Decompositions of Graphs

— DFS/BFS, Cycle, DAG, Toposort, SCC, Bicomp

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John Hopcroft



Robert Tarjan

"For fundamental achievements in the design and analysis of algorithms and data structures."

— Turing Award, 1986

SIAM J. COMPUT. Vol. 1, No. 2, June 1972

DEPTH-FIRST SEARCH AND LINEAR GRAPH ALGORITHMS*

ROBERT TARJAN†

Abstract. The value of depth-first search or "backtracking" as a technique for solving problems is illustrated by two examples. An improved version of an algorithm for finding the strongly connected components of a directed graph and an algorithm for finding the biconnected components of an undirect graph are presented. The space and time requirements of both algorithms are bounded by $k_1V + k_2E + k_3$ for some constants k_1, k_2 , and k_3 , where V is the number of vertices and E is the number of edges of the graph being examined.

Key words. Algorithm, backtracking, biconnectivity, connectivity, depth-first, graph, search, spanning tree, strong-connectivity.

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"DFS is a powerful technique with many applications."

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Power of DFS:

Graph Traversal \implies Graph Decomposition

Power of DFS:

Graph Traversal ⇒ Graph Decomposition

Structure! Structure! Structure!



Graph *structure* induced by DFS:

states of v

types of u v

Graph structure induced by DFS:



types of \underbrace{u} \underbrace{v}

life time of v:

 ${\color{red}v:} \mathsf{d}[v], \mathsf{f}[v]$

d[v]: BICOMP

f[v]: Toposort, SCC

Definition (Classifying edges)

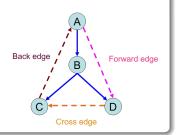
Given a DFS traversal \implies DFS tree:

Tree edge: \rightarrow child

Back edge: \rightarrow ancestor

Forward edge: → *nonchild* descendant

Cross edge: \rightarrow (\neg ancestor) \land (\neg descendant)



Definition (Classifying edges)

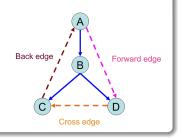
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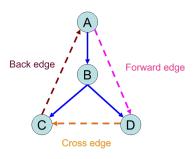
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Forward edge: → *nonchild* descendant

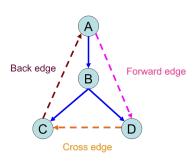
Cross edge: \rightarrow (\neg ancestor) \land (\neg descendant)



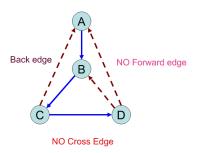
- Also applicable to BFS
- w.r.t. DFS/BFS trees



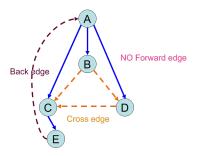
DFS on directed graph



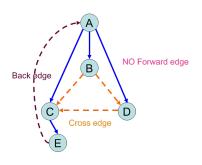
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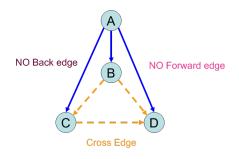
DFS on undirected graph



BFS on directed graph







BFS on undirected graph (Problem 5.1)

Undirected connected graph $G = (V, E), v \in V$

DFS tree T from $v \equiv$ BFS tree T' from v

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$$G \equiv T$$

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Proof.

$$G_{\mathsf{DFS}}$$
: tree + back vs. G_{BFS} : tree + cross



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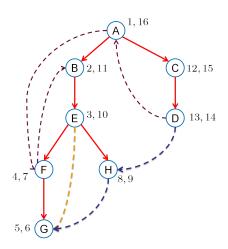
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Q: What if G is a digraph?



Life time of vertices in DFS



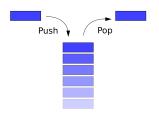
Theorem (Disjoint or Contained (Problem 4.2:(1)&(2)))

$$\forall u,v: [_u\]_u\cap [_v\]_v=\emptyset\bigvee \Big([_u\]_u\subset [_v\]_v\vee [_v\]_v\subset [_u\]_u\Big)$$

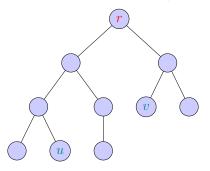
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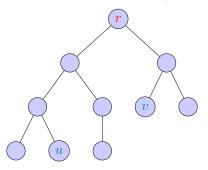


Preprocessing for ancestor/descendant relation (Problem 5.6)



Q: Is u an ancestor of v? O(1)

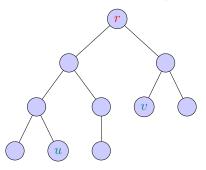
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Q: Is u an ancestor of v? O(1)

 $v:\mathsf{d}[v],\mathsf{f}[v]$

Q: # of descendants of any v?

$$\forall u \rightarrow v$$
:

- ▶ tree/forward edge: $\begin{bmatrix} u & v \end{bmatrix}_v$
- ▶ back edge: $\begin{bmatrix} v & u \end{bmatrix} u \end{bmatrix} v$
- ightharpoonup cross edge: $\begin{bmatrix} v \end{bmatrix}_v \begin{bmatrix} u \end{bmatrix}_u$

$$\forall u \to v$$
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$$\mathsf{f}[v] < \mathsf{d}[u] \iff \qquad \mathsf{edge}$$

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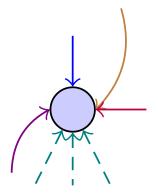
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DFS from the perspective of a single node:

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- (I) Height H(T) in O(n)
- (II) Diameter D(T) in O(n)

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$$\begin{cases} H(T) = \max \left(H(L_T), H(R_T) \right) + 1, \end{cases}$$

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$$\left\{ \begin{array}{ll} H(T)=0, & T \text{ is a leave} \\ H(T)=\max\left(H(L_T),H(R_T)\right)+1, & \text{o.w.} \end{array} \right.$$

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Height and diameter of tree (Problem 5.4)

Binary tree T = (V, E) with |V| = n and the root r:

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Binary tree T=(V,E) with $\lvert V \rvert = n$ and the root r

Binary tree T = (V, E) with |V| = n and the root r

Q: Diameter of a *tree without* a designated root

Binary tree T = (V, E) with |V| = n and the root r

 ${\it Q}$: Diameter of a $\it tree\ without$ a designated root



A beautiful algorithm:

- ightharpoonup Pick any u
- ightharpoonup Run BFS from u, obtain the farthest v
- Run BFS from v, obtain the farthest w (v, w)

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Your Job: Prove it!

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Your Job: Prove it!

Back to our original problem with $u \leftarrow r$.

| | Digraph | Undirected graph |
|-----|---------|------------------|
| DFS | | |
| BFS | | |

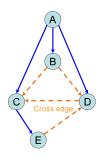
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| | cycle → back edge | cross edge \longleftrightarrow cycle |

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$$\mathsf{Evasiveness} \ \triangleq \ \mathsf{check} \ \binom{n}{2} \ \mathsf{edges} \ (\mathsf{adjacency} \ \mathsf{matrix})$$

Evasiveness
$$\triangleq$$
 check $\binom{n}{2}$ edges (adjacency matrix)

 ${\it Q}:$ Is acyclicity evasive?

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By Adversary Argument.



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Algorithm \mathbb{A} :

CHECKEDGE(u, v)

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Algorithm A:

CHECKEDGE(u, v)

Hint: Kruskal

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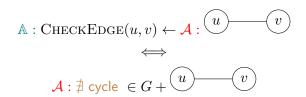


$$\mathbb{A}: \mathsf{CheckEdge}(u,v) \leftarrow \underline{\mathcal{A}}: \overbrace{u} \quad \boxed{v}$$

$$\Longleftrightarrow$$

$$\underline{\mathcal{A}: \nexists \mathsf{cycle}} \ \in G + \overbrace{u} \quad \boxed{v}$$





Q: Why adjacency matrix?

After-class Exercise: Evasiveness of connectivity of undirected graphs

Evasiveness
$$\triangleq$$
 check $\binom{n}{2}$ edges (adjacency matrix)

Q: Is connectivity evasive?

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Hint: Anti-Kruskal

- ▶ undirected (connected) graph G
- ▶ edges oriented *s.t.*

$$\forall v, \mathsf{in}[v] \geq 1$$

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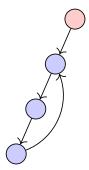
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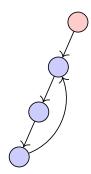
- ightharpoonup undirected (connected) graph G
- ▶ edges oriented s.t.

$$\forall v, \mathsf{in}[v] \ge 1$$

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DFS from $v \in C$

 $Q: \mathsf{BFS}?$



Shortest cycle of undirected graph (Problem 4.12)

A WRONG DFS-based algorithm:

 $\forall v : \mathsf{level}[v]$

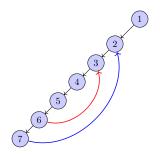
Back edge $u \to v$: level[u] - level[v] + 1

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Shortest cycle of digraph (Problem 4.12)

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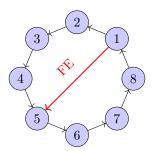
$$\mathsf{Back}\ \mathsf{edge}\ u \to v : \mathsf{level}[u] - \mathsf{level}[v] + 1$$

Shortest cycle of digraph (Problem 4.12)

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TOPOSORT by Tarjan (probably), 1976

$$\sharp \text{ cycle } \Longrightarrow \boxed{u \to v \iff \mathsf{f}[v] < \mathsf{f}[u]}$$

On digraphs:

$$\nexists$$
 back edge \iff DAG \iff \exists topo. ordering

TOPOSORT by Tarjan (probably), 1976

Sort vertices in *decreasing* order of their *finish* times.

- $lackbox{Queue }Q$ for source vertices $(\inf[v]=0)$
- ▶ Repeat: DEQUEUE($\exists u \in Q$), output u delete u and $u \to v$ from Q, Enqueue(v) if in[v] = 0

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Every DAG has at least one source (and at least one sink vertex).

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$$O(m+n)$$

Lemma (Correctness of Kahn's TOPOSORT)

Every DAG has at least one source (and at least one sink vertex).

Q: What if G is not a DAG?

Taking courses in few semesters (Problem 4.20)

- ightharpoonup n courses
- ▶ m of $c_1 \rightarrow c_2$: prerequisite
- ► Goal: taking courses in few semesters

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Critical path *OR* Longest path using DFS in O(n+m)

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Critical path *OR* Longest path using DFS in O(n+m)

For general digraph, LONGEST-PATH is NP-hard.

Line up (Problem 4.22)

- 1. i hates j: $i \succ j$
- 2. i hates j: #i < #j

Toposort

Critical path OR Longest path

HP: path visiting each vertex once

 $Q:\exists$ HP in a DAG in O(n+m)

HP: path visiting each vertex once

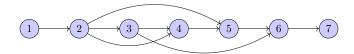
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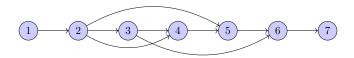
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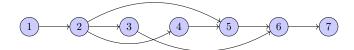
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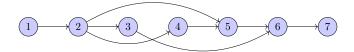
DAG: \exists HP \iff \exists ! topo. ordering

Tarjan's TOPOSORT + Check edges (v_i, v_{i+1})

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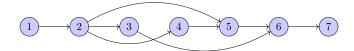


Tarjan's TOPOSORT + Check edges (v_i, v_{i+1})



Kahn's TOPOSORT (Problem 4.16)

Tarjan's TOPOSORT + Check edges (v_i, v_{i+1})



Kahn's TOPOSORT (Problem 4.16)

$$|Q| \leq 1$$

Theorem (Digraph as DAG (Problem 4.6))

Every digraph is a dag of its SCCs.

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Two tiered structure of digraphs:

 $digraph \equiv a dag of SCCs$

SCC: equivalence class over reachability

Kosaraju's SCC algorithm, 1978

"SCCs can be topo-sorted

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(I) DFS on G; DFS/BFS on G^T

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"SCCs can be topo-sorted in decreasing order of their highest finish time."

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- (I) DFS on G; DFS/BFS on G^T
- (II) DFS on G^T ; DFS/BFS on G

Kosaraju's SCC algorithm, 1978 (Problem 4.7)

 $1\mathsf{st}\;\mathsf{DFS} \stackrel{?}{\Longrightarrow} \mathsf{BFS}$

 $2\mathsf{nd}\;\mathsf{DFS} \stackrel{?}{\Longrightarrow} \mathsf{BFS}$

Kosaraju's SCC algorithm, 1978 (Problem 4.7)

1st DFS $\stackrel{?}{\Longrightarrow}$ BFS

 $2 \text{nd DFS} \stackrel{?}{\Longrightarrow} BFS$

1st DFS: toposort between SCCs

2nd DFS: reachability within an SCC

Kosaraju's SCC algorithm, 1978 (Problem 4.7)

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$$\stackrel{?}{\Longrightarrow}$$
 BFS

2nd DFS
$$\stackrel{?}{\Longrightarrow}$$
 BFS

1st DFS: toposort between SCCs

2nd DFS: reachability within an SCC

 $\mathsf{digraph} \equiv \mathsf{a} \; \mathsf{dag} \; \mathsf{of} \; \mathsf{SCCs}$

$$v:v \leadsto^? \forall u$$

$$\exists ? \ v : v \leadsto \forall u$$

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SCC

 $\exists !$ source vertex $v \iff v \leadsto \forall u$

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SCC

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SCC

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 \iff : \exists ! source

 \Longrightarrow : By contradiction.

 $\exists u: v \not \rightsquigarrow u \land \mathsf{in}[u] > 0 \implies \exists \mathsf{ cycle}$

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- $\operatorname{arg\,min}_v\operatorname{impact}(v)$
- $arg max_v impact(v)$

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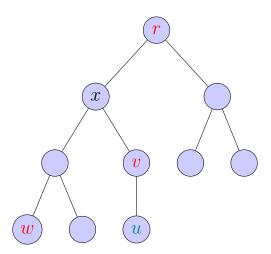
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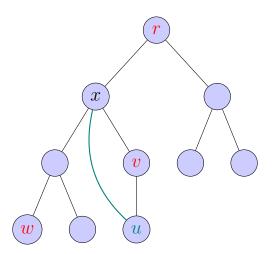
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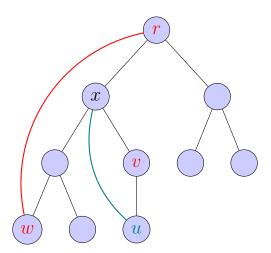
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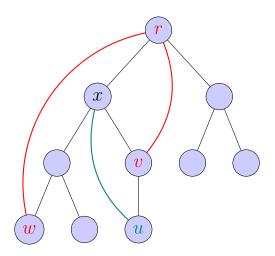
 $\underset{v}{\operatorname{arg\,max\,impact}}(v) \in \mathsf{source} \ \mathsf{SCC}$

 $Q: \forall v, \mathsf{computing} \mathsf{impact}(v)$







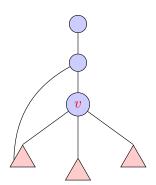


back[v]: the earliest reachable ancestor of v

- (I) When and how to update back[v]?
- (II) When and how to identify a bicomponent?

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Proof.

if ever updated

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Root cutnode v (Problem 4.8)

v is a cutnode \iff out $[v] \geq 2$

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