

# Greedy Algorithms

Hengfeng Wei

hengxin0912@gmail.com

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# Outline

## ① Minimum Spanning Tree

MST Property, Cut Property, and Cycle Property

Updating MST

Variants of MST

## ② Greedy Algorithms on Intervals

## ③ Optional Greedy Algorithms

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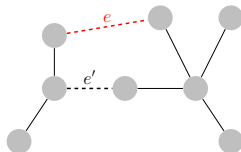
## ③ Optional Greedy Algorithms

# Three properties of MST

## MST Property

- $G = (V, E, w)$ ;  $T$  is an MST of  $G$
- $e \in G \setminus T$ ;  $T + \{e\}$  creates a cycle  $C$

Then,  $e$  is one of the maximum-weight edge in  $C$ .



## Proof.

By contradiction (for “ $\Rightarrow$ ”).

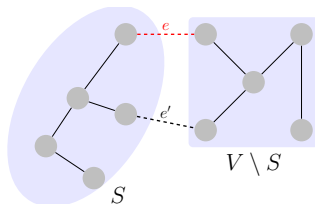
- Suppose  $\exists e' \in C : w(e') > w(e)$
- $T' = T + \{e\} - \{e'\}$
- $w(T') < w(T)$

# Three properties of MST

## Cut Property [Problem 6.2.4 (a)]

- Graph  $G = (V, E)$
- A cut  $(S, V \setminus S)$  where  $S, V - S \neq \emptyset$
- Let  $e$  be the minimum-weight edge across the cut

Then  $e$  must be in *some* MST of  $G$ .



## Cut Property [Problem 6.2.4 (a)]

### Proof.

Basic idea:  $e \notin T \Rightarrow e \in T'$ .

- $T + \{e\}$  to construct a cycle  $C$
- $\exists e' \in C$  such that  $e'$  crossing the cut;  $w(e') \geq w(e)$
- $T' = T + \{e\} - \{e'\}$
- $w(T') \leq w(T) \Rightarrow w(T') = w(T) \Rightarrow T'$  is an MST



# Three properties of MST

## Cut Property [Another Version]

- Graph  $G = (V, E)$ ;  $X$  is part of an MST  $T$ .
- A cut  $(S, V \setminus S)$  *respecting*  $X$  ( $X$  does not cross  $(S, V \setminus S)$ )
- Let  $e$  be a minimum-weight edge crossing  $(S, V \setminus S)$

Then,  $X + \{e\}$  is part of some MST.

Proof.

Same As Above.

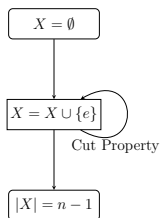




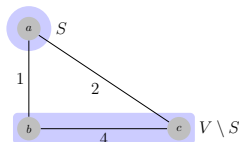
# Three properties of MST

## Remark:

- Kruskal's and Prim's algorithm in terms of Cut Property.
- exchange argument



## Divide-and-conquer algorithm for MST [Problem 6.2.15]

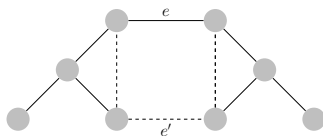


# Three properties of MST

Cycle Property [Problem 6.2.4 (b), 6.2.6 (b)]

- $G = (V, E, w)$
- Let  $C$  be any cycle in  $G$
- $e$  is a maximum-weight edge in  $C$

Then  $\exists$  MST  $T : e \notin T$ .



Question.

What if all edge weights are distinct?

# Three properties of MST

Cycle Property [Problem 6.2.4 (b), 6.2.6 (b)]

Proof.

Basic idea:  $e \in T \Rightarrow e \notin T'$ .

- $T - \{e\}$  creates cut  $(S, V \setminus S)$
- $\exists e' \in C$  crossing the cut;  $w(e') \leq w(e)$
- $T' = T - \{e\} + \{e'\}$
- $w(T') \leq w(T) \Rightarrow T'$  is an MST

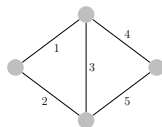


Remark:

- Why don't we pick any  $e' \in C$ ?
- “Anti-Kruskal” (reverse-delete; also by Kruskal) [Problem 6.2.6 (c)]

# Three properties of MST

- 1 The MST contains the minimum-weighted edge in every cycle [Problem 6.2.4 (c)].

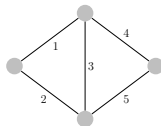


- 2 If  $e$  does not belong to any cycle, then  $e$  is in every MST [Problem 6.2.6 (a)].
  - Bridge!
  - OR:  $T + \{e\}$  produces cycle

# Three properties of MST

[Problem 6.2.1]

- ① ✗  $|E| > |V| - 1$ ,  $e$  is the unique maximum edge  $\Rightarrow e$  does not belong to any MST.
- ② ✓ If  $G$  has a cycle with a unique maximum edge  $e$ , then  $e$  cannot be part of any MST. (Prove: Cycle property)
- ③ ✓ Let  $e$  be any edge of minimum edge in  $G$ . Then  $e$  belongs to some MST. (Prove: Cut property)
- ④ ✓ If the minimum edge is unique, then it belongs to every MST.
- ⑤ ✗ If  $G$  has a cycle with a unique minimum edge  $e$ , then  $e$  belongs to every MST.



# Three properties of MST

[Problem 6.2.1]

- ⑥ ✗ The shortest-path tree computed by Dijkstra's algorithm is necessarily an MST.
- ⑦ ✗ The shortest path between two nodes is necessarily part of some MST.



- ⑧ ✓ Prim's algorithm works correctly when there are negative edges.
- ⑨ ✓ If  $e$  belongs to some MST, then  $e$  is a minimum edge across some cut.
- ⑩ ✓  $w > 0$ ; Vertex  $s$ ; shortest-path tree of  $s$  and some MST share a common edge [Problem 6.1.5]
- ⑪ ✓  $w'(e) = (w(e))^2$  [Problem 6.2.2]

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## Updating MST [Problem 6.1.1, 6.1.6]

$G$  and an MST  $T$

- ①  $w(e)$  is decreased:  $w'(e) = w(e) - k$
- ②  $w(e)$  is increased

Solution for (1).

- $e \in T$ : no need to update  $T' = T$ .  
 $w'(T') = w(T) - k \Rightarrow w'(T') < w(T)$ .  
To prove that  $T'$  is an MST of  $G'$ :  
Suppose  $\exists T'' : T''$  is an ST of  $G'$  and  $w'(T'') < w'(T')$ .
  - $e \notin T''$ :  $w(T'') = w'(T'') < w'(T') < w(T)$
  - $e \in T''$ :  $w(T'') = w'(T'') + k < w'(T') + k = w(T)$
- $e \notin T$ :  $T' = T + \{e\} - \{e'\}$ ;  $e'$  is the maximum-weight edge in cycle and  $w(e') > w(e)$ 
  - $e \notin T''$ :  $w(T'') = w'(T'') < w'(T') < w(T)$
  - $e \in T''$ : ???

To verify it: <http://cs.stackexchange.com/q/43309/4911>



Critical edge: [Problem 6.1.8]

To find critical edge  $e$ : remove it,  $w(T)$  increases

An MST  $T$ :

- $e \notin T$ : not critical
- $e \in T$ :
  - $T - \{e\}$  to produce a cut  $(S, V \setminus S)$
  - $\forall e' \neq e$  across  $(S, V \setminus S)$ ,  $w(e') > w(e)$

Algorithm.

Using Kruskal's algorithm to find such  $e$ 's: unique minimum-weight edge crossing cut.

Proof.

No missing critical edges: during Kruskal's algorithm. □

Question.

Prim's algorithm?

## Adding vertex to MST [Problem 6.1.2]

- $G = (V, E)$ ; an MST  $T$
- $G' = (V', E')$ :  $V' = V + \{X\}$ ,  $E' = E + E_X$ ;  $E_X$ : incident edges to  $X$
- To find an MST  $T'$  of  $G'$

### Algorithm.

- ①
  - Adding  $E_X$  into  $G$  one at a time ( $T + \{e\} \Rightarrow C$ )
  - $O(n) \times O(n) = O(n^2)$  (not  $O(n) \times O(m)$ )
- ②
  - There *exists* an MST of  $G'$  that includes no edges in  $G \setminus T$
  - Run MST alg. on  $G'' = (V + \{X\}, T + E_X)$
  - $O(n \lg n)$
- ③
  - “On Finding and Updating Spanning Trees and Shortest Paths”, 1975
  - “Algorithms for Updating Minimum Spanning Trees”, 1978
  - $O(n)$

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## Feedback Edge Set (FES): [Problem 6.1.4]

- ① maximum spanning tree
- ② (minimum) feedback edge set:
  - a set of edges which, when removed from the graph, leave an acyclic graph  $G'$
  - assuming  $G$  is connected  $\Rightarrow G'$  is connected
  - feedback *arc* set: “cycle”  $\Rightarrow$  circular dependency

### Algorithm.

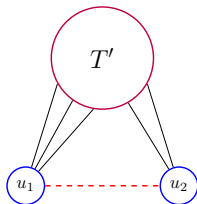
- $G'$  is connected + acyclic  $\Rightarrow G'$  is an ST
- $\text{FES} \Leftrightarrow G \setminus \text{Max-ST}$

## MST with specified leaves: [Problem 6.1.7]

- $G = (V, E), U \subset V$
- finding an MST with  $U$  as leaves

### Algorithm.

- $G' = G \setminus U$
- MST  $T'$  for  $G'$
- $\forall u \in U$ , attach it to  $T'$



ST with specified edges: [Problem 6.1.10]

- $G = (V, E), S \subset E$  (no cycle in  $S$ )
- finding an MST with  $E$  as edges

Algorithm.

- contract each isolated component of  $S$  to a *super-vertex*
- $G \rightarrow G'$
- find MST of  $G'$

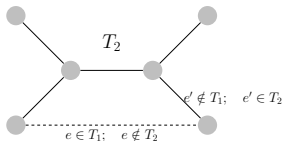
Proof.

Prove by contradiction.



## Unique MST [Problem 6.2.7]

Distinct weights  $\Rightarrow$  unique MST.



### Proof.

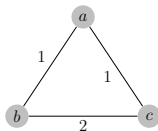
By contradiction: two MSTs  $T_1 \neq T_2$ .

- $\Delta E = \{e \mid e \in T_1 \setminus T_2 \vee e \in T_2 \setminus T_1\}$
- $e = \min \Delta E$ . Suppose  $e \in T_1 \setminus T_2$
- $T_2 + \{e\} \Rightarrow C$
- $\exists (e' \in C) \notin T_1 : w(e') < w(e)$  (MST property)
- $e' \in T_2 \setminus T_1 \Rightarrow e' \in \Delta E$



## Conditions for Unique MST [Problem 6.2.5]

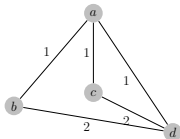
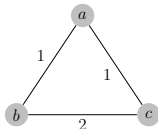
- ① Example: unique MST, with equal weights



- ② Counterexamples:

① ✗cut: minimum-weight edge across any cut is unique

② ✗cycle: maximum-weight edge in any cycle is unique





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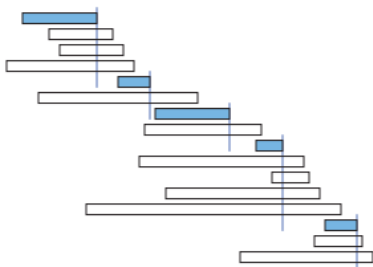
## ③ Optional Greedy Algorithms

Points cover intervals [Problem 6.2.10, 6.2.12]

every interval contains at least one point in  $P$

Algorithm and Example.

- ① sorted by finishing times
- ② pick the first uncovered interval



## Points cover intervals [Problem 6.2.10, 6.2.12]

Every interval contains at least one point in  $P$  (“stab”).

### Proof.

contradiction ( $m < k$ ) + mathematical induction + exchange argument ( $g_j \Rightarrow o_j$ )

- $g_1, g_2, \dots, g_m, \dots, g_k; o_1, o_2, \dots, o_m$  (**sorted**)
- Base case:  $o_1 \Rightarrow g_1$ 
  - $o_1 \leq g_1$
  - $o_1$  covers  $I \Rightarrow g_1$  covers  $I$  (by contradiction again!)
- Inductive step:
  - $g_1, g_2, \dots, g_{j-1}, g_j, \dots, g_m, \dots, g_k; g_1, g_2, g_{j-1}, o_j, \dots, o_m$
  - $o_j \Rightarrow g_j$
  - consider the next  $I$  for  $g$  to cover
- $m < k \Rightarrow o$  is not a solution.



### Question.

- points-cover-intervals vs. interval-scheduling

## Tiling path [Problem 6.2.11]

- $X$ : a set of intervals; finding  $Y \subseteq X$  to cover all intervals.
- To minimize  $|Y|$ .

### Algorithm:

- heuristics: longest (overlapping wasted); starts/finishes first



- greedy:
  - you need to cover the first interval
  - what then?
  - always pick the interval reaching furthest right

## Tiling path [Problem 6.2.11]

- $X$ : a set of intervals; finding  $Y \subseteq X$  to cover the real line.
- To minimize  $|Y|$ .



## Proof.

- $o_1, o_2, \dots, o_m$  sorted/identified by finishing times
- contradiction + induction + exchange argument

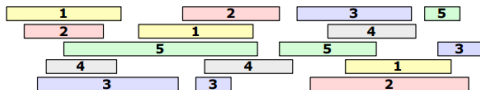


## Interval coloring/partition [Problem 6.2.13]

- applications: scheduling conflicting
  - lectures (intervals) : (as few as possible) classrooms;
  - jobs : machines

### Algorithm and Example.

- sorted all times
- lock/unlock colors



## Interval coloring/partition [Problem 6.2.13]

proof

- ① Observation:  $\# \text{colors} \geq \text{depth } D \text{ of intervals}$ 
  - $t : I_t = \{I \mid t \in I\}$
  - $D = \max_t |I_t|$
- ② Greedy algorithm:  $\# \text{colors} = D$ 
  - no two overlapping intervals are assigned the same color (color is locked)
  - each interval  $I$  is colored
    - at least one color is free for  $I$

## Base stations [Problem 6.2.16]

- houses:  $x_1 < x_2 < \dots < x_n$
- base stations:  $s_1 < s_2 < \dots < s_k$
- coverage of base station:  $t$

## Algorithm.

No overlapping coverage allowed.

- the first station:  $s_1 = x_1 + t$
- remove the houses covered by  $s_1$
- recurs on other houses

## Proof.

- $o_1 \Rightarrow g_1$ :  $o_1 \leq x_1 + t$
- $o_j \Rightarrow g_j$ :  $g_j$  is the rightmost position to cover the first uncovered house
- $m < k$ : contradiction.



Rest stop [Problem 6.2.17]

- rest stops:  $x_1 < x_2 < \cdots < x_n$
- one charging for  $100km$
- to minimize the times of charging

Algorithm.

Go as far as possible.

- the farthest rest stop he can reach
- recurs on the rest of rest stops

Proof.

- $g$  and  $o$  consist of positions of rest stops

Relation with “tiling path” [Problem 6.2.11].



## Total service time [Problem 6.2.20]

- a server :  $n$  customers
- service time for customer  $i$ :  $t_i$
- to minimize  $T = \sum_{i=1}^n (\sum_{j=1}^i t_j)$

### Algorithm.

Sorted by increasing service times

### Proof.

- To prove:  $T$  is minimized  $\Leftrightarrow$  any solution  $o_1, o_2, \dots, o_n$  is increasingly sorted.
- contradiction + exchange argument



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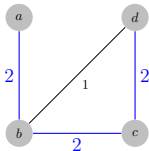
(Optional) Bottleneck spanning tree: [Problem 6.2.14]

$G = (V, E)$  has a set  $\mathcal{T}$  of spanning trees of  $G$ ; the most expensive edge is as cheap as possible:

$$\min_{T \in \mathcal{T}} \left( \max_{e \in T} w(e) \right)$$

Solution

- $\text{MST} \Rightarrow \text{BST}$ 
  - $e \in T, e' \in T', w(e') < w(e)$
  - $T - \{e\} \Rightarrow (S, V \setminus S) : \forall e'' \text{ across } (S, V \setminus S), w(e'') \geq w(e) > w(e')$
  - $T'$  is not an ST.
- $\text{MST} \not\Leftarrow \text{BST}$



(Optional) Bottleneck spanning tree: [Problem 6.2.14]

$G = (V, E)$ ; a set  $\mathcal{T}$  of spanning trees of  $G$ :

$$\min_{T \in \mathcal{T}} \left( \max_{e \in T} w(e) \right)$$

$\Theta(m)$  algorithm  $\text{BST}(G)$ :

$$T(m) = T(m/2) + \Theta(m)$$

- ①  $E = E_A \cup E_B$  ( $\forall e \in E_A, \forall e' \in E_B : w(e) \leq w(e')$ )
- ② If  $G_{E_A}$  is connected,  $\text{BST}(G_{E_A})$  recursively
- ③ If  $G_{E_A}$  is not connected,  $\text{BST}((G_{E_A})_\eta \cup G_{E_B})$  recursively

Check: [https://en.wikipedia.org/wiki/Minimum\\_bottleneck\\_spanning\\_tree](https://en.wikipedia.org/wiki/Minimum_bottleneck_spanning_tree)

Is  $e$  in Some MST? [Problem 6.1.11]

$O(m + n)$  to decide that is  $e$  in some MST?

Algorithm.

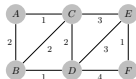
- removing all edges of weight  $\geq w(e)$
- checking connectivity

Proof.

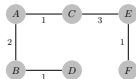
**Theorem:** Edge  $e = (u, v)$  does not belong to any MST  $\iff$   $u$  and  $v$  can be joined by a path consisting of edges of weight  $< w(e)$ . □

## MST in Subgraph [Problem 6.2.3]

- $T$  is an MST of  $G$ ;  $H \subseteq G$  connected
- To prove:  $T \cap H$  is part of some MST of  $H$



(1) Graph  $G$



(2) An MST  $T_G$  of graph  $G$



(3) A connected subgraph  $H$  of graph  $G$



(4)  $T_G \cap H$



(5) Some MST  $T_H$  of  $H$

Proof.

Check: <http://cs.stackexchange.com/a/43142/4911>



## Second MST [Problem 6.1.3]

Find a second MST.

Algorithm.

The second MST differs from MST by *a single* edge exchange.

Proof.

Complicated.





