

# Theory: Linear elastoplasticity

Jean-Paul Pelteret

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An introduction to the theory applied for elastoplasticity.

## 1 Governing equations for quasi-static linear elasticity

The strong statement of the balance of linear momentum reads

$$\nabla \cdot \boldsymbol{\sigma} + \mathbf{b} = \mathbf{0} \quad \text{on } \Omega, \quad (1)$$

where  $\nabla = \frac{\partial}{\partial x}$  is a differential operator,  $\boldsymbol{\sigma}$  is the Cauchy stress tensor and  $\mathbf{b} = \rho \mathbf{g}$  is the body force density vector. This is expressed in index notation as

$$\frac{\partial \sigma_{ij}}{\partial x_j} + b_i = 0 \quad \text{on } \Omega. \quad (2)$$

Pre-multiplying the above by test function  $\delta \mathbf{v}$  and integrating over the domain  $\Omega$  renders

$$-\int_{\Omega} \delta v_i \frac{\partial \sigma_{ij}}{\partial x_j} dv = \int_{\Omega} \delta v_i b_i dv \quad (3)$$

that, by using the product rule for derivatives (i.e. integration by parts), becomes

$$\int_{\Omega} \frac{\partial \delta v_i}{\partial x_j} \sigma_{ij} dv - \int_{\Omega} \frac{\partial}{\partial x_j} [\delta v_i \sigma_{ij}] dv = \int_{\Omega} \delta v_i b_i dv. \quad (4)$$

Finally, by applying divergence theorem to the second term in the above, we attain the weak form of the balance of linear momentum

$$\int_{\Omega} \frac{\partial \delta v_i}{\partial x_j} \sigma_{ij} dv = \int_{\Omega} \delta v_i b_i dv + \int_{\partial \Omega} \delta v_i \underbrace{\sigma_{ij} n_j}_{\bar{t}_i} da, \quad (5)$$

wherein  $\mathbf{n}$  represents the outward facing normal on  $\partial \Omega$ , the boundary of the domain, and  $\bar{\mathbf{t}}$  the prescribed traction on the Neumann boundary.

## 2 General framework for elastoplasticity

- Kinematics

- Small strain tensor

$$\boldsymbol{\varepsilon} = \frac{1}{2} \left[ \nabla \mathbf{u} + [\nabla \mathbf{u}]^T \right] = \nabla \mathbf{u}^{sym} \quad (6)$$

- Additive volumetric-isochoric split of the strain

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^{vol} + \boldsymbol{\varepsilon}^{dev} \quad ; \quad \boldsymbol{\varepsilon}^{vol} = \frac{1}{3} \text{tr}(\boldsymbol{\varepsilon}) \mathbf{I} \quad (7)$$

- Additive split of strain into elastic and plastic contributions

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^e + \boldsymbol{\varepsilon}^p$$

- General free energy function

$$\psi = \psi(\boldsymbol{\varepsilon}^e, \alpha, \boldsymbol{\beta})$$

- Two new internal variables:

- \*  $\alpha$  describes the relative increase of the elastic region (isotropic hardening variable)
- \*  $\boldsymbol{\beta}$  describes the kinematic hardening (a rank-2 tensor; related to the centre point of the elastic region and introduces anisotropy due to plastic flow)

- Principle of irreversibility:

- Dissipation inequality

$$\begin{aligned} \mathcal{D} &= \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} - \dot{\psi}(\boldsymbol{\varepsilon}^e, \alpha, \boldsymbol{\beta}) \\ &= \boldsymbol{\sigma} : [\dot{\boldsymbol{\varepsilon}}^e + \dot{\boldsymbol{\varepsilon}}^p] - \underbrace{\frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} : \dot{\boldsymbol{\varepsilon}}^e}_{\mathbf{R}} - \underbrace{\frac{\partial \psi}{\partial \alpha} \dot{\alpha} + \frac{\partial \psi}{\partial \boldsymbol{\beta}} : \dot{\boldsymbol{\beta}}}_{\mathbf{B}} \\ &= \left[ \boldsymbol{\sigma} - \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} \right] : \dot{\boldsymbol{\varepsilon}}^e + \mathbf{R} : \dot{\boldsymbol{\varepsilon}}^p + \mathbf{B} : \dot{\boldsymbol{\beta}} \\ &\geq 0 \end{aligned}$$

- \* Hardening stress  $\mathbf{R}$

- \* Back stress  $\mathbf{B}$

- Definition of Cauchy stress

$$\boldsymbol{\sigma} := \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} \quad (8)$$

- Reduced dissipation inequality (using definition of Cauchy stress)

$$\mathcal{D}^{red} = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^p + R\dot{\alpha} + \mathbf{B} : \dot{\boldsymbol{\beta}} \geq 0$$

- Evolution equations derived by the postulate of maximum dissipation [note: this ensures that hardening occurs when accommodated by the model, thus dissipating more energy than perfect plastic flow]
  - Elastic region is restricted by yield function  $\Phi(\boldsymbol{\sigma}, R, \mathbf{B})$ :

$$\mathbb{E} := \{(\boldsymbol{\sigma}, R, \mathbf{B}) \mid \Phi(\boldsymbol{\sigma}, R, \mathbf{B}) \leq 0\} \quad (9)$$

- Conditions:

- \* Elastic state:  $\Phi < 0$
- \* Plastic state:  $\Phi = 0$

- Define Lagrange multiplier problem to maximise the dissipation, i.e.

$$\begin{aligned} & \text{maximise } \mathcal{D}^{red} \text{ subject to } \Phi(\boldsymbol{\sigma}, R, \mathbf{B}) \leq 0 \\ \mathcal{L}(\boldsymbol{\sigma}, R, \mathbf{B}, \dot{\lambda}) &= -\mathcal{D}^{red} + \dot{\lambda}\Phi \quad \rightarrow \quad \text{stationary} \end{aligned}$$

- \*  $\dot{\lambda}$  is known as the consistency parameter

- Taking directional derivatives, we can identify the following evolution equations for the internal variables:

$$\begin{aligned} \frac{\partial \mathcal{L}}{\partial \boldsymbol{\sigma}} = \mathbf{0} & \Rightarrow \dot{\boldsymbol{\varepsilon}}^p = \dot{\lambda} \frac{\partial \Psi}{\partial \boldsymbol{\sigma}} \quad (\text{flow rule}) \\ \frac{\partial \mathcal{L}}{\partial R} = 0 & \Rightarrow \dot{\alpha} = \dot{\lambda} \frac{\partial \Psi}{\partial R} \quad (\text{evolution equation for isotropic hardening}) \\ \frac{\partial \mathcal{L}}{\partial \mathbf{B}} = \mathbf{0} & \Rightarrow \dot{\boldsymbol{\beta}} = \dot{\lambda} \frac{\partial \Psi}{\partial \mathbf{B}} \quad (\text{evolution equation for kinematic hardening}) \end{aligned}$$

- Loading / unloading condition:

$$\frac{\partial \Psi}{\partial \boldsymbol{\sigma}} \dot{\boldsymbol{\sigma}} \begin{cases} < 0 & \text{Unloading (elastic)} \\ = 0 & \text{Loading (plastic flow)} \\ > 0 & \text{Loading (plastic hardening)} \end{cases}$$

- \* The loading-unloading conditions can be shown to be equivalent to the Karush-Kuhn-Tucker (KKT) conditions (an important statement in optimisation theory):

$$\dot{\lambda} \geq 0 \quad ; \quad \Phi \leq 0 \quad ; \quad \dot{\lambda}\Phi = 0 \quad (10)$$

- Situations and definitions for any  $(\boldsymbol{\sigma}, R, \mathbf{B}) \in \mathbb{E}$

$$\Phi < 0 \quad \Rightarrow \quad (\boldsymbol{\sigma}, R, \mathbf{B}) \in \text{int}(\mathbb{E}) \quad \Rightarrow \quad \dot{\lambda} = 0 \quad (\text{Elastic response})$$

$$\Phi = 0 \quad \Rightarrow \quad (\boldsymbol{\sigma}, R, \mathbf{B}) \in \partial\mathbb{E} \quad \Rightarrow \quad \begin{cases} \dot{\Phi} < 0 & \Rightarrow \quad \dot{\lambda} = 0 & (\text{Elastic unloading}) \\ \dot{\Phi} = 0 & \text{and} \quad \dot{\lambda} = 0 & (\text{Neutral loading}) \\ \dot{\Phi} = 0 & \text{and} \quad \dot{\lambda} > 0 & (\text{Plastic loading}) \end{cases}$$

- Hardening / softening condition:

$$\frac{\partial \Psi}{\partial \alpha} \dot{\alpha} \begin{cases} < 0 & \text{Softening} \\ > 0 & \text{Hardening} \end{cases}$$

- Example of Von-Mises yield criterion

- Convex yield surface defined by

$$\Phi(\boldsymbol{\sigma}) = \sqrt{\frac{3}{2}} \|\boldsymbol{\sigma}^{dev}\| - \sigma_y \leq 0$$

- \* Cylindrical yield-surface as observed in the principal stress space

- \* Norm of deviatoric stress contribution:

$$\|\boldsymbol{\sigma}^{dev}\| = \sqrt{\boldsymbol{\sigma}^{dev} : \boldsymbol{\sigma}^{dev}}$$

### 3 Constitutive law: Linear elasticity

- Linear elasticity (a special case of hyperelasticity)
  - Dissipation (in-)equality

$$\mathcal{D} = \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}} - \dot{\psi}(\boldsymbol{\varepsilon}) = 0 \quad \Rightarrow \quad \boldsymbol{\sigma} = \frac{\partial \psi(\boldsymbol{\varepsilon})}{\partial \boldsymbol{\varepsilon}} \quad (11)$$

- Free/Strain energy function (Hooke's law)

$$\psi(\boldsymbol{\varepsilon}) = \frac{\lambda}{2} [\text{tr}(\boldsymbol{\varepsilon})]^2 + \mu \text{tr}(\boldsymbol{\varepsilon}^2) \quad (12)$$

- Cauchy stress

$$\boldsymbol{\sigma}(\boldsymbol{\varepsilon}) = \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}} = \lambda \text{tr}(\boldsymbol{\varepsilon}) \mathbf{I} + 2\mu \boldsymbol{\varepsilon} \quad (13)$$

- Elastic tangent

$$\mathbb{C} = \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^2} : \dot{\boldsymbol{\varepsilon}} = \lambda \mathbf{I} \otimes \mathbf{I} + 2\mu \mathbb{I}^{sym} \quad (14)$$

- \* with the linear relationship

$$\boldsymbol{\sigma} = \mathbb{C} : \boldsymbol{\varepsilon} \quad (15)$$

## 4 Constitutive law: Elastoplasticity

The linear elastoplastic constitutive laws and framework described here are described in detail by [1, 2].

### 4.1 Associated Von Mises elastoplasticity with linear isotropic and kinematic hardening

- Kinematics

$$\boldsymbol{\varepsilon} = \boldsymbol{\varepsilon}^e + \boldsymbol{\varepsilon}^p$$

- Free energy (additive decomposition into elastic and plastic parts)

$$\begin{aligned}\psi(\boldsymbol{\varepsilon}^e, \alpha, \boldsymbol{\beta}) &= \psi^e(\boldsymbol{\varepsilon}^e) + \psi^p(\alpha, \boldsymbol{\beta}) \\ \psi^e(\boldsymbol{\varepsilon}^e) &= \frac{\lambda}{2} [\text{tr}(\boldsymbol{\varepsilon}^e)]^2 + \mu \text{tr}([\boldsymbol{\varepsilon}^e]^2) \\ \psi^p(\alpha, \boldsymbol{\beta}) &= \frac{1}{2} k r \alpha^2 + \frac{1}{2} k [1 - r] \|\boldsymbol{\beta}\|^2 \quad , \quad r \in [0, 1]\end{aligned}$$

- Stresses

$$\begin{aligned}\boldsymbol{\sigma} &= \frac{\partial \psi}{\partial \boldsymbol{\varepsilon}^e} = \lambda \text{tr}(\boldsymbol{\varepsilon}^e) \mathbf{1} + 2\mu \boldsymbol{\varepsilon}^e \\ R &= -\frac{\partial \psi}{\partial \alpha} = -k r \alpha \\ \boldsymbol{B} &= -\frac{\partial \psi}{\partial \boldsymbol{\beta}} = -k [1 - r] \boldsymbol{\beta}\end{aligned}$$

- Yield function (Von Mises)

$$\Phi(\boldsymbol{\sigma}, R, \boldsymbol{B}) = \|\boldsymbol{\sigma}^{dev} + \boldsymbol{B}\| - \sqrt{\frac{2}{3}} [\sigma_y - R] \leq 0$$

- Evolution equations

$$\begin{aligned}\dot{\boldsymbol{\varepsilon}}^p &= \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} = \dot{\lambda} \frac{\boldsymbol{\sigma}^{dev} + \boldsymbol{B}}{\|\boldsymbol{\sigma}^{dev} + \boldsymbol{B}\|} = \dot{\lambda} \mathbf{n} \\ \dot{\alpha} &= \dot{\lambda} \frac{\partial \Phi}{\partial R} = \sqrt{\frac{2}{3}} \dot{\lambda} \\ \dot{\boldsymbol{\beta}} &= \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{B}} = \dot{\lambda} \frac{\boldsymbol{\sigma}^{dev} + \boldsymbol{B}}{\|\boldsymbol{\sigma}^{dev} + \boldsymbol{B}\|} = \dot{\lambda} \mathbf{n}\end{aligned}$$

– Must satisfy KTT conditions

$$\dot{\lambda} \geq 0 \quad ; \quad \Phi \leq 0 \quad ; \quad \dot{\lambda} \Phi = 0$$

- Principle of irreversibility

$$\begin{aligned}
\mathcal{D}^{red} &= \boldsymbol{\sigma} : \dot{\boldsymbol{\varepsilon}}^p + R\dot{\alpha} + \mathbf{B} : \dot{\boldsymbol{\beta}} \\
&= \boldsymbol{\sigma} : \dot{\lambda} \mathbf{n} + R\sqrt{\frac{2}{3}}\dot{\lambda} + \mathbf{B} : \dot{\lambda} \mathbf{n} \\
&= \dot{\lambda} \left[ [\boldsymbol{\sigma} + \mathbf{B}] : \mathbf{n} + R\sqrt{\frac{2}{3}} \right] \\
&= \dot{\lambda} \left[ [\boldsymbol{\sigma}^{dev} + \mathbf{B}] : \frac{\boldsymbol{\sigma}^{dev} + \mathbf{B}}{\|\boldsymbol{\sigma}^{dev} + \mathbf{B}\|} + R\sqrt{\frac{2}{3}} \right] \\
&= \dot{\lambda} \left[ \|\boldsymbol{\sigma}^{dev} + \mathbf{B}\| + R\sqrt{\frac{2}{3}} \right] \\
&\geq 0
\end{aligned}$$

– If  $\Phi < 0 \Rightarrow \dot{\lambda} = 0$

– If  $\Phi = 0 \Rightarrow \dot{\lambda} > 0$  and  $\|\boldsymbol{\sigma}^{dev} + \mathbf{B}\| + R\sqrt{\frac{2}{3}} = \sqrt{\frac{2}{3}}\sigma_y \geq 0$

- Consistency condition (evolution of yield surface)

$$\begin{aligned}
\dot{\Phi} &= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \dot{\boldsymbol{\sigma}} + \frac{\partial \Phi}{\partial R} \dot{R} + \frac{\partial \Phi}{\partial \mathbf{B}} : \dot{\mathbf{B}} \\
&= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \underbrace{\left[ \dot{\boldsymbol{\varepsilon}} - \boldsymbol{\varepsilon}^p \right]}_{\boldsymbol{\varepsilon}^e} - \frac{\partial \Phi}{\partial R} \frac{\partial^2 \psi}{\partial \alpha \partial \alpha} \dot{\alpha} - \frac{\partial \Phi}{\partial \mathbf{B}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\beta} \otimes \partial \boldsymbol{\beta}} : \dot{\boldsymbol{\beta}} \\
&= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \dot{\boldsymbol{\varepsilon}} - \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} \dot{\lambda} - \frac{\partial \Phi}{\partial R} \frac{\partial^2 \psi}{\partial \alpha \partial \alpha} \frac{\partial \Phi}{\partial R} \dot{\lambda} - \frac{\partial \Phi}{\partial \mathbf{B}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\beta} \otimes \partial \boldsymbol{\beta}} : \frac{\partial \Phi}{\partial \mathbf{B}} \dot{\lambda} \\
&= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \dot{\boldsymbol{\varepsilon}} - \dot{\lambda} \left[ \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} + \frac{\partial \Phi}{\partial R} \frac{\partial^2 \psi}{\partial \alpha \partial \alpha} \frac{\partial \Phi}{\partial R} + \frac{\partial \Phi}{\partial \mathbf{B}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\beta} \otimes \partial \boldsymbol{\beta}} : \frac{\partial \Phi}{\partial \mathbf{B}} \right] \\
&= 0 \quad (\text{stay on yield surface during plastic deformation})
\end{aligned}$$

$$\Rightarrow \dot{\lambda} = \frac{\frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \dot{\boldsymbol{\varepsilon}}}{\frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} + \frac{\partial \Phi}{\partial R} \frac{\partial^2 \psi}{\partial \alpha \partial \alpha} \frac{\partial \Phi}{\partial R} + \frac{\partial \Phi}{\partial \mathbf{B}} : \frac{\partial^2 \psi}{\partial \boldsymbol{\beta} \otimes \partial \boldsymbol{\beta}} : \frac{\partial \Phi}{\partial \mathbf{B}}}$$

with

$$\begin{aligned}
\frac{\partial^2 \psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} &= \mathbb{C} \\
\frac{\partial^2 \psi}{\partial \alpha \partial \alpha} &= -kr \\
\frac{\partial^2 \psi}{\partial \boldsymbol{\beta} \otimes \partial \boldsymbol{\beta}} &= -k [1 - r] \mathbb{I}^{sym} \\
\frac{\partial \Phi}{\partial \boldsymbol{\sigma}} &= \frac{\partial \|\boldsymbol{\sigma}^{dev} + \boldsymbol{B}\|}{\partial (\boldsymbol{\sigma}^{dev} + \boldsymbol{B})} : \frac{\partial (\boldsymbol{\sigma}^{dev} + \boldsymbol{B})}{\partial \boldsymbol{\sigma}} = \frac{\boldsymbol{\sigma}^{dev} + \boldsymbol{B}}{\|\boldsymbol{\sigma}^{dev} + \boldsymbol{B}\|} : \mathbb{I}^{sym} = \boldsymbol{n} \\
\frac{\partial \Phi}{\partial R} &= \sqrt{\frac{2}{3}} \\
\frac{\partial \Phi}{\partial \boldsymbol{B}} &= \boldsymbol{n}
\end{aligned}$$

Then

$$\begin{aligned}
\Rightarrow \quad \dot{\lambda} &= \frac{\boldsymbol{n} : \mathbb{C} : \dot{\boldsymbol{\varepsilon}}}{\boldsymbol{n} : \mathbb{C} : \boldsymbol{n} + \sqrt{\frac{2}{3}} [-kr] \sqrt{\frac{2}{3}} + \boldsymbol{n} : [-k [1 - r] \mathbb{I}^{sym}] : \boldsymbol{n}} \\
&= \frac{\boldsymbol{n} : \mathbb{C} : \dot{\boldsymbol{\varepsilon}}}{\boldsymbol{n} : \mathbb{C} : \boldsymbol{n} - \frac{2}{3} kr - k [1 - r]} \\
&= \frac{\boldsymbol{n} : \mathbb{C} : \dot{\boldsymbol{\varepsilon}}}{\boldsymbol{n} : \mathbb{C} : \boldsymbol{n} + k [\frac{1}{3} r - 1]}
\end{aligned}$$

## 4.2 Elasto-plastic tangent modulus (continuous setting)

- Goal

$$\dot{\boldsymbol{\sigma}} = \mathbb{C}^{ep} : \dot{\boldsymbol{\varepsilon}}$$

- Stress rate

$$\begin{aligned}
\boldsymbol{\sigma} &= \frac{\partial \Psi}{\partial \boldsymbol{\varepsilon}^e} \\
\Rightarrow \quad \dot{\boldsymbol{\sigma}} &= \frac{\partial^2 \Psi}{\partial \boldsymbol{\varepsilon}^e \otimes \partial \boldsymbol{\varepsilon}^e} : \dot{\boldsymbol{\varepsilon}}^e = \mathbb{C} : [\dot{\boldsymbol{\varepsilon}} - \dot{\boldsymbol{\varepsilon}}^p] = \mathbb{C} : \left[ \dot{\boldsymbol{\varepsilon}} - \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} \right]
\end{aligned}$$

- Remember: Plastic potential  $\Phi(\boldsymbol{\sigma}, \boldsymbol{S})$  with evolution laws  $\dot{\boldsymbol{\varepsilon}}^p = \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}}$ ,  $\dot{\boldsymbol{d}} = \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{S}}$ 
  - Definition and derivatives of stress-like terms  $\boldsymbol{S} = [R, \boldsymbol{B}]$  with internal vari-



ables  $\mathbf{d} = [\alpha, \beta]$

$$\begin{aligned} \mathbf{S} &= -\frac{\partial \Psi}{\partial \mathbf{d}} \\ \dot{\mathbf{S}} &= -\frac{\partial^2 \Psi}{\partial \mathbf{d} \otimes \partial \mathbf{d}} \circ \dot{\mathbf{d}} = -\frac{\partial^2 \Psi}{\partial \mathbf{d} \otimes \partial \mathbf{d}} \circ \dot{\lambda} \frac{\partial \Phi}{\partial \mathbf{S}} \end{aligned}$$

- Use consistency condition to compute  $\dot{\lambda}$ :

$$\dot{\lambda} \dot{\Phi} = 0 \quad \Rightarrow \quad \dot{\Phi} = 0 \quad \text{for plastic loading}$$

$$\begin{aligned} \dot{\Phi} &= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \dot{\boldsymbol{\sigma}} + \frac{\partial \Phi}{\partial \mathbf{S}} \circ \dot{\mathbf{S}} \\ &= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{C} : \left[ \dot{\boldsymbol{\varepsilon}} - \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} \right] - \frac{\partial \Phi}{\partial \mathbf{S}} \circ \frac{\partial^2 \Psi}{\partial \mathbf{d} \otimes \partial \mathbf{d}} \circ \dot{\lambda} \frac{\partial \Phi}{\partial \mathbf{S}} \\ &= 0 \\ \Rightarrow \quad \dot{\lambda} &= \frac{1}{D} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{C} : \dot{\boldsymbol{\varepsilon}} \quad \text{with} \\ D &= \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{C} : \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} + \frac{\partial \Phi}{\partial \mathbf{S}} \circ \frac{\partial^2 \Psi}{\partial \mathbf{d} \otimes \partial \mathbf{d}} \circ \frac{\partial \Phi}{\partial \mathbf{S}} \end{aligned}$$

- Elasto-plastic tangent

$$\dot{\boldsymbol{\sigma}} = \mathbf{C}^{ep} : \dot{\boldsymbol{\varepsilon}} = \underbrace{\left[ \mathbf{C} - \frac{1}{D} \left[ \mathbf{C} : \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} \right] \otimes \left[ \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} : \mathbf{C} \right] \right]}_{\mathbf{C}^{ep} \text{ (symmetric)}} : \dot{\boldsymbol{\varepsilon}}$$

- Result for isotropic hardening

$$\mathbf{C}^{ep,dev} = 2\mu \mathbf{I}^{dev} - \frac{[2\mu]^2}{2\mu + \frac{2}{3}k} \mathbf{n} \otimes \mathbf{n} \quad (16a)$$

$$\mathbf{C}^{ep,vol} = \kappa \mathbf{1} \otimes \mathbf{1} \quad (16b)$$

with

$$\mathbf{n} = \frac{\boldsymbol{\sigma}^{dev}}{\|\boldsymbol{\sigma}^{dev}\|}$$

## 5 Integration algorithms for elasto-plasticity

### 5.1 Radial return algorithm for von Mises plasticity with linear isotropic hardening

- Constitutive setting

$$\begin{aligned}\boldsymbol{\varepsilon} &= \boldsymbol{\varepsilon}^e + \boldsymbol{\varepsilon}^p = \boldsymbol{\varepsilon}^{vol} + \boldsymbol{\varepsilon}^{dev} \\ \psi &= \frac{1}{2}\kappa \left[ \text{tr} \left( \boldsymbol{\varepsilon}^{vol} \right) \right]^2 + \mu \text{tr} \left( \left[ \boldsymbol{\varepsilon}^{e,dev} \right]^2 \right) + \frac{1}{2}k\alpha^2 \\ \Phi(\boldsymbol{\sigma}, R) &= \|\boldsymbol{\sigma}^{dev}\| - \sqrt{\frac{2}{3}} [\sigma_y - R] \leq 0 \\ \dot{\boldsymbol{\varepsilon}}^p &= \dot{\lambda} \frac{\partial \Phi}{\partial \boldsymbol{\sigma}} = \dot{\lambda} \mathbf{n} \quad , \quad \mathbf{n} = \frac{\boldsymbol{\sigma}^{dev}}{\|\boldsymbol{\sigma}^{dev}\|} \\ \dot{\alpha} &= \dot{\lambda} \frac{\partial \Phi}{\partial R} = \sqrt{\frac{2}{3}} \dot{\lambda}\end{aligned}$$

- Apply backward Euler method to evolution equations

$$\begin{aligned}\boldsymbol{\varepsilon}_{n+1}^p &= \boldsymbol{\varepsilon}_n^p + \Delta t \dot{\boldsymbol{\varepsilon}}_{n+1}^p = \boldsymbol{\varepsilon}_n^p + \Delta t \dot{\lambda}_{n+1} \mathbf{n}_{n+1} \\ \alpha_{n+1} &= \alpha_n + \Delta t \dot{\alpha}_{n+1} = \alpha_n + \Delta t \dot{\lambda}_{n+1} \sqrt{\frac{2}{3}}\end{aligned}$$

- Deviatoric stress

$$\begin{aligned}\boldsymbol{\sigma}_{n+1}^{dev} &= 2\mu \boldsymbol{\varepsilon}_{n+1}^{e,dev} = 2\mu \left[ \boldsymbol{\varepsilon}_{n+1}^{dev} - \boldsymbol{\varepsilon}_{n+1}^{p,dev} \right] \\ &= 2\mu \boldsymbol{\varepsilon}_{n+1}^{dev} - 2\mu \left[ \boldsymbol{\varepsilon}_n^p + \Delta t \dot{\lambda}_{n+1} \mathbf{n}_{n+1} \right] \\ &= \underbrace{2\mu \left[ \boldsymbol{\varepsilon}_{n+1}^{dev} - \boldsymbol{\varepsilon}_n^p \right]}_{\boldsymbol{\sigma}_{n+1}^{dev,trial}} - 2\mu \Delta \lambda_{n+1} \frac{\boldsymbol{\sigma}_{n+1}^{dev}}{\|\boldsymbol{\sigma}_{n+1}^{dev}\|} \quad (\text{Note: } \Delta t \dot{\lambda}_{n+1} = \Delta \lambda_{n+1}) \\ \Rightarrow \quad \boldsymbol{\sigma}_{n+1}^{dev} &= \left[ 1 + \frac{2\mu \Delta \lambda_{n+1}}{\|\boldsymbol{\sigma}_{n+1}^{dev}\|} \right]^{-1} \boldsymbol{\sigma}_{n+1}^{dev,trial}\end{aligned}$$

- \* The final stress state is obtained by projecting the trial stress state onto the current yield surface.

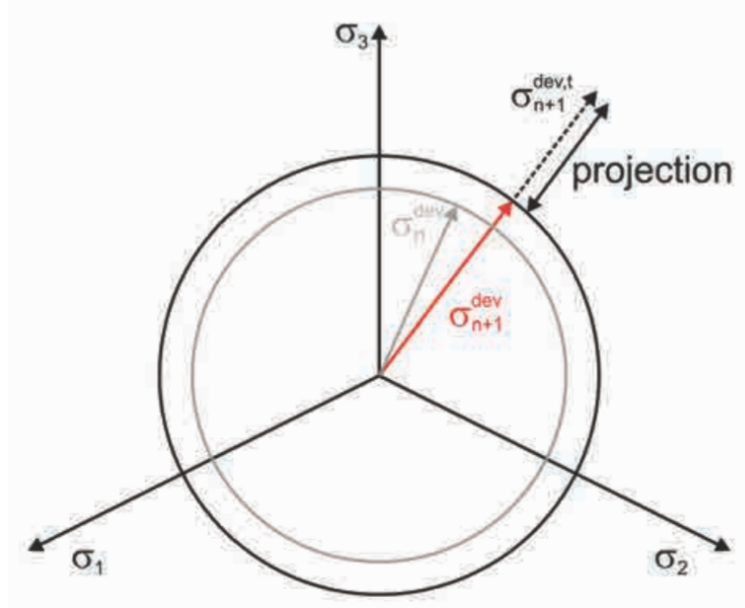


Figure 1: Radial projection [1]

- Still need to determine  $\|\sigma_{n+1}^{dev}\|$ . Use coaxiality of the stresses:

$$\frac{\sigma_{n+1}^{dev,trial}}{\|\sigma_{n+1}^{dev,trial}\|} = \frac{\sigma_{n+1}^{dev}}{\|\sigma_{n+1}^{dev}\|} = \mathbf{n}_{n+1}$$

- \* Contract both sides of previous equation by  $\mathbf{n}_{n+1}$  and use definition of tensor norm  $\|\sigma\|$ :

$$\begin{aligned} \sigma_{n+1}^{dev} : \frac{\sigma_{n+1}^{dev}}{\|\sigma_{n+1}^{dev}\|} &= \sigma_{n+1}^{dev,trial} : \frac{\sigma_{n+1}^{dev,trial}}{\|\sigma_{n+1}^{dev,trial}\|} - 2\mu\Delta\lambda_{n+1} \frac{\sigma_{n+1}^{dev}}{\|\sigma_{n+1}^{dev}\|} : \frac{\sigma_{n+1}^{dev}}{\|\sigma_{n+1}^{dev}\|} \\ \Rightarrow \|\sigma_{n+1}^{dev}\| &= \|\sigma_{n+1}^{dev,trial}\| - 2\mu\Delta\lambda_{n+1} \end{aligned}$$

- Yield function (plastic flow:  $\Phi = 0$ )

$$\begin{aligned} \Phi_{n+1} &= \|\sigma_{n+1}^{dev}\| - \sqrt{\frac{2}{3}} \left[ \sigma_y + \underbrace{k\alpha_{n+1}}_{-R_{n+1}} \right] = 0 \\ \Rightarrow \|\sigma_{n+1}^{dev}\| &= \sqrt{\frac{2}{3}} \left[ \sigma_y + k \left[ \alpha_n + \Delta\lambda_{n+1} \sqrt{\frac{2}{3}} \right] \right] \end{aligned}$$

- Plastic multiplier update computed by equating the two above equations

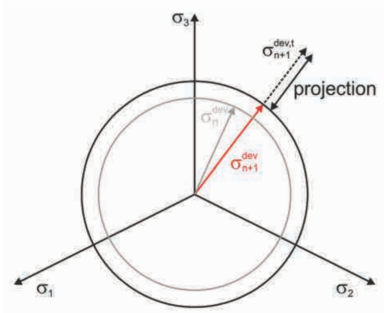
$$\begin{aligned}
\|\boldsymbol{\sigma}_{n+1}^{dev}\| &= \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\| - 2\mu\Delta\lambda_{n+1} = \sqrt{\frac{2}{3}} \left[ \sigma_y + k \left[ \alpha_n + \Delta\lambda_{n+1} \sqrt{\frac{2}{3}} \right] \right] \\
\Rightarrow \underbrace{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\| - \sqrt{\frac{2}{3}} [\sigma_y + k\alpha_n]}_{\Phi_{n+1}^{trial}} &= \Delta\lambda_{n+1} \left[ 2\mu + \frac{2}{3}k \right] \\
\Rightarrow \Delta\lambda_{n+1} &= \frac{\Phi_{n+1}^{trial}}{2\mu + \frac{2}{3}k}
\end{aligned}$$

- Remarks

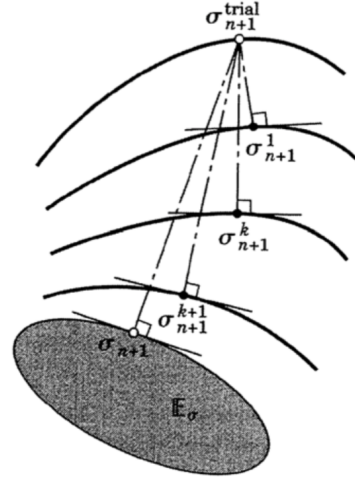
- Within ideal von Mises plasticity or with linear hardening,  $\dot{\lambda}_{n+1}$  can be computed directly. Otherwise,  $\Phi_{n+1}$  has to be solved iteratively for  $\dot{\lambda}_{n+1}$  (e.g. using Newton's method)
- For general yield functions, the closest point projection is the extension of the radial return algorithm.

## 5.2 General closest-point projection (for general plasticity and hardening laws)

- Difference between radial and closest point projection



(a) Radial projection [1]



(b) Closest-point projection [2]

### 5.3 Consistent elastoplastic tangent modulus for von Mises plasticity with only linear isotropic hardening (time discrete setting)

- Note: The definition of the consistent elastoplastic tangent depends on the algorithms used to update stresses, internal variables
- Goal: Tangent to compute

$$\mathcal{C}^{ep} = \frac{\partial \boldsymbol{\sigma}_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} = \mathcal{C}^{ep,vol} + \mathcal{C}^{ep,dev} \quad (17)$$

- Stress tensors

$$\begin{aligned} \boldsymbol{\sigma}_{n+1} &= \boldsymbol{\sigma}_{n+1}^{vol} + \boldsymbol{\sigma}_{n+1}^{dev} \\ \boldsymbol{\sigma}_{n+1}^{vol} &= \kappa \boldsymbol{\varepsilon}_{n+1}^{vol} \\ \boldsymbol{\sigma}_{n+1}^{dev} &= \boldsymbol{\sigma}_{n+1}^{dev,trial} - 2\mu \Delta \lambda_{n+1} \mathbf{n}_{n+1} \\ \boldsymbol{\sigma}_{n+1}^{dev,trial} &= 2\mu \left[ \boldsymbol{\varepsilon}_{n+1}^{dev} - \boldsymbol{\varepsilon}_n^p \right] \end{aligned}$$

- Derivatives (for tangent stiffness contributions for trial solution)

$$\begin{aligned} \mathcal{C}^{ep,vol} &= \frac{\partial \boldsymbol{\sigma}_{n+1}^{vol}}{\partial \boldsymbol{\varepsilon}_{n+1}} = \kappa \mathbf{1} \otimes \mathbf{1} \\ \mathcal{C}^{ep,dev,trial} &= \frac{\partial \boldsymbol{\sigma}_{n+1}^{dev,trial}}{\partial \boldsymbol{\varepsilon}_{n+1}} = 2\mu \mathcal{I}^{dev} \end{aligned}$$

- Derivatives (for tangent stiffness contributions when plastic flow)
  - Remember:

$$\begin{aligned} \Delta \lambda_{n+1} &= \frac{\Phi_{n+1}^{trial}}{2\mu + \frac{2}{3}k} = \frac{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\| - \sqrt{\frac{2}{3}}[\sigma_y - R_n]}{2\mu + \frac{2}{3}k} \quad (\text{Note: } R_n \text{ is fixed}) \\ \mathbf{n}_{n+1} &= \frac{\boldsymbol{\sigma}_{n+1}^{dev,trial}}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|} \end{aligned}$$

- Therefore:

$$\begin{aligned} \frac{\partial \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|}{\partial \boldsymbol{\varepsilon}_{n+1}} &= \frac{\partial \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|}{\partial \boldsymbol{\sigma}_{n+1}^{dev,trial}} : \frac{\partial \boldsymbol{\sigma}_{n+1}^{dev,trial}}{\partial \boldsymbol{\varepsilon}_{n+1}} = \underbrace{\frac{\boldsymbol{\sigma}_{n+1}^{dev,trial}}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|}}_{\mathbf{n}_{n+1}} : 2\mu \mathcal{I}^{dev} = 2\mu \mathbf{n}_{n+1} \\ \frac{\partial \Delta \lambda_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} &= \frac{1}{2\mu + \frac{2}{3}k} \frac{\partial \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|}{\partial \boldsymbol{\varepsilon}_{n+1}} = \frac{2\mu}{2\mu + \frac{2}{3}k} \mathbf{n}_{n+1} \end{aligned}$$

$$\begin{aligned}
\frac{\partial \mathbf{n}_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} &\equiv \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|^{-1} \frac{\partial \boldsymbol{\sigma}_{n+1}^{dev,trial}}{\partial \boldsymbol{\varepsilon}_{n+1}} + \boldsymbol{\sigma}_{n+1}^{dev,trial} \otimes \frac{\partial \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|^{-1}}{\partial \boldsymbol{\varepsilon}_{n+1}} \\
&= \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|^{-1} 2\mu \mathcal{I}^{dev} - \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|^{-2} \boldsymbol{\sigma}_{n+1}^{dev,trial} \otimes \frac{\partial \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|}{\partial \boldsymbol{\varepsilon}_{n+1}} \\
&= \|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|^{-1} \left[ 2\mu \mathcal{I}^{dev} - \frac{\boldsymbol{\sigma}_{n+1}^{dev,trial}}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|} \otimes 2\mu \mathbf{n}_{n+1} \right] \\
&= \frac{2\mu}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|} \left[ \mathcal{I}^{dev} - \mathbf{n}_{n+1} \otimes \mathbf{n}_{n+1} \right]
\end{aligned}$$

– Resulting deviatoric part of the elasto-plastic tangent

$$\mathcal{C}^{ep,dev} = \frac{\partial \boldsymbol{\sigma}_{n+1}^{dev}}{\partial \boldsymbol{\varepsilon}_{n+1}} = \frac{\partial \boldsymbol{\sigma}_{n+1}^{dev,trial}}{\partial \boldsymbol{\varepsilon}_{n+1}} - 2\mu \left[ \mathbf{n}_{n+1} \otimes \frac{\partial \Delta \lambda_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} + \Delta \lambda_{n+1} \frac{\partial \mathbf{n}_{n+1}}{\partial \boldsymbol{\varepsilon}_{n+1}} \right] \quad (18)$$

$$= 2\mu \mathcal{I}^{dev} - 2\mu \left[ \mathbf{n}_{n+1} \otimes \left[ \frac{2\mu}{2\mu + \frac{2}{3}k} \mathbf{n}_{n+1} \right] \right] \quad (19)$$

$$+ \Delta \lambda_{n+1} \left[ \frac{2\mu}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|} \left[ \mathcal{I}^{dev} - \mathbf{n}_{n+1} \otimes \mathbf{n}_{n+1} \right] \right] \quad (20)$$

$$= 2\mu \mathcal{I}^{dev} - \frac{[2\mu]^2}{2\mu + \frac{2}{3}k} \mathbf{n}_{n+1} \otimes \mathbf{n}_{n+1} \quad (21)$$

$$+ \left[ \Delta \lambda_{n+1} \frac{[2\mu]^2}{\|\boldsymbol{\sigma}_{n+1}^{dev,trial}\|} \left[ \mathcal{I}^{dev} - \mathbf{n}_{n+1} \otimes \mathbf{n}_{n+1} \right] \right] \quad (22)$$

– Compared to continuous case, the tangents are not equal but they converge to the same expression when  $\Delta \lambda_{n+1} \rightarrow 0$

## 6 Finite element discretisation

For clarity, it is worth mentioning up front that in this section we will denote the general elasto-plastic tangent by  $\mathbb{C}^{ep}$ ; so, in the elastic region  $\mathbb{C}^{ep} \equiv \mathbb{C}$  while in the plastic region  $\mathbb{C}^{ep}$  represents the consistent tangent.

Combining eqs. (5) and (17) (specifically, eqs. (16b) and (22)) renders the complete expression of the balance of linear momentum, namely

$$\int_{\Omega} \frac{\partial \delta v_i}{\partial x_j} [\mathbb{C}^{ep}]_{ijkl} \varepsilon_{kl} dv = \int_{\Omega} \delta v_i b_i dv + \int_{\partial\Omega} \delta v_i \underbrace{\sigma_{ij} n_j}_{\tilde{t}_i} da \quad , \quad (23)$$

We discretise the trial solution and test function using vector-valued finite element shape functions (ansatz)

$$\mathbf{u}(\mathbf{x}) \approx \sum_I \mathbf{N}^I(\mathbf{x}) u^I \quad , \quad \mathbf{v}(\mathbf{x}) \approx \sum_I \mathbf{N}^I(\mathbf{x}) v^I \quad (24)$$

where  $\mathbf{N}^I(\mathbf{x})$  is the (position-dependent) vector-valued finite element shape function corresponding to the  $I^{\text{th}}$  degree-of-freedom, and  $u^I, v^I$  are coefficients of the solution and trial function.

We now use these shape functions to discretise the weak expression for the balance of linear momentum. Starting on the right-hand side of eq. (23), the body force and traction contributions are computed by

$$\int_{\Omega} \delta v_i b_i dv = \int_{\Omega} \left[ \sum_I \mathbf{N}^I(\mathbf{x}) \delta v^I \right]_i b_i dv = \sum_I \delta v^I \int_{\Omega} \mathbf{N}_i^I b_i dv \quad (25)$$

$$\int_{\Omega} \delta v_i t_i dv = \sum_I \delta v^I \int_{\Omega} \mathbf{N}_i^I t_i dv \quad . \quad (26)$$

The last component of eq. (23) that we wish to express in discrete form is the left-hand side of the equation. Before we do, we observe that using the minor symmetry of the material stiffness tensor we can re-express the contraction of it and the small strain tensor as

$$\mathbb{C} : \boldsymbol{\varepsilon} = \mathbb{C} : \frac{1}{2} [\nabla \mathbf{u} + [\nabla \mathbf{u}]^T] \equiv \mathbb{C} : \nabla \mathbf{u} \quad (27)$$

from which we can similarly deduce that

$$\nabla \mathbf{u} : \mathbb{C} \equiv \boldsymbol{\varepsilon} : \mathbb{C} \quad (28)$$

Therefore, this contribution written in discrete form is

$$\begin{aligned}
& \int_{\Omega} \frac{\partial \delta v_i}{\partial x_j} [\mathbb{C}^{ep}]_{ijkl} \varepsilon_{kl} dv \equiv \int_{\Omega} \frac{\partial \delta v_i}{\partial x_j} \mathbb{C}_{ijkl}^{ep} \frac{\partial \delta u_k}{\partial x_l} dv \\
& = \int_{\Omega} \frac{\partial}{\partial x_j} \left[ \sum_I \mathbf{N}^I(\mathbf{x}) \delta v^I \right]_i \mathbb{C}_{ijkl}^{ep} \frac{\partial}{\partial x_l} \left[ \sum_J \mathbf{N}^J(\mathbf{x}) \delta u^J \right]_k dv \\
& = \sum_{I,J} \delta v^I \left[ \int_{\Omega} \frac{\partial}{\partial x_j} [\mathbf{N}^I(\mathbf{x})]_i \mathbb{C}_{ijkl}^{ep} \frac{\partial}{\partial x_l} [\mathbf{N}^J(\mathbf{x})]_k dv \right] \delta u^J \\
& = \sum_{I,J} \delta v^I \left[ \int_{\Omega} [\mathbf{N}^I(\mathbf{x})]_{i,j} \mathbb{C}_{ijkl}^{ep} [\mathbf{N}^J(\mathbf{x})]_{k,l} dv \right] \delta u^J \\
& \equiv \sum_{I,J} \delta v^I \left[ \int_{\Omega} \left[ [\mathbf{N}^I(\mathbf{x})]_{i,j} \right]^S \mathbb{C}_{ijkl}^{ep} \left[ [\mathbf{N}^J(\mathbf{x})]_{k,l} \right]^S dv \right] \delta u^J \quad . \quad (29)
\end{aligned}$$

Equations (25), (26) and (29) are collectively used to develop the system of linear equations that are solved at each time step.

## References

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