



# Intra-Procedural Analysis

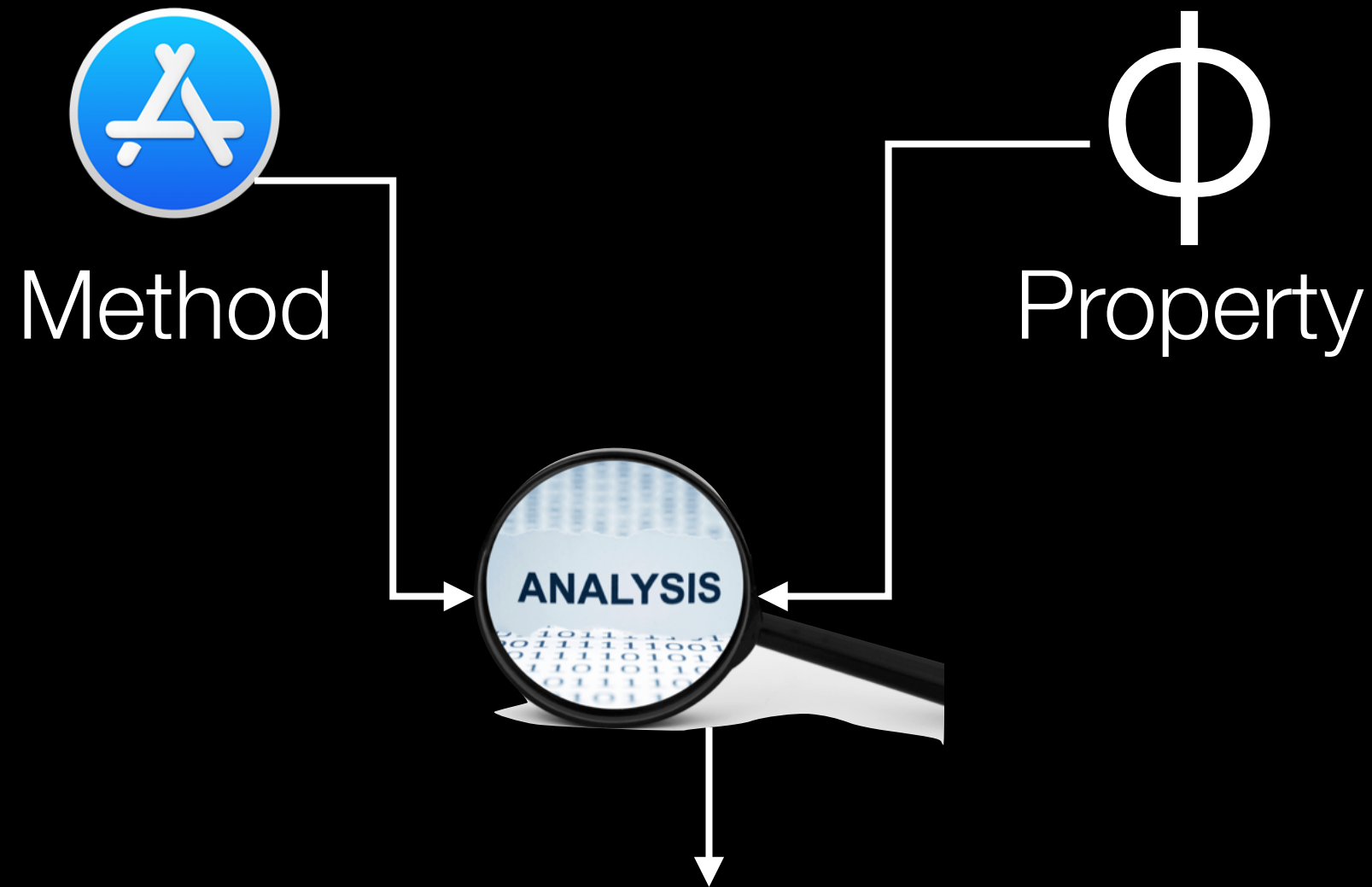
CMPUT 497/500  
Foundations of Program Analysis

Karim Ali  
[@karimhamdanali](https://twitter.com/karimhamdanali)

## Previously

- Static analysis is undecidable
- Sample analyses
- Intermediate representations
- Case study: Java and Android

# Intra-Procedural Analysis



Does the property hold at statement S?

Property	Analysis
Is this variable still used later on?	Live-Variables Analysis
Can this code ever execute?	Dead-Code Analysis
Can this pointer ever be null?	Nullness Analysis
Is this file handle ever closed?	Typestate Analysis

Let's consider this code

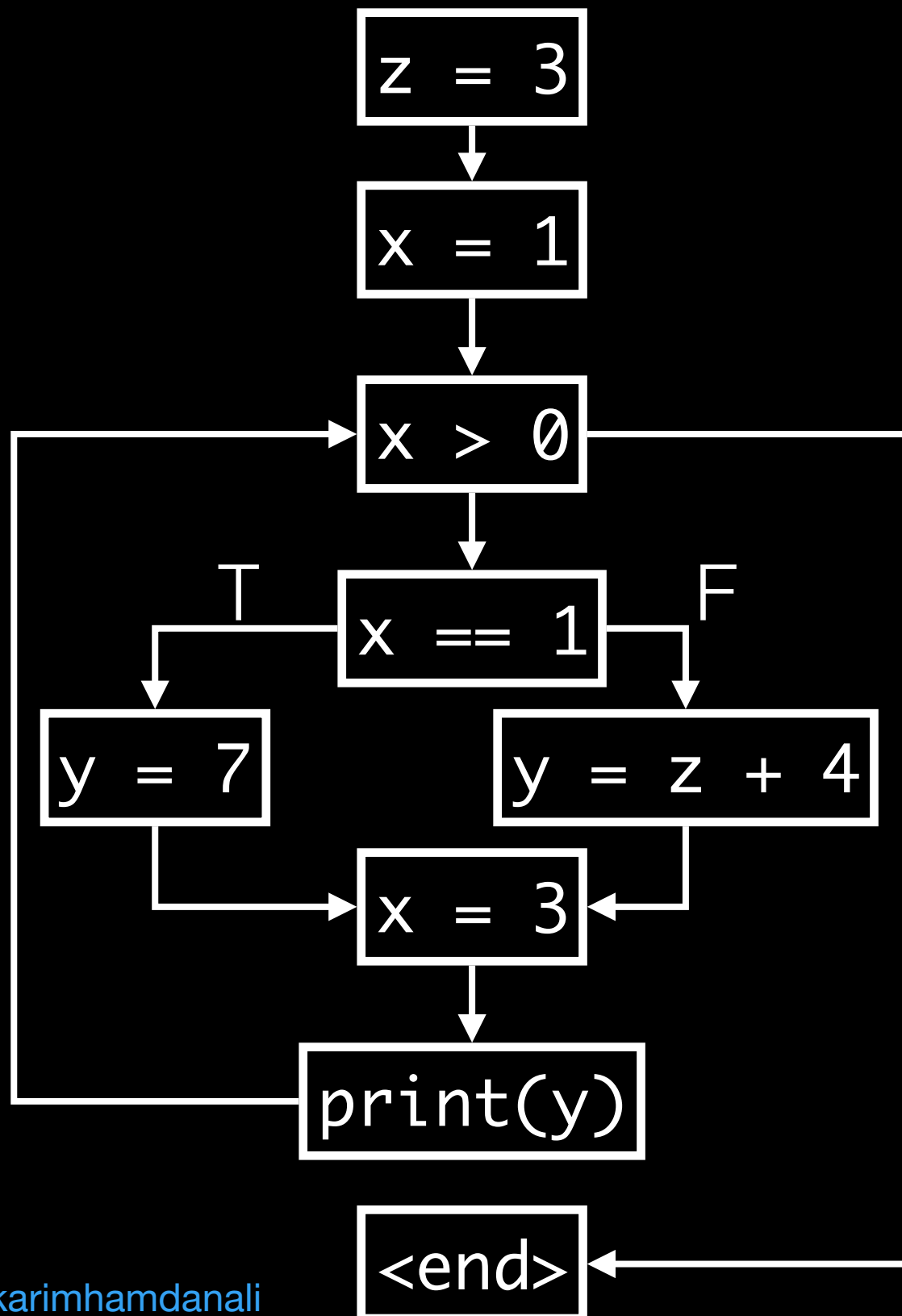
```
z = 3;  
x = 1;  
while(x > 0) {  
    if(x == 1)  
        y = 7;  
    else  
        y = z + 4;  
    x = 3;  
    print(y);  
}
```

Let's consider this code

- Which variables carry constant values?
- Which values do they exactly carry?

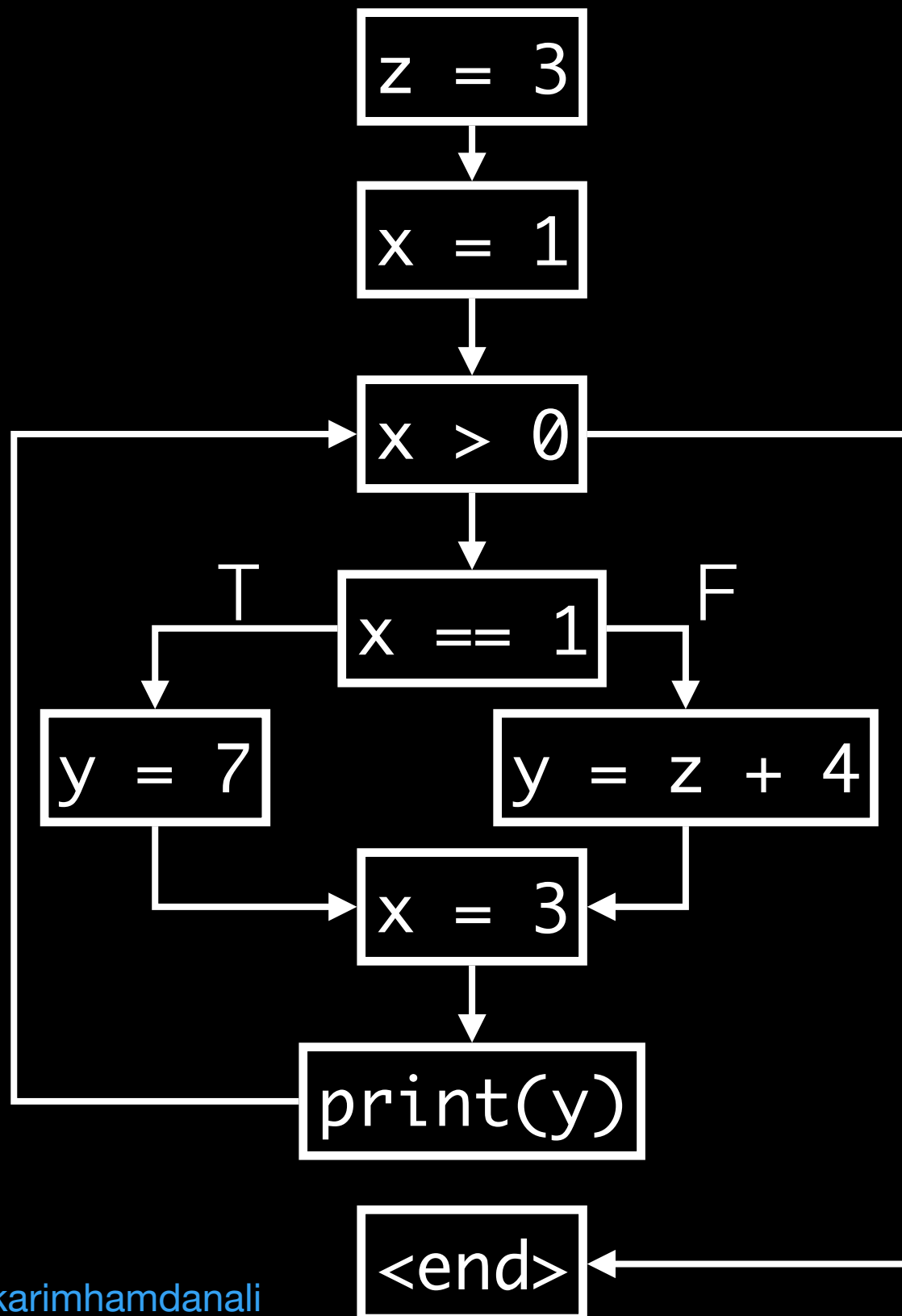
```
z = 3;  
x = 1;  
while(x > 0) {  
    if(x == 1)  
        y = 7;  
    else  
        y = z + 4;  
    x = 3;  
    print(y);  
}
```

# Control-Flow Graph



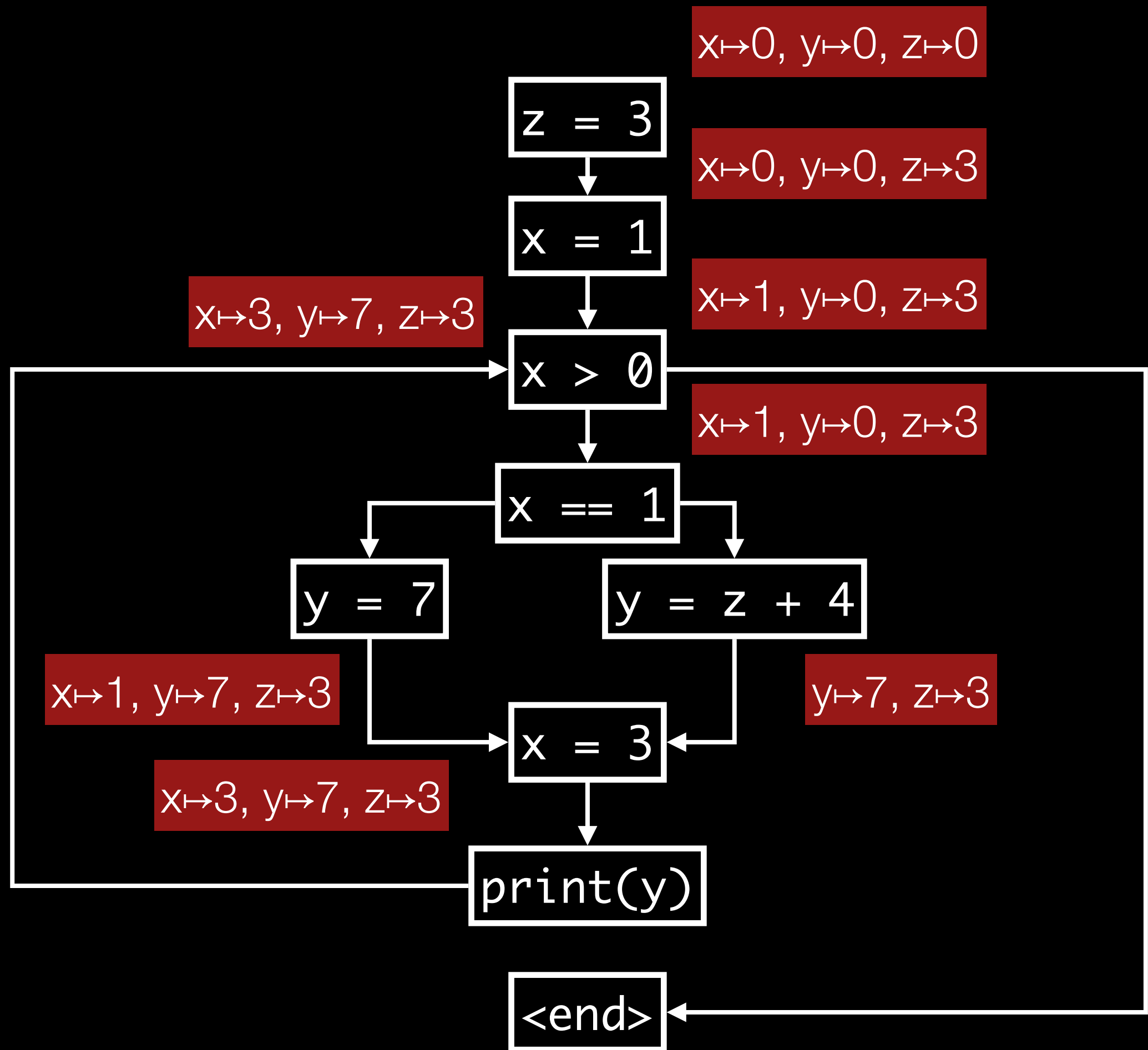
```
z = 3;
x = 1;
while(x > 0) {
    if(x == 1)
        y = 7;
    else
        y = z + 4;
    x = 3;
    print(y);
}
```

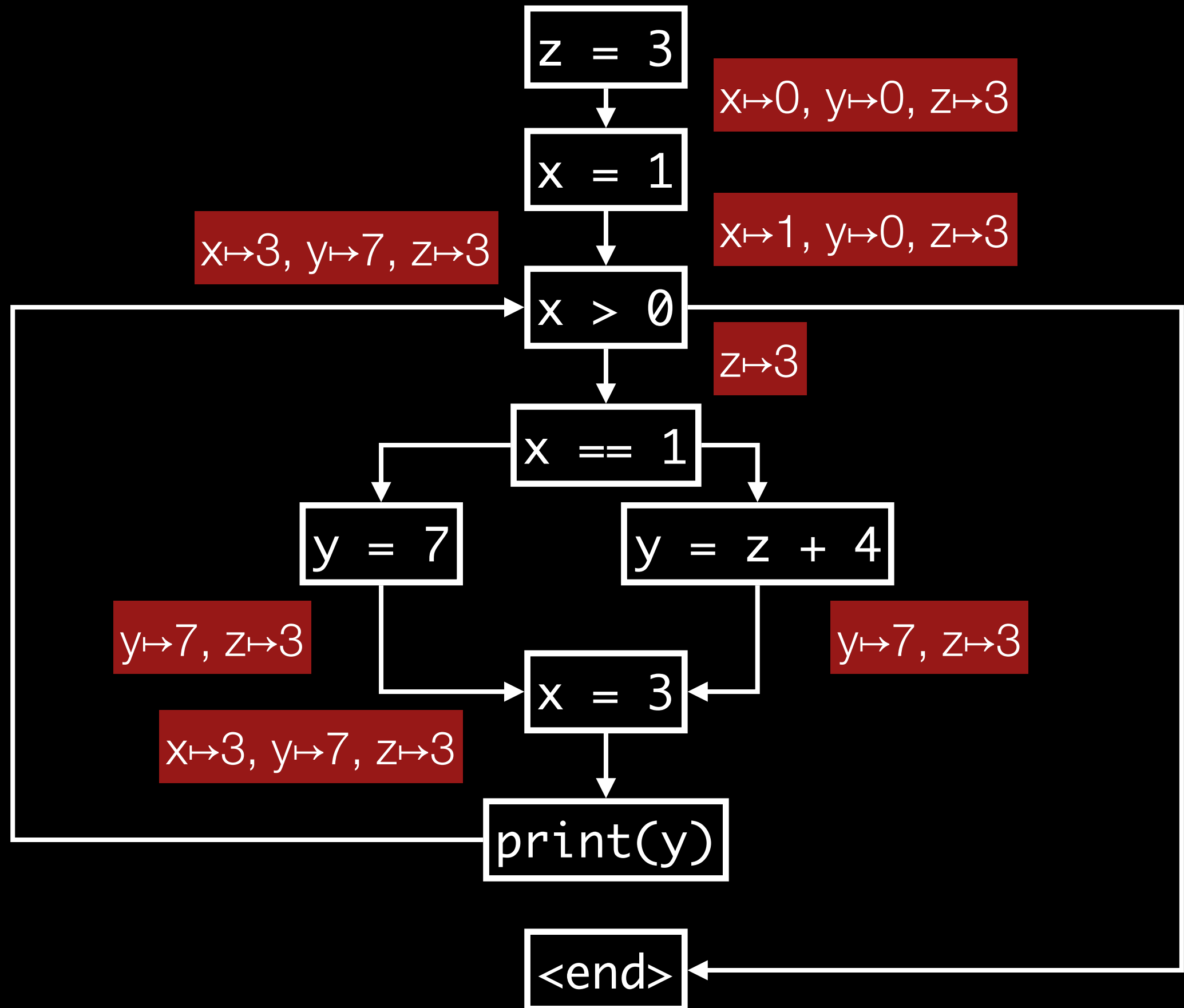
# Control-Flow Graph



```
z = 3;
x = 1;
while(x > 0) {
    if(x == 1)
        y = 7;
    else
        y = z + 4;
    x = 3;
    print(y);
}
```



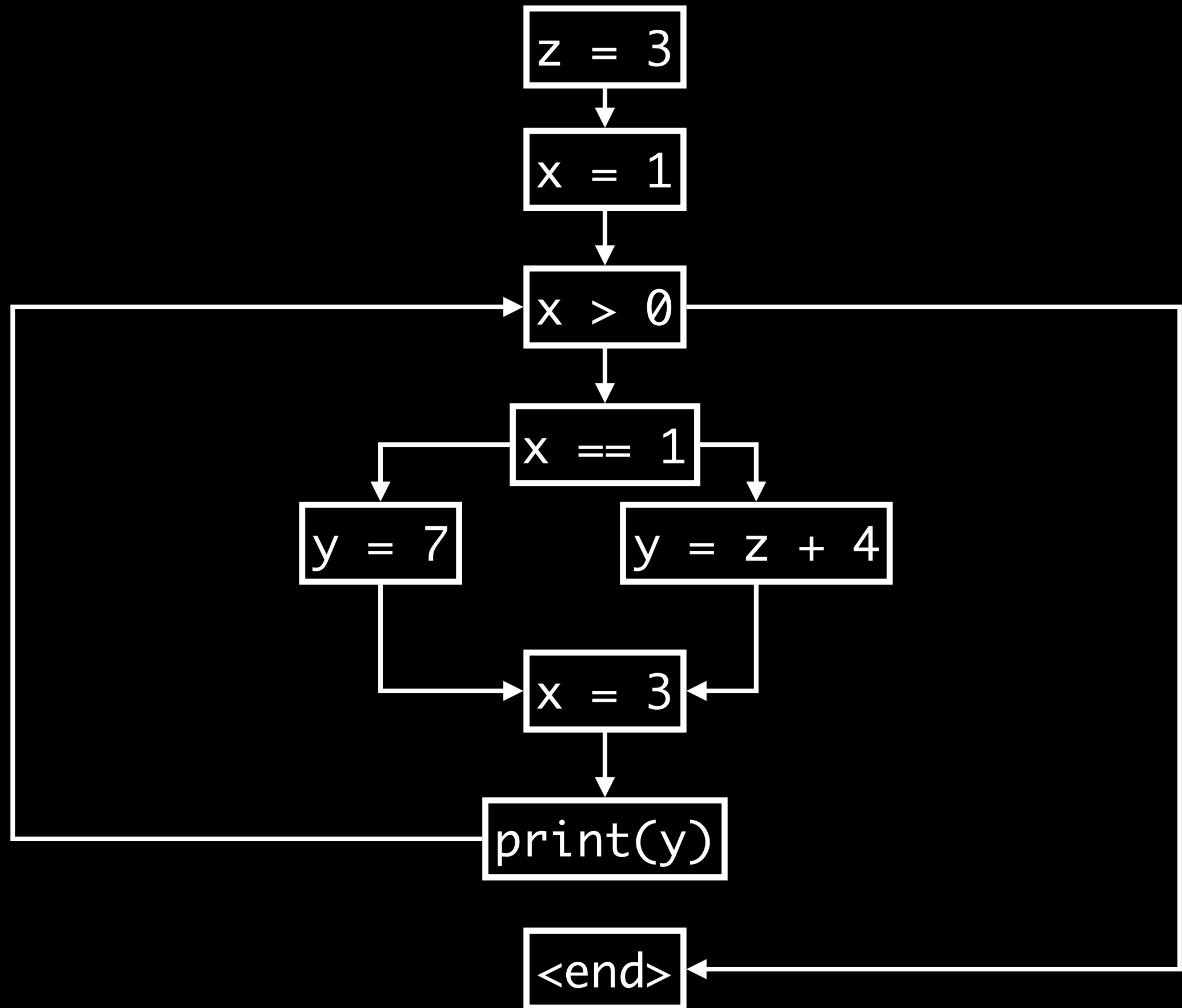


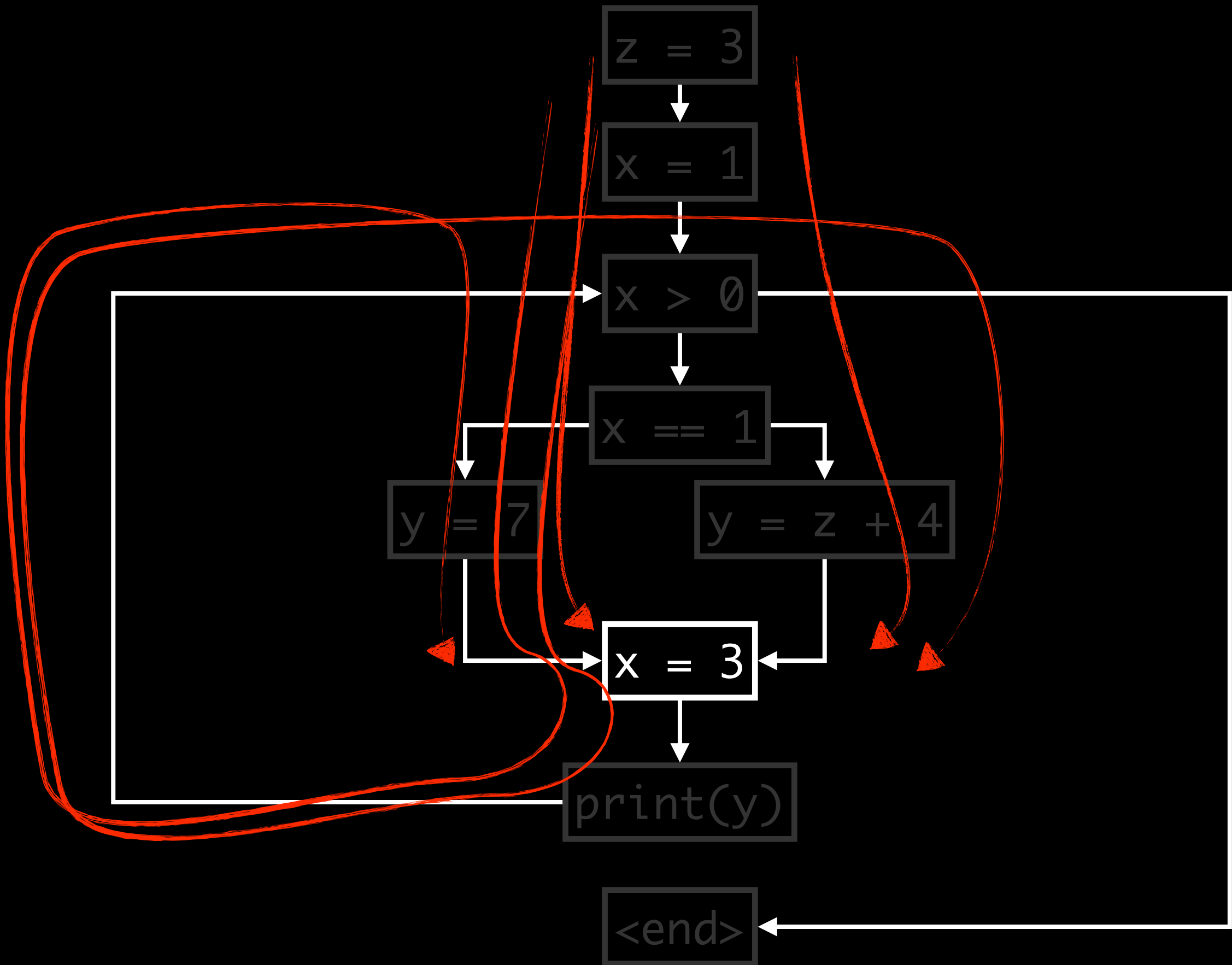




... how can we find a  
general solution?

Naive “Solution” is  
Meet Over All Paths





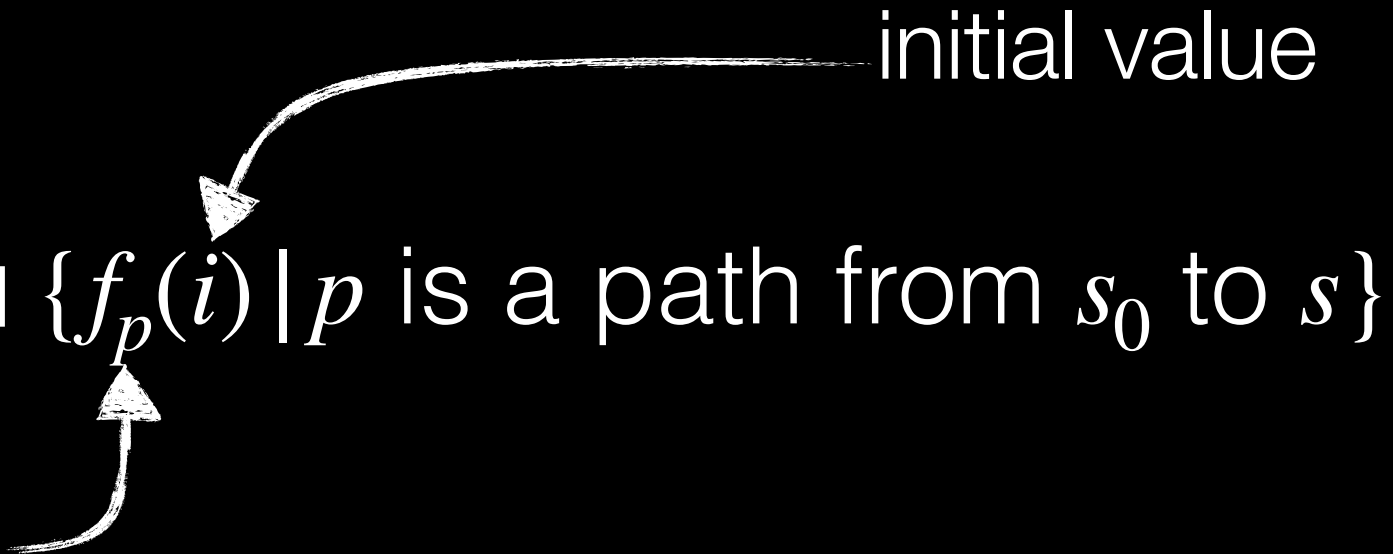
... how do we compute  
Meet Over All Paths  
Solution?

## MOP Solution

$$\forall s \in Stmt : MOP(s) = \sqcup \{f_p(i) \mid p \text{ is a path from } s_0 \text{ to } s\}$$

initial value

composed “flow function” for path



## Post Correspondence Problem

Generally Uncomputable  
[Kam, Ullman 1977]



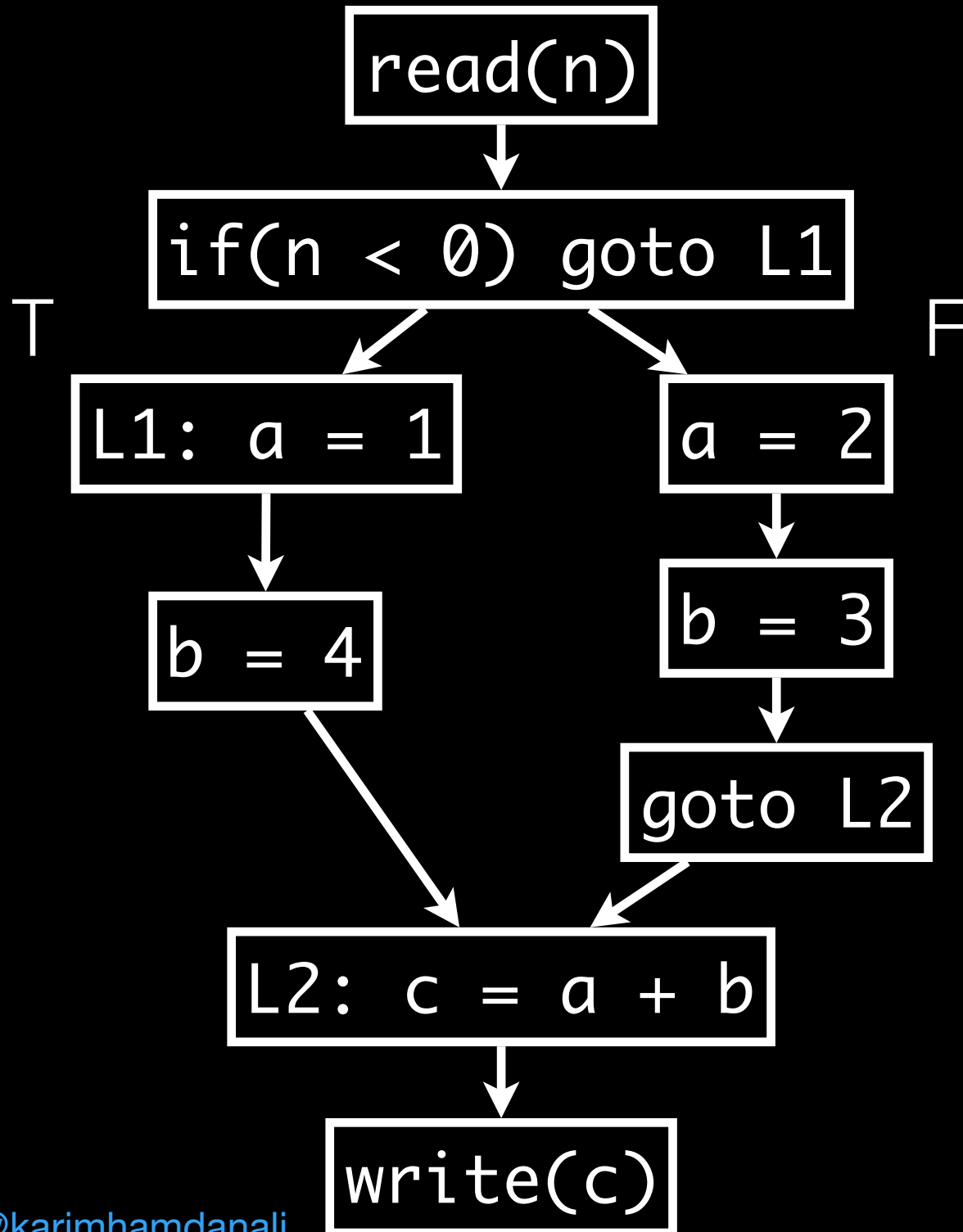
Let's consider this code

```
read(n);
```

```
if(n < 0) {  
    a = 1;  
    b = 4;  
} else {  
    a = 2;  
    b = 3;  
}
```

```
c = a + b;  
write(c);
```

# Control-Flow Graph

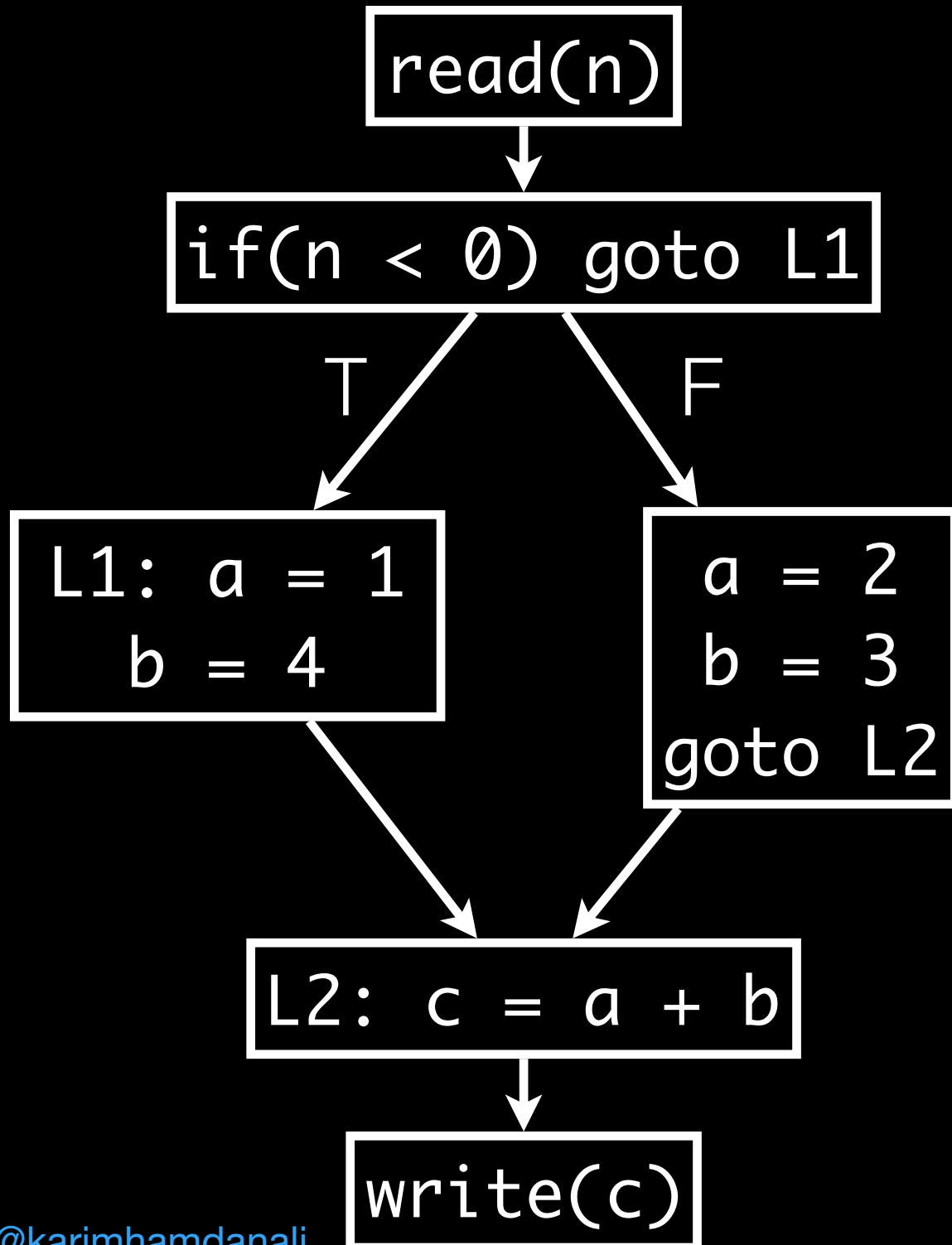


```
read(n);
```

```
if(n < 0) {  
    a = 1;  
    b = 4;  
} else {  
    a = 2;  
    b = 3;  
}
```

```
c = a + b;  
write(c);
```

# Basic-Block Graph

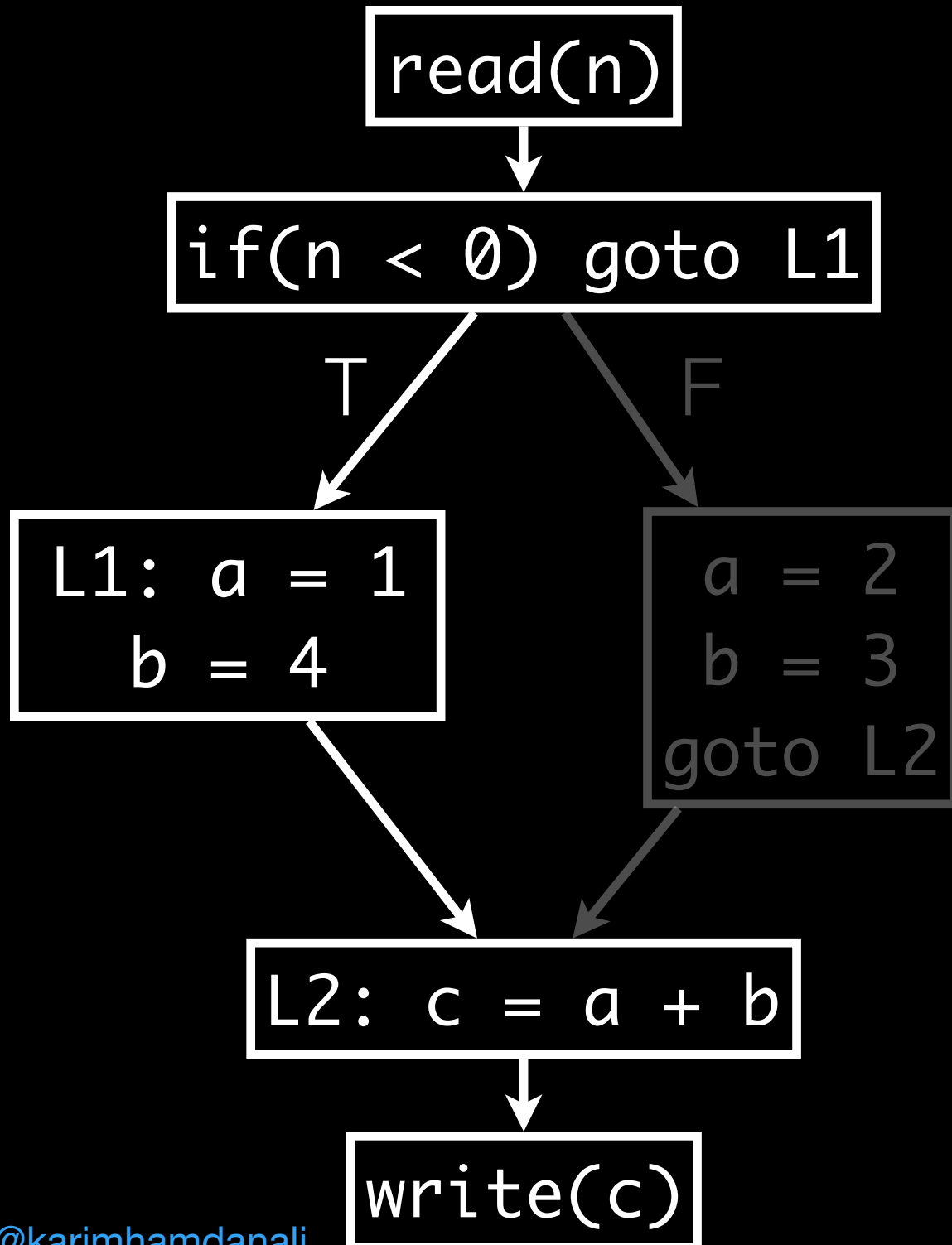


```
read(n);
```

```
if(n < 0) {  
    a = 1;  
    b = 4;  
} else {  
    a = 2;  
    b = 3;  
}
```

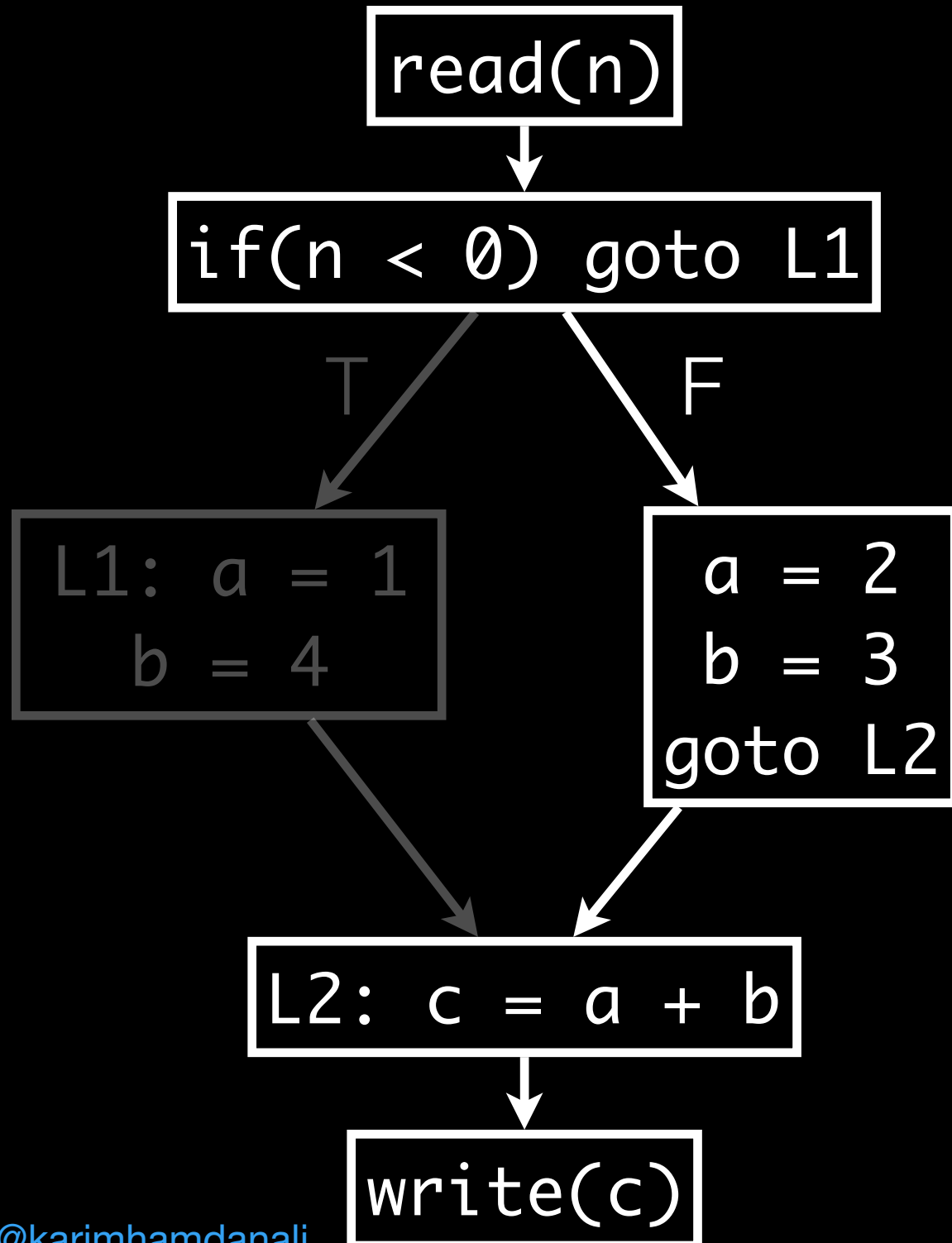
```
c = a + b;  
write(c);
```

A path



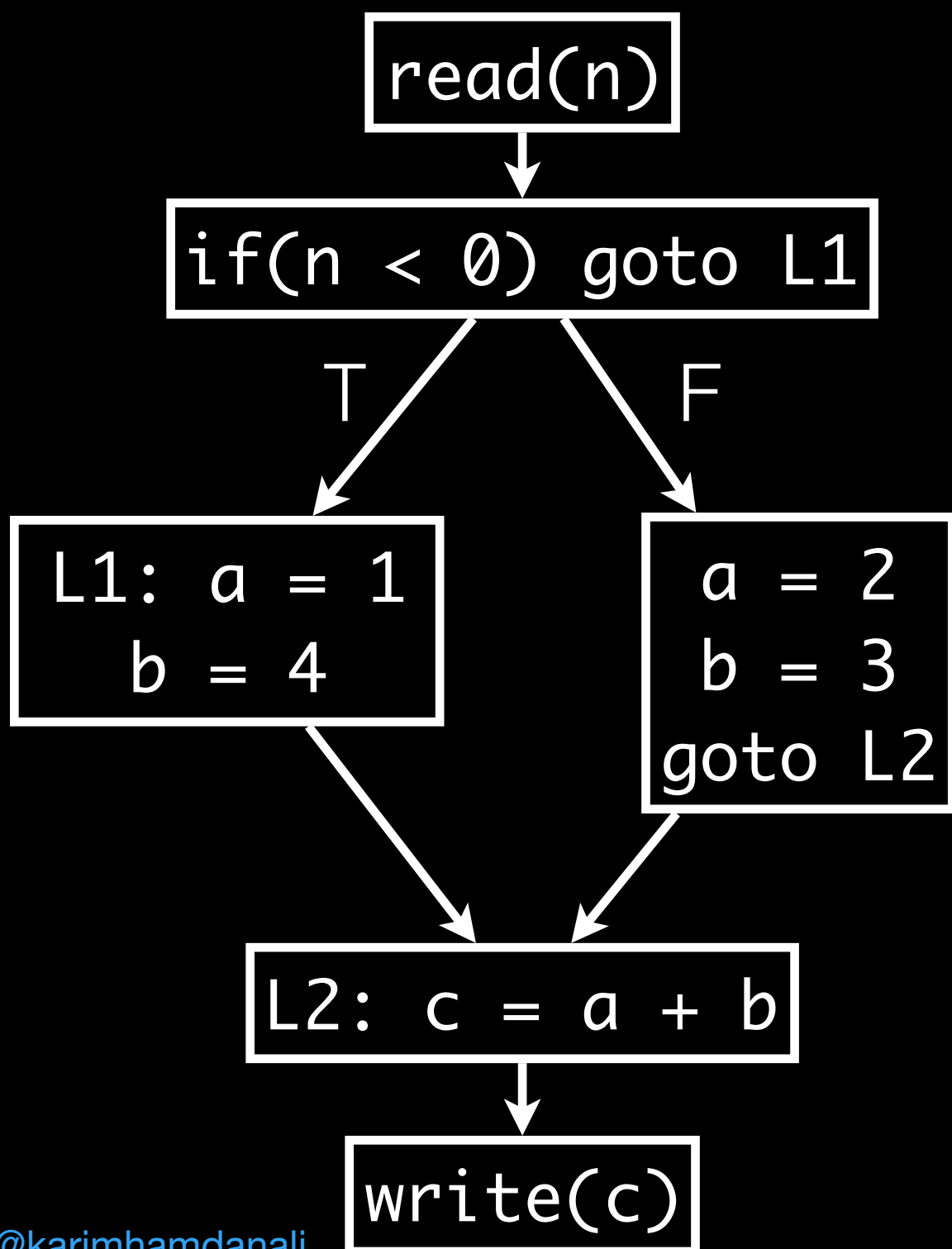
$f_{\text{write}(c)}(f_c = a + b(f_b = 4(f_a = 1(f_n < 0(f_{\text{read}(n)}(\text{init}))))))$

## Another path



$f_{\text{write}(c)}(f_{c = a+b}(f_{b = 3}(f_{a = 2}(f_{n < 0}(f_{\text{read}(n)}(\text{init}))))))$

# Paths Summary



$f_{\text{write}(c)}(f_c = a+b(f_b = 4(f_a = 1(f_n < 0(f_{\text{read}(n)}(\text{init}))))))$



$f_{\text{write}(c)}(f_c = a+b(f_b = 3(f_a = 2(f_n < 0(f_{\text{read}(n)}(\text{init}))))))$

# Computable Solution: Monotone Framework

# Monotone Framework

Flow Functions

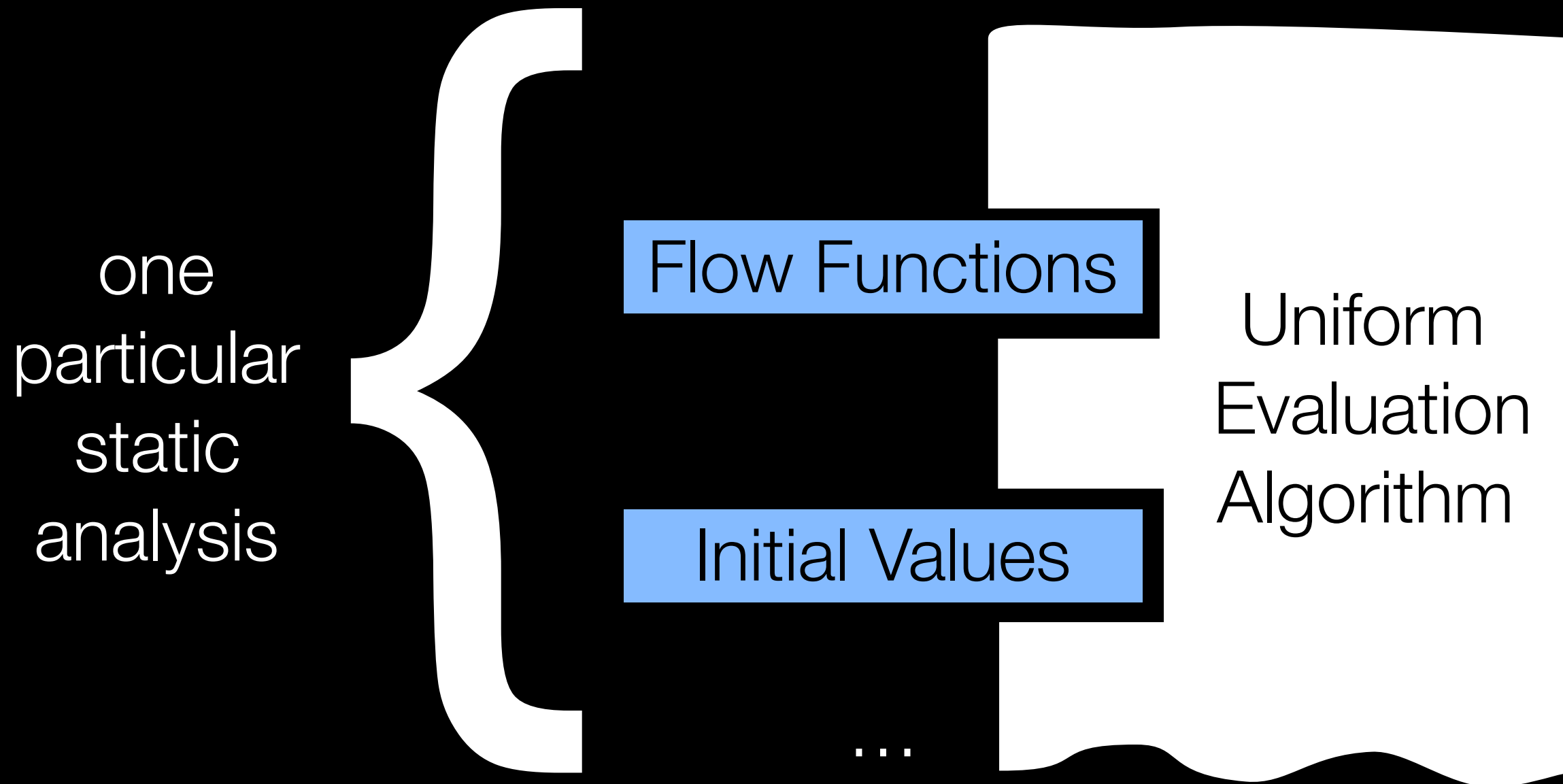
Initial Values

...

Uniform  
Evaluation  
Algorithm



# Monotone Framework



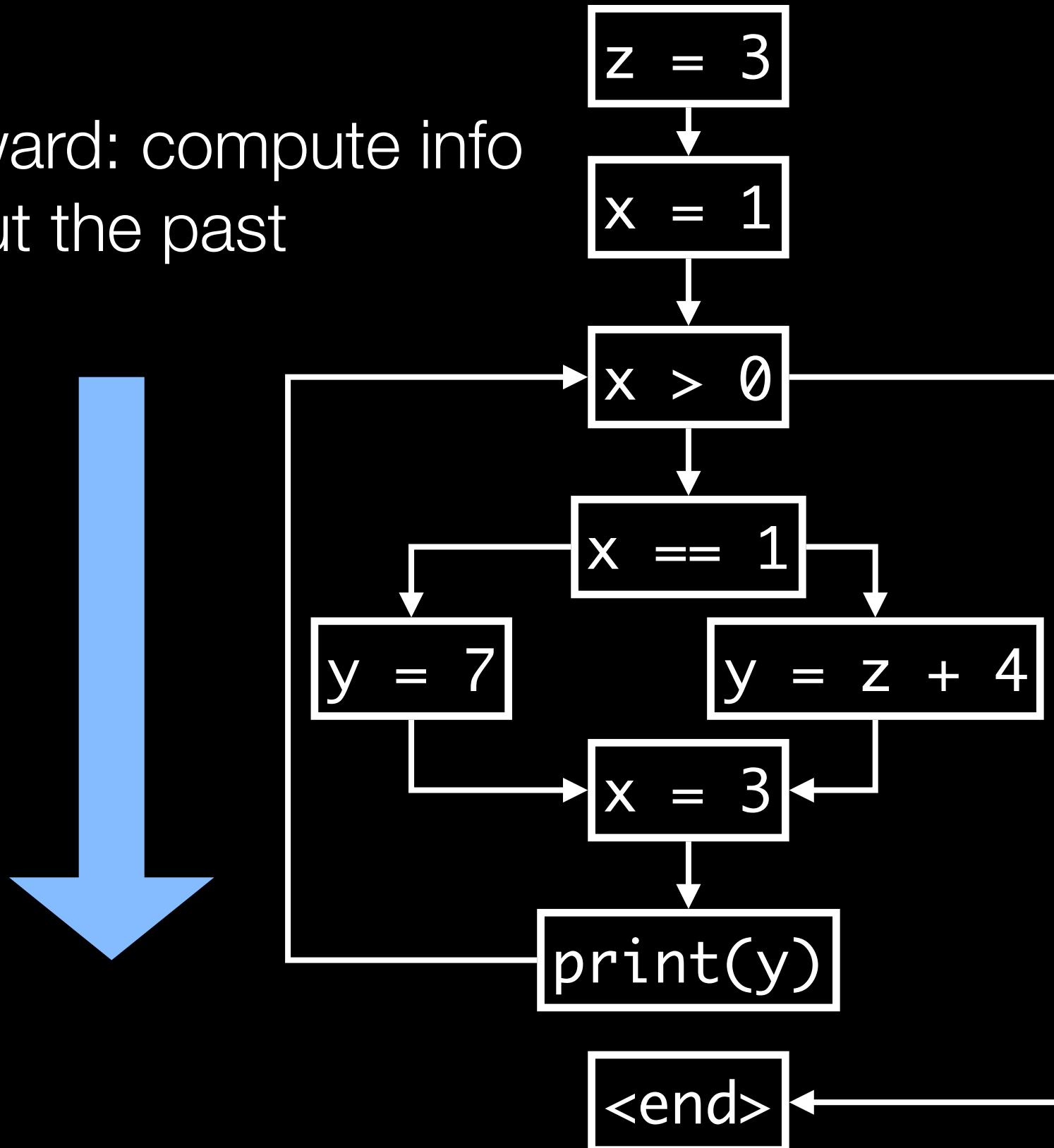
# Monotone Framework

Parameter	Type
Forward or Backward	Boolean
Analysis Abstraction	Lattice
Effect of Each Statement on Info	Set of Flow Functions
Initialization	Lattice Values
Merge Operator	Binary Operator on Lattice Values

# 1. Forward or Backward

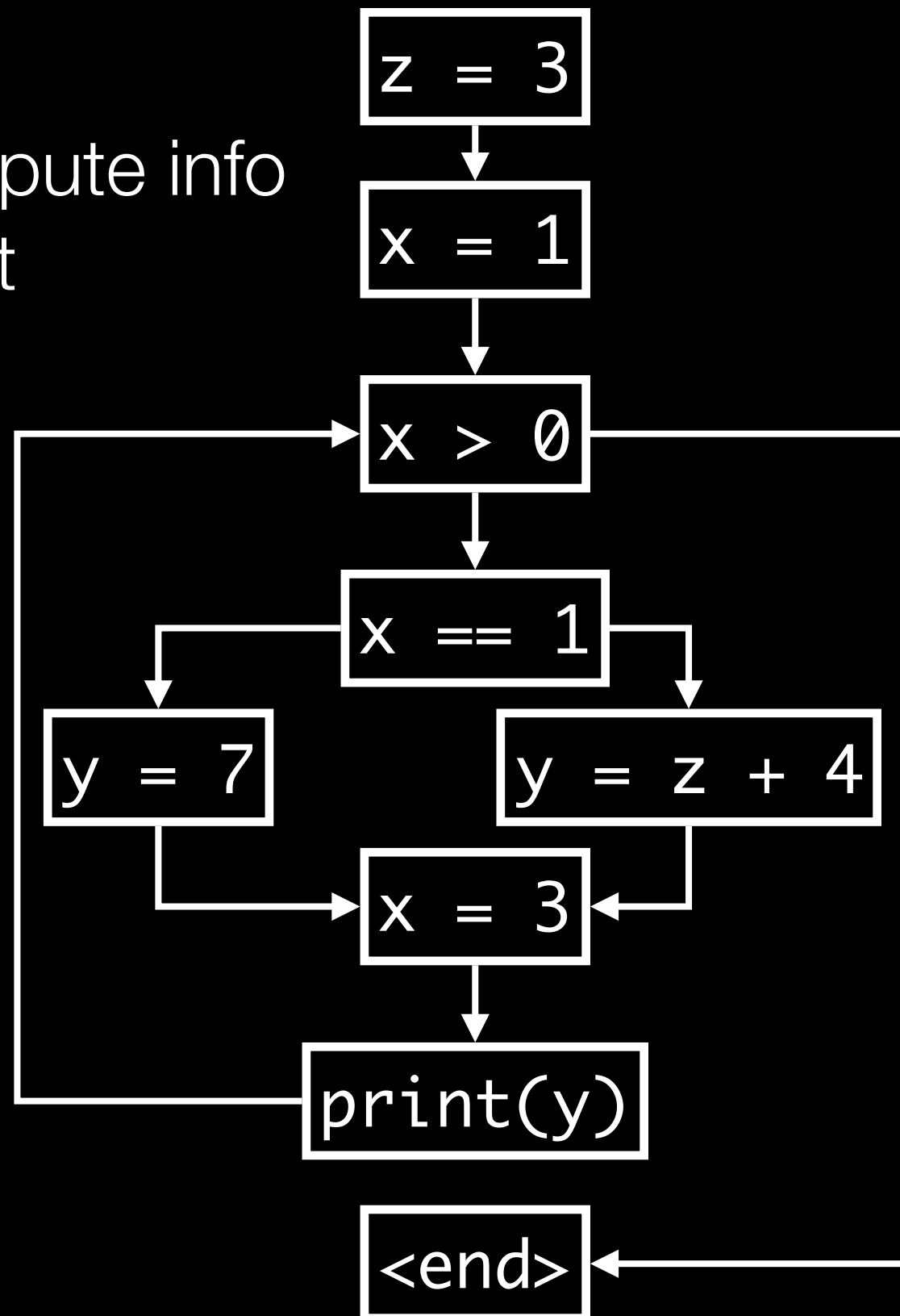
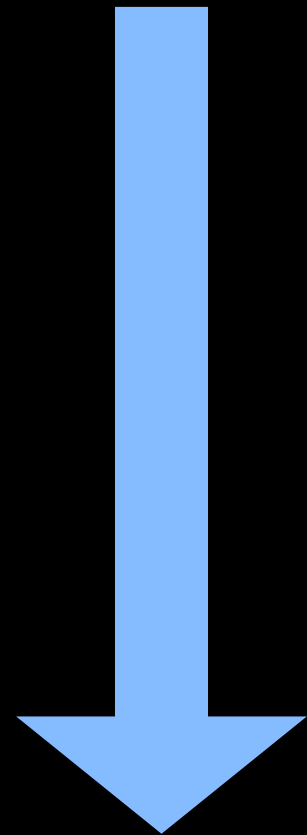
# 1. Forward or Backward

Forward: compute info about the past

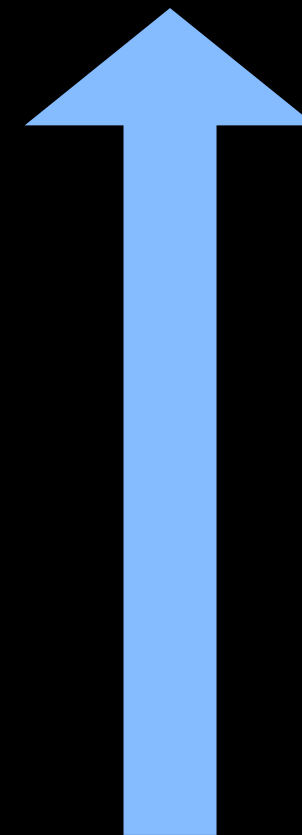


# 1. Forward or Backward

Forward: compute info about the past



Backward: compute info about the future

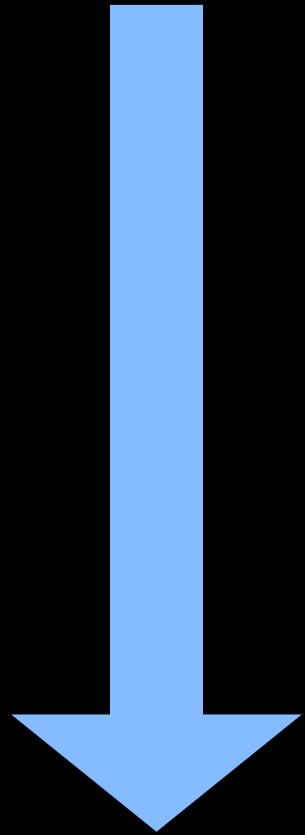


# 1. Forward or Backward

Analysis	Name	Direction
Which values does a variable carry?	Constant Propagation	Forward
Which variables will still be used?	Live Variables	Backward
Will this file handle be properly close?	Typestate	Backward
Has a variable been defined?	Possibly Defined Variables	Forward

# 1. Forward or Backward

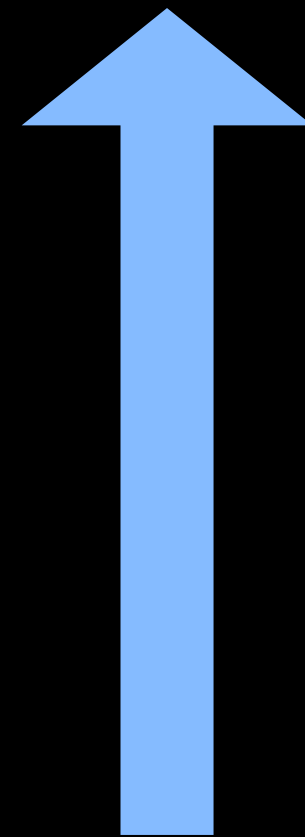
Forward: Did p2 ever hold the value in password?



```
p = password();
```

```
p2 = p;
```

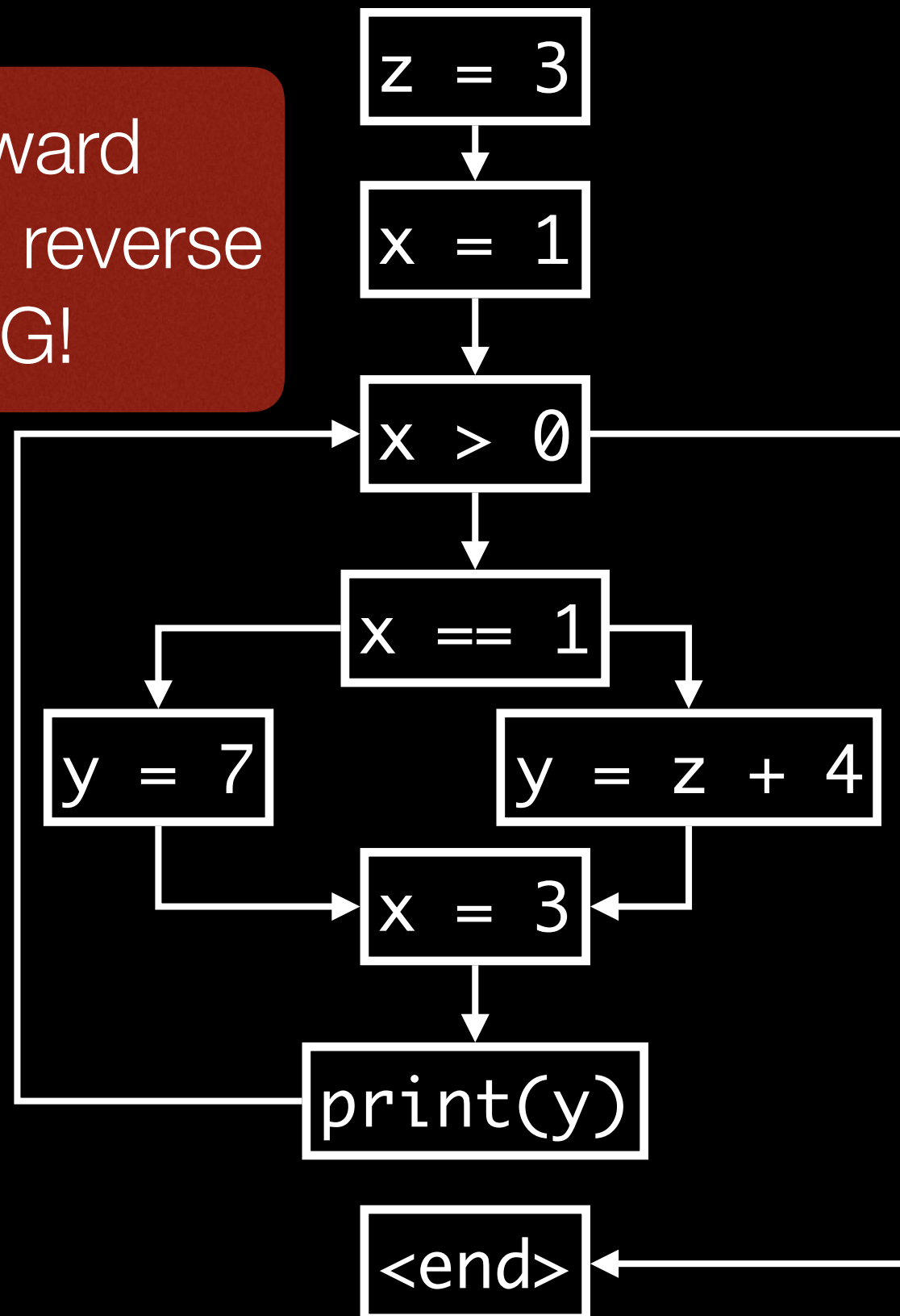
```
print(p2);
```



Backward: Can the password be printed in the future?

# 1. Forward or Backward

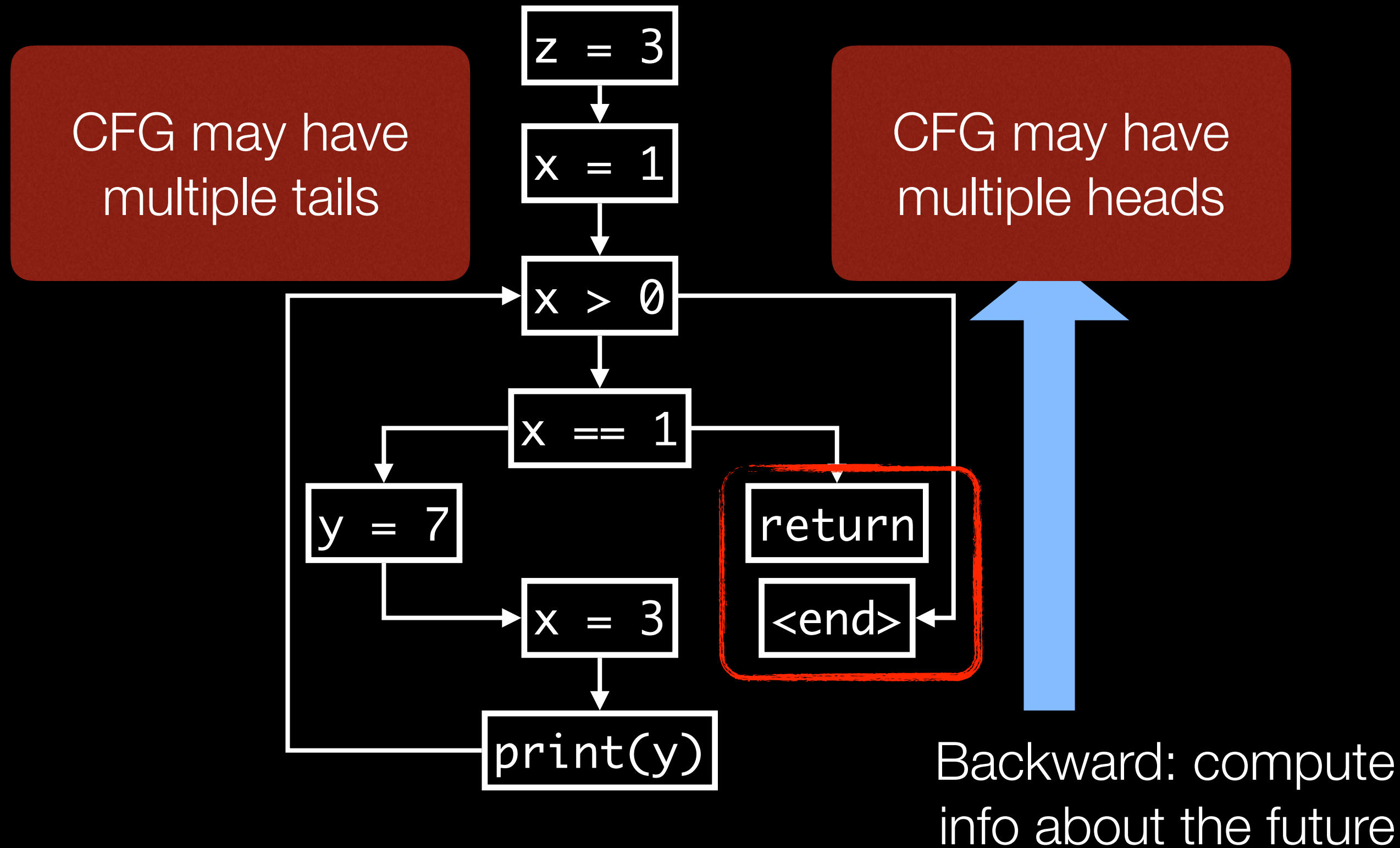
== Forward  
analysis on reverse  
of CFG!



Backward: compute  
info about the future



# 1. Forward or Backward



## 2. Analysis Abstraction

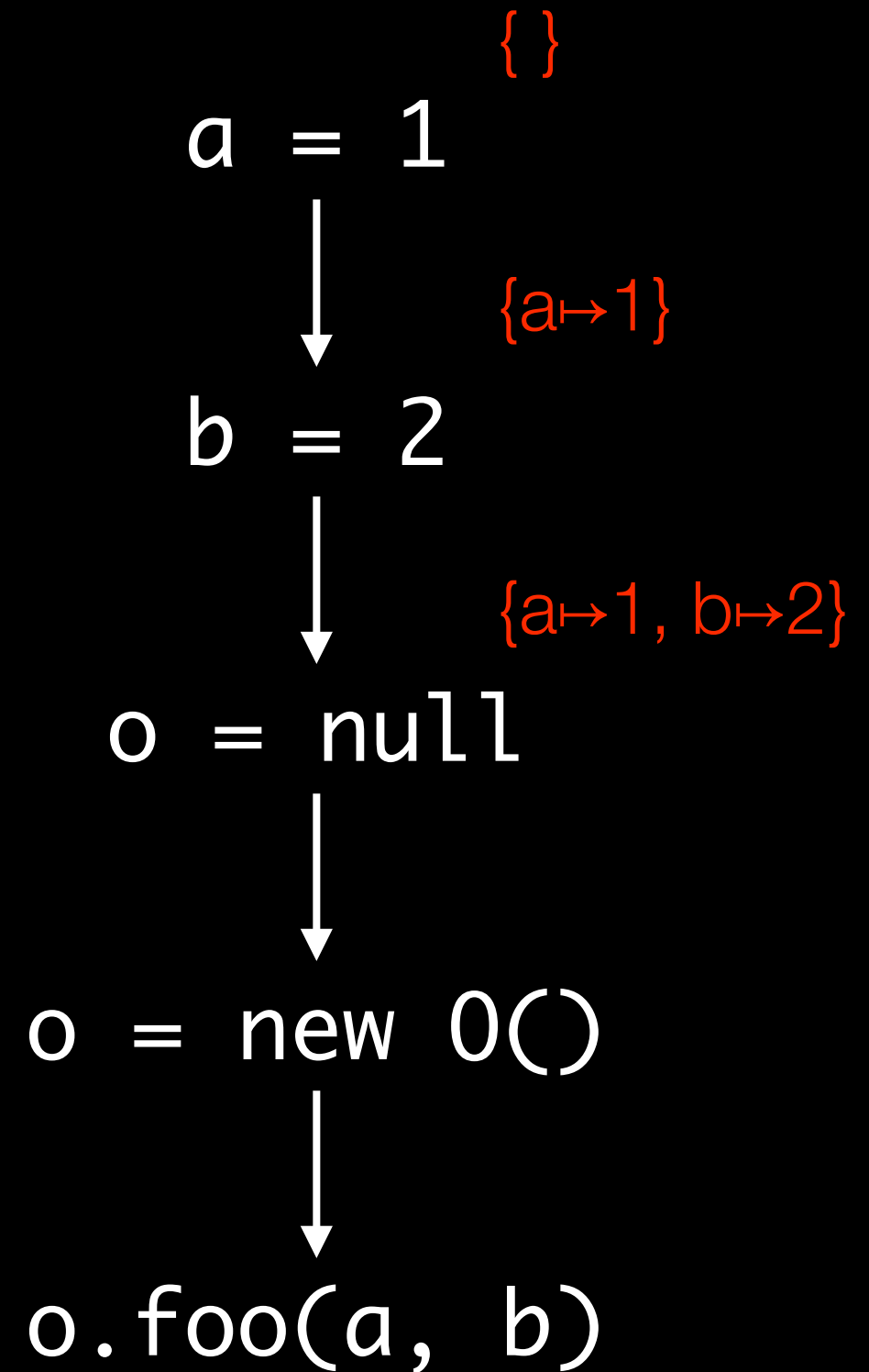
## 2. Analysis Abstraction

Lattice depends heavily  
on analysis problem!

## 2. Analysis Abstraction

Example: Constant Propagation

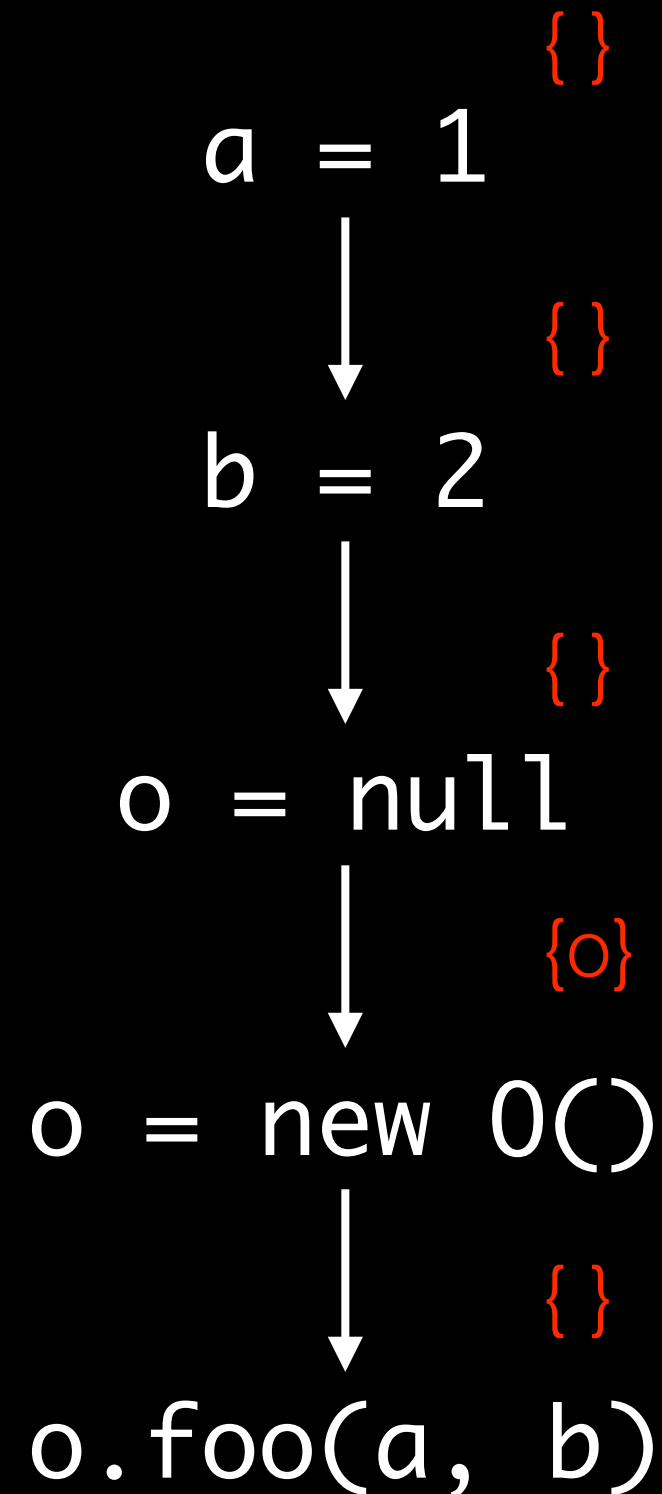
What is the constant value of  $x$  at location  $s$ ?



## 2. Analysis Abstraction

Example: Nullness Analysis

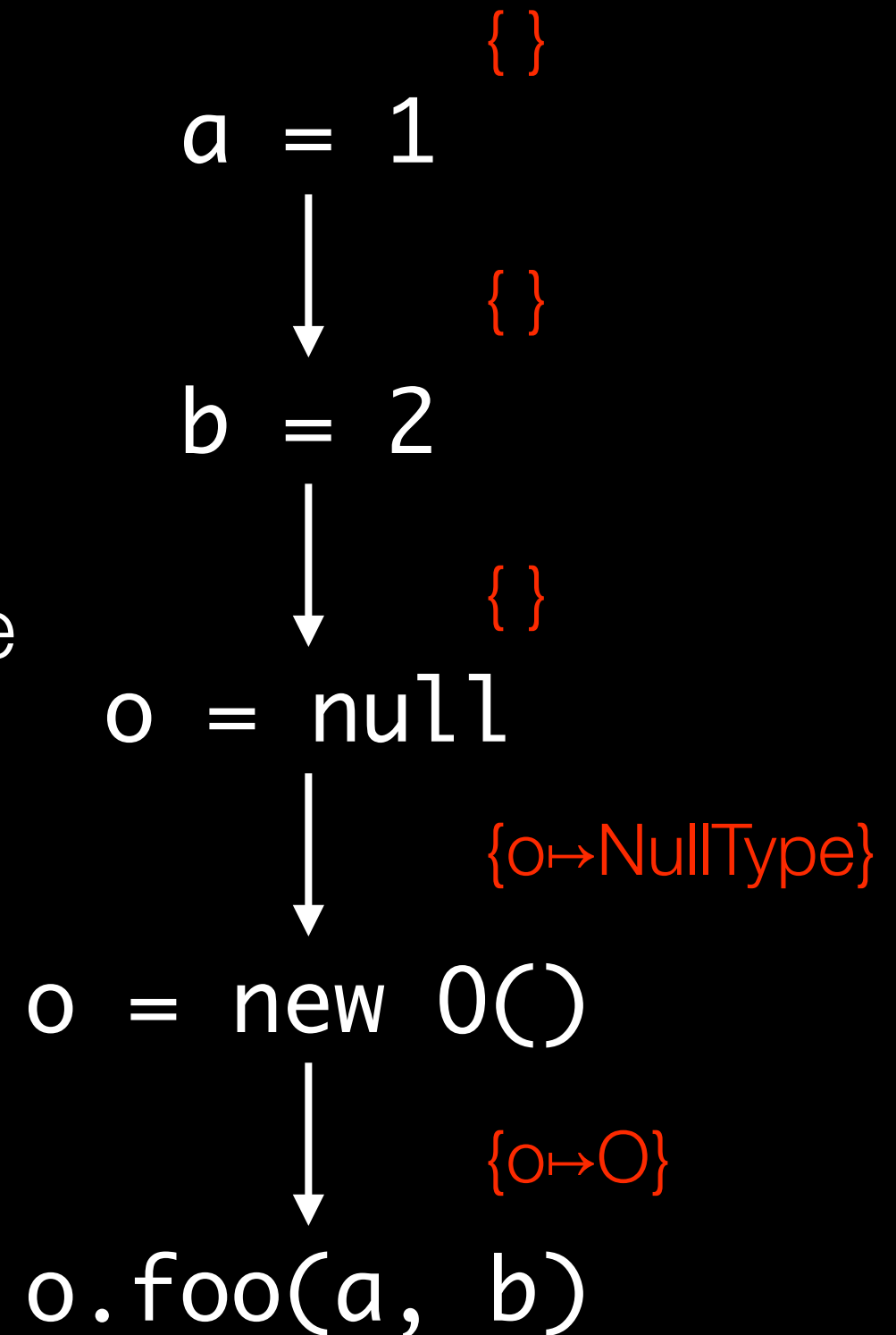
Which variable is null  
at location *s*?



## 2. Analysis Abstraction

Example: Type Analysis

Which runtime type could reference variable  $x$  at location  $s$ ?



## 2. Analysis Abstraction

Lattice are “often”  
sets of “something”

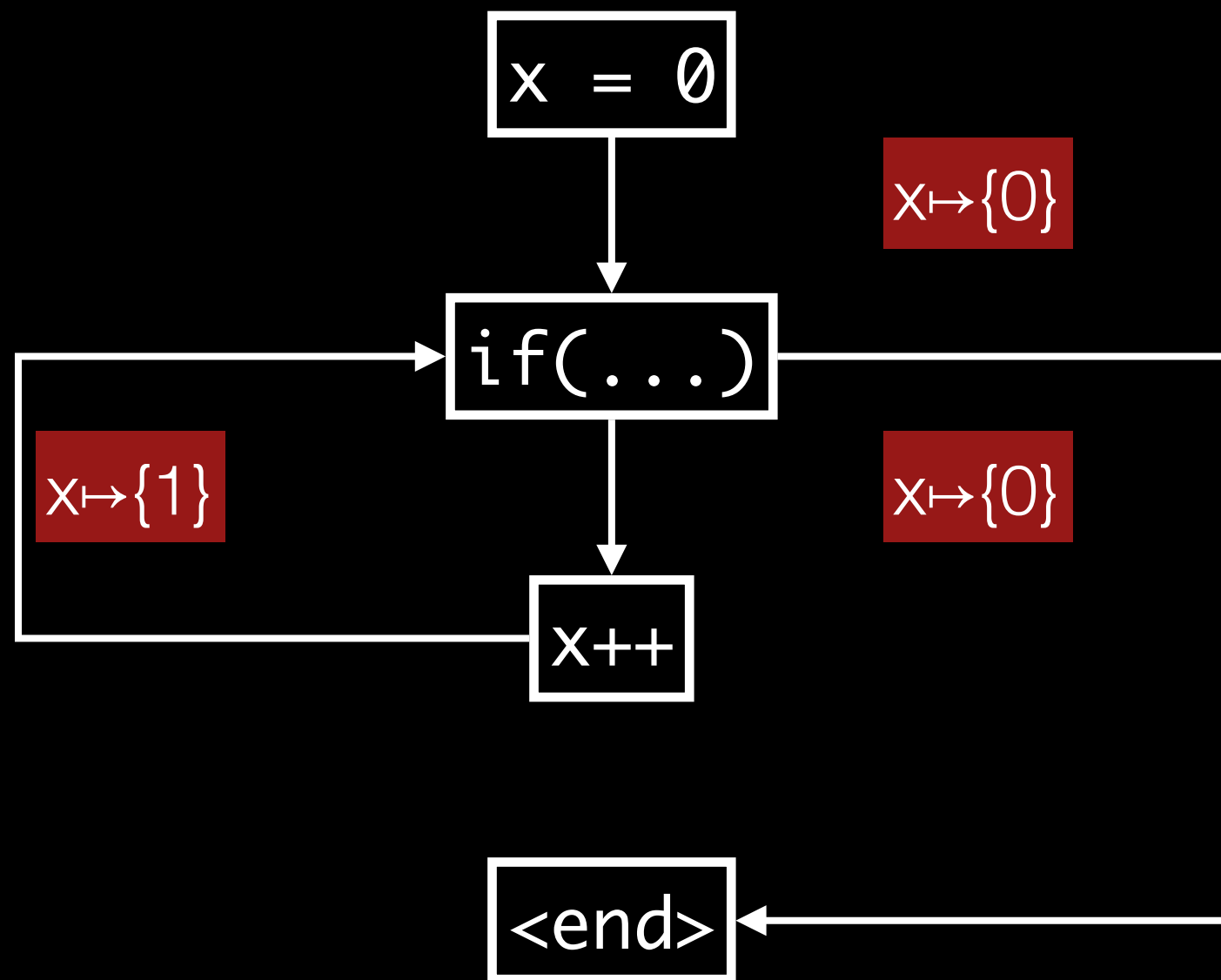
## 2. Analysis Abstraction

... but Why do we need a lattice?



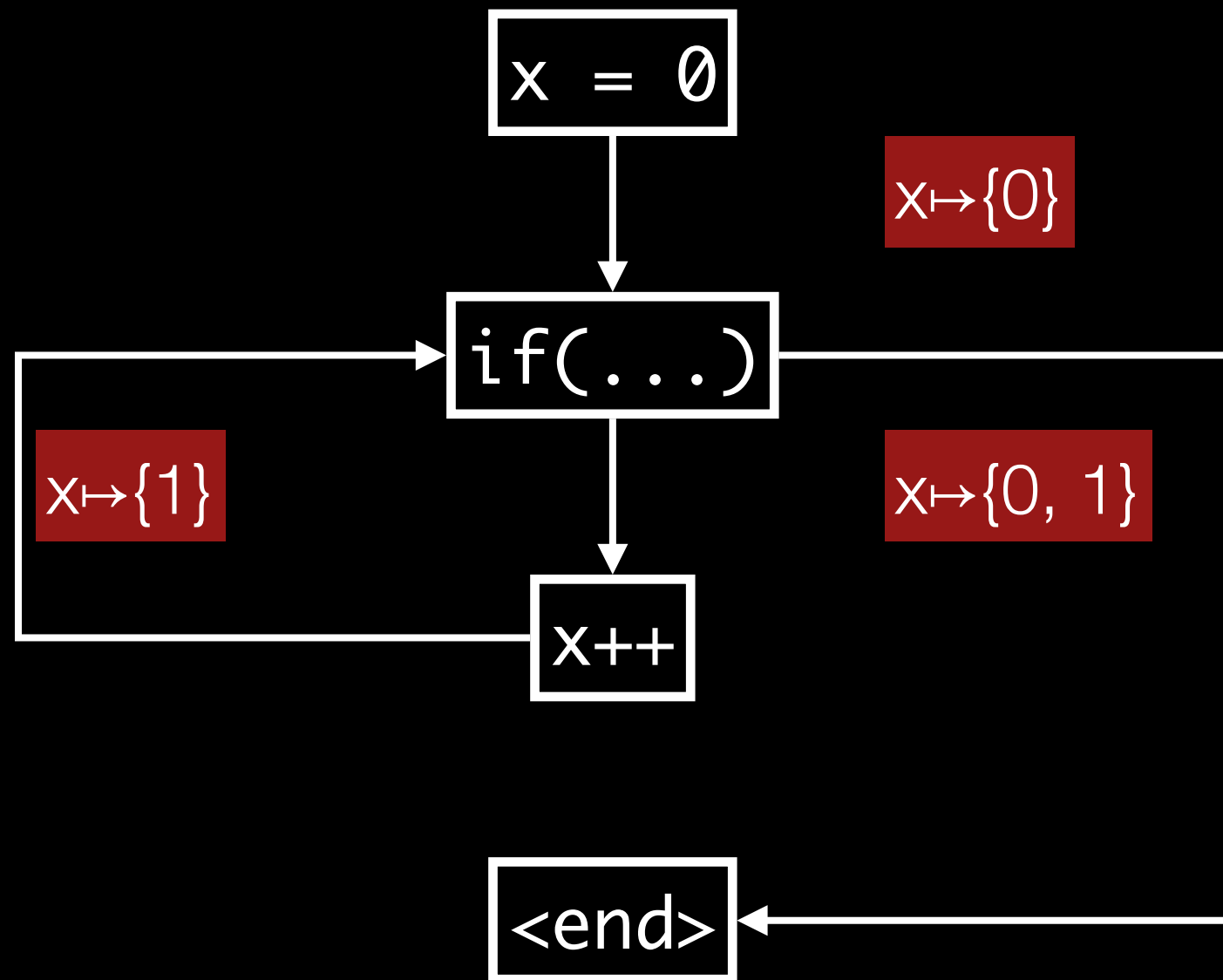
## 2. Analysis Abstraction

### Why do we need a lattice?



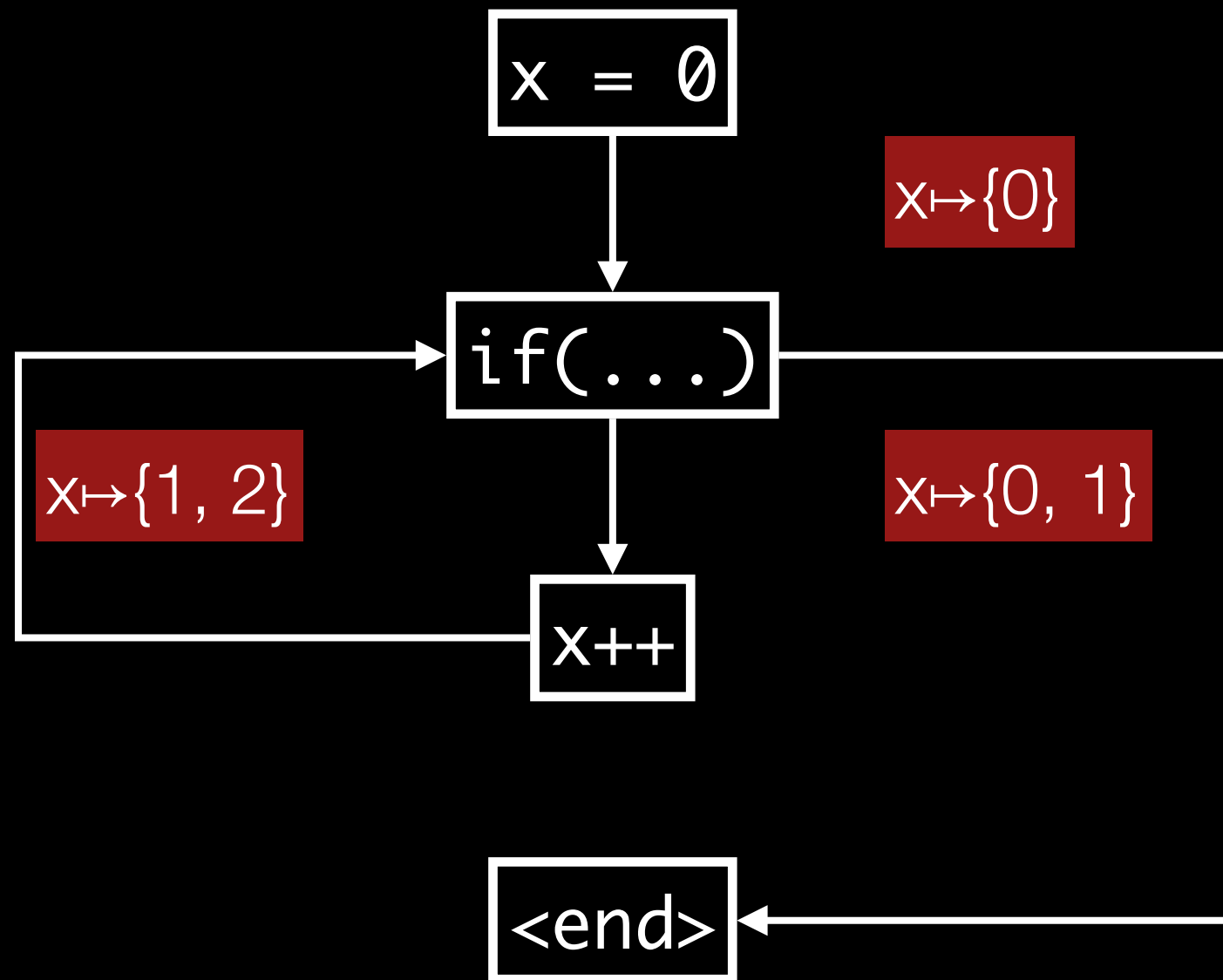
## 2. Analysis Abstraction

Why do we need a lattice?



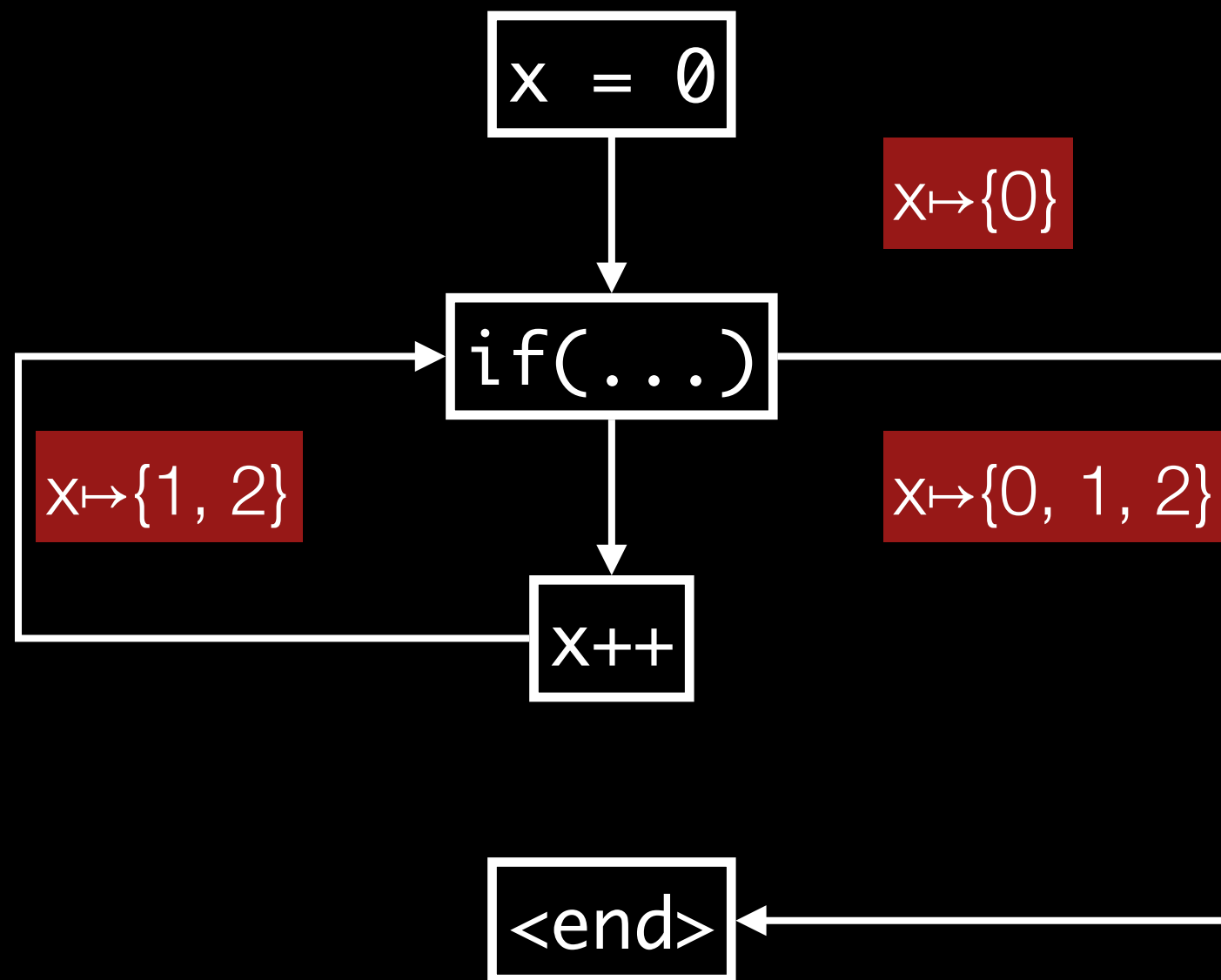
## 2. Analysis Abstraction

### Why do we need a lattice?



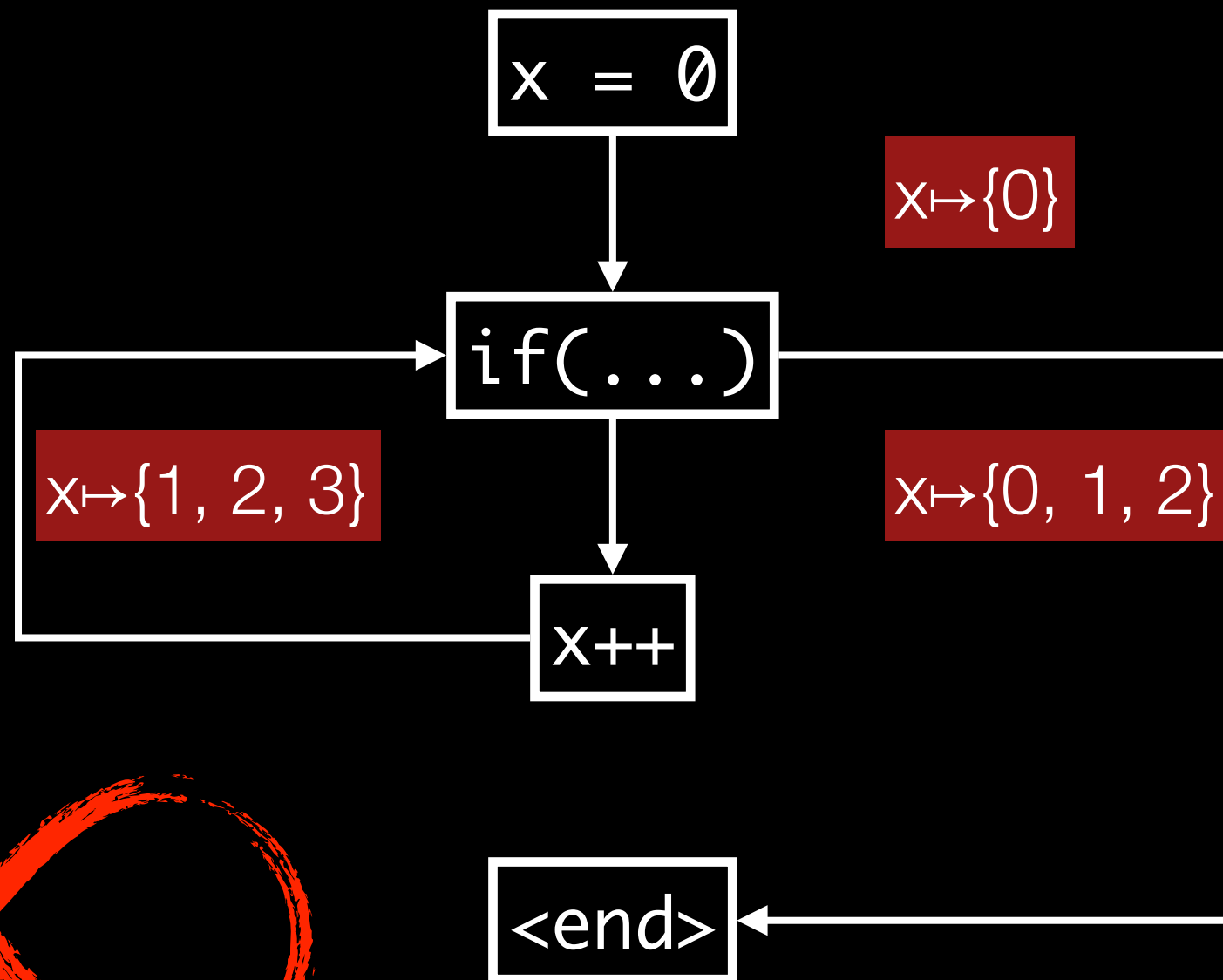
## 2. Analysis Abstraction

Why do we need a lattice?



## 2. Analysis Abstraction

Why do we need a lattice?

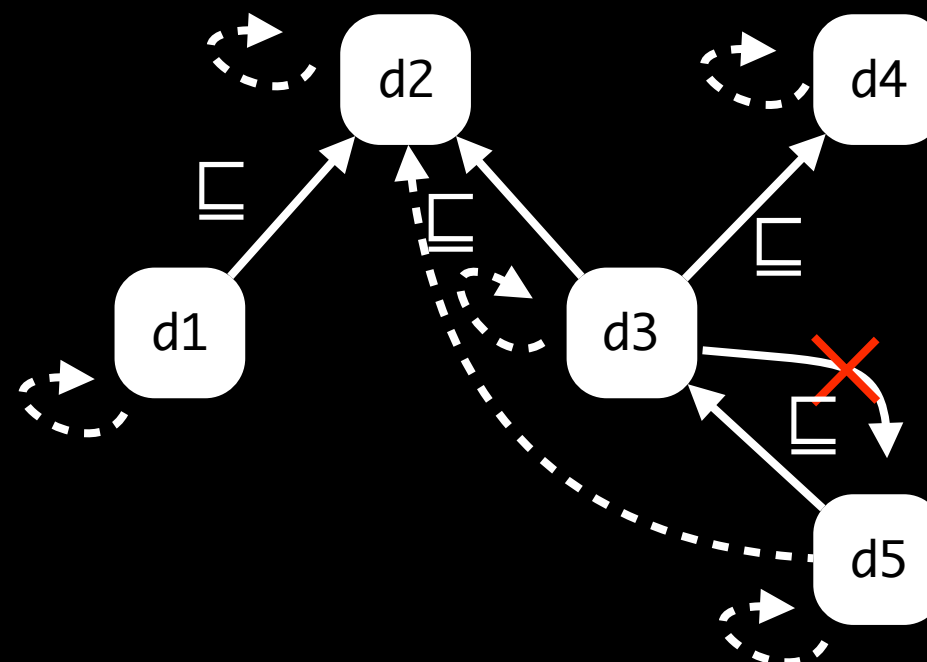


## 2. Analysis Abstraction

### Partially-Ordered Set (poset)

- If  $U$  is a set and  $\sqsubseteq$  is a binary relation on  $U$ , then the system  $(U, \sqsubseteq)$  is a poset if:
  - $\forall x \in U : x \sqsubseteq x$  ( $\sqsubseteq$  is reflexive)
  - $\forall x, y, z \in U : (x \sqsubseteq y \wedge y \sqsubseteq z) \implies x \sqsubseteq z$  ( $\sqsubseteq$  is transitive)
  - $\forall x, y, z \in U : (x \sqsubseteq y \wedge y \sqsubseteq x) \implies x == y$  ( $\sqsubseteq$  is anti-symmetric)

$x \sqsubseteq y$  means:  
 $y$  is a **safe approximation** of  $x$ , or **at least as sound as**  $x$



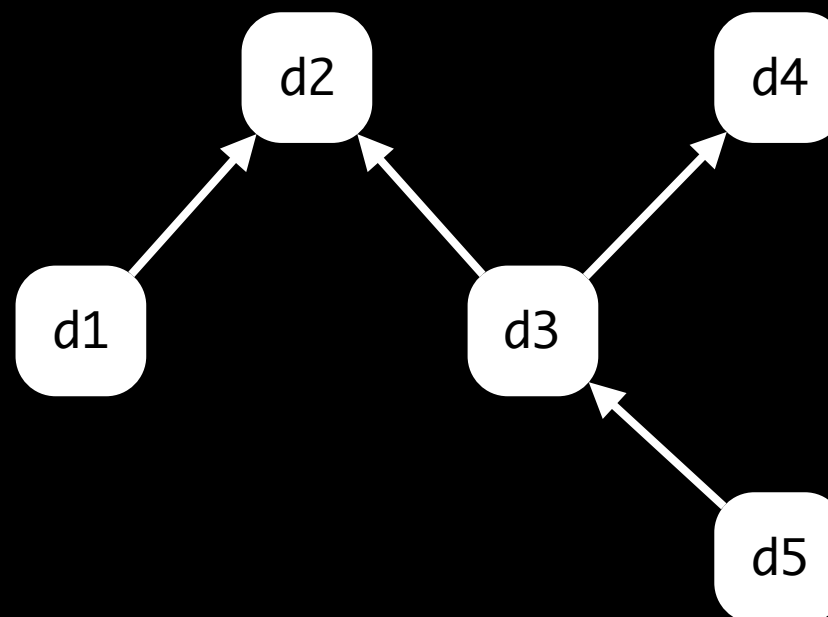
## 2. Analysis Abstraction

### Partially-Ordered Set (poset)

- Examples
  - ▶  $\leq$  over natural numbers
  - ▶  $\subseteq$  over finite sets

## 2. Analysis Abstraction Upper Bound

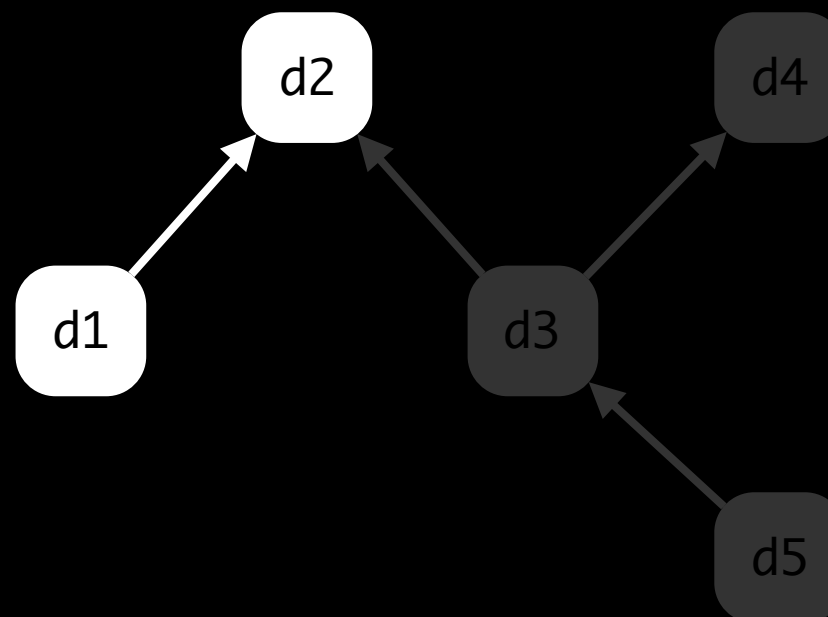
- If  $(U, \sqsubseteq)$  is a poset and  $x, y, z \in U$ , then  $z$  is an upper bound of  $x$  and  $y$  if  $x \sqsubseteq z \wedge y \sqsubseteq z$





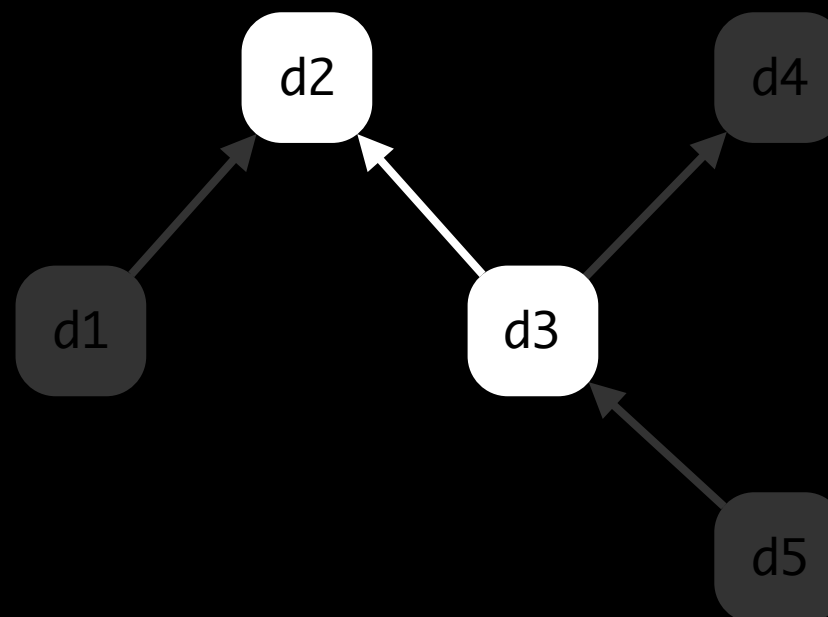
## 2. Analysis Abstraction Upper Bound

- If  $(U, \sqsubseteq)$  is a poset and  $x, y, z \in U$ , then  $z$  is an upper bound of  $x$  and  $y$  if  $x \sqsubseteq z \wedge y \sqsubseteq z$



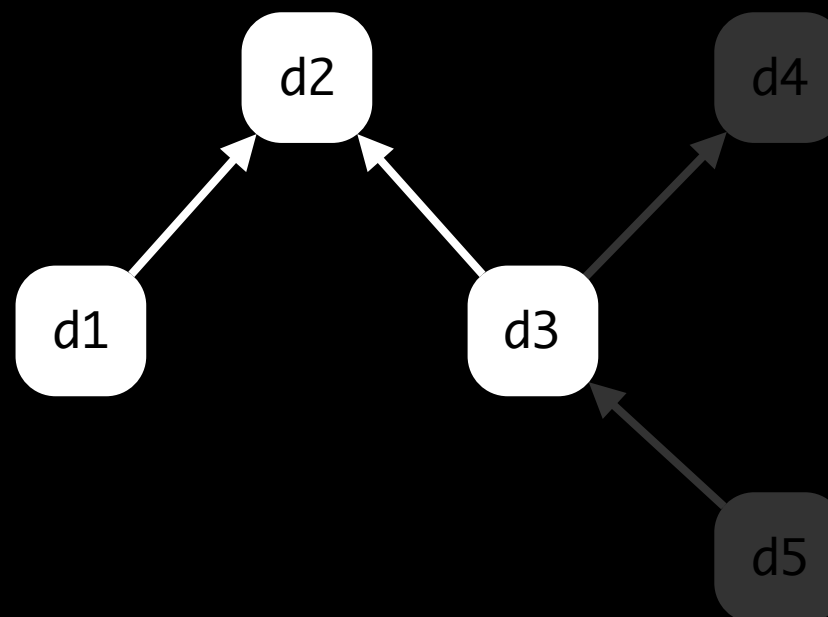
## 2. Analysis Abstraction Upper Bound

- If  $(U, \sqsubseteq)$  is a poset and  $x, y, z \in U$ , then  $z$  is an upper bound of  $x$  and  $y$  if  $x \sqsubseteq z \wedge y \sqsubseteq z$



## 2. Analysis Abstraction Upper Bound

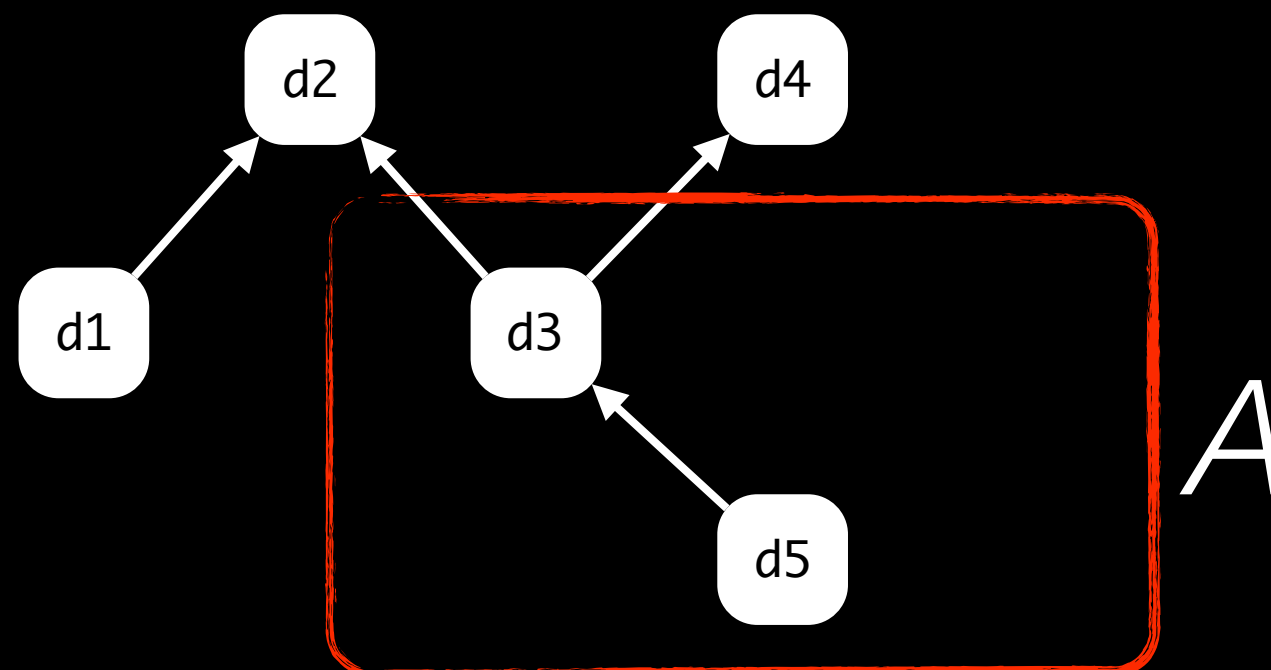
- If  $(U, \sqsubseteq)$  is a poset and  $x, y, z \in U$ , then  $z$  is an upper bound of  $x$  and  $y$  if  $x \sqsubseteq z \wedge y \sqsubseteq z$



## 2. Analysis Abstraction

### Least Upper Bound

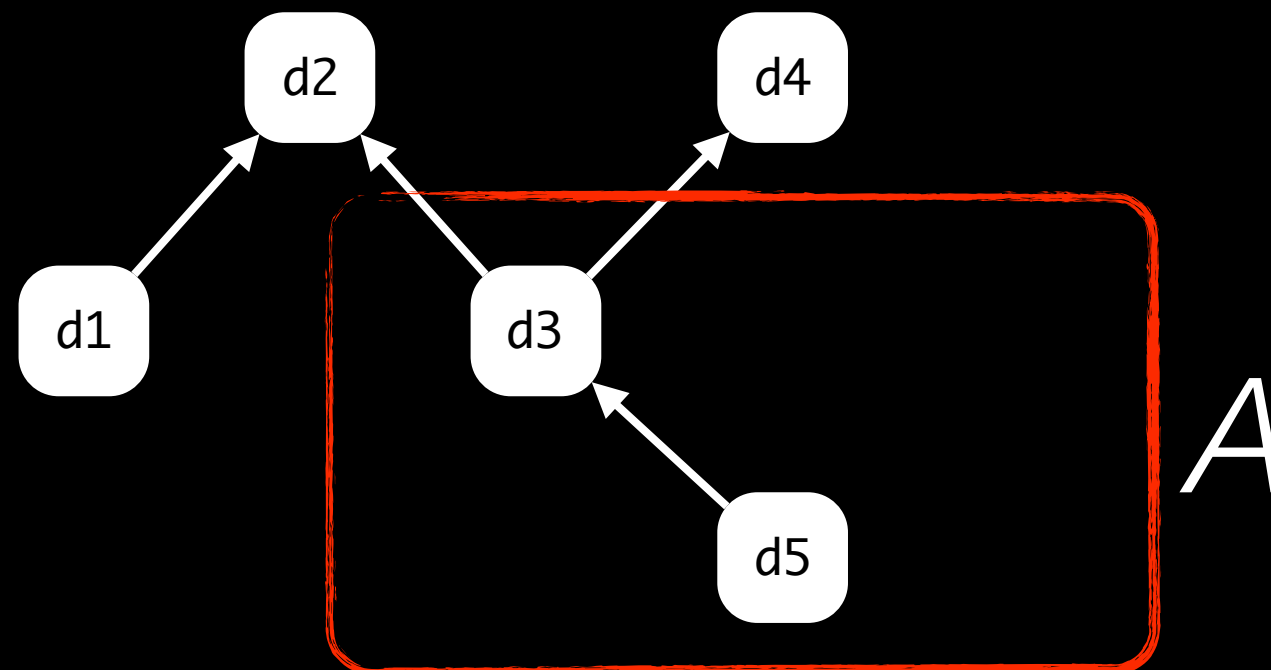
- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a least upper bound of  $A$  if:



## 2. Analysis Abstraction

### Least Upper Bound

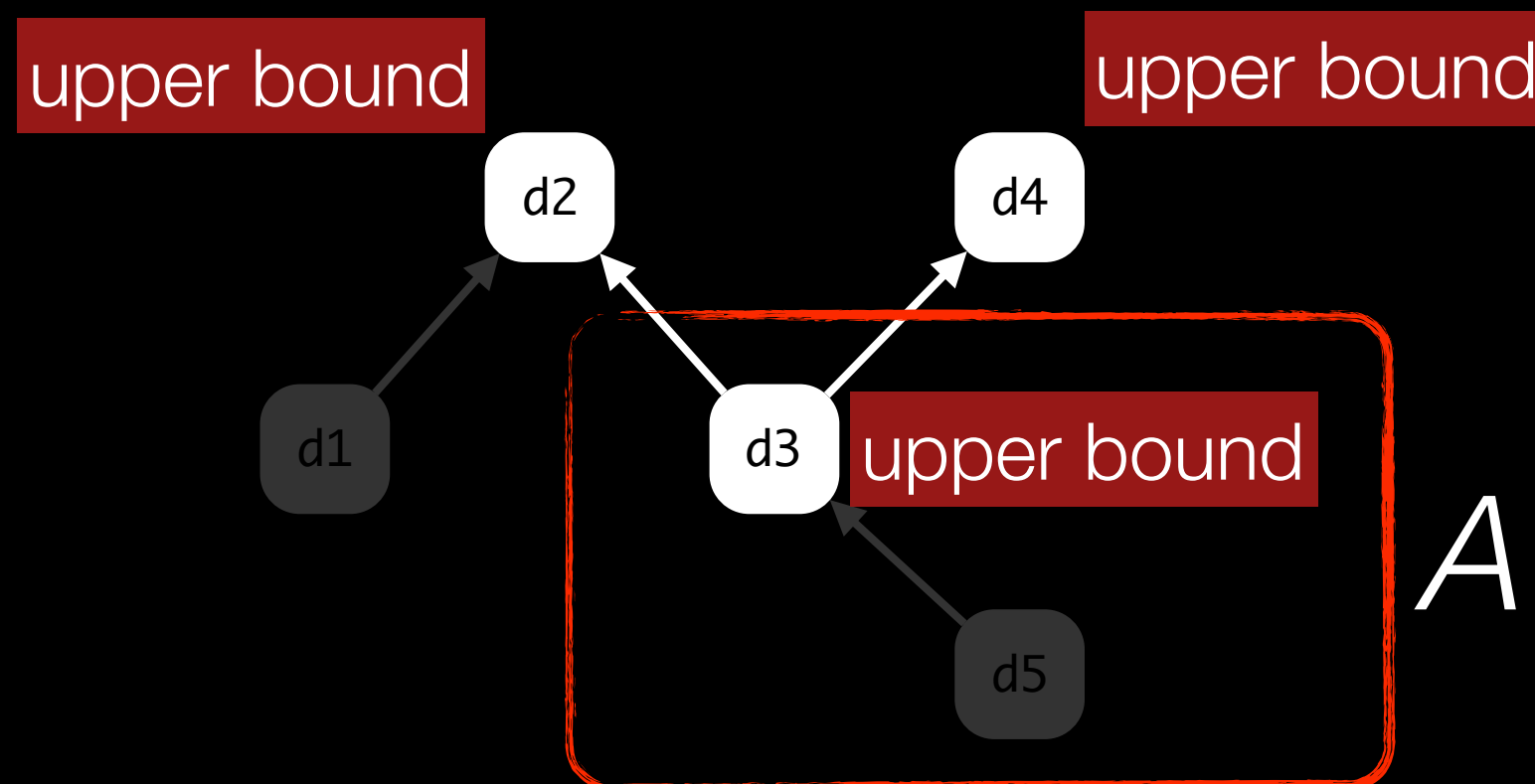
- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a least upper bound of  $A$  if:
  - $\forall x \in A : x \sqsubseteq z$



## 2. Analysis Abstraction

### Least Upper Bound

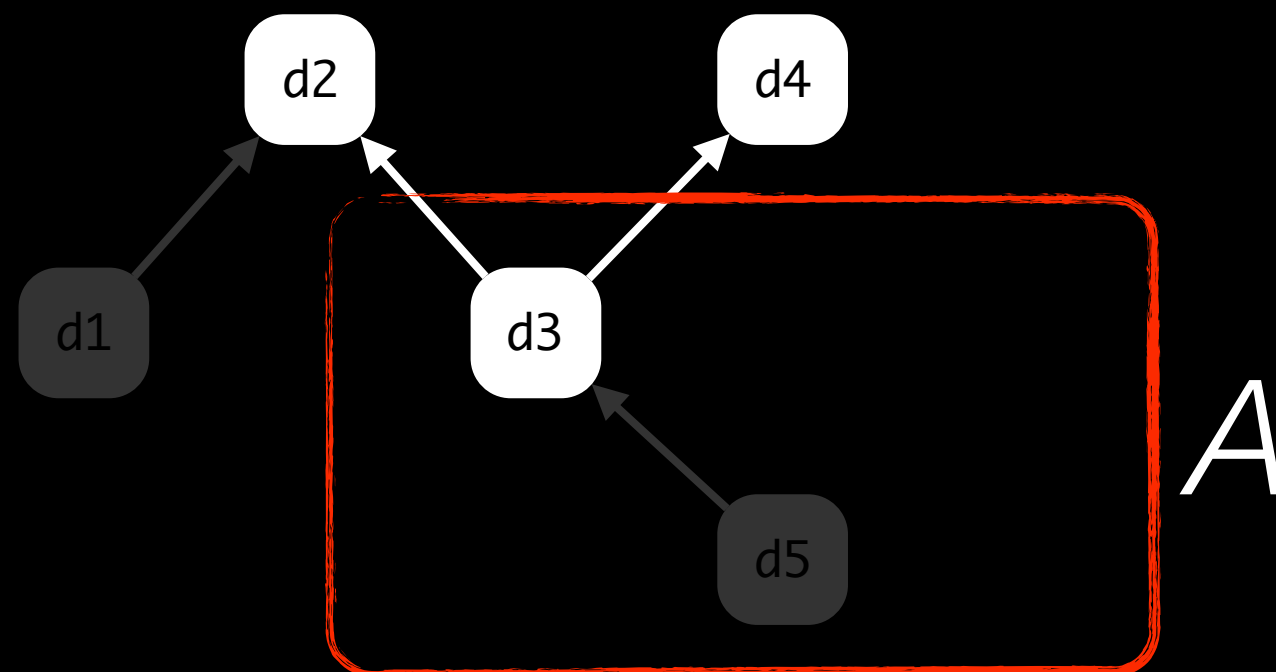
- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a least upper bound of  $A$  if:
  - $\forall x \in A : x \sqsubseteq z$



## 2. Analysis Abstraction

### Least Upper Bound

- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a least upper bound of  $A$  if:
  - $\forall x \in A : x \sqsubseteq z$
  - $\forall y \in U : (\forall x \in A : x \sqsubseteq y) \implies z \sqsubseteq y$

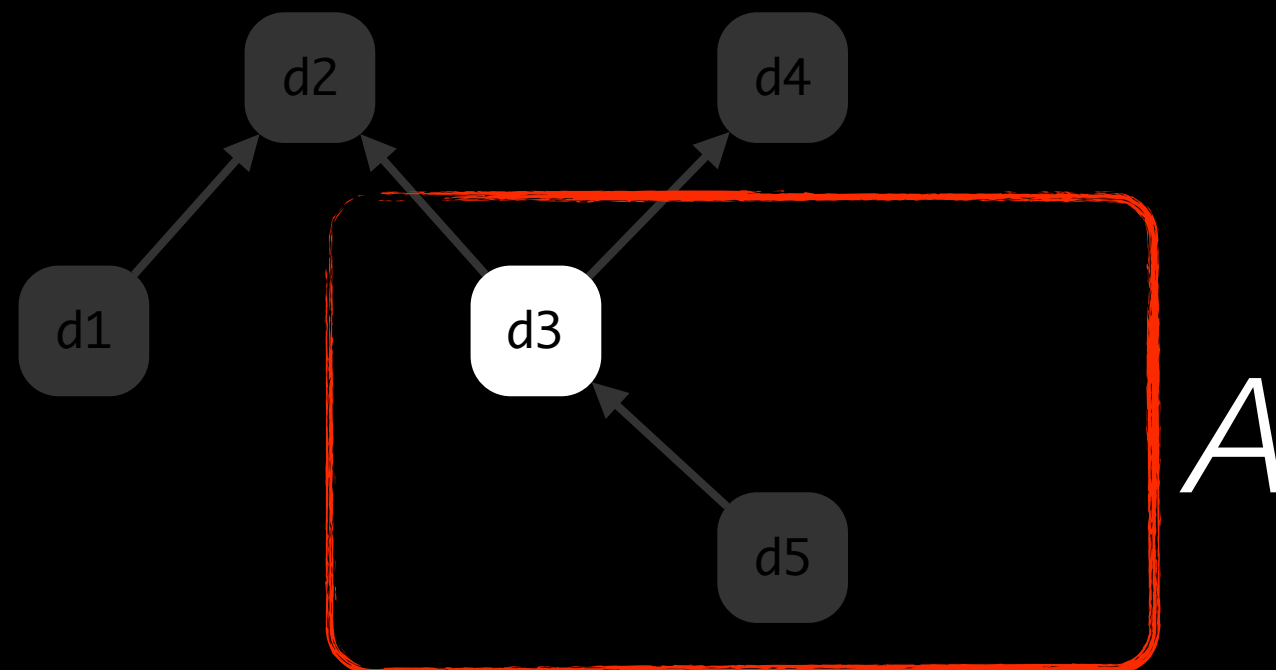


## 2. Analysis Abstraction

### Least Upper Bound

- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a least upper bound of  $A$  if:
  - $\forall x \in A : x \sqsubseteq z$
  - $\forall y \in U : (\forall x \in A : x \sqsubseteq y) \implies z \sqsubseteq y$

$$z = \sqcup A$$



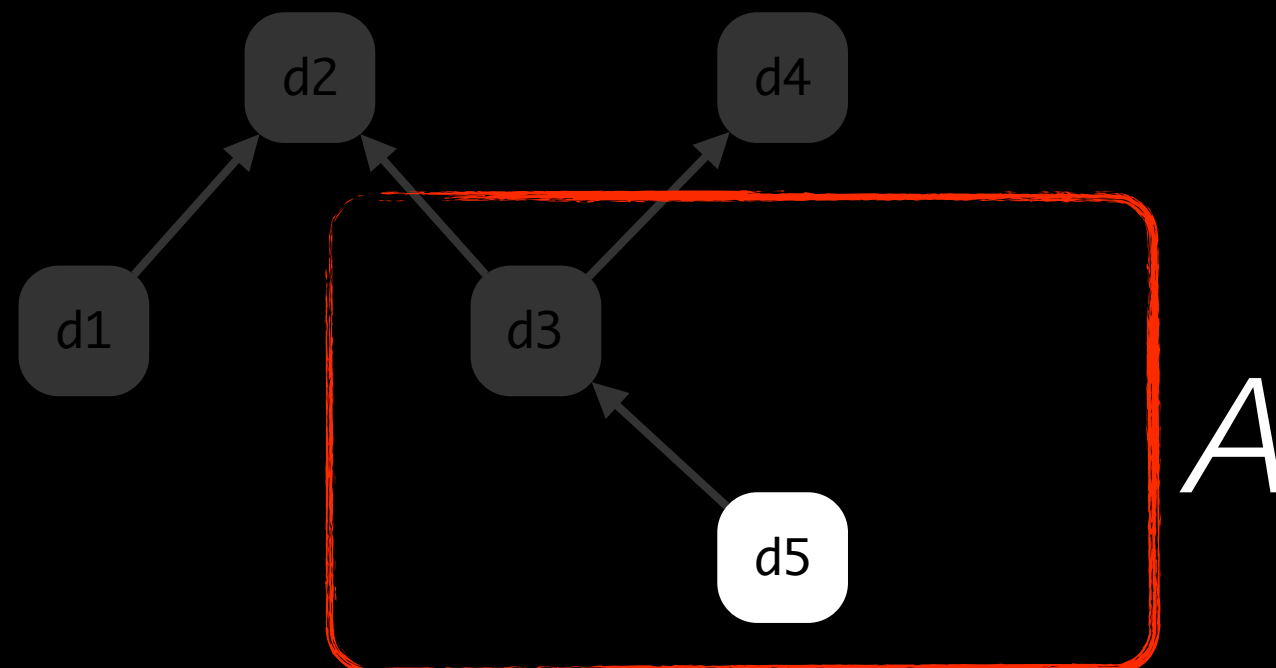


## 2. Analysis Abstraction

### Greatest Lower Bound

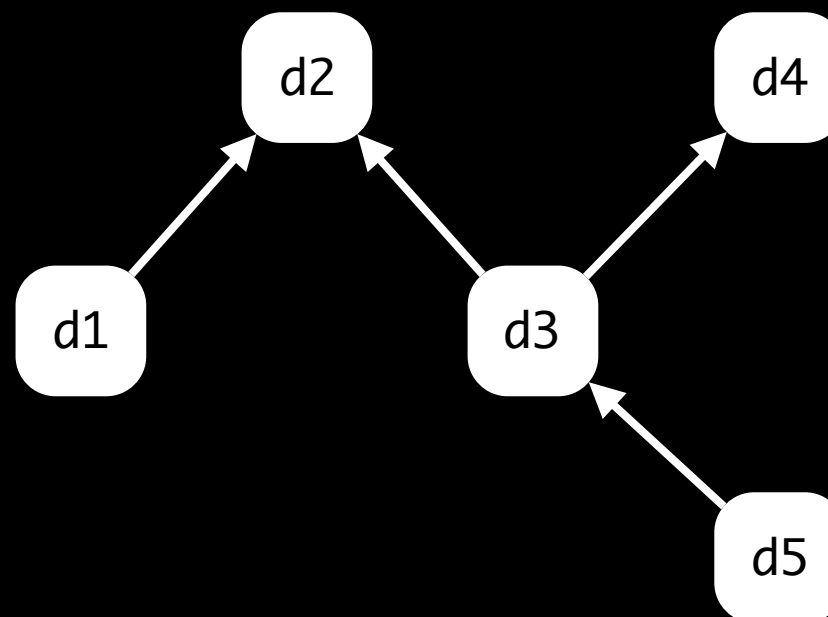
- If  $(U, \sqsubseteq)$  is a poset and  $A \subseteq U$ , then  $z$  is a greatest lower bound of  $A$  if:
  - $\forall x \in A : z \sqsubseteq x$
  - $\forall y \in U : (\forall x \in A : y \sqsubseteq x) \implies y \sqsubseteq z$

$$z = \sqcap A$$



## 2. Analysis Abstraction Lattice

- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a lattice if  $\forall x, y \in U$ :
  - ▶  $\exists z \in U : z = x \sqcup y$  (Least Upper Bound) join
  - ▶  $\exists z \in U : z = x \sqcap y$  (Greatest Lower Bound)



meet

## 2. Analysis Abstraction

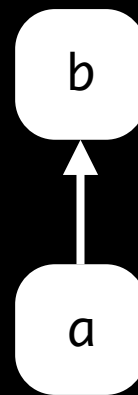
Is that a lattice?

a

yes

## 2. Analysis Abstraction

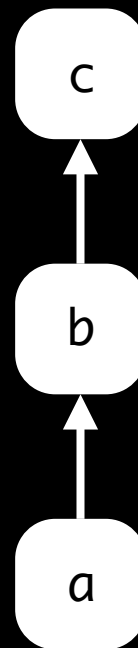
Is that a lattice?



yes

## 2. Analysis Abstraction

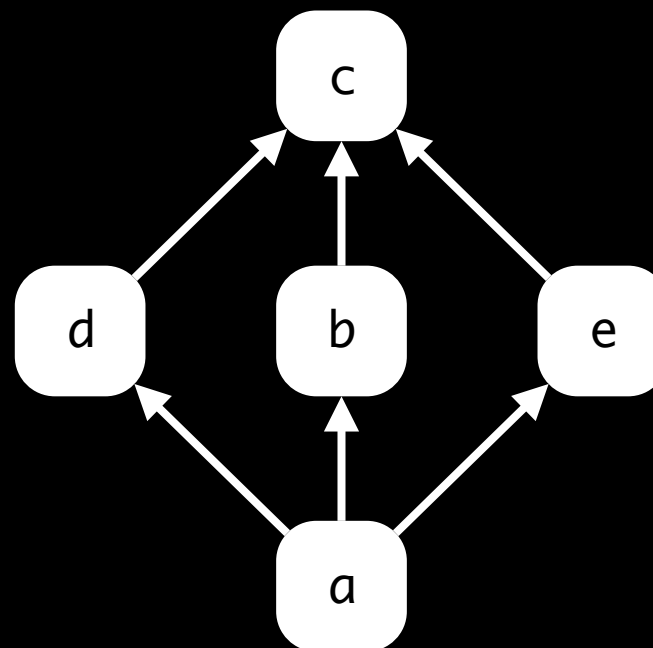
Is that a lattice?



yes

## 2. Analysis Abstraction

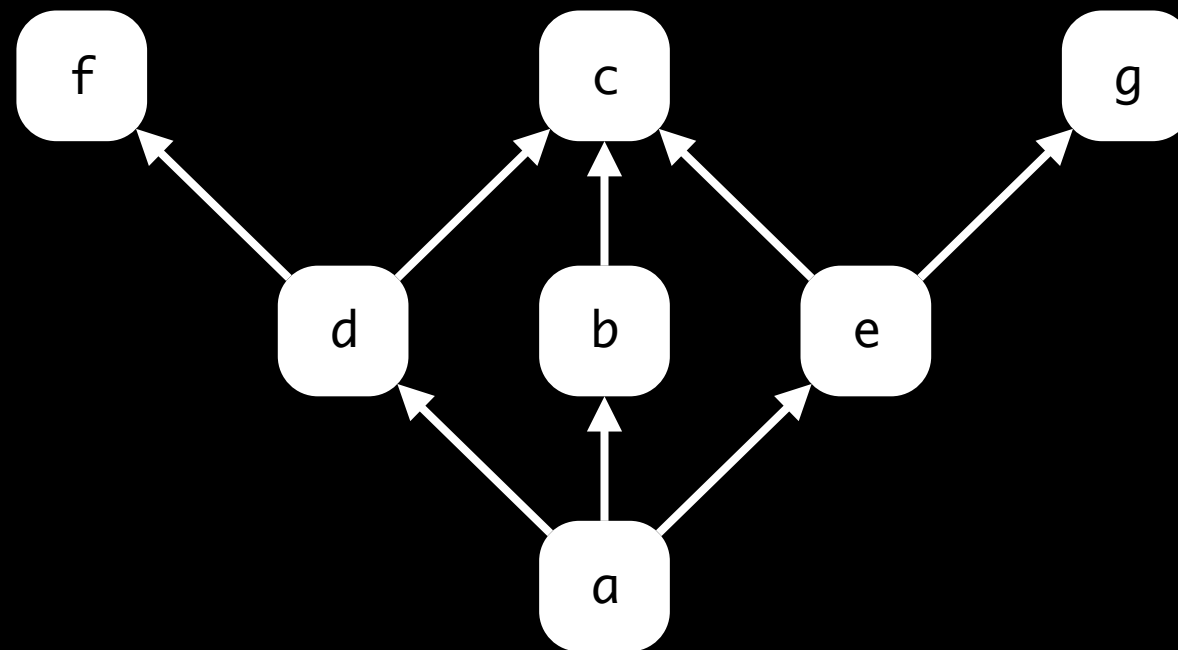
Is that a lattice?



yes

## 2. Analysis Abstraction

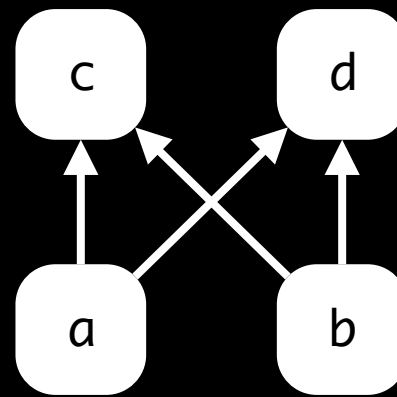
Is that a lattice?



no

## 2. Analysis Abstraction

Is that a lattice?

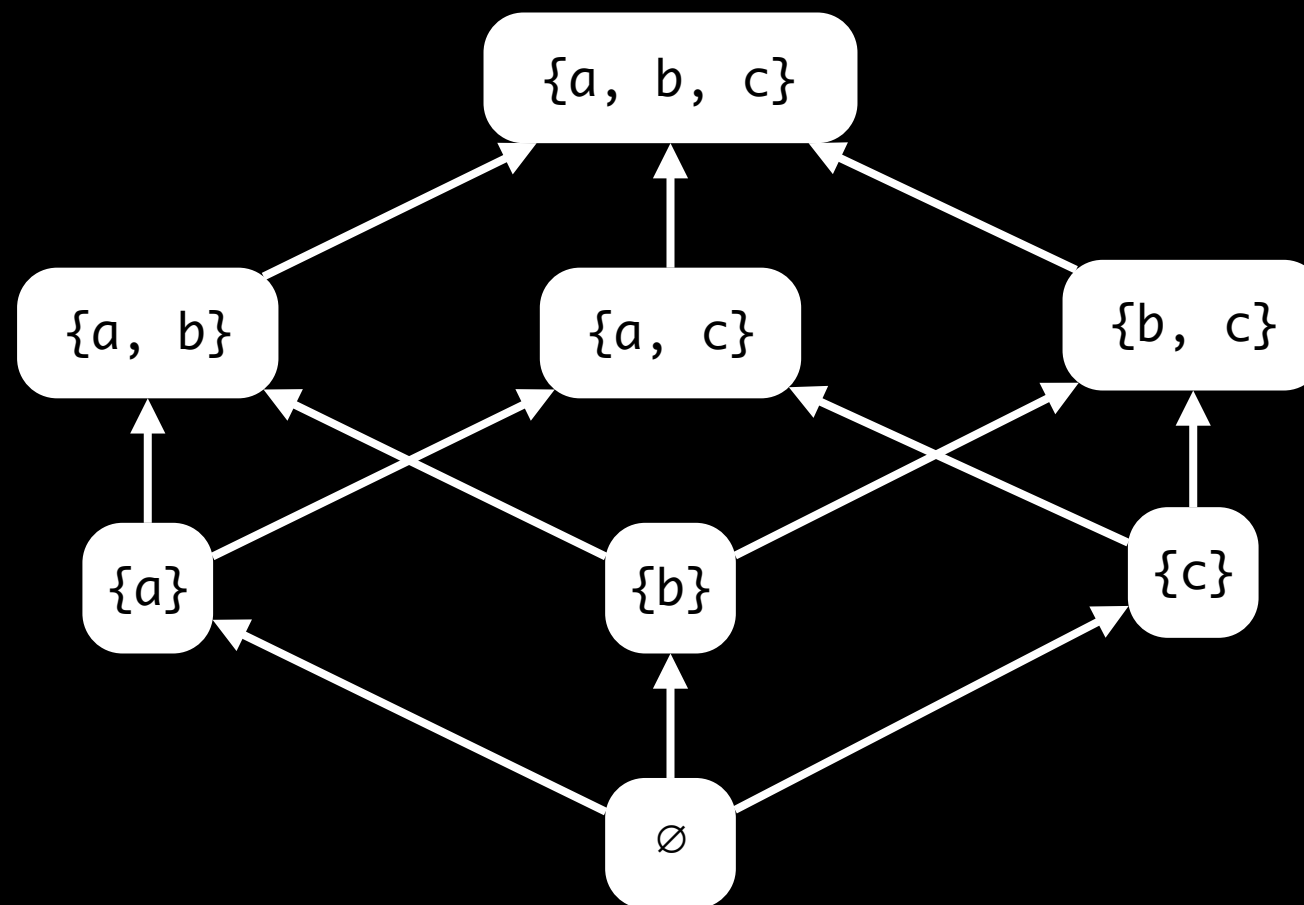


no



## 2. Analysis Abstraction

Is that a lattice?

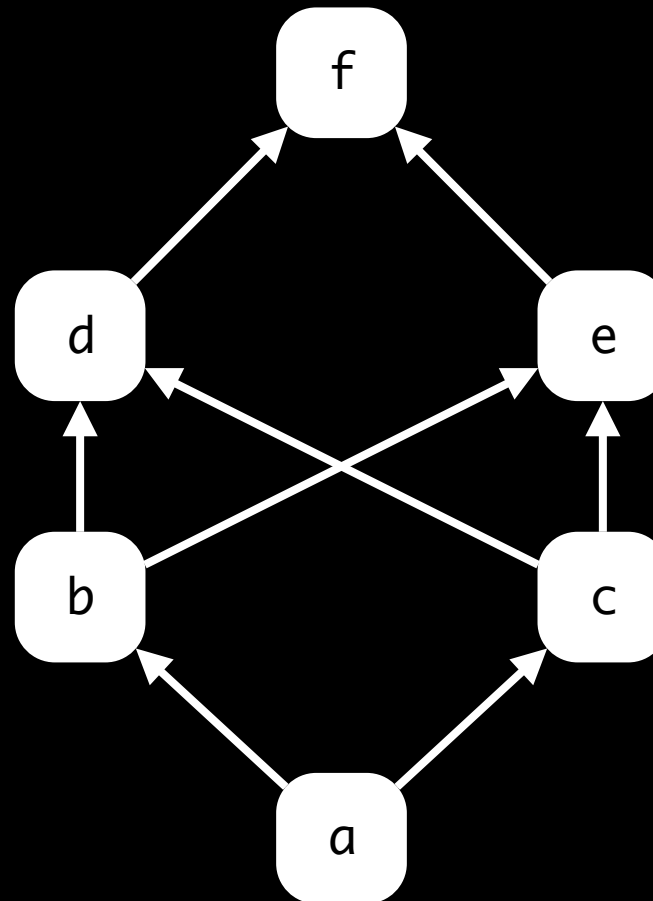


yes

## 2. Analysis Abstraction

Is that a lattice?

Hasse Diagram

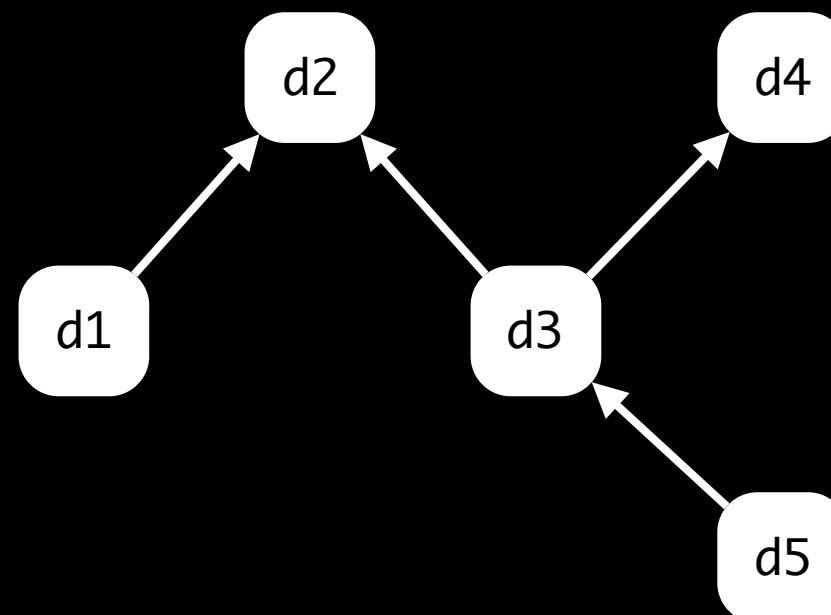


no

## 2. Analysis Abstraction

### Complete Lattice

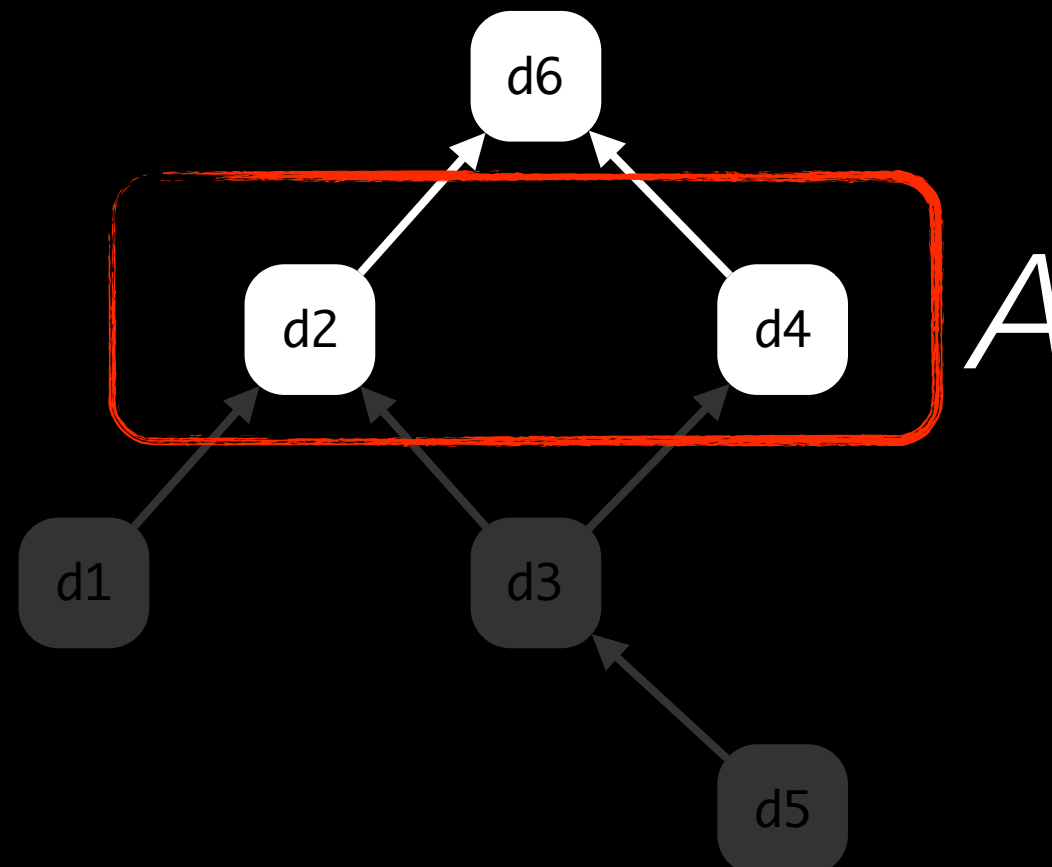
- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a complete lattice if  $\forall A \subseteq U$ :
  - $\exists z \in U : z = \sqcup A$  (Least Upper Bound)



## 2. Analysis Abstraction

### Complete Lattice

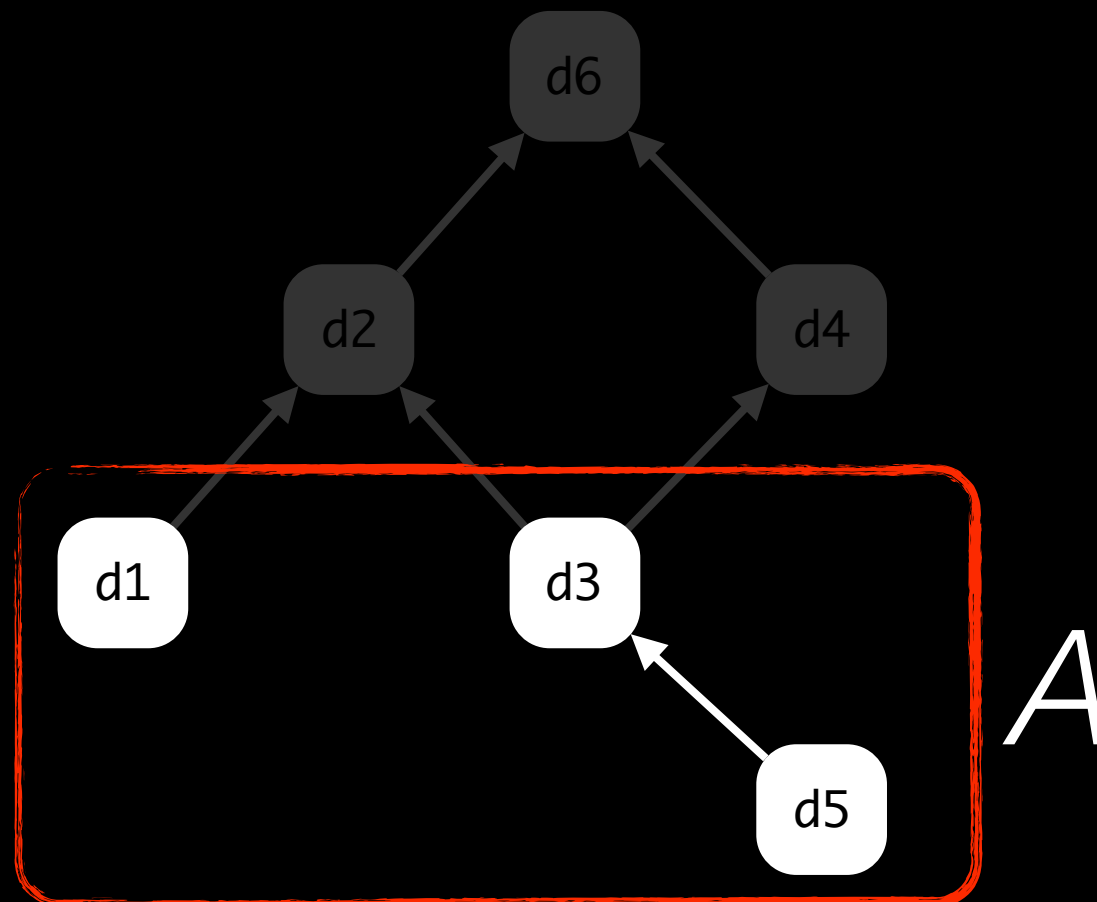
- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a complete lattice if  $\forall A \subseteq U$ :
  - $\exists z \in U : z = \sqcup A$  (Least Upper Bound)



## 2. Analysis Abstraction

### Complete Lattice

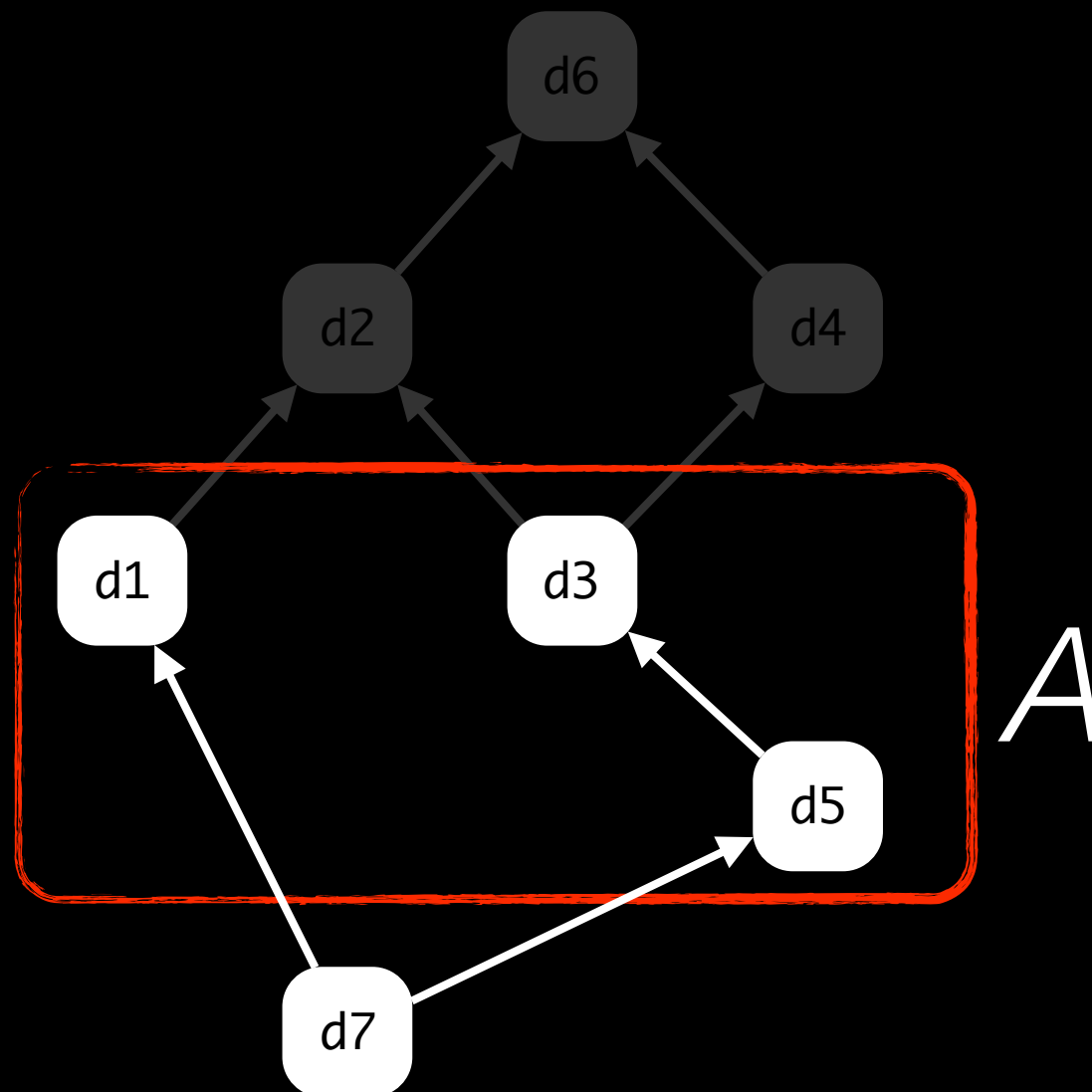
- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a complete lattice if  $\forall A \subseteq U$ :
  - $\exists z \in U : z = \sqcup A$  (Least Upper Bound)
  - $\exists z \in U : z = \sqcap A$  (Greatest Lower Bound)



## 2. Analysis Abstraction

### Complete Lattice

- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a complete lattice if  $\forall A \subseteq U$ :
  - $\exists z \in U : z = \sqcup A$  (Least Upper Bound)
  - $\exists z \in U : z = \sqcap A$  (Greatest Lower Bound)

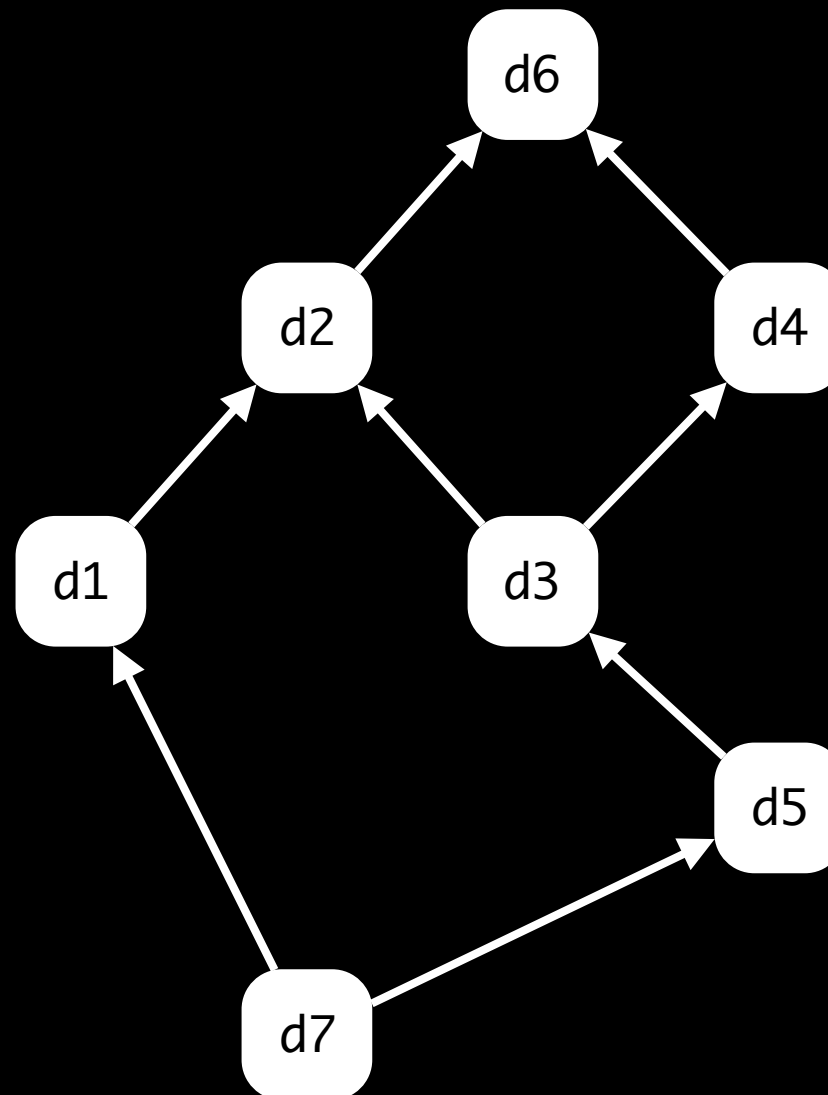


## 2. Analysis Abstraction

### Complete Lattice

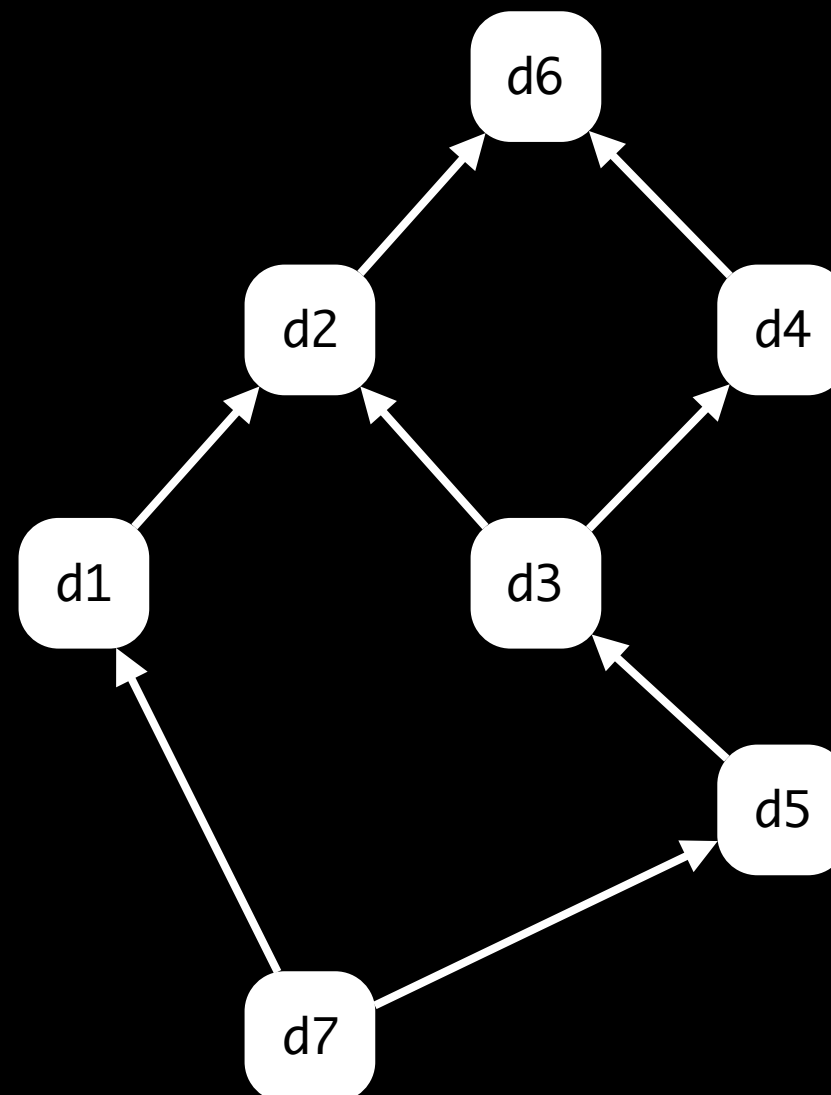
- If  $(U, \sqsubseteq)$  is a poset where  $U \neq \emptyset$ , then  $(U, \sqsubseteq)$  is a complete lattice if  $\forall A \subseteq U$ :
  - $\exists z \in U : z = \sqcup A$  (Least Upper Bound)
  - $\exists z \in U : z = \sqcap A$  (Greatest Lower Bound)

$(U, \sqsubseteq)$  is a  
complete  
lattice



## 2. Analysis Abstraction Bounded Lattice

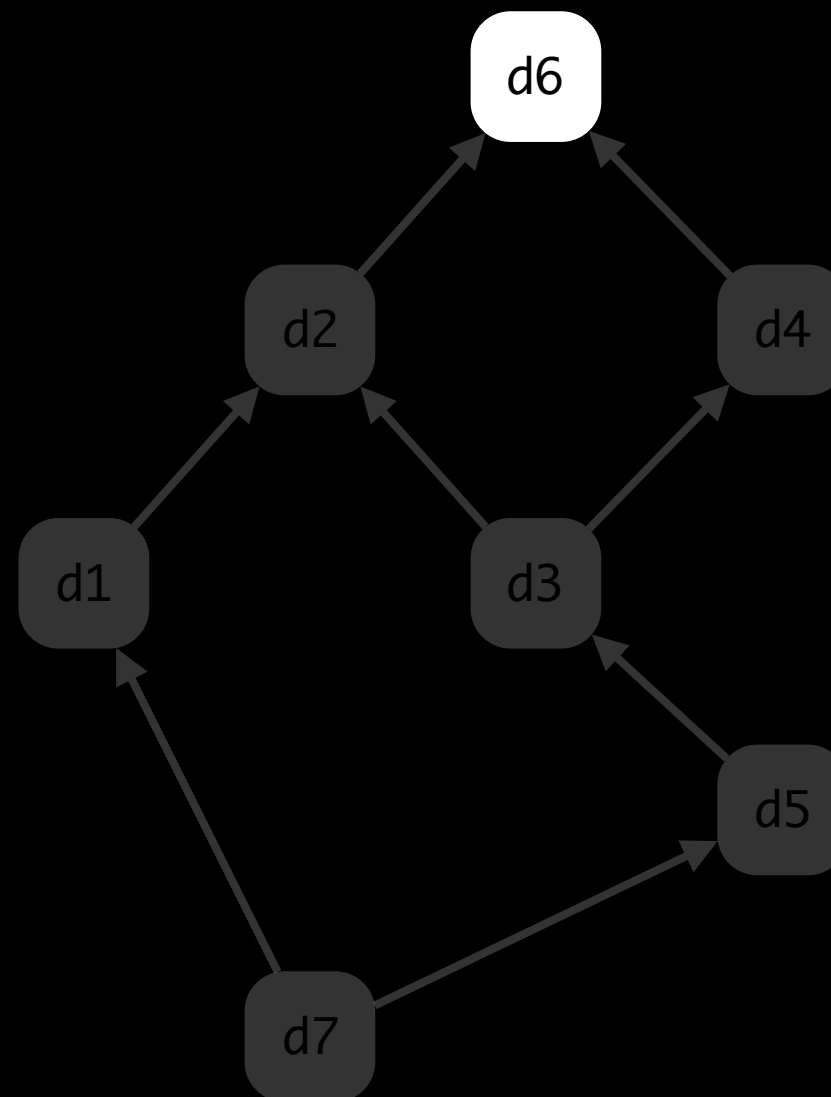
- If  $(U, \sqsubseteq)$  is a complete lattice, then  $(U, \sqsubseteq)$  is bounded if:





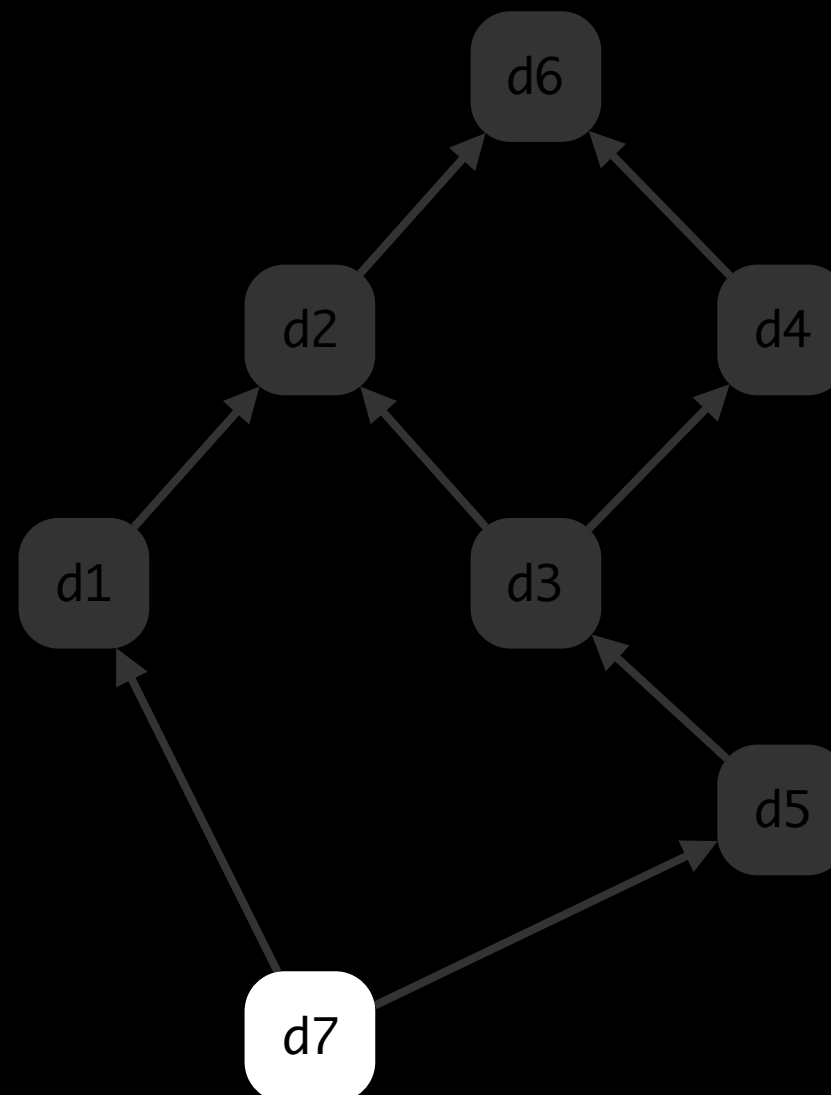
## 2. Analysis Abstraction Bounded Lattice

- If  $(U, \sqsubseteq)$  is a complete lattice, then  $(U, \sqsubseteq)$  is bounded if:
  - $\exists z \in U : (\forall x \in U : x \sqsubseteq z) = \sqcup U$  (Top or T)



## 2. Analysis Abstraction Bounded Lattice

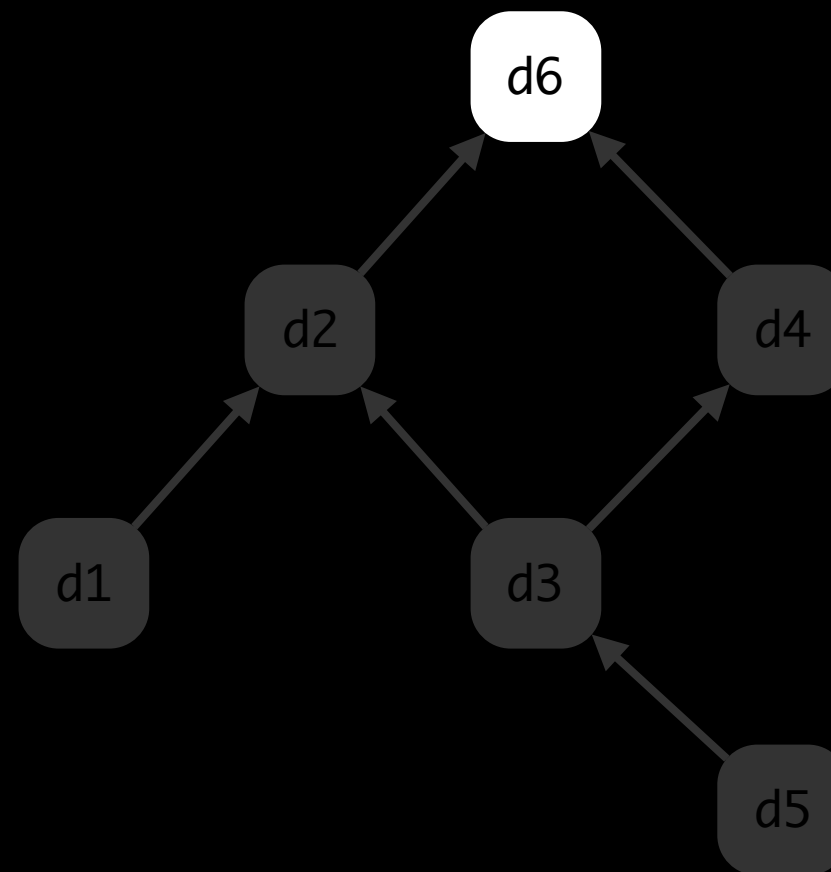
- If  $(U, \sqsubseteq)$  is a complete lattice, then  $(U, \sqsubseteq)$  is bounded if:
  - $\exists z \in U : (\forall x \in U : x \sqsubseteq z) = \sqcup U$  (Top or  $\top$ )
  - $\exists z \in U : z = \sqcap U$  (Bottom or  $\perp$ )



## 2. Analysis Abstraction

### Join Semi-Lattice

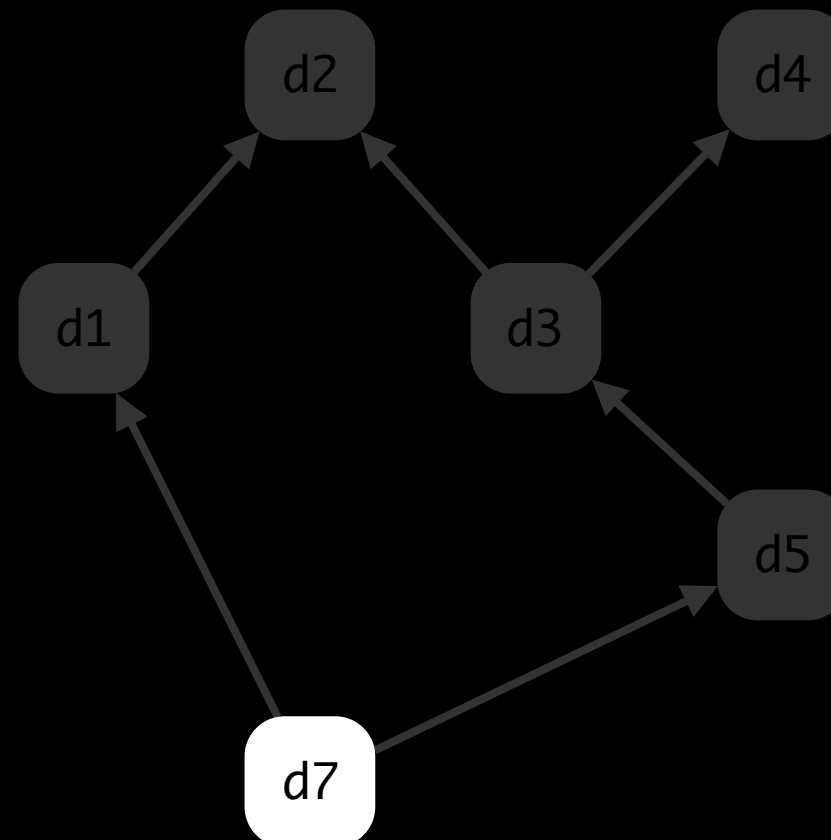
- If  $(U, \sqsubseteq)$  is a complete lattice, then  $(U, \sqsubseteq)$  is a join semi-lattice if:
  - $\exists z \in U : z = \sqcup U$  (Top or  $\top$ )



## 2. Analysis Abstraction

### Meet Semi-Lattice

- If  $(U, \sqsubseteq)$  is a complete lattice, then  $(U, \sqsubseteq)$  is a meet semi-lattice if:
  - $\exists z \in U : z = \sqcap U$  (Bottom or  $\perp$ )



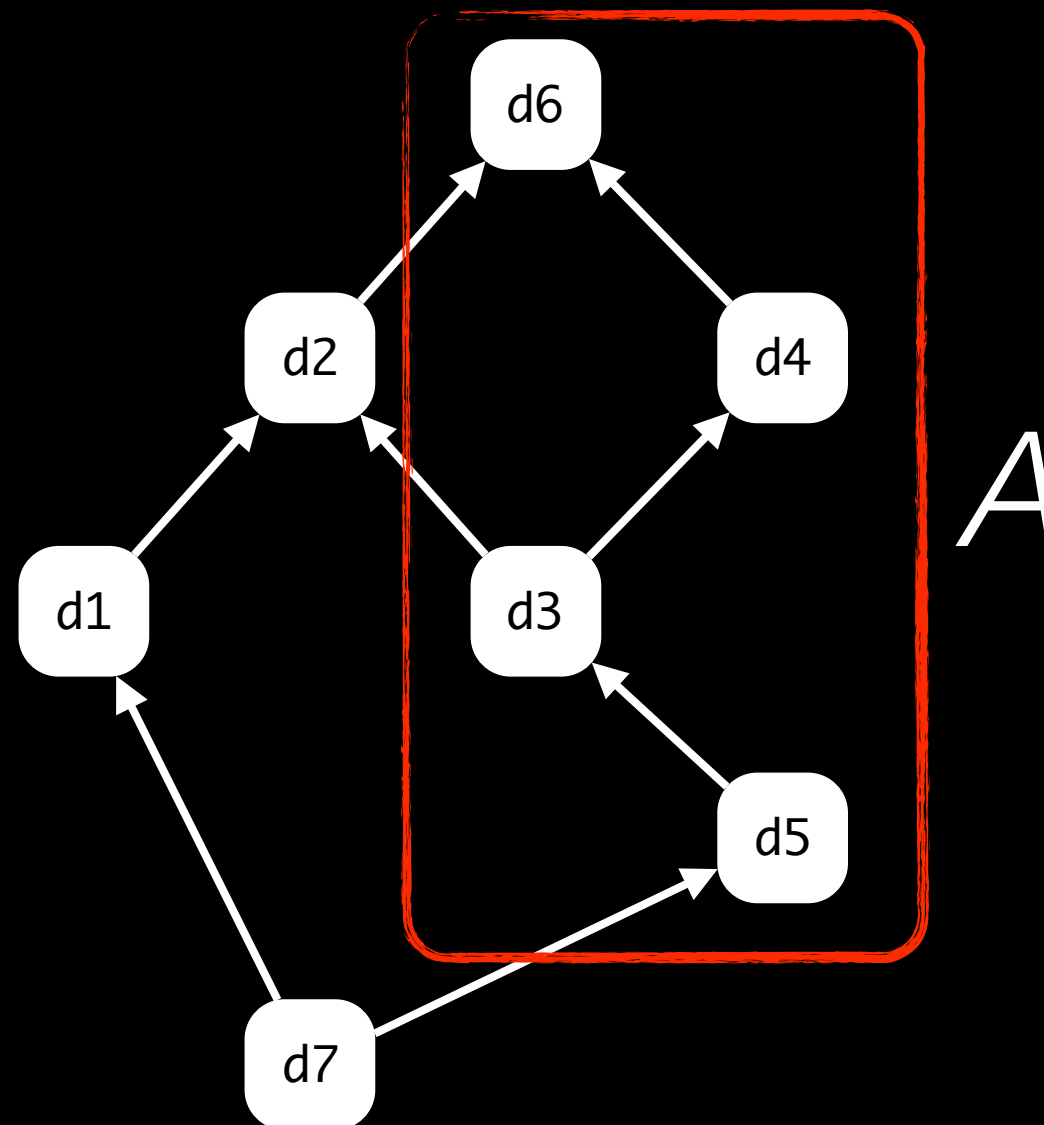
## 2. Analysis Abstraction

### Lattice Questions

- **Q:** Is a complete lattice bounded?
- **Q:** Is a finite lattice complete?

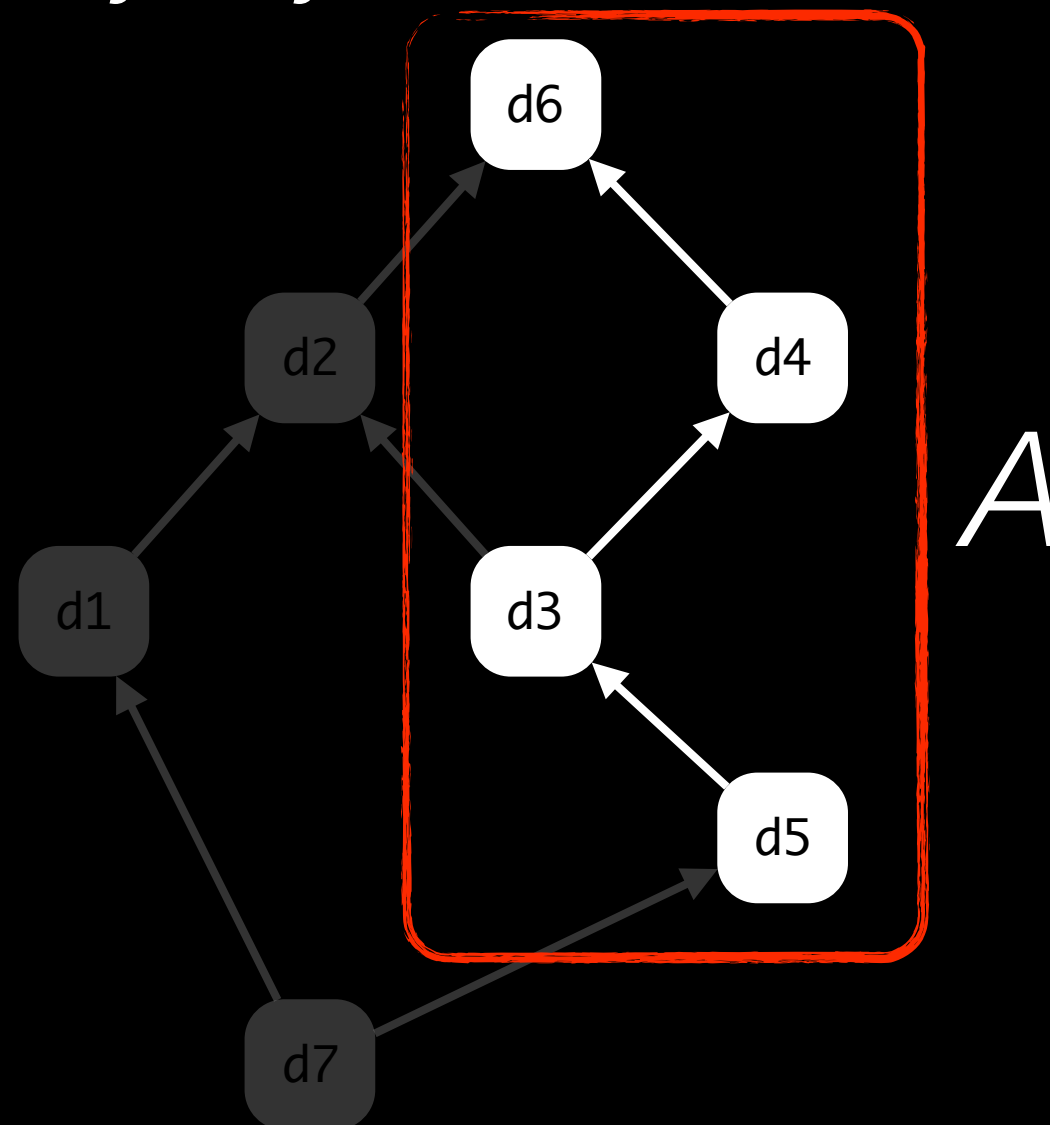
## 2. Analysis Abstraction Lattice Chain

- If  $(U, \sqsubseteq)$  is a lattice, then  $A \subseteq U$  is a chain if:



## 2. Analysis Abstraction Lattice Chain

- If  $(U, \sqsubseteq)$  is a lattice, then  $A \subseteq U$  is a chain if:
  - ▶  $\forall x, y \in A : x \sqsubseteq y \vee y \sqsubseteq x$

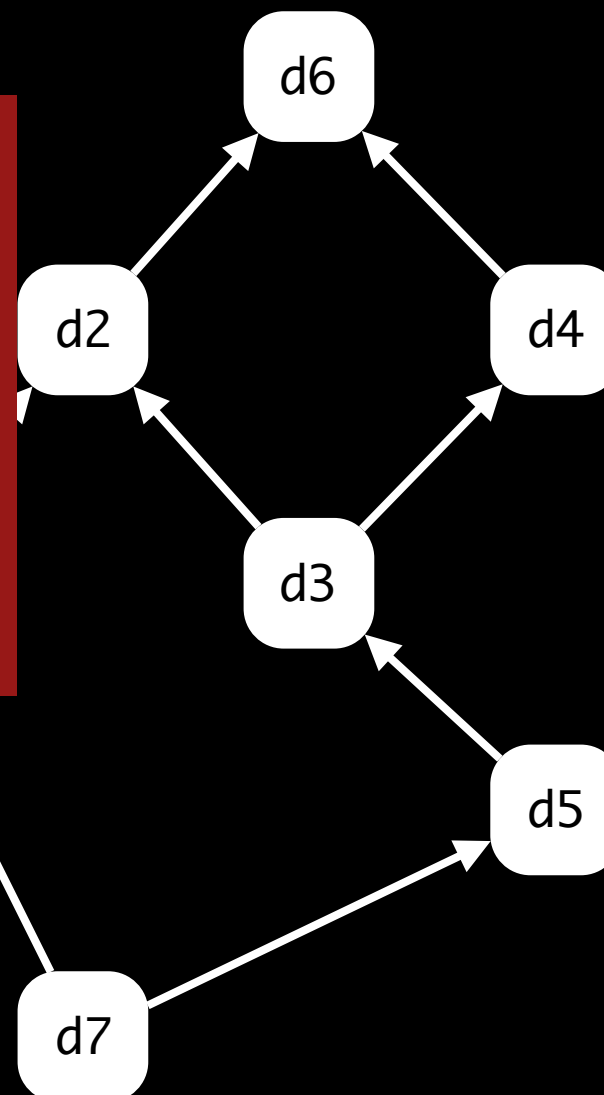


## 2. Analysis Abstraction

### Lattice Height

- If  $(U, \sqsubseteq)$  is a lattice, then the lattice height is the cardinality of the **longest** chain in the lattice

Does the  
lattice have  
a finite height?

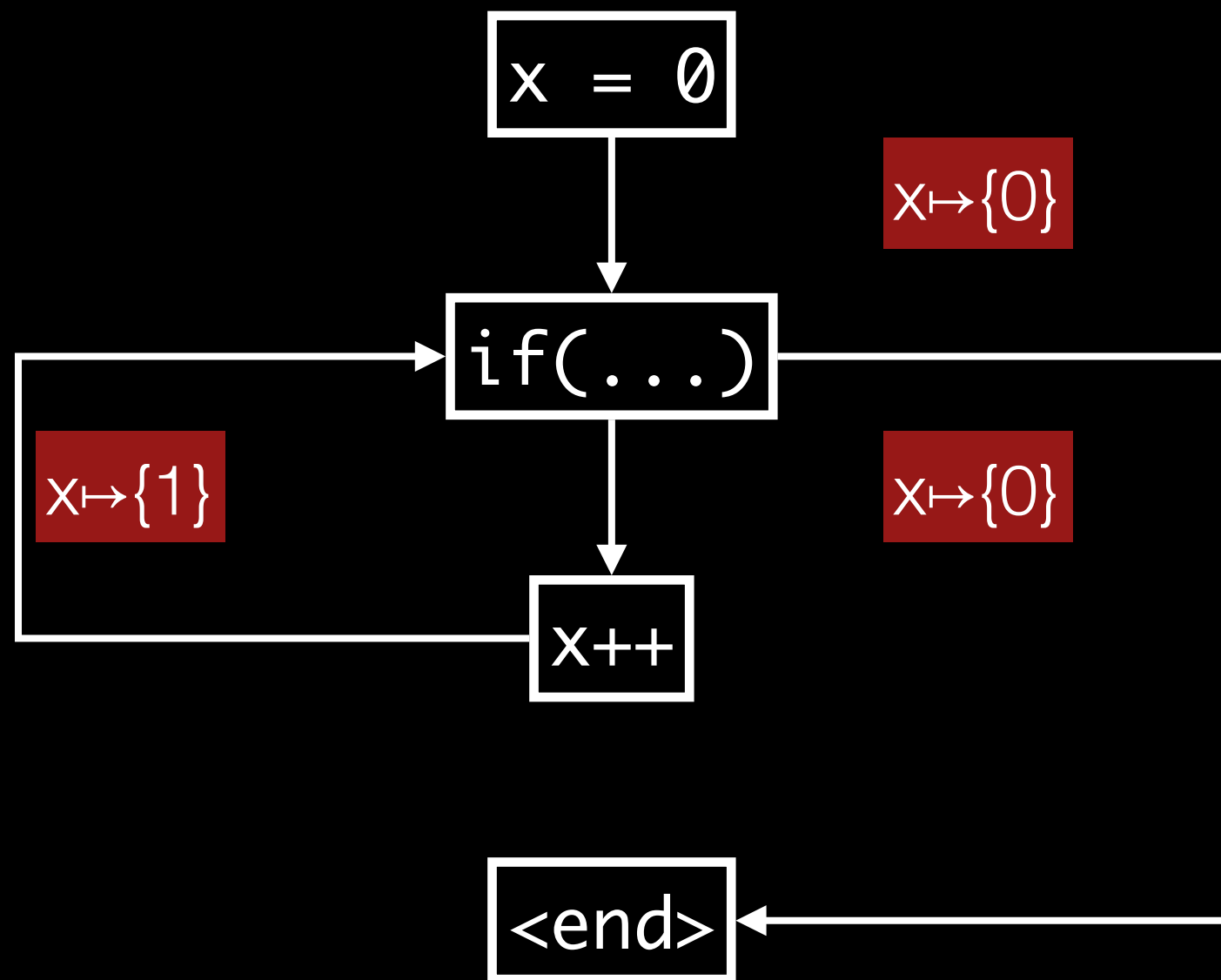




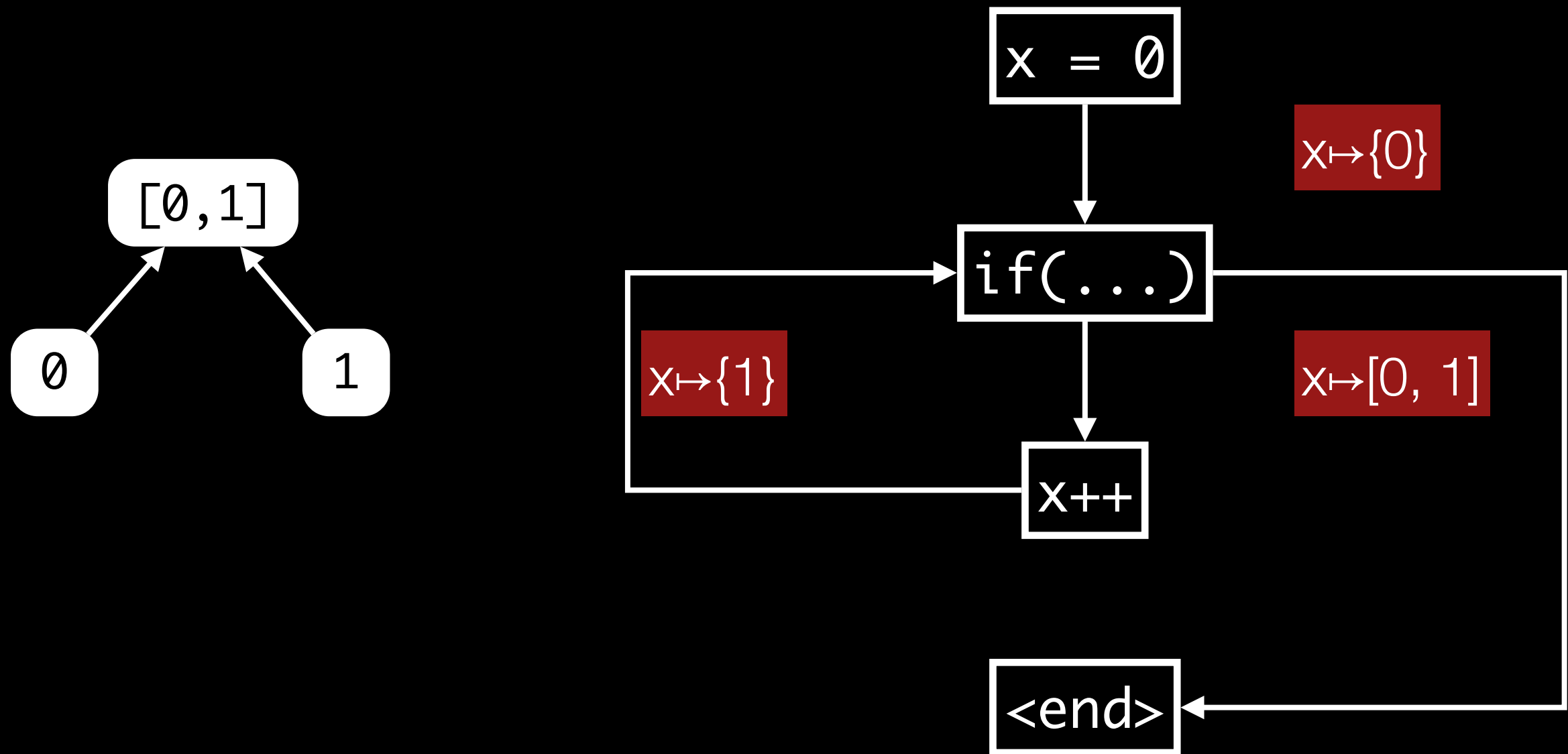
## 2. Analysis Abstraction

... so let's finally use this lattice!

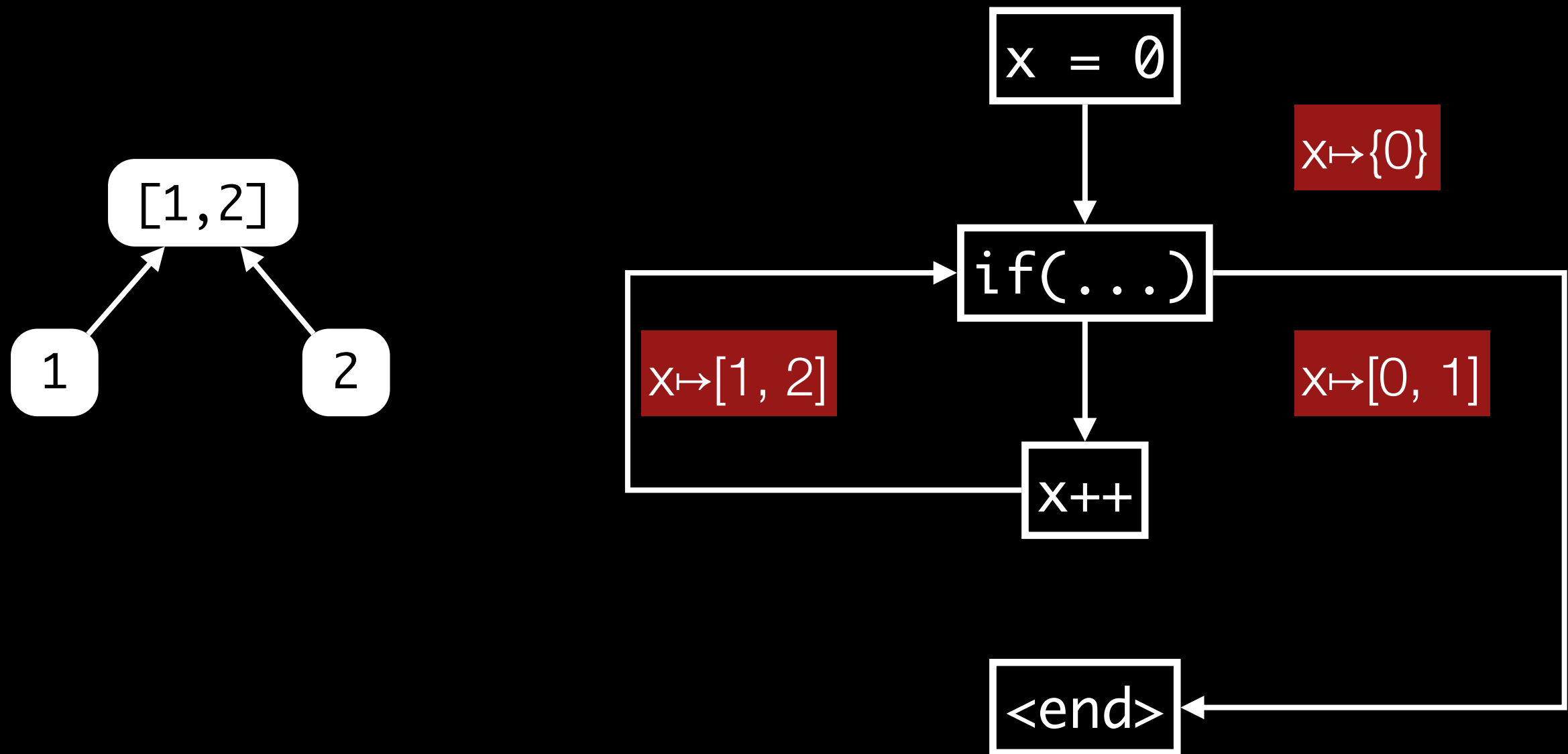
## 2. Analysis Abstraction Lattice Example



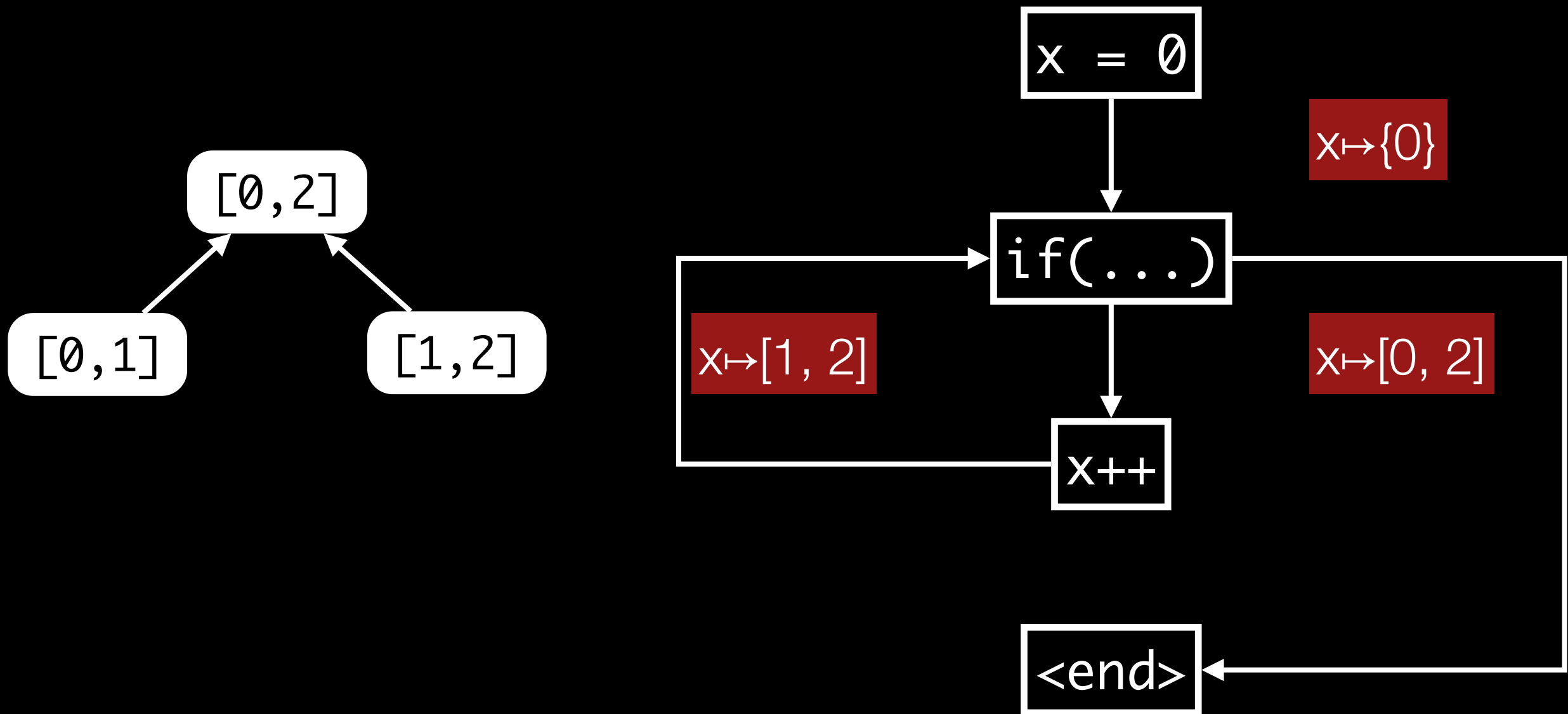
## 2. Analysis Abstraction Lattice Example



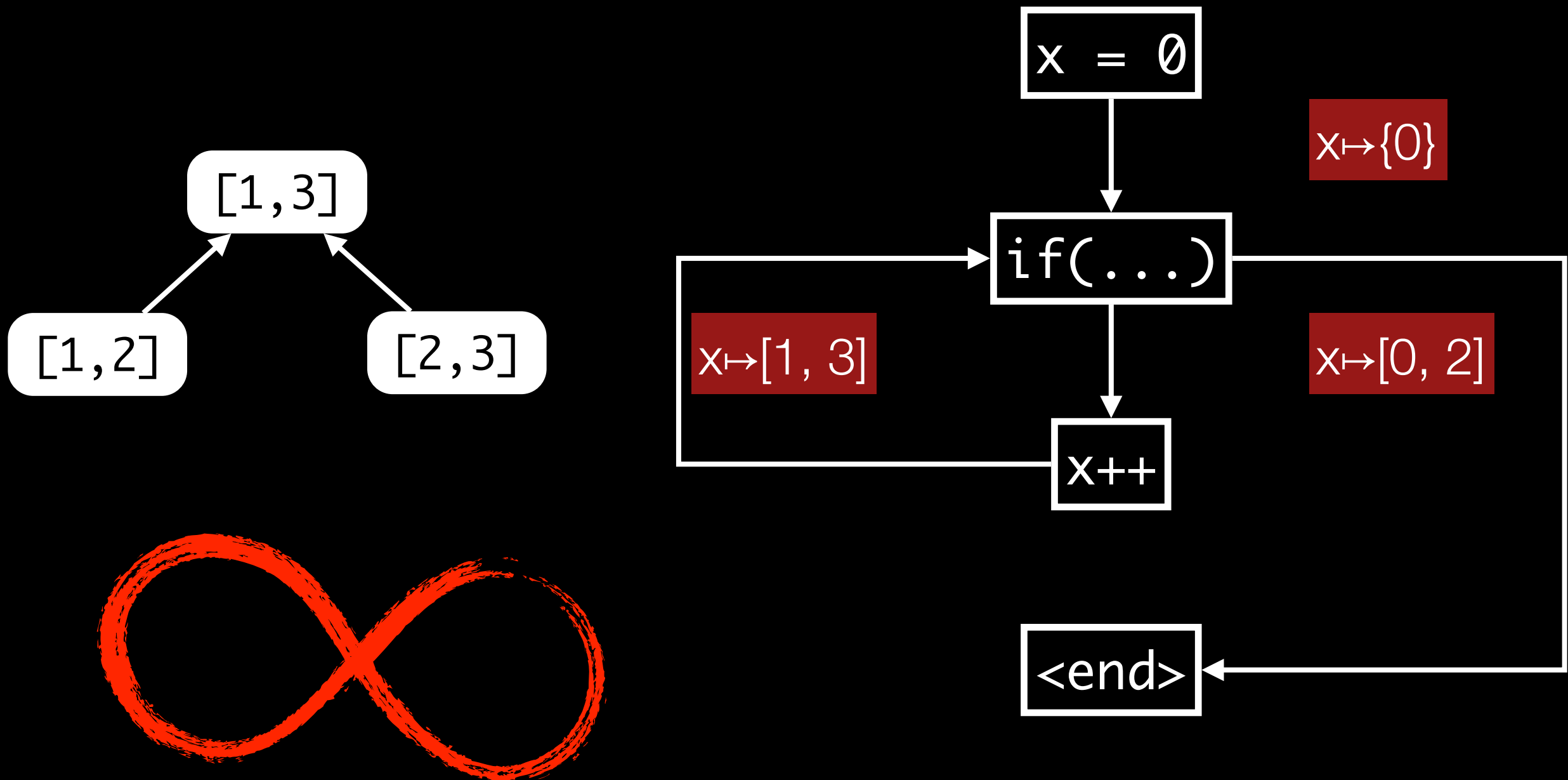
## 2. Analysis Abstraction Lattice Example



## 2. Analysis Abstraction Lattice Example

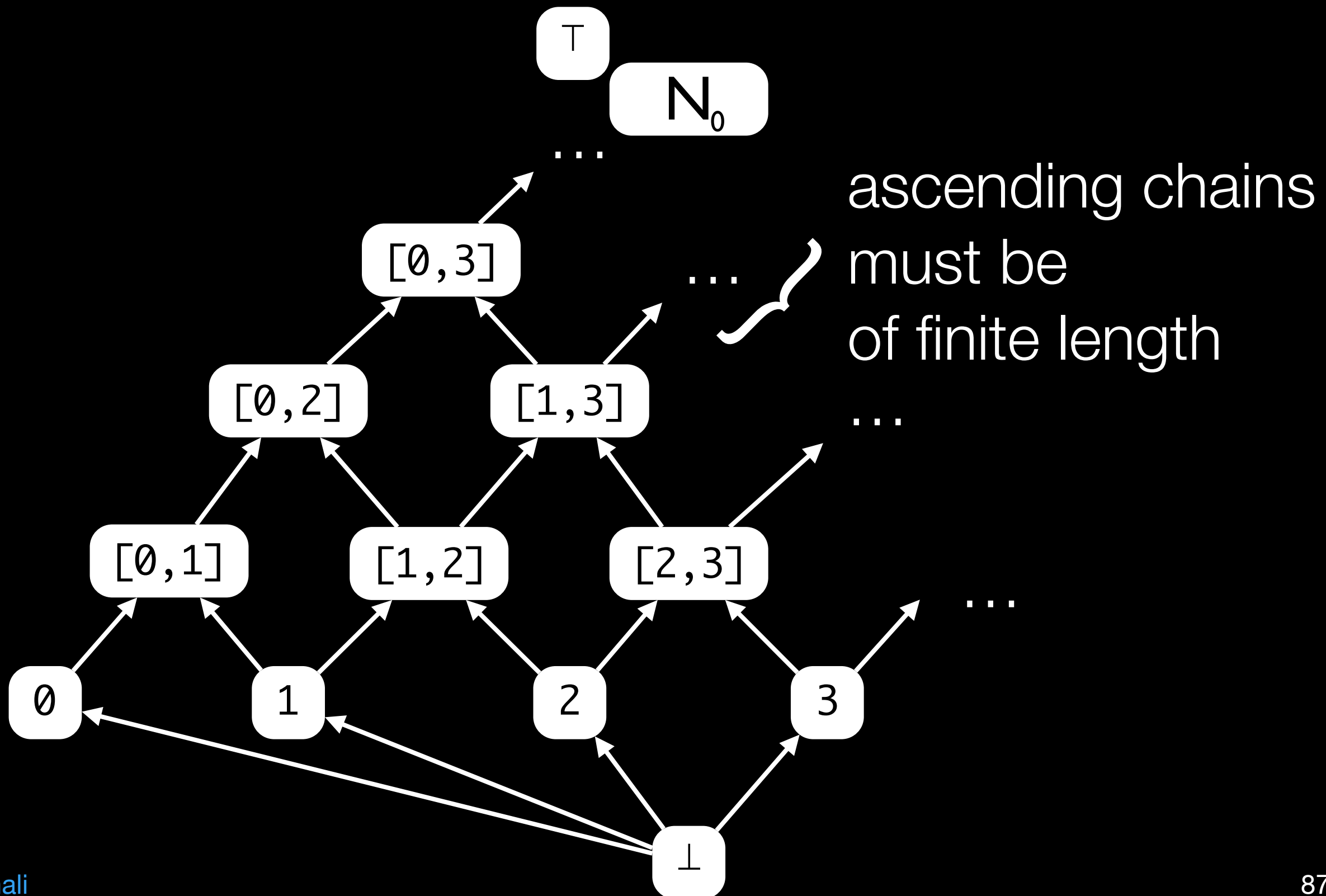


## 2. Analysis Abstraction Lattice Example



## 2. Analysis Abstraction

### Ascending Chain Condition



## 2. Analysis Abstraction

### Ascending Chain Condition

- Lattice may be infinite as long as every ascending chain eventually stabilizes
  - ▶  $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$  , in other words
  - ▶  $\exists n \in \mathbb{N} : d_n = d_{n+1}$



## 2. Analysis Abstraction

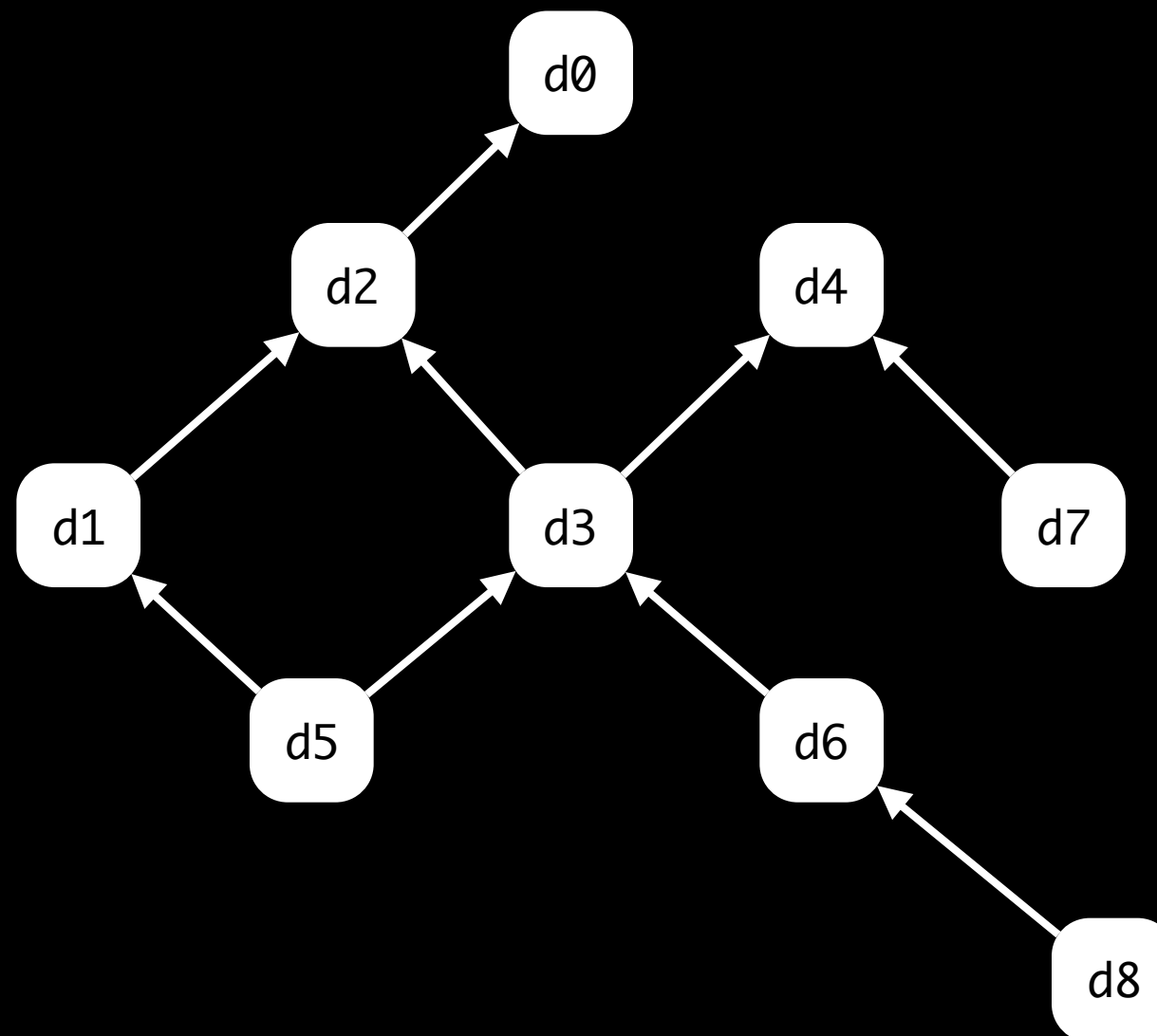
### Types of Lattices

- **Powerset Lattice:** if  $F$  is a lattice, then the powerset  $\mathcal{P}(F)$  with  $\sqsubseteq$  defined as  $\subseteq$  (or as  $\supseteq$ ) is a lattice.
- **Product Lattice:** if  $L_A$  and  $L_B$  are lattices, then their product  $L_A \times L_B$  with  $\sqsubseteq$  defined as  $(a_1, b_1) \sqsubseteq (a_2, b_2)$  if  $a_1 \sqsubseteq a_2$  and  $b_1 \sqsubseteq b_2$  is also a lattice.
- **Map Lattice:** if  $F$  is a set and  $L$  is a lattice, then the set of maps  $F \rightarrow L$  with  $\sqsubseteq$  defined as  $m_1 \sqsubseteq m_2$  if  $\forall f \in F m_1(f) \sqsubseteq m_2(f)$  is also a lattice.

# 3. Flow Functions

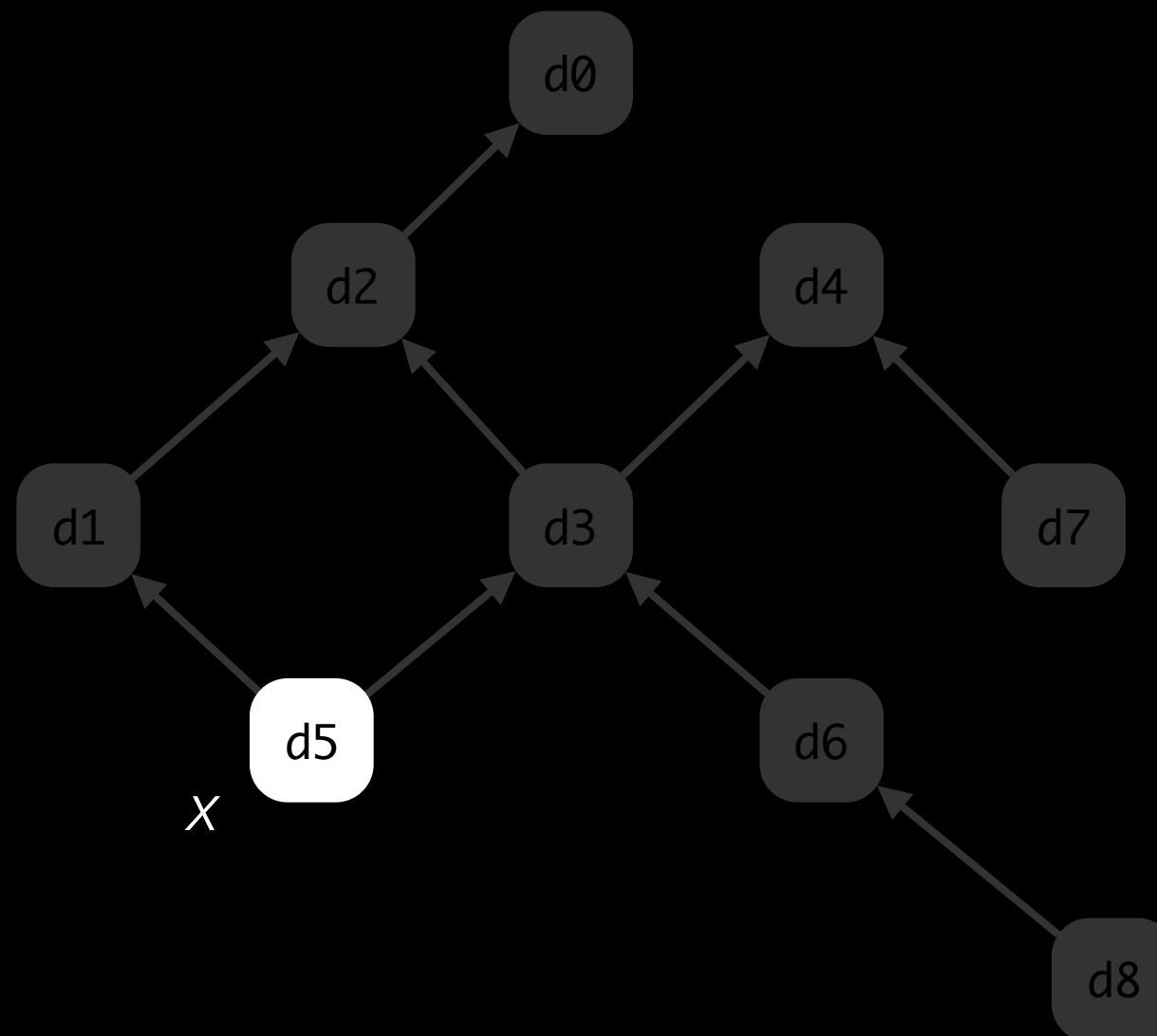
### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$



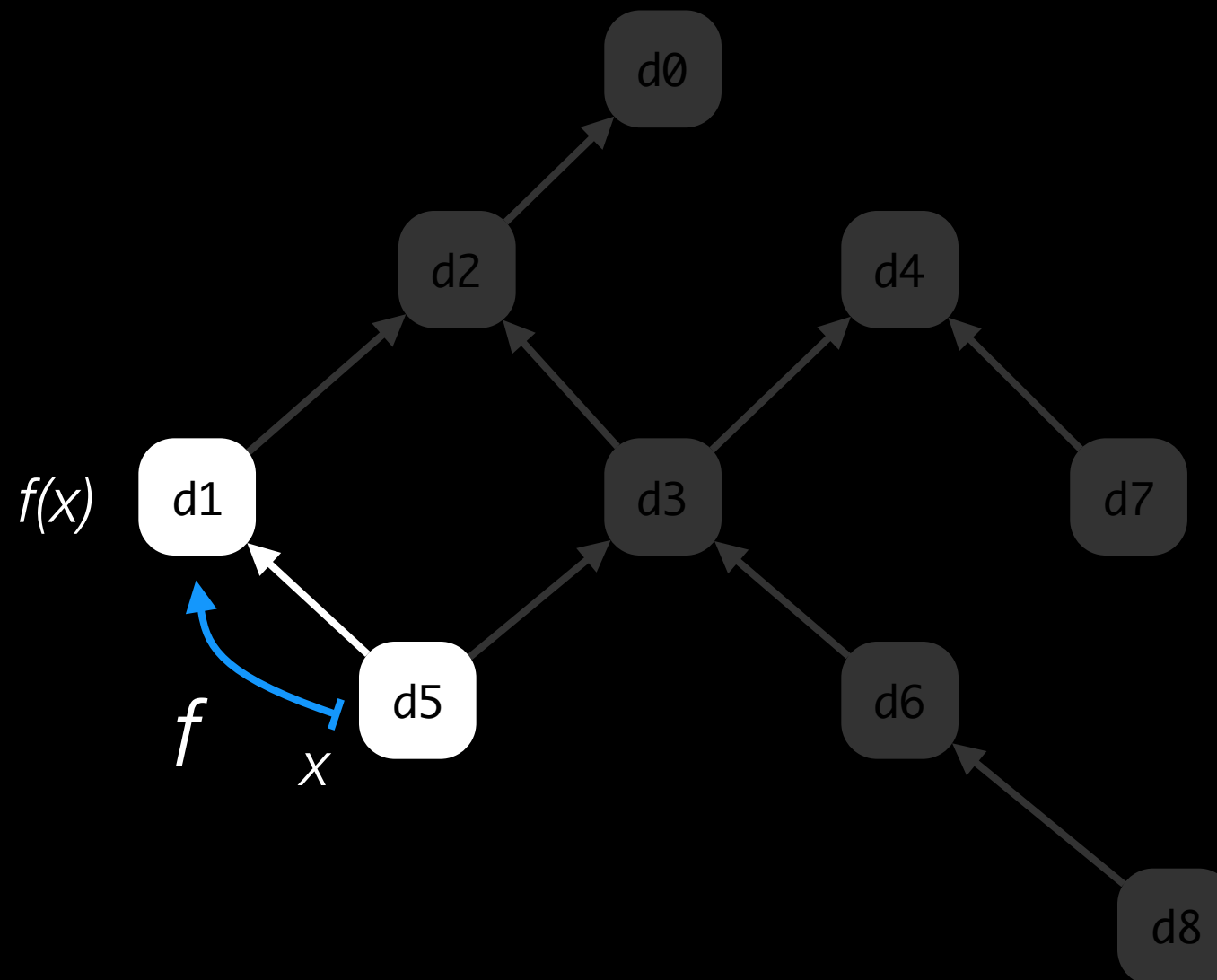
### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$



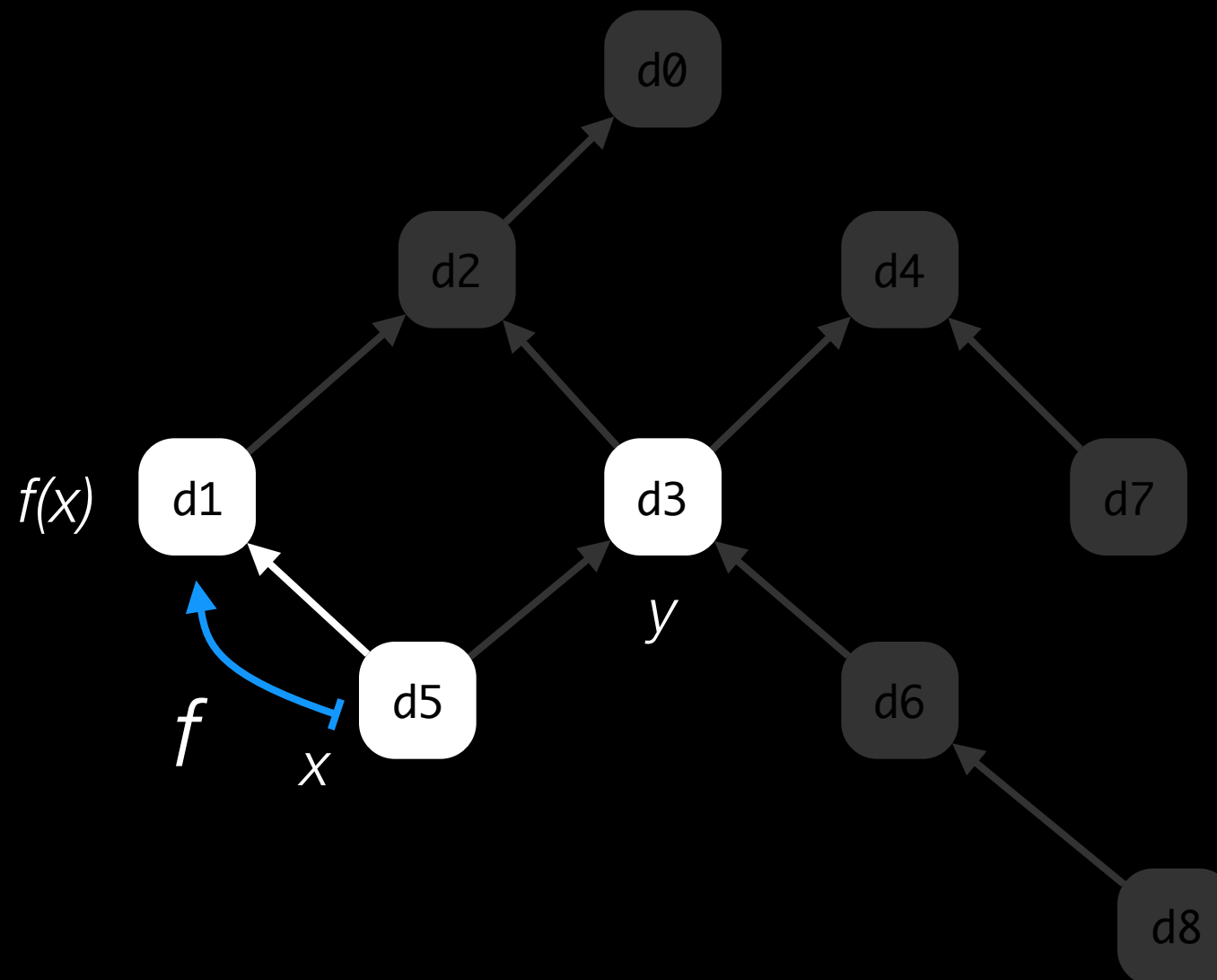
### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$



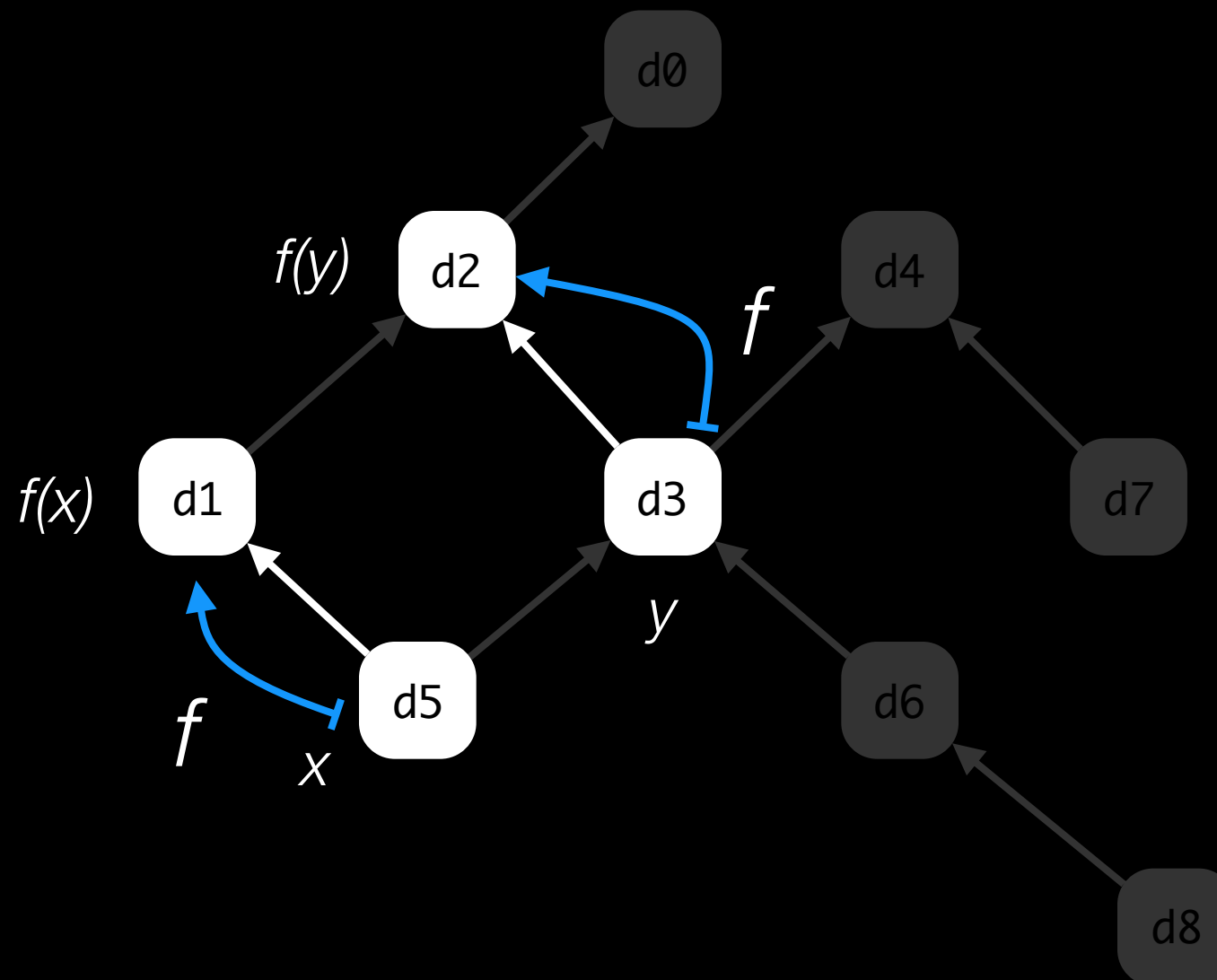
### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$



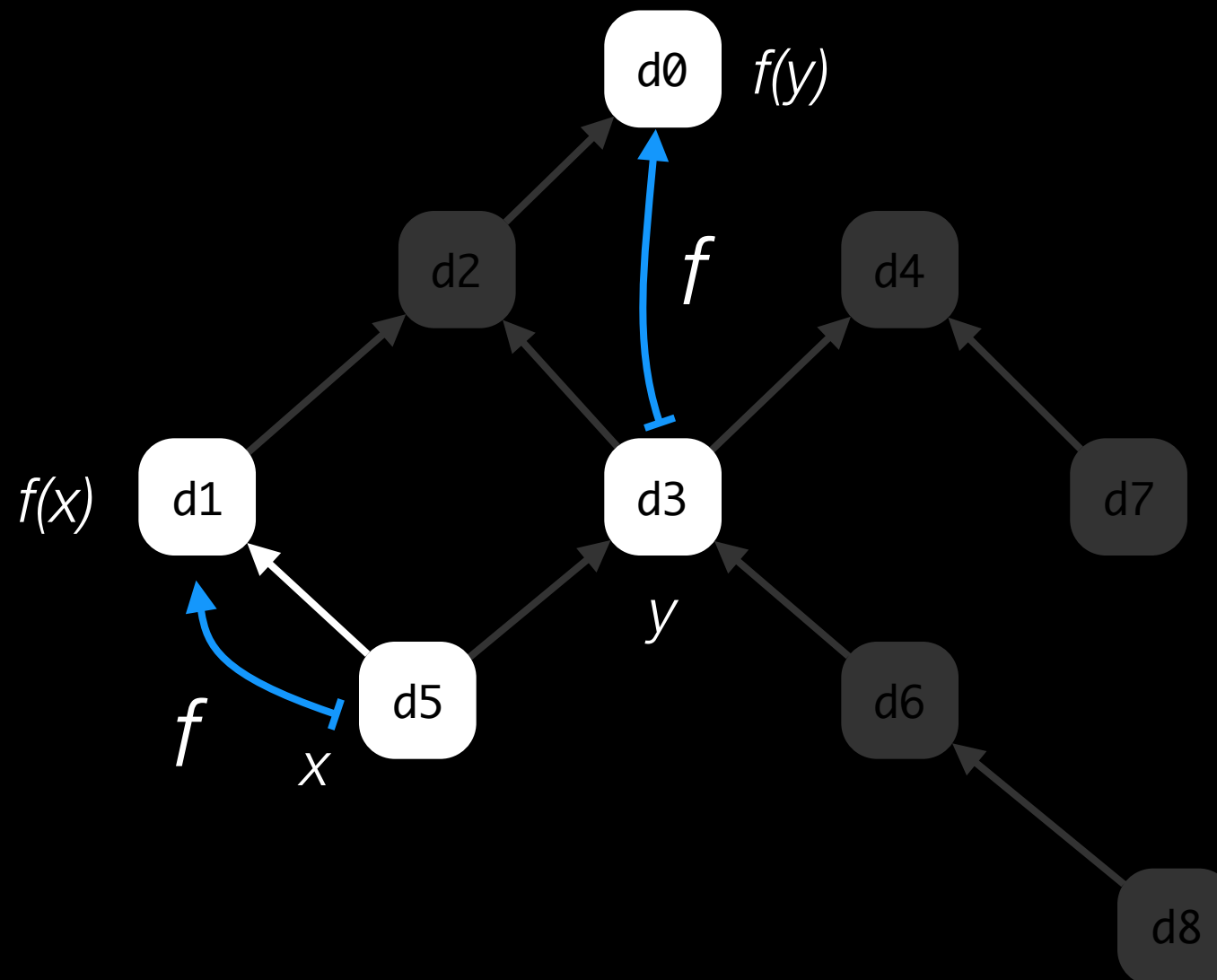
### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$



### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$

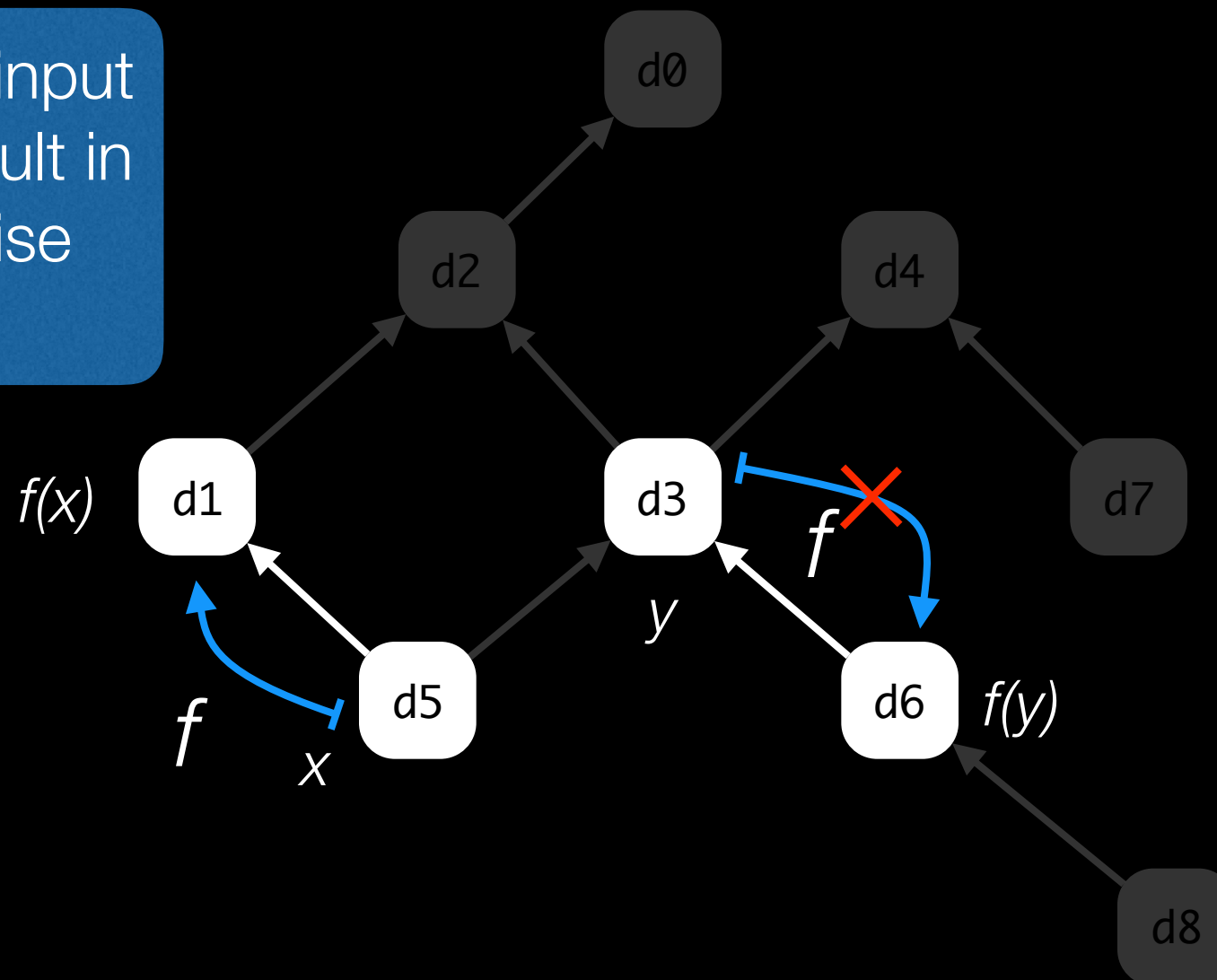




### 3. Flow Functions Monotonicity

- If  $(U, \sqsubseteq)$  is a lattice, then the function  $f$  is monotone (i.e., order preserving) if:
  - $\forall x, y \in U : x \sqsubseteq y \implies f(x) \sqsubseteq f(y)$

less precise input  
does not result in  
more precise output



putting it all together!

# Lattice Fixed Point Theorem

Alfred Tarski  
1955



# Monotone Framework

- For each statement  $S$  in the control-flow graph, define a  $f_S : L \rightarrow L$ .
- For a path  $P = S_0S_1S_2...S_n$  through the CFG, define  $f_P(x) = f_n(... f_2(f_1(f_0(x))))$ .
- Goal: find the join-over-all-paths (MOP)

$$\text{MOP}(n, x) = \bigvee$$

Generally Uncomputable  
[Kam, Ullman 1977]

# Monotone Framework

- For each statement  $S$  in the control-flow graph, define a  $f_S : L \rightarrow L$ .
- Goal: for each statement  $S$  in the CFG, find  $V_{Sin} \in L$  and  $V_{Sout} \in L$  satisfying

$$V_{Sout} = f_S(V_{Sin})$$

Least-Fixed-Point (LFP)

$$V_{Sin} = \bigsqcup V_{Pout}$$

$MOP(n, x) \sqsubseteq LFP(n, x)$

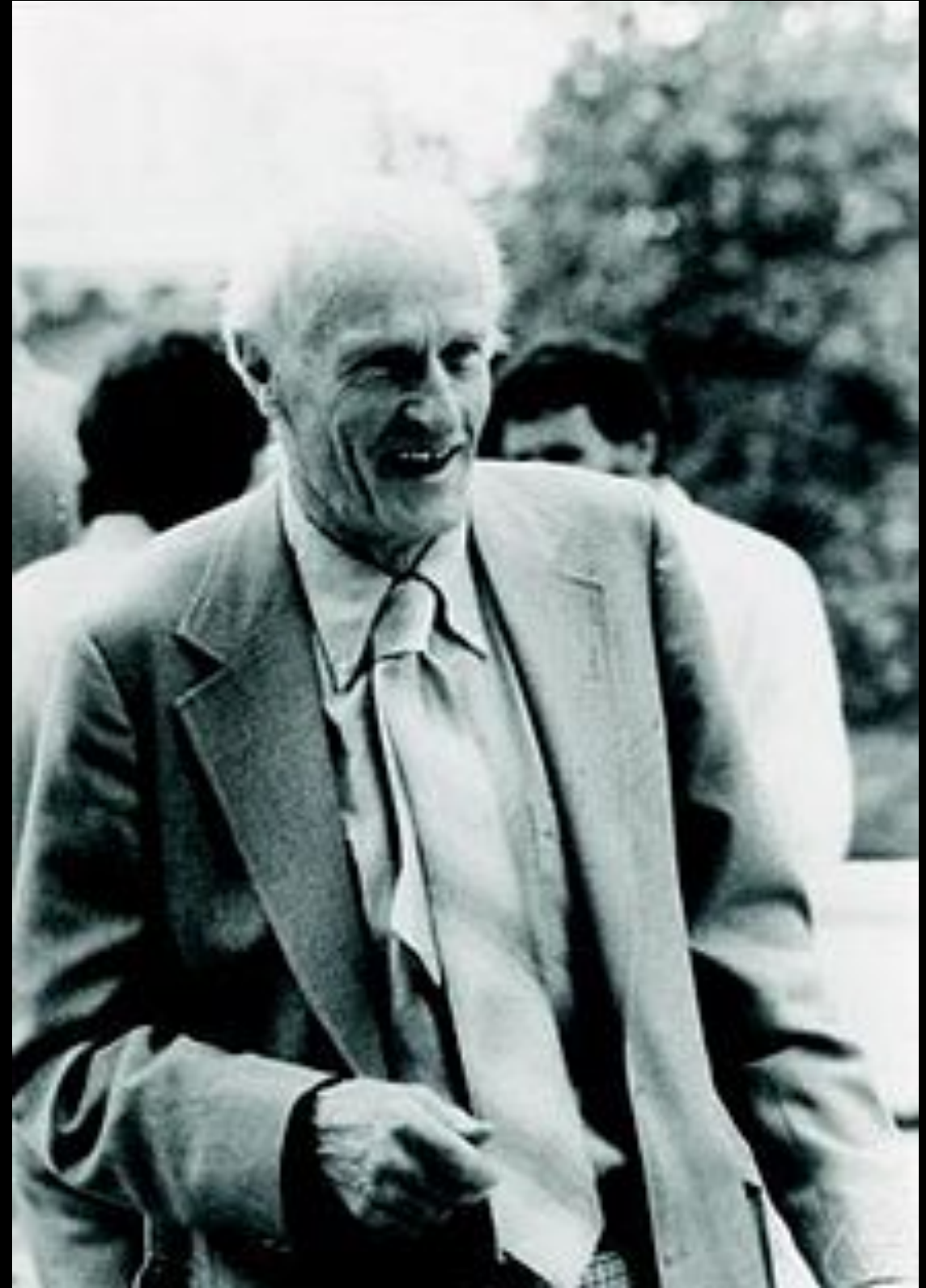
$P \in \text{Predecessors}(S)$

## Generic Dataflow Algorithm

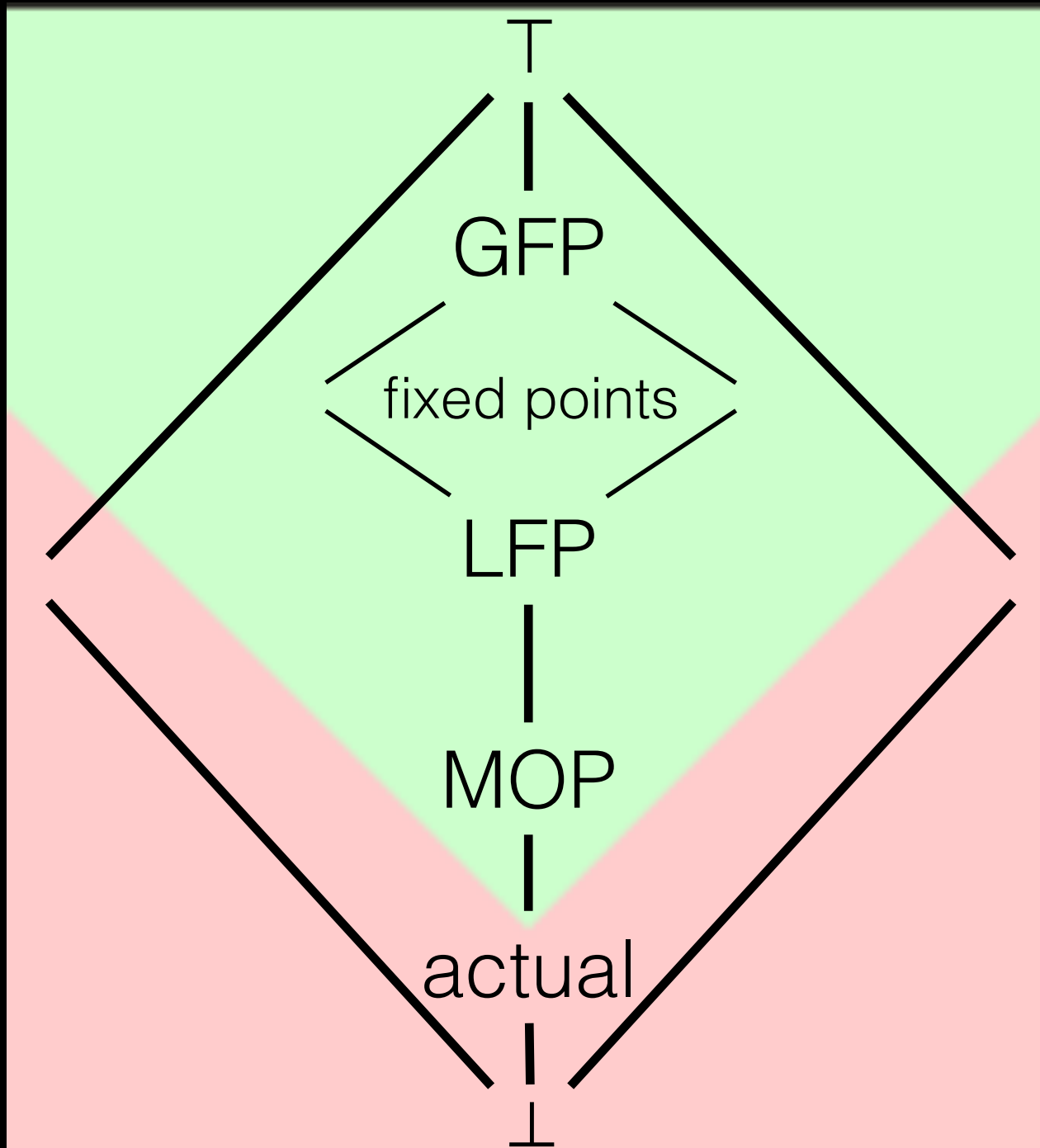
```
initialize out[s] = in[s] =  $\perp$  for all s
add all statements to worklist
while worklist not empty
    remove s from worklist
    in[s] =  $\bigwedge_{p \in \text{PRED}(s)} \text{out}[p]$ 
    out[s] = f_s(in[s])
    if out[s] has changed
        add successors of s to worklist
    end if
end while
```

# Kleene Fixed Point Theorem

Stephen Cole Kleene  
1938



$$\text{MOP} \sqsubseteq \text{LFP}$$



- Every solution  $S \sqsupseteq \text{actual}$  is “safe” (i.e., sound).
- $\text{MOP} \sqsupseteq \text{actual}$
- $\text{LFP} \sqsupseteq \text{MOP}$
- A flow function  $f$  is distributive if  $f(x) \sqcup f(y) = f(x \sqcup y)$
- If all flow functions are distributive, then  $\text{LFP} = \text{MOP}$
- Initializing using  $T$  instead of  $\perp$  causes earlier termination, but yields more imprecise fixed-point



Next

- Call Graph Construction