Revisiting the Semi-Classical Limit of Quantum Mechanics

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We explore the transition from classical mechanics expressed in terms of a non-linear classical wave equation to quantum theory governed by the linear Schrödinger equation. The classical wave equation includes a non-linear classicality enforcing potential. We show it is not necessary to completely eliminate this non-linearity to recover linear quantum behavior. Scaling the classicality enforcing potential is sufficient to have quantum-like features to appear and is equivalent to scaling Planck's constant.

I. INTRODUCTION

The boundary between where quantum mechanics ends and classical mechanics begins is being pushed by experiments operating far from the microscopic scale in which quantum behavior is normally associated. For example, Arndt et al. [1] observed interference between mesoscopic fullerenes and Lee et al.'s [2] experiment entangled the vibrational modes of two macroscopic diamonds. It is therefore important to be able to describe the manner in which quantum behavior transitions into classical behavior. There is no doubt that all the behavior of classical mechanics can be expressed entirely with quantum theory. The Ehrenfest theorem states this explicitly by saying that the expected mean values of measurement predicted from quantum mechanics obey classical Newtonian motion in the classical regime. However, as quantum mechanics enters the transition area between the classical and quantum regimes it becomes increasingly difficult to represent a quantum system using the full quantum formalism. It is often unfeasible to model a complex or large quantum system without performing some sort of approximation. It is for this reason that methods such as WKB [3] or Gross-Pitaevskii [4, 5] were developed which make approximations to quantum theory in order to describe semi-classical behavior.

This approach of taking a complete theory such as quantum mechanics and using approximations to describe a theory like classical mechanics seems the natural one but Schleich et al. [6] have recently taken the opposite tack and derived quantum mechanics starting from classical mechanics. In doing so they use a non-linear wave equation for classical mechanics derived by Oriols and Mompart [7] similar in form to the Schrödinger equation but which allows no quantum or wavelike features. Schleich et al. [6] argue that to return to quantum mechanics and to recover the Schrödinger equation the non-linearity must be eliminated. We show here that this requirement can be relaxed. It is not necessary to completely cancel out the non-linearity to recover linear quantum behavior. It is actually enough to scale the non-linearity and we show in this case that the resulting non-linear wave equation can still reproduce all the features of quantum mechanics resulting from the linear Schrödinger equation. We derive this equation, which we

call the transition equation, in section II by first expressing the quantum and classical theories in a consistent language and then modifying Oriols and Mompart's [7] nonlinear wave equation for classical mechanics. We then demonstrate the nature of the transition equation and its quantum-like behavior by an analytic and numerical analysis. In section III we show that it is equivalent to the Schrödinger equation with a rescaled Planck's constant and in section IV we reinforce this equivalence by numerically exploring the standard single particle interference problem.

II. CLASSICAL AND QUANTUM MECHANICS IN A UNIFORM LANGUAGE AND THE TRANSITION EQUATION

Despite classical non-relativistic mechanics being completely contained in non-relativistic quantum mechanics, the two regimes are discussed in quite different languages. To develop a tool that smoothly transitions between the two regimes we must be able to describe them both using the same language. Wavefunctions, wave equations and probability densities are the language of quantum mechanics which is governed by the Schrödinger wave equation,

$$i\hbar \frac{\partial \psi(\mathbf{r},t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi(\mathbf{r},t) + V(\mathbf{r},t)\psi(\mathbf{r},t) , \quad (1)$$

where $\psi(\mathbf{r},t)$ is a wavefunction whose modulus squared gives the probability density of finding a particle at position \mathbf{r} and time t,m is the mass of the particle, V is the potential experienced by the particle and \hbar is the reduced Planck's constant. Classical mechanics on the other hand uses the language of trajectories and is governed by Newton's laws. We can formulate classical mechanics so that it can be expressed entirely by the Hamilton-Jacobi [8] equation,

$$\frac{\partial S_c(\mathbf{r},t)}{\partial t} = -\frac{1}{2m} \left[\nabla S_c(\mathbf{r},t) \right]^2 - V(\mathbf{r},t) , \qquad (2)$$

where S_c is the classical action which defines a canonical transformation between initial and final phase-space coordinates. For a given initial condition the behavior of a classical particle will be described by a trajectory derived wholly [8] from the action $m\dot{\mathbf{r}} = \nabla S_c$.

The description of quantum mechanics using classical language or the hydrodynamic form of quantum mechanics has been well known since Madelung [9] in 1926 and was revived by Bohm [10] in 1952. It begins by expressing the complex-valued wavefunction in its polar form,

$$\psi(\mathbf{r},t) = A(\mathbf{r},t)e^{iS(\mathbf{r},t)/\hbar} , \qquad (3)$$

where A is the real-valued amplitude and S/\hbar is the real-valued phase. Plugging this into the Schrödinger equation, Eq. (1), we obtain two equations. The first is the continuity equation which expresses the conservation of probability

$$\frac{\partial A(\mathbf{r},t)}{\partial t} = -\frac{1}{m} \left[\nabla A(\mathbf{r},t) \right] \cdot \left[\nabla S(\mathbf{r},t) \right] - \frac{1}{2m} A(\mathbf{r},t) \nabla^2 S(\mathbf{r},t) , \qquad (4)$$

which is equivalent to $\frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{j} = 0$ where $\rho = \left| \psi \right|^2 = A^2$ and $\mathbf{j} = \frac{\hbar}{2im} (\psi^* \nabla \psi - \psi \nabla \psi^*) = \frac{1}{m} A^2 \nabla S$ is the probability density current. The second is a Hamilton-Jacobi like equation

$$\frac{\partial S(\mathbf{r},t)}{\partial t} = -\frac{1}{2m} \left[\nabla S(\mathbf{r},t) \right]^2 - \left[V(\mathbf{r},t) + U(\mathbf{r},t) \right] . (5)$$

This equation differs in form from the Hamilton-Jacobi equation, Eq. (2), by an extra potential,

$$U(\mathbf{r},t) = -\frac{\hbar^2}{2m} \frac{\nabla^2 A(\mathbf{r},t)}{A(\mathbf{r},t)} = -\frac{\hbar^2}{2m} \frac{\nabla^2 |\psi(\mathbf{r},t)|}{|\psi(\mathbf{r},t)|}$$
(6)

which Bohm called the quantum-mechanical potential. Adding this potential to the classical Hamilton-Jacobi, Eq. (2), allows us to derive an action which in turn gives a trajectory. However, unlike a classical trajectory this one can have quantum behavior and non-Newtonian motion.

The description of classical mechanics using quantum language is less well known. If a particle's initial position is only known through a probability distribution $A_c^2(\mathbf{r},0) d^3r$, the classical trajectories resulting from each of these initial positions r will evolve according to the Hamilton-Jacobi equation and give at any time t > 0 the probability distribution $A_c^2(\mathbf{r}, t) d^3r$. A classical wavefunction similar to Eq. (3), $\psi_c(\mathbf{r},t) =$ $A_c(\mathbf{r},t) \exp \left[iS_c(\mathbf{r},t)/\hbar\right]$, can be constructed where \hbar is used to provide a dimensionless argument and S_c is again the classical action from the Hamilton-Jacobi equation, Eq. (2). Using this form of the wavefunction Oriols and Mompart [7] derive a wave equation, similar in form to the Schrödinger equation, that describes the evolution of a classical particle. We call it the classical Schrödingerlike equation and it is given by

$$i\hbar \frac{\partial \psi_c(\mathbf{r}, t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi_c(\mathbf{r}, t) + V(\mathbf{r}, t) \psi_c(\mathbf{r}, t) + \frac{\hbar^2}{2m} \frac{\nabla^2 |\psi_c(\mathbf{r}, t)|}{|\psi_c(\mathbf{r}, t)|} \psi_c(\mathbf{r}, t) ,$$
 (7)

where the probability density is given from the modulus squared, $\rho_c = A_c^2 = |\psi_c|^2$, of the wavefunction in analogy to quantum mechanics. Equation (7) while having completely classical behavior is similar in form to the Schrödinger equation except for an extra non-linear term which has the effect of canceling out all quantum and wave-like effects. Schleich et al. [6] refer to this term as the classicality-enforcing potential and it is of course Bohm's quantum-mechanical potential, Eq. (6), with the opposite sign, $-U(\mathbf{r},t)$.

Schleich et al. [6] transfer from the non-linear classical Schrödinger-like equation, Eq. (7), to the linear Schrödinger equation, Eq. (1), by first making the anzatz $\psi_c \equiv \psi$. They then define a quantum action which includes the classicality-enforcing potential. This leads to the cancelation of the classicality-enforcing potential in Eq. (7) and recovery of the Schrödinger equation and quantum mechanics. Schleich et al. [6] claim that to recover quantum mechanics Eq. (7) must become linear by the complete elimination of the classicalityenforcing potential. We find that by scaling and not necessarily eliminating the classicality-enforcing potential we can reproduce quantum behavior and recover the linear Schrödinger equation with a rescaled Planck's constant. We insert a degree of quantumness ϵ where $0 \le \epsilon \le 1$, into Eq. (7) which scales the classicalityenforcing potential and gives

$$i\hbar \frac{\partial \psi_{\epsilon}(\mathbf{r}, t)}{\partial t} = -\frac{\hbar^{2}}{2m} \nabla^{2} \psi_{\epsilon}(\mathbf{r}, t) + V(\mathbf{r}, t) \psi_{\epsilon}(\mathbf{r}, t)$$

$$+ (1 - \epsilon) \frac{\hbar^{2}}{2m} \frac{\nabla^{2} |\psi_{\epsilon}(\mathbf{r}, t)|}{|\psi_{\epsilon}(\mathbf{r}, t)|} \psi_{\epsilon}(\mathbf{r}, t) .$$
(8)

We call this the transition equation. For $\epsilon=1$ it is equal to the Schrödinger equation and $\psi_{\epsilon}\equiv\psi$. For $\epsilon=0$ it is equal to the non-linear classical Schrödinger-like equation and $\psi_{\epsilon}\equiv\psi_{c}$. For all other values $0<\epsilon<1$ we show that this non-linear equation exhibits quantum behavior despite the continued presence of the classicality-enforcing potential.

III. EQUIVALENCE TO SCALING PLANCK'S CONSTANT

The non-linear transition equation can be shown to be equivalent to the linear Schrödinger equation with Planck's constant scaled by the degree of quantumness according to

$$\tilde{\hbar} = \hbar \sqrt{\epsilon} \ . \tag{9}$$

We define the polar form of the wavefunction that satisfies the transition equation to be

$$\psi_{\epsilon}(\mathbf{r}, t) = A_{\epsilon}(\mathbf{r}, t)e^{iS_{\epsilon}(\mathbf{r}, t)/\hbar} \tag{10}$$

Inserting this into the transition equation, Eq. (8), and finding the individual elements gives

$$\nabla^2 \psi_{\epsilon}(\mathbf{r}, t) = \left\{ \nabla^2 A_{\epsilon}(\mathbf{r}, t) + 2 \frac{i}{\hbar} [\nabla A_{\epsilon}(\mathbf{r}, t)] \cdot [\nabla S_{\epsilon}(\mathbf{r}, t)] \right\}$$

$$+\frac{i}{\hbar}A_{\epsilon}(\mathbf{r},t)\nabla^{2}S_{\epsilon}(\mathbf{r},t) - \frac{1}{\hbar^{2}}A_{\epsilon}(\mathbf{r},t)[\nabla S_{\epsilon}(\mathbf{r},t)]^{2}$$

$$\times e^{iS_{\epsilon}(\mathbf{r},t)/\hbar}.$$
(11)

$$i\hbar\frac{\partial\psi_{\epsilon}(\mathbf{r},t)}{\partial t} = \left[i\hbar\frac{\partial A_{\epsilon}(\mathbf{r},t)}{\partial t} - A_{\epsilon}\frac{\partial S_{\epsilon}(\mathbf{r},t)}{\partial t}\right]e^{iS_{\epsilon}(\mathbf{r},t)/\hbar}\;,\;\;(12)$$

$$\frac{\psi_{\epsilon}(\mathbf{r},t)}{|\psi_{\epsilon}(\mathbf{r},t)|} \nabla^2 |\psi_{\epsilon}(\mathbf{r},t)| = [\nabla^2 A_{\epsilon}(\mathbf{r},t)] e^{iS_{\epsilon}(\mathbf{r},t)/\hbar} , (13)$$

where Eq. (11) is the Laplacian, Eq. (12) is time derivative of the polar wavefunction and Eq. (13) is the contribution from the classicality-enforcing potential.

Gathering the real and imaginary terms we obtain the continuity equation, Eq. (4) with A and S replaced by A_{ϵ} and S_{ϵ} , and an equation very similar to the Hamilton-Jacobi equation, Eq. (2). It differs by the addition of Bohm's quantum-mechanical potential scaled by the degree of quantumness,

$$\frac{\partial S_{\epsilon}(\mathbf{r},t)}{\partial t} = -\frac{1}{2m} [\nabla S_{\epsilon}(\mathbf{r},t)]^{2} - \left[V(\mathbf{r},t) - \epsilon \frac{\hbar^{2}}{2m} \frac{\nabla^{2} A_{\epsilon}(\mathbf{r},t)}{A_{\epsilon}(\mathbf{r},t)} \right] .$$
(14)

This equation can be made to have the appearance of the Schrödinger equation by making the substitution $\tilde{h} = \hbar \sqrt{\epsilon}$. Performing this substitution yields

$$\frac{\partial S_{\epsilon}(\mathbf{r},t)}{\partial t} = -\frac{1}{2m} [\nabla S_{\epsilon}(\mathbf{r},t)]^{2} - \left[V(\mathbf{r},t) - \frac{\tilde{h}^{2}}{2m} \frac{\nabla^{2} A_{\epsilon}(\mathbf{r},t)}{A_{\epsilon}(\mathbf{r},t)} \right] ,$$
(15)

by which means the degree of quantumness is cancelled out. Equations (4) and (15) are now completely equivalent to the Schrödinger equation with a rescaled \hbar and we can write a scaled Schrödinger-like equation and the associated wavefunction

$$\tilde{\psi}(\mathbf{r},t) \equiv A_{\epsilon}(\mathbf{r},t)e^{iS_{\epsilon}(\mathbf{r},t)/\tilde{\hbar}}$$
(16)

$$= \psi_{\epsilon}(\mathbf{r}, t) e^{iS_{\epsilon}(\mathbf{r}, t)(1/\sqrt{\epsilon} - 1)/\hbar} , \qquad (17)$$

$$i\tilde{\hbar}\frac{\partial\tilde{\psi}(\mathbf{r},t)}{\partial t} = -\frac{\tilde{\hbar}^2}{2m}\nabla^2\tilde{\psi}(\mathbf{r},t) + V(\mathbf{r},t)\tilde{\psi}(\mathbf{r},t) , \quad (18)$$

and note that $|\tilde{\psi}(\mathbf{r},t)|^2 = |\psi_{\epsilon}(\mathbf{r},t)|^2$. We also note that as $\tilde{\hbar} \to 0$ the phase of Eq. (16) will begin to vary rapidly compared to its amplitude. This is a necessary assumption of the WKB approximation [3] which leads to accurate results in the semi-classical regime away from the classical turning points.

Note that what we have done in this section does not correspond to a linearization of an intrinsically nonlinear equation insofar as we have performed no linear approximation. In contrast, recent work performed by Sbitnev [11], while leading to an equivalent scaled Schrödinger equation, Eq. (18), involves a linearization of the non-linear Navier-Stokes equation. He did this in order to obtain a theoretical model of a bouncing droplet system [12], a macroscopic system which mimics linear quantum behavior. He manipulates the classical Navier-Stokes equation to derive an equation similar in form to the classical Schrödinger-like equation, Eq. (7). He then makes an approximation that removes the non-linear term, as did Schleich et al. [6] with their non-linear classical wave equation, and ends up with a governing equation equivalent to the Schrödinger equation with Planck's constant replaced by a macroscopic equivalent. This equivalent Planck's constant of Sbitnev's is comparable to Planck's constant scaled by an appropriate degree of quantumness, ϵ , and as such, even though Sbitnev's governing equation is obtained through a linearization, it is comparable to the scaled Schrödinger equation, Eq. (18), and therefore to the transition equation, Eq. (8).

IV. THE INTERFERENCE OF TWO WAVE PACKETS

To further demonstrate the quantum and linear behavior of the non-linear transition equation, Eq. (8), we will solve it numerically in the context of the standard single particle interference problem. Interference is not necessarily a uniquely quantum phenomenon. It can happen with any kind of wave. However, in the limit of the transition equation becoming classical all wave behavior is suppressed. Therefore, while not being unique to quantum mechanics, interference can be used as a measure of the quantumness between the two regimes in question. Using quantum mechanics we would expect two wave packets to spread with time and be represented by the standard Young interference pattern [13]. For classical particles with no wave nature we expect the packets to maintain their shape and not interfere for all time. We first solve the system using the rescaled linear Schrödinger equation, Eq. (18), and then compare the results to numerical solutions of the non-linear transition equation, Eq. (8). Thus along with the analytic equivalence shown in section III we also numerically demonstrate the equivalence of the two equations in this particular context.

A. Scaled Quantum Wave Packet Behavior

We start the scaled quantum analysis with two gaussians in one dimension with V=0 and initial condition

$$\tilde{\psi}(x,0) = \sqrt{N_0} \left[e^{-(x-d)^2/4\sigma^2} + e^{-(x+d)^2/4\sigma^2} \right]$$
 (19)

where d is the distance from the origin to the centers of the Gaussians and σ is the root mean square (rms) width. The normalization is

$$N_0 = \left[2\sqrt{2\pi}\sigma \left(e^{-d^2/2\sigma^2} + 1 \right) \right]^{-1} . \tag{20}$$

Using this initial condition to solve the scaled one dimensional time-dependent Schrödinger equation,

$$i\tilde{\hbar}\frac{\partial\tilde{\psi}}{\partial t} = -\frac{\tilde{\hbar}^2}{2m}\frac{\partial^2\tilde{\psi}}{\partial x^2}\,, (21)$$

the time-dependent wave function is found to be

$$\tilde{\psi}(x,t) = \sqrt{\frac{N_0}{\tilde{a}_t}} \left(e^{-(x-d)^2/4\tilde{a}_t^2} + e^{-(x+d)^2/4\tilde{a}_t^2} \right). \tag{22}$$

where $\tilde{a}_t^2 = \sigma^2 + \frac{1}{2}i\tilde{\hbar}t/m$. When the modulus is squared the interference term becomes obvious,

$$\left| \tilde{\psi}(x,t) \right|^2 = \frac{N_0}{\tilde{\sigma}_t} \left[\left(e^{-(x-d)^2/4\tilde{\sigma}_t^2} + e^{-(x+d)^2/4\tilde{\sigma}_t^2} \right)^2 (23) -4e^{-(x^2+d^2)/2\tilde{\sigma}_t^2} \sin^2 \left(\frac{\tilde{h}txd}{4m\sigma^2\tilde{\sigma}_t^2} \right) \right],$$

where $\tilde{\sigma}_t^2 = \tilde{h}^2 t^2 / (4m^2 \sigma^2) + \sigma^2$ is the time dependent rms width. This is the expected Young interference pattern.

B. Simulation in the Framework of the Transition Equation

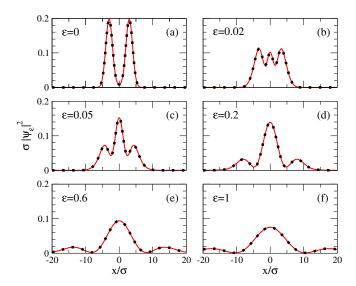


FIG. 1. (color online) The (red) solid line is the analytic probability density using the Schrödinger equation with a scaled $\tilde{\hbar}=\hbar\sqrt{\epsilon}$, Eq. (18), and the (black) dotted line is the simulated probability density using the transition equation, Eq. (8). All plots are evaluated at the same time $t=20m\sigma^2/\hbar$ and the initial distance from the origin to the center of the two initial gaussians is $d=3\sigma$. Plot (a) is the fully classical case with $\epsilon=0$. (b) $\epsilon=0.02$. (c) $\epsilon=0.05$. (d) $\epsilon=0.2$. (e) $\epsilon=0.6$. (f) is the fully quantum case with $\epsilon=1$ and $\tilde{\hbar}=\hbar$.

We now numerically solve this standard problem using the nonlinear transition equation, Eq. (8), which in one dimension and with V=0 is

$$i\hbar \frac{\partial \psi_{\epsilon}(x,t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi_{\epsilon}(x,t)}{\partial x^2}$$

$$+\frac{\hbar^2}{2m} \frac{1-\epsilon}{|\psi_{\epsilon}(x,t)|} \frac{\partial^2 |\psi_{\epsilon}(x,t)|}{\partial x^2} \psi_{\epsilon}(x,t) .$$
(24)

The equation is solved using the explicit finite difference method. The asymptotic behavior is as expected. For the case in which the degree of quantumness $\epsilon=1$ and $\tilde{\hbar}=\hbar$ the interference pattern that forms, Fig. 1(f), is identical to the standard quantum case in which the transition equation reduces to the Schrödinger equation. For the case in which $\epsilon=0$ and $\tilde{\hbar}=0$ the probability density that forms, Fig. 1(a), is that of the initial distribution, Eq. (19), and is equal to the classical case. As can be seen in all the frames of Fig. 1 for all values of ϵ the plots from the numerically solved non-linear transition equation overlap the plots derived from the scaled Schrödinger equation.

Figure 1 demonstrates the equivalence between the non-linear transition equation, Eq. (8), and the linear Schrödinger equation with a scaled Planck's constant, Eq. (18). For all values of $0 < \epsilon \le 1$ an interference pattern develops, but the degree of quantumness corresponds to a retardation of the rate in which the interference pattern forms. Given enough time the pattern will develop into the usual far-field Young interference pattern with a visibility of one for all $0 < \epsilon \le 1$. As can be deduced from Fig. 1 the time for a diffraction pattern to develop increases to infinity as degree of quantumness diminishes. The only value in which no interference is observed is that for $\epsilon = 0$. When using the transition equation classical mechanics is a special singular case.

V. CONCLUSION

We have demonstrated both analytically and numerically that it is not necessary to get rid of the classicality-enforcing potential appearing in Oriols and Mompart's [7] classical Schrödinger-like equation to recover behavior similar to that of quantum mechanics. We have found that by using a degree of quantumness to scale but not necessarily eliminate the non-linear classicality-enforcing potential we can construct a transition equation, Eq. (8), which we showed to be equivalent to the linear Schrödinger equation but with a rescaled Planck's constant, Eq. (18).

It is interesting that the special behavior observed in the linear theory of quantum mechanics can be reproduced with a non-linear wave equation such as the transition equation. The linearity of quantum mechanics has been tested to extreme accuracy [14]. This linearity is evident in the transition equation when the degree of quantumness equal to one, however, when the degree of quantumness is anywhere between zero and one, where non-linearity is introduced, quantum behavior is still observed. The non-linear transition equation mimics the linear Schrödinger equation and pure classical mechanics is only observed for the singular case when the degree of quantumness vanishes completely.

In general, it may not be necessary to explicitly eliminate a non-linearity (e.g. through a linearization procedure) in order to encounter linear behavior, such as wave interference or the superposition principle, in the framework of a non-linear theory. This insight might be of relevance to other fields of physics that are governed by an intrinsically non-linear equation, such as fluid dy-

namics.

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