### MST and Shortest Paths

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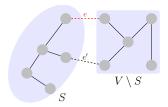
### MST and Shortest Paths

- Minimum Spanning Tree
  - Cut Property and Cycle Property
  - Updating MST
  - Variants of MST
  - MST vs. Shortest Paths
- 2 Shortest Paths

#### Cut Property [Problem: 3.6.18 (a)]

- ▶ Graph G = (V, E) (undirected, connected, weighted)
- Weights are distinct
- ▶ A cut  $(S, V \setminus S)$  where  $S, V S \neq \emptyset$
- ▶ Let e = (u, v) be a minimum-weight edge across  $(S, V \setminus S)$

Then e must be in *some* MST of G.



### Cut Property [Problem: 3.6.18 (a)]

#### Proof.

Basic idea: T is an MST of G.

- $e \in T$
- $ightharpoonup e \notin T \Rightarrow e \in T'$ 
  - $T + \{e\} \text{ to construct a cycle } C$
  - $\exists e' = (u', v') \in C \ (e' \in P_{u,v}), \ e' \text{ crosses } (S, V \setminus S)$
  - ▶  $T' = T + \{e\} \{e'\}$ : spanning tree (connected, acyclic)
  - $\blacktriangleright \ w(e') \geq w(e) \Rightarrow w(T') \leq w(T) \Rightarrow w(T') = w(T)$



- ▶ a minimum-weight edge; ∈ some MST
- ► exchange argument
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### Application of cycle property [Problem: 3.6.15]

- ▶ [Problem: 3.6.15 (3)]:  $e \in G$  is a lightest edge  $\Rightarrow e \in \exists$  MST of G
- ▶ [Problem: 3.6.15 (4)]:  $e \in G$  is the unique lightest edge  $\Rightarrow e \in \forall$  MST
- ▶ [Problem: 3.6.15 (9)]:  $e = (u, v) \in \exists \mathsf{MST}\ T \text{ of } G \Rightarrow e \text{ is a lightest edge}$ across some cut  $(S, V \setminus S)$  (converse of cut property)

#### Solution.

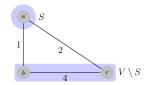
- ▶ [Problem: 3.6.15 (3)]:  $(S = \{u\}, V \setminus S)$
- ▶ [Problem: 3.6.15 (4)]: By contradiction.  $e \notin T$ ;  $T' = T + \{e\} - \{e'\} \Rightarrow w(T') < w(T)$
- ► [Problem: 3.6.15 (9)]:
  - 1. to find the cut  $(S, V \setminus S)$ 
    - ▶  $T \{e\}$
  - 2. to prove that e is a lightest edge across  $(S, V \setminus S)$

by contradiction:  $T' = T - \int_{\mathcal{O}} 1 + \int_{\mathcal{O}} 1$ Hengfeng Wei (hengxin0912@gmail.com)

Wrong divide-and-conquer algorithm for MST [Problem: 3.6.29]

- ightharpoonup G = (V, E, w)
- $(V_1, V_2): ||V_1| |V_2|| \le 1$
- ▶  $T_1 + T_2 + \{e\}$ : e is a lightest edge across  $(V_1, V_2)$

#### Solution.



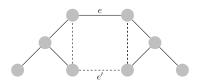
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# Cycle property of MST

### Cycle property [Problem: 3.6.18 (b)]

- ightharpoonup G = (V, E, w)
- ▶ Let C be any cycle in G
- ightharpoonup e=(u,v) is a maximum-weight edge in C

Then  $\exists$  MST T of  $G: e \notin T$ .



# Cycle property of MST

### Cycle property [Problem: 3.6.18 (b)]

#### Proof.

Basic idea: pick any MST T of G

- $ightharpoonup e \notin T$
- $ightharpoonup e \in T \Rightarrow e \notin T'$ 
  - $T \{e\} \Rightarrow (S, V \setminus S)$
  - $ightharpoonup \exists e' = (u', v') \in C \ (e' \in P_{u,v}) \text{ across the cut}$
  - ▶  $T' = T \{e\} + \{e'\}$ : spanning tree
  - $w(e') \le w(e) \Rightarrow w(T') \le w(T) \Rightarrow w(T') = w(T)$

#### Remark.

- ▶ Why don't we pick any  $e' \in C$ ?
- ► "Anti-Kruskal" (reverse-delete; also by Kruskal) [Problem: 3.6.20 (c)]



# Applications of cycle property

### Applications of cycle property [Problem: 3.6.15]

- ▶ [Problem: 3.6.15 (2)]:  $C \subseteq G, e \in C$ , e is the unique maximum-weighted edge of  $G \Rightarrow e \notin$  any MST of G
- ▶ [Problem: 3.6.15 (5); 3.6.18 (c)]:  $C \subseteq G, e \in C$ , e is the unique lightest edge of  $C \Rightarrow$ ?  $e \in \forall$  MST
- ▶ [Problem: 3.6.15 (1)]: G = (V, E), |E| > |V| 1, e unique maximum-weighted edge  $\Rightarrow$ ?  $e \notin \text{any MST}$
- ▶ [Problem: 3.6.20 (a)]: e does not belong to any cycle  $\Rightarrow e \in \forall$  MST

- ▶ [Problem: 3.6.15 (2)]: By contradiction.  $T' = T \{e\} + \{e'\}$
- ► [Problem: 3.6.15 (5); 3.6.18 (c)]



## Properties of MST

### **✓** Or **X**[Problem: 3.6.15]

- 1.  $\mathbf{X}|E|>|V|-1$ , e is the unique maximum edge  $\Rightarrow e$  does not belong to any MST.
- 2. If G has a cycle with a unique maximum edge e, then e cannot be part of any MST. (Prove: Cycle property)
- 3.  $\checkmark$ Let e be any edge of minimum edge in G. Then e belongs to some MST. (Prove: Cut property)
- 4. ✓If the minimum edge is unique, then it belongs to every MST.
- 5. XIf G has a cycle with a unique minimum edge e, then e belongs to every MST.



## Properties of MST

- ✓ Or **X**[Problem: 3.6.15]
  - 6. The shortest-path tree computed by Dijkstra's algorithm is necessarily an MST.
  - 7. The shortest path between two nodes is necessarily part of some MST.



- 8. Prim's algorithm works correctly when there are negative edges.
- 9.  $\checkmark$ If e belongs to some MST, then e is a minimum edge across some cut.
- 10.  $\sqrt{w} > 0$ ; Vertex s; shortest-path tree of s and some MST share a common edge [Problem: 6.1.5]
- 11.  $\checkmark w'(e) = (w(e))^2$  [Problem: 6.2.2]

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## Uniqueness of MST

Uniqueness of MST [Problem: 3.6.21]

Distinct weights  $\Rightarrow$  unique MST.

### Solution.

#### Proof.

By contradiction: two MSTs  $T_1 \neq T_2$ .

- $e = \min \Delta E$ . Suppose  $e \in T_1 \setminus T_2$
- $ightharpoonup T_2 + \{e\} \Rightarrow C$
- $\exists (e' \in C) \notin T_1 \Rightarrow e' \in T_2 \setminus T_1 \Rightarrow e' \in \Delta E \Rightarrow w(e') > w(e)$
- $\bullet e' \in T_2 \setminus T_1 \Rightarrow e' \in \Delta E$
- $T' = T_2 + \{e\} \{e'\} \Rightarrow w(T') < w(T_2)$



# Uniqueness of MST

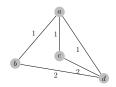
#### Conditions for Uniqueness of MST [Problem: 3.6.19]

► [Problem: 3.6.19 (a)]: unique MST #> equal weights



- ▶ [Problem: 3.6.19 (c)]: Counterexamples
  - Xcut: minimum-weight edge across any cut is unique
  - ► Xcycle: maximum-weight edge in any cycle is unique





# Updating MST

Decreasing/increasing edge weight [Problem: 3.6.6]

#### G and an MST T

- 1. w(e) is decreased: w'(e) = w(e) k
- 2. w(e) is increased

### Solution for (1).

 $\bullet$   $e \in T$ : no need to update T' = T.

$$w'(T') = w(T) - k \Rightarrow w'(T') < w(T).$$

To prove that T' is an MST of G':

Suppose  $\exists T'': T''$  is an ST of G' and w'(T'') < w'(T').

- $e \notin T''$ : w(T'') = w'(T'') < w'(T') < w(T)
- $e \in T''$ : w(T'') = w'(T'') + k < w'(T') + k = w(T)
- $ightharpoonup e \notin T$ :  $T' = T + \{e\} \{e'\}$ ; e' is the maximum-weight edge in cycle and w(e') > w(e)
  - $\notin T''$ : w(T'') = w'(T'') < w'(T') < w(T)MST and Shortest Paths

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## Updating MST

Adding vertex to MST [Problem: 3.6.2]

- ightharpoonup G = (V, E); an MST T
- ▶ G' = (V', E'):  $V' = V + \{X\}, E' = E + E_X$ ;  $E_X$ : incident edges to X
- ▶ To find an MST T' of G'

- 1. Recomputing  $O((m+n)\log n)$
- 2.  $\blacktriangleright$  There *exists* an MST of G' that includes no edges in  $G \setminus T$ 
  - ▶ Run MST alg. on  $G'' = (V + \{X\}, T + E_X)$
  - $ightharpoonup O(n \log n)$
- O(n)
  - "On Finding and Updating Spanning Tress and Shortest Paths", 1975
  - "Algorithms for Updating Minimum Spanning Trees", 1978

#### Feedback edge set: [Problem: 3.6.4]

- 1. maximum spanning tree
- 2. (minimum) feedback edge set:
  - $\,\blacktriangleright\,$  a set of edges which, when removed from the graph, leave an acyclic graph G'
  - ▶ assuming G is connected  $\Rightarrow G'$  is connected
  - ► feedback *arc* set: "cycle" ⇒ circular dependency

- ▶ G' is connected + acyclic  $\Rightarrow G'$  is an ST
- ▶ FES  $\Leftrightarrow$   $G \setminus \text{Max-ST}$



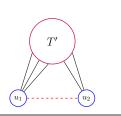
Edge weights [Problem: 3.6.15 (8); 3.6.16]

- ► [Problem: 3.6.15 (8)]: negative edges for Prim algorithm
- ▶ [Problem: 3.6.16]:  $w'(e) = (w(e))^2$

MST with specified leaves: [Problem: 3.6.7]

- $ightharpoonup G = (V, E), U \subset V$
- lacktriangle finding an MST with U as leaves

- $ightharpoonup G' = G \setminus U$
- ▶ MST T' of G'
- ▶ attach  $\forall u \in U$  to T' (lightest edge)



### ST with specified edges: [Problem: 3.6.10]

- $G = (V, E), S \subset E$  (no cycle in S)
- ightharpoonup finding an MST with E as edges

- ightharpoonup contract each isolated component of S to a *super-vertex*
- ightharpoonup G 
  ightharpoonup G'
- $\blacktriangleright$  find MST of G'

## MST vs. shortest paths

#### [Problem: 3.6.15]

- ► [Problem: 3.6.15 (6)]: Dijkstra  $\Rightarrow$  SSSP tree  $\Rightarrow$ ? MST
- ▶ [Problem: 3.6.15 (7)]:  $s \to t$  shortest path  $\Rightarrow$ ?  $\subseteq \exists$  MST

## MST vs. shortest paths

### Sharing edges [Problem: 3.6.5]

- G = (V, E), w(e) > 0
- ▶ Given s: all sssp trees from s must share some edge with all (some) MSTs of G

#### Solution

E': lightest edges leaving s

- ▶ any MST T of G:  $T \cap E' \neq \emptyset$
- ▶  $E' \subset \forall$  sssp trees

### MST and Shortest Paths

- Minimum Spanning Tree
- Shortest Paths
  - Dijkstra's algorithm for SSSP
  - Cycles

## Dijkstra's algorithm

- ▶ maintain  $R \subseteq V$ :  $\forall u \in R : s \leadsto u$  is known
- choose the next v and (u, v):

$$\min_{u \in R} \mathsf{dist}(s,u) + w(u,v)$$

- key points for the correctness proof
  - 1.  $u_1 \rightarrow v$
  - 2.  $u_1 \to x \leadsto v$

## Dijkstra' algorithm

Negative edges [Problem: 3.7.9]

Dijkstra's algorithm on graphs with negative edges



## Dijkstra' algorithm

Negative edges leaving s [Problem: 3.7.17]

- ▶ digraph G = (V, E, w)
- lacktriangle all negative edges are from s

#### Solution.

Dijkstra's algorithm works.

## Dijkstra's algorithm

Uniqueness of shortest path [Problem: 3.7.7]

## Dijkstra's algorithm

### Uniqueness of shortest path [Problem: 3.7.16]

- ightharpoonup digraph G=(V,E,w)
- $w(e) \ge 0$
- $\triangleright$   $S \cap T = \emptyset$
- ▶ to compute  $\forall s \in S, \forall t \in T, s \leadsto t$  shortest paths

- ightharpoonup adding  $s_0$
- $ightharpoonup s_0 \to s \in S$
- $w(s_0 \rightarrow s) = 0$



4-Cycle in undirected graph [Problem: 3.7.1]

Shortest cycle in digraph [Problem: 3.7.4]

Solution.

Floyd-Warshall:  $W^{(0)}[i][i] = \infty$ 

Shortest cycle in undirected graph [Problem: 3.7.14]

Shortest cycle containing a specific edge [Problem: 3.7.5]

 $\blacktriangleright \ \ {\rm undirected} \ \ {\rm edge} \ G = (V,E)$ 

$$P_{u,v} + (u,v)$$

Hamiltonian path in tournament graph [Problem: 3.7.18]

- ▶ digraph G = (V, E)
- $\forall u, v : (u \to v \lor v \to u) \land \neg (u \to v \land v \to u)$
- hamiltonial path

- existence
- ▶ algorithm  $O(n^2)$



