



# An Introduction to Optimization and Regularization Methods in Deep Learning

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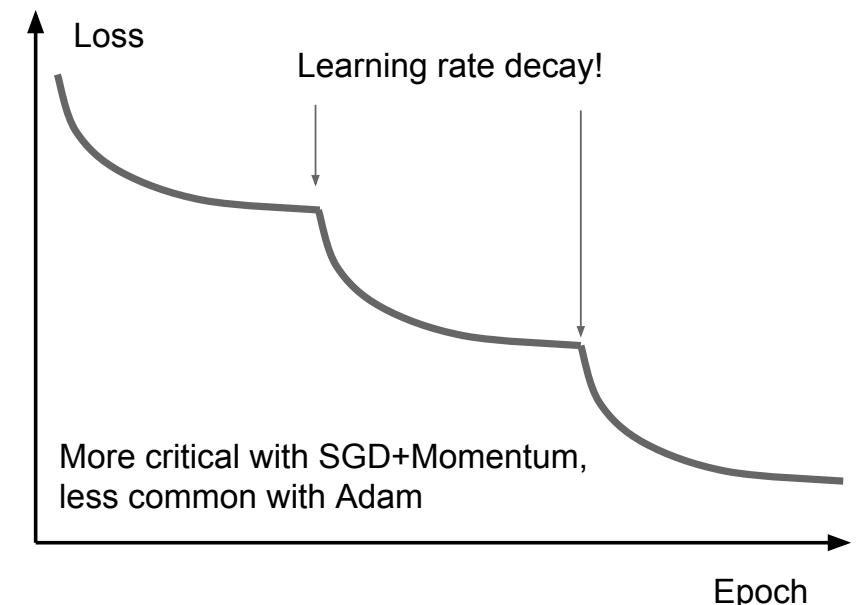
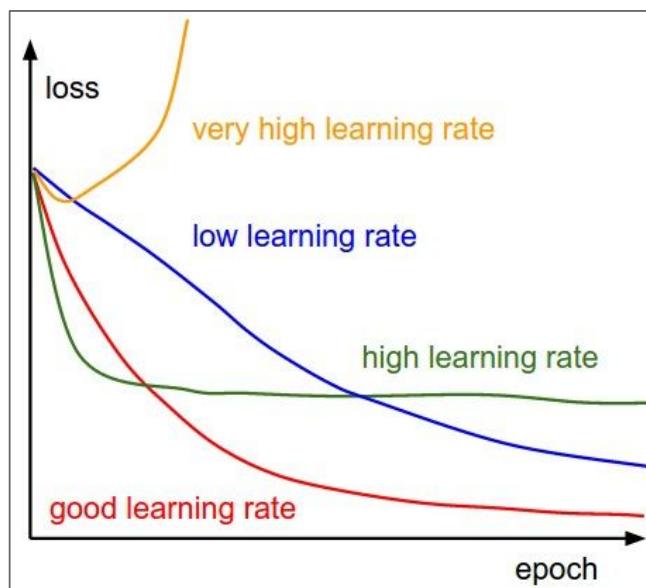
# Summary

- ▶ Last time: First order optimization methods
  - ▶ GD (BP), SGD, Nesterov, Adagrad, ADAM, RMSPROP, etc.
- ▶ This time
  - ▶ Second order methods
  - ▶ Regularization methods
- ▶ Feifei Li, Stanford cs231n



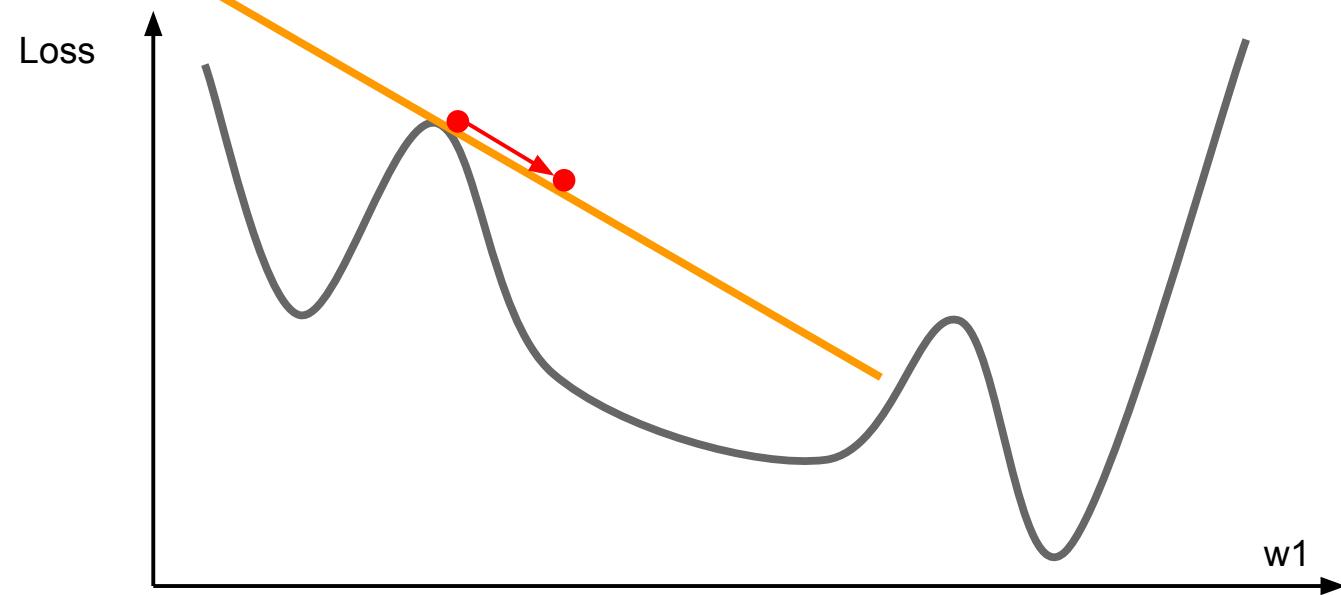
## Second Order Methods

SGD, SGD+Momentum, Adagrad, RMSProp, Adam all have **learning rate** as a hyperparameter.



# First-Order Optimization

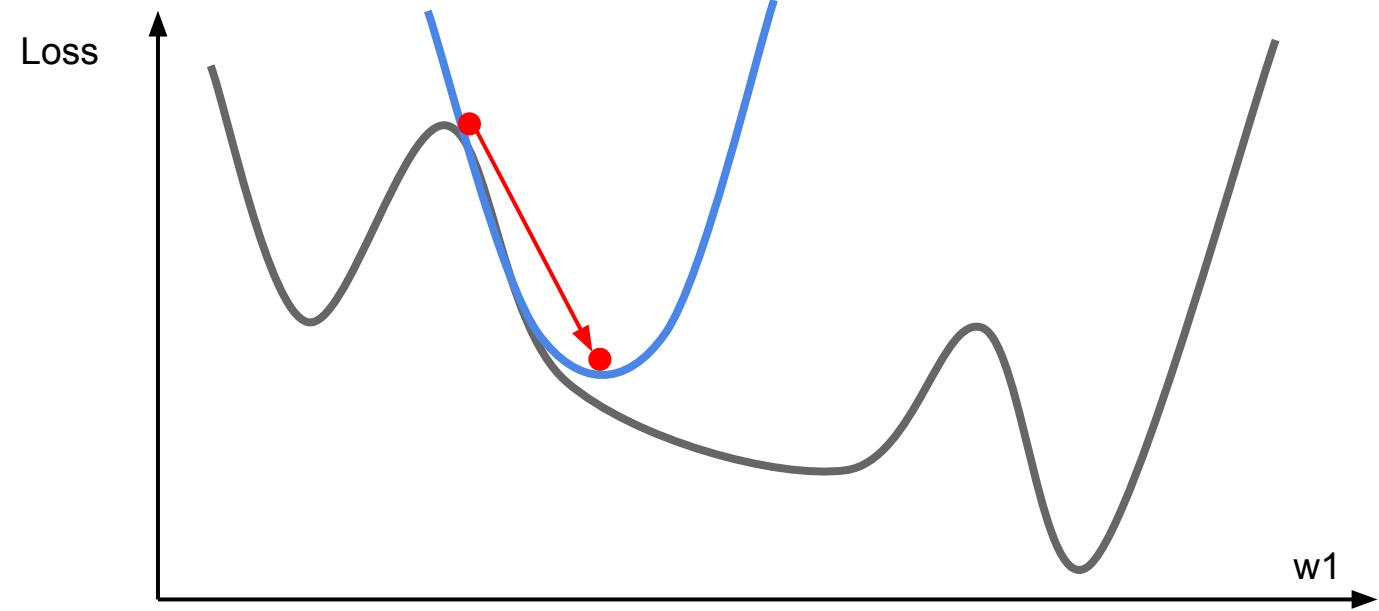
- (1) Use gradient form linear approximation
- (2) Step to minimize the approximation





# Second-Order Optimization

- (1) Use gradient **and Hessian** to form **quadratic approximation**
- (2) Step to the **minima** of the approximation



## Newton Method

### Second-Order Optimization

second-order Taylor expansion:

$$J(\boldsymbol{\theta}) \approx J(\boldsymbol{\theta}_0) + (\boldsymbol{\theta} - \boldsymbol{\theta}_0)^\top \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0) + \frac{1}{2} (\boldsymbol{\theta} - \boldsymbol{\theta}_0)^\top \mathbf{H} (\boldsymbol{\theta} - \boldsymbol{\theta}_0)$$

Solving for the critical point we obtain the Newton parameter update:

$$\boldsymbol{\theta}^* = \boldsymbol{\theta}_0 - \mathbf{H}^{-1} \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0)$$

Q: What is nice about this update?



# Second-Order Optimization

second-order Taylor expansion:

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Solving for the critical point we obtain the Newton parameter update:

$$\boldsymbol{\theta}^* = \boldsymbol{\theta}_0 - \mathbf{H}^{-1} \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0)$$

No hyperparameters!  
No learning rate!

Q: What is nice about this update?



But, ...

## Second-Order Optimization

second-order Taylor expansion:

$$J(\boldsymbol{\theta}) \approx J(\boldsymbol{\theta}_0) + (\boldsymbol{\theta} - \boldsymbol{\theta}_0)^\top \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0) + \frac{1}{2} (\boldsymbol{\theta} - \boldsymbol{\theta}_0)^\top \mathbf{H}(\boldsymbol{\theta} - \boldsymbol{\theta}_0)$$

Solving for the critical point we obtain the Newton parameter update:

$$\boldsymbol{\theta}^* = \boldsymbol{\theta}_0 - \mathbf{H}^{-1} \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0)$$

Hessian has  $O(N^2)$  elements  
Inverting takes  $O(N^3)$   
 $N =$  (Tens or Hundreds of) Millions

Q2: Why is this bad for deep learning?



## Second-Order Optimization

$$\boldsymbol{\theta}^* = \boldsymbol{\theta}_0 - \boldsymbol{H}^{-1} \nabla_{\boldsymbol{\theta}} J(\boldsymbol{\theta}_0)$$

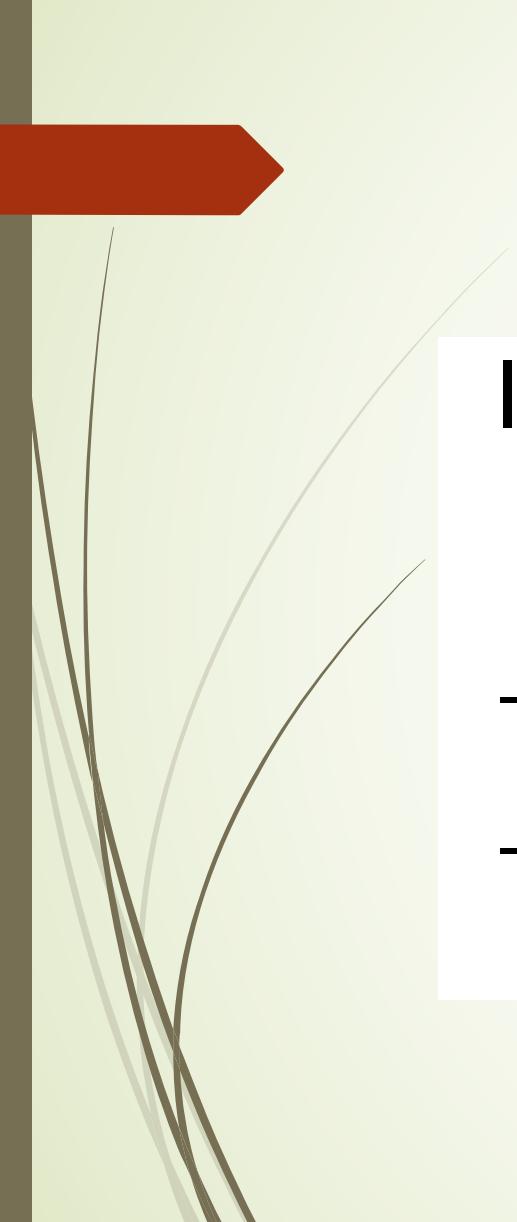
- Quasi-Newton methods (**BGFS** most popular):  
*instead of inverting the Hessian ( $O(n^3)$ ), approximate inverse Hessian with rank 1 updates over time ( $O(n^2)$  each).*
- **L-BFGS** (Limited memory BFGS):  
*Does not form/store the full inverse Hessian.*



## L-BFGS

- **Usually works very well in full batch, deterministic mode**  
i.e. if you have a single, deterministic  $f(x)$  then L-BFGS will probably work very nicely
- **Does not transfer very well to mini-batch setting.** Gives bad results. Adapting L-BFGS to large-scale, stochastic setting is an active area of research.

Le et al, "On optimization methods for deep learning, ICML 2011"



## In practice:

- **Adam** is a good default choice in most cases
- If you can afford to do full batch updates then try out **L-BFGS** (and don't forget to disable all sources of noise)



# Regularizations



## Regularization: Add term to loss

$$L = \frac{1}{N} \sum_{i=1}^N \sum_{j \neq y_i} \max(0, f(x_i; W)_j - f(x_i; W)_{y_i} + 1) + \boxed{\lambda R(W)}$$

In common use:

**L2 regularization**

$$R(W) = \sum_k \sum_l W_{k,l}^2 \quad (\text{Weight decay})$$

**L1 regularization**

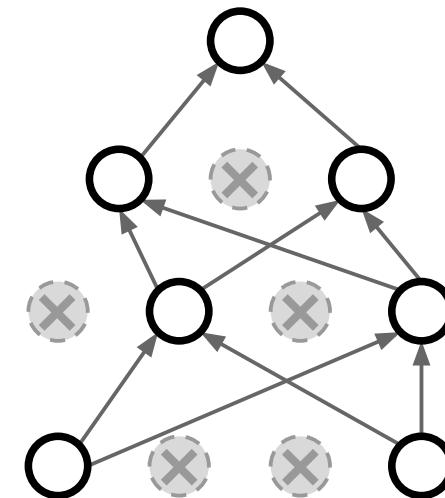
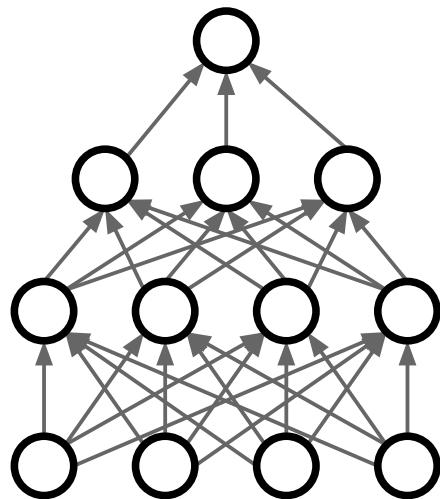
$$R(W) = \sum_k \sum_l |W_{k,l}|$$

**Elastic net (L1 + L2)**

$$R(W) = \sum_k \sum_l \beta W_{k,l}^2 + |W_{k,l}|$$

# Regularization: Dropout

In each forward pass, randomly set some neurons to zero  
Probability of dropping is a hyperparameter; 0.5 is common



Srivastava et al, "Dropout: A simple way to prevent neural networks from overfitting", JMLR 2014

# Regularization: Dropout

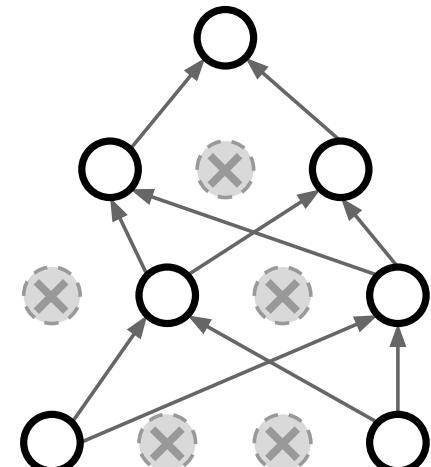
```
p = 0.5 # probability of keeping a unit active. higher = less dropout

def train_step(X):
    """ X contains the data """

    # forward pass for example 3-layer neural network
    H1 = np.maximum(0, np.dot(W1, X) + b1)
    U1 = np.random.rand(*H1.shape) < p # first dropout mask
    H1 *= U1 # drop!
    H2 = np.maximum(0, np.dot(W2, H1) + b2)
    U2 = np.random.rand(*H2.shape) < p # second dropout mask
    H2 *= U2 # drop!
    out = np.dot(W3, H2) + b3

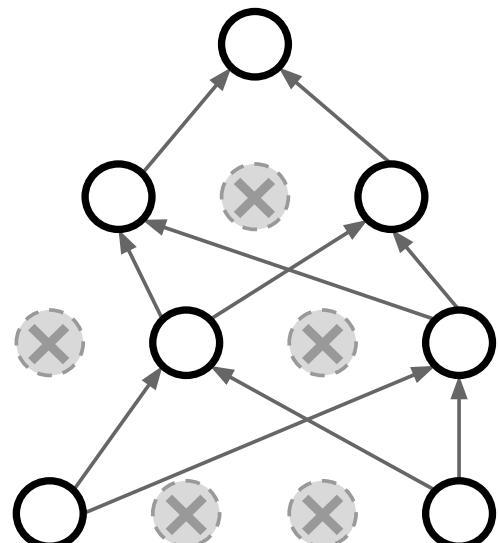
    # backward pass: compute gradients... (not shown)
    # perform parameter update... (not shown)
```

Example forward pass with a 3-layer network using dropout



# Regularization: Dropout

How can this possibly be a good idea?



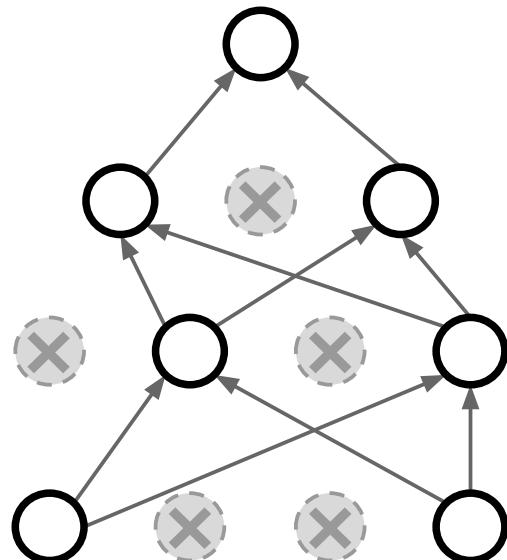
Forces the network to have a redundant representation;  
Prevents co-adaptation of features



# Dropout as random perturbations of models

## Regularization: Dropout

How can this possibly be a good idea?



Another interpretation:

Dropout is training a large **ensemble** of models (that share parameters).

Each binary mask is one model

An FC layer with 4096 units has  $2^{4096} \sim 10^{1233}$  possible masks!  
Only  $\sim 10^{82}$  atoms in the universe...



## Dropout: Test time

Dropout makes our output random!

Output (label)      Input (image)      Random mask

$$y = f_W(x, z)$$

Want to “average out” the randomness at test-time

$$y = f(x) = E_z[f(x, z)] = \int p(z)f(x, z)dz$$

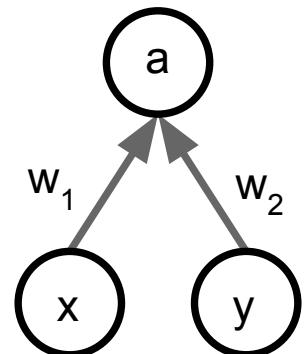
But this integral seems hard ...

## Dropout: Test time

Want to approximate  
the integral

$$y = f(x) = E_z[f(x, z)] = \int p(z)f(x, z)dz$$

Consider a single neuron.



At test time we have:  $E[a] = w_1x + w_2y$

During training we have:

$$\begin{aligned} E[a] &= \frac{1}{4}(w_1x + w_2y) + \frac{1}{4}(w_1x + 0y) \\ &\quad + \frac{1}{4}(0x + 0y) + \frac{1}{4}(0x + w_2y) \\ &= \frac{1}{2}(w_1x + w_2y) \end{aligned}$$

At test time, multiply  
by dropout probability



## Dropout: Test time

```
def predict(X):
    # ensembled forward pass
    H1 = np.maximum(0, np.dot(W1, X) + b1) * p # NOTE: scale the activations
    H2 = np.maximum(0, np.dot(W2, H1) + b2) * p # NOTE: scale the activations
    out = np.dot(W3, H2) + b3
```

At test time all neurons are active always  
=> We must scale the activations so that for each neuron:  
output at test time = expected output at training time

# Dropout Summary

```
""" Vanilla Dropout: Not recommended implementation (see notes below) """

p = 0.5 # probability of keeping a unit active. higher = less dropout

def train_step(X):
    """ X contains the data """

    # forward pass for example 3-layer neural network
    H1 = np.maximum(0, np.dot(W1, X) + b1)
    U1 = np.random.rand(*H1.shape) < p # first dropout mask
    H1 *= U1 # drop!
    H2 = np.maximum(0, np.dot(W2, H1) + b2)
    U2 = np.random.rand(*H2.shape) < p # second dropout mask
    H2 *= U2 # drop!
    out = np.dot(W3, H2) + b3

    # backward pass: compute gradients... (not shown)
    # perform parameter update... (not shown)

def predict(X):
    # ensembled forward pass
    H1 = np.maximum(0, np.dot(W1, X) + b1) * p # NOTE: scale the activations
    H2 = np.maximum(0, np.dot(W2, H1) + b2) * p # NOTE: scale the activations
    out = np.dot(W3, H2) + b3
```

drop in forward pass

scale at test time



## More common: “Inverted dropout”

```
p = 0.5 # probability of keeping a unit active. higher = less dropout

def train_step(X):
    # forward pass for example 3-layer neural network
    H1 = np.maximum(0, np.dot(W1, X) + b1)
    U1 = (np.random.rand(*H1.shape) < p) / p # first dropout mask. Notice /p!
    H1 *= U1 # drop!
    H2 = np.maximum(0, np.dot(W2, H1) + b2)
    U2 = (np.random.rand(*H2.shape) < p) / p # second dropout mask. Notice /p!
    H2 *= U2 # drop!
    out = np.dot(W3, H2) + b3

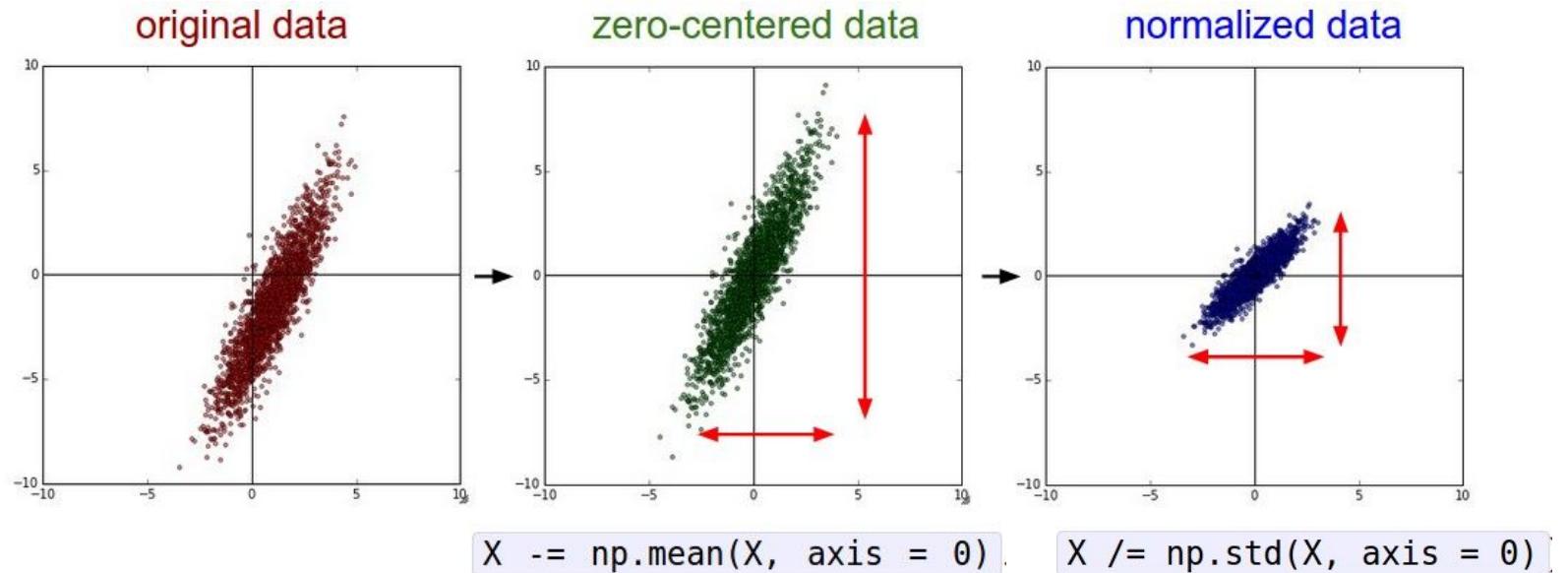
    # backward pass: compute gradients... (not shown)
    # perform parameter update... (not shown)

def predict(X):
    # ensembled forward pass
    H1 = np.maximum(0, np.dot(W1, X) + b1) # no scaling necessary
    H2 = np.maximum(0, np.dot(W2, H1) + b2)
    out = np.dot(W3, H2) + b3
```

test time is unchanged!



# Data normalization

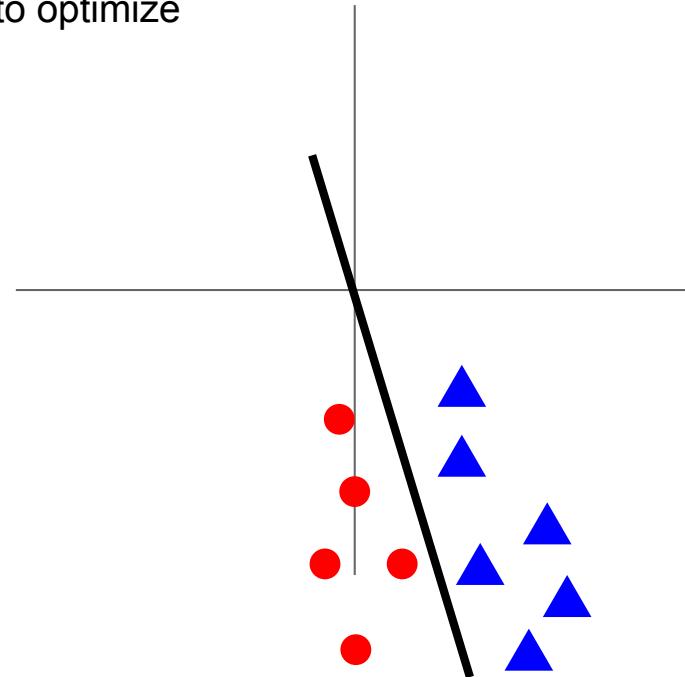


(Assume  $X$  [NxD] is data matrix,  
each example in a row)

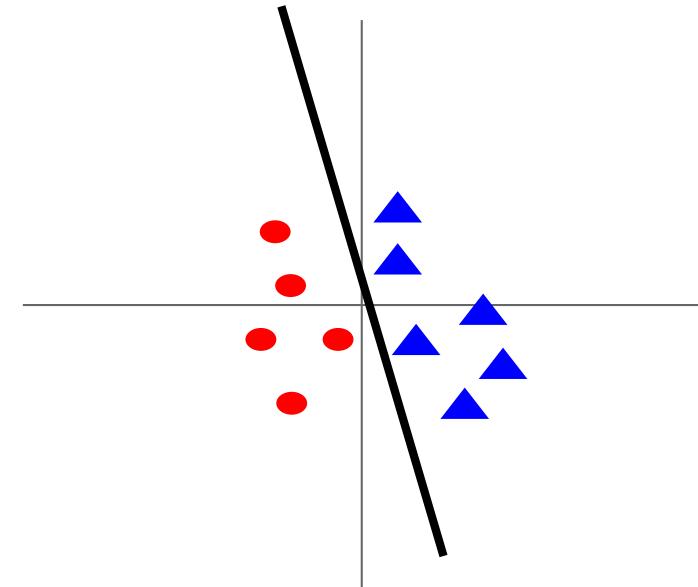
$$f \left( \sum_i w_i x_i + b \right)$$

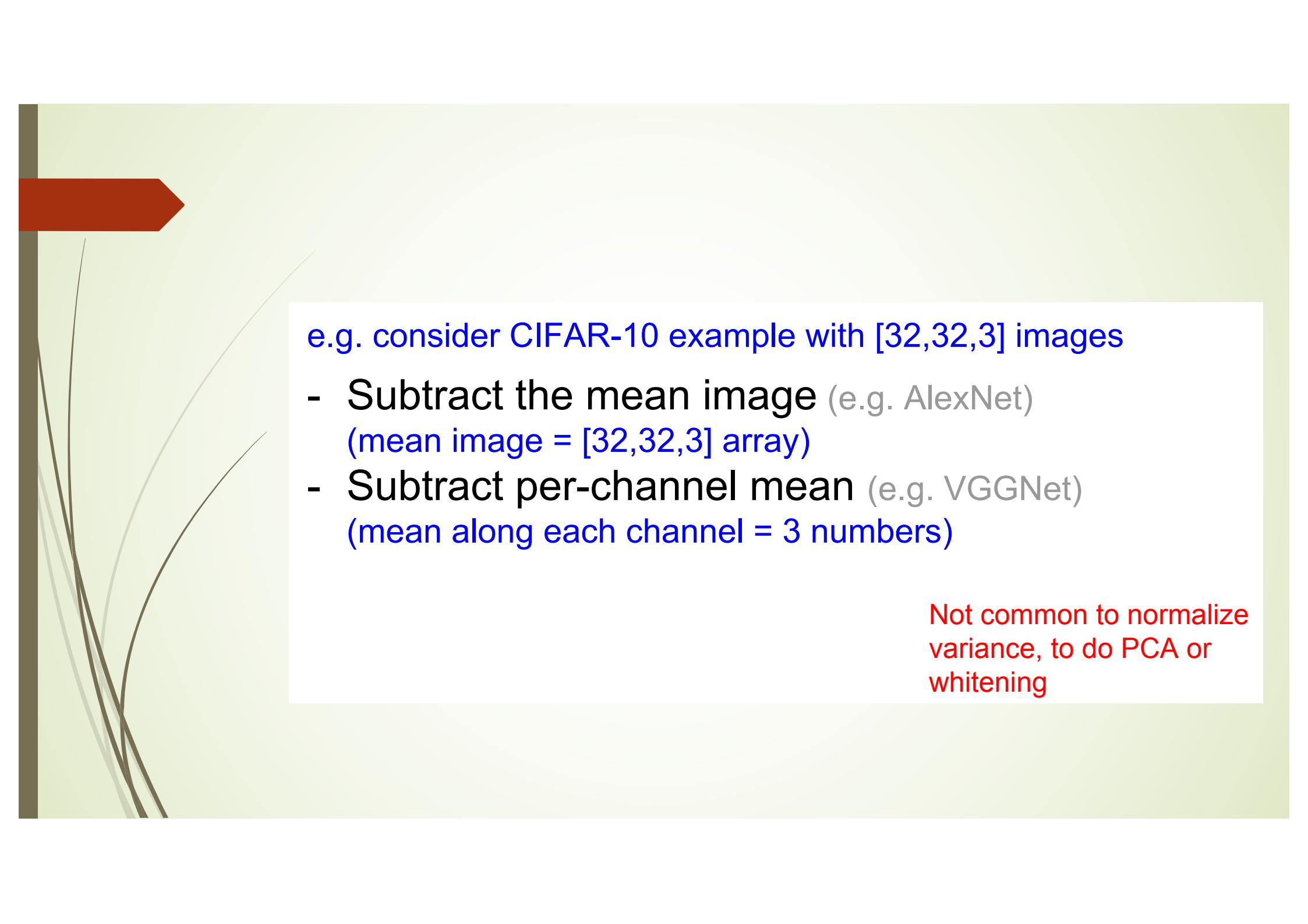
# Data normalization

**Before normalization:** classification loss very sensitive to changes in weight matrix; hard to optimize



**After normalization:** less sensitive to small changes in weights; easier to optimize





e.g. consider CIFAR-10 example with [32,32,3] images

- Subtract the mean image (e.g. AlexNet)  
(mean image = [32,32,3] array)
- Subtract per-channel mean (e.g. VGGNet)  
(mean along each channel = 3 numbers)

Not common to normalize variance, to do PCA or whitening

# Regularization: Batch Normalization

## Batch Normalization

[Ioffe and Szegedy, 2015]

“you want unit gaussian activations? just make them so.”

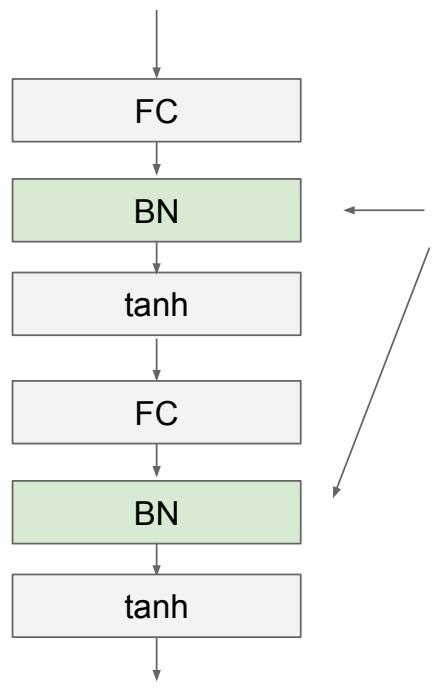
consider a batch of activations at some layer.  
To make each dimension unit gaussian, apply:

$$\hat{x}^{(k)} = \frac{x^{(k)} - \text{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

this is a vanilla  
differentiable function...

## Batch Normalization

[Ioffe and Szegedy, 2015]

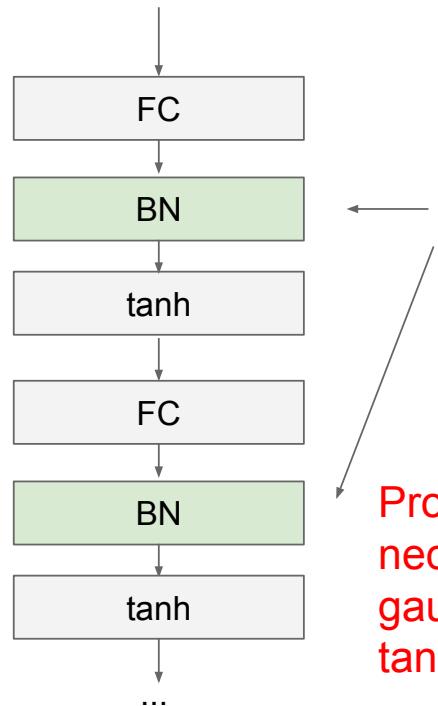


Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

$$\hat{x}^{(k)} = \frac{x^{(k)} - \text{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

## Batch Normalization

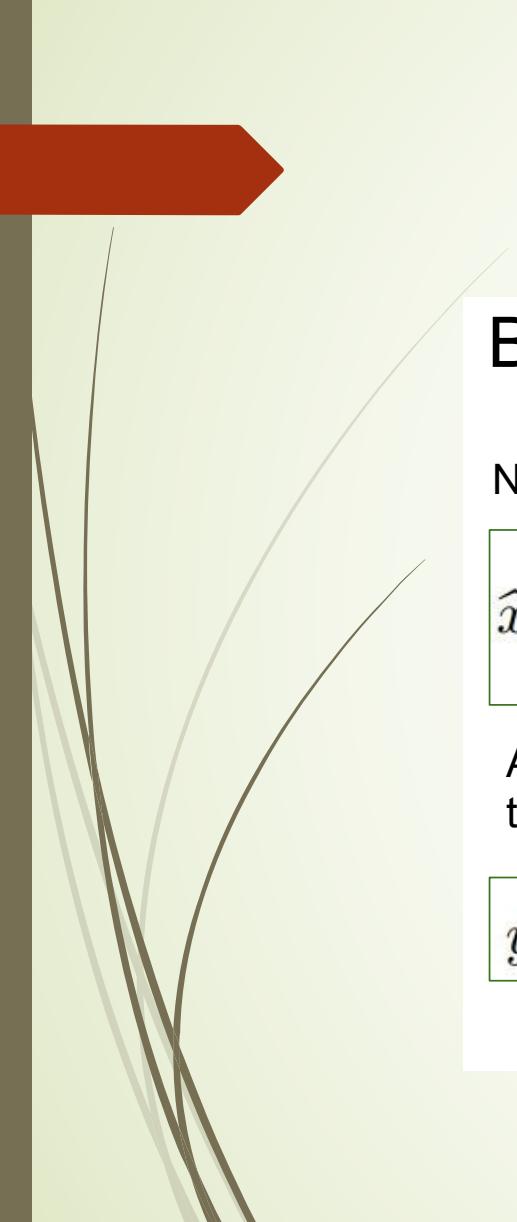
[Ioffe and Szegedy, 2015]



Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

Problem: do we necessarily want a unit gaussian input to a tanh layer?

$$\hat{x}^{(k)} = \frac{x^{(k)} - \text{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$



## Batch Normalization

[Ioffe and Szegedy, 2015]

Normalize:

$$\hat{x}^{(k)} = \frac{x^{(k)} - \text{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

And then allow the network to squash the range if it wants to:

$$y^{(k)} = \gamma^{(k)} \hat{x}^{(k)} + \beta^{(k)}$$

Note, the network can learn:

$$\gamma^{(k)} = \sqrt{\text{Var}[x^{(k)}]}$$

$$\beta^{(k)} = \text{E}[x^{(k)}]$$

to recover the identity mapping.

# Batch Normalization

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_1 \dots m\}$ ;  
Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

[Ioffe and Szegedy, 2015]

- Improves gradient flow through the network
- Allows higher learning rates
- Reduces the strong dependence on initialization
- Acts as a form of regularization in a funny way, and slightly reduces the need for dropout, maybe

# Batch Normalization

[Ioffe and Szegedy, 2015]

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_1 \dots m\}$ ;  
Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

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$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{normalize}$$

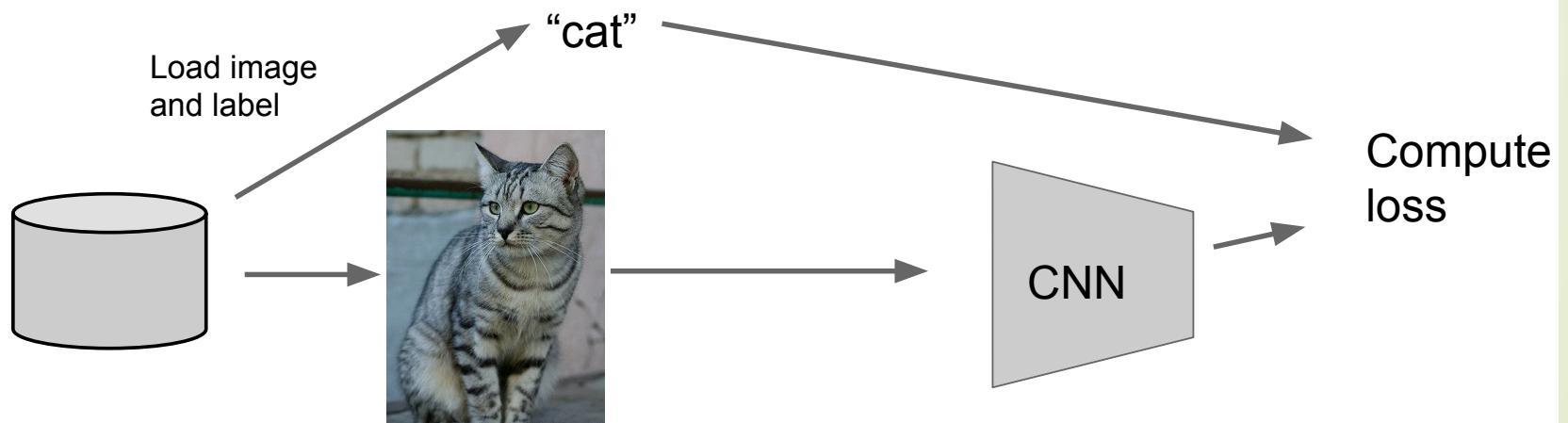
$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{scale and shift}$$

**Note: at test time BatchNorm layer functions differently:**

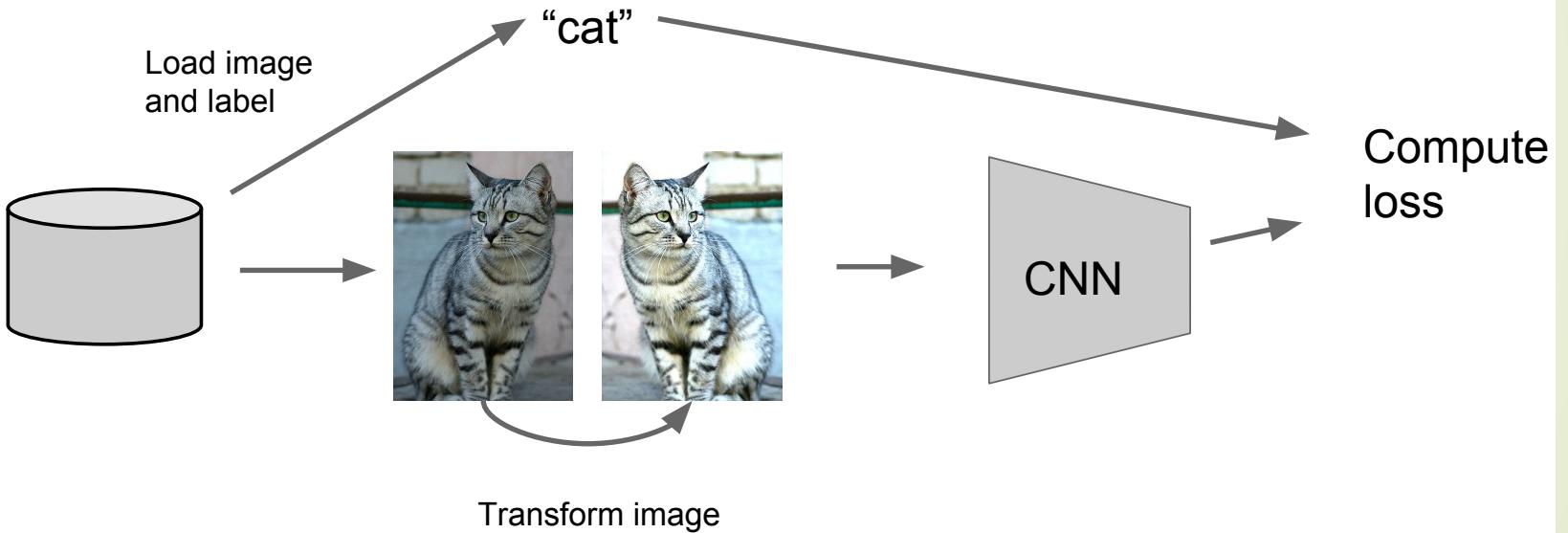
The mean/std are not computed based on the batch. Instead, a single fixed empirical mean of activations during training is used.

(e.g. can be estimated during training with running averages)

# Regularization: Data Augmentation



# Regularization: Data Augmentation





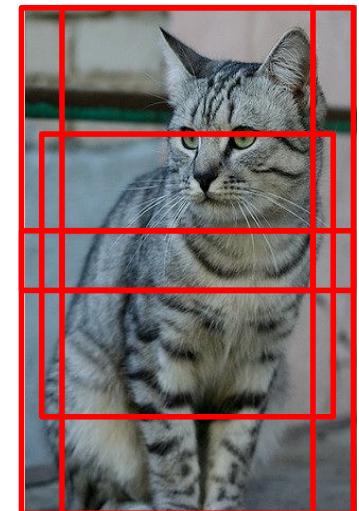
# Data Augmentation

## Random crops and scales

**Training:** sample random crops / scales

ResNet:

1. Pick random  $L$  in range [256, 480]
2. Resize training image, short side =  $L$
3. Sample random  $224 \times 224$  patch



**Testing:** average a fixed set of crops

ResNet:

1. Resize image at 5 scales: {224, 256, 384, 480, 640}
2. For each size, use 10  $224 \times 224$  crops: 4 corners + center, + flips

# Data Augmentation

## Color Jitter

Simple: Randomize  
contrast and brightness



### More Complex:

1. Apply PCA to all [R, G, B] pixels in training set
2. Sample a “color offset” along principal component directions
3. Add offset to all pixels of a training image

(As seen in *[Krizhevsky et al. 2012]*, ResNet, etc)

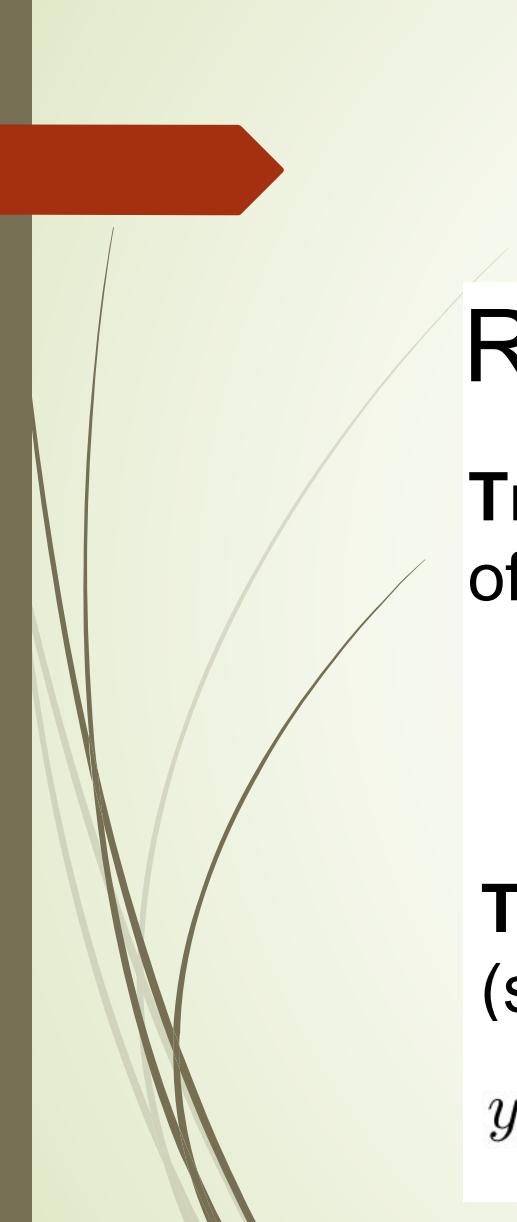


# Data Augmentation

## Get creative for your problem!

Random mix/combinations of :

- translation
- rotation
- stretching
- shearing,
- lens distortions, ... (go crazy)



# Regularization: A common pattern

**Training:** Add some kind  
of randomness

$$y = f_W(x, z)$$

**Testing:** Average out randomness  
(sometimes approximate)

$$y = f(x) = E_z[f(x, z)] = \int p(z)f(x, z)dz$$

# Regularization: A common pattern

**Training:** Add random noise

**Testing:** Marginalize over the noise

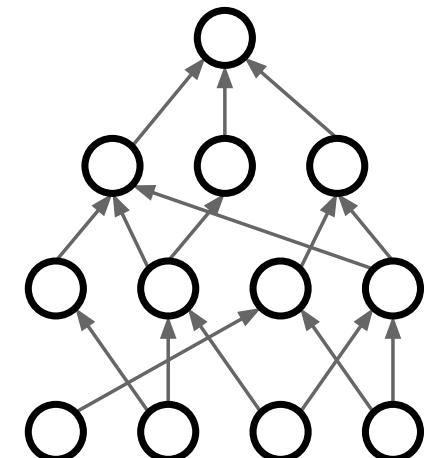
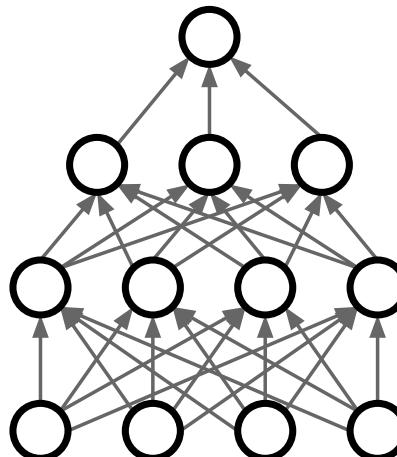
## Examples:

Dropout

Batch Normalization

Data Augmentation

DropConnect



Wan et al, "Regularization of Neural Networks using DropConnect", ICML 2013

# Regularization: A common pattern

**Training:** Add random noise

**Testing:** Marginalize over the noise

## Examples:

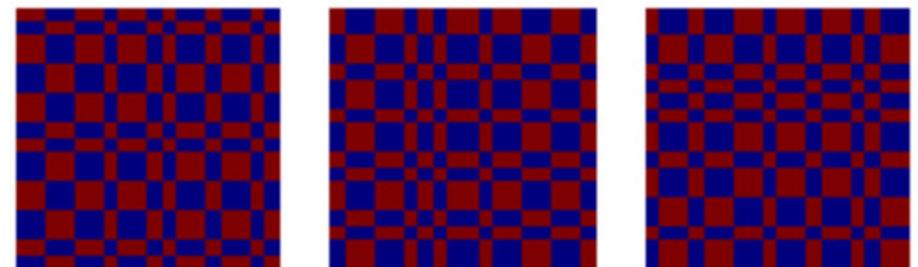
Dropout

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DropConnect

Fractional Max Pooling



Graham, "Fractional Max Pooling", arXiv 2014

# Regularization: A common pattern

**Training:** Add random noise

**Testing:** Marginalize over the noise

## Examples:

Dropout

Batch Normalization

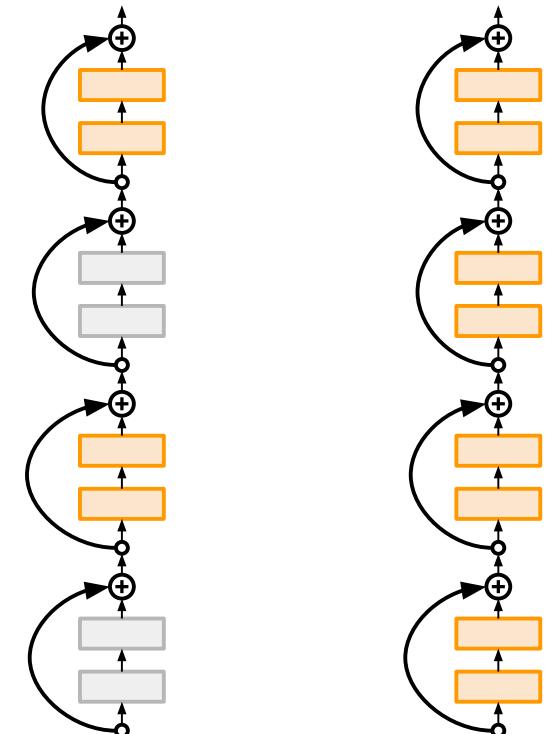
Data Augmentation

DropConnect

Fractional Max Pooling

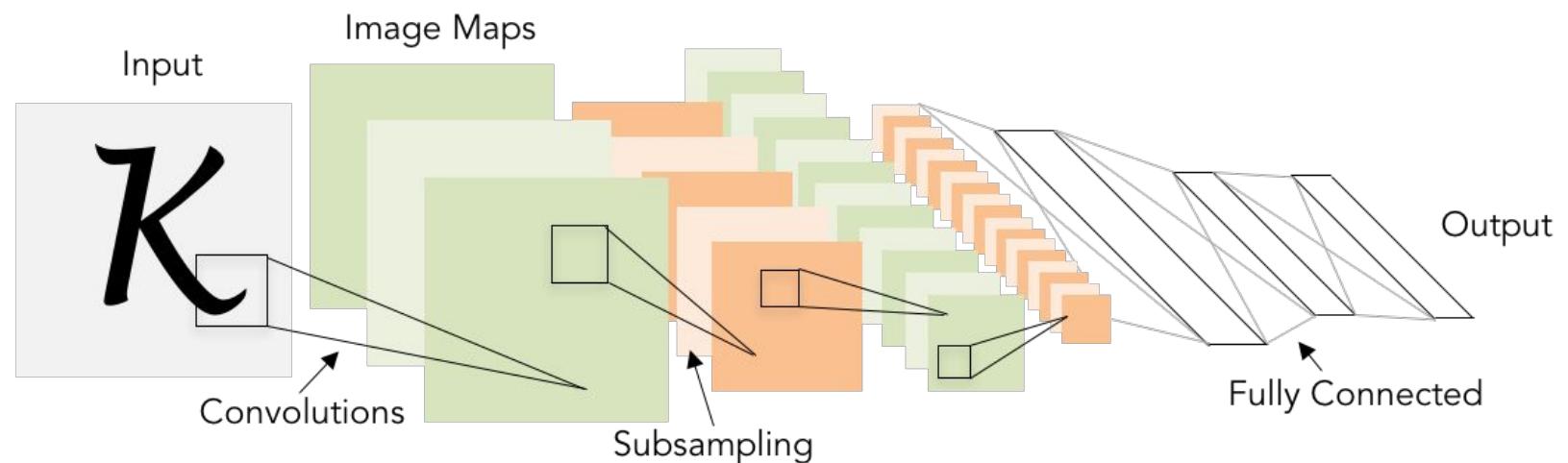
Stochastic Depth

Huang et al, "Deep Networks with Stochastic Depth", ECCV 2016



## Review: LeNet-5

[LeCun et al., 1998]



Conv filters were 5x5, applied at stride 1

Subsampling (Pooling) layers were 2x2 applied at stride 2  
i.e. architecture is [CONV-POOL-CONV-POOL-FC-FC]

# Popular Architectures

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

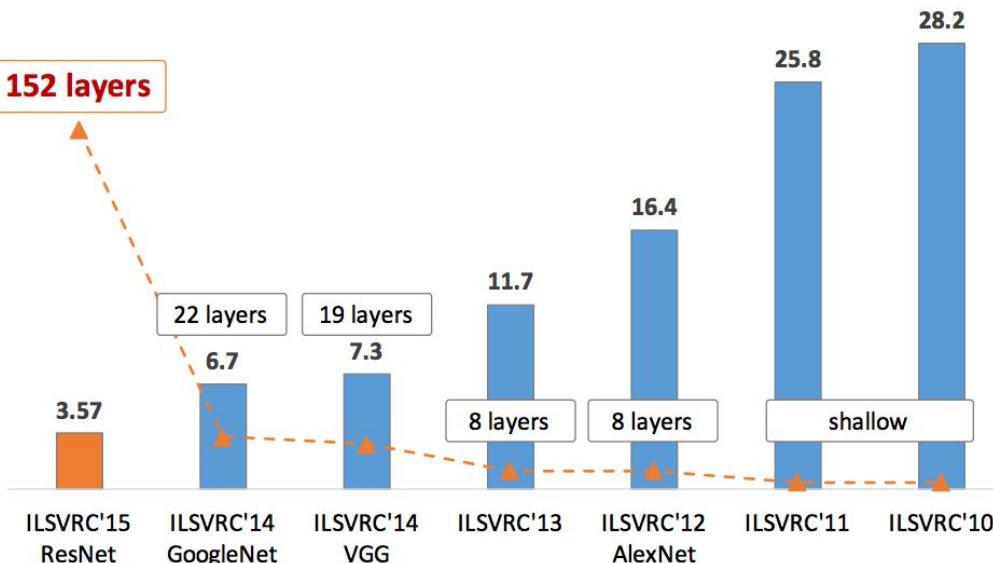


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## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

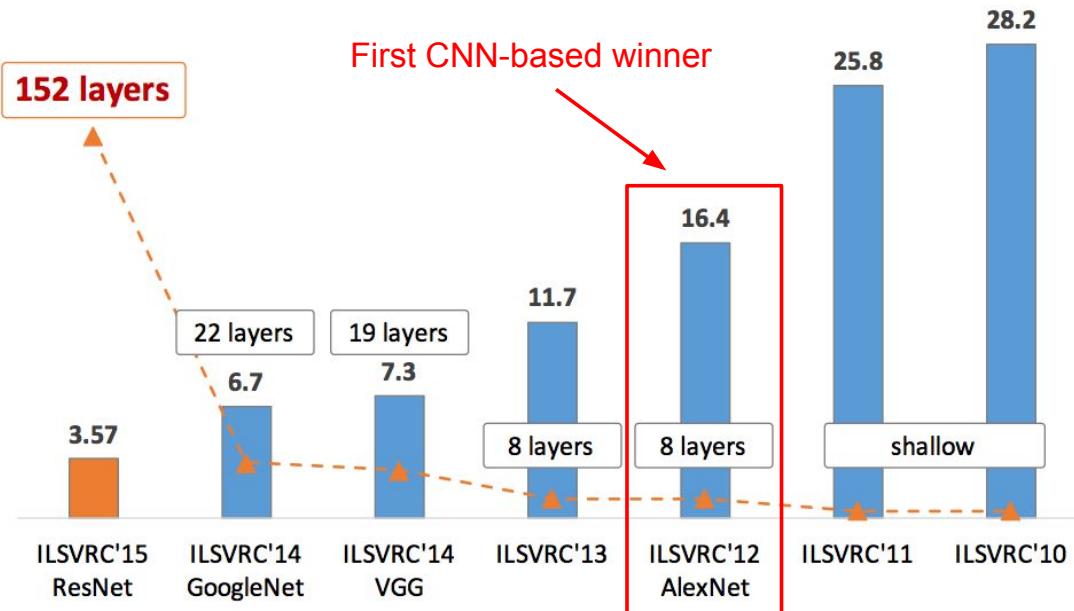


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# Case Study: AlexNet

[Krizhevsky et al. 2012]

## Architecture:

CONV1

MAX POOL1

NORM1

CONV2

MAX POOL2

NORM2

CONV3

CONV4

CONV5

Max POOL3

FC6

FC7

FC8

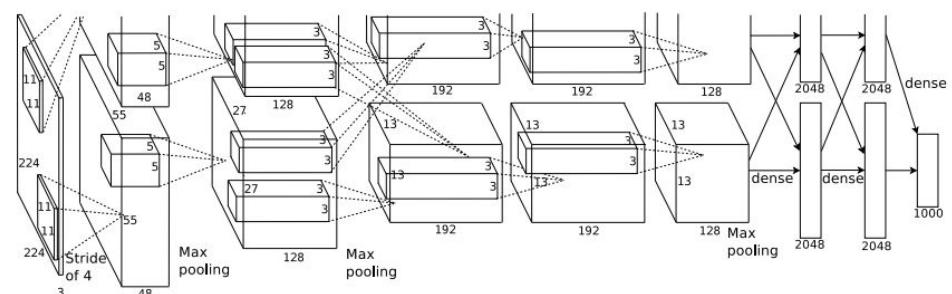


Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

# Case Study: AlexNet

[Krizhevsky et al. 2012]

Full (simplified) AlexNet architecture:

[227x227x3] INPUT

[55x55x96] CONV1: 96 11x11 filters at stride 4, pad 0

[27x27x96] MAX POOL1: 3x3 filters at stride 2

[27x27x96] NORM1: Normalization layer

[27x27x256] CONV2: 256 5x5 filters at stride 1, pad 2

[13x13x256] MAX POOL2: 3x3 filters at stride 2

[13x13x256] NORM2: Normalization layer

[13x13x384] CONV3: 384 3x3 filters at stride 1, pad 1

[13x13x384] CONV4: 384 3x3 filters at stride 1, pad 1

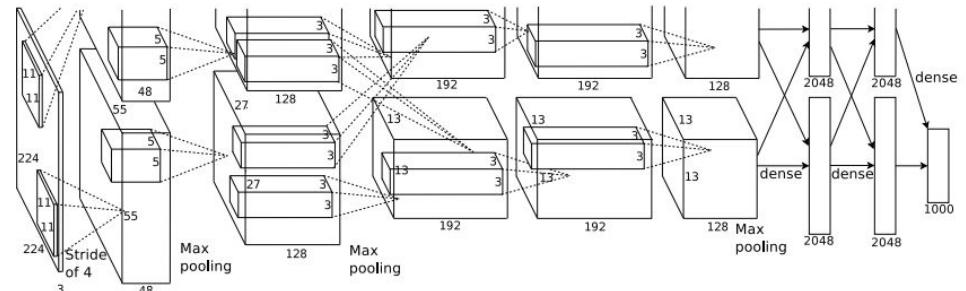
[13x13x256] CONV5: 256 3x3 filters at stride 1, pad 1

[6x6x256] MAX POOL3: 3x3 filters at stride 2

[4096] FC6: 4096 neurons

[4096] FC7: 4096 neurons

[1000] FC8: 1000 neurons (class scores)



## Details/Retrospectives:

- first use of ReLU
- used Norm layers (not common anymore)
- heavy data augmentation
- dropout 0.5
- batch size 128
- SGD Momentum 0.9
- Learning rate 1e-2, reduced by 10 manually when val accuracy plateaus
- L2 weight decay 5e-4
- 7 CNN ensemble: 18.2% -> 15.4%

Figure copyright Alex Krizhevsky, Ilya Sutskever, and Geoffrey Hinton, 2012. Reproduced with permission.

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

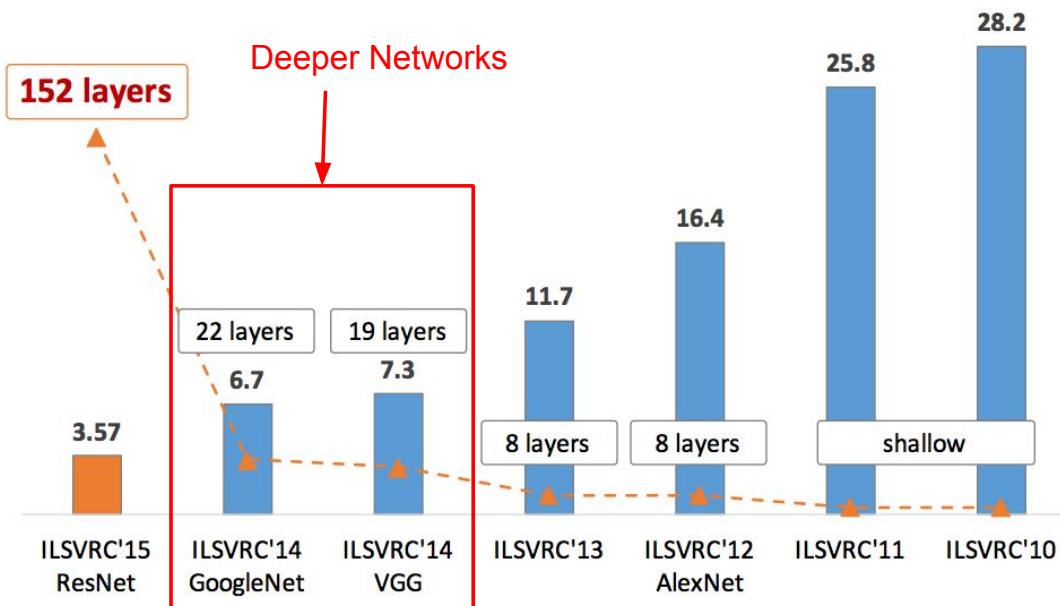


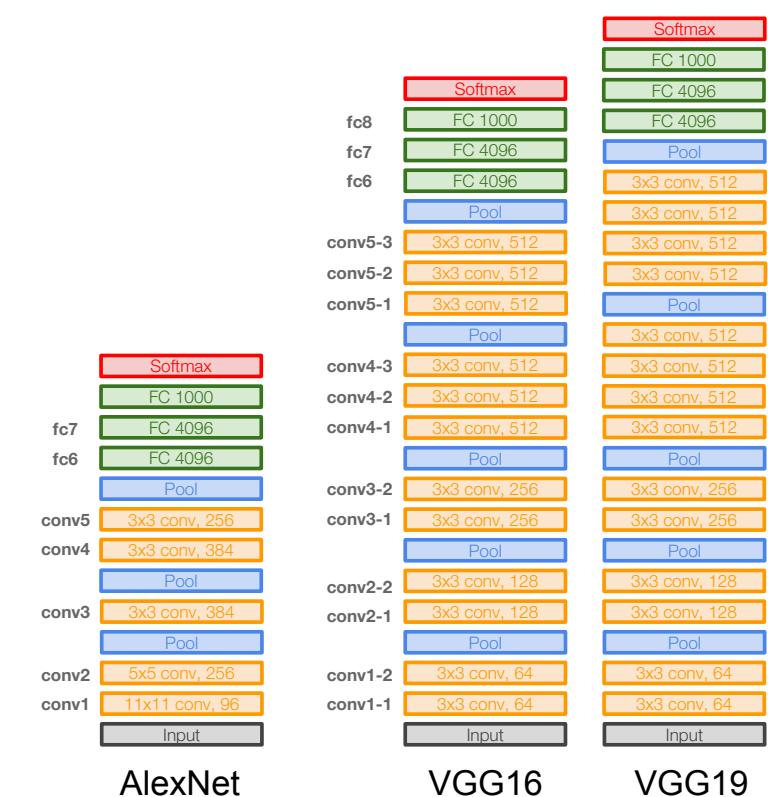
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# Case Study: VGGNet

[Simonyan and Zisserman, 2014]

## Details:

- ILSVRC'14 2nd in classification, 1st in localization
- Similar training procedure as Krizhevsky 2012
- No Local Response Normalisation (LRN)
- Use VGG16 or VGG19 (VGG19 only slightly better, more memory)
- Use ensembles for best results
- FC7 features generalize well to other tasks



# Case Study: VGGNet

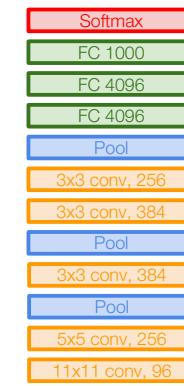
[Simonyan and Zisserman, 2014]

Small filters, Deeper networks

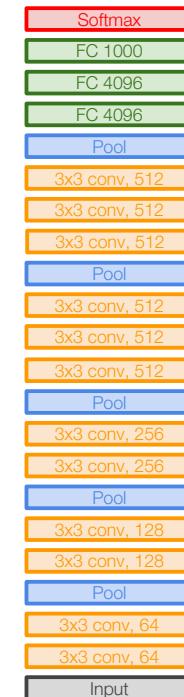
8 layers (AlexNet)  
-> 16 - 19 layers (VGG16Net)

Only 3x3 CONV stride 1, pad 1  
and 2x2 MAX POOL stride 2

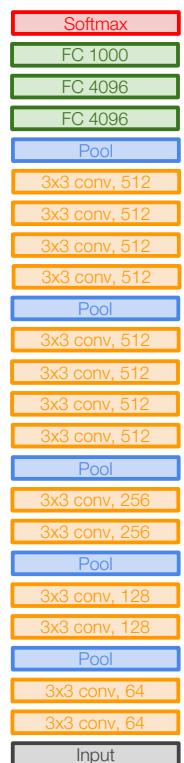
11.7% top 5 error in ILSVRC'13  
(ZFNet)  
-> 7.3% top 5 error in ILSVRC'14



AlexNet



VGG16



VGG19

## Case Study: VGGNet

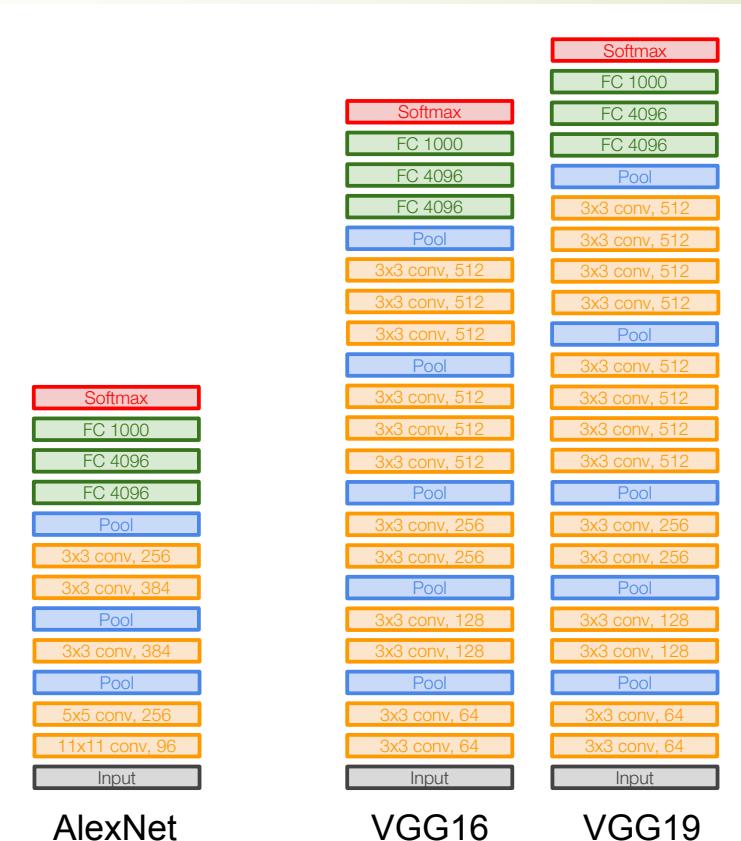
[Simonyan and Zisserman, 2014]

Q: Why use smaller filters? (3x3 conv)

Stack of three  $3 \times 3$  conv (stride 1) layers  
has same **effective receptive field** as  
one  $7 \times 7$  conv layer

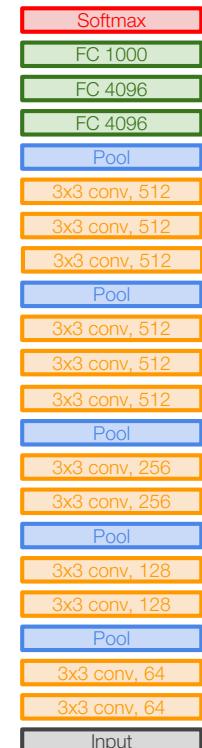
But deeper, more non-linearities

And fewer parameters:  $3 * (3^2 C^2)$  vs.  $7^2 C^2$  for C channels per layer



INPUT: [224x224x3] memory:  $224 \times 224 \times 3 = 150K$  params: 0 (not counting biases)  
 CONV3-64: [224x224x64] memory:  $224 \times 224 \times 64 = 3.2M$  params:  $(3 \times 3 \times 3) \times 64 = 1,728$   
 CONV3-64: [224x224x64] memory:  $224 \times 224 \times 64 = 3.2M$  params:  $(3 \times 3 \times 64) \times 64 = 36,864$   
 POOL2: [112x112x64] memory:  $112 \times 112 \times 64 = 800K$  params: 0  
 CONV3-128: [112x112x128] memory:  $112 \times 112 \times 128 = 1.6M$  params:  $(3 \times 3 \times 64) \times 128 = 73,728$   
 CONV3-128: [112x112x128] memory:  $112 \times 112 \times 128 = 1.6M$  params:  $(3 \times 3 \times 128) \times 128 = 147,456$   
 POOL2: [56x56x128] memory:  $56 \times 56 \times 128 = 400K$  params: 0  
 CONV3-256: [56x56x256] memory:  $56 \times 56 \times 256 = 800K$  params:  $(3 \times 3 \times 128) \times 256 = 294,912$   
 CONV3-256: [56x56x256] memory:  $56 \times 56 \times 256 = 800K$  params:  $(3 \times 3 \times 256) \times 256 = 589,824$   
 CONV3-256: [56x56x256] memory:  $56 \times 56 \times 256 = 800K$  params:  $(3 \times 3 \times 256) \times 256 = 589,824$   
 POOL2: [28x28x256] memory:  $28 \times 28 \times 256 = 200K$  params: 0  
 CONV3-512: [28x28x512] memory:  $28 \times 28 \times 512 = 400K$  params:  $(3 \times 3 \times 256) \times 512 = 1,179,648$   
 CONV3-512: [28x28x512] memory:  $28 \times 28 \times 512 = 400K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$   
 CONV3-512: [28x28x512] memory:  $28 \times 28 \times 512 = 400K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$   
 POOL2: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params: 0  
 CONV3-512: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$   
 CONV3-512: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$   
 CONV3-512: [14x14x512] memory:  $14 \times 14 \times 512 = 100K$  params:  $(3 \times 3 \times 512) \times 512 = 2,359,296$   
 POOL2: [7x7x512] memory:  $7 \times 7 \times 512 = 25K$  params: 0  
 FC: [1x1x4096] memory: 4096 params:  $7 \times 7 \times 512 \times 4096 = 102,760,448$   
 FC: [1x1x4096] memory: 4096 params:  $4096 \times 4096 = 16,777,216$   
 FC: [1x1x1000] memory: 1000 params:  $4096 \times 1000 = 4,096,000$

**TOTAL** memory: 24M \* 4 bytes  $\approx$  96MB / image (only forward!  $\sim 2$  for bwd)  
**TOTAL** params: 138M parameters



VGG16

## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

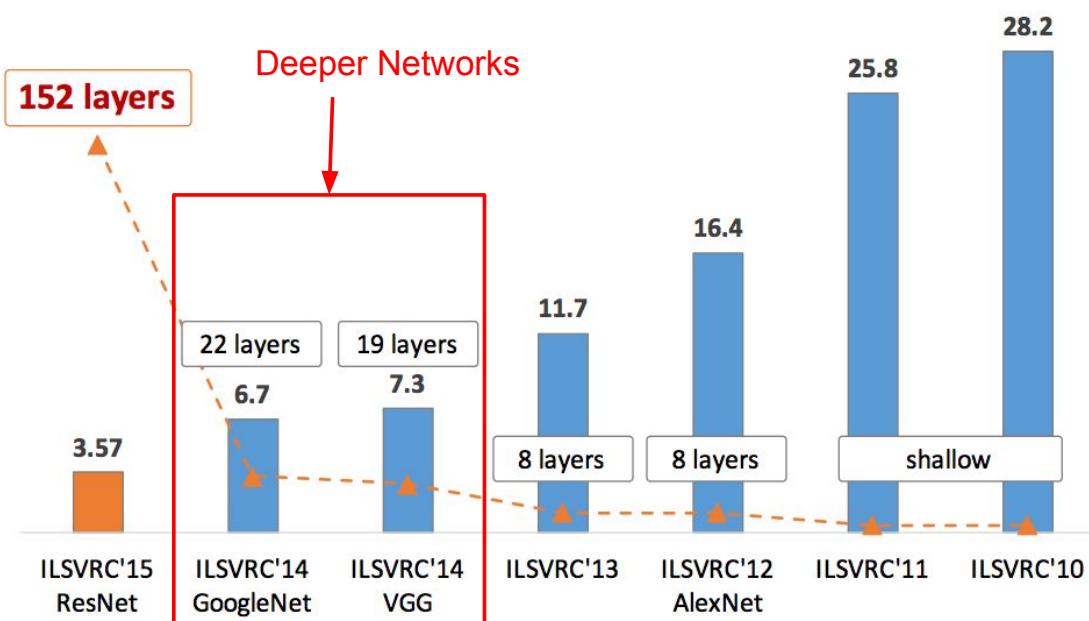


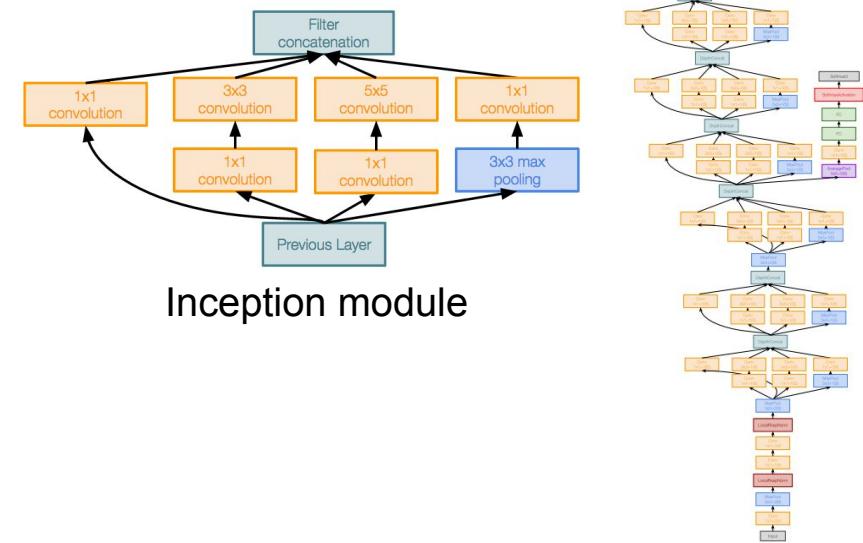
Figure copyright Kaiming He, 2016. Reproduced with permission.

# Case Study: GoogLeNet

[Szegedy et al., 2014]

Deeper networks, with computational efficiency

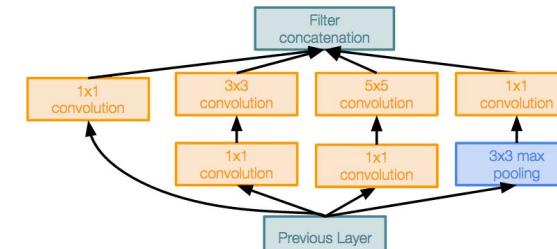
- 22 layers
- Efficient “Inception” module
- No FC layers
- Only 5 million parameters!  
12x less than AlexNet
- ILSVRC’14 classification winner  
(6.7% top 5 error)



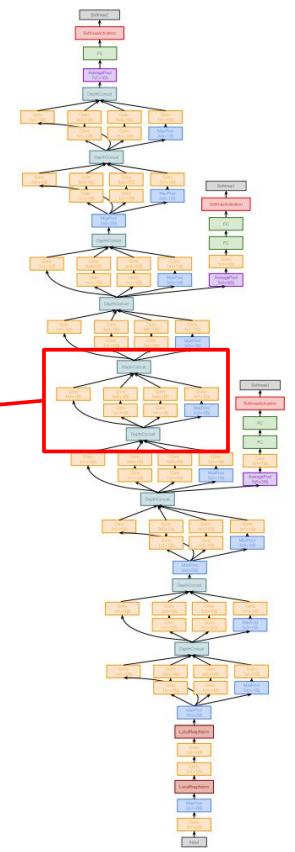
# Case Study: GoogLeNet

[Szegedy et al., 2014]

“Inception module”: design a good local network topology (network within a network) and then stack these modules on top of each other



Inception module



## ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners

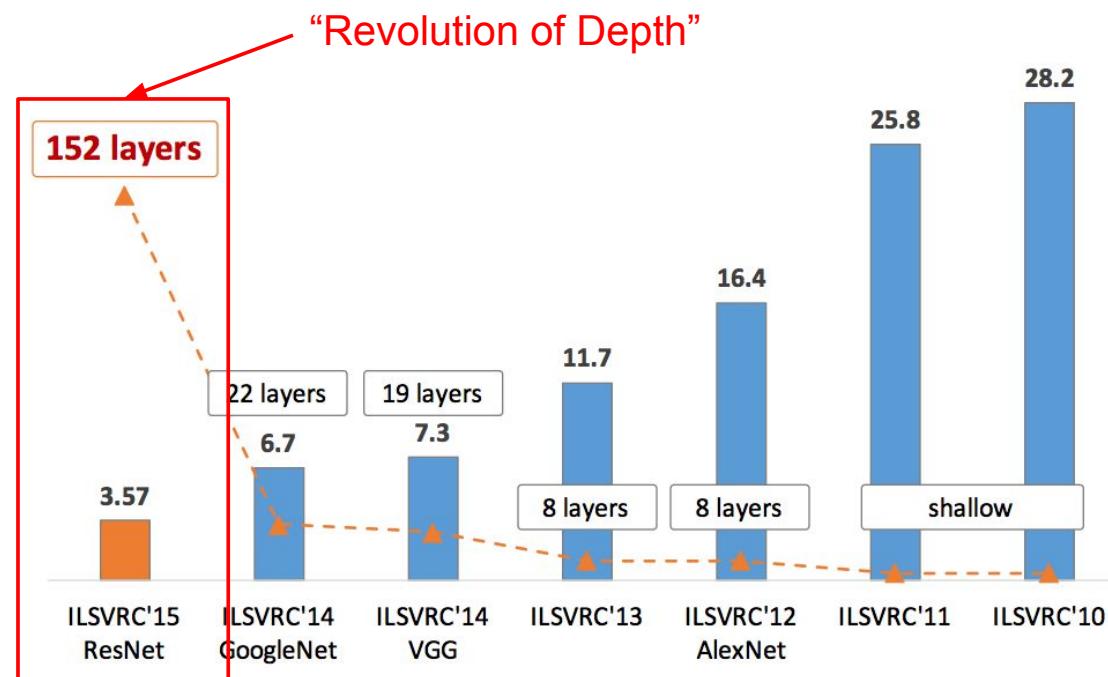


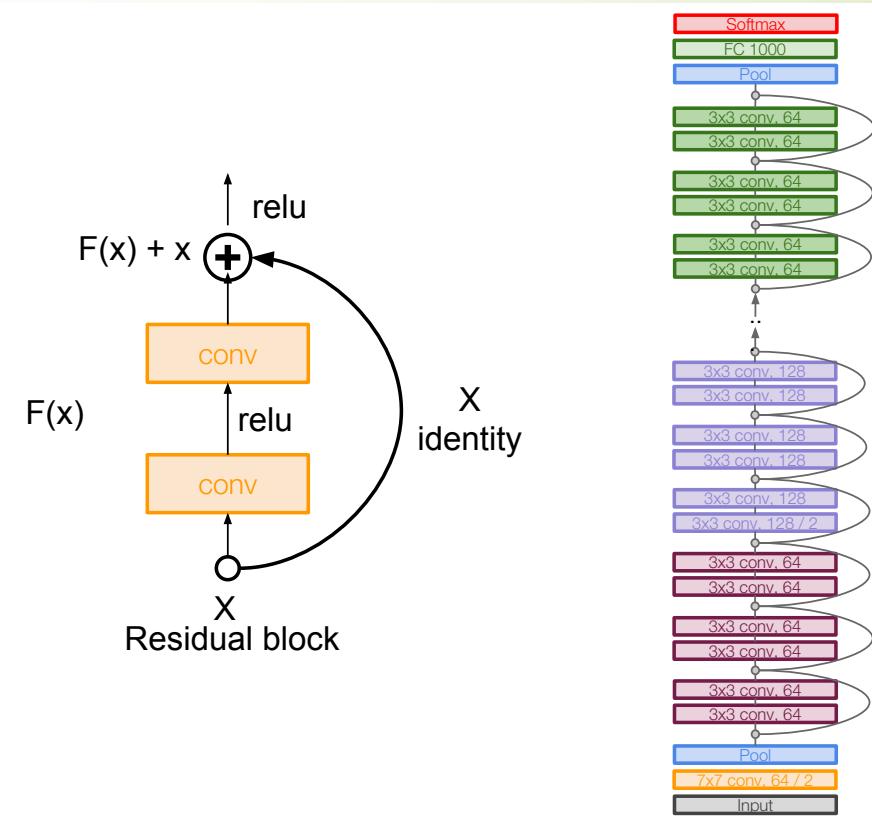
Figure copyright Kaiming He, 2016. Reproduced with permission.

# Case Study: ResNet

[He et al., 2015]

Very deep networks using residual connections

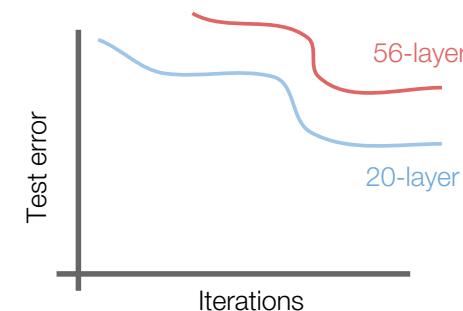
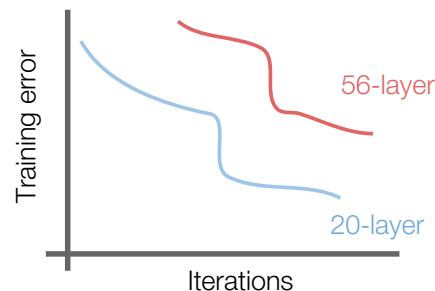
- 152-layer model for ImageNet
- ILSVRC'15 classification winner (3.57% top 5 error)
- Swept all classification and detection competitions in ILSVRC'15 and COCO'15!



## Case Study: ResNet

[He et al., 2015]

What happens when we continue stacking deeper layers on a “plain” convolutional neural network?



56-layer model performs worse on both training and test error  
-> The deeper model performs worse, but it's not caused by overfitting!



## Case Study: ResNet

[He et al., 2015]

Hypothesis: the problem is an *optimization* problem, deeper models are harder to optimize

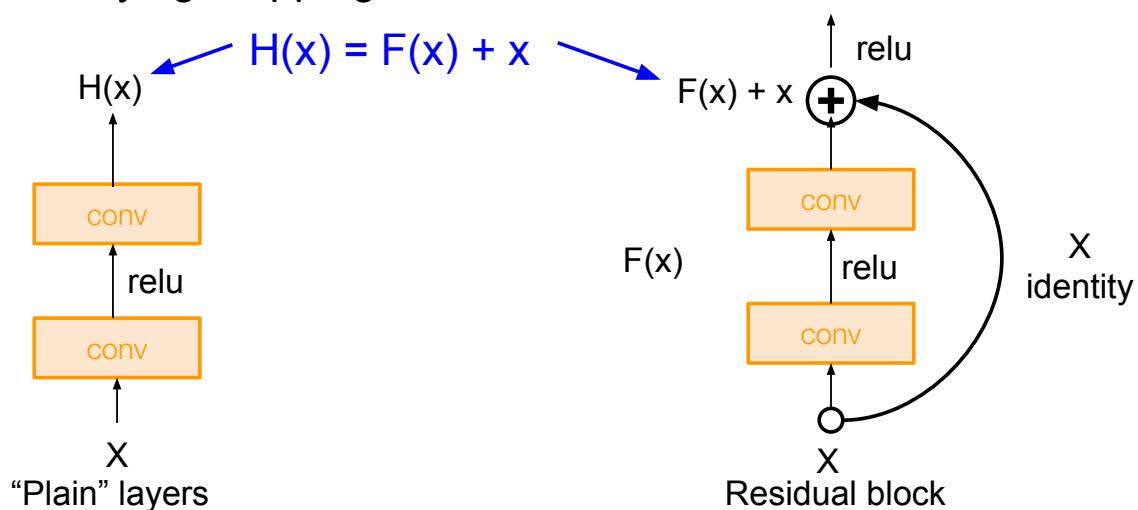
The deeper model should be able to perform at least as well as the shallower model.

A solution by construction is copying the learned layers from the shallower model and setting additional layers to identity mapping.

# Case Study: ResNet

[He et al., 2015]

Solution: Use network layers to fit a residual mapping instead of directly trying to fit a desired underlying mapping

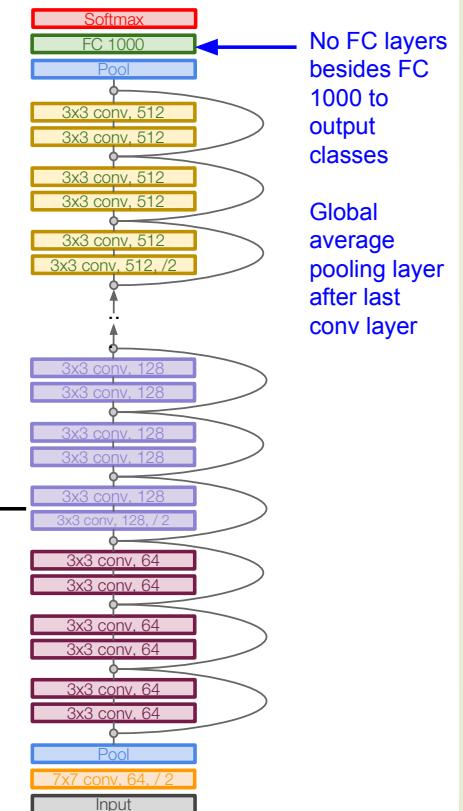
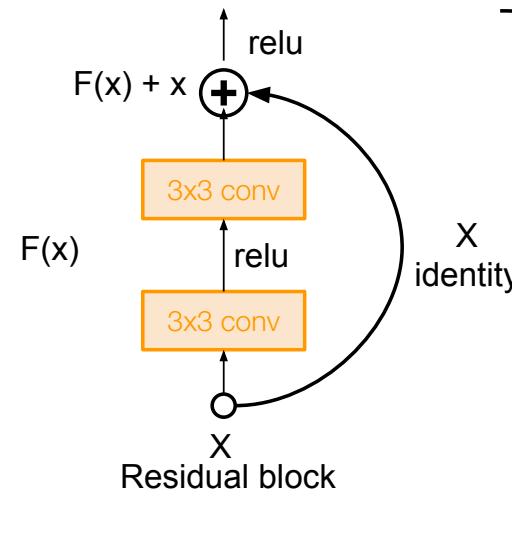


# Case Study: ResNet

[He et al., 2015]

Full ResNet architecture:

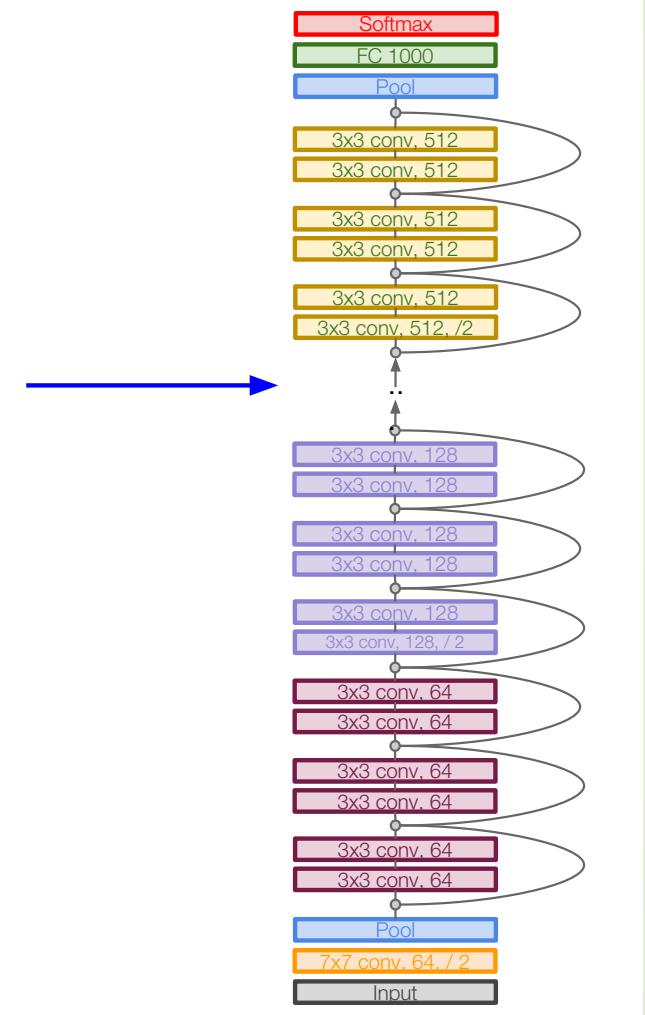
- Stack residual blocks
- Every residual block has two 3x3 conv layers
- Periodically, double # of filters and downsample spatially using stride 2 (/2 in each dimension)
- Additional conv layer at the beginning
- No FC layers at the end (only FC 1000 to output classes)



# Case Study: ResNet

[He et al., 2015]

Total depths of 34, 50, 101, or  
152 layers for ImageNet



# Case Study: ResNet

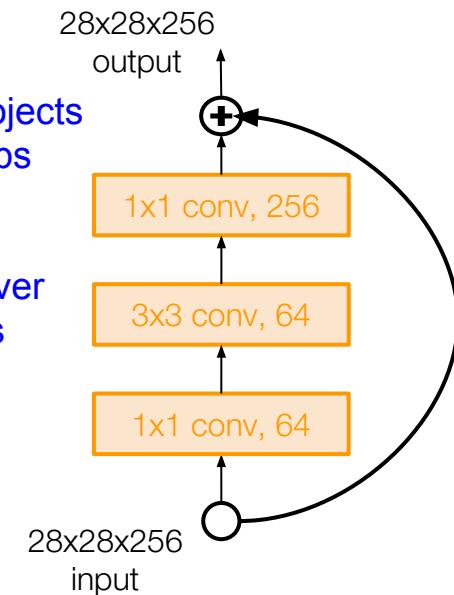
[He et al., 2015]

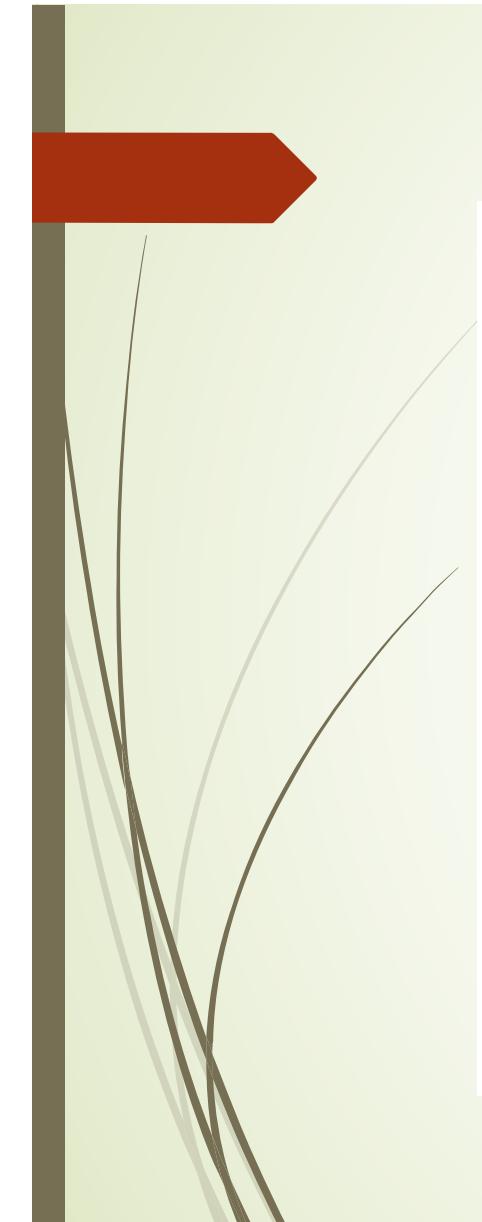
For deeper networks  
(ResNet-50+), use “bottleneck”  
layer to improve efficiency  
(similar to GoogLeNet)

1x1 conv, 256 filters projects  
back to 256 feature maps  
(28x28x256)

3x3 conv operates over  
only 64 feature maps

1x1 conv, 64 filters  
to project to  
28x28x64





# Case Study: ResNet

*[He et al., 2015]*

Training ResNet in practice:

- Batch Normalization after every CONV layer
- Xavier/2 initialization from He et al.
- SGD + Momentum (0.9)
- Learning rate: 0.1, divided by 10 when validation error plateaus
- Mini-batch size 256
- Weight decay of 1e-5
- No dropout used

# Case Study: ResNet

[He et al., 2015]

## Experimental Results

- Able to train very deep networks without degrading (152 layers on ImageNet, 1202 on Cifar)
- Deeper networks now achieve lower training error as expected
- Swept 1st place in all ILSVRC and COCO 2015 competitions

## MSRA @ ILSVRC & COCO 2015 Competitions

- **1st places** in all five main tracks
  - ImageNet Classification: “Ultra-deep” (quote Yann) **152-layer** nets
  - ImageNet Detection: **16%** better than 2nd
  - ImageNet Localization: **27%** better than 2nd
  - COCO Detection: **11%** better than 2nd
  - COCO Segmentation: **12%** better than 2nd

ILSVRC 2015 classification winner (3.6% top 5 error) -- better than “human performance”! (Russakovsky 2014)

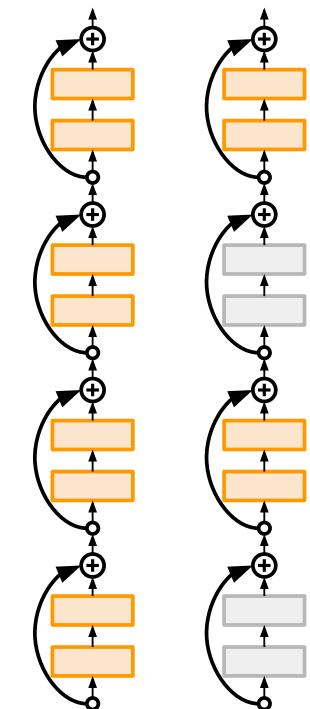


Improving ResNets...

## Deep Networks with Stochastic Depth

[Huang et al. 2016]

- Motivation: reduce vanishing gradients and training time through short networks during training
- Randomly drop a subset of layers during each training pass
- Bypass with identity function
- Use full deep network at test time

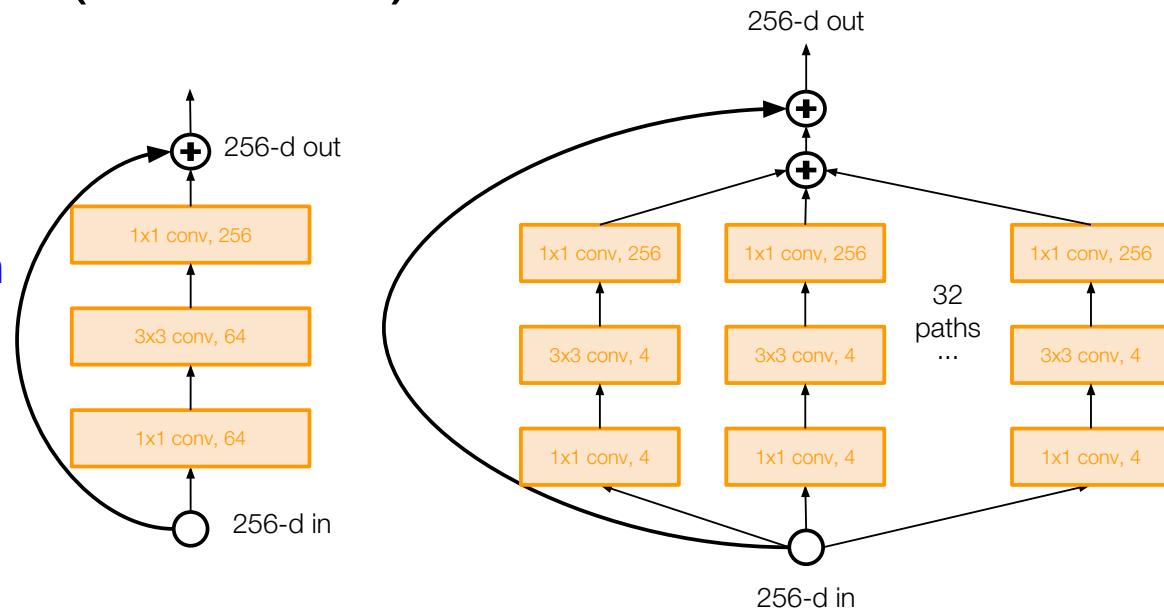


Improving ResNets...

# Aggregated Residual Transformations for Deep Neural Networks (ResNeXt)

[Xie et al. 2016]

- Also from creators of ResNet
- Increases width of residual block through multiple parallel pathways (“cardinality”)
- Parallel pathways similar in spirit to Inception module



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Thank you!

