



## Inspection Report

Tuskegee University  
Kenney Hall 44-320  
Tuskegee Inst, AL 36088

Customer ID: **857**  
Certificate: **64-R-0002**  
Site: 001  
TUSKEGEE UNIVERSITY

Type: ROUTINE INSPECTION  
Date: 05-AUG-2016

### 2.31(c)(7)

#### INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).

\*\* Approved protocol #D10-2014-14 had undergone changes regarding the care and use of animals in ongoing activities that were not being reported to the IACUC without an approved modification.

According to records dated November 19, 2015, a dog (patient #52181) was admitted to the hospital for euthanasia and was misappropriated to practice emergency surgical procedures before being euthanized as requested by client #84574.

According to records dated May 1, 2015, a dog (patient #8109) was transferred to the protocol without notification and approval by IACUC and, had undergone emergency surgical procedures and euthanasia.

According to the " Dogs Allotment Record " there were 12 dogs (animals #8118, #8120, #8115, #8127, #8123, #8147, #8186, #8190, # 8109, #8224, #52181 and #8225) that had undergone emergency surgical procedures after the protocols approved expiration date of May 1, 2015. Also the "Dogs Allotment Record" listing the 20 dogs approved for the protocol does not reflect the 2 extra dogs that were inappropriately transferred from sources not specified in the approved protocol and used to practice emergency surgical procedures.

Based on record review, there was no supporting documentation that stated that the PI had an IACUC approved extension in his protocol. Unapproved significant changes to the protocol could result in unanticipated pain and distress, and put the animal's welfare at risk. Any significant changes to the approved protocol must be reviewed and approved by the IACUC prior to implementing the changes.

The research facility acted to address these items by conducting an investigation and further implementing appropriate corrective actions to prevent any future incidents. The Principal Investigator has been suspended from being included or from participating in any research activity with protocols involving dogs. There have not been any additional incidents since the corrective actions were implemented.

### 2.31(d)(5)

Prepared By: ANNETTE CHAPMAN, D V M USDA, APHIS, Animal Care

Date:  
11-AUG-2016

Title: VETERINARY MEDICAL OFFICER 6048

Received by Title: ATTENDING VETERINARIAN

Date:  
12-AUG-2016



## Inspection Report

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### **INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE (IACUC).**

Protocol D10-2014-14 was investigated by IACUC for unapproved activity of the protocol and misuse of dogs. Record review indicated that the animal activities are not being conducted as outlined in the protocol. IACUC had not reviewed the protocol in over a year and activity had continued since May 1, 2015 which was the projects end date. Continuing review of animal activities is necessary in order to ensure that all animal activities are conducted in compliance with the Animal Welfare Act regulations. The IACUC must conduct a review of the activities involved in the aforementioned protocol and ensure that all animal activities are conducted as stated in the protocol, or that the protocol is amended to reflect the activities as they are conducted.

The research facility acted to address this item by conducting an investigation and further implementing appropriate corrective actions to prevent any future incidents. IACUC has decided to review protocols semi-annually to better monitor research activity. There have not been any additional incidents since the corrective actions were implemented.

### **2.33(b)(5)**

#### **ATTENDING VETERINARIAN AND ADEQUATE VETERINARY CARE.**

\*\* There were two dogs (animals #8109 & #52181) that were not approved for use that were inappropriately transferred to protocol # D10-2014-14. These dogs were used to practice emergency surgical procedures and there aren't any record of the procedures conducted. The research facility shall maintain pre-procedural and post-procedural care that pertains to the procedures conducted on the animals of concern. Lack of sufficient medical records stating procedures performed leaves uncertainty of whether the proper pre and post-procedural care given was adequate and in good congruence with current established veterinary medicine.

The research facility acted to address this item by conducting an investigation and further implementing appropriate corrective actions to prevent any future incidents. The PI has been instructed to attend training involving record keeping. There have not been any additional incidents since the corrective actions were implemented.

### **2.38(k)(2)**

#### **MISCELLANEOUS.**

\*\* There was a client's dog (#52181) that was admitted to the University Veterinary Teaching hospital for euthanasia on November 18, 2015. The PI transferred the dog to his protocol. The dog was not surrendered to the University nor was the owner notified of the inappropriate transfer of the animal for practicing emergency surgical procedures. No person shall obtain live dogs by use of false pretenses, misrepresentation, or deception.

The research facility acted to address this item by conducting an investigation and further implementing appropriate corrective actions to prevent any future incidents. The IACUC has suspended the Principal Investigators activities involving dogs. There have not been any additional incidents since the corrective actions were implemented.

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This was a focused inspection.

The inspection and exit interview was conducted with the Attending Veterinarian and IACUC member from the Large Animal Dept.

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## Species Inspected

Cust No	Cert No	Site	Site Name	Inspection
857	64-R-0002	001	TUSKEGEE UNIVERSITY	05-AUG-16

No Animals were Inspected.

Count	Scientific Name	Common Name
000000	NONE	NONE
000000	Total	