acts by multiplication with  $\varepsilon$ , because  $\sigma$  is central in  $U(\mathfrak{sl}_2)[\sigma]$ . It follows from  $\varepsilon^2=1$  that this induces a A-module structure on M as claimed in Remark 2.4.

If M is irreducible then the resulting A-module is again irreducible since every A-submodule is in particular an  $\mathfrak{sl}_2$ -subrepresentation. It hence follows that the A-modules L(+,n) and L(-,n) that result from the irreducible  $\mathfrak{sl}_2$ -representation L(n) are again irreducible. These representations are pairwise non-isomorphic since the element  $H\sigma$  of A (which corresponds to the element  $\widetilde{H}$  of  $U_1(\mathfrak{sl}_2)$ ) acts on L(+,n) with highest weight n and on L(-,n) with highest weight -n.

Let now M be any finite-dimensional M-module. It follows from the relation  $\sigma^2 = 1$  in A that the action of  $\sigma$  on A is diagonalizable with eigenvalues 1 and -1. We thus have

$$M = M_1 \oplus M_{-1}$$

with  $M_{\varepsilon} := \{m \in M \mid \sigma m = \varepsilon m\}$  for  $\varepsilon = \pm 1$ . The action of  $\sigma$  on M is an A-module homomorphism because  $\sigma$  is central in A. The decomposition  $M = M_1 \oplus M_{-1}$  is therefore one of A-modules.

We may regard both  $M_1$  and  $M_{-1}$  as  $\mathfrak{sl}_2$ -representations by restriction. We then have decompositions into finite-dimensional irreducible  $\mathfrak{sl}_2$ -representations given by

$$M_1 \cong L(n_1) \oplus \cdots \oplus L(n_s), \quad M_{-1} \cong L(n'_1) \oplus \cdots \oplus L(n'_t).$$

We note that this is already a decomposition as A-modules since  $\sigma$  acts on  $M_1$  and  $M_{-1}$  by multiplication with scalars. As A-modules we have

$$L(n_i) = L(+, n_i), L(n'_i) = L(-, n'_i).$$

This shows that every finite-dimensional A-module decomposes into a direct sum of the irreducible A-modules  $L(\varepsilon, n)$ .

# A.4. PBW Basis for $U_q(\mathfrak{sl}_2)$

We use in the following the notation introduced in Definition 3.8.

**Lemma A.26.** For every  $r \ge 0$  we have

$$[E, F^r] = [r]_q F^{r-1} [K, 1-r]_q.$$

*Proof.* For r=0 both sides vanish and for r=1 this is one of the defining relations of  $U_q(\mathfrak{sl}_2)$ . For  $r\geq 2$  the assertion follows by induction, see [Jan96, Appendix 1.3 (5)].

Corollary A.27. We have

$$\begin{split} F \cdot F^l K^m E^n &= F^{l+1} K^m E^n \,, \\ K^{\pm 1} \cdot F^l K^m E^n &= q^{\mp 2l} F^l K^{m\pm 1} E^n \,, \\ E \cdot F^l K^m E^n &= q^{-2m} F^l K^m E^{n+1} + \frac{[l]_q}{q-q^{-1}} (q^{1-l} F^{l-1} K^{m+1-l} E^n - q^{l-1} F^{l-1} K^{m+l-1} E^n) \,. \end{split}$$

*Proof.* This follows from Lemma A.26 and the two relations  $KE = q^2 E K$  and  $KF = q^{-2} F K$ .  $\Box$ 

*Proof of Theorem 2.6.* Let U be the linear subspace of  $U_q(\mathfrak{sl}_2)$  spanned by these given monomials. It follows from Corollary A.27 that  $U_q(\mathfrak{sl}_2)$  is a left ideal. It contains the elements  $F^0K^0E^0=1$ , whence  $U=U_q(\mathfrak{sl}_2)$ . This shows that the given monomials are a vector space generating set.

The linear independence is shown in the usual representation-theoretic way: Let V be the free vector space with basis

$$X^l Y^n Z^m$$
 with  $l, n \in \mathbb{N}$  and  $m \in \mathbb{Z}$ .

There exists an action of  $U_q(\mathfrak{sl}_2)$  on V by using the formulas from Corollary A.27, with  $F^lK^mE^n$  replaced by  $X^lY^nZ^m$ . (It has to be checked that this proposed action is compatible with the defining relations of  $U_q(\mathfrak{sl}_2)$ , see [Jan96, Appendix 1.5].) The elements

$$F^l K^m E^n \cdot X^0 Y^0 Z^0 = X^l Y^m Z^n$$

are linearly independent in V, whence the given monomials  $F^lK^mE^n$  are linearly independent in  $U_q(\mathfrak{sl}_2)$ .

# A.5. More on the Algebra Structure of $U_q(\mathfrak{sl}_2)$

#### Remark A.28.

- 1. The universal enveloping algebra  $U(\mathfrak{sl}_2)$  is noetherian and has no nonzero zero divisors. The same holds for  $U_q(\mathfrak{sl}_2)$ , see [Kas95, Proposition VI.1.4] and [Jan96, Proposition 1.8].
- 2. The algebra  $U_q(\mathfrak{sl}_2)$  admits a grading such that E, K, F are homogeneous with

$$deg(E) = 1$$
,  $deg(F) = -1$ ,  $deg(K) = 0$ .

The degree d part of  $U_q(\mathfrak{sl}_2)$  has the basis

$$F^l K^m E^n$$
 with  $n - l = d$ .

This grading wan also be characterized in terms of the conjugation map

$$U_q(\mathfrak{sl}_2) \to U_q(\mathfrak{sl}_2), \quad x \mapsto KxK^{-1}.$$

The degree d part of the grading is precisely the eigenspace with eigenvalue  $q^{2d}$ .

#### Proposition A.29.

1. There exists a unique algebra involution  $\omega$  of  $U_q(\mathfrak{sl}_2)$  with

$$\omega(E) = F$$
,  $\omega(K) = K^{-1}$ ,  $\omega(F) = E$ .

2. There exists a unique algebra anti-involution  $\tau$  of  $U_q(\mathfrak{sl}_2)$  with

$$\tau(E) = E$$
,  $\tau(K) = K^{-1}$ ,  $\tau(F) = F$ .

3. There exists a unique algebra isomorphism  $\varphi_q:\ \mathrm{U}_q(\mathfrak{sl}_2)\to\mathrm{U}_{q^{-1}}(\mathfrak{sl}_2)$  with

$$\varphi(E) = -F$$
,  $\varphi(K) = K^{-1}$   $\varphi(F) = -E$ .

The inverse of the isomorphism  $\varphi_q$  is given by  $\varphi_{q^{-1}}$ .

4. There exist unique algebra involutions  $\sigma_E$  and  $\sigma_F$  of  $U_q(\mathfrak{sl}_2)$  with

$$\sigma_E(E) = -E$$
,  $\sigma_E(K) = -K$ ,  $\sigma_E(F) = F$ .

and

$$\sigma_F(E) = E$$
,  $\sigma_F(K) = -K$ ,  $\sigma_E(F) = -F$ .

*Proof.* One checks that the proposed images of E, F,  $K^{\pm 1}$  are compatible with the defining relations of  $U_q(\mathfrak{sl}_2)$ . See also [Jan96, Lemma 1.2].

#### Remark A.30.

- 1. One can combine the above (anti-)isomorphisms to construct further (anti-)isomorphisms involving  $U_q(\mathfrak{sl}_2)$  and  $U_{q^{-1}}(\mathfrak{sl}_2)$ .
- 2. It follows from the existence of these (anti-)isomorphisms that many formulas and propositions involving  $U_q(\mathfrak{sl}_2)$  have to satisfy certain symmetries.

### A.6. Proof of Theorem 3.3

**Lemma A.31.** Let *M* be a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module.

- 1. Both *E* and *F* act nilpotently on *M*.
- 2. For a sufficiently large power  $r \ge 0$  (namely such that  $F^r M = 0$ ) the module M is annihilated by

$$\prod_{j=-r}^r (K^2 - q^{2j}).$$

*Proof.* See [Jan96, Proposition 2.1] and [Jan96, Proposition 2.3].

**Proposition A.32**. Every finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module decomposes into weight spaces. All occurring weights are of the form  $\pm q^n$  for some  $n \in \mathbb{Z}$ .

*Proof.* Let M be a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module and let k denote the action of K on M. It follows from Lemma A.31 that

$$0 = \prod_{n=-r}^{r} (k^2 - q^{2n}) = \prod_{n=-r}^{r} (k - q^n)(k + q^n).$$

The roots  $\pm q^n$  with n = -r, ..., r are pairwise distinct<sup>2</sup> whence it follows that k is diagonalizable with possible eigenvalues  $\pm q^n$  for n = -r, ..., r.

<sup>&</sup>lt;sup>2</sup>If  $\pm q^n = \pm q^m$  then squaring both sides of this equation gives  $q^{2n} = q^{2m}$  and thus  $q^{2(n-m)} = 1$ . It follows that 2(n-m) = 0 because q is not a root of unity, and thus n = m.

#### A.7. Proof of Proposition 3.10

## Proposition A.33.

1. The algebra  $U_q(\mathfrak{b})$  has the basis

$$K^n E^m$$
 with  $n \in \mathbb{Z}$  and  $m \in \mathbb{N}$ 

2. The algebra  $\mathbf{U}_q(\mathfrak{b})$  is given with respect to its generators  $E,K,K^{-1}$  by the relations

$$KK^{-1} = 1 = K^{-1}K$$
,  $KE = q^2EK$ .

Proof.

1. Let U be the linear subspace of  $U_q(\mathfrak{sl}_2)$  spanned by the monomials  $K^nE^m$  with  $n,m\in\mathbb{N}$ . This linear subspace is contained in  $U_q(\mathfrak{b})$ . It follows on the other hand from the relation  $KE=q^2EK$  that

$$K^{n}E^{m} \cdot K^{n'}E^{m'} = q^{2mn'}K^{n+n'}E^{m+m'}$$

for all  $n, n', m, m' \in \mathbb{N}$ , and we have  $1 = K^0 E^0 \in U$ . This shows that U is a subalgebra of  $U_q(\mathfrak{sl}_2)$  containing  $E, K, K^{-1}$ , and therefore containing  $U_q(\mathfrak{b})$ . This shows together that  $U = U_q(\mathfrak{b})$ .

2. Let U be the algebra given by generators E, K,  $K^{-1}$  and relations

$$KK^{-1} = 1 = K^{-1}K$$
,  $KE = q^2EK$ .

There exists a unique algebra homomorphism  $\varphi: U \to U_q(\mathfrak{b})$  given by

$$\varphi(E) = E$$
,  $\varphi(K) = K$ .

In the same way as Theorem 2.6 one sees that *U* has a PBW-basis given by the monomials

$$K^n E^m$$
 with  $n \in \mathbb{Z}$  and  $m \in \mathbb{N}$ .

It follows that the algebra homomorphism  $\varphi$  restricts to a bijection between the PBW-bases of U and  $U_q(\mathfrak{b})$  and is therefore an algebra isomorphism.

We now show an extended version of Proposition 3.10

# **Proposition A.34.** Let $\lambda \in \mathbb{k}^{\times}$ .

- 1. We have  $\mathbb{k}_{\lambda} \cong U_q(\mathfrak{b})/\langle E, K \lambda \rangle$  as an  $U_q(\mathfrak{b})$ -module.
- 2. The Verma module  $M(\lambda)$  has the basis

$$m_i := F^i \otimes 1$$
 with  $i \geq 0$ ,

and the actions of E, K, F on this basis is given by

$$Fm_i = m_{i+1}$$
,  $Km_i = q^{-2i} \lambda m_i$ ,  $Em_i = [i]_q [\lambda, 1 - i]_q m_{i-1}$ .

This action can be graphically described as in Figure 3.

- 3. The Verma module  $M(\lambda)$  is of highest weight  $\lambda$ , and every  $U_q(\mathfrak{sl})$ -module of highest weight  $\lambda$  is a quotient of  $M(\lambda)$ .
- 4. There exists for every  $U_q(\mathfrak{sl}_2)$ -module M an isomorphism of vector spaces given by

$$\operatorname{Hom}_{\operatorname{U}_{q}(\mathfrak{sl}_{2})}(\operatorname{M}(\lambda), M) \cong \{m \in M \mid m \text{ is of weight } \lambda \text{ with } Em = 0\}.$$

It follows in particular that

$$\operatorname{End}_{\operatorname{U}_q(\mathfrak{sl}_2)}(\operatorname{M}(\lambda)) = \mathbb{k}.$$

- 5. The Verma module  $M(\lambda)$  is indecomposable.
- 6. a. If  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  contains a unique nonzero, proper submodule, which is spanned by the elements

$$m_i$$
 with  $i \ge n + 1$ .

This submodule is isomorphic to  $M(q^{-n-2}\lambda)$ .

- b. If  $\lambda \neq \pm q^n$  for every  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  is irreducible.
- 1. This follows from the PBW-basis of  $U_q(\mathfrak{b})$ .
- 2. This follows from the PBW-basis of  $U_q(\mathfrak{sl}_2)$  and induction.
- 3. The Verma module  $M(\lambda)$  is generated by the primitive weight vector  $1 \otimes 1$ .
- 4. We have

$$\operatorname{Hom}_{\operatorname{U}_q(\mathfrak{sl}_2)}(\operatorname{M}(\lambda), M) \cong \operatorname{Hom}_{\operatorname{U}_q(\mathfrak{b})}(\mathbb{k}_{\lambda}, M)$$

$$\cong \operatorname{Hom}_{\operatorname{U}_q(\mathfrak{b})}(\operatorname{U}_q(\mathfrak{b})/\langle K - \lambda, E \rangle, M)$$

$$\cong \{ m \in M \mid (K - \lambda)m = 0, Em = 0 \}.$$

- 5. The endomorphism algebra  $\operatorname{End}_{\operatorname{U}_q(\mathfrak{sl}_2)}(\operatorname{M}(\lambda)) = \mathbb{k}$  does not contain any non-trivial idempotents.
- 6. This follows as for  $U(\mathfrak{sl}_2)$  since  $[i]_q[\lambda,i-1]_q=0$  if and only if  $\lambda=\pm q^{i-1}$ .

# A.8. Proof of Theorem 3.14

**Lemma A.35.** If *M* is an highest-weight  $U_q(\mathfrak{sl}_2)$ -module then

$$\operatorname{End}_{\operatorname{U}_q(\mathfrak{sl}_2)}(M) = \mathbb{k} \,.$$

**Definition A.36**. The quantum Casimir element is the element  $C_q \in U_q(\mathfrak{sl}_2)$  given by

$$C_q := EF + \frac{Kq^{-1} + K^{-1}q}{(q - q^{-1})^2}.$$

Lemma A.37.

1. The element  $C_q$  is central in  $U_q(\mathfrak{sl}_2)$ .

- 2. The element  $C_q$  acts on every  $U_q(\mathfrak{sl}_2)$ -module by module endomorphisms.
- 3. The element  $C_q$  acts for every scalar  $\lambda \in \mathbb{k}^{\times}$  on the representation  $L(\lambda)$  by multiplication with the scalar

$$\frac{\lambda q + \lambda^{-1} q^{-1}}{(q - q^{-1})^2} \, .$$

4. The element  $C_q$  acts the same on  $L(\lambda)$  and  $L(\mu)$  if and only if  $\lambda = \mu$  or  $\lambda = \mu^{-1}q^{-2}$ .

Proof.

- 1. It can be checked that  $C_q$  commutes with E, F, K by using the defining relations for  $U_q(\mathfrak{sl}_2)$ .
- 2. This follows from the previous assertion.
- 3. It follows from the previous assertion and Lemma A.35 that  $C_q$  acts by a scalar. This scalar can be read off from the action on the primitive generator  $1 \otimes 1$ . It thus sufficies to show the assertion for M( $\lambda$ ), where it follows from Proposition 3.10.
- 4. This follows from the previous assertion.

Corollary A.38. The quantum Casimir element  $C_q$  acts on every finite-dimensional, irreducible representation of  $U_q(\mathfrak{sl}_2)$  by a different scalar.

*Proof.* If  $\lambda = \delta q^n$  and  $\mu = \varepsilon q^m$  with  $\delta, \varepsilon \in \{1, -1\}$  and  $n, m \in \mathbb{N}$  then it cannot happen that  $\lambda = \mu^{-1}q^{-2}$ . The assertion thus follows from Lemma A.37.

Proof of Theorem 3.14 ([Jan96, Theorem 2.9]). Let M be any finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module and let c denote the action of  $C_q$  on M. We may assume that M is indecomposable. We can consider a composition series

$$0 = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \cdots \subsetneq M_r = M \tag{4}$$

with composition factors

$$M_i/M_{i-1} \cong L(\varepsilon_i q^{n_i}).$$

Letting  $c_i$  be the scalar by which  $C_q$  acts on  $L(\varepsilon_i q^{n_i})$ , we have

$$(c-c_i)M_i \subseteq M_{i-1}$$
.

It follows that  $\prod_{i=1}^r (c-c_i)$  annihilates M and that c admits a generalized eigenspace decomposition with eigenvalues  $c_1, \ldots, c_r$ . The resulting generalized eigenspaces are subrepresentations because c is a  $U_q(\mathfrak{sl}_2)$ -module endomorphism. It follows that

$$c_1 = \cdots = c_r$$

because *M* is indecomposable, and thus

$$\varepsilon_1 q^{n_1} = \cdots = \varepsilon_r q^{n_r} =: \lambda$$

by Corollary A.38. It follows with the composition series (4) that

$$\dim(M_{II}) = r \dim(L(\lambda)_{II})$$

for every scalar  $\mu \in \mathbb{k}^{\times}$ . Thus *M* is of highest weight  $\lambda$ .

The short exact sequence

$$0 \to M_{r-1} \to M \to L(\lambda) \to 0 \tag{5}$$

restricts to a short exact sequence

$$0 \to (M_{r-1})_{\lambda} \to M_{\lambda} \to L(\lambda)_{\lambda} \to 0$$
.

It follows that the primitive generator  $v_0$  of  $L(\lambda)$  has a preimage  $m_0$  in M. The weight vector  $m_0$  is primitive because M isof highest weight  $\lambda$ . It follows that there exists a homomorphism of  $U_q(\mathfrak{sl}_2)$ -modules

$$\varphi: M(\lambda) \to M, \quad 1 \otimes 1 \mapsto m_0.$$

It follows from the finite-dimensionality of M that  $\varphi$  factors through a homomorphism

$$\psi: L(\lambda) \to M, \quad \overline{1 \otimes 1} \mapsto m_0.$$

This shows that the short exact sequence (5) splits, whence

$$M \cong M_{r-1} \oplus L(\lambda)$$
.

It follows by induction that  $M_{r-1} \cong L(\lambda)^{\oplus (r-1)}$  and thus altogether  $M \cong L(\lambda)^{\oplus r}$ .

**Remark A.39**. The center of the universal enveloping algebra  $U(\mathfrak{sl}_2)$  is a polynomial algebra, generated by the classical Casimir element  $C = (ef + h^2 + fe)/4$ . It can be shown that the center of  $U_q(\mathfrak{sl}_2)$  is again a polynomial algebra, now generated by the quantum Casimir element  $C_q$ . We refer to [Jan96, Proposition 2.18] for more details on this.

#### A.9. Proof of Lemma 4.4

We have

$$M_{\mu} \otimes N_{\kappa} \subseteq (M \otimes N)_{\mu\kappa}$$

for all  $\mu, \kappa \in \mathbb{R}^{\times}$  since the element K is group-like in  $U_q(\mathfrak{sl}_2)$ . Both M and N admits weight space decompositions

$$M = \bigoplus_{\mu} M_{\mu}, \quad N = \bigoplus_{\kappa} N_{\kappa}$$

and it follows that

$$M \otimes N = \left(\bigoplus_{\mu} M_{\mu}\right) \otimes \left(\bigoplus_{\kappa} N_{\kappa}\right) = \bigoplus_{\mu,\kappa} (M_{\mu} \otimes N_{\kappa}) \subseteq \bigoplus_{\lambda} M_{\lambda} \subseteq M \otimes N$$

It follows with the inclusions  $M_{\mu} \otimes N_{\kappa} \subseteq (M \otimes N)_{\mu\kappa}$  that already

$$(M\otimes N)_{\lambda}=\bigoplus_{\mu\kappa=\lambda}M_{\mu}\otimes N_{\kappa}$$

for every  $\lambda$ .

# A.10. Clebsch--Gordan for $U_q(\mathfrak{sl}_2)$

**Proposition A.40**. For all  $\delta, \varepsilon \in \{1, -1\}$  and  $n, m \in \mathbb{N}$  with  $n \ge m$  we have

$$L(\delta q^n) \otimes L(\varepsilon q^m) \cong L(\delta \varepsilon q^{n+m}) \oplus L(\delta \varepsilon q^{n+m-2}) \oplus \cdots \oplus L(\delta \varepsilon q^{n-m}).$$

Proof. This follows from Corollary 3.15 and Lemma 4.4.

# A.11. $U_a(\mathfrak{sl}_2)$ inside of $U_h(\mathfrak{sl}_2)$

We consider the field of Laurent polynomials  $\mathbb{k}(\!(\hbar)\!)$  and the extension of scalars

$$\mathbb{k}((\hbar)) \otimes_{\mathbb{k}[\![\hbar]\!]} U_{\hbar}(\mathfrak{sl}_2),$$

which is given as an  $\mathbb{k}((\hbar))$ -module by

$$\Bbbk(\!(\hbar)\!) \otimes_{\Bbbk \llbracket \hbar \rrbracket} U_{\hbar}(\mathfrak{sl}_{2}) = \Bbbk \llbracket \hbar \rrbracket \llbracket \hbar^{-1} \rrbracket \otimes_{\Bbbk \llbracket \hbar \rrbracket} U(\mathfrak{sl}_{2}) \llbracket \hbar \rrbracket \cong U(\mathfrak{sl}_{2}) \llbracket \hbar \rrbracket \llbracket \hbar^{-1} \rrbracket \cong U(\mathfrak{sl}_{2}) (\!(\hbar)\!).$$

The field  $\mathbb{k}((\hbar))$  contains the subfield  $\mathbb{k}(q)$ , and we get an algebra homomorphism

$$\mathrm{U}_q(\mathfrak{sl}_2) \to \Bbbk(\!(\hbar)\!) \otimes_{\Bbbk \llbracket \hbar \rrbracket} \mathrm{U}(\mathfrak{sl}_2)$$

where  $U_q(\mathfrak{sl}_2)$  is defined over k(q).

## A.12. From V(n) to $L(q^n)$

It follows that

$$L(q^n) \cong \langle 1 \otimes \nu_0, \dots, 1 \otimes \nu_n \rangle_{\Bbbk(q)} \subseteq \Bbbk((\hbar)) \otimes_{\Bbbk \llbracket \hbar \rrbracket} V(n)$$

as  $U_q(\mathfrak{sl}_2)$ -modules.

# **B.** Deformation Theory

## **B.1.** Deformations of Algebras

We will in the following introduce a formal deformation  $U_{\hbar}(\mathfrak{sl}_2)$  of the Hopf algebra  $U(\mathfrak{sl}_2)$  and gain a new understanding of  $U_q(\mathfrak{sl}_2)$ .

#### **B.2.** Deformation of Algebras

The following is taken (at least in spirit) from [Bel18, §5.2] and [GS92].

**Motivation B.1**. Deforming a k-algebra A means – roughly speaking – that the multiplication on A is replaced by a perturbated multiplication \*, in the sense that for all  $a, b \in A$ ,

$$a * b = ab + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \cdots$$

for some bilinear terms  $\mu_i(a,b)$ . The limit  $\hbar \to 0$  does then give back the original algebra A.

**Definition B.2**. Let *A* be an k-algebra.

- 1. A (formal) deformation of A is an  $\mathbb{k}[\![\hbar]\!]$ -algebra  $A_{\hbar}$  whose underlying  $\mathbb{k}[\![\hbar]\!]$ -module is  $A[\![\hbar]\!]$  and for which  $A_{\hbar}/\hbar A_{\hbar} = A$  as algebras.
- 2. Two deformations  $A_{\hbar}$  and  $A'_{\hbar}$  of the algebra A are *equivalent* if there exists an isomorphism of  $\mathbb{K}[\![\hbar]\!]$ -algebras

$$\varphi: A_{\hbar} \to A'_{\hbar}$$

such that the induced isomorphism of k-algebras

$$A = A_{\hbar}/\hbar A_{\hbar} \rightarrow A'_{\hbar}/\hbar A'_{\hbar} = A$$

is the identity, i.e.  $\varphi$  is the identity modulo  $\hbar$ .

3. A deformation is *trivial* if it is equivalent to the trivial deformation (i.e.  $A[\![\hbar]\!]$ ).

Remark B.3. Every **k**[[ħ]]-bilinear multiplication

$$(-)*(-): A\llbracket\hbar\rrbracket \times A\llbracket\hbar\rrbracket \to A\llbracket\hbar\rrbracket.$$

satisfies the equality

$$\left(\sum_{i=0}^{\infty} a_i \hbar^i\right) * \left(\sum_{j=0}^{\infty} b_j \hbar^j\right) = \sum_{i,j=0}^{\infty} (a_i * b_j) \hbar^{i+j}.$$

The multiplication \* can therefore be characterized by the k-bilinear maps  $\mu_i: A \times A \to A$  such that

$$a * b = \mu_0(a, b) + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \cdots$$

The condition  $A[\![\hbar]\!]/\hbar A[\![\hbar]\!] = A$  means that  $\mu_0$  is the original multiplication on A, whence

$$a * b = ab + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \cdots$$

That the multiplication \* is associative gives certain compatibility conditions on the  $\mu_1$ , which we won't discuss here.

**Example B.4.** Every k-algebra A admits the *trivial deformation*  $A[\![\hbar]\!]$  (i.e. the algebra of power series with its usual product). It corresponds to the choice  $\mu_1, \mu_2, ... = 0$ .

**Theorem B.5.** The universal enveloping algebra  $U(\mathfrak{sl}_2)$  admits a deformation with

$$[H, E] = 2E, \quad [H, F] = 2F, \quad [E, F] = \frac{e^{\hbar H} - e^{-\hbar H}}{e^{\hbar} - e^{-\hbar}}$$
 (6)

*Proof* (*sketch*). Let P be the free algebra on the generators E, H, F. Let I be the two-sided ideal in  $P[\![\hbar]\!]$  given by the relations (6). Let J be the closure of I in the  $\hbar$ -adic topology. Then J is again a two-sided ideal in  $P[\![\hbar]\!]$ . The described deformation can be realized as the quotient  $P[\![\hbar]\!]/J$ . We refer to [CP95, Definition-Proposition 6.4.3 ff.] for the specific details.

**Definition B.6.** The deformation of  $U(\mathfrak{sl}_2)$  from Theorem B.5 is denoted by  $U_{\hbar}(\mathfrak{sl}_2)$ .

**Remark B.7.** In the algebra  $U_{\hbar}(\mathfrak{sl}_2)$  we can consider the well-defined elements

$$q := e^{\hbar}$$
,  $K := e^{\hbar H}$ .

The elements E, F, K,  $K^{-1}$  satisfy the defining relations of  $U_q(\mathfrak{sl}_2)$  and one should think about the algebra  $U_q(\mathfrak{sl}_2)$  as somewhat of a subalgebra of  $U_{\hbar}(\mathfrak{sl}_2)$ .

In  $U_{\hbar}(\mathfrak{sl}_2)$  we have both the element H and the element

$$\widetilde{H} = \frac{K - K^{-1}}{q - q^{-1}} \,,$$

which is of the form

$$\widetilde{H} = H + \text{terms of order } \hbar^2$$
.

We may think about  $\widetilde{H}$  is a deformation of H (in an informal sense). We note that

$$q \equiv 1$$
,  $K \equiv 1$ ,  $\widetilde{H} \equiv H \pmod{\hbar}$ .

**Definition B.8.** The deformation of  $U(\mathfrak{sl}_2)$  from Theorem B.5 is denoted by  $U_{\hbar}(\mathfrak{sl}_2)$ .

**Remark B.9.** In the algebra  $U_{\hbar}(\mathfrak{sl}_2)$  we can consider the well-defined elements

$$q := e^{\hbar}, \quad K := e^{\hbar H}.$$

The elements  $E, F, K, K^{-1}$  satisfy the defining relations of  $U_q(\mathfrak{sl}_2)$  and one should think about the algebra  $U_q(\mathfrak{sl}_2)$  as somewhat of a subalgebra of  $U_{\hbar}(\mathfrak{sl}_2)$ .

In  $U_{\hbar}(\mathfrak{sl}_2)$  we have both the element H and the element

$$\widetilde{H} = \frac{K - K^{-1}}{q - q^{-1}} \,,$$

which is of the form

$$\widetilde{H} = H + \text{terms of order } \hbar^2$$
.

We may think about  $\widetilde{H}$  is a deformation of H (in an informal sense). We note that

$$q \equiv 1$$
,  $K \equiv 1$ ,  $\widetilde{H} \equiv H$  (mod  $\hbar$ ).

**Remark B.10**. One can study the deformation theory of an k-algebra via homological algebra: The *Hochschild cochain complex* of A is given by

$$C_{Hoch}^n(A) := Hom_k(A^{\otimes n}, A)$$

together with certain differentials. The cohomology of this chain complex is the *Hochschild* cohomology of *A*, which is denoted by

$$\mathrm{HH}^n(A) := \mathrm{H}^n(\mathrm{C}^{\bullet}_{\mathrm{Hoch}}).$$

One of the connections between deformation theory and Hochschild cohomology is that in the case of

$$\mathrm{HH}^2(A) = 0$$

every deformation of *A* is trivial.

**Warning B.11.** Let  $A_{\hbar}$  be a deformation of an k-algebra A with  $HH^2(A) = 0$ . The above criterion shows that  $A_{\hbar}$  is equivalent to  $A[\![\hbar]\!]$ , but it does not provide an explicit isomorphism.

**Example B.12**. Let g be a semisimple Lie algebra. It can be shown that

$$HH^2(U(\mathfrak{g})) = 0$$

whence all deformations of  $U(\mathfrak{g})$  are trivial. (See [GS92, Theorem 2].)

It follows in particular that the every algebra deformation of  $U(\mathfrak{sl}_2)$  is trivial. An explicit equivalence between  $U_{\hbar}(\mathfrak{sl}_2)$  and  $U(\mathfrak{sl}_2)[\![\hbar]\!]$  is constructed in [CP95, Proposition 4.6.4].

### **B.3.** More on Completions

We also want define coalgebras (and bialgebras and Hopf algebras). For this we need to make sense of power series in tensor products  $A[\![\hbar]\!] \otimes A[\![\hbar]\!]$ , which does in general not make sense. This problem is solved by using the *completed tensor product*.

**Definition B.13.** Let M be an  $\mathbb{K}[\![\hbar]\!]$ -module.

1. The  $\hbar$ -adic completion of M is the  $\mathbb{k}[\![\hbar]\!]$ -module

$$\widehat{M} := \lim_{n \ge 0} (M/\hbar^{n+1}M) = \left\{ (m_n)_{n \ge 0} \, \middle| \, \begin{array}{l} m_n \in M/\hbar^{n+1}M \text{ with} \\ m_{n+1} \equiv m_n \pmod{\hbar^{n+1}} \text{ for every } n \ge 0 \end{array} \right\}.$$

- 2. The *canonical homomorphism*  $M \to \widehat{M}$  is given by  $m \mapsto (\overline{m}, \overline{m}, ...)$ .
- 3. A  $\mathbb{k}[\![\hbar]\!]$ -module M is complete if the canonical homomorphism  $M \to \widehat{M}$  is an isomorphism.

#### Remark B.14.

1. More explicitely, an  $\mathbb{R}[\![\hbar]\!]$ -module M is complete if and only if there exists for every sequence  $m_0, m_1, \ldots$  of elements  $m_n \in M$  with

$$m_{n+1} \equiv m_n \pmod{\hbar^{n+1}}$$
 for every  $n \ge 0$ 

a unique element  $m \in M$  with

$$m \equiv m_n \pmod{\hbar^{n+1}}$$
 for every  $n \ge 0$ .

2. Let M be a complete  $\mathbb{R}[\![\hbar]\!]$ -module Every sequence  $(m_i)_{i\geq 0}$  of elements  $m_i\in M$  defines a sequence  $(s_n)_{n\geq 0}$  of partial sums

$$s_n := \sum_{i=0}^n \hbar^i m_i .$$

for every  $n \ge 0$ . By the completeness of M there exists a unique element  $\sum_{i=0}^{\infty} \hbar^i m_i$  of M with

$$\sum_{i=0}^{\infty} \hbar^{i} m_{i} \equiv \sum_{i=0}^{n} \hbar^{i} m_{i} \pmod{\hbar^{n+1}} \quad \text{for every } n \geq 0.$$

#### Example B.15.

- 1. Every finite-dimensional  $\mathbb{k}[\![\hbar]\!]$ -module M is complete since  $\hbar^n M = 0$  for some sufficiently large power n.
- 2. For every k-vector space the resulting  $k[\![\hbar]\!]$ -module  $V[\![\hbar]\!]$  is complete. For every sequence of elements  $v_0, v_1, \ldots \in V$  we have

$$\sum_{i=0}^{\infty} \hbar^i v_i = \sum_{i=0}^{\infty} v_i \hbar^i.$$

**Proposition B.16**. Let M, N be two  $\mathbb{k}[\![\hbar]\!]$ -modules.

1. For every homomorphism of  $\mathbb{k}[\![\hbar]\!]$ -module  $f:M\to N$  there exists a unique module homomorphism  $\widehat{f}:\widehat{M}\to\widehat{N}$  that makes the following square diagram commute:

The homomorphism  $\widehat{f}$  is given by

$$\widehat{f}\left((\overline{m_0},\overline{m_1},\dots)\right)=\left(\overline{f(m_0)},\overline{f(m_1)},\dots\right).$$

2. The assignment  $\widehat{(-)}$  defines a functor

$$\widehat{(-)}$$
:  $\mathbb{k} \llbracket \hbar \rrbracket$ -Mod  $\to \mathbb{k} \llbracket \hbar \rrbracket$ -Mod.

3. If *M*, *N* are complete then

$$f\left(\sum_{i=0}^{\infty} \hbar^{i} m_{i}\right) = \sum_{i=0}^{\infty} \hbar^{i} f(m_{i})$$

for every sequence of elements  $m_0, m_1, ..., \in M$ .

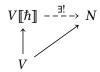
4. If N is complete then every homomorphism  $M \to N$  extends uniquely to a homomorphism  $\widehat{M} \to N$ .

$$\widehat{M} \xrightarrow{-\exists !} N$$

$$\uparrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$M$$

5. If V is any k-vector space and N is complete then every k-linear map  $f: V \to N$  extends uniquely to a  $k[\![\hbar]\!]$ -linear linear map  $f': V[\![\hbar]\!] \to N$ .



The homomorphism f' is given by

$$f'\left(\sum_{i=0}^{\infty} \hbar^i v_i\right) = \sum_{i=0}^{\infty} \hbar^i f(v_i).$$

6. The canonical homomorphism  $M \to \widehat{M}$  induces an isomorphism of k-vector spaces

$$M/\hbar M \longrightarrow \widehat{M}/\hbar \widehat{M}$$
.

**Remark B.17**. Let M be a  $\mathbb{k}[\![\hbar]\!]$ -module. There exists a unique topology on M for which a basis is given by the sets

$$m + \hbar^{n+1}M$$

with  $m \in M$  and  $n \geq 0$ . This topology is the  $\hbar$ -adic topology on M. It makes  $\mathbb{k}[\![\hbar]\!]$  into a topological ring and every  $\mathbb{k}[\![\hbar]\!]$ -module into a topological  $\mathbb{k}[\![\hbar]\!]$ -module. The completion  $\widehat{M}$  is then the usual topological completion of M.

**Definition B.18**. Let M, N be two  $\mathbb{k}[\![\hbar]\!]$ -modules. The completed tensor product

$$M \widehat{\otimes} N$$

is the  $\hbar$ -adic completion of the tensor product  $M \otimes_{\mathbb{k} \llbracket \hbar \rrbracket} N$ .

**Proposition B.19**. Let V, W be two k-vector spaces. Then the  $k[\![\hbar]\!]$ -linear map

$$V\llbracket \hbar \rrbracket \otimes_{\Bbbk \llbracket \hbar \rrbracket} W\llbracket \hbar \rrbracket \to (V \otimes W)\llbracket \hbar \rrbracket, \quad \left(\sum_{i=0}^{\infty} \nu_{i} \hbar^{i}\right) \otimes \left(\sum_{i=0}^{\infty} w_{j} \hbar^{j}\right) \mapsto \sum_{i,i=0}^{\infty} (\nu_{i} \otimes w_{j}) \hbar^{i+j}$$

extends along the canonical homomorphism

$$V \otimes W \to V \widehat{\otimes} W$$

to an isomorphism of k \[ħ\]-modules

$$V \llbracket \hbar \rrbracket \widehat{\otimes} W \llbracket \hbar \rrbracket \rightarrow (V \otimes W) \llbracket \hbar \rrbracket.$$

#### **B.4.** Deformation of Hopf Algebras

The following is taken mostly from [CP95, Chapter 6].

**Definition B.20.** 1. A *topological Hopf algebra* consists of a complete  $\mathbb{k}[\![\hbar]\!]$ -module A together with  $\mathbb{k}[\![\hbar]\!]$ -linear maps

$$m: A \widehat{\otimes} A \to A$$
,  $u: \mathbb{k}\llbracket \hbar \rrbracket \to A$ ,  $\Delta: A \to A \widehat{\otimes} A$ ,  $\varepsilon: A \to \mathbb{k}\llbracket \hbar \rrbracket$ ,  $S: A \to A$ 

such that the usual Hopf algebra diagrams commute.

2. The terms topological algebra, topological coalgebra and topological bialgebra are defined analogous to topological Hopf algebras.

#### Remark B.21.

1. A topological Hopf algebra A is generally not an actual Hopf algebra, since the comultiplication

$$\Lambda: A \to A \widehat{\otimes} A$$

does in general not restrict to a map  $A \to A \otimes A$ .

2. If A is a topological Hopf algebra then  $A/\hbar A$  becomes an Hopf algebra over  $\Bbbk$ . We note for this that

$$(A \widehat{\otimes} A)/\hbar(A \widehat{\otimes} A) \cong (A \otimes A)/\hbar(A \otimes A) \cong (A/\hbar A) \otimes (A/\hbar A)$$
.

**Remark B.22.** A topological algebra in the sense of Definition B.20 is precisely the same as an  $\mathbb{K}[\![\hbar]\!]$ -algebra which is complete as an  $\mathbb{K}[\![\hbar]\!]$ -module.

Indeed, suppose first that (A, m, u) is a topological algebra. Then the multiplication

$$m: A \widehat{\otimes} A \to A$$

restricts via the composition with the canonical homomorphism

$$A \otimes A \to A \widehat{\otimes} A$$

to a multiplication

$$m': A \otimes A \rightarrow A$$
.

Then (A, m', u) is an  $\mathbb{R}[\![\hbar]\!]$ -algebra (and A is by definition complete).

Suppose on the other hand that (A, m', u) is an  $\mathbb{R}[\![\hbar]\!]$ -algebra where A is complete. Then the multiplication map

$$m': A \otimes A \to A$$

extends by the completeness of A uniquely to a  $\mathbb{k}[\![\hbar]\!]$ -linear map

$$m: A \widehat{\otimes} A \to A$$
.

Then (A, m, u) is a topological algebra (by the denseness of  $A \otimes A$  in  $A \widehat{\otimes} A$ , etc.).

**Definition B.23**. Let *A* be a Hopf algebra.

- 1. A (formal) deformation of A is a topological Hopf algebra  $A_{\hbar}$  whose underlying  $\mathbb{k}[\![\hbar]\!]$ -module is  $A[\![\hbar]\!]$  and for which  $A_{\hbar}/\hbar A_{\hbar} = A$  as Hopf algebras.
- 2. (Formal) deformations of coalgebras and bialgebras are defined in the way as for algebras and Hopf algebras.
- 3. Two Hopf algebra deformations  $A_{\hbar}$  and  $A'_{\hbar}$  of A are equivalent if there exists an isomorphism of Hopf algebras

$$\varphi: A_{\hbar} \to A'_{\hbar}$$

such that the induced isomorphism of Hopf algebras

$$A = A_{\hbar}/\hbar A_{\hbar} \rightarrow A'_{\hbar}/\hbar A'_{\hbar} = A$$

is the identity, i.e.  $\varphi$  is the identity modulo  $\hbar$ .

Equivalence of deformations of coalgebras and bialgebras is defined in the same way.

- 4. A deformation is *trivial* if it is equivalent to the trivial deformation (i.e.  $A[\![\hbar]\!]$ ).
- 5. A Hopf algebra deformation of the universal enveloping algebra  $U(\mathfrak{g})$  of a Lie algebra  $\mathfrak{g}$  is a quantum universal enveloping algebra.

**Remark B.24**. Let *A* be a Hopf algebra over k with deformation  $A_{\hbar}$ . By using the isomorphism

$$A\llbracket \hbar \rrbracket \mathbin{\widehat{\otimes}} A\llbracket \hbar \rrbracket \cong (A \otimes A)\llbracket \hbar \rrbracket$$

we can regard the structure maps of  $A_{\hbar}$  as  $\mathbb{k}[\![\hbar]\!]$ -linear map

$$m_{\hbar}: (A \otimes A)\llbracket \hbar \rrbracket \to A\llbracket \hbar \rrbracket,$$

$$u_{\hbar}: \mathbb{k}\llbracket \hbar \rrbracket \to A\llbracket \hbar \rrbracket,$$

$$\Delta_{\hbar}: A\llbracket \hbar \rrbracket \to (A \otimes A)\llbracket \hbar \rrbracket,$$

$$\varepsilon_{\hbar}: A\llbracket \hbar \rrbracket \to \mathbb{k}\llbracket \hbar \rrbracket,$$

$$S_{\hbar}: A\llbracket \hbar \rrbracket \to A\llbracket \hbar \rrbracket$$

$$(7)$$

which are perturbations of the structure maps of A, i.e. they reduce modulo  $\hbar$  to the structure maps of A.

We can for example characterize the comultiplication  $\Delta_h$  of  $A_h$  by a sequence of bilinear map

$$\Delta_i: A \to A \otimes A$$

such that

$$\Delta_{\hbar}(a) = \Delta_0(a) + \Delta_1(a)\hbar + \Delta_2(a)\hbar^2 + \cdots$$

for every  $a \in A$ . Here  $\Delta_0$  needs to be the original comultiplication from A.

#### Example B.25.

- 1. Every Hopf algebra A admits the trivial deformation  $A[\![\hbar]\!]$ . In the form (7) the structure maps of this deformation are given by the  $k[\![\hbar]\!]$ -linear extensions of the structure maps of A
- 2. One an make the algebra deformation  $U_{\hbar}(\mathfrak{sl}_2)$  of  $U(\mathfrak{sl}_2)$  into a Hopf algebra deformation via the comultiplication

$$\Delta_{\hbar}(H) = H \otimes 1 + 1 \otimes H \,, \quad \Delta_{\hbar}(E) = E \otimes K + 1 \otimes E \,, \quad \Delta_{\hbar}(F) = F \otimes 1 + K^{-1} \otimes F$$

the counit

$$\varepsilon_{\hbar}(H) = 0$$
,  $\varepsilon_{\hbar}(E) = 0$ ,  $\varepsilon_{\hbar}(F) = 0$ ,

and the antipode

$$S_{\hbar}(H) = -H$$
,  $S_{\hbar}(E) = -K^{-1}E$ ,  $S_{\hbar}(F) = -FK$ .

We note that it follows from these formulas for the element  $K = e^{\hbar H}$  that

$$\Delta_{\hbar}(K) = K \otimes K$$
,  $\varepsilon_{\hbar}(K) = 1$ ,  $S_{\hbar}(K) = K^{-1}$ .

For the elements E, F, K,  $K^{-1}$  in  $U_{\hbar}(\mathfrak{sl}_2)$  we hence regain the formulas for the Hopf algebra structure of  $U_q(\mathfrak{sl}_2)$ .

We lastly give an explanation of how the irreducible, finite-dimensional representations L(n) of the universal enveloping algebra  $U(\mathfrak{sl}_2)$  can be used to construct the irreducible, finite-dimensional representations  $L(q^n)$  of  $U_q(\mathfrak{sl}_2)$ , where  $n \in \mathbb{N}$ .

**Theorem B.26** ([CP95, Proposition 6.4.10]). For every natural number  $n \in \mathbb{N}$  let V(n) be the free  $\mathbb{k}[\![\hbar]\!]$ -module of rank n+1 with basis  $v_0, \ldots, v_n$ .

1. There exists a unique  $U_{\hbar}(\mathfrak{sl}_2)$ -module structure on V(n) such that

$$Hv_i := (n-2i)v_i$$
,  $Ev_i := [n-i+1]_q v_{i-1}$ ,  $Fv_i := [i+1]_q v_{i+1}$ .

- 2. The  $U_{\hbar}(\mathfrak{sl}_2)$ -modules V(n) is indecomposable.
- 3. The  $U_{\hbar}(\mathfrak{sl}_2)$ -module V(n) reduces modulo  $\hbar$  to the irreducible representations L(n) of  $U(\mathfrak{sl}_2)$ .
- 4. The actions of K and  $\widetilde{H}$  on V(n) is given by

$$Kv_i = q^{n-2i}v_i$$
,  $\widetilde{H}v_i = [n-2i]_q v_i$ .

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