## Decision Trees, Adversary Argument and Amortized Analysis

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- Algorithm Analysis
- Decision Trees
- Adversary Argument
- 4 Amortized Analysis

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- ▶ Design algorithms A, A', ...
- ▶ Input space  $\mathcal{X}_n$ : inputs of size n

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Selection (median):

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$$n! \\ \implies n^2 \\ \implies n \log n \\ n \log n \Leftarrow n$$

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- ▶ 25 horses
- ▶ Round:  $\leq 5$  horses race
- ▶ Goal: Find #1, #2, #3 fastest.

### Example (Horse Racing)

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### Amortized analysis

Amortized analysis is an algorithm analysis technique for analyzing a sequence of operations irrespective of the input to show that the average cost per operation is small, even though a single operation within the sequence might be expensive.

### Methods for amortized analysis: the summation method

$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

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$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

$$(\sum_{i=1}^{n} c_i)/n$$



### Summation method: array doubling revisited

On any sequence of n INSERT ops on an initially empty array.

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On any sequence of n Insert ops on an initially empty array.

$$o_i: 1 2 3 4 5 6 7 8 9 10$$
  
 $c_i: 1 2 3 1 5 1 1 1 8 1$ 

$$c_i = \left\{ \begin{array}{ll} (i-1)+1 = i & \text{if } i-1 \text{ is an exact power of 2} \\ 1 & \text{o.w.} \end{array} \right.$$

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$$\sum_{i=1}^{n} c_i \le n + \sum_{j=0}^{\lceil \lg n \rceil - 1} 2^j = n + (2^{\lceil \lg n \rceil} - 1) \le n + 2n = 3n$$

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$$\forall i, \hat{c}_i = 3$$



$$o_1, o_2, \ldots, o_n$$

$$c_1, c_2, \ldots, c_n$$

$$a_1, a_2, \ldots, a_n$$

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$$\hat{c}_i = c_i + a_i, a_i > = < 0.$$



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$$\forall n, \sum_{i=1}^{n} c_i \le \sum_{i=1}^{n} \hat{c_i}$$



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$$\forall n, \sum_{i=1}^{n} c_i \leq \sum_{i=1}^{n} \hat{c}_i \implies \forall n, \sum_{i=1}^{n} a_i \geq 0$$

$$o_1, o_2, \ldots, o_n$$

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$$\hat{c_i} = c_i + a_i, a_i > = < 0.$$

$$\forall n, \sum_{i=1}^{n} c_i \le \sum_{i=1}^{n} \hat{c}_i \implies \forall n, \sum_{i=1}^{n} a_i \ge 0$$

#### Key way of thinking:

Put the accounting cost on specific objects.

### Accounting method: array doubling revisited

$$\hat{c_i} = 3$$
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### Accounting method: array doubling revisited

$$\hat{c_i}=3$$
 vs.  $\hat{c_i}=2$  
$$\hat{c_i}=3=\underbrace{1}_{\mathrm{insert}}+\underbrace{1}_{\mathrm{move\ itself}}+\underbrace{1}_{\mathrm{help\ move\ another}}$$

		$c_i$ (actual cost)	$a_i$ (accounting cost)
INSERT (normal)		1	2
INSERT (expansion)	3	1+t	-t+2



- i  $c_i$
- 1
- 2 1 + 2
- 3 1
- 4 1 + 2 + 4
- 5 1
- 6 1 + 2
- 7 1
- 8 1 + 2 + 4
- : ..



$$i \quad c_{i}$$
 $1 \quad 1$ 
 $2 \quad 1+2$ 
 $3 \quad 1$ 
 $4 \quad 1+2+4$ 
 $5 \quad 1$ 
 $6 \quad 1+2$ 
 $7 \quad 1$ 
 $8 \quad 1+2+4$ 
 $\vdots$ 
 $\sum_{i=1}^{n} c_{i} = \sum_{j=0}^{\lfloor \log n \rfloor} \lfloor \frac{n}{2^{j}} \rfloor 2^{j} \leq n(\lfloor \log n \rfloor + 1)$ 



# Array merging (Problem 4.13): the accounting method

$$\hat{c_i} = 1 + \lfloor \log n \rfloor$$

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What does it mean?



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What does it mean?

$$\forall n, \sum_{i=1}^{n} a_i \ge 0$$

## Two stacks, one queue (Problem 4.14)

#### **Algorithm 1** Simulating a queue using two stacks $S_1, S_2$ .

```
Push(S_1,x) procedure \mathrm{DEQ}() if S_2=\emptyset then while S_1\neq\emptyset do Push(S_2,\ Pop(S_1)) Pop(S_2)
```

procedure  $E_{NQ}(x)$ 

### Two stacks, one queue (Problem 4.14)

#### **Algorithm 2** Simulating a queue using two stacks $S_1, S_2$ .

```
Push(S_1,x) procedure \mathrm{DEQ}() if S_2=\emptyset then while S_1\neq\emptyset do Push(S_2,\ Pop(S_1)) Pop(S_2)
```

procedure  $E_{NQ}(x)$ 

Costs of "Push" and "Pop".



#### Two stacks, one queue: the summation method

$$(\sum_{i=1}^{n} c_i)/n$$

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$$(\sum_{i=1}^{n} c_i)/n$$

The operation sequence is NOT known.



item: Push into  $S_1$  Pop from  $S_1$  Push into  $S_2$  Pop from  $S_2$  1 1 1

item: Push into 
$$S_1$$
 Pop from  $S_1$  Push into  $S_2$  Pop from  $S_2$  1 1 1

$$\hat{c}_{\mathrm{ENQ}} = 3$$
 $\hat{c}_{\mathrm{DEQ}} = 1$ 

$$\hat{\epsilon}_{\mathrm{DEQ}} = 1$$

item: Push into 
$$S_1$$
 Pop from  $S_1$  Push into  $S_2$  Pop from  $S_2$  
$$1 \qquad \qquad 1 \qquad \qquad 1 \qquad \qquad 1$$

$$\hat{c}_{\text{ENQ}} = 3$$
  
 $\hat{c}_{\text{DEQ}} = 1$ 

$$\sum_{i=1}^{n} a_i \ge 0$$



item: Push into 
$$S_1$$
 Pop from  $S_1$  Push into  $S_2$  Pop from  $S_2$  
$$1 \qquad \qquad 1 \qquad \qquad 1 \qquad \qquad 1$$

$$\hat{c}_{\text{ENQ}} = 3$$
 $\hat{c}_{\text{DEO}} = 1$ 

$$\sum_{i=1}^{n} a_i \ge 0 \Longleftrightarrow \sum_{i=1}^{n} a_i = \#S_1 \times 2$$

