Decompositions of Graphs — DFS/BFS, DAG, SCC, Bicomp

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John Hopcroft



Robert Tarjan

"For fundamental achievements in the design and analysis of algorithms and data structures."

— Turing Award, 1986

SIAM J. COMPUT. Vol. 1, No. 2, June 1972

DEPTH-FIRST SEARCH AND LINEAR GRAPH ALGORITHMS*

ROBERT TARJAN†

Abstract. The value of depth-first search or "backtracking" as a technique for solving problems is illustrated by two examples. An improved version of an algorithm for finding the strongly connected components of a directed graph and an algorithm for finding the biconnected components of an undirect graph are presented. The space and time requirements of both algorithms are bounded by $k_1V + k_2E + k_3$ for some constants k_1, k_2 , and k_3 , where V is the number of vertices and E is the number of edges of the graph being examined.

Key words. Algorithm, backtracking, biconnectivity, connectivity, depth-first, graph, search, spanning tree, strong-connectivity.

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"DFS is a powerful technique with many applications."

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Power of DFS:

Graph Traversal \implies Graph Decomposition

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Structure! Structure! Structure!



Graph *structure* induced by DFS:

states of v

types of u v

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states of v

types of \underbrace{u} \underbrace{v}

life time of v

 $\textcolor{red}{v}: \mathbf{d}[v], \mathbf{f}[v]$

d[v]: BICOMP

f[v]: Toposort, SCC

Definition (Classifying edges)

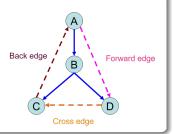
Given a DFS traversal \implies DFS tree:

Tree edge: \rightarrow child

Back edge: \rightarrow ancestor

Forward edge: $\rightarrow nonchild$ descendant

Cross edge: \rightarrow (\neg ancestor) \land (\neg descendant)



Definition (Classifying edges)

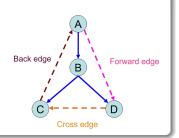
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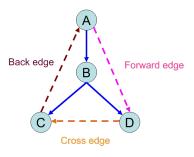
Back edge: \rightarrow ancestor

Forward edge: $\rightarrow nonchild$ descendant

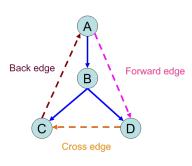
Cross edge: \rightarrow (\neg ancestor) \land (\neg descendant)



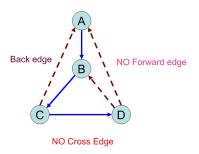
- ► Also applicable to BFS
- ▶ w.r.t. DFS/BFS trees



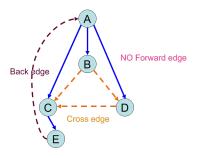
DFS on directed graph



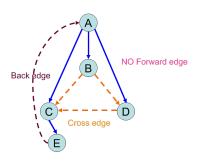
DFS on directed graph



DFS on undirected graph



BFS on directed graph



NO Back edge

B

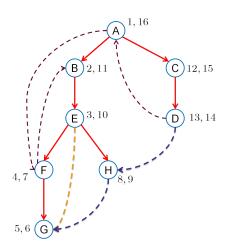
NO Forward edge

Cross Edge

BFS on directed graph

BFS on undirected graph (Problem 5.1)

Life time of vertices in DFS



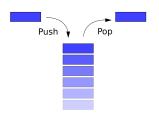
Theorem (Disjoint or Contained (Problem 4.2:(1)&(2)))

$$\forall u,v: [_u\]_u\cap [_v\]_v=\emptyset\bigvee \Big([_u\]_u\subset [_v\]_v\vee [_v\]_v\subset [_u\]_u\Big)$$

Theorem (Disjoint or Contained (Problem 4.2:(1)&(2)))

$$\forall u, v : [u]_u \cap [v]_v = \emptyset \bigvee \left([u]_u \subset [v]_v \vee [v]_v \subset [u]_u \right)$$

Proof.



$$\forall u \rightarrow v$$
:

- ▶ tree/forward edge: $[u \ [v \]v \]u$
- ▶ back edge: $[v \ [u \]u \]v$
- ightharpoonup cross edge: $[v]_v[u]_u$

$$\forall u \to v$$
:

- ▶ tree/forward edge: $[u \ [v \]v \]u$
- ▶ back edge: $\begin{bmatrix} v & u \end{bmatrix}_u \end{bmatrix}_v$
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$$f[v] < d[u] \iff edge$$

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$$\mathbf{f}[u] < \mathbf{f}[v] \iff \mathsf{back} \ \mathsf{edge}$$

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$$\mathbf{f}[v] < \mathbf{d}[u] \iff \mathbf{cross} \ \mathbf{edge}$$

$$f[u] < f[v] \iff back edge$$

$$\sharp \text{ cycle } \Longrightarrow \boxed{u \to v \iff f[v] < f[u]}$$

Counting shortest paths (Problem 5.10)

Counting # of shortest paths in (un)directed graphs using BFS.

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Counting # of shortest paths in (un)directed graphs using BFS.

Maybe in the next class...

	Digraph	Undirected graph
DFS		
BFS		

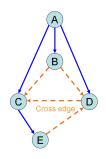
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DFS	$\text{back edge} \iff \text{cycle}$	
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DIS	$cycle \implies back edge$	cross edge \rightarrow cycle



Evasiveness
$$\triangleq$$
 check $\binom{n}{2}$ edges (adjacency matrix)

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Q: Is acyclicity evasive?

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Adversary A:





Algorithm \mathbb{A} :

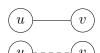
CheckEdge(u, v)

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Algorithm \mathbb{A} :

CHECKEDGE(u, v)

Hint: Kruskal



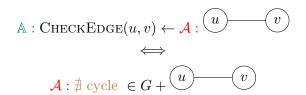


$$\mathbb{A}: \mathsf{CheckEdge}(u,v) \leftarrow \underline{\mathcal{A}}: \underbrace{u} \underbrace{v}$$

$$\Longleftrightarrow$$

$$\underline{\mathcal{A}}: \nexists \; \mathsf{cycle} \; \in G + \underbrace{u} \underbrace{v}$$





Q: Why adjacency matrix?

After-class Exercise: Evasiveness of connectivity of undirected graphs

Evasiveness
$$\triangleq$$
 check $\binom{n}{2}$ edges (adjacency matrix)

Q: Is connectivity evasive?

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Evasiveness
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 check $\binom{n}{2}$ edges (adjacency matrix)

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Hint: Anti-Kruskal

 $\nexists \text{ back edge} \iff \text{DAG} \iff \exists \text{ topo. ordering}$

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Toposort by Tarjan (probably), 1976

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Sort vertices in *decreasing* order of their *finish* times.

- ▶ Queue Q for source vertices (in[v] = 0)
- ▶ Repeat: Dequeue($\exists u \in Q$), output u delete u and $u \to v$ from Q,

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Lemma (Correctness of Kahn's Toposort)

Every DAG has at least one source (and at least one sink vertex).



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Lemma (Correctness of Kahn's Toposort)

Every DAG has at least one source (and at least one sink vertex).

Q: What if G is not a DAG?



HP: path visiting each vertex once

 $Q: \exists \text{ HP in a DAG in } O(n+m)$

HP: path visiting each vertex once

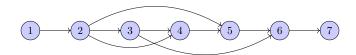
 $Q: \exists \text{ HP in a DAG in } O(n+m)$

For general (di)graph, HP is NP-hard.

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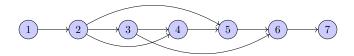
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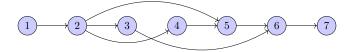
DAG: \exists HP \iff \exists ! topo. ordering

Tarjan's Toposort + Check edges (v_i, v_{i+1})

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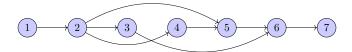


Tarjan's Toposort + Check edges (v_i, v_{i+1})



Kahn's Toposort (Problem 4.16)

Tarjan's Toposort + Check edges (v_i, v_{i+1})



Kahn's Toposort (Problem 4.16)

$$|Q| \leq 1$$

Theorem (Digraph as DAG (Problem 4.6))

Every digraph is a dag of its SCCs.

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Two tiered structure of digraphs:

 $digraph \equiv a dag of SCCs$

SCC: equivalence class over reachability

Kosaraju's SCC algorithm, 1978

"SCCs can be topo-sorted in decreasing order of their highest finish time."

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(I) DFS on G; DFS/BFS on G^T

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- (I) DFS on G; DFS/BFS on G^T
- (II) DFS on G^T ; DFS/BFS on G

$$v:v \leadsto^? \forall u$$

$$\exists ? \ v : v \leadsto \forall u$$

$$v:v \leadsto^? \forall u$$

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SCC

 $\exists!$ source vertex $v \iff v \leadsto \forall u$

$$v:v \leadsto^? \forall u$$

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SCC

 $\exists!$ source vertex $v \iff v \leadsto \forall u$

 $\Leftarrow=:\exists!$ source

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$$\exists ? \ v : v \leadsto \forall u$$

SCC

 $\exists ! \text{ source vertex } v \iff v \leadsto \forall u$

 $\Leftarrow=:\exists!$ source

 \implies : By contradiction.

 $\exists u : v \not \rightsquigarrow u \land \text{in}[u] > 0 \implies \exists \text{ cycle}$

$$\mathrm{impact}(v) = |\{w \neq v : v \leadsto w\}|$$

- ightharpoonup arg min_v impact(v)
- ightharpoonup arg $\max_v \operatorname{impact}(v)$

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 $\underset{v}{\operatorname{arg\,min\,impact}}(v) \in \operatorname{sink} \operatorname{SCC} \text{ of smallest cardinality}$

$$\underset{v}{\operatorname{arg\,max\,impact}}(v) \in \operatorname{source\,SCC}$$

 $Q: \forall v, \text{ computing impact}(v)$

$$I: (x_1 \vee \overline{x_2}) \wedge (\overline{x_1} \vee \overline{x_3}) \wedge (x_1 \vee x_2) \wedge (\overline{x_3} \vee x_4) \wedge (\overline{x_1} \vee x_4)$$

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$$\alpha \vee \beta \equiv \overline{\alpha} \to \beta \equiv \overline{\beta} \to \alpha$$

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Implication graph G_I .

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Theorem (2SAT)

 $\exists \ SCC \ \exists x : v_x \in SCC \land v_{\overline{x}} \in SCC \iff I \ is \ not \ satisfiable.$

$$I: (x_1 \vee \overline{x_2}) \wedge (\overline{x_1} \vee \overline{x_3}) \wedge (x_1 \vee x_2) \wedge (\overline{x_3} \vee x_4) \wedge (\overline{x_1} \vee x_4)$$

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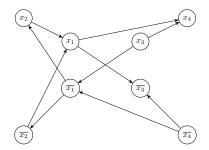
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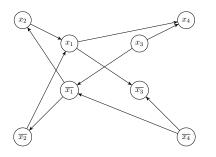
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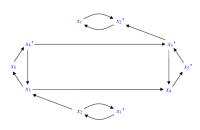
Reference:

▶ "A Linear-time Algorithm for Testing the Truth of Certain Quantified Boolean Formulas" by Bengt Aspvall, Michael Plass, and Robert Tarjan, 1979.

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