

# IntroML

## ML. 7.3. Convolutional neural nets

DataLab CSIC

# Objectives and schedule

Introduce key concepts about convolutional neural networks (CNNs, Convnets)

CASI 18, Goodfellow et al 9, Chollet and Allaire 5

Case by Nacho Villanueva (ICMAT, UCM) on forecasting eolic energy production

<http://srdas.github.io/DLBook/ConvNets.html>

[https://www.youtube.com/watch?v=BFdMrDOx\\_CM](https://www.youtube.com/watch?v=BFdMrDOx_CM)

# Labs

- MNST
  - logreg
  - fully connected network
    - With L2 regularization
    - With dropout
  - Model maintenance
  - Random forest
  - CNN
- Drago, Artemisa
- Lovelace, Carlitos
- Google Collab

# Conv NNs. Motivation

# Motivation

- Fully connected NNs can approximate any function....
- But training can be super slow and may require lots of data
- In some domains, lots gained through specific architectures
- In vision, convolutional neural nets

# History

- Hubel and Wiesel (60's) visual perception of cats
- Fukushima (late 70's) introduces neocognitron (without training algo)
- Le Cun et al (90's) 'neocognitron+backprop' leads to convnets to handle MNIST dataset. Le Net 5
- Alexnet (2012) (1.3 M images to recognise 1000 objects)
- VGGNet (2014), Googlenet (2015) win the Imagenet competition leading to explosion of interest

# Towards convnets

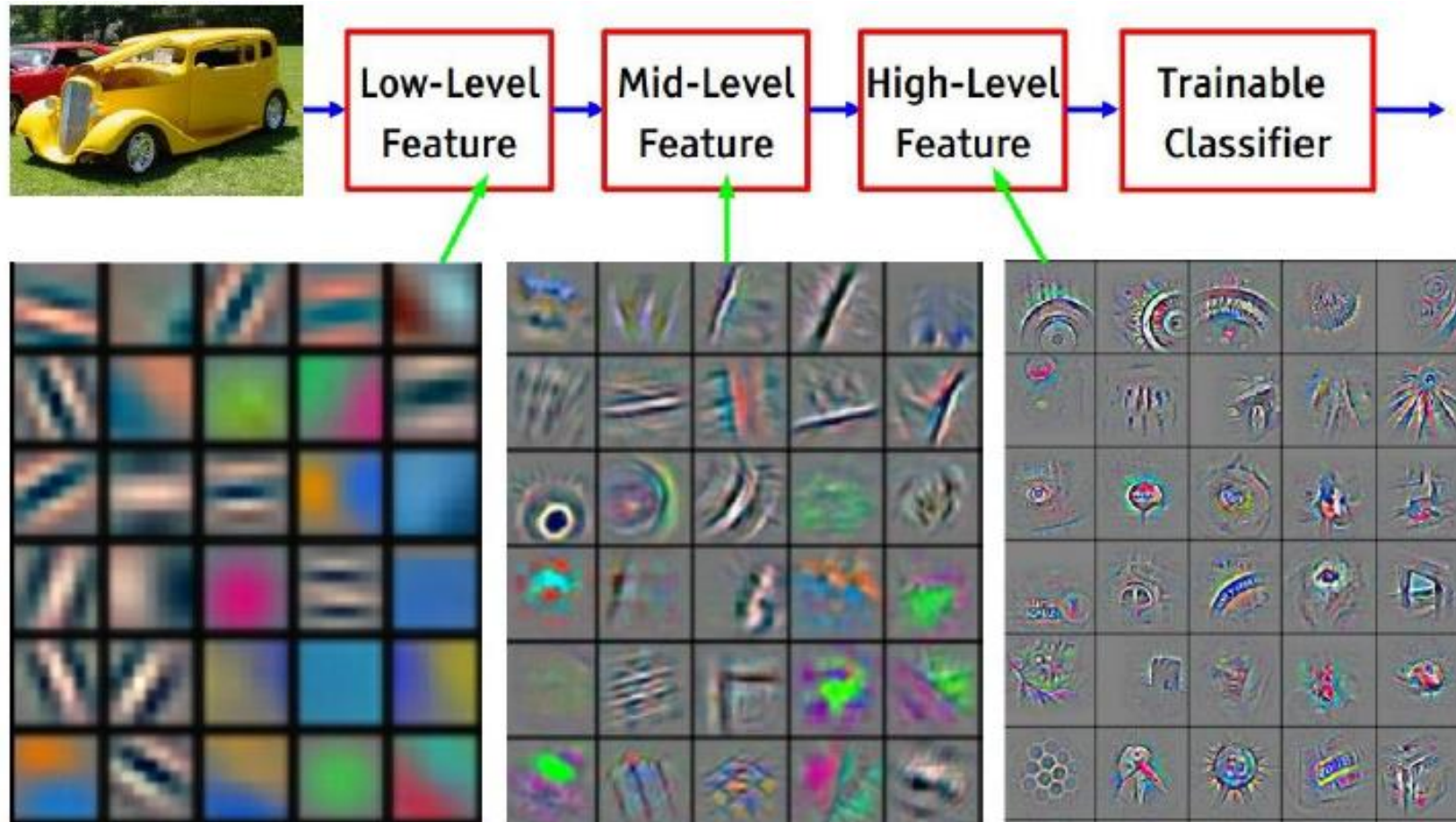
## Before

- Objects to be classified ----> Extract features 'manually' ----> Trainable classifier

## Today

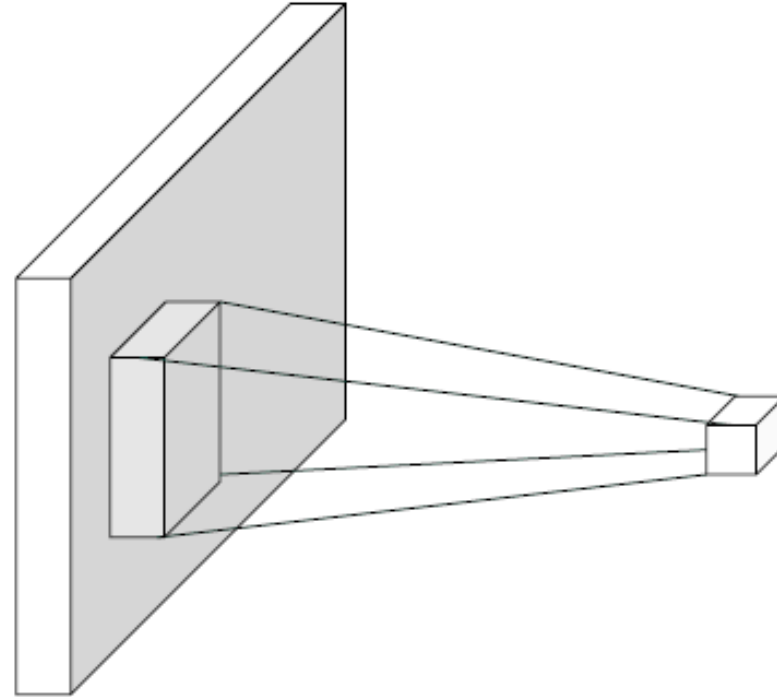
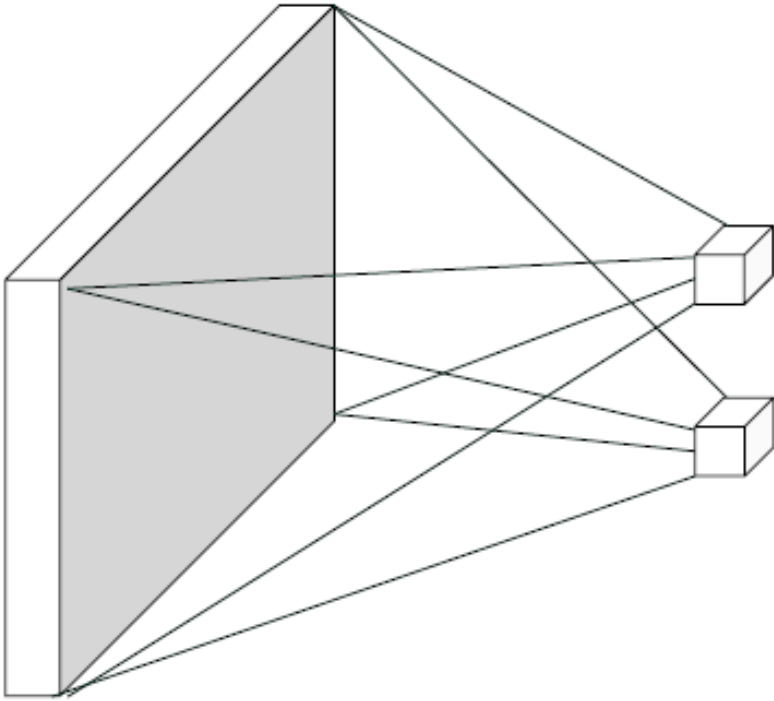
- Objects to be classified ----> Trainable feature extractor ----> Trainable classifier (even integrated)

# CNNs. Typical structure



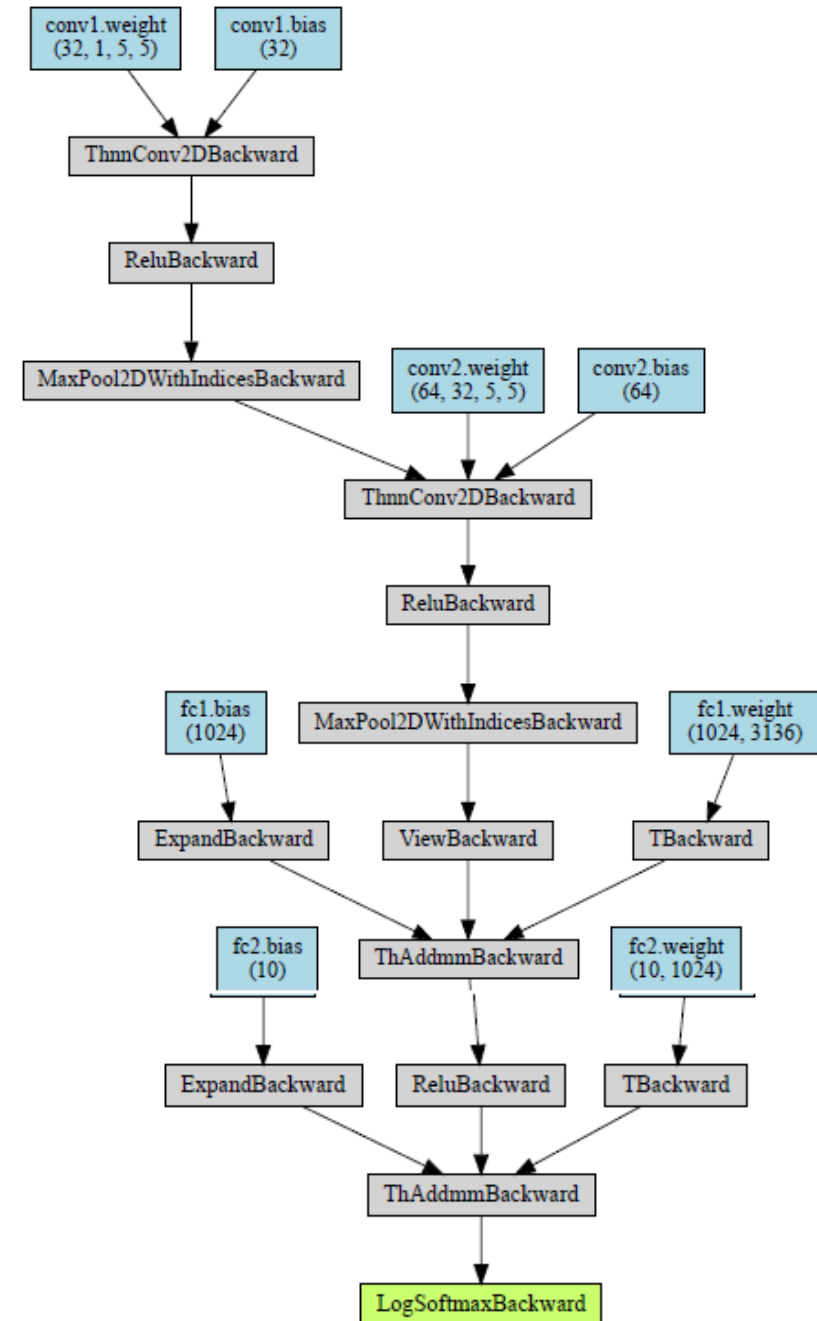


# From fully connected DNs to convolutional (deep) nets



# Towards convolutional networks

- Three concepts
  - Convolution
  - RELU
  - MaxPool
- Applications
  - Image recognition (e.g., security)
  - Video analysis (e.g., ADS)
  - Sound analysis
  - Pharma discovery
  - ..... And others



# Core concepts

# Convolution

CNNs: Neural nets which include convolution in at least one of the layers

Convolution. Linear operator. For measurement  $x(t)$ , weighted  $w(a)$  ( $a$ , past time)

$$s(t) = (x * w)(t) = \sum_{a=-\infty}^{\infty} x(a)w(t-a)$$

$x$ , input;  $w$ , kernel

# Convolution

In DL: Input. Multidimensional matrix (tensor) (eg, piece of an image)

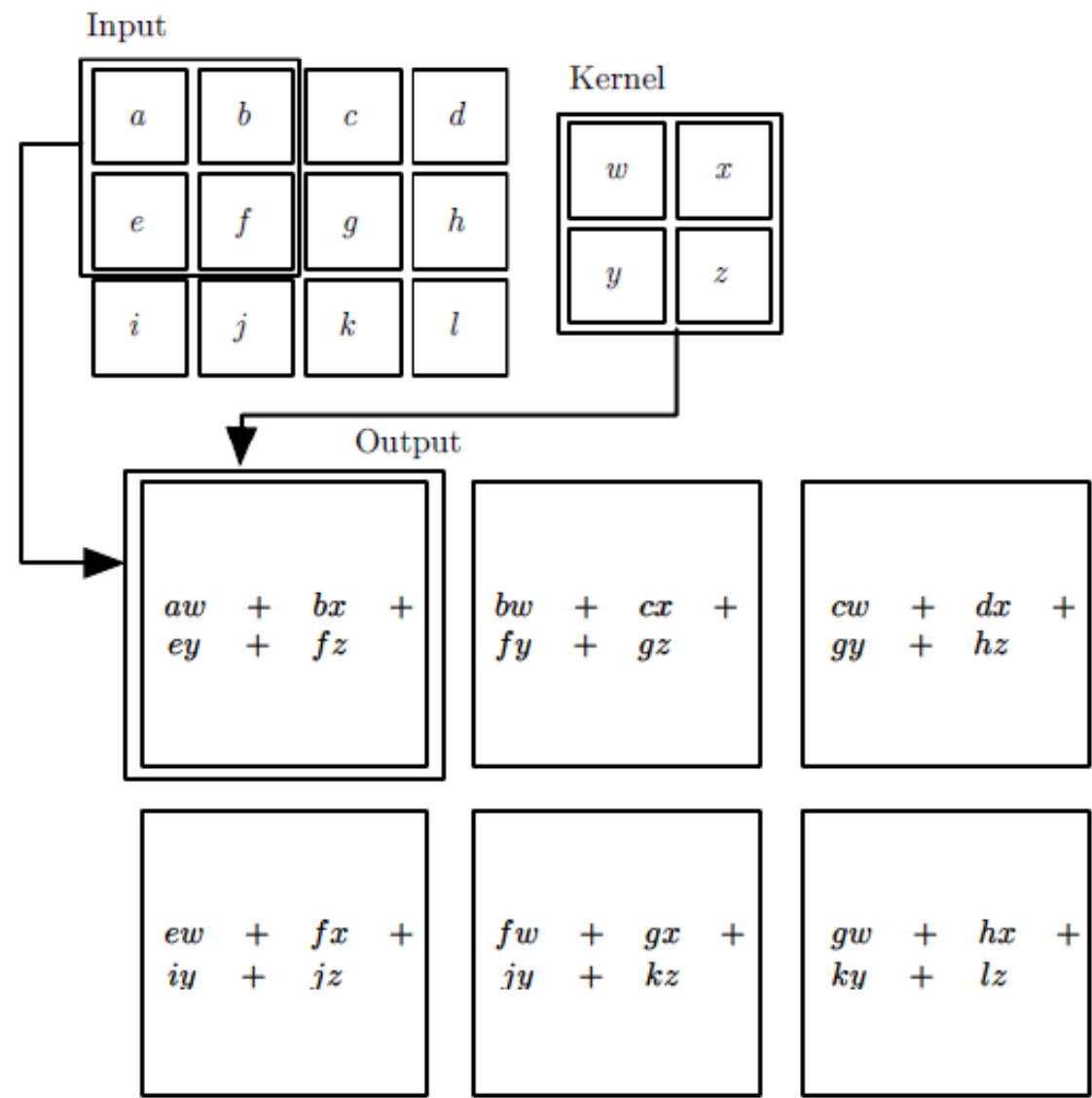
Kernel. Multidimensional matrix (tensor)

Convolution in more than one dimension (eg I bidimensional image, K bidimensional kernel)

$$S(i, j) = (I * K)(i, j) = \sum_m \sum_n I(i + m, j + n) K(m, n)$$

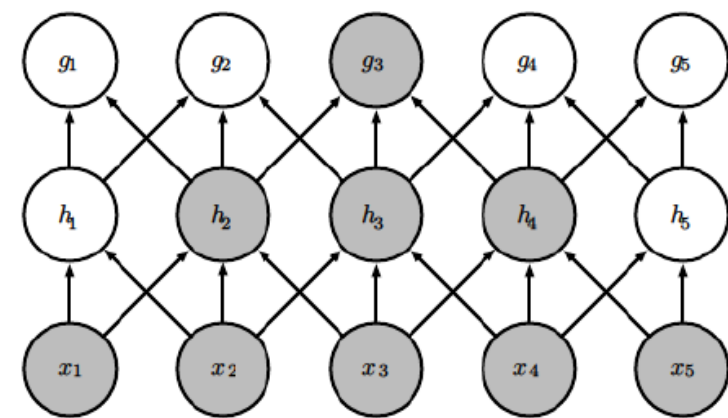
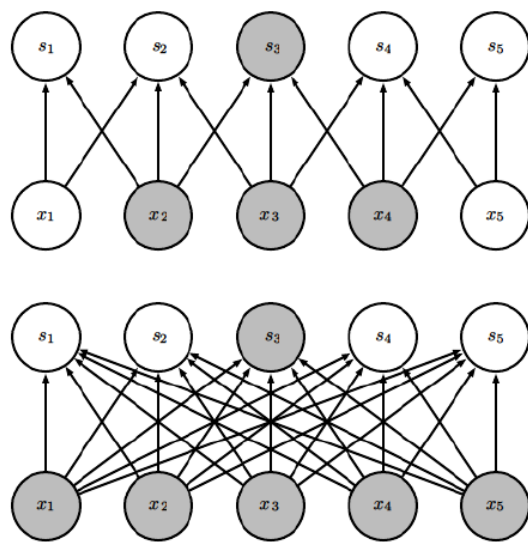
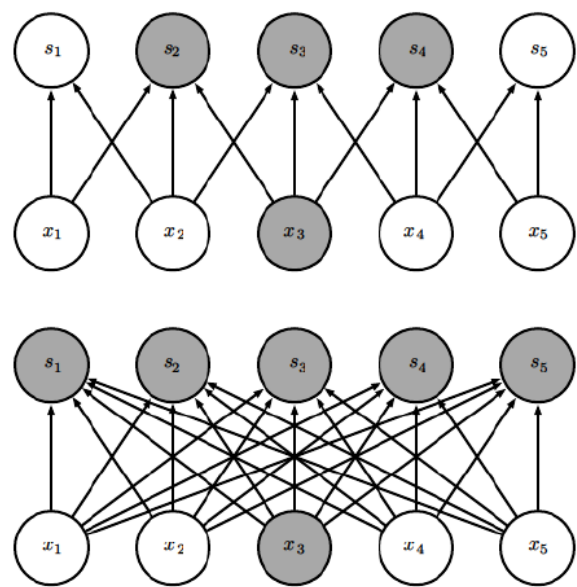
Multiplication by a circulating matrix, frequently sparse (many zeros)

# Convolution



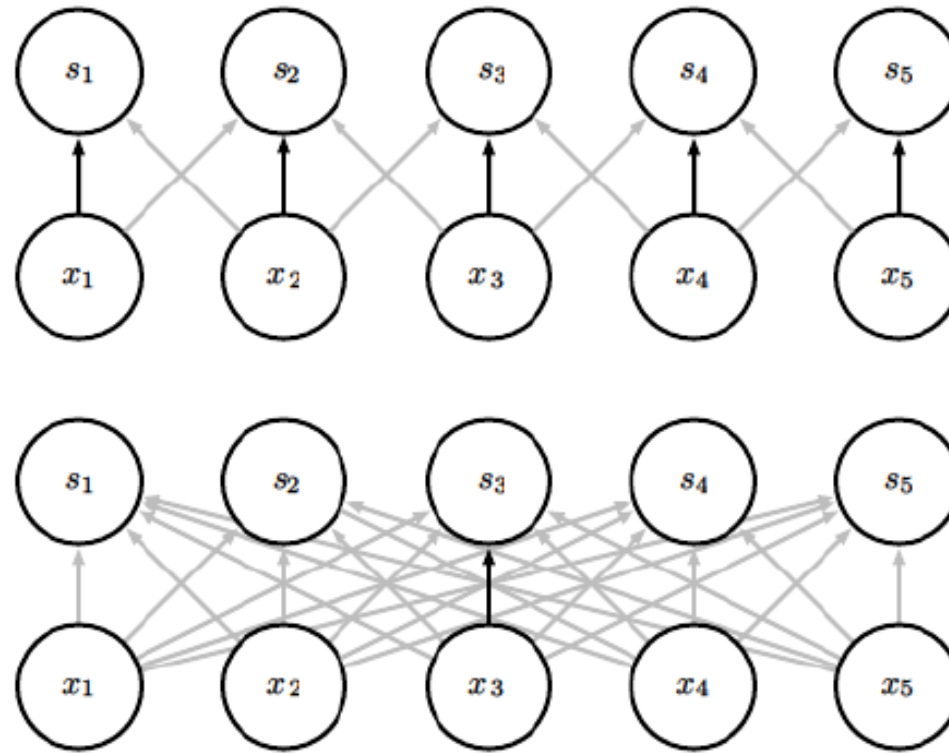
# Convolution

- Less dense interactions



# Convolution

- Parameter sharing

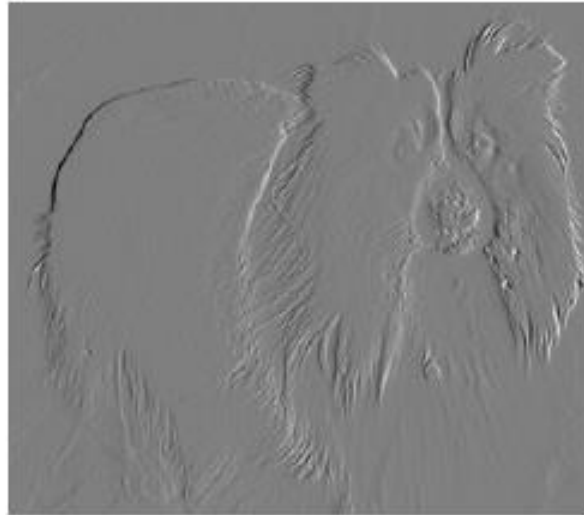




# Convolution

Low density+sharing -> Edge detection, feature extraction

Remove to each pixel that on its left

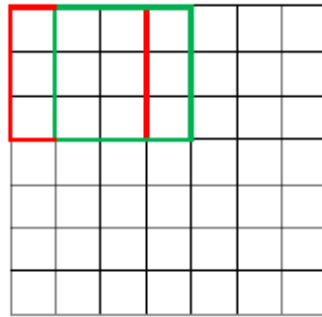


- Equivariance against traslations

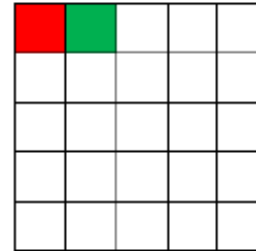
# Convolution stride

- Stride of the kernels sliding window

7 x 7 Input Volume

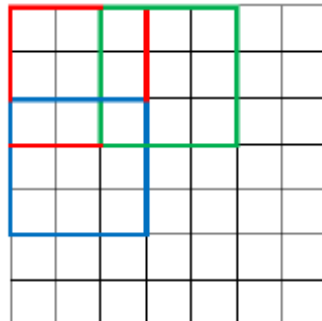


5 x 5 Output Volume

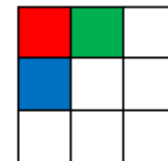


**stride = 1**

7 x 7 Input Volume

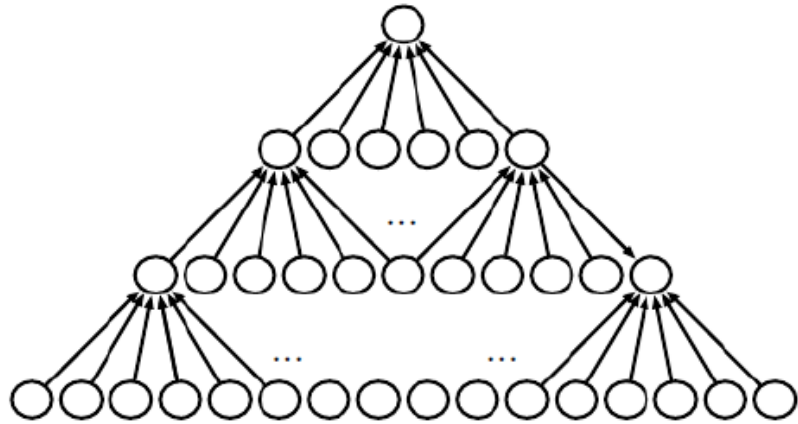


3 x 3 Output Volume



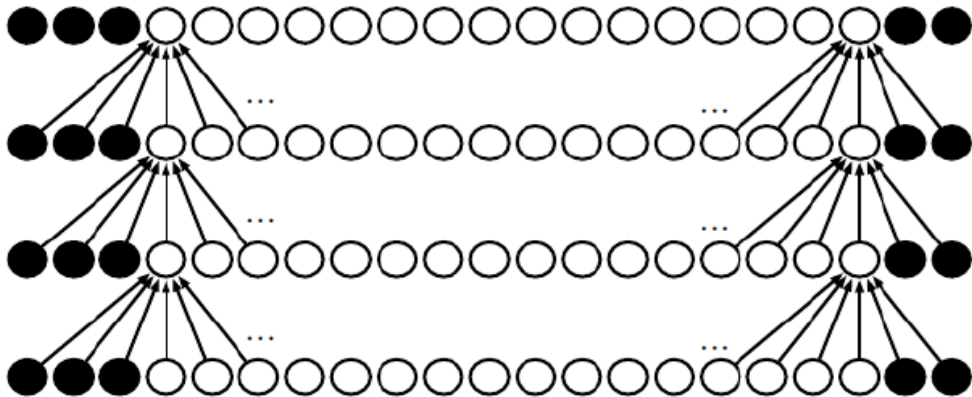
**stride = 2**

# Zero padding



- What if we apply a **5x5** filter to a **32x32** image?
- The resulting image is **28x28** !!
- As we pile convolutional layers, the representation size gets reduced (info loss, specially in first layers)
- Mitigate by padding with zero's the image edge

Input 5x5, kernel 3x3, Stride 2



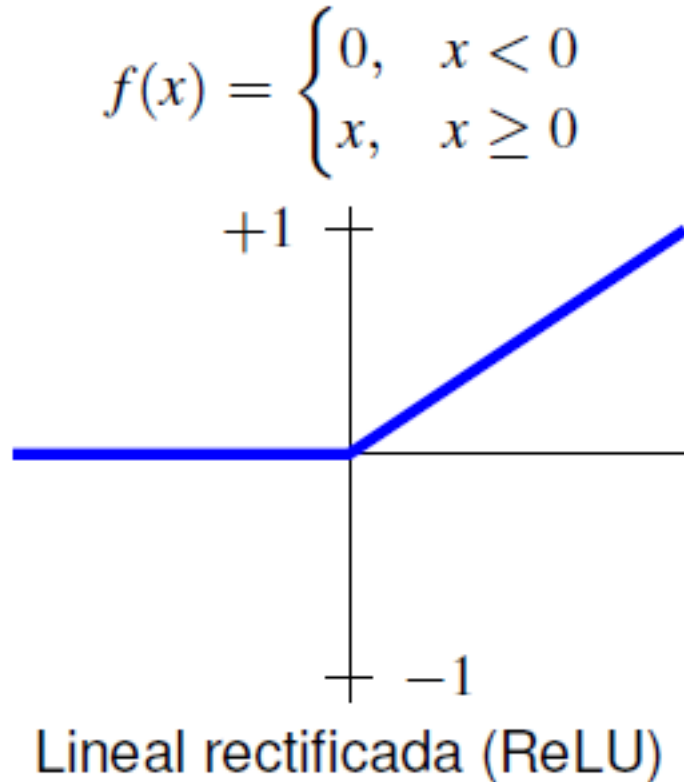
0 <sub>2</sub>	0 <sub>0</sub>	0 <sub>1</sub>	0	0	0	0
0 <sub>1</sub>	2 <sub>0</sub>	2 <sub>0</sub>	3	3	3	0
0 <sub>0</sub>	0 <sub>1</sub>	1 <sub>1</sub>	3	0	3	0
0	2	3	0	1	3	0
0	3	3	2	1	2	0
0	3	3	0	2	3	0
0	0	0	0	0	0	0

1	6	5
7	10	9
7	10	8

# Pars defining convolution

- Stride
- Kernel size (usually square)
- Depth (number of kernels)
- Padding

# Rectified linear unit. RELU

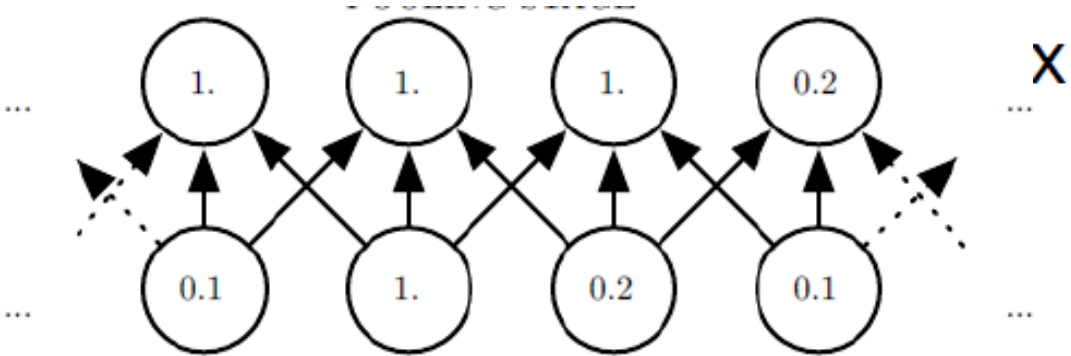


- More efficient computationally than other nonlinear functions (e.g. derivative simple, derivative??)
- Alleviating *vanishing gradient*

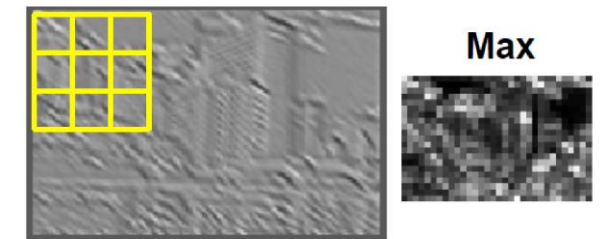
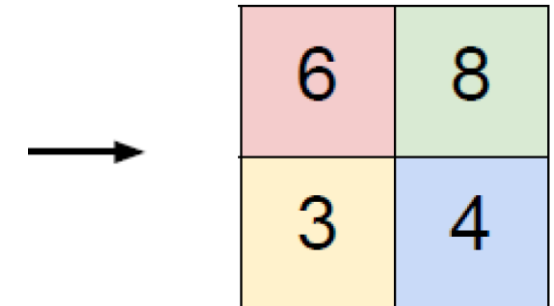
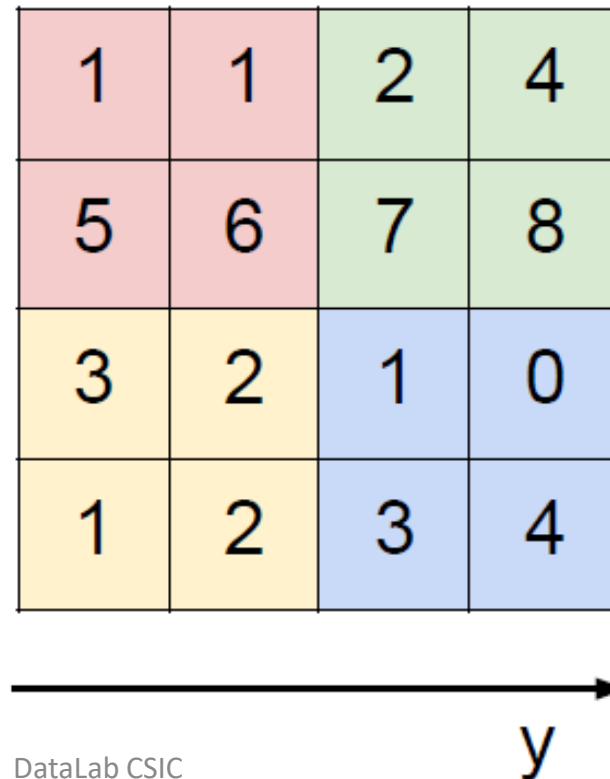
# MaxPooling

Pooling. Replaces output in a position by outputs in adjacent positions. Reduces number of pars. Is a feature present?

MaxPooling. Maximum of outputs in adjac



Average Pooling



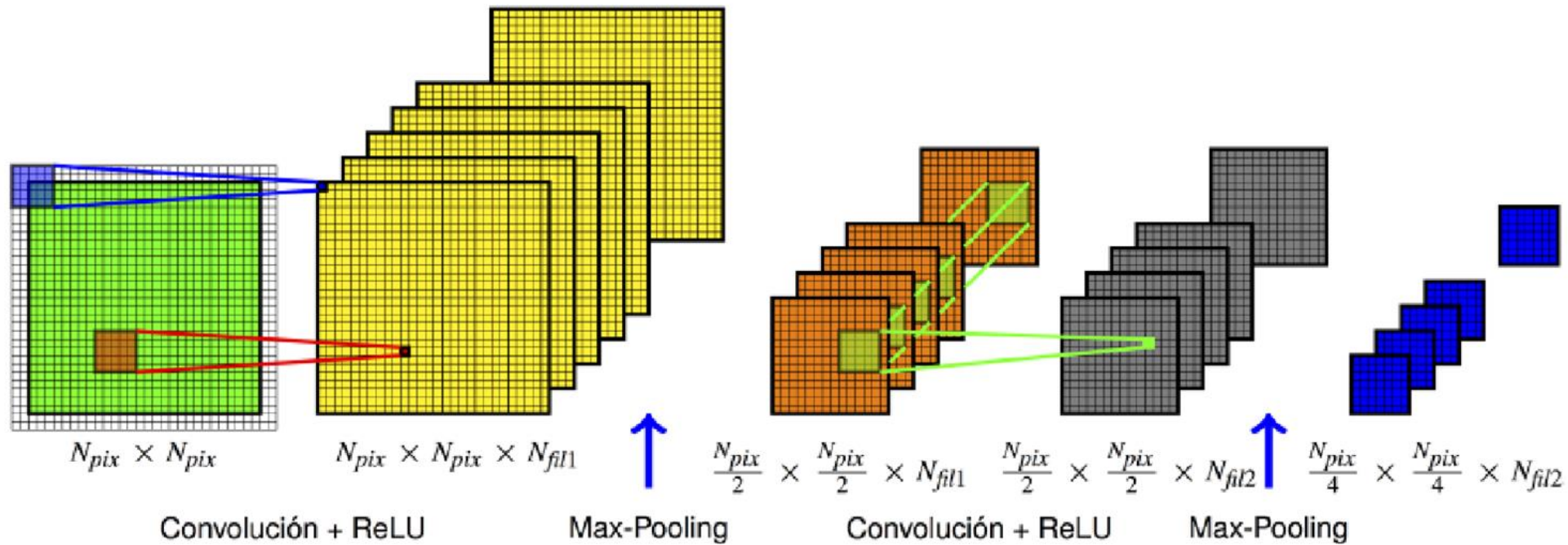
# CNN. Typical structures

# CNNs. Typical structure

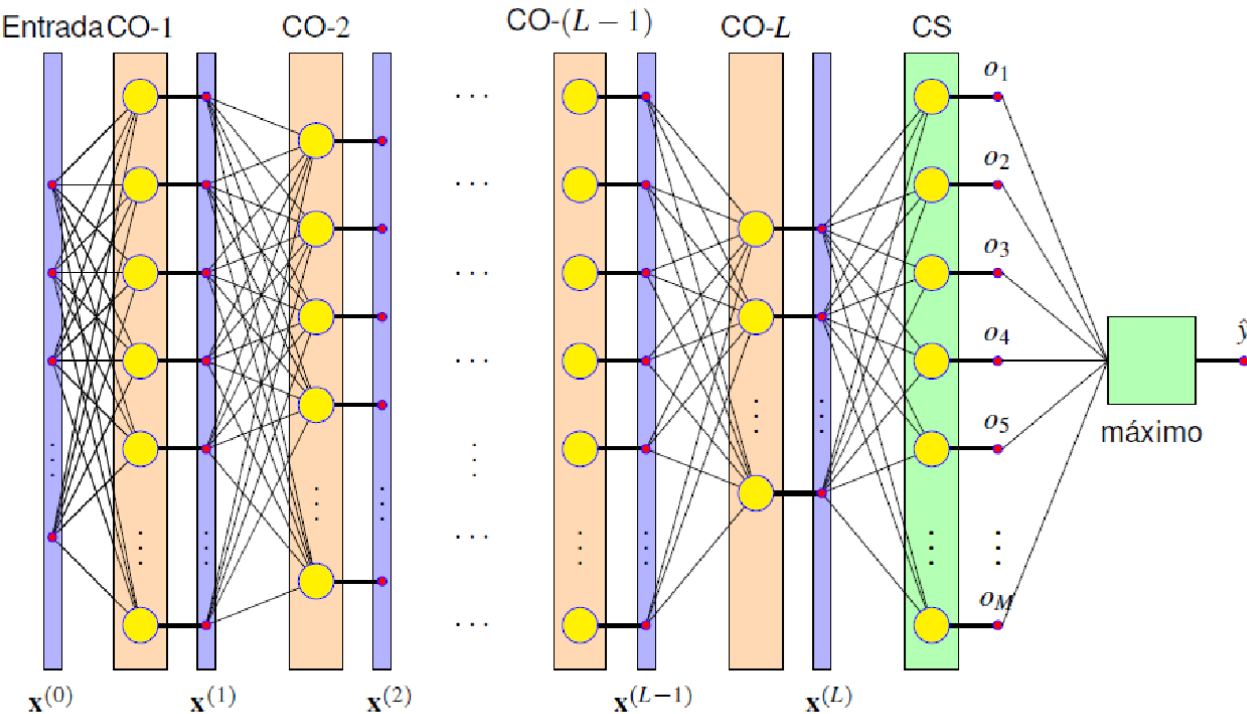
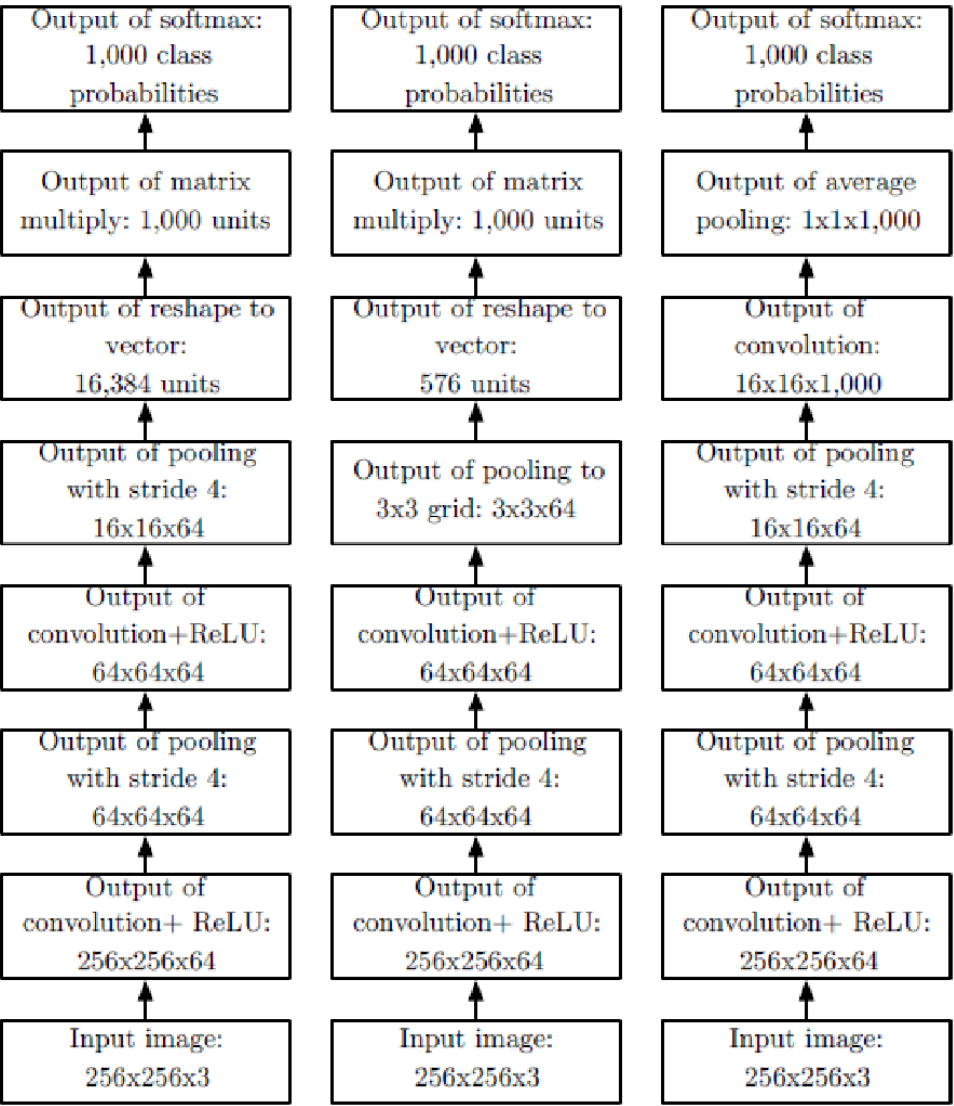
- Input to layer
- (Convolutional) Layer
  - Convolutional phase. Affine transformation
  - Detection phase. Non linearity, e.g. RELU
  - Pooling phase
- Output of layer



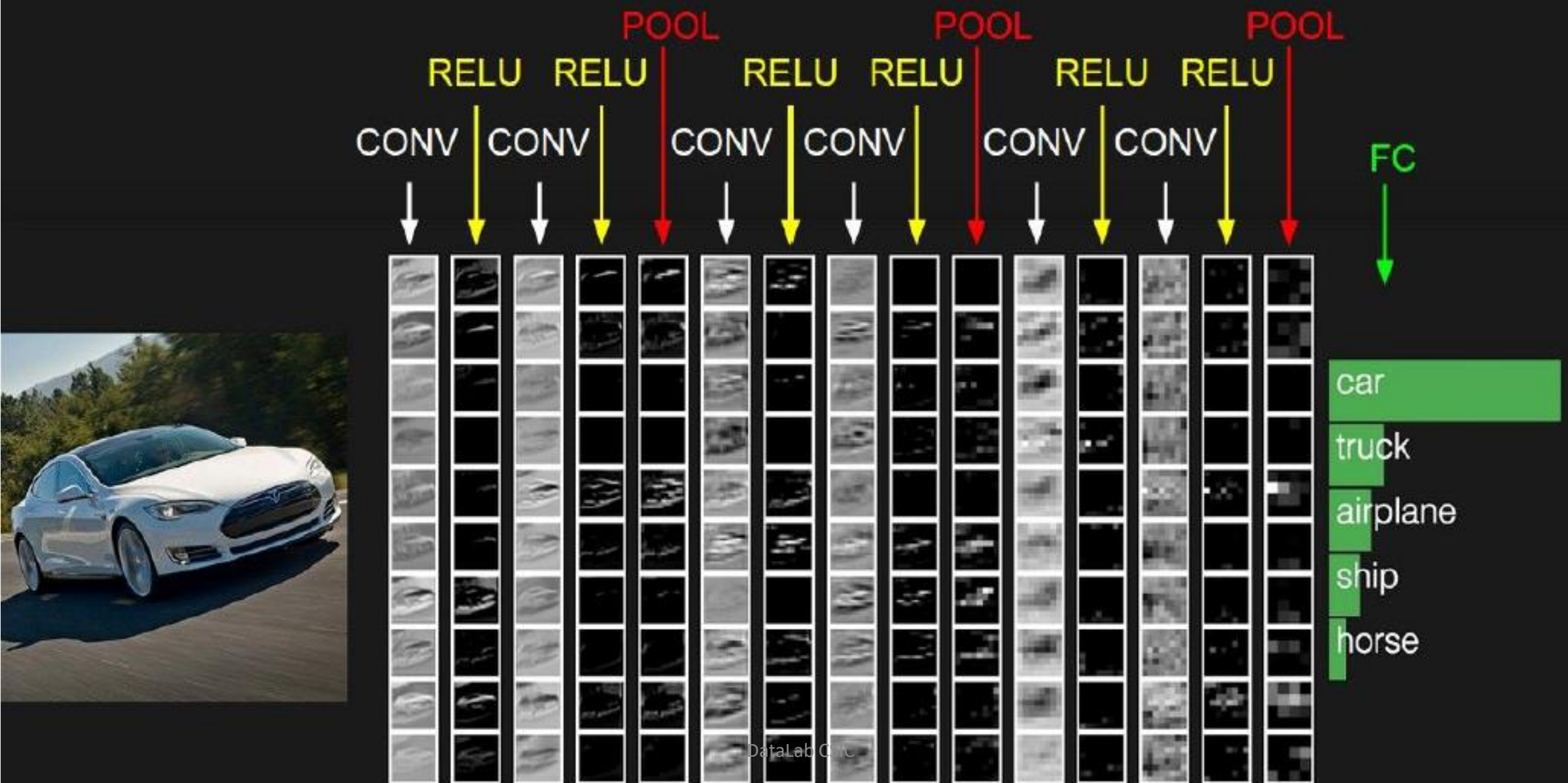
# CNNs. Typical structure



# CNNs. Some typical structures



# Convnets. Typical structure

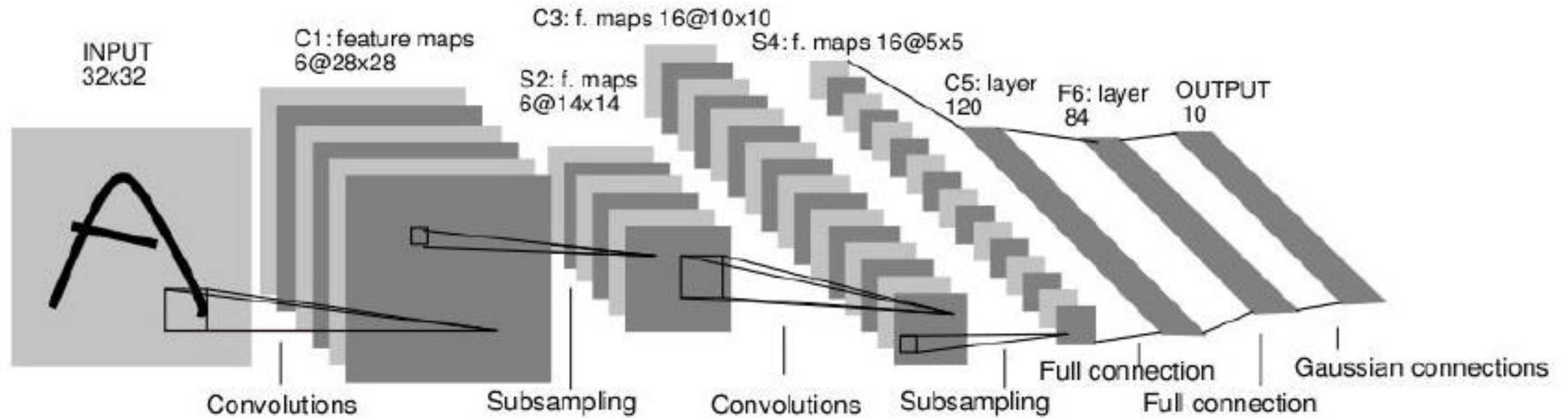


# CNN in the lab

1. Conv layer, 32 filters, kernel  $3 \times 3$ , ReLU
2. Conv layer 64 filters, kernel  $3 \times 3$ , ReLU
3. Max pooling  $2 \times 2$
4. Dropout (0.25)
5. Dense 128 units, ReLU
6. Dropout (0.5)
7. Output layer

# CNN. Some standards

# LeNet 5





# AlexNet

[227x227x3] INPUT

[55x55x96] **CONV1**: 96 11x11 filters at stride 4, pad 0

[27x27x96] **MAX POOL1**: 3x3 filters at stride 2

[27x27x96] **NORM1**: Normalization layer

[27x27x256] **CONV2**: 256 5x5 filters at stride 1, pad 2

[13x13x256] **MAX POOL2**: 3x3 filters at stride 2

[13x13x256] **NORM2**: Normalization layer

[13x13x384] **CONV3**: 384 3x3 filters at stride 1, pad 1

[13x13x384] **CONV4**: 384 3x3 filters at stride 1, pad 1

[13x13x256] **CONV5**: 256 3x3 filters at stride 1, pad 1

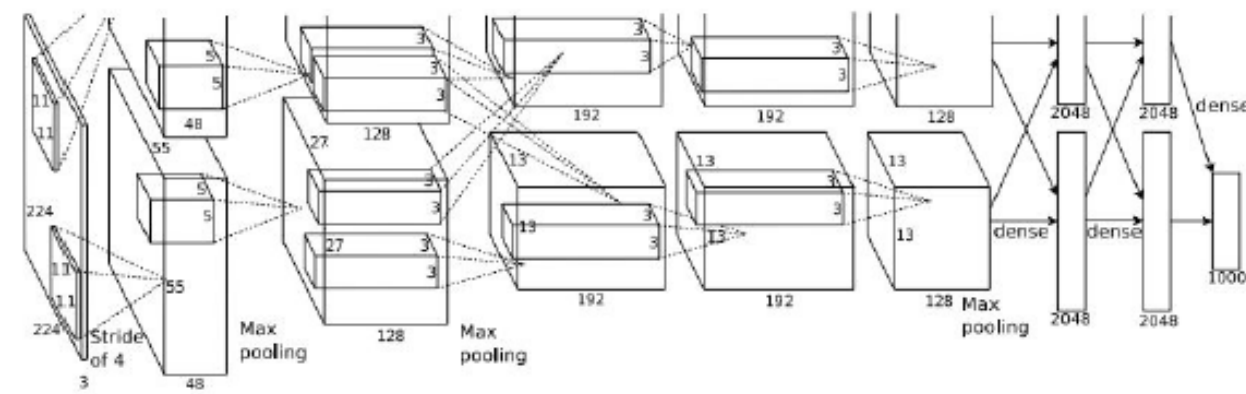
[6x6x256] **MAX POOL3**: 3x3 filters at stride 2

[4096] **FC6**: 4096 neurons

[4096] **FC7**: 4096 neurons

[1000] **FC8**: 1000 neurons (class scores)

$\frac{1}{3}$  of 4



# VGGNet

INPUT: [224x224x3] memory: 224\*224\*3=150K params: 0 (not counting biases)

CONV3-64: [224x224x64] memory: 224\*224\*64=3.2M params: (3\*3\*3)\*64 = 1,728

CONV3-64: [224x224x64] memory: 224\*224\*64=3.2M params: (3\*3\*64)\*64 = 36,864

POOL2: [112x112x64] memory: 112\*112\*64=800K params: 0

CONV3-128: [112x112x128] memory: 112\*112\*128=1.6M params: (3\*3\*64)\*128 = 73,728

CONV3-128: [112x112x128] memory: 112\*112\*128=1.6M params: (3\*3\*128)\*128 = 147,456

POOL2: [56x56x128] memory: 56\*56\*128=400K params: 0

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*128)\*256 = 294,912

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*256)\*256 = 589,824

CONV3-256: [56x56x256] memory: 56\*56\*256=800K params: (3\*3\*256)\*256 = 589,824

POOL2: [28x28x256] memory: 28\*28\*256=200K params: 0

CONV3-512: [28x28x512] memory: 28\*28\*512=400K params: (3\*3\*256)\*512 = 1,179,648

CONV3-512: [28x28x512] memory: 28\*28\*512=400K params: (3\*3\*512)\*512 = 2,359,296

CONV3-512: [28x28x512] memory: 28\*28\*512=400K params: (3\*3\*512)\*512 = 2,359,296

POOL2: [14x14x512] memory: 14\*14\*512=100K params: 0

CONV3-512: [14x14x512] memory: 14\*14\*512=100K params: (3\*3\*512)\*512 = 2,359,296

CONV3-512: [14x14x512] memory: 14\*14\*512=100K params: (3\*3\*512)\*512 = 2,359,296

CONV3-512: [14x14x512] memory: 14\*14\*512=100K params: (3\*3\*512)\*512 = 2,359,296

POOL2: [7x7x512] memory: 7\*7\*512=25K params: 0

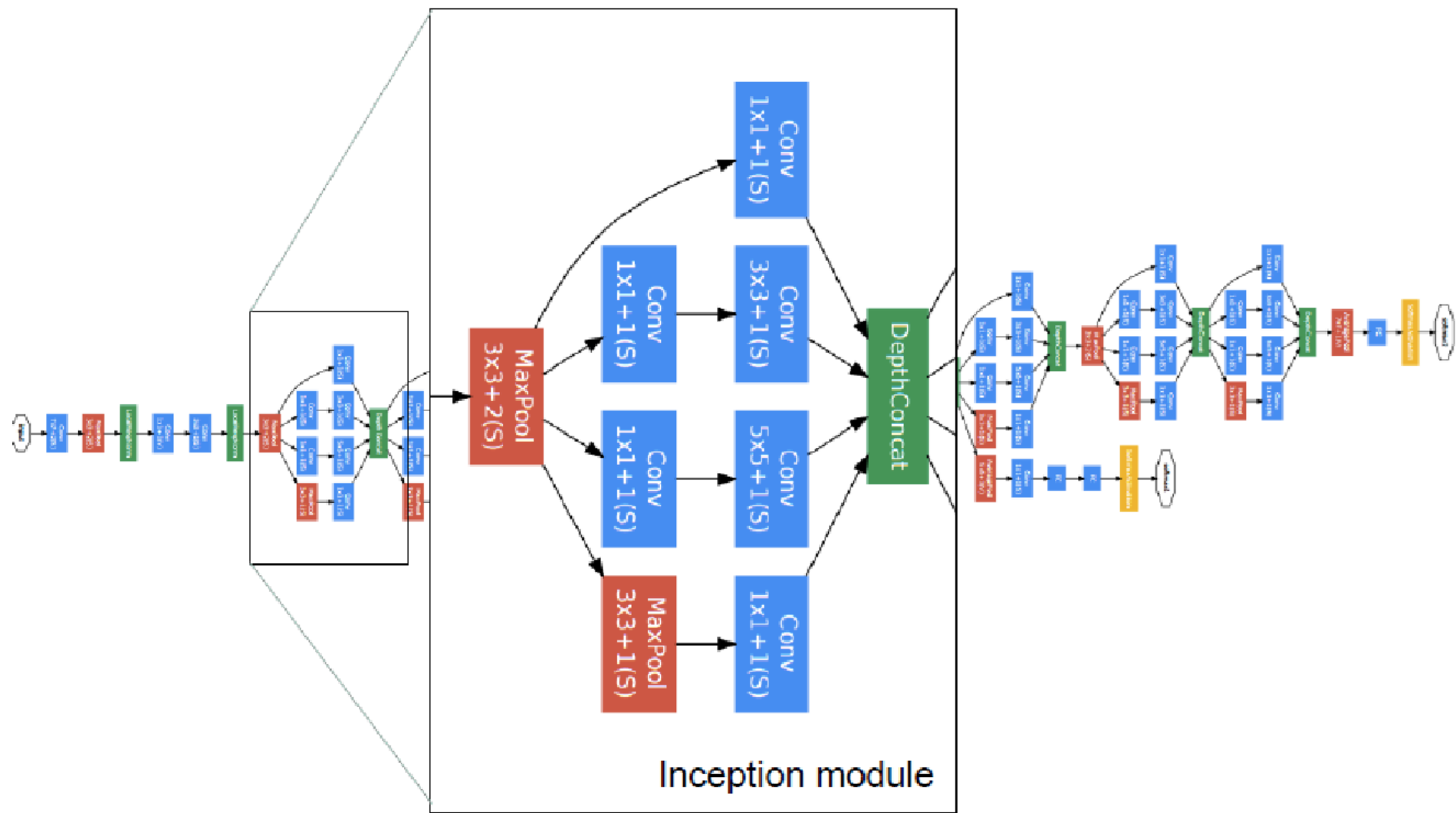
FC: [1x1x4096] memory: 4096 params: 7\*7\*512\*4096 = 102,760,448

FC: [1x1x4096] memory: 4096 params: 4096\*4096 = 16,777,216

FC: [1x1x1000] memory: 1000 params: 4096\*1000 = 4,096,000



# GoogleNet



# Some architectures

Team	Year	Place	Error (top-5)	External data
SuperVision – Toronto (AlexNet, 7 layers)	2012	-	16.4%	no
SuperVision	2012	1st	15.3%	ImageNet 22k
Clarifai – NYU (7 layers)	2013	-	11.7%	no
Clarifai	2013	1st	11.2%	ImageNet 22k
VGG – Oxford (16 layers)	2014	2nd	7.32%	no
GoogLeNet (19 layers)	2014	1st	6.67%	no
ResNet (152 layers)	2015	1st	3.57%	
Human expert*			5.1%	

# Final comments

From fully connected NNs to CNNs. ResNet  
Other paradigms next (RNNs,...)

Once conceived the architecture, train by SGD+backprop  
Keras

- Transfer learning based on standard architectures (e.g. available in Keras)
- Data augmentation
- Visualization as explanation