Chapter 3

Permutations

For $n \in \mathbb{N}$, we define $[n]: \{1, 2, ..., n\}$. That is, [n] is just clever shorthand for the set containing 1 through n. This notation is meant to resemble interval notation.

For $k \in \mathbb{N}$ and a nonempty set A, a k-permutation of A is an injective function w: $[k] \to A$. The set of all k-permutations of A is denoted by $S_{A,k}$. If A happens to be the set [n], we use the notation $S_{n,k}$. And if n = k, we write $S_n := S_{n,n}$ and refer to each n-permutation in S_n as a **permutation**. Let $P(n,k) := |S_{n,k}|$. By convention, we set P(n,0) := 1, including the case when n = 0.

We can denote a k-permutation as a string $w = w(1)w(2)\cdots w(k)$, where each entry w(i) that appears in the string is unique (since w is an injection). In other words, we can think of a k-permutation as a linearly ordered arrangement of k of n objects.

Problem 3.1. Complete the following.

- (a) Write down all of the elements in S_3 . What is P(3,3)?
- (b) Write down all of the elements in $S_{4,3}$. What is P(4,3)?

Recall that for $n \in \mathbb{N}$, the **factorial** of n is defined $n! := n \cdot (n-1) \cdots 2 \cdot 1$, and we define 0! := 1 for convenience.

Problem 3.2. Consider the collection of k-permutations in $S_{n,k}$ with $1 \le k \le n$. Explain why P(n,k) is equal to the number of nonattacking rook arrangements on an $n \times k$ chess board. Hint: Establish a bijection between the collection of nonattacking rook arrangements on an $n \times k$ chess board and the collection of k-permutations.

Theorem 3.3. For $1 \le k \le n$, we have

$$P(n,k) = n \cdot (n-1) \cdots (n+1-k) = \frac{n!}{(n-k)!}$$

Note that as a special case of the formula above, we have $|S_n| = P(n, n) = n!$ and we obtain

$$P(0,0) = \frac{0!}{(0-0)!} = 1$$
 and $P(n,0) = \frac{n!}{(n-0)!} = 1$.

Problem 3.4. How many strings of length three are there using letters from $\{a, b, c, d, e, f, g\}$ if the letters in the string are not repeated?

Problem 3.5. There are 8 finalists at the Olympic Games 100 meters sprint. Assume there are no ties.

- (a) How many ways are there for the runners to finish?
- (b) How many ways are there for the runners to get gold, silver, bronze?
- (c) How many ways are there for the runners to get gold, silver, bronze given that Usain Bolt is sure to get the gold medal?

Problem 3.6. If $1 \le k \le n$, prove that P(n,k) = P(n-1,k) + kP(n-1,k-1), both using the formula in Theorem 3.3, and separately using the definition of k-permutations together with the bijection principle.

The formula in the previous problem is an example of a recurrence relation, which will be a topic of focus in a later chapter.

Interpreting a permutation as a linearly ordered arrangement of object (i.e., string), a **circular permutation** is similar to a permutation except the objects are arranged on a circle, so that there is no beginning or end. We can present a circular permutation w of length n as in Figure 3.1. Each w(i) is a distinct value from [n] and the convention is to place w(n) next to w(1).

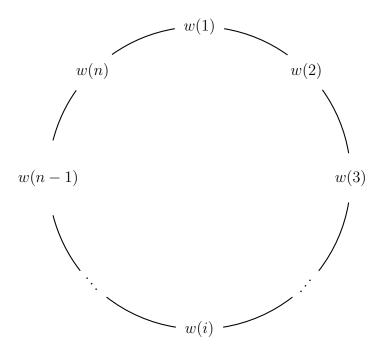


Figure 3.1: Representation of a circular permutation.

We encountered circular permutations back in Problem 1.39 when we counted circular seating arrangements of six friends sitting around a circle to play a game. Recall that the trick in that problem was to make use of the Division Principle.

Problem 3.7. How many circular permutations are there of length n?

More coming soon...