

# The Quantum Group $U_q(\mathfrak{sl}_2)$

## Talk 14 on Hopf Algebras and Tensor Categories

### 1 Recalling the Representation Theory of $\mathfrak{sl}_2$

#### 1.1 Definition and Universal Enveloping Algebra

Let  $\mathbb{k}$  be a field. The Lie algebra

$$\mathfrak{sl}_2 := \{A \in M(2, \mathbb{k}) \mid \text{tr}(A) = 0\}$$

admits the basis

$$e := \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \quad h := \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}, \quad f := \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix},$$

and these basis elements satisfy the commutator relations

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h.$$

Its universal enveloping algebra  $U(\mathfrak{sl}_2)$  is therefore generated by the elements  $e, h, f$  subject to these relations, i.e.

$$U(\mathfrak{sl}_2) \cong \mathbb{k}\langle e, h, f \rangle / (he - eh - 2e, hf - fh + 2f, ef - fe - h).$$

It follows from the theorem of Poincaré–Birkhoff–Witt that the algebra  $U(\mathfrak{sl}_2)$  admits the vector space basis

$$f^l h^m e^n \quad \text{with } l, m, n \geq 0.$$

Let  $\mathfrak{b}$  denote the Lie subalgebra of  $\mathfrak{sl}_2$  consisting of (traceless) upper triangular matrices. It has the matrices  $e, h$  as a basis. Its universal enveloping algebra  $U(\mathfrak{b})$  has the PBW-basis  $h^m e^n$  with  $m, n \geq 0$ , and it is a subalgebra of  $U(\mathfrak{sl}_2)$ .

#### 1.2 Representations of $\mathfrak{sl}_2$

Let  $V$  be any representation of  $\mathfrak{sl}_2$  and let  $\lambda \in \mathbb{k}$  be a scalar.

**Definition 1.1.** Let  $V$  be a representation of  $\mathfrak{sl}_2$ .

1. The *weight space* of  $V$  with respect to  $\lambda$  is  $V_\lambda := \{v \in V \mid h.v = \lambda v\}$ .
2. A nonzero weight vector  $v$  of  $V$  is *primitive* if  $e.v = 0$ .

3. The representation  $V$  is of *highest weight*  $\lambda$  if it is generated by a primitive weight vector of weight  $\lambda$ .

**Proposition 1.2** (Shifting weight spaces). Let  $V$  be a representation of  $\mathfrak{sl}_2$  and let  $\lambda \in \mathbb{k}$ . Then

$$e.V_\lambda \subseteq V_{\lambda+2}, \quad f.V_\lambda \subseteq V_{\lambda-2}.$$

**Lemma 1.3.** Let  $\mathbb{k}$  be algebraically closed. Then every finite-dimensional irreducible representation of  $\mathfrak{sl}_2$  is a highest weight representation.

There exists for every scalar  $\lambda \in \mathbb{k}$  a universal representation of highest weight  $\lambda$ , the so-called Verma module:

**Definition 1.4.** For every scalar  $\lambda \in \mathbb{k}$  let  $\mathbb{k}_\lambda$  be the one-dimensional representation of  $\mathfrak{b}$  whose underlying vector space is  $\mathbb{k}$  and with action of  $\mathfrak{b}$  given by

$$h.1 = \lambda, \quad e.1 = 0.$$

**Lemma 1.5.** The representation  $\mathbb{k}_\lambda$  can be described as an  $U(\mathfrak{b})$ -module as

$$\mathbb{k}_\lambda \cong U(\mathfrak{b}) / \langle e, h - \lambda \rangle.$$

**Definition 1.6.** The representation

$$M(\lambda) := U(\mathfrak{sl}_2) \otimes_{U(\mathfrak{b})} \mathbb{k}_\lambda$$

of  $\mathfrak{sl}_2$  is the *Verma module* of highest weight  $\lambda$ .

**Proposition 1.7.** Let  $\lambda \in \mathbb{k}$ .

1. The Verma module  $M(\lambda)$  has the basis

$$v_i := f^i \otimes 1 \quad \text{with } i \geq 0,$$

and the actions of  $e, h, f$  on this basis is given by

$$f.v_i = v_{i+1}, \quad h.v_i = (\lambda - 2i)v_i, \quad e.v_i = i(\lambda - i + 1)v_{i-1}.$$

This action can be graphically described as in Figure 1.

Suppose that the field  $\mathbb{k}$  is of characteristic zero.

2. The Verma module  $M(\lambda)$  is a representation of highest weight  $\lambda$ .  
 3. There exists for every representation  $V$  of  $\mathfrak{sl}_2$  an isomorphism of vector spaces given by

$$\begin{aligned} \text{Hom}_{\mathfrak{sl}_2}(M(\lambda), V) &\longrightarrow \{v \in V \mid v \text{ is of weight } \lambda \text{ with } e.v = 0\}, \\ \varphi &\longmapsto \varphi(1 \otimes 1). \end{aligned}$$

In particular

$$\text{End}_{\mathfrak{sl}_2}(M(\lambda)) = \mathbb{k}.$$

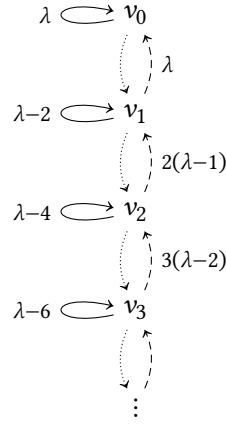


Figure 1: The Verma module  $M(\lambda)$ .

4. The representation  $M(\lambda)$  is indecomposable.
5. a. If  $\lambda \notin \mathbb{N}$  then the representation  $M(\lambda)$  is irreducible.  
b. If  $\lambda = n \in \mathbb{N}$  then the representation  $M(\lambda)$  has a unique nonzero, proper subrepresentation, which is spanned by

$$v_i \quad \text{with } i \geq n + 1.$$

This subrepresentation is isomorphic to  $M(-n - 2)$ .

**Definition 1.8.** Suppose that  $\mathbb{k}$  is of characteristic zero and let  $\lambda \in \mathbb{k}$ .

1. For  $\lambda \notin \mathbb{N}$  let  $L(\lambda) := M(\lambda)$ .
2. For  $\lambda \in \mathbb{N}$  let  $L(\lambda) := M(\lambda)/N$  where  $N$  is the unique nonzero, proper subrepresentation of  $M(\lambda)$ .

**Theorem 1.9.** Let  $\mathbb{k}$  be algebraically closed field of characteristic zero.

1. There is a one-to-one correspondence given by

$$\left\{ \begin{array}{l} \text{irreducible highest weight} \\ \text{representations of } \mathfrak{sl}_2 \end{array} \right\} \longleftrightarrow \mathbb{k},$$

$$L(\lambda) \longleftarrow \lambda.$$

2. The representation  $L(\lambda)$  is finite-dimensional if and only if  $\lambda = n \in \mathbb{N}$ , in which case

$$\dim(L(n)) = n + 1.$$

The above correspondence does therefore restrict to a one-to-one correspondence

$$\left\{ \begin{array}{l} \text{irreducible finite-dimensional} \\ \text{representations of } \mathfrak{sl}_2 \end{array} \right\} \longleftrightarrow \mathbb{N},$$

$$L \longmapsto \dim(L) - 1,$$

$$L(n) \longleftarrow n.$$

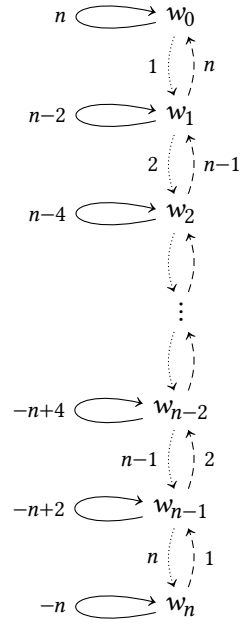


Figure 2: The irreducible representation  $L(n)$ .

**Remark 1.10.** Let  $n \in \mathbb{N}$ . The basis  $v_0, \dots, v_n$  of  $L(n)$  can be rescaled to the basis

$$w_i := \frac{1}{i!} v_i.$$

The actions of  $e$  and  $f$  then become

$$e.w_i = (n - i + 1)w_{i-1}, \quad f.w_i = (i + 1)w_{i+1}.$$

The actions of  $e, h, f$  on  $L(n)$  can now be graphically be represented as in Figure 2.

**Theorem 1.11** (Weyl). Let  $\mathbb{k}$  be algebraically closed. Every finite-dimensional representation of  $\mathfrak{sl}_2$  is semisimple.

**Corollary 1.12.** Any finite-dimensional representation of  $\mathfrak{sl}_2$  admits a weight space decomposition. All occuring weights are integral.

The decomposition of a finite-dimensional representation of  $\mathfrak{sl}_2$  into irreducible representations can be read off from its weight space decomposition. From this the following result can be shown:

**Proposition 1.13** (Clebsch–Gordan). Let  $n, m$  be natural numbers with  $n \geq m$ . Then

$$L(n) \otimes L(m) \cong L(n + m) \oplus L(n + m - 2) \oplus \dots \oplus L(n - m).$$

## 2 Definition and Basic Properties of $U_q(\mathfrak{sl}_2)$

In the following let  $\mathbb{k}$  be a field of characteristic zero and let  $q$  be an element of  $\mathbb{k}$  that is nonzero and not a root of unity.

**Remark 2.1.** One often takes the field  $\mathbb{k}$  as  $\mathbb{Q}(v)$  or  $\mathbb{C}(v)$ , and for  $q$  the indeterminate  $v$ .

**Definition 2.2.** The algebra  $U_q(\mathfrak{sl}_2)$  is the  $\mathbb{k}$ -algebra generated by the elements

$$E, \quad F, \quad K, \quad K^{-1}$$

subject to the relations

$$KK^{-1} = 1 = K^{-1}K, \quad KE = q^2EK, \quad KF = q^{-2}FK, \quad EF - FE = \frac{K - K^{-1}}{q - q^{-1}}.$$

**Remark 2.3.** We will later see that in the situation of Remark 2.1 the algebra  $U_q(\mathfrak{sl}_2)$  lives inside a larger algebra  $U_{\hbar}(\mathfrak{sl}_2)$ . This will be an  $\mathbb{k}[[\hbar]]$ -algebra with

$$U_{\hbar}(\mathfrak{sl}_2) \cong U(\mathfrak{sl}_2)[[\hbar]]$$

as  $\mathbb{k}[[\hbar]]$ -modules. Then

$$q = e^{\hbar}, \quad K = e^{\hbar H}.$$

In an informal way, this means that

$$K = q^H.$$

**Definition 2.4.** The  $n$ -th quantum integer is

$$[n]_q := q^{n-1} + q^{n-3} + \dots + q^{-n+3} + q^{-n+1} = \frac{q^n - q^{-n}}{q - q^{-1}}.$$

The quantum factorial is

$$[n]_q! := [n]_q [n-1]_q \cdots [1]_q.$$

For every invertible element  $u \in U_q(\mathfrak{sl}_2)$  and integer  $n \in \mathbb{Z}$  let

$$[u, n]_q := \frac{q^n u - q^{-n} u^{-1}}{q - q^{-1}}.$$

**Remark 2.5.** We note that for all integers  $n, m \in \mathbb{Z}$ ,

$$[\pm q^n, m]_q = \pm [n + m]_q.$$

**Lemma 2.6.** For every  $r \geq 0$  we have

$$[E, F^r] = [r]_q F^{r-1} [K, 1 - r]_q.$$

*Proof.* By induction, see [Jan96, Appendix 1.3 (5)]. □

**Corollary 2.7.** We have

$$\begin{aligned} F \cdot F^l K^m E^n &= F^{l+1} K^m E^n, \\ K^{\pm 1} \cdot F^l K^m E^n &= q^{\mp 2l} F^l K^{m \pm 1} E^n, \\ E \cdot F^l K^m E^n &= q^{-2m} F^l K^m E^{n+1} + \frac{[l]_q}{q - q^{-1}} (q^{1-l} F^{l-1} K^{m+1-l} E^n - q^{l-1} F^{l-1} K^{m+l-1} E^n) \end{aligned}$$

**Theorem 2.8 (PBW).** The elements

$$F^l K^m E^n \quad \text{with } l, n \in \mathbb{N} \text{ and } m \in \mathbb{Z}$$

are a basis of  $U_q(\mathfrak{sl}_2)$ .

*Proof.* Let  $U$  be the linear subspace of  $U_q(\mathfrak{sl}_2)$  spanned by these monomials. It follows from Corollary 2.7 that  $U_q(\mathfrak{sl}_2)$  is a left ideal. It contains  $F^0 K^0 E^0 = 1$ , whence  $U = U_q(\mathfrak{sl}_2)$ . This shows that the given monomials are a vector space generating set.

The linear independence is shown in the usual representation-theoretic way: Let  $V$  be the free vector space with basis

$$X^l Y^n Z^m \quad \text{with } l, n \in \mathbb{N} \text{ and } m \in \mathbb{Z}.$$

There exists an action of  $U_q(\mathfrak{sl}_2)$  on  $V$  by using the formulas from Corollary 2.7, with  $F^l K^m E^n$  replaced by  $X^l Y^n Z^m$ . (It has to be checked that this proposed action is compatible with the defining relations of  $U_q(\mathfrak{sl}_2)$ , see [Jan96, Appendix 1.5].) The elements

$$F^l K^m E^n \cdot X^0 Y^0 Z^0 = X^l Y^m Z^n$$

are linearly independent in  $V$ , whence the given monomials  $F^l K^m E^n$  are linearly independent in  $U_q(\mathfrak{sl}_2)$ .  $\square$

**Remark 2.9.**

1. The universal enveloping algebra  $U(\mathfrak{sl}_2)$  is noetherian and has no nonzero zero divisors. The same holds for  $U_q(\mathfrak{sl}_2)$ , see [Kas95, Proposition VI.1.4] and [Jan96, Proposition 1.8].
2. The algebra  $U_q(\mathfrak{sl}_2)$  admits a grading such that  $E, K, F$  are homogeneous with

$$\deg(E) = 1, \quad \deg(F) = -1, \quad \deg(K) = 0.$$

The degree  $d$  part of  $U_q(\mathfrak{sl}_2)$  has the basis

$$F^l K^m E^n \quad \text{with } n - l = d.$$

The conjugation map

$$U_q(\mathfrak{sl}_2) \rightarrow U_q(\mathfrak{sl}_2), \quad x \mapsto KxK^{-1}$$

has the degree  $d$  part as the eigenspace with eigenvalue  $q^{2d}$ .

### 3 Representation Theory of $U_q(\mathfrak{sl}_2)$

#### 3.1 Decomposition into Weight Spaces

**Definition 3.1.** Let  $M$  be an  $U_q(\mathfrak{sl}_2)$ -module.

1. For every scalar  $\lambda \in \mathbb{k}^\times$  the associated *weight space* is given by

$$M_\lambda := \{m \in M \mid Km = \lambda m\}.$$

2. A weight vector  $m$  is *primitive* if it is nonzero and  $Em = 0$ .
3. The module  $M$  is of *highest weight*  $\lambda$  if it is generated by a primitive weight vector of weight  $\lambda$ .

**Proposition 3.2** (Shifting weight spaces). Let  $M$  be an  $U_q(\mathfrak{sl}_2)$ -module. For every scalar  $\lambda \in \mathbb{k}^\times$ ,

$$EM_\lambda \subseteq M_{q^2\lambda}, \quad FM_\lambda \subseteq M_{q^{-2}\lambda}.$$

**Lemma 3.3.** Let  $M$  be a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module.

1. Both  $E$  and  $F$  act nilpotently on  $M$ .
2. For a sufficiently large power  $r \geq 0$  (namely such that  $F^r M = 0$ ) the module  $M$  is annihilated by

$$\prod_{j=-r}^r (K^2 - q^{2j}).$$

*Proof.* See [Jan96, Proposition 2.1] and [Jan96, Proposition 2.3]. □

**Proposition 3.4.** Every finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module decomposes into weight spaces. All occurring weights are of the form  $\pm q^n$  for some  $n \in \mathbb{Z}$ .

*Proof.* Let  $M$  be a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module and let  $k$  denote the action of  $K$  on  $M$ . It follows from Lemma 3.3 that

$$0 = \prod_{n=-r}^r (k^2 - q^{2n}) = \prod_{n=-r}^r (k - q^n)(k + q^n).$$

The roots  $\pm q^n$  with  $n = -r, \dots, r$  are pairwise distinct<sup>1</sup> whence it follows that  $k$  is diagonalizable with possible eigenvalues  $\pm q^n$  for  $n = -r, \dots, r$ . □

**Corollary 3.5.** Every irreducible, finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module is a highest weight module.

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<sup>1</sup>If  $\pm q^n = \pm q^m$  then squaring both sides of this equation gives  $q^{2n} = q^{2m}$  and thus  $q^{2(n-m)} = 1$ . It follows that  $2(n-m) = 0$  because  $q$  is not a root of unity, and thus  $n = m$ .

### 3.2 Verma Modules and Classifications

**Definition 3.6.** Let  $U_q(\mathfrak{b})$  be the algebra given by generators  $K, K^{-1}, E$  and relations

$$KK^{-1} = 1 = K^{-1}K, \quad KE = q^2EK.$$

**Proposition 3.7.** The algebra  $U_q(\mathfrak{b})$  has the basis

$$K^n E^m \quad \text{with } n \in \mathbb{Z} \text{ and } m \in \mathbb{N}$$

*Proof.* This can be shown in the same way as Theorem 2.8. □

We can therefore regard  $U(\mathfrak{b})$  as the subalgebra of  $U_q(\mathfrak{sl}_2)$  given generated by  $K, K^{-1}, E$ . By using  $U_q(\mathfrak{b})$  we can again define Verma modules, and classify both irreducible highest weight representations and irreducible, finite-dimensional representations.

**Definition 3.8.** Let  $\lambda \in \mathbb{k}^\times$ .

1. Let  $\mathbb{k}_\lambda$  be the one-dimensional  $U_q(\mathfrak{b})$ -module whose underlying vector space is given by  $\mathbb{k}$  with

$$K \cdot 1 = \lambda, \quad E \cdot 1 = 0.$$

2. The *Verma module* associated to  $\lambda$  is the  $U_q(\mathfrak{sl}_2)$ -module given by

$$M(\lambda) := U_q(\mathfrak{sl}_2) \otimes_{U_q(\mathfrak{b})} \mathbb{k}_\lambda.$$

**Proposition 3.9.** Let  $\lambda \in \mathbb{k}^\times$ .

1. We have  $\mathbb{k}_\lambda \cong U_q(\mathfrak{b}) / \langle E, K - \lambda \rangle$  as an  $U_q(\mathfrak{b})$ -module.
2. The Verma module  $M(\lambda)$  has the basis

$$m_i := F^i \otimes 1 \quad \text{with } i \geq 0,$$

and the actions of  $E, K, F$  on this basis is given by

$$Fm_i = m_{i+1}, \quad Km_i = q^{-2i}\lambda m_i, \quad Em_i = [i]_q[\lambda, 1-i]_q m_{i-1}.$$

This action can be graphically described as in Figure 3.

3. The Verma module  $M(\lambda)$  is of highest weight  $\lambda$ .
4. There exists for every  $U_q(\mathfrak{sl}_2)$ -module  $M$  an isomorphism of vector spaces given by

$$\text{Hom}_{U_q(\mathfrak{sl}_2)}(M(\lambda), M) \cong \{m \in M \mid m \text{ is of weight } \lambda \text{ with } Em = 0\}.$$

It follows in particular that

$$\text{End}_{U_q(\mathfrak{sl}_2)}(M(\lambda)) = \mathbb{k}.$$

5. The Verma module  $M(\lambda)$  is indecomposable.
6. a. If  $\lambda \neq \pm q^n$  for every  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  is irreducible.



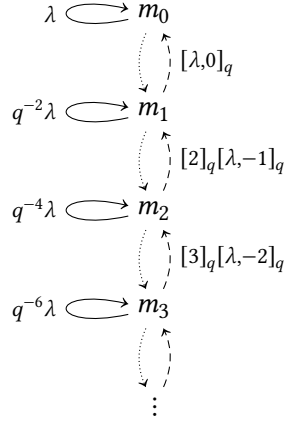


Figure 3: The Verma module  $M(\lambda)$ .

- b. If  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$  then the Verma module  $M(\lambda)$  contains a unique nonzero, proper submodule, which is spanned by

$$m_i \quad \text{with } i \geq n + 1.$$

This submodule is isomorphic to  $M(q^{-n-2}\lambda)$ .

*Proof.*

1. This follows from the PBW-basis of  $U_q(\mathfrak{b})$ .
2. This follows from the PBW-basis of  $U_q(\mathfrak{sl}_2)$  and induction.
3. The Verma module  $M(\lambda)$  is generated by the primitive weight vector  $1 \otimes 1$ .
4. We have

$$\begin{aligned} \text{Hom}_{U_q(\mathfrak{sl}_2)}(M(\lambda), M) &\cong \text{Hom}_{U_q(\mathfrak{b})}(\mathbb{k}_\lambda, M) \\ &\cong \text{Hom}_{U_q(\mathfrak{b})}(U_q(\mathfrak{b})/\langle K - \lambda, E \rangle, M) \\ &\cong \{m \in M \mid (K - \lambda)m = 0, Em = 0\}. \end{aligned}$$

5. The endomorphism algebra  $\text{End}_{U_q(\mathfrak{sl}_2)}(M(\lambda)) = \mathbb{k}$  does not contain any non-trivial idempotents.
6. This follows as for  $U(\mathfrak{sl}_2)$  since  $[i]_q[\lambda, i - 1]_q = 0$  if and only if  $\lambda = \pm q^{i-1}$ . □

**Definition 3.10.** Let  $\lambda \in \mathbb{k}^\times$ .

1. If  $\lambda \neq \pm q^n$  for every  $n \in \mathbb{N}$  then  $L(\lambda) := M(\lambda)$ .
2. If  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$  then  $L(\lambda) := M(\lambda)/N$  where  $N$  is the unique nonzero, proper submodule of  $M(\lambda)$ .

**Theorem 3.11.**

1. There is a one-to-one correspondence given by

$$\begin{aligned}\mathbb{k}^\times &\longmapsto \{\text{highest weight irreducible } U_q(\mathfrak{sl}_2)\text{-modules}\} \\ \lambda &\longmapsto L(\lambda).\end{aligned}$$

2. The module  $L(\lambda)$  is finite-dimensional if and only if  $\lambda = \pm q^n$  for some  $n \in \mathbb{N}$ , in which case

$$\dim(L(\lambda)) = n + 1.$$

The above one-to-one correspondence does therefore restrict to a one-to-one correspondence given by

$$\begin{aligned}\{1, -1\} \times \mathbb{N} &\longmapsto \{\text{finite-dimensional irreducible } U_q(\mathfrak{sl}_2)\text{-modules}\} \\ (\varepsilon, n) &\longmapsto L(\varepsilon q^n).\end{aligned}$$

**Remark 3.12.** For every  $n \geq 0$  we have

$$[\pm q^n, -i + 1] = \pm[n - i + 1]_q.$$

On the rescaled basis  $w_0, \dots, w_n$  of  $L(\pm q^n)$  given by

$$w_i := \frac{1}{[i]_q!} v_i$$

the actions of  $E$  and  $F$  thus become

$$Ew_i = \pm[n - i + 1]_q w_{i-1}, \quad Fw_i = [i + 1]_q w_{i+1}.$$

The action of  $E, H, K$  on  $L(\pm q^n)$  can therefore be graphically be represented as in Figure 4

### 3.3 Semisimplicity of Finite-Dimensional $U_q(\mathfrak{sl}_2)$ -modules

**Lemma 3.13.** If  $M$  is an highest-weight  $U_q(\mathfrak{sl}_2)$ -module then

$$\text{End}_{U_q(\mathfrak{sl}_2)}(M) = \mathbb{k}.$$

**Definition 3.14.** The *quantum Casimir element* is the element  $C_q \in U_q(\mathfrak{sl}_2)$  given by

$$C_q := EF + \frac{Kq^{-1} + K^{-1}q}{(q - q^{-1})^2}.$$

**Lemma 3.15.**

1. The element  $C_q$  is central in  $U_q(\mathfrak{sl}_2)$ .
2. The element  $C_q$  acts on every  $U_q(\mathfrak{sl}_2)$ -module by module endomorphisms.
3. The element  $C_q$  acts for every scalar  $\lambda \in \mathbb{k}^\times$  on the representation  $L(\lambda)$  by multiplication with the scalar

$$\frac{\lambda q + \lambda^{-1} q^{-1}}{(q - q^{-1})^2}.$$

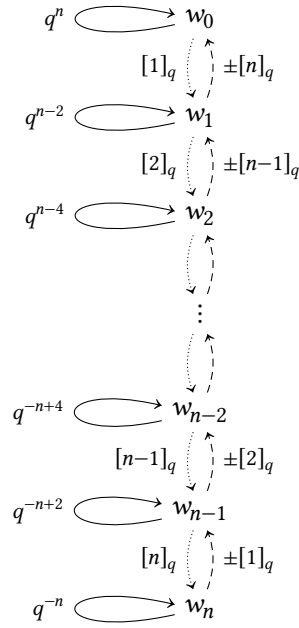


Figure 4: The irreducible representation  $L(\pm q^n)$ .

4. The element  $C_q$  acts the same on  $L(\lambda)$  and  $L(\mu)$  if and only if  $\lambda = \mu$  or  $\lambda = \mu^{-1}q^{-2}$ .

*Proof.*

1. It can be checked that  $C_q$  commutes with  $E, F, K$  by using the defining relations for  $U_q(\mathfrak{sl}_2)$ .
2. This follows from the previous assertion.
3. It follows from the previous assertion and Lemma 3.13 that  $C_q$  acts by a scalar. This scalar can be read off from the action on the primitive generator  $1 \otimes 1$ . It thus suffices to show the assertion for  $M(\lambda)$ , where it follows from Proposition 3.9.
4. This follows from the previous assertion. □

**Corollary 3.16.** The quantum Casimir element  $C_q$  acts on every finite-dimensional, irreducible representation of  $U_q(\mathfrak{sl}_2)$  by a different scalar.

*Proof.* If  $\lambda = \delta q^n$  and  $\mu = \varepsilon q^m$  with  $\delta, \varepsilon \in \{1, -1\}$  and  $n, m \in \mathbb{N}$  then it cannot happen that  $\lambda = \mu^{-1}q^{-2}$ . The assertion thus follows from Lemma 3.15. □

**Theorem 3.17.** Every finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module is semisimple.

*Proof ([Jan96, Theorem 2.9]).* Let  $M$  be a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module and let  $c$  denote the action of  $C_q$  on  $M$ . We may assume that  $M$  is indecomposable. We can consider a composition series

$$0 = M_0 \subsetneq M_1 \subsetneq M_2 \subsetneq \dots \subsetneq M_r = M \quad (1)$$

with composition factors

$$M_i/M_{i-1} \cong L(\varepsilon_i q^{n_i}).$$

Letting  $c_i$  be the scalar by which  $C_q$  acts on  $L(\varepsilon_i q^{n_i})$ , we have

$$(c - c_i)M_i \subseteq M_{i-1}.$$

It follows that  $\prod_{i=1}^r (c - c_i)$  annihilates  $M$  and that  $c$  admits a generalized eigenspace decomposition with eigenvalues  $c_1, \dots, c_r$ . The resulting generalized eigenspaces are subrepresentations because  $c$  is a  $U_q(\mathfrak{sl}_2)$ -module endomorphism. It follows that

$$c_1 = \dots = c_r$$

because  $M$  is indecomposable, and thus

$$\varepsilon_1 q^{n_1} = \dots = \varepsilon_r q^{n_r} =: \lambda$$

by Corollary 3.16. It follows with the composition series (1) that

$$\dim(M_\mu) = r \dim(L(\lambda)_\mu)$$

for every scalar  $\mu \in \mathbb{k}^\times$ . Thus  $M$  is of highest weight  $\lambda$ .

The short exact sequence

$$0 \rightarrow M_{r-1} \rightarrow M \rightarrow L(\lambda) \rightarrow 0 \quad (2)$$

restricts to a short exact sequence

$$0 \rightarrow (M_{r-1})_\lambda \rightarrow M_\lambda \rightarrow L(\lambda)_\lambda \rightarrow 0.$$

It follows that the primitive generator  $v_0$  of  $L(\lambda)$  has a preimage  $m_0$  in  $M$ . The weight vector  $m_0$  is primitive because  $M$  is of highest weight  $\lambda$ . It follows that there exists a homomorphism of  $U_q(\mathfrak{sl}_2)$ -modules

$$\varphi : L(\lambda) \rightarrow M, \quad 1 \otimes 1 \mapsto m_0.$$

It follows from the finite-dimensionality of  $M$  that  $\varphi$  factors through a homomorphism

$$\psi : L(\lambda) \rightarrow M, \quad \overline{1 \otimes 1} \mapsto m_0.$$

This shows that the short exact sequence (2) splits, whence

$$M \cong M_{r-1} \oplus L(\lambda).$$

It follows by induction that  $M_{r-1} \cong L(\lambda)^{\oplus(r-1)}$  and thus altogether  $M \cong L(\lambda)^{\oplus r}$ .  $\square$

**Remark 3.18.** The center of the universal enveloping algebra  $U(\mathfrak{sl}_2)$  is a polynomial algebra, generated by the classical Casimir element  $C = (ef + h^2 + fe)/4$ . It can be shown that the center of  $U_q(\mathfrak{sl}_2)$  is again a polynomial algebra, now generated by the quantum Casimir element  $C_q$ . We refer to [Jan96, Proposition 2.18] for more details on this.

**Corollary 3.19.** Let  $M, N$  be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules with  $\dim M_\lambda = \dim N_\lambda$  for every  $\lambda \in \mathbb{k}^\times$ . Then  $M \cong N$ .

*Proof.* One can read off the decomposition of a finite-dimensional  $U_q(\mathfrak{sl}_2)$ -module into irreducible representations from the dimensions of its weight spaces.  $\square$

## 4 Hopf Algebra Structure on $U_q(\mathfrak{sl}_2)$

**Proposition 4.1.** The algebra  $U_q(\mathfrak{sl}_2)$  becomes a Hopf algebra when endowed with the comultiplication

$$\Delta(E) = E \otimes 1 + K \otimes E, \quad \Delta(F) = F \otimes K^{-1} + 1 \otimes F, \quad \Delta(K) = K \otimes K,$$

the counit

$$\varepsilon(E) = 0, \quad \varepsilon(F) = 0, \quad \varepsilon(K) = 1$$

and the antipode

$$S(E) = -K^{-1}E, \quad S(F) = -FK, \quad S(K) = K^{-1}.$$

*Proof.* One checks that the proposed images of the algebra generators  $E, F, K, K^{-1}$  are compatible with the defining relations of  $U_q(\mathfrak{sl}_2)$ , and that the Hopf algebra diagram commute on these algebra generators.  $\square$

**Convention 4.2.** We will in the following regard  $U_q(\mathfrak{sl}_2)$  as a Hopf algebra as explained in Proposition 4.1.

**Remark 4.3.**

1. The Hopf algebra  $U_q(\mathfrak{sl}_2)$  is neither commutative nor cocommutative. It is an example of a so-called *quantum group*.
2. In  $U_q(\mathfrak{sl}_2)$  we have  $S^2 \neq \text{id}$  but instead

$$S^2(x) = K^{-1}xK$$

for every  $x \in U_q(\mathfrak{sl}_2)$ , as can be checked on the algebra generators  $E, K^{\pm 1}, F$ .

**Lemma 4.4.** Let  $M, N$  be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules. Then

$$(M \otimes N)_\lambda = \bigoplus_{\mu\kappa=\lambda} M_\mu \otimes N_\kappa.$$

*Proof.* We have

$$M_\mu \otimes N_\kappa \subseteq (M \otimes N)_{\mu\kappa}$$

for all  $\mu, \kappa \in \mathbb{k}^\times$  since the element  $K$  is group-like in  $U_q(\mathfrak{sl}_2)$ . The assertion follows since both  $M$  and  $N$  decompose into weight spaces.  $\square$

**Proposition 4.5** (Clebsch–Gordan). For all  $\delta, \varepsilon \in \{1, -1\}$  and  $n, m \in \mathbb{N}$  with  $n \geq m$  we have

$$L(\delta q^n) \otimes L(\varepsilon q^m) \cong L(\delta \varepsilon q^{n+m}) \oplus L(\delta \varepsilon q^{n+m-2}) \oplus \dots \oplus L(\delta \varepsilon q^{n-m}).$$

*Proof.* This follows from Lemma 4.4 and Corollary 3.19.  $\square$

**Corollary 4.6.** Let  $M, N$  be two finite-dimensional  $U_q(\mathfrak{sl}_2)$ -modules. Then

$$M \otimes N \cong N \otimes M.$$

*Proof.* The assertion holds by Proposition 4.5 when  $M, N$  are irreducible. It follows for arbitrary finite-dimensional modules by Theorem 3.17.  $\square$

**Warning 4.7.** For two (finite-dimensional)  $U_q(\mathfrak{sl}_2)$ -modules  $M, N$  the flip map

$$\tau : M \otimes N \rightarrow N \otimes M, \quad m \otimes n \mapsto n \otimes m$$

is in general not  $U_q(\mathfrak{sl}_2)$ -linear. Indeed, let us consider  $M = N = L(q)$  with basis  $m_0, m_1$ , so that

$$K^{-1}m_0 = q^{-1}m_0, \quad K^{-1}m_1 = qm_1, \quad Fm_0 = m_1, \quad Fm_1 = 0.$$

Then on the one hand

$$F \cdot (m_0 \otimes m_1) = \underbrace{(Fm_0)}_{=m_1} \otimes \underbrace{(K^{-1}m_1)}_{qm_1} + m_0 \otimes \underbrace{(Fm_1)}_{=0} = qm_1 \otimes m_1$$

while on the other hand

$$F \cdot (m_1 \otimes m_0) = \underbrace{(Fm_1)}_{=0} \otimes \underbrace{(K^{-1}m_0)}_{=q^{-1}m_0} + m_1 \otimes \underbrace{(Fm_0)}_{=m_1} = m_1 \otimes m_1.$$

## 5 Recalling Completions

We want in the following consider  $\mathbb{k}[[\hbar]]$ -modules in which infinite sums

$$m_0 + \hbar m_1 + \hbar^2 m_2 + \dots = \sum_{i=0}^{\infty} \hbar^i m_i$$

make sense. For this we think about such an infinite sum as a sequence of finite sums

$$s_n = \sum_{i=0}^n \hbar^i m_i \quad \text{such that} \quad s_{n+1} \equiv s_n \pmod{\hbar^{n+1}}$$

for every  $n \geq 0$ .

**Definition 5.1.** Let  $M$  be an  $\mathbb{k}[[\hbar]]$ -module. The  $\hbar$ -adic completion of  $M$  is the  $\mathbb{k}[[\hbar]]$ -module

$$\widehat{M} := \lim_{n \geq 0} (M / \hbar^{n+1} M) = \left\{ (m_n)_{n \geq 0} \mid \begin{array}{l} m_n \in M / \hbar^{n+1} M \text{ with} \\ m_{n+1} \equiv m_n \pmod{\hbar^{n+1}} \text{ for every } n \geq 0 \end{array} \right\}.$$

The canonical homomorphism  $M \rightarrow \widehat{M}$  is given by  $m \mapsto (\overline{m}, \overline{m}, \dots)$ .

**Definition 5.2.** A  $\mathbb{k}[[\hbar]]$ -module  $M$  is *complete* if the canonical homomorphism  $M \rightarrow \widehat{M}$  is an isomorphism. We denote by  $\mathbb{k}[[\hbar]]\text{-Mod}^{\text{comp}}$  the full subcategory of  $\mathbb{k}[[\hbar]]\text{-Mod}$  whose objects are the complete  $\mathbb{k}[[\hbar]]$ -modules.

**Remark 5.3.**

1. More explicitly, an  $\mathbb{k}[[\hbar]]$ -module  $M$  is complete if and only if there exists for every sequence  $m_0, m_1, \dots$  of elements  $m_n \in M$  with

$$m_{n+1} \equiv m_n \pmod{\hbar^{n+1}} \quad \text{for every } n \geq 0$$

a unique element  $m \in M$  with

$$m \equiv m_n \pmod{\hbar^{n+1}} \quad \text{for every } n \geq 0.$$

2. Let  $M$  be a complete  $\mathbb{k}[[\hbar]]$ -module. Every sequence  $(m_i)_{i \geq 0}$  of elements  $m_i \in M$  defines a sequence  $(s_n)_{n \geq 0}$  of partial sums

$$s_n := \sum_{i=0}^n \hbar^i m_i \quad \text{with} \quad s_{n+1} \equiv s_n \pmod{\hbar^{n+1}}$$

for every  $n \geq 0$ . By the completeness of  $M$  there exists a unique element of  $M$ , which will be denoted by  $\sum_{i=0}^{\infty} \hbar^i m_i$ , such that

$$\sum_{i=0}^{\infty} \hbar^i m_i \equiv \sum_{i=0}^n \hbar^i m_i \pmod{\hbar^{n+1}} \quad \text{for every } n \geq 0.$$

**Example 5.4.**

1. Every finite-dimensional  $\mathbb{k}[[\hbar]]$ -module  $M$  is complete since  $\hbar^n M = 0$  for some sufficiently large power  $n$ .
2. For every  $\mathbb{k}$ -vector space the resulting  $\mathbb{k}[[\hbar]]$ -module  $V[[\hbar]]$  is complete. For every sequence of elements  $v_0, v_1, \dots \in V$  we have

$$\sum_{i=0}^{\infty} \hbar^i v_i = \sum_{i=0}^{\infty} v_i \hbar^i.$$

**Proposition 5.5.** Let  $M, N$  be two  $\mathbb{k}[[\hbar]]$ -modules.

1. For every homomorphism of  $\mathbb{k}[[\hbar]]$ -module  $f : M \rightarrow N$  there exists a unique module homomorphism  $\hat{f} : \hat{M} \rightarrow \hat{N}$  that makes the following square diagram commute:

$$\begin{array}{ccc} \hat{M} & \xrightarrow{\hat{f}} & \hat{N} \\ \uparrow & & \uparrow \\ M & \xrightarrow{f} & N \end{array}$$

The homomorphism  $\hat{f}$  is given by

$$\hat{f}(\overline{(m_0, m_1, \dots)}) = \overline{(f(m_0), f(m_1), \dots)}.$$

2. The assignment  $\widehat{(-)}$  defines a functor

$$\widehat{(-)} : \mathbb{k}[[\hbar]]\text{-Mod} \rightarrow \mathbb{k}[[\hbar]]\text{-Mod}.$$

3. If  $M, N$  are complete then

$$f\left(\sum_{i=0}^{\infty} \hbar^i m_i\right) = \sum_{i=0}^{\infty} \hbar^i f(m_i)$$

for every sequence of elements  $m_0, m_1, \dots \in M$ .

4. If  $N$  is complete then every homomorphism  $M \rightarrow N$  extends uniquely to a homomorphism  $\widehat{M} \rightarrow N$ .

$$\begin{array}{ccc} \widehat{M} & \xrightarrow{\exists!} & N \\ \uparrow & \nearrow & \\ M & & \end{array}$$

5. If  $V$  is any  $\mathbb{k}$ -vector space and  $N$  is complete then every  $\mathbb{k}$ -linear map  $f : V \rightarrow N$  extends uniquely to a  $\mathbb{k}[[\hbar]]$ -linear linear map  $f' : V[[\hbar]] \rightarrow N$ .

$$\begin{array}{ccc} V[[\hbar]] & \xrightarrow{\exists!} & N \\ \uparrow & \nearrow & \\ V & & \end{array}$$

The homomorphism  $f'$  is given by

$$f'\left(\sum_{i=0}^{\infty} \hbar^i v_i\right) = \sum_{i=0}^{\infty} \hbar^i f(v_i).$$

6. The canonical homomorphism  $M \rightarrow \widehat{M}$  induces an isomorphism of  $\mathbb{k}$ -vector spaces

$$M/\hbar M \longrightarrow \widehat{M}/\hbar \widehat{M}.$$

**Definition 5.6.** Let  $M, N$  be two  $\mathbb{k}[[\hbar]]$ -modules. The *completed tensor product*

$$M \widehat{\otimes} N$$

is the  $\hbar$ -adic completion of the tensor product  $M \otimes_{\mathbb{k}[[\hbar]]} N$ .

**Proposition 5.7.** Let  $V, W$  be two  $\mathbb{k}$ -vector spaces. Then the  $\mathbb{k}[[\hbar]]$ -linear map

$$V[[\hbar]] \otimes_{\mathbb{k}[[\hbar]]} W[[\hbar]] \rightarrow (V \otimes W)[[\hbar]], \quad \left(\sum_{i=0}^{\infty} v_i \hbar^i\right) \otimes \left(\sum_{j=0}^{\infty} w_j \hbar^j\right) \mapsto \sum_{i,j=0}^{\infty} (v_i \otimes w_j) \hbar^{i+j}$$

extends to an isomorphism

$$V[[\hbar]] \widehat{\otimes} W[[\hbar]] \rightarrow (V \otimes W)[[\hbar]].$$



**Remark 5.8.** Let  $M$  be a  $\mathbb{k}[[\hbar]]$ -module. There exists a unique topology on  $M$  for which a basis is given by the sets

$$m + \hbar^{n+1}M$$

with  $m \in M$  and  $n \geq 0$ . This topology is the  $\hbar$ -adic topology on  $M$ . It makes  $\mathbb{k}[[\hbar]]$  into a topological ring and every  $\mathbb{k}[[\hbar]]$ -module into a topological  $\mathbb{k}[[\hbar]]$ -module. The completion  $\widehat{M}$  is then the usual topological completion of  $M$ .

## 6 Deformation Theory

We now want to study in which way the Hopf algebra  $U_q(\mathfrak{sl}_2)$  is a deformation of the usual enveloping algebra  $U(\mathfrak{sl}_2)$ .

### 6.1 Deformation of Algebras

The following is taken (in spirit) from [Bel18, §5.2] and [GS92].

**Motivation 6.1.** Deforming a  $\mathbb{k}$ -algebra  $A$  means – roughly speaking – that the multiplication on  $A$  is replaced by a perturbed multiplication  $*$ , in the sense that for all  $a, b \in A$ ,

$$a * b = ab + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \dots$$

for some bilinear terms  $\mu_i(a, b)$ . The limit  $\hbar \rightarrow 0$  does then give back the original algebra  $A$ .

We present in the following one possible formalization of this intuition.

**Definition 6.2.**

1. Let  $A$  be an  $\mathbb{k}$ -algebra. A *formal deformation* of  $A$  is an  $\mathbb{k}[[\hbar]]$ -algebra  $A_\hbar$  together with an isomorphism of  $\mathbb{k}[[\hbar]]$ -modules

$$\varphi : A_\hbar \rightarrow A[[\hbar]]$$

such that the induced isomorphism of vector spaces

$$\overline{\varphi} : A_\hbar / \hbar A_\hbar \rightarrow A[[\hbar]] / \hbar A[[\hbar]] = A$$

is an isomorphism of  $\mathbb{k}$ -algebras.

2. Two formal deformations  $A_\hbar$  and  $A'_\hbar$  of  $A$  are *equivalent* if there exists an isomorphism of  $\mathbb{k}[[\hbar]]$ -algebras

$$\psi : A_\hbar \rightarrow A'_\hbar$$

such that the following square diagram commutes:

$$\begin{array}{ccc} A_\hbar / \hbar A_\hbar & \xrightarrow{\overline{\psi}} & A'_\hbar / \hbar A'_\hbar \\ \overline{\varphi} \downarrow & & \downarrow \overline{\varphi'} \\ A & \xlongequal{\quad} & A \end{array}$$

**Remark 6.3.**

1. A deformation of an  $\mathbb{k}$ -algebra is (up to equivalence) given by an  $\mathbb{k}[[\hbar]]$ -algebra structure

$$(-) * (-) : A[[\hbar]] \times A[[\hbar]] \rightarrow A[[\hbar]].$$

such that  $A[[\hbar]]/\hbar A[[\hbar]] = A$  as  $\mathbb{k}$ -algebras. The  $\mathbb{k}[[\hbar]]$ -bilinearity of the multiplication  $*$  ensures that it satisfies the equality

$$\left( \sum_{i=0}^{\infty} a_i \hbar^i \right) * \left( \sum_{j=0}^{\infty} b_j \hbar^j \right) = \sum_{i,j=0}^{\infty} (a_i * b_j) \hbar^{i+j}.$$

The multiplication  $*$  can therefore be characterized by the  $\mathbb{k}$ -bilinear maps  $\mu_i : A \times A \rightarrow A$  such that

$$a * b = \mu_0(a, b) + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \dots$$

The condition  $A[[\hbar]]/\hbar A[[\hbar]] = A$  means that  $\mu_0$  is the original multiplication on  $A$ , whence

$$a * b = ab + \mu_1(a, b)\hbar + \mu_2(a, b)\hbar^2 + \dots$$

That the multiplication  $*$  is associative gives certain compatibility conditions on the  $\mu_i$ , which we won't discuss here.

2. Every  $\mathbb{k}[[\hbar]]$ -linear map  $\varphi : A[[\hbar]] \rightarrow A[[\hbar]]$  is uniquely given by a sequence of  $\mathbb{k}$ -linear maps  $\varphi_i : A \rightarrow A$  such that for every  $a \in A$ ,

$$\varphi(a) = \varphi_0(a) + \varphi_1(a)\hbar + \varphi_2(a)\hbar^2 + \dots$$

Two deformations  $*$  and  $*$ ' are equivalent if and only if there exists an isomorphism of  $\mathbb{k}[[\hbar]]$ -algebras  $\varphi : A[[\hbar]] \rightarrow A[[\hbar]]$  for which  $\varphi_0 = \text{id}$ . In other words,

$$\varphi \equiv \text{id} \pmod{\hbar}.$$

**Example 6.4.** Every  $\mathbb{k}$ -algebra  $A$  admits the *trivial deformation*  $A[[\hbar]]$  (i.e. the algebra of power series with its usual product). It corresponds to the choice  $\mu_1, \mu_2, \dots = 0$ . A deformation is *trivial* if it is equivalent to  $A[[\hbar]]$ .

**Theorem 6.5.** The universal enveloping algebra  $U(\mathfrak{sl}_2)$  admits a deformation, whose underlying  $\mathbb{k}[[\hbar]]$ -module is given by  $U(\mathfrak{sl}_2)[[\hbar]]$ , such that

$$[H, E] = 2E, \quad [H, F] = 2F, \quad [E, F] = \frac{e^{\hbar H} - e^{-\hbar H}}{e^{\hbar} - e^{-\hbar}} = H + O(\hbar^2). \quad (3)$$

*Proof (sketch).* Let  $P$  be the free algebra on the generators  $E, H, F$ . Let  $I$  be the two-sided ideal in  $P[[\hbar]]$  given by the relations (3). Let  $J$  be the closure of  $I$  in the  $\hbar$ -adic topology. Then  $J$  is again a two-sided ideal in  $P[[\hbar]]$ . The described deformation can be realized as the quotient  $P[[\hbar]]/J$ . We refer to [CP95, Definition-Proposition 6.4.3 ff.] for the specific details.  $\square$

**Definition 6.6.** The deformation of  $U(\mathfrak{sl}_2)$  from Theorem 6.5 is denoted by  $U_{\hbar}(\mathfrak{sl}_2)$ .

**Remark 6.7.**

1. In the deformation  $U_{\hbar}(\mathfrak{sl}_2)$  one can consider the (well-defined!) elements

$$q := e^{\hbar}, \quad K := e^{\hbar H}.$$

The elements  $E, F, K, K^{-1}$  satisfy the defining relations of  $U_q(\mathfrak{sl}_2)$ .

**Remark 6.8.** One can study the deformation theory of an  $\mathbb{k}$ -algebra via homological algebra: The *Hochschild cochain complex* of  $A$  is given by

$$C_{\text{Hoch}}^n(A) := \text{Hom}_{\mathbb{k}}(A^{\otimes n}, A)$$

together with certain differentials. Its cohomology is the *Hochschild cohomology* of  $A$ , which is denoted by

$$\text{HH}^n(A) := H^n(C_{\text{Hoch}}^{\bullet}).$$

One of the connections between deformation theory and Hochschild cohomology is that in the case of

$$\text{HH}^2(A) = 0$$

every deformation of  $A$  is trivial. It can be shown that this happens for  $A = U(\mathfrak{g})$  when  $\mathfrak{g}$  is a semisimple Lie algebra. (See [GS92, Theorem 2].)

It follows in particular that the every deformation of  $U(\mathfrak{sl}_2)$  as an algebra is trivial. An explicit equivalence between  $U_{\hbar}(\mathfrak{sl}_2)$  and  $U(\mathfrak{sl}_2)[[\hbar]]$  is constructed in [CP95, Proposition 4.6.4].

## 6.2 Deformation of Hopf Algebras

The following is taken mostly from [CP95, Chapter 6].

**Definition 6.9.**

1. A *topological Hopf algebra* is a complete  $\mathbb{k}[[\hbar]]$ -module  $A$  together with  $\mathbb{k}[[\hbar]]$ -linear maps

$$m : A \hat{\otimes} A \rightarrow A, \quad u : \mathbb{k}[[\hbar]] \rightarrow A, \quad \Delta : A \rightarrow A \hat{\otimes} A, \quad \varepsilon : A \rightarrow \mathbb{k}[[\hbar]], \quad S : A \rightarrow A$$

such that the usual Hopf algebra diagrams commute.

2. The terms *topological algebra*, *topological coalgebra* and *topological bialgebra* are defined analogous.

**Remark 6.10.**

1. A topological algebra in the sense of Definition 6.9 is the same as an  $\mathbb{k}[[\hbar]]$ -algebra which is complete as an  $\mathbb{k}[[\hbar]]$ -module.

Indeed, if  $(A, m, u)$  is a topological algebra then the multiplication

$$m : A \hat{\otimes} A \rightarrow A$$

restricts via the composition with the canonical homomorphism

$$A \otimes A \rightarrow A \widehat{\otimes} A$$

to a multiplication

$$m' : A \otimes A \rightarrow A.$$

Then  $(A, m', u)$  is an  $\mathbb{k}[[\hbar]]$ -algebra (and  $A$  is by definition complete).

Suppose on the other hand that  $(A, m', u)$  is an  $\mathbb{k}[[\hbar]]$ -algebra where  $A$  is complete. Then the multiplication map

$$m' : A \otimes A \rightarrow A$$

extends by the completeness of  $A$  uniquely to a  $\mathbb{k}[[\hbar]]$ -linear map

$$m : A \widehat{\otimes} A \rightarrow A.$$

Then  $(A, m, u)$  is a topological algebra (by the denseness of  $A \otimes A$  in  $A \widehat{\otimes} A$ , etc.).

Thus every topological algebra in the sense of Definition 6.9 is a  $\mathbb{k}[[\hbar]]$ -algebra.

2. A topological coalgebra  $(C, \Delta, \varepsilon)$  on the other hand is generally not a  $\mathbb{k}[[\hbar]]$ -coalgebra algebra, since the comultiplication

$$\Delta : C \rightarrow C \otimes C$$

does in general not restrict to a map  $C \rightarrow C \otimes C$ . Topological bialgebras and topological Hopf algebras inherit this problem.

3. If  $A$  is a topological Hopf algebra then  $A/\hbar A$  becomes an Hopf algebra over  $\mathbb{k}$ . We note for this that

$$(A \widehat{\otimes} A)/\hbar(A \widehat{\otimes} A) \cong (A \otimes A)/\hbar(A \otimes A) \cong (A/\hbar A) \otimes (A/\hbar A).$$

The analogous assertion holds for topological algebras, topological coalgebra and topological bialgebras.

**Definition 6.11.** Let  $A$  be a Hopf algebra over  $\mathbb{k}$ .

1. A *formal deformation* of  $A$  is a topological Hopf algebra  $A_\hbar$  together with an isomorphism of  $\mathbb{k}[[\hbar]]$ -modules

$$\varphi : A_\hbar \rightarrow A[[\hbar]]$$

such that the induced isomorphism of vector space

$$\overline{\varphi} : A_\hbar/\hbar A_\hbar \rightarrow A_\hbar$$

is an isomorphism of Hopf algebras. Formal deformations of algebras, coalgebras and bialgebras are defined in the same way.

2. Two formal deformations  $A_\hbar$  and  $A'_\hbar$  are equivalent if there exists an isomorphism of topological Hopf algebras

$$\psi : A_\hbar \rightarrow A'_\hbar$$

that makes the following square diagram commute:

$$\begin{array}{ccc} A_{\hbar}/\hbar A_{\hbar} & \xrightarrow{\overline{\psi}} & A'_{\hbar}/\hbar A'_{\hbar} \\ \overline{\varphi} \downarrow & & \downarrow \overline{\varphi'} \\ A & \xlongequal{\quad} & A \end{array}$$

3. A Hopf algebra deformation of the universal enveloping algebra  $U(\mathfrak{g})$  of a Lie algebra  $\mathfrak{g}$  is a *quantum universal enveloping algebra (QUE)*.

**Remark 6.12.**

1. Let  $A$  be a Hopf algebra over  $\mathbb{k}$  with deformation  $A_{\hbar}$ . We may assume (up to equivalence) that  $A_{\hbar} = A[[\hbar]]$  as  $\mathbb{k}[[\hbar]]$ -modules. By using the isomorphism

$$A[[\hbar]] \widehat{\otimes} A[[\hbar]] \cong (A \otimes A)[[\hbar]]$$

we can regard the structure maps of  $A_{\hbar}$  as  $\mathbb{k}[[\hbar]]$ -linear map

$$\begin{aligned} m_{\hbar} &: (A \otimes A)[[\hbar]] \rightarrow A[[\hbar]], \\ u_{\hbar} &: \mathbb{k}[[\hbar]] \rightarrow A[[\hbar]], \\ \Delta_{\hbar} &: A[[\hbar]] \rightarrow (A \otimes A)[[\hbar]], \\ \varepsilon_{\hbar} &: A[[\hbar]] \rightarrow \mathbb{k}[[\hbar]], \\ S_{\hbar} &: A[[\hbar]] \rightarrow A[[\hbar]] \end{aligned} \tag{4}$$

which are perturbations of the structure maps of  $A$ , i.e. they reduce module  $\hbar$  to the structure maps of  $A$ . We can for example characterize the comultiplication  $\Delta_{\hbar}$  by a sequence of bilinear map  $\Delta_i : A \rightarrow A \otimes A$  such that

$$\Delta_{\hbar}(a) = \Delta_0(a) + \Delta_1(a)\hbar + \Delta_2(a)\hbar^2 + \dots$$

for every  $a \in A$ . Here  $\Delta_0$  needs to be the original comultiplication from  $A$ .

2. Definition 6.11 agrees with Definition 6.2 for algebras.

**Example 6.13.**

1. Every Hopf algebra  $A$  admits the trivial deformation  $A[[\hbar]]$ . In the form Equation (4) the structure maps of this deformation are given by the  $\mathbb{k}[[\hbar]]$ -linear extensions of the structure maps of  $A$ . A deformation of  $A$  is *trivial* if it is equivalent to the trivial deformation.
2. One can make the algebra deformation  $U_{\hbar}(\mathfrak{sl}_2)$  of  $U(\mathfrak{sl}_2)$  into a Hopf algebra deformation via the comultiplication

$$\Delta_{\hbar}(H) = H \otimes 1 + 1 \otimes H, \quad \Delta_{\hbar}(E) = E \otimes K + 1 \otimes E, \quad \Delta_{\hbar}(F) = F \otimes 1 + K^{-1} \otimes F$$

the counit

$$\varepsilon_{\hbar}(H) = 0, \quad \varepsilon_{\hbar}(E) = 0, \quad \varepsilon_{\hbar}(F) = 0,$$

and the antipode

$$S_{\hbar}(H) = -H, \quad S_{\hbar}(E) = -K^{-1}E, \quad S_{\hbar}(F) = -FK.$$

We note that it follows from this formulas from  $K = e^{\hbar H}$  that

$$\Delta_{\hbar}(K) = K \otimes K, \quad \varepsilon_{\hbar}(K) = 1, \quad S_{\hbar}(K) = K^{-1}.$$

For the elements  $E, F, K, K^{-1}$  in  $U_{\hbar}(\mathfrak{sl}_2)$  we hence regain the formulas for the Hopf algebra structure of  $U_q(\mathfrak{sl}_2)$ .

### 6.3 Deformation of Representations

We lastly give an explanation of how the irreducible, finite-dimensional representations  $L(n)$  of the universal enveloping algebra  $U(\mathfrak{sl}_2)$  can be used to construct the irreducible, finite-dimensional representations  $L(q^n)$  of  $U_q(\mathfrak{sl}_2)$ , where  $n \in \mathbb{N}$ .

**Theorem 6.14** ([CP95, Proposition 6.4.10]). For every natural number  $n \in \mathbb{N}$  let  $V(n)$  be the free  $\mathbb{k}[[\hbar]]$ -module of rank  $n + 1$  with basis  $v_0, \dots, v_n$ .

1. There exists a unique  $U_{\hbar}(\mathfrak{sl}_2)$ -module structure on  $V(n)$  such that

$$Hv_i := (n - 2i)v_i, \quad Ev_i := [n - i + 1]_q v_{i-1}, \quad Fv_i := [i + 1]_q v_{i+1}.$$

2. The  $U_{\hbar}(\mathfrak{sl}_2)$ -modules  $V(n)$  is indecomposable.
3. The  $U_{\hbar}(\mathfrak{sl}_2)$ -module  $V(n)$  reduces modulo  $\hbar$  to the irreducible representations  $L(n)$  of  $U(\mathfrak{sl}_2)$ .
4. The action of  $K$  on  $V(n)$  is given by

$$K \cdot v_i = q^{n-2i} v_i.$$

## References

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