

Minimum Spanning Tree (MST)

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Minimum Spanning Tree (MST)

1 Cut Property and Cycle Property

2 Updating MST

3 Variants of MST

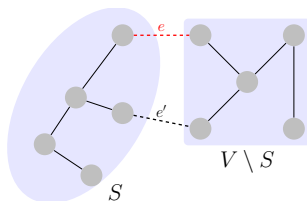
4 MST vs. Shortest Paths

Cut property of MST

Cut Property [Problem: 3.6.18 (a)]

- ▶ Graph $G = (V, E)$ (undirected, connected, weighted)
- ▶ Weights are distinct
- ▶ A cut $(S, V \setminus S)$ where $S, V - S \neq \emptyset$
- ▶ Let $e = (u, v)$ be a minimum-weight edge across $(S, V \setminus S)$

Then e must be in *some* MST of G .



Cut property of MST

Cut Property [Problem: 3.6.18 (a)]

Proof.

Basic idea: T is an MST of G .

- ▶ $e \in T$
- ▶ $e \notin T \Rightarrow e \in T'$
 - ▶ $T + \{e\}$ to construct a cycle C
 - ▶ $\exists e' = (u', v') \in C$ ($e' \in P_{u,v}$), e' crosses $(S, V \setminus S)$
 - ▶ $T' = T + \{e\} - \{e'\}$: spanning tree (connected, acyclic)
 - ▶ $w(e') \geq w(e) \Rightarrow w(T') \leq w(T) \Rightarrow w(T') = w(T)$



Remark.

- ▶ a minimum-weight edge; \in some MST
- ▶ exchange argument

Cut property of MST

Application of cycle property [Problem: 3.6.15]

- ▶ [Problem: 3.6.15 (3)]: $e \in G$ is a lightest edge $\Rightarrow e \in \exists$ MST of G
- ▶ [Problem: 3.6.15 (4)]: $e \in G$ is the unique lightest edge $\Rightarrow e \in \forall$ MST
- ▶ [Problem: 3.6.15 (9)]: $e = (u, v) \in \exists$ MST T of $G \Rightarrow e$ is a lightest edge across some cut $(S, V \setminus S)$ (*converse of cut property*)

Solution.

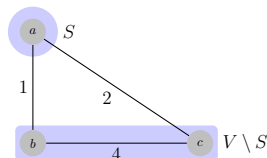
- ▶ [Problem: 3.6.15 (3)]: $(S = \{u\}, V \setminus S)$
- ▶ [Problem: 3.6.15 (4)]: By contradiction. $e \notin T$;
 $T' = T + \{e\} - \{e'\} \Rightarrow w(T') < w(T)$
- ▶ [Problem: 3.6.15 (9)]:
 1. to find the cut $(S, V \setminus S)$
 - ▶ $T - \{e\}$
 2. to prove that e is a lightest edge across $(S, V \setminus S)$
 - ▶ by contradiction: $T' = T - \{e\} + \{e'\}$

Cut property of MST

Wrong divide-and-conquer algorithm for MST [Problem: 3.6.29]

- ▶ $G = (V, E, w)$
- ▶ $(V_1, V_2) : ||V_1| - |V_2|| \leq 1$
- ▶ $T_1 + T_2 + \{e\}$: e is a lightest edge across (V_1, V_2)

Solution.

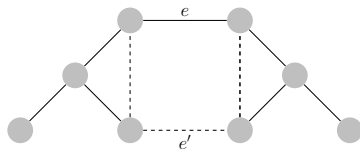


Cycle property of MST

Cycle property [Problem: 3.6.18 (b)]

- ▶ $G = (V, E, w)$
- ▶ Let C be any cycle in G
- ▶ $e = (u, v)$ is a maximum-weight edge in C

Then \exists MST T of $G : e \notin T$.



Cycle property of MST

Cycle property [Problem: 3.6.18 (b)]

Proof.

Basic idea: pick any MST T of G

- ▶ $e \notin T$
- ▶ $e \in T \Rightarrow e \notin T'$
 - ▶ $T - \{e\} \Rightarrow (S, V \setminus S)$
 - ▶ $\exists e' = (u', v') \in C$ ($e' \in P_{u,v}$) across the cut
 - ▶ $T' = T - \{e\} + \{e'\}$: spanning tree
 - ▶ $w(e') \leq w(e) \Rightarrow w(T') \leq w(T) \Rightarrow w(T') = w(T)$



Remark.

- ▶ Why don't we pick any $e' \in C$?
- ▶ “Anti-Kruskal” (reverse-delete; also by Kruskal) [Problem: 3.6.20 (c)]

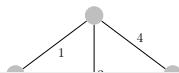
Applications of cycle property

Applications of cycle property [Problem: 3.6.15]

- ▶ [Problem: 3.6.15 (2)]: $C \subseteq G, e \in C$, e is the unique maximum-weighted edge of $C \Rightarrow e \notin \text{any MST of } G$
- ▶ [Problem: 3.6.15 (5); 3.6.18 (c)]: $C \subseteq G, e \in C$, e is the unique lightest edge of $C \Rightarrow e \in \forall \text{ MST}$
- ▶ [Problem: 3.6.15 (1)]: $G = (V, E), |E| > |V| - 1$, e unique maximum-weighted edge $\Rightarrow e \notin \text{any MST}$
- ▶ [Problem: 3.6.20 (a)]: e does not belong to any cycle $\Rightarrow e \in \forall \text{ MST}$

Solution.

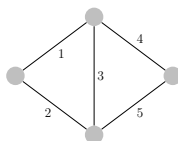
- ▶ [Problem: 3.6.15 (2)]: By contradiction. $T' = T - \{e\} + \{e'\}$
- ▶ [Problem: 3.6.15 (5); 3.6.18 (c)]



Properties of MST

✓ or ✗ [Problem: 3.6.15]

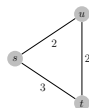
1. ✗ $|E| > |V| - 1$, e is the unique maximum edge $\Rightarrow e$ does not belong to any MST.
2. ✓ If G has a cycle with a unique maximum edge e , then e cannot be part of any MST. (Prove: Cycle property)
3. ✓ Let e be any edge of minimum edge in G . Then e belongs to some MST. (Prove: Cut property)
4. ✓ If the minimum edge is unique, then it belongs to every MST.
5. ✗ If G has a cycle with a unique minimum edge e , then e belongs to every MST.



Properties of MST

✓ or ✗ [Problem: 3.6.15]

6. ✗ The shortest-path tree computed by Dijkstra's algorithm is necessarily an MST.
7. ✗ The shortest path between two nodes is necessarily part of some MST.



8. ✓ Prim's algorithm works correctly when there are negative edges.
9. ✓ If e belongs to some MST, then e is a minimum edge across some cut.
10. ✓ $w > 0$; Vertex s ; shortest-path tree of s and some MST share a common edge [Problem: 6.1.5]
11. ✓ $w'(e) = (w(e))^2$ [Problem: 6.2.2]

Uniqueness of MST

Uniqueness of MST [Problem: 3.6.21]

Distinct weights \Rightarrow unique MST.

Solution.

Proof.

By contradiction: two MSTs $T_1 \neq T_2$.

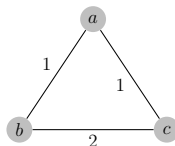
- ▶ $\Delta E = \{e \mid e \in T_1 \setminus T_2 \vee e \in T_2 \setminus T_1\}$
- ▶ $e = \min \Delta E$. Suppose $e \in T_1 \setminus T_2$
- ▶ $T_2 + \{e\} \Rightarrow C$
- ▶ $\exists (e' \in C) \notin T_1 \Rightarrow e' \in T_2 \setminus T_1 \Rightarrow e' \in \Delta E \Rightarrow w(e') > w(e)$
- ▶ $e' \in T_2 \setminus T_1 \Rightarrow e' \in \Delta E$
- ▶ $T' = T_2 + \{e\} - \{e'\} \Rightarrow w(T') < w(T_2)$



Uniqueness of MST

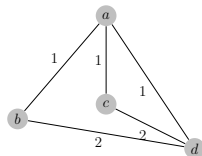
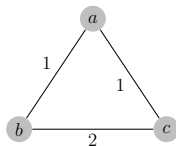
Conditions for Uniqueness of MST [Problem: 3.6.19]

- ▶ [Problem: 3.6.19 (a)]: unique MST \nRightarrow equal weights



- ▶ [Problem: 3.6.19 (c)]: Counterexamples

- ▶ ~~X~~cut: minimum-weight edge across any cut is unique
- ▶ ~~X~~cycle: maximum-weight edge in any cycle is unique



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Updating MST

Decreasing/increasing edge weight [Problem: 3.6.6]

G and an MST T

1. $w(e)$ is decreased: $w'(e) = w(e) - k$
2. $w(e)$ is increased

Solution for (1).

- ▶ $e \in T$: no need to update $T' = T$.

$$w'(T') = w(T) - k \Rightarrow w'(T') < w(T).$$

To prove that T' is an MST of G' :

Suppose $\exists T'' : T''$ is an ST of G' and $w'(T'') < w'(T')$.

- ▶ $e \notin T''$: $w(T'') = w'(T'') < w'(T') < w(T)$
- ▶ $e \in T''$: $w(T'') = w'(T'') + k < w'(T') + k = w(T)$
- ▶ $e \notin T$: $T' = T + \{e\} - \{e'\}$; e' is the maximum-weight edge in cycle and $w(e') > w(e)$
- ▶ $e \notin T''$: $w(T'') = w'(T'') < w'(T') < w(T)$

Updating MST

Adding vertex to MST [Problem: 3.6.2]

- ▶ $G = (V, E)$; an MST T
- ▶ $G' = (V', E')$: $V' = V + \{X\}$, $E' = E + E_X$; E_X : incident edges to X
- ▶ To find an MST T' of G'

Solution.

1. Recomputing $O((m + n) \log n)$
2.
 - ▶ There exists an MST of G' that includes no edges in $G \setminus T$
 - ▶ Run MST alg. on $G'' = (V + \{X\}, T + E_X)$
 - ▶ $O(n \log n)$
3. $O(n)$
 - ▶ “On Finding and Updating Spanning Trees and Shortest Paths”, 1975
 - ▶ “Algorithms for Updating Minimum Spanning Trees”, 1978

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Variants of MST

Feedback edge set: [Problem: 3.6.4]

1. maximum spanning tree
2. (minimum) feedback edge set:
 - ▶ a set of edges which, when removed from the graph, leave an acyclic graph G'
 - ▶ assuming G is connected $\Rightarrow G'$ is connected
 - ▶ feedback *arc* set: “cycle” \Rightarrow circular dependency

Solution.

- ▶ G' is connected + acyclic $\Rightarrow G'$ is an ST
- ▶ $\text{FES} \Leftrightarrow G \setminus \text{Max-ST}$

Variants of MST

Edge weights [Problem: 3.6.15 (8); 3.6.16]

- ▶ [Problem: 3.6.15 (8)]: negative edges for Prim algorithm
- ▶ [Problem: 3.6.16]: $w'(e) = (w(e))^2$

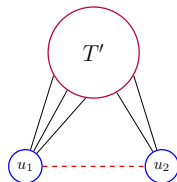
Variants of MST

MST with specified leaves: [Problem: 3.6.7]

- ▶ $G = (V, E), U \subset V$
- ▶ finding an MST with U as leaves

Solution.

- ▶ $G' = G \setminus U$
- ▶ MST T' of G'
- ▶ attach $\forall u \in U$ to T' (lightest edge)



Variants of MST

ST with specified edges: [Problem: 3.6.10]

- ▶ $G = (V, E), S \subset E$ (no cycle in S)
- ▶ finding an MST with E as edges

Solution.

- ▶ contract each isolated component of S to a *super-vertex*
- ▶ $G \rightarrow G'$
- ▶ find MST of G'

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MST vs. shortest paths

[Problem: 3.6.15]

- ▶ [Problem: 3.6.15 (6)]: Dijkstra \Rightarrow SSSP tree \Rightarrow ? MST
- ▶ [Problem: 3.6.15 (7)]: $s \rightarrow t$ shortest path \Rightarrow ? $\subseteq \exists$ MST

MST vs. shortest paths

Sharing edges [Problem: 3.6.5]

- ▶ $G = (V, E), w(e) > 0$
- ▶ Given s : all sssp trees from s must share some edge with **all** (some) MSTs of G

Solution

E' : lightest edges leaving s

- ▶ any MST T of G : $T \cap E' \neq \emptyset$
- ▶ $E' \subset \forall$ sssp trees

