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CS262 Logic and Verification

Lecture 7: Resolution

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Propositional resolution

Tableau proofs \leftrightarrow DNF

Resolution proofs \leftrightarrow CNF

Tableau proofs presented as trees: Each branch is a conjunction, tree is the disjunction of its branches

Trees are not convenient for resolution: We use the standard representation $([...], [...], ...)$, one disjunction on each line.

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Resolution expansion

In each step, select a disjunction and a non-literal formula N in it.

If $N = \neg\top$, then append a new disjunction where N is replaced by \perp .

If $N = \neg\perp$, then append a new disjunction where N is replaced by \top .

If $N = \neg\neg Z$, then append a new disjunction where N is replaced by Z .

If N is an α -formula, then append two new disjunctions, one in which N is replaced by α_1 , and one in which it is replaced by α_2 (α -expansion).

If N is a β -formula, then append a new disjunction where N is replaced by β_1, β_2 (β -expansion).

Resolution expansion rules:

$\frac{\neg\top}{\perp}$	$\frac{\neg\perp}{\top}$	$\frac{\neg\neg Z}{Z}$	$\frac{\beta}{\beta_1 \quad \beta_2}$	$\frac{\alpha}{\alpha_1 \mid \alpha_2}$
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Example

1. $[p \downarrow (q \wedge r)]$
2. $[\neg(q \vee (p \rightarrow q))]$

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Strict resolution

A sequence of resolution expansion applications is **strict**, if every disjunction has at most one resolution expansion rule applied to it.

Intuitively: no formula reuse

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As with tableau, strict version suits itself for implementation: Remove formula from list after applying an expansion rule to it.

With this, resolution expansion becomes identical to conjunctive normal form expansion

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Resolution rule

For resolution, we need yet another rule of a different nature, the **resolution rule**.

Suppose D_1 and D_2 are two disjunctions, with X occurring in D_1 and $\neg X$ in D_2 . Let D be the result of the following:

- delete all occurrences of X from D_1
- delete all occurrences of $\neg X$ from D_2
- combine the resulting disjunctions

Special case: If a disjunction contains \perp , delete all occurrences of \perp , and call the resulting disjunction the **trivial resolution**.

Resolution rule

D is the result of **resolving** D_1 and D_2 on X . D is the **resolvent** of D_1 and D_2 , and X is the formula being **resolved on**. If X is atomic, then this is an **atomic** application of the resolution rule.

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Examples:

- | | | |
|---------------------------|-------------------------|-------------------------------|
| 1. $[p, q \rightarrow r]$ | 1. $[a \wedge b]$ | 1. $[p, q \uparrow r, \perp]$ |
| 2. $[a \wedge b, \neg p]$ | 2. $[\neg(a \wedge b)]$ | ? |
| ? | ? | ? |

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Justification of the resolution rule:

$\langle [X, Y], [\neg X, Z] \rangle = \langle [X, Y], [\neg X, Z], [Y, Z] \rangle$

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A resolution expansion is **closed**, if it contains the empty clause $[]$.

A **resolution proof** for X is a closed resolution expansion for $\neg X$.

We write $\vdash X$ if X has a resolution proof.

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Example

Resolution proof for $((p \wedge q) \vee (r \rightarrow s)) \rightarrow ((p \vee (r \rightarrow s)) \wedge (q \vee (r \rightarrow s)))$:

1. $[\neg(((p \wedge q) \vee (r \rightarrow s)) \rightarrow ((p \vee (r \rightarrow s)) \wedge (q \vee (r \rightarrow s))))]$

2. $[(p \wedge q) \vee (r \rightarrow s)]$

3. $[\neg((p \vee (r \rightarrow s)) \wedge (q \vee (r \rightarrow s)))]$

4. $[p \wedge q, r \rightarrow s]$

5. $[\neg(p \vee (r \rightarrow s)), \neg(q \vee (r \rightarrow s))]$

6. $[p, r \rightarrow s]$

7. $[q, r \rightarrow s]$

8. $[\neg p, \neg(q \vee (r \rightarrow s))]$

9. $[\neg(r \rightarrow s), \neg(q \vee (r \rightarrow s))]$

10. $[\neg p, \neg q]$

11. $[\neg p, \neg(r \rightarrow s)]$

12. $[\neg(r \rightarrow s), \neg q]$

13. $[\neg(r \rightarrow s), \neg(r \rightarrow s)]$

14. $[r \rightarrow s, \neg p]$

15. $[r \rightarrow s, r \rightarrow s]$

16. $[\]$

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Example

Proof steps:

- α -expansion on 1. creates 2.+3.
- β -expansion on 2. creates 4.
- β -expansion on 3. creates 5.
- α -expansion on 4. creates 6.+7.
- α -expansion on 5. creates 8.+9.
- α -expansion on 8. creates 10.+11.
- α -expansion on 9. creates 12.+13.
- resolving on r in 7. and 10. creates 14.
- resolving on p in 6. and 14. creates 15.
- resolving on $r \rightarrow s$ in 13. and 15. creates 16.

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Resolution properties

Resolution method extends to first order logic (quantifiers)

Resolution can be generalized to establish propositional consequences

$S \models X$, not just tautologies $\models X$.

Resolution rules are non-deterministic: We have freedom in applying them.

Different rules may produce proofs of different length.

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Soundness and completeness

Theorem: The resolution proof system is **sound**, i.e., if X has a resolution proof, then X is a tautology.

Theorem: The resolution proof system is **complete**, i.e., if X is a tautology, then the resolution system will terminate with a proof for it, even if if all resolution rule applications are atomic or trivial, and come after all resolution expansion steps.

Equivalently, $\vdash_r X$ if and only if $\models X$.

First theorem follows from the correctness proof of our CNF expansion algorithm given before. Also argued that resolution rule produces a semantically equivalent formula.

Proof of second theorem not given here; requires more advanced tools.

Propositional consequence

Recall the definition of propositional consequence $S \models X$.

S-introduction rule for tableau: Any formula $Y \in S$ can be added to the end of any tableau branch. We write $S \vdash_t X$ if there is a closed tableau for $\neg X$ allowing the S-introduction rule for tableau.

S-introduction rule for resolution: For any formula $Y \in S$, the line $[Y]$ can be added as a line to a resolution expansion. We write $S \vdash_r X$ if there is a closed resolution expansion for $\neg X$, allowing the S-introduction rule for resolution.

Theorem (Strong soundness and completeness): For any set S of propositional formulas and any formula X , we have $S \models X$ if and only if $S \vdash_t X$ if and only if $S \vdash_r X$.

Example

Prove $\{p \rightarrow q, q \rightarrow r\} \models \neg(\neg r \wedge p)$ via tableau and resolution.

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