rrapply: revisiting R-base rapply

Joris Chau

June 2, 2020

Abstract

The rrapply-package contains a single function rrapply, providing an extended implementation of R-base's rapply function. Base rapply applies a function f to all elements of a list recursively. The rrapply function extends base rapply by including a condition or predicate function for the application of f and the option to prune or aggregate list elements from the result. In addition, special arguments .xname and .xpos can be used inside the f and condition functions to access the name and location in the nested list of the list element under evaluation. The rrapply function is implemented using R's C interface and for this reason requires no external R-package dependencies.

1 Quick review of rapply

The dataset renewable_energy_by_country included in the rrapply-package lists the share of renewable energy as a percentage in the total energy consumption per country in 2016. The dataset is publicly available at the United Nations Open SDG Data Hub (UNSD-SDG07). The 249 countries and areas are structured as a nested list based on their geographical location according to the United Nations M49 standard (UNSD-M49). The numeric values listed for each country are percentages, if no data is available the country's value is NA.

```
> library(rrapply)
> data("renewable_energy_by_country")
> ## display list structure (only first two elements of each node)
> str(renewable_energy_by_country, list.len = 2, give.attr = FALSE)

List of 1
$ World:List of 6
...$ Africa :List of 2
....$ Northern Africa :List of 7
.....$ Algeria : num 0.08
.....$ Egypt : num 5.69
.....$ [list output truncated]
....$ Sub-Saharan Africa:List of 4
```

```
.. .. .. $ Eastern Africa :List of 22
  ..... British Indian Ocean Territory: logi NA
  .. .. .. ..$ Burundi
                                              : num 89.2
  .. .. .. [list output truncated]
  .. .. .. $ Middle Africa :List of 9
  .. .. .. ..$ Angola
                                               : num 54.6
  .. ... ... Cameroon
                                               : num 78.1
  .. .. .. [list output truncated]
  .. .. .. [list output truncated]
  ..$ Americas :List of 2
  .... $\text{Latin America and the Caribbean:List of 3}
  .. ... $ Caribbean
                           :List of 28
  .. .. .. ..$ Anguilla
                                              : num 0.11
  .. .. ... $ Antigua and Barbuda
                                              : num O
  .. .. .. [list output truncated]
  .. .. ..$ Central America:List of 8
  .. .. .. ..$ Belize
                          : num 30.3
  .. .. .. ..$ Costa Rica : num 37.2
  .. .. .. [list output truncated]
  .. .. .. [list output truncated]
  .... $ Northern America
                                        :List of 5
  .. .. ..$ Bermuda
                                     : num 2.11
  .. ... ..$ Canada
                                     : num 21.6
  .. .. .. [list output truncated]
  .. [list output truncated]
For convenience, we subset only the values for countries and areas in Oceania,
> renewable_oceania <- renewable_energy_by_country[["World"]]["Oceania"]</pre>
> str(renewable_oceania, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                          : num 9.32
  .. ..$ Christmas Island
                                           : logi NA
  .. ..$ Cocos (Keeling) Islands
                                          : logi NA
  .. .. [list output truncated]
  ..$ Melanesia
                               :List of 5
```

```
.. ..$ Fiji
                      : num 24.4
.... New Caledonia
                     : num 4.03
.. .. $ Papua New Guinea: num 50.3
.. .. [list output truncated]
..$ Micronesia
                             :List of 8
.. ..$ Guam
                                            : num 3.03
                                            : num 45.4
.. ..$ Kiribati
.. .. $ Marshall Islands
                                            : num 11.8
.. .. [list output truncated]
.. [list output truncated]
```

> na_zero_oceania_unlist <- rapply(</pre>

Using base rapply, we can apply a function f to each leaf element or leaf elements of a particular class or type. By a leaf element, we refer to any element of the list which is not itself list-like, in this case the numeric country percentages. For instance, we can replace all NA's by zeros using an ifelse statement in the f function,

By default, the result is returned *unlisted*. The original list structure can be preserved via the arguments how = "replace" or how = "list". Conceptually, how = "replace" makes a complete copy of the input list and recursively replaces the leaf elements with a class in classes by the result of applying f. how = "list" recursively makes copies of the list-like elements of the input

0.00

list, replacing leaf elements with a class in classes by the result of applying f, and replacing any other leaf elements by the value of deflt. how = "unlist" calls unlist() with argument recursive = TRUE on the initial result obtained by how = "list", thus allowing the use of the deflt argument.

By making use of the fact that the NA's are of logical type and the non-NA's are of numeric type, another way of replacing NA's by zeros is via the classes argument:

```
> na_zero_oceania_replace <- rapply(</pre>
    renewable_oceania,
    f = function(x) 0,
    classes = "logical",
    how = "replace"
  )
> str(na_zero_oceania_replace, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                            : num 9.32
  .. ..$ Christmas Island
                                           : num O
  .. .. $ Cocos (Keeling) Islands
                                           : num 0
  .. .. [list output truncated]
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                         : num 24.4
  .... $ New Caledonia : num 4.03
  .... $ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                                :List of 8
  .. ..$ Guam
                                              : num 3.03
  .. ..$ Kiribati
                                              : num 45.4
  .... $ Marshall Islands
                                              : num 11.8
  .. .. [list output truncated]
  .. [list output truncated]
Or, by combining the classes and deflt arguments together with how = "list" or how = "unlist",
> na_zero_oceania_list <- rapply(</pre>
    renewable_oceania,
    f = function(x) x,
```

```
classes = "numeric",
    deflt = 0,
    how = "list"
  )
> str(na_zero_oceania_list, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                            : num 9.32
  .. ..$ Christmas Island
                                            : num 0
  .... $ Cocos (Keeling) Islands
                                            : num 0
  .. .. [list output truncated]
                                :List of 5
  ..$ Melanesia
  .. ..$ Fiji
                          : num 24.4
  .... $ New Caledonia : num 4.03
  .. .. $ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                                :List of 8
  .. ..$ Guam
                                               : num 3.03
  .. ..$ Kiribati
                                               : num 45.4
  .. ..$ Marshall Islands
                                               : num 11.8
  .. .. [list output truncated]
  .. [list output truncated]
Each list element in renewable_energy_by_country contains an "M49-code" attribute with the
"UN Standard Country or Area Codes for Statistical Use (Series M, No. 49)". In order to keep this
attribute when replacing NA's by zeros, we could modify the above call with how = "replace"
to,
> na_zero_oceania_replace_attr <- rapply(</pre>
    renewable_oceania,
    f = function(x) replace(x, is.na(x), 0),
    how = "replace"
  )
```

> str(na_zero_oceania_replace_attr, list.len = 2)

List of 1

\$ Oceania:List of 4

```
..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                            : num 9.32
  .. .. ..- attr(*, "M49-code")= chr "036"
  .. ..$ Christmas Island
                                            : num 0
  .. .. ..- attr(*, "M49-code")= chr "162"
  .. .. [list output truncated]
  ....- attr(*, "M49-code")= chr "053"
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                          : num 24.4
  .. .. - attr(*, "M49-code")= chr "242"
  .... $\text{New Caledonia} : num 4.03
  ..... attr(*, "M49-code")= chr "540"
  .. .. [list output truncated]
  .. ..- attr(*, "M49-code")= chr "054"
  .. [list output truncated]
  ..- attr(*, "M49-code")= chr "009"
With how = "list", intermediate list attributes -excluding the leaf elements- are in general not
preserved. For this reason, it is probably best to use how = "replace" whenever possible if list
attributes are present and must be preserved.
> na_zero_oceania_list_attr <- rapply(
    renewable_oceania,
    f = function(x) replace(x, is.na(x), 0),
    how = "list"
  )
> ## this preserves all list attributes
> str(na_zero_oceania_replace_attr, max.level = 2)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  ....- attr(*, "M49-code")= chr "053"
  ..$ Melanesia
                                :List of 5
  .. ..- attr(*, "M49-code")= chr "054"
  ..$ Micronesia
                                :List of 8
  .. ..- attr(*, "M49-code")= chr "057"
  ..$ Polynesia
                                :List of 10
  ...- attr(*, "M49-code")= chr "061"
```

```
..- attr(*, "M49-code")= chr "009"
> ## this does not preserves all attributes!
> str(na_zero_oceania_list_attr, max.level = 2)
List of 1
$ Oceania:List of 4
..$ Australia and New Zealand:List of 6
..$ Melanesia :List of 5
..$ Micronesia :List of 8
..$ Polynesia :List of 10
```

2 When to use rrapply

2.1 List pruning

With base rapply there is no convenient way to prune or filter leaf elements from the input list.

Using the deflt argument, we could set all leaf elements that are not subject to application of f to e.g. NA or NULL, but we cannot drop these leaf elements altogether from the resulting list.

The rrapply function adds an option to set the how argument to how = "prune", in which case all leaf elements that are not subject to application of f are pruned from the list. The original list structure is retained, similar to the non-pruned options how = "replace" or how = "list".

Using how = "prune", we can drop all NA elements while preserving the original list structure:

```
> na_drop_oceania_list <- rrapply(</pre>
    renewable_oceania,
    f = function(x) x,
    classes = "numeric",
    how = "prune"
  )
> str(na_drop_oceania_list, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 2
  .. ..$ Australia : num 9.32
  .. .. $ New Zealand: num 32.8
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                        : num 24.4
```

```
....$ New Caledonia : num 4.03
....$ Papua New Guinea: num 50.3
.... [list output truncated]
..$ Micronesia :List of 7
....$ Guam : num 3.03
....$ Kiribati : num 45.4
....$ Marshall Islands : num 11.8
.... [list output truncated]
.. [list output truncated]
```

Instead, we can set | how = "flatten" to return a flattened unnested version of the pruned list.

This is more efficient than first returning the pruned list with | how = "prune" | and unlisting or flattening the list in a subsequent step.

```
> na_drop_oceania_flat <- rrapply(</pre>
    renewable_oceania,
    f = function(x) x,
    classes = "numeric",
    how = "flatten"
  )
> str(na_drop_oceania_flat, list.len = 10, give.attr = FALSE)
List of 22
 $ Australia
                                     : num 9.32
 $ New Zealand
                                     : num 32.8
 $ Fiji
                                     : num 24.4
 $ New Caledonia
                                     : num 4.03
 $ Papua New Guinea
                                    : num 50.3
 $ Solomon Islands
                                    : num 65.7
 $ Vanuatu
                                     : num 33.7
 $ Guam
                                     : num 3.03
 $ Kiribati
                                     : num 45.4
 $ Marshall Islands
                                     : num 11.8
  [list output truncated]
```

2.2 Condition function

Base rapply allows to apply f to leaf elements of certain types or classes via the classes argument, which might not always provide sufficient control to partition leaf elements. For this

purpose, rrapply includes an additional condition argument, which accepts any principal argument function to use as a condition or predicate to select leaf elements to which f is applied. Conceptually, the f function is applied to all leaf elements for which the condition function exactly evaluates to TRUE similar to the isTRUE function. If the condition function is missing, f is applied to all leaf elements. In combination with how = "prune", the condition function provides a flexible way to select and filter elements from the nested list.

Using the condition argument, we can update the above function call to better reflect our purpose:

```
> na_drop_oceania_list2 <- rrapply(</pre>
    renewable_oceania,
    condition = function(x) !is.na(x),
    f = function(x) x,
    how = "prune"
  )
> str(na_drop_oceania_list2, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 2
  .. ..$ Australia : num 9.32
  .. .. $ New Zealand: num 32.8
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                        : num 24.4
  .... $\text{New Caledonia} : num 4.03
  .. .. $ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                                :List of 7
  .. ..$ Guam
                                          : num 3.03
  .. ..$ Kiribati
                                          : num 45.4
  .. ..$ Marshall Islands
                                          : num 11.8
  .. .. [list output truncated]
  .. [list output truncated]
```

rrapply allows the f argument to be missing, in which case no function is applied to the leaf elements. Using the Negate function, we can rewrite the above expression somewhat more concisely as,

```
> na_drop_oceania_list3 <- rrapply(
    renewable_oceania,</pre>
```

```
condition = Negate(is.na),
    how = "prune"
  )
> str(na_drop_oceania_list3, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 2
  ....$ Australia : num 9.32
  .. .. $ New Zealand: num 32.8
  ..$ Melanesia
                               :List of 5
  .. ..$ Fiji
                        : num 24.4
  .... $\text{New Caledonia} : num 4.03
  .. .. $ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                               :List of 7
  .. ..$ Guam
                                          : num 3.03
  .. ..$ Kiribati
                                          : num 45.4
  ....$ Marshall Islands
                                          : num 11.8
  .. .. [list output truncated]
  .. [list output truncated]
```

A more interesting example is to consider a **condition** that is not also replicable using the **classes** argument. For instance, we can filter all countries with a renewable energy share above 85 percent, or all countries with a renewable energy share of 0 percent:

```
> renewable_energy_above_85 <- rrapply(
    renewable_energy_by_country,
    condition = function(x) x > 85,
    how = "prune"
)
> str(renewable_energy_above_85, give.attr = FALSE)

List of 1
$ World:List of 1
...$ Africa:List of 1
...$ Sub-Saharan Africa:List of 3
.....$ Eastern Africa:List of 7
.....$ Burundi : num 89.2
```

```
.. .. .. ..$ Ethiopia
                                         : num 91.9
  .. .. .. ..$ Rwanda
                                          : num 86
  .. .. .. ..$ Somalia
                                          : num 94.7
  .. .. .. S Uganda
                                          : num 88.6
  ..... United Republic of Tanzania: num 86.1
  .. .. .. ..$ Zambia
                                          : num 88.5
  .. .. .. $ Middle Africa :List of 2
  .. .. .. S Chad
                                               : num 85.3
  ..... Democratic Republic of the Congo: num 97
  .. .. .. $ Western Africa:List of 1
  .. .. .. $ Guinea-Bissau: num 86.5
> ## passing arguments to condition via ...
> renewable_energy_equal_0 <- rrapply(</pre>
   renewable_energy_by_country,
    condition = `==`,
   e2 = 0,
   how = "prune"
> str(renewable_energy_equal_0, give.attr = FALSE)
List of 1
 $ World:List of 4
  ..$ Americas:List of 1
  .... $ Latin America and the Caribbean:List of 1
  .. .. .. $ Caribbean:List of 1
  .. .. ... $ Antigua and Barbuda: num 0
             :List of 1
  ..$ Asia
  .. .. $ Western Asia:List of 4
  .. ... $ Bahrain: num 0
  .. .. ..$ Kuwait : num 0
  .. .. .. $ Oman : num 0
  .. .. ..$ Qatar : num 0
  ..$ Europe :List of 2
  ....$ Northern Europe:List of 1
  .. .. .. $ Channel Islands:List of 1
  .. .. .. .. $ Guernsey: num 0
  .. .. $ Southern Europe:List of 1
```

```
.....$ Gibraltar: num 0
..$ Oceania :List of 2
....$ Micronesia:List of 1
.....$ Northern Mariana Islands: num 0
....$ Polynesia :List of 1
.....$ Wallis and Futuna Islands: num 0
```

Note that the NA elements are not returned, as the condition does not evaluate to TRUE for NA values.

As the condition function is a generalization of the classes argument to have more flexible control of the predicate, it is also possible to use the deflt argument together with how = "list" or how = "unlist" to set a default value to all leaf elements for which the condition does not evaluate to TRUE:

```
> na_zero_oceania_list2 <- rrapply(</pre>
    renewable_oceania,
    condition = Negate(is.na),
    deflt = 0,
    how = "list"
  )
> str(na_zero_oceania_list2, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                            : num 9.32
  .. .. $ Christmas Island
                                            : num 0
  .... $ Cocos (Keeling) Islands
                                            : num 0
  .. .. [list output truncated]
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                         : num 24.4
  .... $\text{New Caledonia} : num 4.03
  .. ..$ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                                :List of 8
  .. ..$ Guam
                                               : num 3.03
  .. ..$ Kiribati
                                               : num 45.4
  .... $ Marshall Islands
                                               : num 11.8
```

```
....[list output truncated]
.. [list output truncated]
```

To be consistent with base rapply, the deflt argument can still only be used together with how = "list" or how = "unlist". With how = "replace", we can replace NA values by zeros using the f function in the same way as before,

```
> na_zero_oceania_replace2 <- rrapply(</pre>
    renewable_oceania,
    condition = is.na,
    f = function(x) 0,
    how = "replace"
  )
> str(na_zero_oceania_replace2, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                            : num 9.32
  .. ..$ Christmas Island
                                            : num 0
  .. .. $ Cocos (Keeling) Islands
                                           : num 0
  .. .. [list output truncated]
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                         : num 24.4
  .... $ New Caledonia : num 4.03
  .. .. $ Papua New Guinea: num 50.3
  .. .. [list output truncated]
  ..$ Micronesia
                                :List of 8
  .. ..$ Guam
                                              : num 3.03
  .. ..$ Kiribati
                                               : num 45.4
  .... $ Marshall Islands
                                               : num 11.8
  .. .. [list output truncated]
  .. [list output truncated]
```

2.2.1 Using the ... argument

In base rapply, the first argument to f always evaluates to the content of the leaf element to which f is applied. Any further arguments (besides the special arguments .xname and .xpos discussed below) that are independent of the node content are supplied via the dots ... argument. Since rrapply accepts a function in two of its arguments f and condition, any further arguments

defined via the dots also need to be defined as function arguments in both the f and condition function (if existing), even if they are not used in the function itself.

To illustrate, consider the following example where we replace all NA elements by a value defined in a separate argument newvalue:

```
> ## this is not ok!
> tryCatch({
    rrapply(
      renewable_oceania,
      condition = is.na,
      f = function(x, newvalue) newvalue,
      newvalue = 0,
      how = "replace"
  }, error = function(error) error$message)
[1] "2 arguments passed to 'is.na' which requires 1"
> ## this is ok
> na_zero_oceania_replace3 <- rrapply(</pre>
    renewable_oceania,
    condition = function(x, newvalue) is.na(x),
    f = function(x, newvalue) newvalue,
    newvalue = 0,
    how = "replace"
  )
> str(na_zero_oceania_replace3, list.len = 3, give.attr = FALSE)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..$ Australia
                                           : num 9.32
  .. ..$ Christmas Island
                                           : num 0
  .... $ Cocos (Keeling) Islands
                                           : num 0
  .. .. [list output truncated]
  ..$ Melanesia
                                :List of 5
  .. ..$ Fiji
                         : num 24.4
  .... $\text{New Caledonia} : num 4.03
  .. .. $ Papua New Guinea: num 50.3
```

```
.....[list output truncated]
...$ Micronesia :List of 8
....$ Guam : num 3.03
....$ Kiribati : num 45.4
....$ Marshall Islands : num 11.8
....[list output truncated]
...[list output truncated]
```

2.3 Special arguments .xname and .xpos

[list output truncated]

For illustration purposes, let us return all non-missing values in renewable_oceania as a non-nested flattened list:

```
> renewable_oceania_flat <- rrapply(
    renewable_oceania,
    condition = Negate(is.na),
    how = "flatten"
  )
> str(renewable_oceania_flat, list.len = 10, give.attr = FALSE)
List of 22
 $ Australia
                                    : num 9.32
 $ New Zealand
                                    : num 32.8
 $ Fiji
                                    : num 24.4
 $ New Caledonia
                                   : num 4.03
 $ Papua New Guinea
                                   : num 50.3
                                   : num 65.7
 $ Solomon Islands
 $ Vanuatu
                                   : num 33.7
 $ Guam
                                    : num 3.03
 $ Kiribati
                                    : num 45.4
 $ Marshall Islands
                                    : num 11.8
```

Suppose that we wish to apply a function to each list element that relies on the name of the node. A possible way to achieve this using mapply would be:

```
> renewable_oceania_flat_text <- mapply(
    FUN = function(name, value) sprintf("Renewable energy in %s: %.2f%%", name, value),
    name = names(renewable_oceania_flat),
    value = renewable_oceania_flat,</pre>
```

```
SIMPLIFY = FALSE
  )
> str(renewable_oceania_flat_text, list.len = 10)
List of 22
 $ Australia
                                   : chr "Renewable energy in Australia: 9.32%"
 $ New Zealand
                                   : chr "Renewable energy in New Zealand: 32.76%"
 $ Fiji
                                   : chr "Renewable energy in Fiji: 24.36%"
 $ New Caledonia
                                   : chr "Renewable energy in New Caledonia: 4.03%"
 $ Papua New Guinea
                                   : chr "Renewable energy in Papua New Guinea: 50.34%"
 $ Solomon Islands
                                   : chr "Renewable energy in Solomon Islands: 65.73%"
 $ Vanuatu
                                   : chr "Renewable energy in Vanuatu: 33.67%"
                                    : chr "Renewable energy in Guam: 3.03%"
 $ Guam
 $ Kiribati
                                   : chr "Renewable energy in Kiribati: 45.43%"
                                    : chr "Renewable energy in Marshall Islands: 11.75%"
 $ Marshall Islands
  [list output truncated]
```

Remark. Note that the purrr-package also contains the convenience function imap for exactly this purpose.

In base rapply, the f function only has access to the content of a leaf element through its principal argument, but there is no convenient way to access the list element its name or location from inside the f function. This makes rapply impractical if we want to apply a function f that relies on e.g. the name of the leaf element as in the above example.

To address this issue, rrapply allows the use of two special arguments .xname and .xpos in addition to the principal argument in the f and condition functions. The .xname argument evaluates to the name of the leaf element. The .xpos argument evaluates to the position of the leaf element in the nested list structured as an integer vector. For instance, if x = list(list("y", "z")), then an .xpos location of c(1, 2) corresponds to the leaf element x[[1]][[2]] or equivalently x[[c(1, 2)]]. The arguments .xname and .xpos need to be defined explicitly as function arguments in f and condition whenever they are used. Note that the principal function arguments of f and condition always evaluate to the content of the list element, for this reason the arguments .xname or .xpos should always be defined in addition to a principal function argument.

Using the .xname argument, we can reproduce the mapply example above also from a nested list as input:

```
> renewable_oceania_flat_text <- rrapply(
    renewable_oceania,</pre>
```

```
f = function(x, .xname) sprintf("Renewable energy in %s: %.2f%", .xname, x),
    condition = Negate(is.na),
    how = "flatten"
  )
> str(renewable_oceania_flat_text, list.len = 10)
List of 22
 $ Australia
                                   : chr "Renewable energy in Australia: 9.32%"
 $ New Zealand
                                   : chr "Renewable energy in New Zealand: 32.76%"
 $ Fiji
                                   : chr "Renewable energy in Fiji: 24.36%"
 $ New Caledonia
                                   : chr "Renewable energy in New Caledonia: 4.03%"
 $ Papua New Guinea
                                   : chr "Renewable energy in Papua New Guinea: 50.34%"
 $ Solomon Islands
                                   : chr "Renewable energy in Solomon Islands: 65.73%"
 $ Vanuatu
                                   : chr "Renewable energy in Vanuatu: 33.67%"
 $ Guam
                                   : chr "Renewable energy in Guam: 3.03%"
 $ Kiribati
                                   : chr "Renewable energy in Kiribati: 45.43%"
                                   : chr "Renewable energy in Marshall Islands: 11.75%"
 $ Marshall Islands
  [list output truncated]
```

Since the .xname and .xpos arguments can also be used in the condition function, it is now possible to filter elements or apply a function only to a part of the list based on the node names or their positions.

As an example, let us extract the renewable energy shares of Belgium, the Netherlands and Luxembourg while preserving the nested structure of the filtered elements:

```
> renewable_benelux <- rrapply(
    renewable_energy_by_country,
    condition = function(x, .xname) .xname %in% c("Belgium", "Netherlands", "Luxembourg"),
    how = "prune"
)
> str(renewable_benelux, give.attr = FALSE)

List of 1
$ World:List of 1
...$ Europe:List of 1
...$ Western Europe:List of 3
.....$ Belgium : num 9.14
.....$ Luxembourg : num 13.5
.....$ Netherlands: num 5.78
```

Knowing that Europe is located under the node renewable_energy_by_country[[c(1, 5)]], we can filter all European countries with a renewable energy share above 50 percent by using the .xpos argument,

```
> renewable_europe_above_50 <- rrapply(</pre>
    renewable_energy_by_country,
    condition = function(x, .xpos) identical(head(.xpos, 2), c(1L, 5L)) & x > 50,
    how = "prune"
  )
> str(renewable_europe_above_50, give.attr = FALSE)
List of 1
 $ World:List of 1
  ..$ Europe:List of 2
  .. .. $ Northern Europe:List of 3
  .. ... $\text{Iceland: num 78.1}
  .. ... $ Norway : num 59.5
  .. .. ..$ Sweden : num 51.4
  .. .. $ Western Europe :List of 1
  .. .. .. $ Liechtenstein: num 62.9
We could also look up the location of a particular country in the nested list,
> (xpos_sweden <- rrapply(</pre>
    renewable_energy_by_country,
    condition = function(x, .xname) identical(.xname, "Sweden"),
    f = function(x, .xpos) .xpos,
    how = "flatten"
  ))
$Sweden
[1] 1 5 2 14
> ## sanity check
> renewable_energy_by_country[[xpos_sweden$Sweden]]
[1] 51.35
attr(,"M49-code")
[1] "752"
```

We could even use the .xpos argument to determine the maximum depth of the list or the length of the longest sublist,

```
> ## maximum depth
> depth_all <- rrapply(</pre>
    renewable_energy_by_country,
    f = function(x, .xpos) length(.xpos),
    how = "unlist"
  )
> max(depth_all)
[1] 5
> ## longest sublist length
> sublist_count <- rrapply(</pre>
    renewable_energy_by_country,
    f = function(x, .xpos) max(.xpos),
    how = "unlist"
  )
> max(sublist_count)
[1] 28
```

2.4 List node aggregation

By construction, both base rapply and rrapply apply the f function only to leaf elements of a nested list by recursing further into any list-like element that is encountered. In rrapply, it is possible to override this default behavior using the argument feverywhere = TRUE. In this case, rrapply does not automatically recurse deeper into list-like objects, and the f function will be applied to any element (e.g. a sublist) that satisfies the condition function. If the condition function is not satisfied for a list-like element, rrapply will recurse further into the sublist, apply the f function to the nodes that satisfy the condition, and so on.

A primary use of feverywhere = TRUE is to perform node aggregation by calculating some type of summary statistic over sublists of the nested list. Together with the .xname and .xpos arguments, we have flexibility in deciding which sublists to summarize or collapse trough the condition function.

To illustrate, we can return the mean and standard deviation of the renewable energy share in Europe as follows:

```
> rrapply(
    renewable_energy_by_country,
    condition = function(x, .xname) .xname == "Europe",
```

```
f = function(x) list(
    mean = mean(unlist(x), na.rm = TRUE),
    sd = sd(unlist(x), na.rm = TRUE)
),
how = "flatten",
feverywhere = TRUE
)

$Europe
$Europe$mean
[1] 22.36565

$Europe$sd
[1] 17.12639
```

Remark. Note that the principal x argument in the f function is now the entire sublist for which the condition is satisfied. For this reason, we first need to unlist the sublist in order to supply a numeric vector to mean and sd.

The same result could be obtained by defining a <code>condition</code> based on the <code>"M49-code"</code> attribute of the list element. This can be convenient to filter or summarize nodes in nested lists coming from XML (or HTML) files based on their attribute values.

```
> rrapply(
    renewable_energy_by_country,
    condition = function(x) attr(x, "M49-code") == "150",
    f = function(x) list(
        mean = mean(unlist(x), na.rm = TRUE),
        sd = sd(unlist(x), na.rm = TRUE)
    ),
    how = "flatten",
    feverywhere = TRUE
    )

$Europe
$Europe$mean
[1] 22.36565

$Europe$sd
[1] 17.12639
```

We can use the .xpos argument to apply the f function only at specific locations or depths in the nested list. For instance, we could return the mean renewable energy shares for each continent by observing that the .xpos vector of each continent has length (i.e. depth) 2:

```
> renewable_continent_summary <- rrapply(</pre>
    renewable_energy_by_country,
    condition = function(x, .xpos) length(.xpos) == 2,
    f = function(x) mean(unlist(x), na.rm = TRUE),
    feverywhere = TRUE
  )
> ## Antarctica has a missing value
> str(renewable_continent_summary, give.attr = FALSE)
List of 1
 $ World:List of 6
  ..$ Africa
                : num 54.3
  ..$ Americas : num 18.2
  ..$ Antarctica: num NaN
  ..$ Asia
                : num 17.9
  ..$ Europe
                : num 22.4
  ..$ Oceania
                : num 17.8
```

2.5 Miscellaneous

2.5.1 Data.frames as lists

As already discussed, base rapply recurses into all list-like objects. Since data.frames are list-like objects, the f function always descends into the individual columns of a data.frame. Sometimes we wish to apply f to a data.fame object as a whole, instead of its individual columns, which is not possible with rapply. For this purpose, rrapply includes a convenience argument dfaslist. If dfaslist = TRUE, rrapply behaves in the same way as rapply by recursing into the individual columns of a data.frame. If dfaslist = FALSE, the f and condition functions are applied directly to the data.frame object itself and not its columns.

```
> ## create a list of data.frames
> oceania_df <- list(
    Oceania = lapply(
        renewable_oceania[["Oceania"]],
    FUN = function(x) data.frame(
        Name = names(x),</pre>
```

```
value = unlist(x),
        stringsAsFactors = FALSE
     )
    )
  )
> ## this does not work!
> tryCatch({
    rrapply(
      oceania_df,
     f = function(x) subset(x, !is.na(value)), ## filter NA-rows of data.frame
     how = "replace",
     dfaslist = TRUE
    )
  }, error = function(error) error$message)
[1] "object 'value' not found"
> ## this does work
> rrapply(
    oceania_df,
    f = function(x) subset(x, !is.na(value)),
    how = "replace",
    dfaslist = FALSE
$Oceania
$Oceania$`Australia and New Zealand`
                   Name value
Australia
              Australia 9.32
New Zealand New Zealand 32.76
$0ceania$Melanesia
                             Name value
Fiji
                             Fiji 24.36
New Caledonia
                    New Caledonia 4.03
Papua New Guinea Papua New Guinea 50.34
Solomon Islands
                Solomon Islands 65.73
                          Vanuatu 33.67
Vanuatu
```

\$Oceania\$Micronesia

Name Guam Guam Kiribati Kiribati Marshall Islands Marshall Islands Micronesia (Federated States of) Micronesia (Federated States of) Nauru Nauru Northern Mariana Islands Northern Mariana Islands Palau Palau value Guam 3.03 Kiribati 45.43 Marshall Islands 11.75 Micronesia (Federated States of) 1.64 Nauru 31.44 Northern Mariana Islands 0.00 Palau 0.02

\$Oceania\$Polynesia

Name value American Samoa 1.00 American Samoa Cook Islands Cook Islands 1.90 French Polynesia 11.06 French Polynesia Niue Niue 22.07 Samoa 27.30 Samoa Tonga Tonga 1.98 Tuvalu Tuvalu 11.76 Wallis and Futuna Islands Wallis and Futuna Islands 0.00

Remark. Note that the same result can also be obtained using **feverywhere = TRUE** and checking that the list element under evaluation is a data.frame:

```
> rrapply(
    oceania_df,
    condition = function(x) class(x) == "data.frame",
    f = function(x) subset(x, !is.na(value)),
    how = "replace",
    feverywhere = TRUE
```

)

Using the dfaslist argument is somewhat more concise and also slightly more efficient as the class of the list element is checked directly analogous to the classes argument.

2.5.2 List attributes

Base rapply may produce different results when using how = "replace" or how = "list" when working with list attributes. The former preserves intermediate list attributes whereas the latter does not. To avoid unexpected behavior, rrapply always preserves intermediate list attributes when using how = "replace", how = "list" or how = "prune". Note that if we set how = "flatten" or how = "unlist" intermediate list attributes cannot be preserved as the result is no longer a nested list.

```
> ## how = "list" now preserves all list attributes
> na_drop_oceania_list_attr2 <- rrapply(</pre>
    renewable_oceania,
    f = function(x) replace(x, is.na(x), 0),
    how = "list"
  )
> str(na_drop_oceania_list_attr2, max.level = 2)
List of 1
 $ Oceania:List of 4
  ..$ Australia and New Zealand:List of 6
  .. ..- attr(*, "M49-code")= chr "053"
  ..$ Melanesia
                                :List of 5
  .. ..- attr(*, "M49-code")= chr "054"
  ..$ Micronesia
                                :List of 8
  .. ..- attr(*, "M49-code")= chr "057"
  ..$ Polynesia
  .. ..- attr(*, "M49-code")= chr "061"
  ..- attr(*, "M49-code")= chr "009"
> ## how = "prune" also preserves list attributes
> na_drop_oceania_attr <- rrapply(</pre>
    renewable_oceania,
    condition = Negate(is.na),
    how = "prune"
  )
> str(na_drop_oceania_attr, max.level = 2)
```

List of 1

```
$ Oceania:List of 4

..$ Australia and New Zealand:List of 2

...- attr(*, "M49-code")= chr "053"

..$ Melanesia :List of 5

...- attr(*, "M49-code")= chr "054"

..$ Micronesia :List of 7

...- attr(*, "M49-code")= chr "057"

..$ Polynesia :List of 8

...- attr(*, "M49-code")= chr "061"

..- attr(*, "M49-code")= chr "009"
```

2.6 Using rrapply on data.frames

In the previous section, the dfaslist argument is used in order to avoid recursing into the individual columns of a data frame object. However, it can also be useful to exploit exactly this property of base rapply. A convenient way to apply a function to columns of a data frame of a certain class is through the use of the classes argument in base rapply.

For instance, suppose we wish to standardize all numeric columns in the iris dataset by their sample mean and standard deviation:

```
> iris_standard <- rapply(iris, f = scale, classes = "numeric", how = "replace")</pre>
> head(iris_standard)
 Sepal.Length Sepal.Width Petal.Length Petal.Width Species
   -0.8976739 1.01560199
                              -1.335752
                                          -1.311052 setosa
2
  -1.1392005 -0.13153881
                                          -1.311052 setosa
                              -1.335752
   -1.3807271 0.32731751
3
                              -1.392399
                                          -1.311052 setosa
4
   -1.5014904 0.09788935
                              -1.279104
                                          -1.311052 setosa
   -1.0184372 1.24503015
5
                              -1.335752
                                          -1.311052 setosa
   -0.5353840 1.93331463
                              -1.165809
                                          -1.048667 setosa
```

Using the condition argument in rrapply, we obtain more flexible control in selecting the columns to which f is applied. For instance, it is now straightforward to apply the f function only to the Sepal columns using the .xname argument:

```
> iris_standard_sepal <- rrapply(
    iris,
    condition = function(x, .xname) grepl("Sepal", .xname),
    f = scale</pre>
```

```
)
> head(iris_standard_sepal)
  Sepal.Length Sepal.Width Petal.Length Petal.Width Species
1
    -0.8976739 1.01560199
                                      1.4
                                                  0.2 setosa
   -1.1392005 -0.13153881
2
                                      1.4
                                                  0.2 setosa
3
    -1.3807271 0.32731751
                                                  0.2 setosa
                                      1.3
                                                  0.2 setosa
4
    -1.5014904 0.09788935
                                      1.5
                                                  0.2 setosa
5
    -1.0184372 1.24503015
                                      1.4
6
    -0.5353840 1.93331463
                                      1.7
                                                  0.4 setosa
Instead of mutating columns, we can also transmute columns (referencing to the semantics of the
dplyr -package) keeping only the columns to which f is applied by setting how = "prune":
> iris_standard_transmute <- rrapply(</pre>
    iris,
    f = scale,
    classes = "numeric",
    how = "prune"
  )
> head(iris_standard_transmute)
  Sepal.Length Sepal.Width Petal.Length Petal.Width
1
    -0.8976739 1.01560199
                               -1.335752
                                            -1.311052
2
  -1.1392005 -0.13153881
                               -1.335752
                                            -1.311052
  -1.3807271 0.32731751
3
                               -1.392399
                                            -1.311052
4
   -1.5014904 0.09788935
                               -1.279104
                                            -1.311052
5
    -1.0184372 1.24503015
                                -1.335752
                                            -1.311052
    -0.5353840 1.93331463
                                -1.165809
                                            -1.048667
6
In order to summarize a set of selected columns, use how = "flatten" instead of how = "prune",
as the latter preserves list attributes –including data.frame dimensions– which should not be kept.
> ## summarize columns with how = "flatten"
> iris_standard_summarize <- rrapply(</pre>
    iris,
    f = summary,
    classes = "numeric",
    how = "flatten"
```

)

> iris_standard_summarize

```
$Sepal.Length
```

```
Min. 1st Qu. Median Mean 3rd Qu. Max.
4.300 5.100 5.800 5.843 6.400 7.900
```

\$Sepal.Width

```
Min. 1st Qu. Median Mean 3rd Qu. Max.
2.000 2.800 3.000 3.057 3.300 4.400
```

\$Petal.Length

```
Min. 1st Qu. Median Mean 3rd Qu. Max.
1.000 1.600 4.350 3.758 5.100 6.900
```

\$Petal.Width

```
Min. 1st Qu. Median Mean 3rd Qu. Max.
0.100 0.300 1.300 1.199 1.800 2.500
```

2.6.1 Computational effort

As rrapply is written in R's internal C interface, it can be computationally significantly more efficient than its equivalent implementation based on recursion through a large nested list in interpreted R. In the figures below, several benchmark timings of rrapply against that of base rapply are displayed in the context of data.frame manipulation. More precisely, we time the application of a dummy function f (unit multiplication) respectively to each column and to each numeric column of a data.frame x of size $(M \times N)$, where the entries of the data.frame x are randomly sampled from a uniform distribution on the unit interval.

The displayed timings are the median computation times (ms) of 100 evaluations of the benchmarked expressions in R 3.6.2 on a single-core processor (Intel i7-8550U, 1.80 GHz, 16 GB system memory). In the left-hand plots, the number of data frame rows is fixed at M=1000 and the number of columns increases from N=100 to N=10 000, and in the right-hand plots the number of data frame columns is fixed at N=100 and the number of rows increases from M=1000 to $M=10^6$.

The benchmarked expressions to mutate all columns of the data.frame x evaluated in the first figure are:

```
> ## rapply(how = "replace")
> rapply(x, f = `*`, e2 = 1, how = "replace")
> ## rrapply(how = "replace")
> rrapply(x, f = `*`, e2 = 1, how = "replace")
```

```
> ## rrapply(how = "prune")
> rrapply(x, f = `*`, e2 = 1, how = "prune")
```

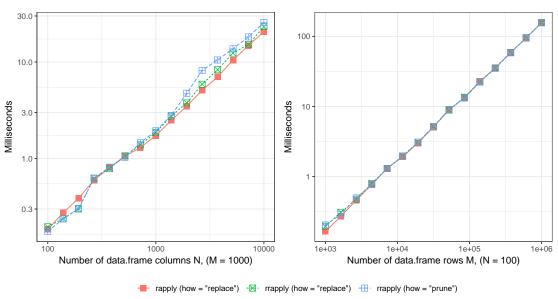
The benchmarked expressions to mutate all numeric columns of the data.frame x evaluated in the second figure are:

```
> ## rapply(classes = "numeric", how = "replace")
> rapply(x, f = `*`, e2 = 1, classes = "numeric", how = "replace")
> ## rrapply(classes = "numeric", how = "replace")
> rrapply(x, f = `*`, e2 = 1, classes = "numeric", how = "replace")
> ## rrapply(classes = "numeric", how = "prune")
> rrapply(x, f = `*`, e2 = 1, classes = "numeric", how = "prune")
> ## rrapply(condition = "numeric", how = "replace")
> rrapply(dat, condition = is.numeric, f = function(x) `*`(x, 1), how = "replace")
```

Remark. The same processing tasks executed with data.table (v1.8.2) and dplyr (v0.8.4) resulted in roughly equivalent computation times. These results have not been included in the figures as it is difficult to make an objective comparison between R-packages. For instance, data.table at this moment does not include a native procedure to perform conditional column mutations, whereas dplyr does with mutate_if.

Benchmark timings: mutating all columns $(M \times N)$ –sized data.frame

Function: $f(x) = x \cdot 1$ (unit multiplication)



Benchmark timings: mutating all numeric columns $(M \times N)$ –sized data.frame

Function: $f(x) = x \cdot 1$ (unit multiplication)

