

# LLM, Yet Another Solution to RecSys?

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DataFunSummit # 2023



# What is RecSys?

Data

Evaluation

Metric

Model

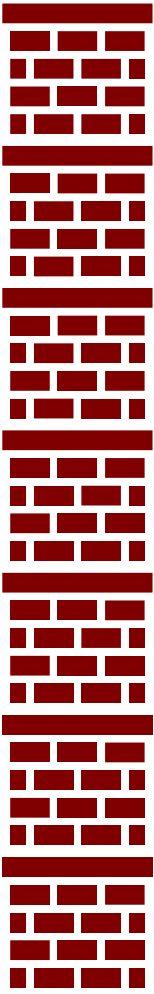
LLM?

Static/offline

Train/test split

HitRate, NDCG...

A single model



Stream/online

A/B testing

CTR, CVR, GMV...

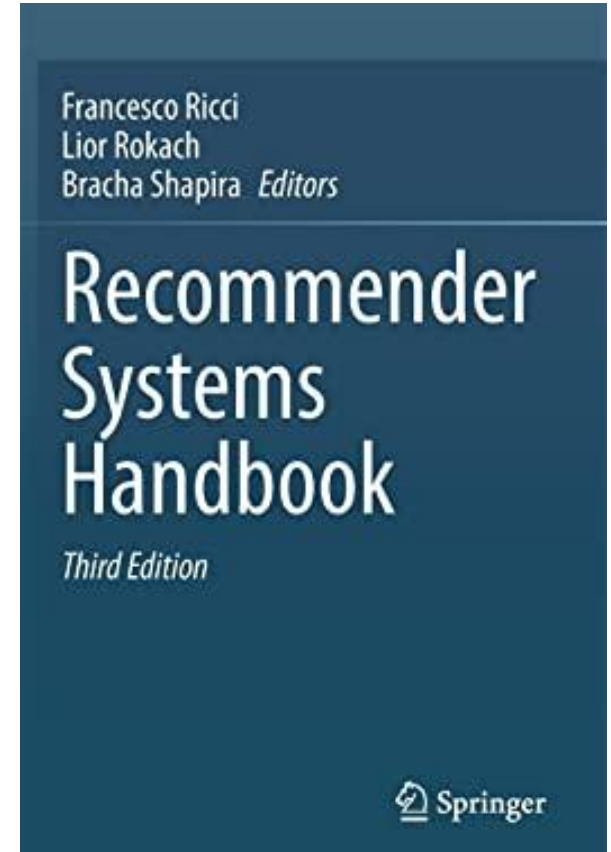
Mixture of models?

Evaluation

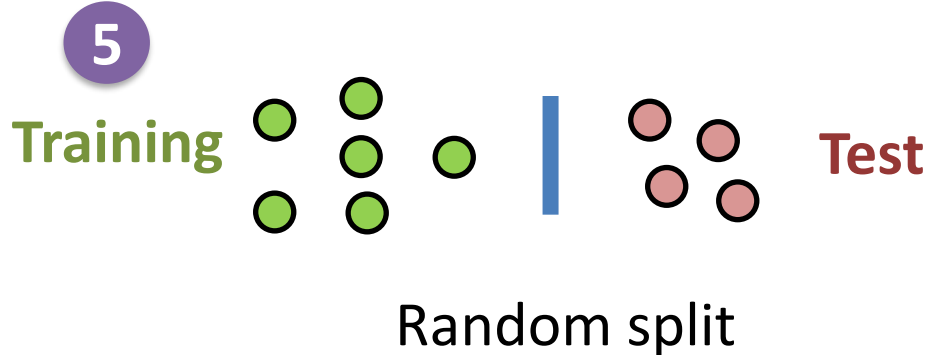
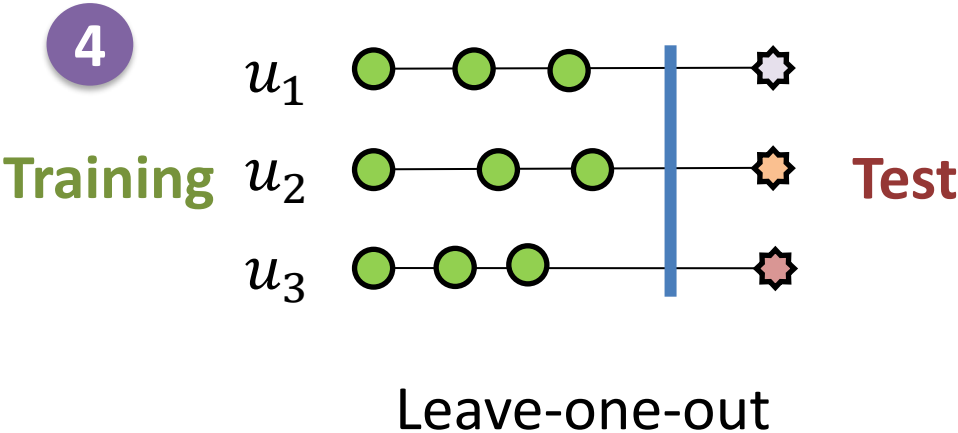
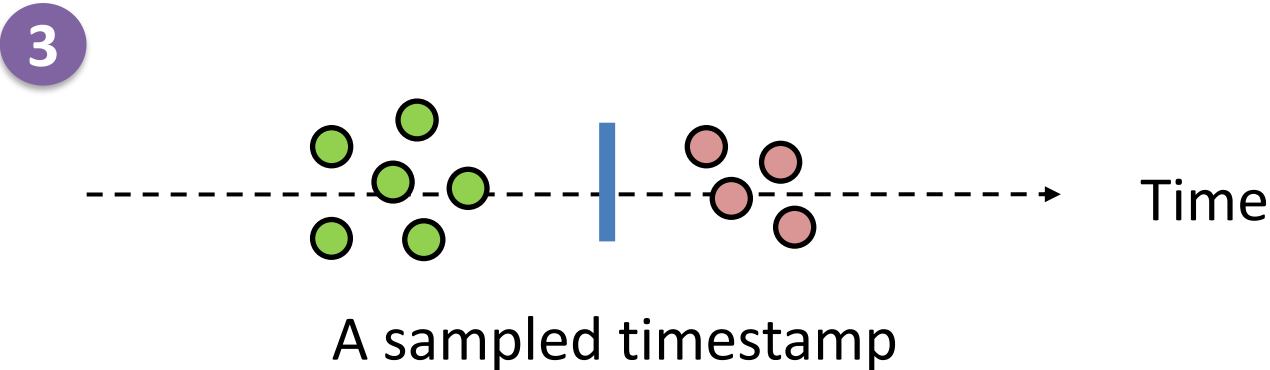
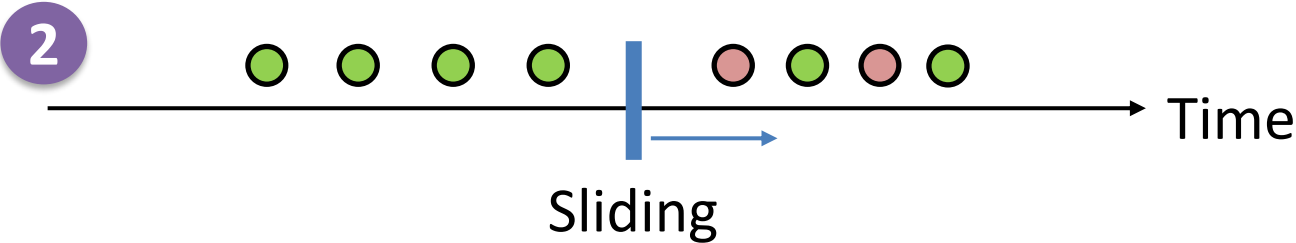
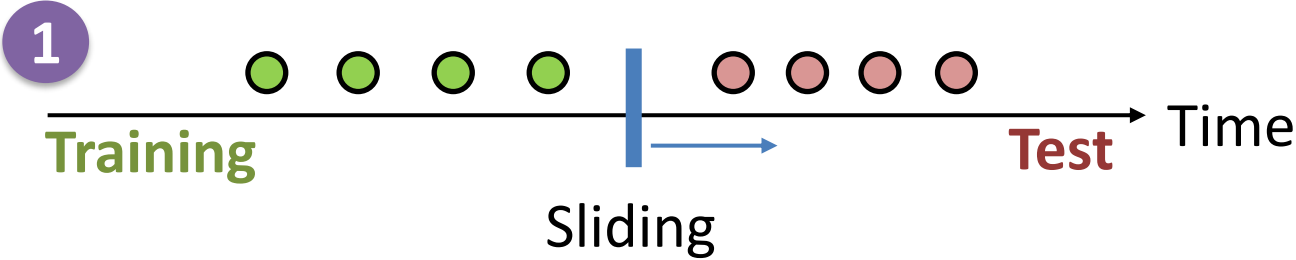
Train/test split

A/B testing

- RecSys evaluation is challenging
- “The goal of the offline experiments is to filter out inappropriate approaches, leaving a relatively small set of candidate algorithms to be tested” online
- “it is necessary **to simulate the online process** where the system makes predictions or recommendations”



# The 5 settings in offline evaluation



# Case study: what train/split?

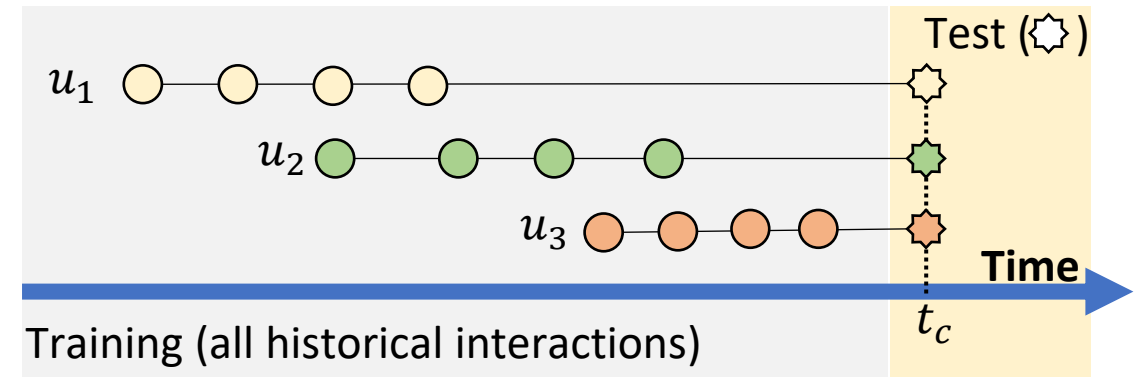
- Collection: 88 papers in RecSys conferences (2020 – 2022)

No. papers	Percentage	Train/test split	Global timeline?
30	34%	Random split	No
22	25%	Leave-one-out	No
17	19.5%	Single time point	Partially
15	17%	Simulation-based online	Yes
4	4.5%	Sliding window	Yes

Bandits and reinforcement learning for recommendation.  
Incremental learning or session-based learning.

# Recommendation **in practice**

- Users get recommendations when visiting a site or app, at current time  $t_c$
- All historical interactions before  $t_c$  can be used as training data




























- Learning from ***past interactions***
- To ***predict*** users' preferred items ***in (near) future***

# RecSys in academic research: **problem abstraction**

One problem definition  
for many RecSys tasks

Global timeline not  
observed

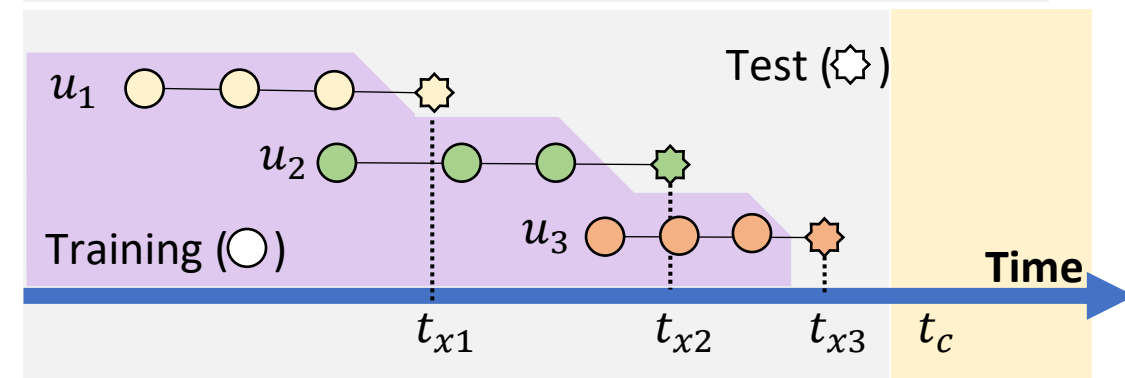


# Ignorance of global timeline: **data leakage**

- Recommenders access user-item interactions that “would happen” after the test time point
- Recommenders may recommend “future items”
- Recommendation accuracies may not mean much



## An illustration: Leave-one-out

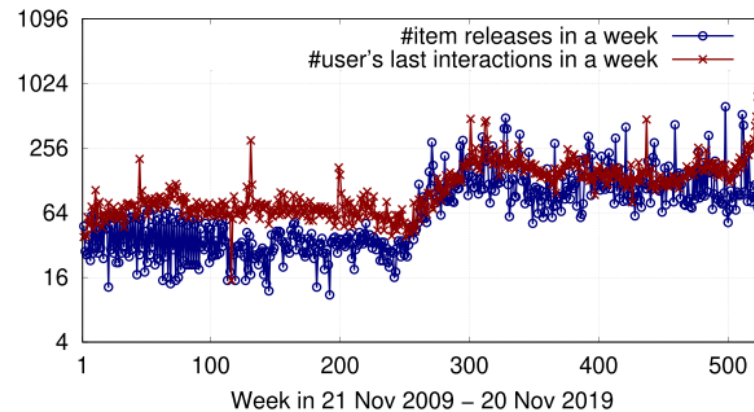


Applicable to Popularity and ML/DL-based models

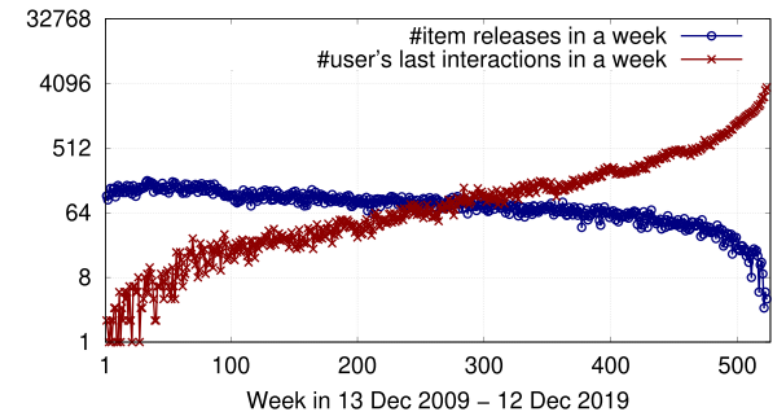


# Global timeline vs local timeline

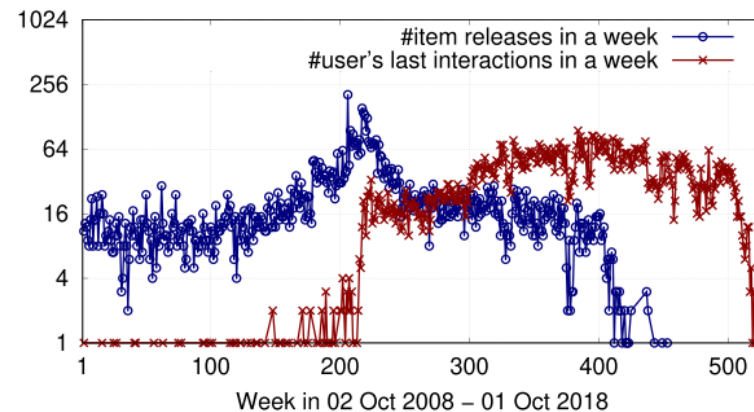
- Number of item first interactions in each week
- Number of user last interactions in each week
- On 4 datasets for 10 years duration



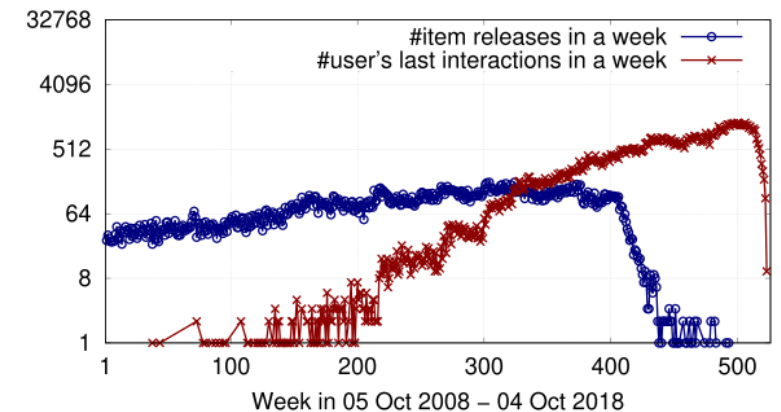
(a) MovieLens-25M



(b) Yelp

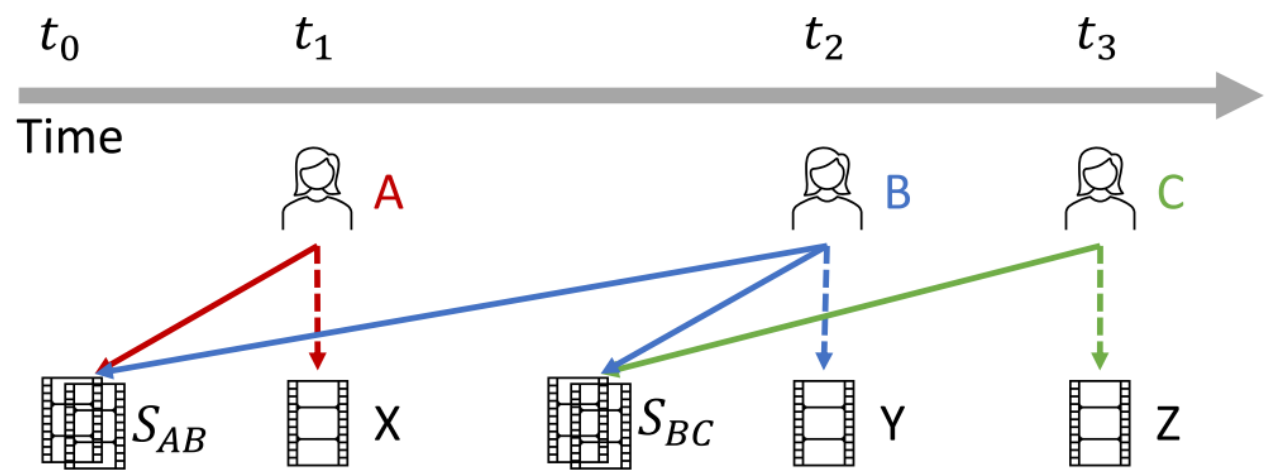


(c) Amazon-music



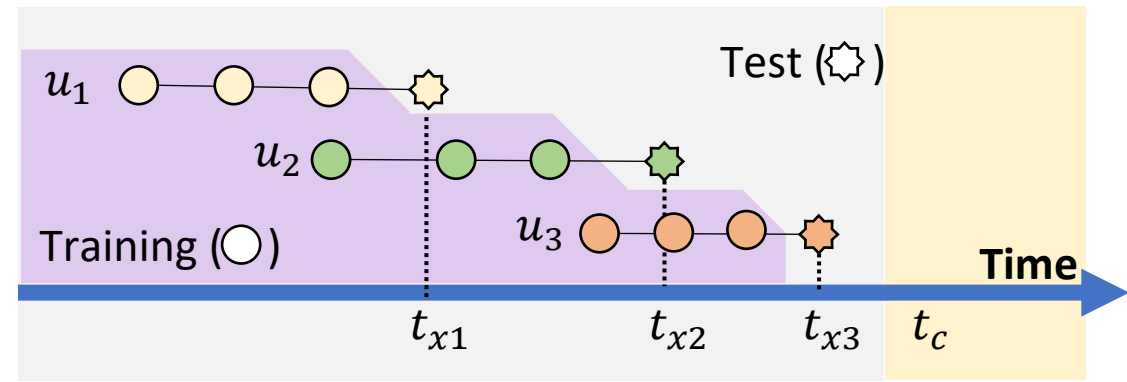
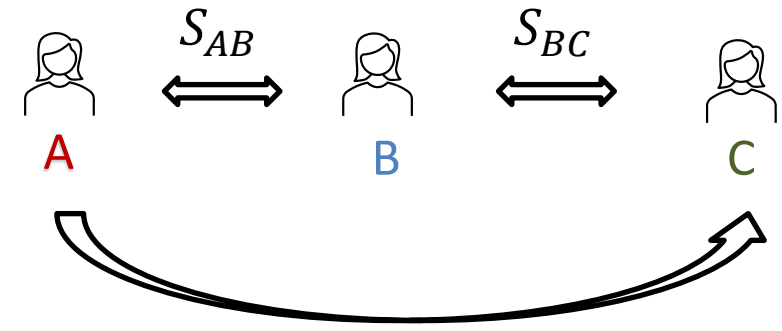
(d) Amazon-electronic

# Data leakage in offline evaluation of recommender system



(a) User-item interaction along global timeline.

$S_{AB}$ : items rated by both users A and B  
 $S_{BC}$ : items rated by both users B and C  
X: test instance of user A  
Y: test instance of user B  
Z: test instance of user C



All interactions by user C happened after the test instance of A

# Experiments: the impact of data leakage

Dataset	Time span selected	Data Filtering	#User	#Item	#Rating	Sparsity
MovieLens-25M	21 Nov 2009 to 20 Nov 2019	No filtering	62,202	56,774	9,808,925	$2.78e - 3$
Yelp	13 Dec 2009 to 12 Dec 2019	10-core	116,655	61,027	3,127,215	$4.39e - 4$
Amazon-music	02 Oct 2008 to 01 Oct 2018	5-core	15,839	11,071	162,880	$9.29e - 4$
Amazon-electronic	05 Oct 2008 to 04 Oct 2018	10-core	141,633	49,325	2,365,483	$3.38e - 4$

➤ Data partition: Leave-one-out splitting

Recommendation List

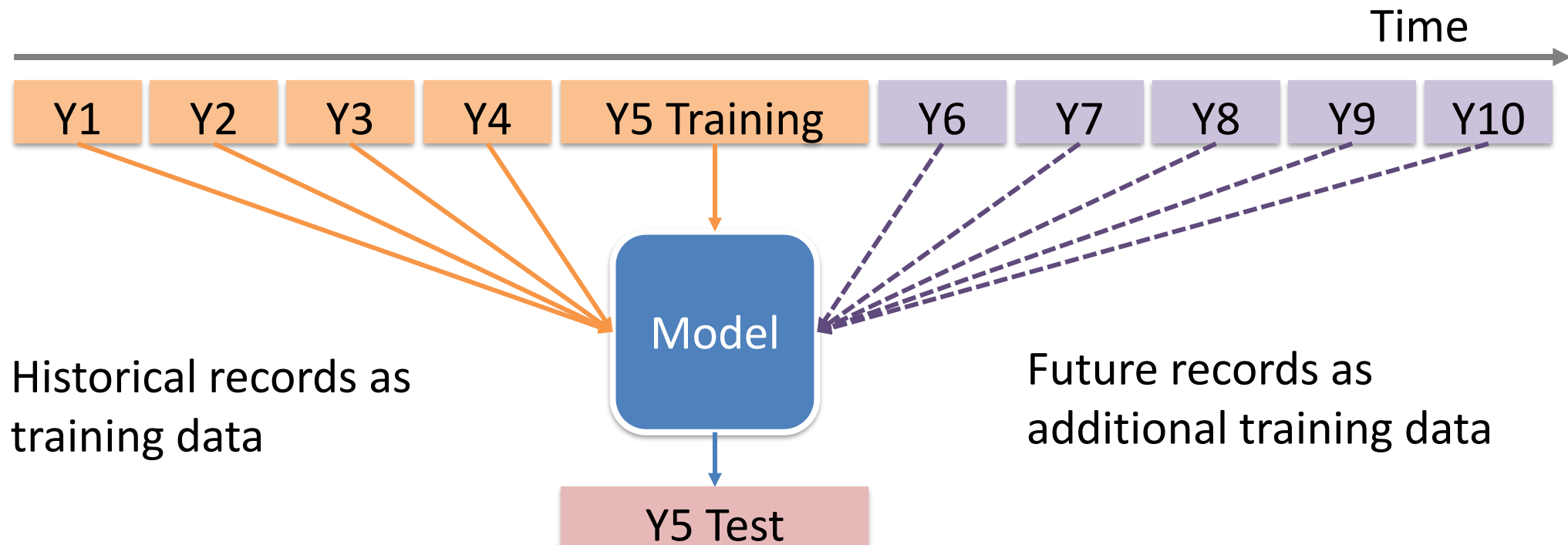
➤ Baselines: BPR, NeuMF, LightGCN, SASRec

➤ Evaluation metrics: HR@20, NDCG@20

Recommendation Accuracy

# Experiment: to simulate different severity of data leakage

- Test set: test instances that happened in Year 5 (example test year)
- Training set: (Instances before Y5) + (training instances in Y5) + ( $x$  year of future instances),  $x \in [0,5]$



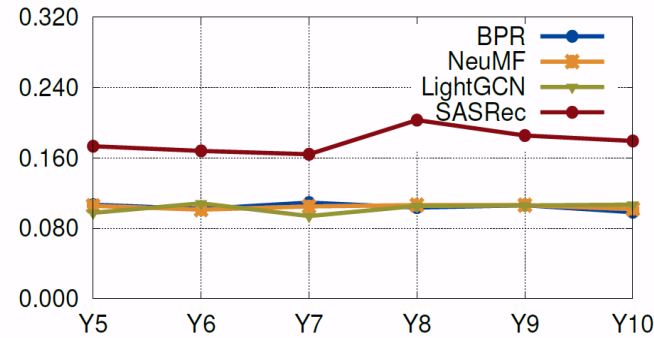
# Impact of data leakage on recommendation list

- **Future items:** the items are exclusively available only after the specific time point of a given test instance.
- All models recommend “future items” → **invalid recommendation**

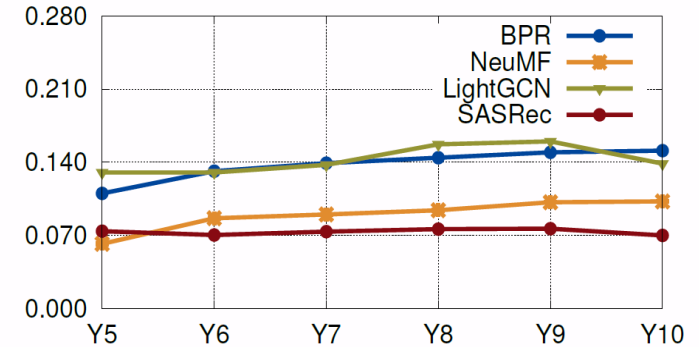
Model	Dataset Test year	MovieLens-25M		Yelp		Amazon-music		Amazon-electronic	
		Y5	Y7	Y5	Y7	Y5	Y7	Y5	Y7
BPR	Y5	0	—	0	—	0	—	0	—
	Y6	0	—	421	—	615	—	79	—
	Y7	22	0	829	0	970	0	363	0
	Y8	7	11	2,365	504	1,101	651	263	200
	Y9	6	88	5,048	287	1,304	1,103	499	1,224
	Y10	4	81	1,851	1,598	1,197	1,155	200	583
NeuMF	Y5	0	—	0	—	0	—	0	—
	Y6	3	—	602	—	910	—	28	—
	Y7	7	0	1,631	0	1,501	0	1,303	0
	Y8	27	31	3,260	130	1,733	878	549	0
	Y9	22	6	3,542	1,177	1,491	1,276	729	216
	Y10	15	1	5,205	1,791	1,577	1,573	2,655	326
LightGCN	Y5	0	—	0	—	0	—	0	—
	Y6	11	—	369	—	626	—	37	—
	Y7	32	0	739	0	1,050	0	148	0
	Y8	116	189	1,070	569	998	632	367	220
	Y9	22	26	1,257	979	1,036	893	262	430
	Y10	15	58	1,103	1,360	1,152	1,029	260	470
SASRec	Y5	0	—	0	—	0	—	0	—
	Y6	315	—	967	—	906	—	216	—
	Y7	442	0	3,074	0	1,548	0	625	0
	Y8	144	489	2,228	2,666	1,814	1,341	487	1388
	Y9	342	403	3,162	2,893	1,982	1,376	20	3,209
	Y10	993	386	1,741	3,014	1,980	1,662	12	2,479

# Impact of data leakage on recommendation accuracy

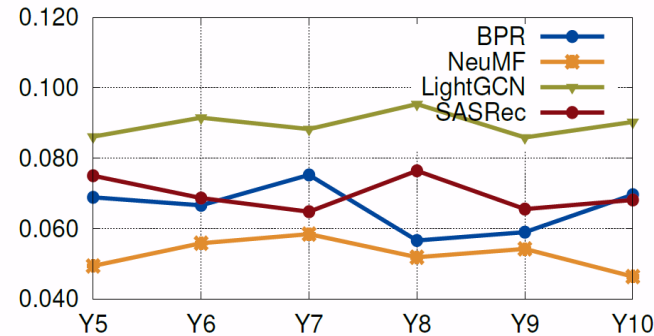
- The impact on recommendation accuracy can vary, and it is not predictable.
- The **relative performance ordering** of the evaluated models does not exhibit consistent patterns.



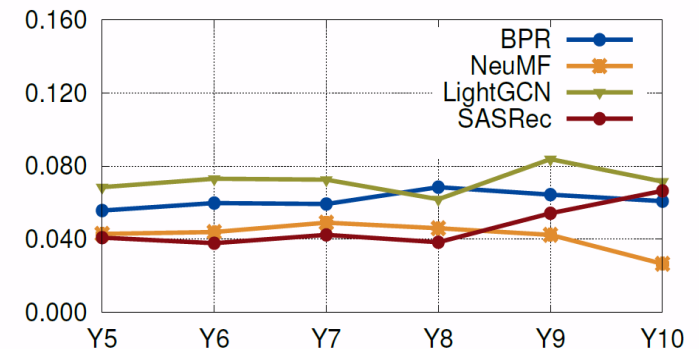
(A) HR@20  
MovieLens-25M



(E) HR@20  
Amazon-music



(C) HR@20  
Yelp



(G) HR@20  
Amazon-electronic



Data

Static/offline dataset

Stream/online data

# The MovieLens dataset

movielens

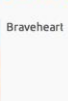
What kind of movie fan are you? Distribute 6 points among the groups of movies below to represent your preferences. MovieLens will then recommend movies personalized to your selection.

Next

Remaining points: 1

courage, earnest, touching

+  
2  
-



dark humor, enigmatic, masterpiece,

+  
1  
-



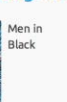
based on a comic, dark hero, superhero

+  
2  
-



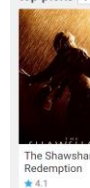
computer game, explosions, sci-fi

+  
2  
-

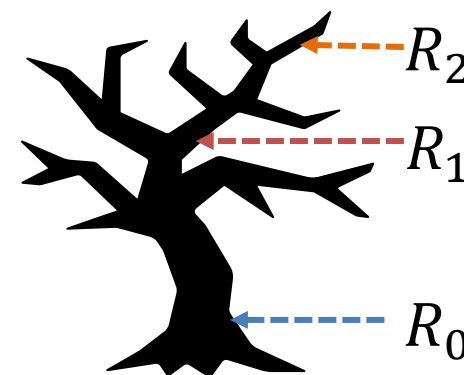
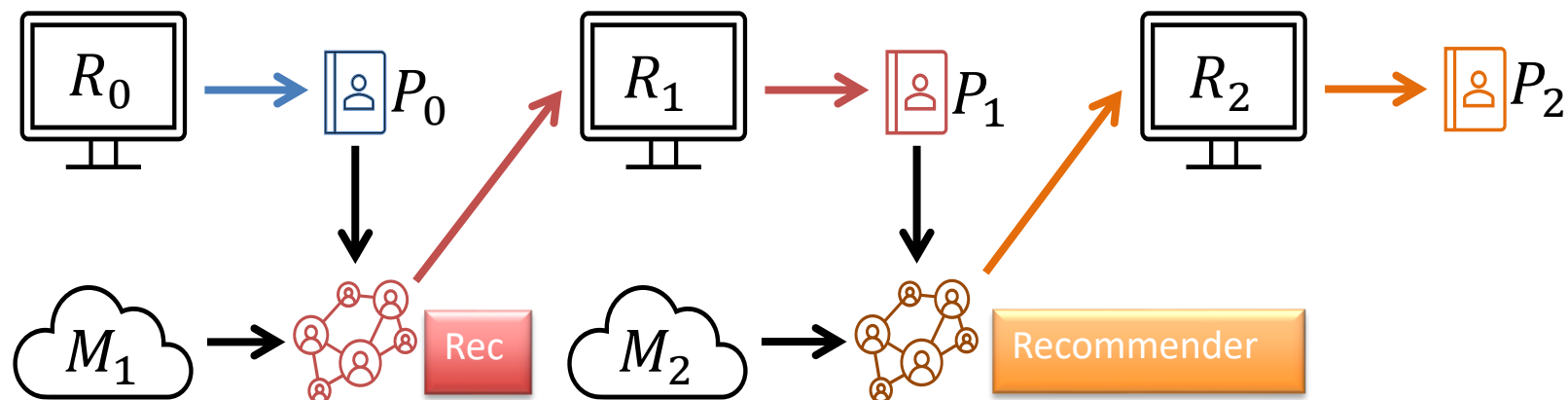


movielens

top picks

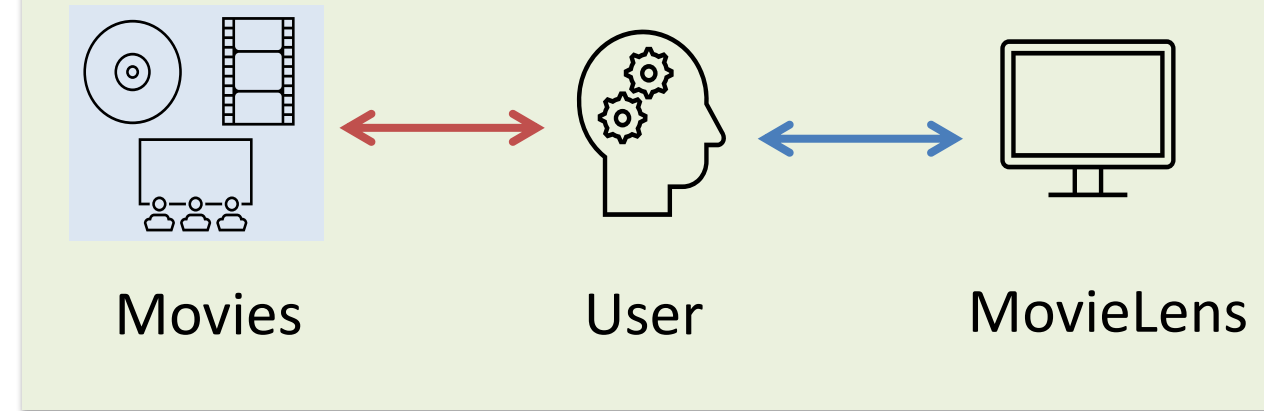


recent releases





# Two kinds of interactions



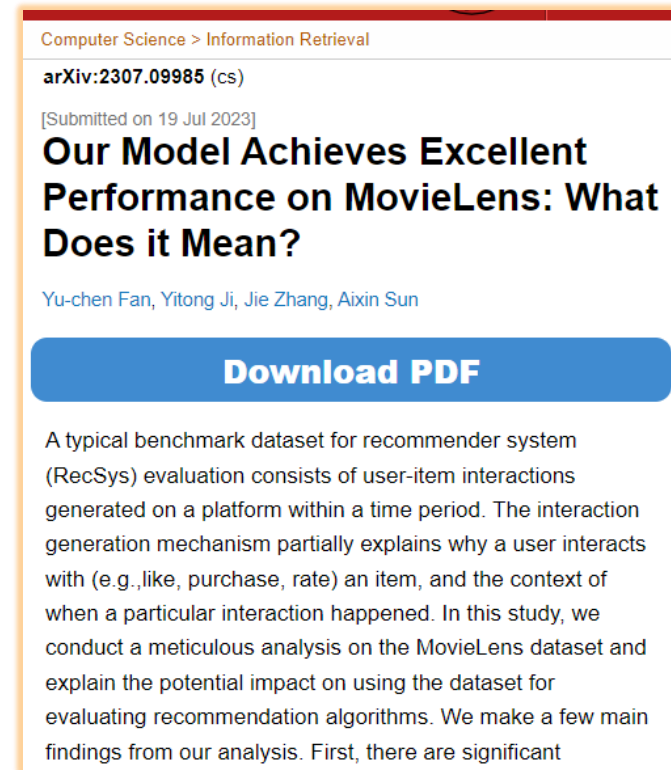
## ➤ User-Movie Interaction

- There is a decision process to decide which movie to watch next

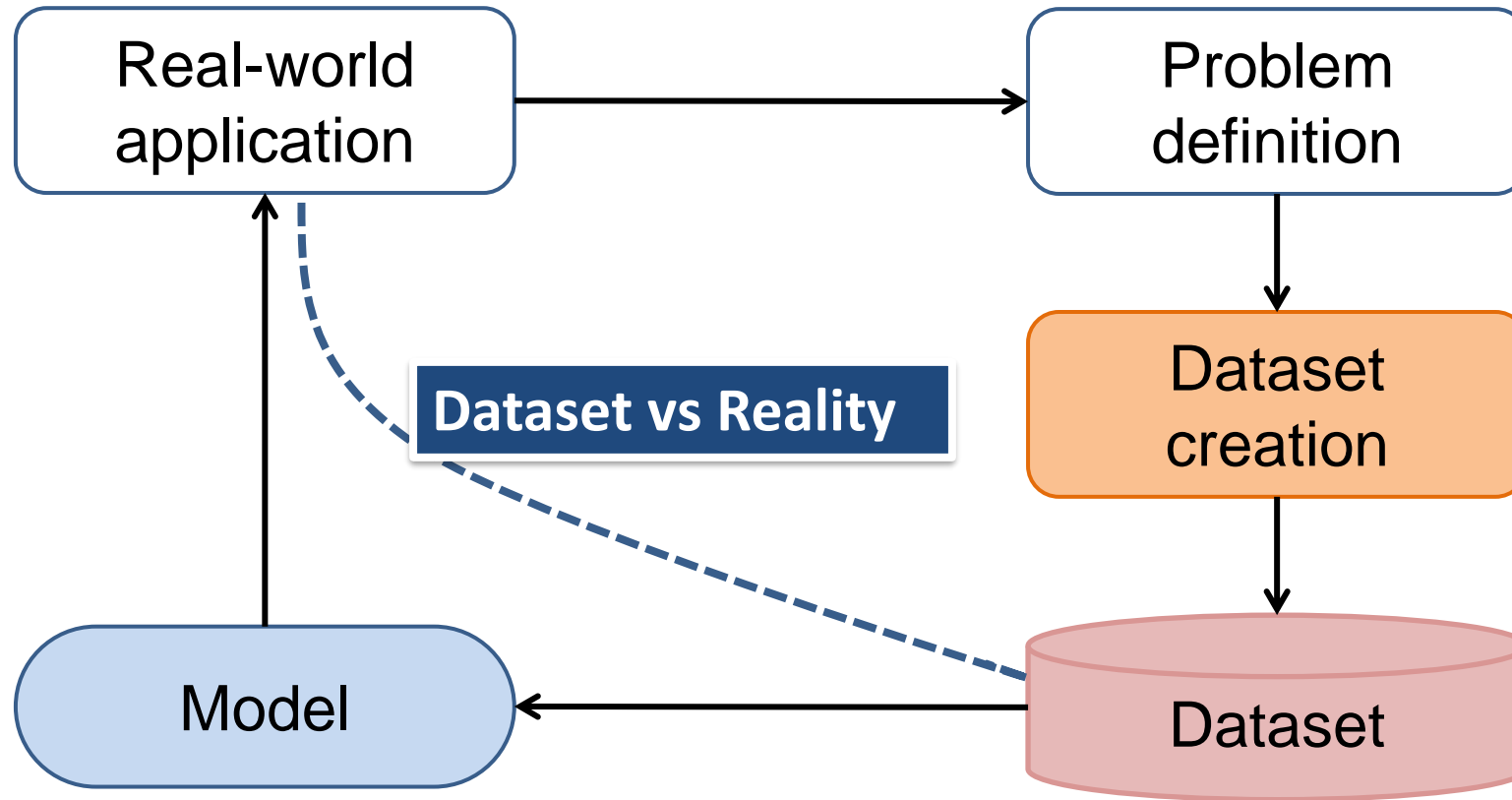
## ➤ User-MovieLens Interaction

- MovieLens guides users to recall what movies he/she has watched
- Cold-start dataset for "static preference"

<https://arxiv.org/abs/2307.09985>



# Dataset vs Reality



## Dataset vs Reality: Understanding Model Performance from the Perspective of Information Need

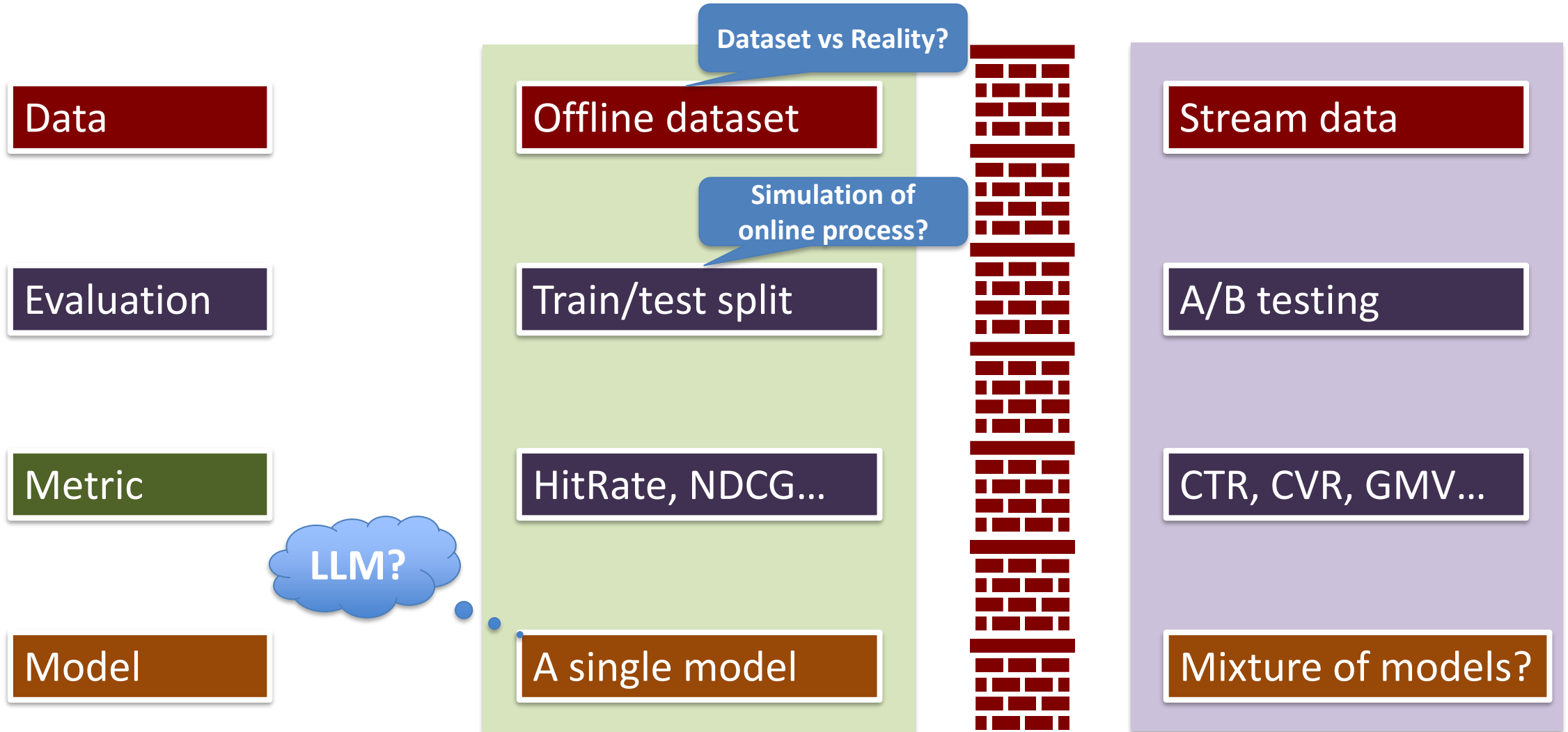
Mengying Yu, Aixin Sun

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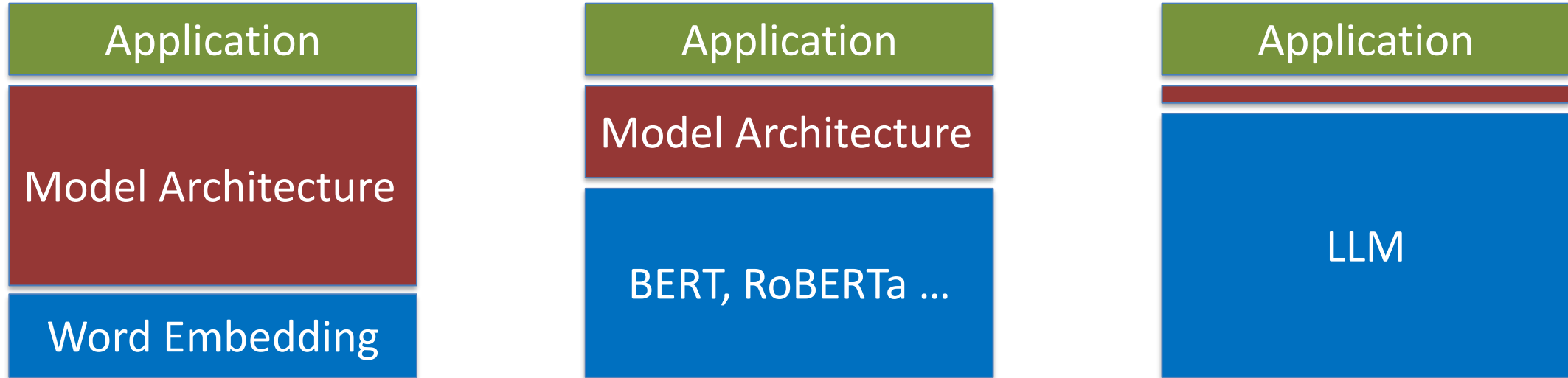
Deep learning technologies have brought us many models that outperform human beings on a few benchmarks. An interesting question is: can these models well solve real-world problems with similar settings (e.g., identical input/output) to the benchmark datasets? We argue that a model is trained to answer the same information need for which the training dataset is created. Although some datasets may share high structural similarities, e.g., question-answer pairs for the question answering (QA) task and image-caption pairs for the

<https://arxiv.org/abs/2212.02726>

# What is RecSys?



# LLM, Yet Another Solution to RecSys?



- How to present a scenario to LLM for a decision-making in a dynamic (online) setting?
- To what extent shall we trust the results on offline evaluation?

# LLM, Yet Another Solution to RecSys?

## ➤ Disadvantages

- Cannot consider business scenarios
- Cannot access domain-specific user/item attributes
- Unable to evaluate the business benefits brought by algorithms through offline evaluation

## ➤ Advantages

- No need to consider implementation costs
- No restrictions on the design of LLM-based recommenders
- Potentially offer valuable insights for the industry

Academic  
Research

# Acknowledgement

Ms. Ji Yitong  
Mr. Fan Yu-chen  
Dr. Zhang Jie  
Dr. Li Chenliang

<https://personal.ntu.edu.sg/axsun/>

Computer Science > Information Retrieval

arXiv:2212.02726 (cs)

[Submitted on 6 Dec 2022 (v1), last revised 24 Mar 2023 (this version, v2)]

Dataset vs Reality: Understanding Model Performance from the Perspective of Information Need

Mengying Yu, Aixin Sun

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Deep learning technologies have brought us many models that outperform human beings on a few benchmarks. An interesting question is: can these models well solve real-world problems with similar settings (e.g., identical input/output) to the benchmark datasets? We argue that a model is trained to answer the same information need for which the training dataset is created. Although some datasets may share high structural similarities, e.g., question-answer pairs for the

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Take a Fresh Look at Recommender Systems from an Evaluation Standpoint

Author: Aixin Sun [Authors Info & Claims](#)

SIGIR '23: Proceedings of the 46th International ACM SIGIR Conference on Research and Development in Information Retrieval • July 2023 • Pages 2629–2638 • <https://doi.org/10.1145/3539618.3591931>

Published: 18 July 2023 [Publication History](#) [Check for updates](#)

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ACM Transactions on Information Systems

RESEARCH-ARTICLE

A Critical Study on Data Leakage in Recommender System Offline Evaluation

Authors: Yitong Ji, Aixin Sun, Jie Zhang, Chenliang Li [Authors Info & Claims](#)

ACM Transactions on Information Systems, Volume 41, Issue 3 • Article No.: 75, pp 1–27 • <https://doi.org/10.1145/3569930>

Computer Science > Information Retrieval

arXiv:2307.09985 (cs)

[Submitted on 19 Jul 2023]

Our Model Achieves Excellent Performance on MovieLens: What Does it Mean?

Yu-chen Fan, Yitong Ji, Jie Zhang, Aixin Sun

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A typical benchmark dataset for recommender system (RecSys) evaluation consists of user-item interactions generated on a platform within a time period. The interaction generation mechanism partially explains why a user interacts with (e.g., like, purchase, rate) an item, and the context of when a particular interaction happened. In this study, we conduct a meticulous analysis on the MovieLens dataset and explain the potential impact on using the dataset for evaluating recommendation algorithms. We make a few main findings from our analysis. First, there are significant







# 感谢观看

