# CS224n Assignment 4: Neural Machine Translation

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## 1. Neural Machine Translation with RNNs

(g) First explain (in around three sentences) what effect the masks have on the entire attention computation. Then explain (in one or two sentences) why it is necessary to use the masks in this way.

We generate masks by each sentence length and pass it to decode procedure. In the step function, after we calculate the attention scores  $e_t$  then we masks out the data with 1s (i.e. the paddings) and fill with negative infinity. When we pass  $e_t$  through softmax to get  $\alpha_t$ , because the  $\exp(-\infty) = 0$  (we will get zero probability on those positions), that means we ignore the paddings' attention.

This is necessary because paddings are not original data, they were added for making the batch data equal length. But it doesn't make sense that the encoding information to attend on a non-existed word. So I think it's necessary.

- (j) Please provide one possible advantage and disadvantage of each attention mechanism, with respect to either of the other two attention mechanisms.
  - Basic dot-product attention
    - Advantage: Simple and don't need additional weights (space-efficient) with respect to the other two attention mechanisms.

- Disadvantage: Limitation of that query and values must have same dimension.

### • Multiplicative attention

- Advantage: A weight metrix W can transform the shape of query to match values. And W can be used for visualization.
- Disadvantage: Additional storage of the weight matrix W with respect to the basic dot-product attention.

#### • Additive attention

- Advantage: This mechanism is more likely to one layer feedforward network with tanh as non-linearity. This should tune weight matrices way more better and have better performance in higher dimensions with respect to the multiplicative attention.
- Disadvantage: Heavier complexity with respect to the other two attention mechanisms.

# 2. Analyzing NMT Systems

- (a) For each example of a Spanish source sentence, reference English translation, and NMT English translation
- i. Reference Translation: So another one of my favorites, The Starry Night.

**NMT Translation**: Heres another favorite of my favorites, The Starry Night.

- Error: So another one vs. Heres another favorite
- Reason: NMT might use the "greedy decoding" since the *favorites* is at the back of the sentence, but when it can't modified the generated *favorite*.
- Fix: Maybe use beam search (or exhaustive search) decoding will solve this problem.

- ii. Reference Translation: You know, what I do is write for children, and Im probably Americas most widely read childrens author, in fact.
  NMT Translation: You know what I do is write for children, and in fact, Im probably the author for children, more reading in the U.S.
  - Error: and Im probably Americas most widely read childrens author, in fact. vs. and in fact, Im probably the author for children, more reading in the U.S.
  - Reason: I think it's because of the "long-term dependency", he has mentioned what I do is write for children but the NMT repeated same express in a similar way: author for children
  - Fix: Maybe use LSTM (GRU) or Attention to capture long-term dependencies
- iii. Reference Translation: A friend of mine did that Richard <u>Bolingbroke</u>. NMT Translation: A friend of mine did that Richard <unk>
  - Error: The classic out-of-vocabulary (OOV) problem on the word *Bolingbroke*.
  - Reason: Because this word didn't show up in the training data (or pre-trained embedding).
  - Fix: Maybe we can use "character-level" (smaller granularity) decoder to generate the output. (if we're not allow to modify the training data)
- iv. Reference Translation: Youve just got to go around the block to see it as an epiphany.

**NMT Translation**: You just have to go back to the apple to see it as a epiphany.

- Error: Grammar errors (e.g. have just got to go vs. just have to go, an epiphany vs. a eiphany) and some word choice error (e.g. around vs. back to, block vs. apple)
- Reason: I think it is because the lack of the training data (or epoches) that it still hasn't learned the correct grammar and word.
- Fix: More training corpus and epoches.

v. Reference Translation: She saved my life by letting me go to the bath-room in the teachers lounge.

**NMT Translation**: She saved my life by letting me go to the bathroom in the womens room.

- Error: in the teachers lounge vs. in the womens room
- Reason: I think because the sentence begin with *She* so in the training data the woman is more likely to be in the women's room than in teachers' lounge.
- Fix: Fix the data bias in training data. Maybe use some data augmentation trick to make it possible for woman in any other places.
- vi. Reference Translation: Thats more than 250 thousand acres. NMT Translation: Thats over 100,000 acres.
  - Error: 100,000 hecta'reas is equal to 250 thousand acres.

  - Fix: Maybe nowaday we can only apply some rules on that like capture the units seperately and translate it individually.
- (b) Please identify 2 different examples of errors that your model produced.

(line 23)

- Source sentence in Spanish: Le encontramos un lugar, la internamos, y la cuidamos y nos encargamos de su familia, porque era necesario,
- Reference English translation: We found her one, we got her there, and we took care of her and watched over her family, because it was necessary.
- NMT model's English translation: We found a place, the <unk> and the <unk> and we took away from her family, because I was necessary, because it was

necessary, because I was necessary, because it was necessary, because I was necessary, because it was necessary, because I was necessary, because it was necessary, because it

• (Google Translate): We found her a place, we put her in, and we took care of her and we took care of her family, because it was necessary,

The error is obvious that the NMT keep generate a sentence loop *because* it was necessary. And I think it finally stop because reach the maximum length of the beam search.

I think this error happended because this sentence (hypothesis) really have a high score (probability). And another reason may caused by that this sentence is not end with period but comma instead.

Maybe add more English references will ease the problem. Or input a more completed sentence (end with period).

(line 147)

- Source sentence in Spanish: Es por lo que ocurri en 1776 con los Padres Fundadores.
- Reference English translation: It's because of what happened in 1776 with the Founding Fathers.
- NMT model's English translation: It's what happened in <unk> with Parents <unk>
- (Google Translate): That is what happened in 1776 with the Founding Fathers.

The error is the NMT model thought that *Fundadores* is the actual *father* so it use the word *parents*. And it didn't even recognize 1776 that does surprise me.

I'm not sure if this is because of we lower the text at the input or what. And the number I think it's because the NMT model treat the numbers as word, too.

For the first one, I think we need some kinds of named-entity recognition technique that can distinguish a word is representing its literal meaning or just the word itself. And the numbers I think it can be solved by using character-based embedding (or have word segmentation between all numbers) to avoid the OOV problem.

# (c) Please consider this example:

Reference Translation  $r_1$ : love can always find a way Reference Translation  $r_2$ : love makes anything possible NMT Translation  $c_1$ : the love can always do NMT Translation  $c_2$ : love can make anything possible

- i. Compute the BLEU scores for  $c_1$  and  $c_2$ . And answer which of the two NMT translations is considered the better translation according to the BLEU Score? Do you agree that it is the better translation?
  - For  $c_1$

- unigram:  $p_1 = \frac{\min(\max(3,1),5)}{5} = 0.6$ 

- bigram:  $p_2 = \frac{2}{4} = 0.5$ 

• For  $c_2$ 

- unigram:  $p_1 = \frac{4}{5} = 0.8$ 

- bigram:  $p_2 = \frac{2}{4} = 0.5$ 

Because c = 5 is greater than  $r^* = 4$  thus BP = 1

$$BLEU_1 = BP \times \exp(0.5 \log 0.6 + 0.5 \log 0.5) = 0.5477225575051662$$

$$BLEU_2 = BP \times \exp(0.5 \log 0.8 + 0.5 \log 0.5) = 0.6324555320336759$$

The score of candidate sentence 2  $c_2$  is greater than candidate sentence 1  $c_1$ .

In my opinion, I think the sentence 2 is indeed better than sentence 1. Because it describe both of the meaning of references.

- ii. Recompute BLEU scores for  $c_1$  and  $c_2$ , this time with respect to  $r_1$  only. Which of the two NMT translations now receives the higher BLEU score? Do you agree that it is the better translation?
  - For  $c_1$

- unigram:  $p_1 = \frac{3}{5} = 0.6$ 

- bigram:  $p_2 = \frac{2}{4} = 0.5$ 

• For  $c_2$ 

- unigram:  $p_1 = \frac{2}{5} = 0.4$ 

- bigram:  $p_2 = \frac{1}{4} = 0.25$ 

Because c = 5,  $r^* = 6$  thus

$$BP = \exp(1 - \frac{6}{5}) = 0.8187307530779819$$

$$BLEU_1 = BP \times \exp(0.5 \log 0.6 + 0.5 \log 0.5) = 0.448437301984003$$

$$BLEU_2 = BP \times \exp(0.5 \log 0.4 + 0.5 \log 0.25) = 0.25890539701513365$$

The score of candidate sentence 1  $c_1$  is greater than candidate sentence 2  $c_2$  now.

I'm not agree the sentence 1 is now better than sentence 2. In my opinion, I think it is because the lack of human labeling. A sentence should be able to express in many kind of ways especially in translation.

iii. Please explain (in a few sentences) why "NMT systems are often evaluated with respect to only a single reference translation (due to data availability)" may be problematic.

As the last exercise shows, when we have only one single reference translation, then it will probably restrict the expression. Even if we have a better translation but it will end up receives lower score.

- iv. List two advantages and two disadvantages of BLEU, compared to human evaluation, as an evaluation metric for Machine Translation.
  - Advantages
    - Make the evaluation quick, inexpensive, and absolutely objective.

 Scoring become language-independent (just input references and candidates, and we don't have to care about what language we use)

## • Disadvantages

- Scoring is not flexible. There should be plenty of solution but it only evaluate based on the given references.
- Can't evaluate too advanced translation. Because BLUE is comparison-based evaluation, it can't capture synonymous or similar phrase.
  Additionally, some more abstract metrics like adequacy, fidelity and fluency is even harder to scoring. (Even if evaluate by human may have different opinions.)