Dynamic Programming

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我走过最长的路就是你的套路

Steps for applying DP:

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- (1) Define subproblems
 - # of subproblems
- (2) Set the goal
- (3) Define the recurrence
 - ▶ larger subproblem ← # smaller subproblems
 - ▶ init. conditions

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 - ▶ larger subproblem ← # smaller subproblems
 - ▶ init. conditions
- (4) Write pseudo-code
 - fill "table" in some order
- (5) Analyze the time complexity
- (6) Extract the optimal solution (optionally)

Common subproblems in DP: 1D subproblems

Input: x_1, x_2, \ldots, x_n (array, sequence, string)

Subproblems: x_1, x_2, \ldots, x_i (prefix/suffix)

 $\#: \Theta(n)$

Examples: Maximum-sum subarray, Longest increasing subsequence,

Text justification (LATEX)

Common subproblems in DP: 2D subproblems

```
1. Input: x_1, x_2, \ldots, x_m; y_1, y_2, \ldots, y_n

Subproblems: x_1, x_2, \ldots, x_i; y_1, y_2, \ldots, y_j

#: \Theta(mn)

Examples: Edit distance, Longest common subsequence
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Subproblems: x_1, x_2, \ldots, x_i; y_1, y_2, \ldots, y_j

#: \Theta(mn)

Examples: Edit distance, Longest common subsequence
```

- 2. Input: x_1, x_2, \dots, x_n Subproblems: x_i, \dots, x_j $\#: \Theta(n^2)$
 - Examples: Matrix chain multiplication, Optimal BST

Common subproblems in DP: 3D subproblems

► Floyd-Warshall algorithm

$$\mathsf{d}(i,j,k) = \min\{\mathsf{d}(i,j,k-1), \mathsf{d}(i,k,k-1) + \mathsf{d}(k,j,k-1)\}$$

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DP on graphs:

1. On rooted tree

Subproblems: rooted subtrees

2. On DAG

Subproblems: nodes after/before in the topo. order

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Knapsack problem:

▶ Subset sum problem, change-making problem



And Others . . .

Recurrences in DP: Make choices by asking yourself the right question

- (1) Binary choice
 - whether . . .
- (2) Multi-way choices
 - ▶ where to . . .
 - ▶ which one ...

1D DP

$$f^{(S(n))} = 1$$
 (Problem 14.3)

$$f(n) = \begin{cases} n-1 & \text{if } n \in \mathbb{Z}^+ \\ n/2 & \text{if } n \% \ 2 = 0 \\ n/3 & \text{if } n \% \ 3 = 0 \end{cases}$$

S(n): minimum number of steps taking n to 1.

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S(n): minimum number of steps taking n to 1.

S(i): minimum number of steps taking i to 1

$$S(i) = 1 + \min\{S(i-1), S(i/2) (\text{if } n\%2 = 0), S(i/3) (\text{if } n\%3 = 0)\}$$

$$S(1) = 0$$



Longest Increasing Subsequence (Problem 14.4)

- ▶ Given an integer array $A[1 \dots n]$
- ► To find (the length of) a longest increasing subsequence.

$$5, 2, 8, 6, 3, 6, 9, 7 \implies 2, 3, 6, 9$$

Subproblem: L(i) : the length of the LIS ending with A[i]

Goal: $\max_i L(i)$

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Goal: $\max_i L(i)$

Make choice: whether $A[i] \in LIS[1 \dots i]$?

Recurrence:

$$L(i) = \max\{L(i-1), 1 + \max_{j < i \land A[j] \le A[i]} L(j)\}$$

Subproblem: L(i): the length of the LIS ending with A[i]

Goal: $\max_i L(i)$

Make choice: whether $A[i] \in LIS[1 \dots i]$?

Recurrence:

$$L(i) = \max\{L(i-1), 1 + \max_{j < i \land A[j] \le A[i]} L(j)\}$$

Init:

$$L(0) = 0$$

Time:

$$O(n^2) = \Theta(n) \cdot O(n)$$

2D DP

LCS: Longest Common Subsequence (Problem 14.6)

$$X = X_1 \cdots X_m \quad Y = Y_1 \cdots Y_n$$

(1) Find (the length of) an LCS of X and Y

$$X = \langle A, B, C, B, D, A, B \rangle$$
$$Y = \langle B, D, C, A, B, A \rangle$$
$$Z = \langle B, C, B, A \rangle$$

Subproblem: L[i,j]: the length of an LCS of $X[1\cdots i]$ and $Y[1\cdots j]$

Goal: L[m,n]

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Goal: L[m, n]

Make choice: Is $X_i = Y_j$?

Recurrence: (Proof!)

$$L[i,j] = \begin{cases} L[i-1,j-1] + 1 & \text{if } X_i = Y_j \\ \max\{L[i-1,j], L[i,j-1]\} & \text{if } X_i \neq Y_j \end{cases}$$

Subproblem: L[i,j]: the length of an LCS of $X[1\cdots i]$ and $Y[1\cdots j]$

Goal: L[m, n]

Make choice: Is $X_i = Y_i$?

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$$L[i,j] = \begin{cases} L[i-1,j-1] + 1 & \text{if } X_i = Y_j \\ \max\{L[i-1,j], L[i,j-1]\} & \text{if } X_i \neq Y_j \end{cases}$$

Init:

$$L[0, j] = 0, \ 0 \le j \le n$$

 $L[i, 0] = 0, \ 0 \le i \le m$

Time: $\Theta(mn)$

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Longest Common Subsequence (Problem 14.6)

$$X = X_1 \cdots X_m \quad Y = Y_1 \cdots Y_n$$

- (2) Allowing repetition of X
- (3) Allowing repetition $\leq k$ of X

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$$X \implies X^{(k)} \triangleq X_1^{(k)} \cdots X_m^{(k)}$$



Longest Contiguous Substring Both Forward and Backward (Problem 14.7)

- ▶ String $T[1 \cdots n]$
- ▶ Find a longest contiguous substring (LCS) both forward and backward

dynamicprogrammingmanytimes

- lacksquare Subproblem L[i]: the length of an LCS in $T[1\cdots i]$
- lacksquare Subproblem L[i,j]: the length of an LCS in $T[i\cdots j]$

Subproblem: L[i,j]: the length of an LCS starting with T_i and ending

with T_j

Goal: $\max_{1 \le i \le j \le n} L[i, j]$

Subproblem: L[i,j]: the length of an LCS starting with T_i and ending

with T_j

Goal: $\max_{1 \le i \le j \le n} L[i, j]$

Make choice: Is $T_i = T_i$?

Recurrence:

$$L[i,j] = \begin{cases} 0 & \text{if } T_i \neq T_j \\ L[i+1,j-1] + 1 & \text{if } T_i = T_j \end{cases}$$

Subproblem: L[i,j]: the length of an LCS starting with T_i and ending

with T_j

Goal: $\max_{1 \le i \le j \le n} L[i, j]$

Make choice: Is $T_i = T_i$?

Recurrence:

$$L[i,j] = \begin{cases} 0 & \text{if } T_i \neq T_j \\ L[i+1,j-1] + 1 & \text{if } T_i = T_j \end{cases}$$

Init:

$$L[i, i] = 0, \ 0 \le i \le n$$

$$L[i, i+1] = \begin{cases} 1 & \text{if } T_i = T_{i+1} \\ 0 & \text{if } T_i \ne T_{i+1} \end{cases}$$

Code: three ways of filling the table







for all
$$d \leftarrow 2 \dots n-1$$
 do
for all $i \leftarrow 1 \dots n-d$ do

$$j \leftarrow i + d$$

. . .

$$\mathbf{return} \, \max_{1 \leq i \leq j \leq n} L[i,j]$$

Longest Palindrome Subsequence (Problem 14.11 (1))

(1) Find (the length of) a longest palindrome subsequence of $S[1\cdots n]$

Subproblem: L[i,j]: the length of an LSP of $S[i\cdots j]$

Goal: L[1, n]

Longest Palindrome Subsequence (Problem 14.11 (1))

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Subproblem: L[i,j]: the length of an LSP of $S[i\cdots j]$

Goal: L[1, n]

Make choice: Is S[i] = S[j]?

Recurrence:

$$L[i,j] = \begin{cases} L[i+1,j-1] + 2 & \text{if } S[i] = S[j] \\ \max\{L[i+1,j], L[i,j-1]\} & \text{if } S[i] \neq S[j] \end{cases}$$

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Init:

$$\begin{split} L[i,i] &= 1, \ \forall 1 \leq i \leq n \\ \underline{L[i,i+1]} &= 2, \ \text{if} \ S[i] = S[i+1], \ \forall 1 \leq i \leq n-1 \end{split}$$

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(2) Split a string $S[1\dots n]$ into minimum number of palindromes (# cuts)

Subproblem: C[i,j]: minimum number of cuts for string $S[i \dots j]$ Goal: C[1,n]+1

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Subproblem: C[i,j]: minimum number of cuts for string $S[i \dots j]$

Goal: C[1, n] + 1

Make choice: Where is the first cut?

Recurrence:

$$C[i,j] = \left\{ \begin{array}{l} 0 \ \ \text{if} \ S[i \dots j] \ \ \text{is a palindrome} \\ \min_{i+1 \leq k \leq j-1} C[i,k-1] + 1 + C[k,j] \quad \ \text{o.w} \end{array} \right.$$

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Subproblem: C[i,j]: minimum number of cuts for string $S[i\ldots j]$

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 $\mathsf{Init:}\ C[i,i] = 0$

Time: $O(n^3)$

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(2) Split a string $S[1 \dots n]$ into minimum number of palindromes

Subproblem: P[i]: minimum number of palindromes for $S[1\cdots i]$

Goal: P[n]

(2) Split a string S[1...n] into minimum number of palindromes

Subproblem: P[i]: minimum number of palindromes for $S[1 \cdots i]$

Goal: P[n]

Make choice: Where does the last palindrome start from?

Recurrence:

$$P[i] = \min_{\substack{1 \leq k \leq i \\ S[k \dots i] \text{ is a palindrome}}} P[k-1] + 1$$

(2) Split a string $S[1 \dots n]$ into minimum number of palindromes

Subproblem: P[i]: minimum number of palindromes for $S[1 \cdots i]$

Goal: P[n]

Make choice: Where does the last palindrome start from?

Recurrence:

$$P[i] = \min_{\substack{1 \leq k \leq i \\ S[k \dots i] \text{ is a palindrome}}} P[k-1] + 1$$

Init: P[0] = 1

Time: $O(n^3)$ vs. $O(n^2)$

Dynamic Programming

- **1** 3D DP
- 2 Summary

Floyd-Warshall algorithm

(1) DP for Floyd-Warshall algorithm for APSP on directed graphs

Subproblem: $\operatorname{dist}[i,j,k]$: the length of the shortest path from i to j via

only nodes in $v_1 \cdots v_k$

Goal: $dist[i, j, n], \forall i, j$

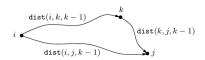


Floyd-Warshall algorithm

(1) DP for Floyd-Warshall algorithm for APSP on directed graphs

Make choice: Is v_k on the ShortestPath[i, j, k]? Recurrence:

$$\mathsf{dist}[i,j,k] = \min\{\mathsf{dist}[i,j,k-1],\mathsf{dist}[i,k,k-1] + \mathsf{dist}[k,j,k-1]\}$$

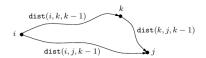


Floyd-Warshall algorithm

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$$\mathsf{dist}[i,j,k] = \min\{\mathsf{dist}[i,j,k-1],\mathsf{dist}[i,k,k-1] + \mathsf{dist}[k,j,k-1]\}$$



Init:

$$\mathsf{dist}[i,j,0] = \left\{ \begin{array}{ll} \mathbf{0} & i = j \\ w(i,j) & (i,j) \in E \\ \infty & \mathsf{o.w.} \end{array} \right.$$

Floyd-Warshall algorithm (Problem 6.25)

(2) Routing table for Floyd-Warshall algorithm

```
\begin{aligned} & \text{for all } k \leftarrow 1 \dots n \text{ do} \\ & \text{for all } i \leftarrow 1 \dots n \text{ do} \\ & \text{for all } j \leftarrow 1 \dots n \text{ do} \\ & \text{if } \operatorname{dist}[i,j] > \operatorname{dist}[i,k] + \operatorname{dist}[k,j] \text{ then} \\ & \operatorname{dist}[i,j] \leftarrow \operatorname{dist}[i,k] + \operatorname{dist}[k,j] \end{aligned}
```

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Time: $\Theta(n^3)$ Space: $\Theta(n^2)$



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```

Time: $\Theta(n^3)$ Space: $\Theta(n^2)$

Floyd-Warshall algorithm (Problem 6.25)

(2) Routing table for Floyd-Warshall algorithm

```
for all i \leftarrow 1 \dots n do
      for all i \leftarrow 1 \dots n do
            \mathsf{dist}[i,j] \leftarrow \infty
            Go[i, j] \leftarrow Nil
for all (i, j) \in E do
     \mathsf{dist}[i,j] \leftarrow w(i,j)
      Go[i,j] \leftarrow j
for all i \leftarrow 1 \dots n do
      \mathsf{dist}[i,i] \leftarrow 0
      Go[i, i] \leftarrow NiI
```

Floyd-Warshall algorithm (Problem 6.25)

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            Go[i, j] \leftarrow Nil
for all (i, j) \in E do
      \mathsf{dist}[i,j] \leftarrow w(i,j)
      Go[i, j] \leftarrow j
for all i \leftarrow 1 \dots n do
      \mathsf{dist}[i,i] \leftarrow 0
      Go[i, i] \leftarrow Nil
```

```
procedure \operatorname{PATH}(i,j)

if \operatorname{Go}[i,j] = \operatorname{Nil} then

Output "No Path."

Output "i"

while i \neq j do

i \leftarrow \operatorname{Go}[i,j]

Output "i"
```

Floyd-Warshall algorithm (Problem 6.29)

(3) Find minimum-weighted cycle of directed graph (w(e) > 0)

$$\mathsf{dist}[i,i] \leftarrow 0 \implies \mathsf{dist}[i,i] \leftarrow \infty$$

$$\forall i: \mathsf{dist}[i,i] = \infty$$

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$$Q: \exists e: w(e) < 0$$



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$$\forall i: \mathsf{dist}[i,i] = \infty$$

$$Q: \exists e: w(e) < 0$$

$$\exists i: \mathsf{dist}[i,i] < 0 \qquad \qquad \forall i: \mathsf{dist}[i,i] \geq 0 \; (=\infty)$$

Shortest paths on undirected graphs

Finding shortest paths in undirected graphs with possibly negative edge weights



The book "Algorithms" by Robert Sedgewick and Kevin Wayne hinted that (see the quote below) there are efficient algorithms for finding shortest paths in undirected graphs with possibly negative edge weights (not by treating an undirected edge as two directed one which means that a single negative edge implies a negative cycle). However, no references are given in the book. Are you aware of any such algorithms?



Q. How can we find shortest paths in undirected (edge-weighted) graphs?

A For positive edge weights, Dijkstra's algorithm does the job. We just build an EdgeWeightedDigraph corresponding to the given EdgeWeightedGraph (by adding two directed edges corresponding to each undirected edge, one in each direction) and then run Dijkstra's algorithm. If edge weights can be negative (emphasis added), efficient algorithms are available, but they are more complicated than the Bellman-Ford algorithm.



https://cs.stackexchange.com/q/76578/4911



DP on Graphs

Minimum Vertex Cover on Trees (Problem 14.14)

- ▶ Undirected tree T = (V, E); No designated root!
- lacktriangle Compute (the size of) a minimum vertex cover of T



Subproblem: I(u): the size of an MVC of subtree T_u rooted at u

Goal: I(r)

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Goal: I(r)

Make choice: Is u in MVC[u]?

Recurrence:

$$I(u) = \min\{\# \text{ children of } u + \sum_{v: \text{ grandchildren of } u} I(v)\}$$

$$1 + \sum_{v: \text{ children of } u} I(v)\}$$

Subproblem: I(u): the size of an MVC of subtree T_u rooted at u

Goal: I(r)

Make choice: Is u in MVC[u]?

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$$I(u) = \min\{\# \text{ children of } u + \sum_{v: \text{ grandchildren of } u} I(v)$$

$$1 + \sum_{v: \text{ children of } u} I(v)\}$$

Init: I(u) = 0, if u is a leave



DFS on T from root r:

when u is "finished":

if u is a leave then

$$I(u) \leftarrow 0$$

else

$$I(u) \leftarrow \dots$$



DFS on T from root r:

when u is "finished": if u is a leave then $I(u) \leftarrow 0$

else

$$I(u) \leftarrow \dots$$

Greedy algorithm (Rough Proof!):

Theorem

There is an MVC which contains no leaves.

The Knapsack Problem

The Change-making Problem (Problem 14.13)

ightharpoonup Coins values: $x_1 \dots x_n$

► Amount: *v*

 \blacktriangleright Is it possible to make change for v?

The change-making problem (Problem $14.13\ (2)$, Problem $14.2\ (Subset\ sum))$

(2) Without repetition (0/1)

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Subproblem: C[i, w]: Make change for w using only values of $x_1 \dots x_i$? Goal: C[n, v]

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(2) Without repetition (0/1)

Subproblem: C[i, w]: Make change for w using only values of $x_1 \dots x_i$?

Goal: C[n,v]

Make choice: Using value x_i or not?

Recurrence:

$$C[i,w] = C[i-1,w] \lor (C[i-1,w-x_i] \land w \ge x_i)$$

The change-making problem (Problem $14.13\ (2)$, Problem $14.2\ (Subset sum))$

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Make choice: Using value x_i or not?

Recurrence:

$$C[i,w] = C[i-1,w] \lor (C[i-1,w-x_i] \land w \ge x_i)$$

Init:

$$\begin{split} C[i,0] &= \mathsf{true} \\ C[0,w] &= \mathsf{false}, \mathsf{if} \ w > 0 \\ C[0,0] &= \mathsf{true} \end{split}$$

The Change-making Problem (Problem 14.13(1))

(1) Unbounded repetition (∞)

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Make choice: Using value x_i or not?

Recurrence:

$$C[i, w] = C[i - 1, w] \lor (C[i, w - x_i] \land w \ge x_i)$$

Init:

$$C[i,0] = \mathsf{true}, \forall i = 0 \dots n$$

 $C[0,w] = \mathsf{false}, \mathsf{if}\ w > 0$

Time: O(nv)

(1) Unbounded repetition (∞)



(1) Unbounded repetition (∞)

Subproblem: C[w]: Possible to make change for w?

Goal: C[v]

(1) Unbounded repetition (∞)

Subproblem: C[w]: Possible to make change for w?

Goal: C[v]

Make choice: What is the first coin to use?

$$C[w] = \bigvee_{i: x_i \le w} C[w - x_i]$$

(1) Unbounded repetition (∞)

Subproblem: C[w]: Possible to make change for w?

Goal: C[v]

Make choice: What is the first coin to use?

Recurrence:

$$C[w] = \bigvee_{i: x_i \le w} C[w - x_i]$$

Init: C[0] = true

Time: O(nv)

(1) Unbounded repetition (∞)

$$C[i,w]$$
 vs. $C[w]$

$$C[i,w] = C[i-1,w] \lor (C[i,w-x_i] \land w \ge x_i)$$

$$C[w] = \bigvee_{i: x_i < w} C[w - x_i]$$



(3) Unbounded repetition with $\leq k$ coins

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[i, w, l]: Possible to make change for w with $\leq l$ coins of

values of $x_1 \dots x_i$?

Goal: C[n, v, k]

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[i, w, l]: Possible to make change for w with $\leq l$ coins of

values of $x_1 \dots x_i$?

Goal: C[n, v, k]

Make choice: Using value x_i or not?

$$C[i, w, l] = C[i - 1, w, l] \lor (C[i, w - x_i, l - 1] \land w \ge x_i)$$

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[i, w, l]: Possible to make change for w with $\leq l$ coins of

values of $x_1 \dots x_i$?

Goal: C[n, v, k]

Make choice: Using value x_i or not?

Recurrence:

$$C[i, w, l] = C[i-1, w, l] \lor (C[i, w-x_i, l-1] \land w \ge x_i)$$

Init:

$$\begin{split} C[0,0,l] &= \mathsf{true}, \quad C[0,w,l] = \mathsf{false}, \mathsf{if} \ w > 0 \\ C[i,0,l] &= \mathsf{true}, \quad C[i,w,0] = \mathsf{false}, \mathsf{if} \ w > 0 \end{split}$$

(3) Unbounded repetition with $\leq k$ coins

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[w, l]: Possible to make change for w with $\leq l$ coins?

Goal: C[v,k]

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[w, l]: Possible to make change for w with $\leq l$ coins?

Goal: C[v,k]

Make choice: What is the first coin to use?

$$C[w,l] = \bigvee_{i: x_i \le w} C[w - x_i, l - 1]$$

(3) Unbounded repetition with $\leq k$ coins

Subproblem: C[w, l]: Possible to make change for w with $\leq l$ coins?

Goal: C[v,k]

Make choice: What is the first coin to use?

Recurrence:

$$C[w,l] = \bigvee_{i: x_i \le w} C[w - x_i, l - 1]$$

Init:

$$C[0,l] = \mathsf{true},$$

 $C[w,0] = \mathsf{false}, \mathsf{if}\ w > 0$



Dynamic Programming

- 1 3D DP
- Summary

More DPs . . .

Algorithms that use dynamic programming [edit | edit source]



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