



# 2018 Technical Appendix

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## 2018 Environmental Performance Index

### Technical Appendix

This technical appendix is a companion document to the 2018 Environmental Performance Index (EPI) Report. It contains additional details about the methods used in the 2018 EPI. Along with the files available online, the purpose of this technical appendix is to provide all information necessary for fully replicating the analysis. Throughout this appendix *TLA* is used to refer to the three letter abbreviations of the input data sources and resulting indicators.

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## 1. Indicator & Data Overview

**Table TA-1.** Organization of the 2018 EPI, with three-letter abbreviations (TLAs), weights (Wt.) within each level of aggregation, and page numbers on which indicators are described.

Policy Objective	Issue Category	TLA	Wt.	Indicator	TLA	Wt.	Page
Environmental Health HLT (40%)	Air Quality	AIR	65%	Household Solid Fuels	HAD	40%	5
				PM <sub>2.5</sub> Exposure	PME	30%	6
				PM <sub>2.5</sub> Exceedance	PMW	30%	7
	Water & Sanitation	H2O	30%	Drinking Water	UWD	50%	9
				Sanitation	USD	50%	10
	Heavy Metals	HMT	5%	Lead Exposure	PBD	100%	11
	Biodiversity & Habitat	BDH	25%	Marine Protected Areas	MPA	20%	12
				Biome Protection (National)	TBN	20%	13
				Biome Protection (Global)	TBG	20%	15
				Species Protection Index	SPI	20%	17
				Representativeness Index	PAR	10%	18
				Species Habitat Index	SHI	10%	19
Ecosystem Vitality ECO (60%)	Forests	FOR	10%	Tree Cover Loss	TCL	100%	20
	Fisheries	FSH	10%	Fish Stock Status	FSS	50%	21
				Regional Marine Trophic Index	MTR	50%	23
	Climate & Energy	CCE	30%	CO <sub>2</sub> Emissions – Total	DCT	50%	29
				CO <sub>2</sub> Emissions – Power	DPT	20%	30
				Methane Emissions	DMT	20%	31
				N <sub>2</sub> O Emissions	DNT	5%	32
				Black Carbon Emissions	DBT	5%	33
	Air Pollution	APE	10%	SO <sub>2</sub> Emissions	DST	50%	34
				NO <sub>x</sub> Emissions	DXT	50%	35
	Water Resources	WRS	10%	Wastewater Treatment	WWT	100%	36
	Agriculture	AGR	5%	Sustainable Nitrogen Management	SNM	100%	38

**Table TA-2.** Abbreviations for data used in the construction of indicators with page numbers for data sources.

Indicator	TLA	Dataset	TLA	Page
Household Solid Fuels	HAD	DALY rate – Household Solid Fuels	HAD	50
PM <sub>2.5</sub> Exposure	PME	Ambient PM <sub>2.5</sub> concentrations	PMC	55
PM <sub>2.5</sub> Exceedance	PMW	Population distribution	PDS	55
Drinking Water	UWD	DALY rate – Unsafe Drinking Water	UWD	61
Sanitation	USD	DALY rate – Unsafe sanitation	USD	60
Lead Exposure	PBD	DALY rate – Lead exposure	PBD	54
Marine Protected Areas	MPA	Marine Protected Areas Economic Exclusion Zones	AMP EEZ	42 47
Biome Protection (Nat'l)	TBN	Terrestrial Ecoregions of the World	TEW	59
Biome Protection (Global)	TBG	Terrestrial Protected Areas	TPA	59
Species Protection Index	SPI	Species Protection Index	SPI	59
Representativeness Index	PAR	Protected Area Rep. Index	PAR	53
Species Habitat Index	SHI	Species Habitat Index	SHI	57
Tree Cover Loss	TCL	Forested land area Annual loss of forested land	FOR ATL	47 42
Fish Stock Status	FSS	Fish stock class Fish catch	FSC CTH	48 47
Regional Marine Trophic Index	MTR	Regional Marine Trophic Index Areas of EEZs	RMT AEZ	57 42
CO <sub>2</sub> Emissions – Total	DCT	CO <sub>2</sub> emissions	CDT	44
CO <sub>2</sub> Emissions – Power	DPT	CO <sub>2</sub> emissions / kWh elect. & heat	CEH	45
Methane Emissions	DMT	CH <sub>4</sub> emissions	CH4	46
N <sub>2</sub> O Emissions	DNT	N <sub>2</sub> O emissions	NOT	51
Black Carbon Emissions	DBT	Black Carbon emissions	BLC	43
SO <sub>2</sub> Emissions	DST	SO <sub>2</sub> emissions	SO2	58
NO <sub>x</sub> Emissions	DXT	NO <sub>x</sub> emissions Gross Domestic Product Population	NOX GDP POP	52 49 56
Wastewater Treatment	WWT	Wastewater treated Connection Rate	WST CXN	62 62
Sustainable Nitrogen Management Index	SNM	Agricultural land Permanent cropland Sustainable Nitrogen Mgmt. Index	LND PRM SNM	50 56 58

## 2. Indicator Construction

We undertake a number of steps to prepare the data for the EPI. This section describes how the data are used to construct the 24 metrics of the 2018 EPI. On the following pages, you will see each metric described according to the following template.

### **TLA : Indicator / Issue Category / Policy Objective**

Short description of the indicator.

**Units** Units of the raw data

**Countries** Number of countries covered by the raw data

**Years** Years for which raw data are available

**Source** Organization

**Transformation** Whether the normalized data had to be transformed

**Targets** Basis for selection of targets

Performance	Nominal	Raw	Transformed
Best	Value or percentile	Value	Transformed value
Worst	Value or percentile	Value	Transformed value

### **Calculations**

If any calculations were required, they are described here.

### **Imputations**

If any imputation was required, it is described here.

### **Note**

Any additional information that would be helpful for understanding indicator construction.

Due to the variety of data sources, not every field is applicable to every indicator. Each entry below provides the fullest account possible.

**HAD : Household Solid Fuels / Air Quality / Environmental Health**

Measures the actual outcomes from exposure to indoor air pollution from household use of solid fuels.

**Units** Age-standardized Disability-Adjusted Life Years lost per 100,000 persons, or the DALY rate

**Countries** 195

**Years** 2000, 2005, 2010, 2016

**Source** Institute for Health Metrics and Evaluation

**Transformation**  $\ln(x)$

**Targets** Based on observed data

Performance	Nominal	Raw	Transformed
Best	5 <sup>th</sup> -percentile	3.43	1.23
Worst	95 <sup>th</sup> -percentile	5,698.97	8.65

**Calculations**

*none*

## PME : PM<sub>2.5</sub> Exposure / Air Quality / Environmental Health

Measures the average annual concentration of PM<sub>2.5</sub> to which the typical citizen of each country is exposed.

<b>Units</b>	$\mu\text{g}/\text{m}^3$
<b>Countries</b>	228
<b>Years</b>	2008–2015
<b>Transformation</b>	$\ln(x)$
<b>Targets</b>	World Health Organization guidelines

Performance	Nominal	Raw	Transformed
Best	10 $\mu\text{g}/\text{m}^3$	10.00	2.30
Worst	99 <sup>th</sup> -percentile	44.44	3.79

## Calculations

Component		Units	Source
PMC	Ambient PM <sub>2.5</sub> concentrations	$\mu\text{g}/\text{m}^3$	van Donkelaar <i>et al.</i> , 2016
PDS	Population distribution	persons	CIESIN, 2016

$$PMC \cap PDS \rightarrow PME$$

PDS (Gridded Population of the World) was resampled at the same 10 x 10 km spatial resolution as the PMC (Annual global surface PM<sub>2.5</sub> concentrations), and the fraction of country population in each grid cell was calculated. The fraction of country population is multiplied times the PM<sub>2.5</sub> concentrations in each grid cell, and the result is summed over the entire country to create a population-weighted ambient concentrations of PM<sub>2.5</sub>.

## **PMW : PM<sub>2.5</sub> Exceedance / Air Quality / Environmental Health**

Measures the weighted percentage of a country's population exposed to annual concentrations of PM<sub>2.5</sub> that exceed WHO guidelines at four different levels: 10, 15, 25, and 35 µg/m<sup>3</sup>. Higher concentration levels are given higher weights in the averaging process.

<b>Units</b>	% of population
<b>Countries</b>	228
<b>Years</b>	2008–2015
<b>Transformation</b>	none
<b>Targets</b>	World Health Organization guidelines

Performance	Nominal	Raw
Best	0	0.00
Worst	99 <sup>th</sup> -percentile	86.96

## **Calculations**

Component		Units	Source
PMC	Ambient PM <sub>2.5</sub> concentrations	µg/m <sup>3</sup>	van Donkelaar <i>et al.</i> , 2016
PDS	Population distribution	persons	CIESIN, 2016
PM1	% of population [PM <sub>2.5</sub> ] > 10 µg/m <sup>3</sup>	%	
PM2	% of population [PM <sub>2.5</sub> ] > 15 µg/m <sup>3</sup>	%	
PM3	% of population [PM <sub>2.5</sub> ] > 25 µg/m <sup>3</sup>	%	
PM4	% of population [PM <sub>2.5</sub> ] > 35 µg/m <sup>3</sup>	%	

$$PMC \cap PDS \rightarrow \{PM1, PM2, PM3, PM4\}$$

PDS (Gridded Population of the World) was intersected with spatial masks representing the four different concentration levels of PMC (annual global surface PM<sub>2.5</sub> concentrations), and the population within the areas above the respective thresholds was summed for each country. The population within the areas of exceedance was then divided by the country population to arrive at the percentage of population in areas exceeding each threshold.

The calculation of the percent of the population exposed to different levels of PM<sub>2.5</sub> is given by the following weighted average.

$$PMW = 0.1 \times PM1 + 0.2 \times PM2 + 0.3 \times PM3 + 0.4 \times PM4$$

**UWD : Drinking Water / Water & Sanitation / Environmental Health**

Measures the actual outcomes from lack of access or use of improved sources of drinking water.

**Units** Age-standardized Disability-Adjusted Life Years lost per 100,000 persons, or the DALY rate

**Countries** 195

**Years** 2000, 2005, 2010, 2016

**Source** Institute for Health Metrics and Evaluation

**Transformation**  $\ln(x)$

**Targets** Based on observed data

Performance	Nominal	Raw	Transformed
Best	5 <sup>th</sup> -percentile	3.41	1.23
Worst	95 <sup>th</sup> -percentile	4,749.82	8.47

**Calculations**

*none*

**USD : Sanitation / Water & Sanitation / Environmental Health**

Measures the actual outcomes from lack of access or use of improved sanitation facilities.

<b>Units</b>	Age-standardized Disability-Adjusted Life Years lost per 100,000 persons, or the DALY rate
<b>Countries</b>	195
<b>Years</b>	2000, 2005, 2010, 2016
<b>Source</b>	Institute for Health Metrics and Evaluation
<b>Transformation</b>	$\ln(x)$
<b>Targets</b>	Based on observed data

Performance	Nominal	Raw	Transformed
Best	5 <sup>th</sup> -percentile	1.52	0.42
Worst	95 <sup>th</sup> -percentile	4,163.21	8.33

**Calculations**

*none*

**PBD : Lead exposure / Heavy Metals / Environmental Health**

Measures the actual outcomes from lead exposure.

<b>Units</b>	Age-standardized Disability-Adjusted Life Years lost per 100,000 persons, or the DALY rate
<b>Countries</b>	195
<b>Years</b>	2000, 2005, 2010, 2016
<b>Source</b>	Institute for Health Metrics and Evaluation
<b>Transformation</b>	$\ln(x)$
<b>Targets</b>	Based on observed data

Performance	Nominal	Raw	Transformed
Best	1 <sup>st</sup> -percentile	21.13	3.05
Worst	99 <sup>th</sup> -percentile	848.06	6.74

**Calculations**

*none*

## **MPA : Marine Protected Areas / Biodiversity & Habitat / Ecosystem Vitality**

Measures the percent of a country's Economic Exclusion Zone (EEZ) set aside as a marine protected area (MPA).

<b>Units</b>	% of EEZ
<b>Countries</b>	179
<b>Years</b>	2000–2017
<b>Transformation</b>	$\ln(x + \alpha)$ , $\alpha = 1.22e-5$
<b>Targets</b>	Based on Aichi Target 11

Performance	Nominal	Raw	Transformed
Best	10 %	10	2.30
Worst	0 %	0	-11.31

## **Calculations**

Component	Units	Source
AMP	Area of MPAs	sq. km
EEZ	Area of EEZs	sq. km
i	An index of all MPAs in a country	
j	An index of all EEZs in a country	

These components are used to calculate the metric on *Marine Protected Areas*. Because each country may have multiple EEZs, the summed area of MPAs is divided by the summed EEZ.

$$MPA = \frac{\sum AMP_i}{\sum EEZ_j} \times 100$$

## **TBN : Terrestrial Protected Areas, national weights / Biodiversity & Habitat / Ecosystem Vitality**

Measures the percent of a country's biomes in terrestrial protected areas (TPAs), weighted by the prevalence of different biome types within that country.

<b>Units</b>	% of EEZ
<b>Countries</b>	233
<b>Years</b>	1990–2017
<b>Transformation</b>	none
<b>Targets</b>	Based on Aichi Target 11

Performance	Nominal	Raw
Best	17 %	17
Worst	0 %	0

## **Calculations**

Component	Units	Source
TEW Area of biomes	sq. km	World Wide Fund for Nature
TPA Area of TPAs	sq. km	World Database of Protected Areas
PCT Raw % of biome within TPA		
ICT Credited % of biome within TPA		
w Weight of ICT in indicator construction		
i An index of all TPAs in a country		
b An index of biomes		
c An index of countries		

First, the percent of each biome present in a country that lies within a protected area is given by,

$$PCT_{bc} = \frac{\sum_i TPA_{ibc}}{TEW_{bc}}$$

Second, the credit given to a country for protecting any given biome is capped at 17%,

$$ICT_{bc} = \begin{cases} PCT_{bc} & \text{if } PCT_{bc} \leq 0.17 \\ 0.17 & \text{if } PCT_{bc} > 0.17 \end{cases}$$

Third, the national weight placed on each biome is calculated by the proportion of that biome for the entire country,

$$w_{bc} = \frac{TEW_{bc}}{\sum_b TEW_{bc}}$$

Fourth, the metric is calculated as the weighted sum of percent protection for all biomes in a country.

$$TBN_c = \sum_b [w_{bc} \times ICT_{bc}] \times 100$$

## **TBG : Terrestrial Protected Areas, global weights / Biodiversity & Habitat / Ecosystem Vitality**

Measures the percent of a country's biomes in terrestrial protected areas (TPAs) weighted by the prevalence of different biome types around the world.

<b>Units</b>	% of EEZ
<b>Countries</b>	233
<b>Years</b>	1990–2017
<b>Transformation</b>	none
<b>Targets</b>	Based on Aichi Target 11

Performance	Nominal	Raw
Best	17 %	17
Worst	0 %	0

## **Calculations**

Component	Units	Source
TEW Area of biomes	sq. km	World Wide Fund for Nature
TPA Area of TPAs	sq. km	World Database of Protected Areas
PCT Raw % of biome within TPA		
ICT Credited % of biome within TPA		
w Weight of ICT in indicator construction		
i An index of all TPAs in a country		
b An index of biomes		
c An index of countries		

First, the percent of each biome present in a country that lies within a protected area is given by,

$$PCT_{bc} = \frac{\sum_i TPA_{ibc}}{TEW_{bc}}$$

Second, the credit given to a country for protecting any given biome is capped at 17%,

$$ICT_{bc} = \begin{cases} PCT_{bc} & \text{if } PCT_{bc} \leq 0.17 \\ 0.17 & \text{if } PCT_{bc} > 0.17 \end{cases}$$

Third, the global weight placed on each biome is calculated by the global rarity of the biome,

$$w_{bc} = \left[ \frac{TEW_{bc}}{\sum_c TEW_{bc}} \right] / \left[ \sum_b \frac{TEW_{bc}}{\sum_c TEW_{bc}} \right]$$

Fourth, the metric is calculated as the weighted sum of percent protection for all biomes in a country.

$$TBG_c = \sum_b [w_{bc} \times ICT_{bc}]$$

**SPI : Species Protection Index / Biodiversity & Habitat / Ecosystem Vitality**

Measures protected areas in relation to species distributions. The proportion of a species range in a country under protection is calculated for each species as Area of species range in country protected / Area of species range in country and capped at a maximum of 0.17. This value is then averaged for all species occurring in a country, with all species weighted equally.

<b>Units</b>	% of habitat
<b>Countries</b>	185
<b>Years</b>	1990–2014
<b>Source</b>	Map of Life
<b>Transformation</b>	none
<b>Targets</b>	Based on Aichi Target 11

Performance	Nominal	Raw
Best	17 %	17
Worst	0%	0

**Calculations**

See p. 57 for further information about how this metric is calculated.

## **PAR : Protected Area Representativeness Index / Biodiversity & Habitat / Ecosystem Vitality**

Measures the extent to which a country's protected areas are ecologically representative.

<b>Units</b>	unitless
<b>Countries</b>	230
<b>Years</b>	1970, 1980, 1990, 2000, 2010, 2012, 2014, 2016
<b>Source</b>	Commonwealth Scientific and Industrial Research Organisation (CSIRO)
<b>Transformation</b>	none
<b>Targets</b>	Based on underlying data

Performance	Nominal	Raw
Best	95 <sup>th</sup> -percentile	0.22
Worst	5 <sup>th</sup> -percentile	0.03

## **Calculations**

See pp. 51–52 for further information about how this metric is calculated.

## SHI : Species Habitat Index / Biodiversity & Habitat / Ecosystem Vitality

Measures changes in the suitable habitats of species to provide aggregate estimates of potential population losses and extinction risk increases. Each species is assessed separately, and the index is calculated as a weighted average of the habitat changes for each species with weights determined by the proportion of global range found in the country,

<b>Units</b>	% of habitat
<b>Countries</b>	185
<b>Years</b>	2001–2014
<b>Source</b>	Map of Life
<b>Transformation</b>	none
<b>Targets</b>	Based on underlying data and Aichi Targets 5 and 12

Performance	Nominal	Raw
Best	100	100.00
Worst	1 <sup>st</sup> -percentile	93.40

## Calculations

See p. 55 for further information about how this metric is calculated.

## TCL : Tree Cover Loss / Forests / Ecosystem Vitality

Measures the five-year moving average of percent of forests lost. Forests are defined as land areas having  $\geq 30\%$  canopy cover. Area of forested land (FOR) represents the forested land area at  $\geq 30\%$  canopy cover in the year 2000.

<b>Units</b>	% of forested land
<b>Countries</b>	210
<b>Years</b>	2001–2016
<b>Transformation</b>	$\ln(x + \alpha)$ , $\alpha = 1.94456970161889e-4$
<b>Targets</b>	Based on underlying data

Performance	Nominal	Raw	Transformed
Best	5 <sup>th</sup> -percentile	0.004	-5.47
Worst	95 <sup>th</sup> -percentile	1.177	0.16

## Calculations

Component		Units	Source
FOR	Forested land	ha	Global Forest Watch
ATL	Annual area lost	ha	Global Forest Watch
t	An index of years		

The metric is calculated as a five-year moving average of tree cover loss.

$$TCL = \frac{1}{5} \sum_{i=0}^4 \frac{ATL_{t-i}}{FOR}$$

## FSS : Fish Stock Status / Fisheries / Ecosystem Vitality

Measures the percentage of a country's total catch that come from taxa that are classified as either over-exploited or collapsed.

<b>Units</b>	% of catch
<b>Countries</b>	133
<b>Years</b>	1950–2014
<b>Transformation</b>	none
<b>Targets</b>	Based on underlying data

Performance	Nominal	Raw
Best	0	0.00
Worst	99 <sup>th</sup> -percentile	90.82

## Calculations

Component	Units	Source
FSC	Fish stock class	%
CTH	Catch	tonnes
e	An index of EEZs in a country	
k	An index of classes: {1 = collapsed, 2 = over-exploited, 3 = exploited, 4= developing, 5= rebuilding}	

The metric is calculated as an average percentage weighted by catch and summed across classes of concern.

$$FSS = \frac{\sum_e [FSC_{k=1,e} \times CTH_e] + \sum_e [FSC_{k=2,e} \times CTH_e]}{\sum_e CTH_e}$$

**Note:** EEZs where the catch was less than 1.5% of a country's total catch were excluded from the calculation.

## Imputation

FSS is missing for 50 countries which do not qualify for the SEA materiality filter, 26 of which were included in the 2018 EPI. In order to impute missing values for these countries, we use regional averages. First, we run the regression,

$$FSS = \alpha + \delta R + \varepsilon,$$

on countries with non-missing values, where  $R$  is a vector of region dummies. This then allows us to calculate missing values for the remaining countries,

$$\widehat{FSS} = \hat{\alpha} + \hat{\delta}R.$$

### Countries in the 2018 EPI for which FSS was imputed

Albania	Djibouti	Montenegro
Bahrain	Dominica	Qatar
Belgium	Gambia	Republic of Congo
Belize	Georgia	Romania
Benin	Grenada	Saint Lucia
Brunei Darussalam	Israel	St Vincent & the Grenadines
Bulgaria	Kuwait	Singapore
Cameroon	Lebanon	Togo
Côte d'Ivoire	Lithuania	

## MTR : Regional Marine Trophic Index / Fisheries / Ecosystem Vitality

Measures the trends in the Regional Marine Trophic Indices of a country, or mean trophic level of the fish catch in each region of the country's Economic Exclusion Zones (EEZs).

<b>Units</b>	unitless
<b>Countries</b>	133
<b>Years</b>	1950–2014
<b>Transformation</b>	none
<b>Targets</b>	Based on underlying data

Performance	Nominal	Raw
Best	95 <sup>th</sup> -percentile	0.012
Worst	5 <sup>th</sup> -percentile	-0.009

## Calculations

Component	Units	Source
RMT	Regional MTI	unitless
AEZ	Area of EEZ	sq. km
r	An index of regions in an EEZ, {1 ... R}	
e	An index of EEZs in a country	
t	An index of years	

The calculation of the metric relies on the ratio of the annual change in the five-year moving average to the ten-year moving average.

$$MTR_{ret} = \frac{\frac{1}{5} \sum_{i=0}^4 RMT_{re,t-i} - \frac{1}{5} \sum_{i=1}^5 RMT_{re,t-i}}{\frac{1}{10} \sum_{i=0}^9 RMT_{re,t-i}}$$

The RMTI trend in each region of an EEZ is then averaged in each year.

$$MTR_{et} = \frac{1}{R} \sum_r MTR_{ret}$$

The average EEZ metrics are then averaged to the country-level, weighted by the surface area of the country's EEZs.

$$MTR_t = \sum_e \left[ MTR_{et} \times \frac{AEZ_e}{\sum_e AEZ_e} \right]$$

**Note:** EEZs where the catch was less than 1.5% of a country's total catch were excluded from the calculation.

## Generalized Emission Intensity Calculations

The calculation of the indicators of emissions for both Climate & Energy and Air Pollution is especially complex. This more complex approach is borne out of a recognition that countries are at very different levels of economic development, and therefore their performance in terms of current performance and past trends, should be blended in ways that reflect these differences. The logic of this approach is explained in the chapters, “Climate and Energy” and “Air Pollution,” under the sections entitled, “Indicator Construction,” along with illustrative examples.

This sub-section gives an initial, generic account of how this indicator is constructed from the following components. Specific details are then provided for each of these metrics in the subsequent entries.

Component		Units	Source(s)
GDP	Gross Domestic Product (PPP)	2011US\$	World Bank, IMF
POP	Population	persons	World Bank, IMF
GPC	<i>per capita</i> GDP	\$/person	
$E$	Raw emissions	various	various
$X$	Logged emission intensity		
$Y$	Current-year emission intensity score (sub-indicator)		
$Z$	Ten-year trend in emission intensity score (sub-indicator)		
$\bar{X}$	Best target for current-year emission intensity (95 <sup>th</sup> -percentile)		
$\underline{X}$	Worst target for current-year emission intensity (5 <sup>th</sup> -percentile)		
$\bar{\epsilon}$	Best target for current-year emission intensity (95 <sup>th</sup> -percentile)		
$\underline{\epsilon}$	Worst target for current-year emission intensity (5 <sup>th</sup> -percentile)		
$\bar{\beta}$	Best target for current-year emission intensity (95 <sup>th</sup> -percentile)		
$\underline{\beta}$	Worst target for current-year emission intensity (5 <sup>th</sup> -percentile)		
$B$	Blended score for emission intensity		
$p$	Weight on current-year score		
$k$	A parameter for the calculation of $p$		
$b$	A parameter for the calculation of $p$		
$c$	An index of countries		

First, we calculate GDP *per capita*.

$$GPC = \frac{GDP}{POP}$$

Second, we calculate logged emission intensity for every country with the following formula.

$$X = \ln\left(\frac{E}{GDP}\right)$$

Third, this current-year emission intensity is scored using the distance-to-target procedure, treating current-year emission intensity as if it were an indicator.

$$Y = \frac{\underline{X} - X}{\overline{X} - \underline{X}} \times 100$$

Fourth, we regress the current-year emission intensity over time to create a 10-year trend, represented by the parameter  $\beta$ , for each country.

$$X = \alpha + \beta t + \varepsilon$$

Fifth, the 180 trends are then regressed over the logged GDP *per capita* in the final trend year.

$$\hat{\beta}_c = \gamma + \delta \ln(GPC_c) + \epsilon_c$$

The  $\delta$  coefficient represents the effect of wealth on trends in emission intensity, and the residual  $\epsilon$  measures the deviations of each country from its expected trend, given its level of wealth.

$$Z = \frac{\underline{\epsilon} - \epsilon}{\overline{\epsilon} - \underline{\epsilon}} \times 100$$

For the indicator on CO<sub>2</sub> emissions from electricity and heat (DPT), however, we instead construct the deviation indicator from the  $\beta$  coefficients.

$$Z = \frac{\underline{\beta} - \beta}{\overline{\beta} - \underline{\beta}} \times 100$$

The ultimate indicator of performance for each gas is a blend of the two sub-indicators. We take a weighted average of the current-year sub-indicator and the trend sub-indicator.

$$B = p \times Y + (1 - p) \times Z$$

The weighting factor  $p$  is itself a function of a country's wealth, measured by GDP *per capita*, and its current-year score,  $Y$ ,

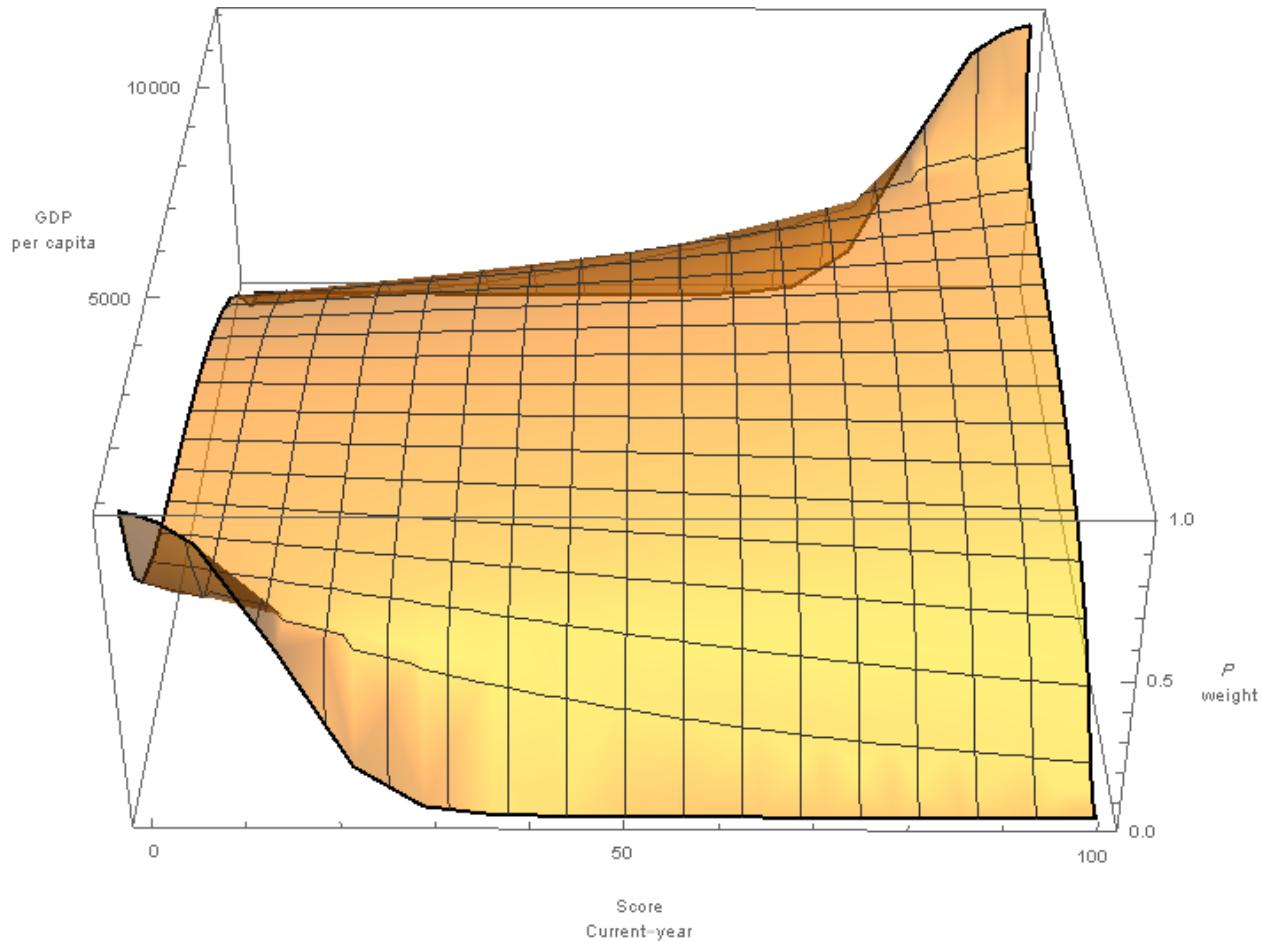
$$p = 1 / (1 + \exp[k \times (Y - b)])$$

where the parameters  $k$  and  $b$  are determined by the formulæ,

$$k = \begin{cases} -0.25 & \text{if } GPC \geq 12,000 \\ \tan\left[\frac{GPC}{6500} \times 1.81927 + 1.3223\right] \times -0.0078560837 - 0.0001202674 & \text{if else} \\ 0.25 & \text{if } GPC \leq 1,000 \end{cases}$$

$$b = \begin{cases} 85 & \text{if } GPC \geq 12,000 \\ \tan\left[\frac{GPC}{6500} \times 1.81927 + 1.3223\right] \times 1.0998517224 + 50 & \text{if else} \\ 15 & \text{if } GPC \leq 1,000 \end{cases}$$

The cutoff points for GDP *per capita* of \$1,000 and \$12,000 roughly correspond to the World Bank's classification of "Middle Income" countries.<sup>1</sup>



**Figure TA-1.** The weight,  $p$ , given to the current-year score,  $Y$ , is a function of both  $Y$  and GDP *per capita*. The range shown here is only for Middle Income countries. Low-income countries all use the curve shown at the "front" of the figure, and high-income countries all use the curve shown at the "back" of the figure.

The goal of the weighting scheme is to place a higher weight on the current-year sub-indicator for wealthy countries that have a history of controlling emissions. These countries typically have low trend sub-indicator scores, as they have limited options for further reducing emission intensity.

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<sup>1</sup><https://datahelpdesk.worldbank.org/knowledgebase/articles/906519-world-bank-country-and-lending-groups> Though the World Bank uses Gross National Income (GNI) *per capita*, this measurement is highly correlated with GDP *per capita*.

## DCT : CO<sub>2</sub> Emission intensity – Total / Climate & Energy / Ecosystem Vitality

Measures the intensity of CO<sub>2</sub> emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	191
<b>Years</b>	1997–2014
<b>Transformation</b>	ln(x)
<b>Targets</b>	Based on observed data

## Calculations

Component			Units	Source(s)
$E$	CDT	CO <sub>2</sub> emissions	Mt	WRI-CAIT, <i>et alia</i>
$X$	CDI	CO <sub>2</sub> Intensity		
$\beta$	TCI	CO <sub>2</sub> Intensity Trend		
$\epsilon$	DCI	CO <sub>2</sub> Intensity Deviations		
$B$	DCT	CO <sub>2</sub> Intensity Blended Score		

## Targets

Performance		Nominal	Transformed
Best	$\bar{X}$	95th-percentile	-23.355
Worst	$\underline{X}$	5th-percentile	-21.180
Best	$\bar{\epsilon}$	95th-percentile	-0.056
Worst	$\underline{\epsilon}$	5th-percentile	0.050

## DPT : CO<sub>2</sub> Emission intensity – Power / Climate & Energy / Ecosystem Vitality

Measures the intensity of CO<sub>2</sub> emissions per kilowatt-hour of electricity and heat, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	191
<b>Years</b>	2005–2014
<b>Transformation</b>	$\ln(E)$
<b>Targets</b>	Based on observed data

### Calculations

Component		Units	Source(s)
$E$	CEH	CO <sub>2</sub> emissions per kWh	g CO <sub>2</sub> /kWh
$\beta$	TPI	CO <sub>2</sub> per kWh Trend	IEA
$B$	DPT	CO <sub>2</sub> per kWh Blended Score	

### Targets

	Performance	Nominal	Transformed
Best	$\bar{X}$	95th-percentile	6.682
Worst	$\underline{X}$	5th-percentile	850.420
Best	$\bar{\beta}$	95th-percentile	-0.119
Worst	$\underline{\beta}$	5th-percentile	0.061

## **DMT : CH<sub>4</sub> Emission intensity / Climate & Energy / Ecosystem Vitality**

Measures the intensity of methane emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	191
<b>Years</b>	1997–2014
<b>Transformation</b>	none
<b>Targets</b>	Based on observed data

## **Calculations**

Component		Units	Source(s)
$E$	CH4	CH <sub>4</sub> emissions	Mt
$X$	CHI	CH <sub>4</sub> Intensity	
$\beta$	TMI	CH <sub>4</sub> Intensity Trend	
$B$	DMT	CH <sub>4</sub> Intensity Blended Score	

## **Targets**

Performance	Nominal	Transformed
Best $\bar{X}$	95th-percentile	-24.802
Worst $\underline{X}$	5th-percentile	-20.717
Best $\bar{\beta}$	95th-percentile	-0.060
Worst $\underline{\beta}$	5th-percentile	0.030

## DNT : N<sub>2</sub>O Emission intensity / Climate & Energy / Ecosystem Vitality

Measures the intensity of N<sub>2</sub>O emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	191
<b>Years</b>	1997–2014
<b>Transformation</b>	none
<b>Targets</b>	Based on observed data

## Calculations

Component			Units	Source(s)
$E$	NOT	N <sub>2</sub> O emissions	Mt	WRI-CAIT, <i>et alia</i>
$X$	NOI	N <sub>2</sub> O Intensity		
$\beta$	TNI	N <sub>2</sub> O Intensity Trend		
$\epsilon$	DNI	N <sub>2</sub> O Carbon Intensity Deviations		
$B$	DNT	N <sub>2</sub> O Intensity Blended Score		

## Targets

		Performance	Nominal	Transformed
Best	$\bar{X}$		95th-percentile	-26.229
Worst	$\underline{X}$		5th-percentile	-21.493
Best	$\bar{\beta}$		95th-percentile	-0.068
Worst	$\underline{\beta}$		5th-percentile	0.039

## **DBT : Black Carbon Emission intensity / Climate & Energy / Ecosystem Vitality**

Measures the intensity of Black Carbon emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	215
<b>Years</b>	1997–2010
<b>Transformation</b>	none
<b>Targets</b>	Based on observed data

### **Calculations**

Component		Units	Source(s)
$E$	BLC	Black Carbon emissions	Mt
$X$	BCI	Black Carbon Intensity	EDGAR
$\beta$	TBI	Black Carbon Intensity Trend	
$\epsilon$	DBI	Black Carbon Intensity Deviations	
$B$	DBT	Black Carbon Intensity Blended Score	

### **Targets**

	Performance	Nominal	Transformed
Best	$\bar{X}$	95 <sup>th</sup> -percentile	-25.861
Worst	$\underline{X}$	5 <sup>th</sup> -percentile	-21.103
Best	$\bar{\epsilon}$	95 <sup>th</sup> -percentile	-0.070
Worst	$\underline{\epsilon}$	5 <sup>th</sup> -percentile	0.068

## DST : SO<sub>2</sub> Emission intensity / Air Pollution / Ecosystem Vitality

Measures the intensity of SO<sub>2</sub> emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	215
<b>Years</b>	1997–2010
<b>Transformation</b>	none
<b>Targets</b>	Based on observed data

## Calculations

Component			Units	Source(s)
$E$	SO <sub>2</sub>	SO <sub>2</sub> emissions	Mt	EDGAR
$X$	SDI	SO <sub>2</sub> Intensity		
$\beta$	TSI	SO <sub>2</sub> Intensity Trend		
$\epsilon$	DSI	SO <sub>2</sub> Intensity Deviations		
$B$	DST	SO <sub>2</sub> Intensity Blended Score		

## Targets

	Performance	Nominal	Transformed
Best	$\bar{X}$	95th-percentile	-22.835
Worst	$\underline{X}$	5th-percentile	-19.255
Best	$\bar{\epsilon}$	95th-percentile	-0.085
Worst	$\underline{\epsilon}$	5th-percentile	0.087

## DXT : NO<sub>x</sub> Emission intensity / Air Pollution / Ecosystem Vitality

Measures the intensity of NO<sub>x</sub> emissions from the entire economy, as a blend of current-year intensity and a 10-year trend.

<b>Units</b>	unitless
<b>Countries</b>	215
<b>Years</b>	1997–2010
<b>Transformation</b>	none
<b>Targets</b>	Based on observed data

## Calculations

Component		Units	Source(s)
$E$	NOX	NO <sub>x</sub> emissions	Mt
$X$	NXI	NO <sub>x</sub> Intensity	
$\beta$	TXI	NO <sub>x</sub> Intensity Trend	
$\epsilon$	DXI	NO <sub>x</sub> Intensity Deviations	
$B$	DXT	NO <sub>x</sub> Intensity Blended Score	

## Targets

	Performance	Nominal	Transformed
Best	$\bar{X}$	95th-percentile	-21.667
Worst	$\underline{X}$	5th-percentile	-19.469
Best	$\bar{\epsilon}$	95th-percentile	-0.061
Worst	$\underline{\epsilon}$	5th-percentile	0.053

## WWT : Wastewater Treatment / Water Resources / Ecosystem Vitality

Measures the percentage of wastewater treated, weighted by the connection rate of the population to the wastewater treatment system.

<b>Units</b>	Weighted %
<b>Countries</b>	176
<b>Years</b>	2016
<b>Transformation</b>	$\ln(x + \alpha)$ , $\alpha = 0.01$
<b>Targets</b>	Absolute limits

Performance	Nominal	Raw	Transformed
Best	100	100	4.61
Worst	0	0	-4.61

## Calculations

Component		Units	Source
WST	Wastewater treated	%	<i>various</i>
CXN	Connection rate	%	<i>various</i>

The WWT metric was calculated through the straightforward product,

$$WWT = WST \times CXN$$

## Imputation

WWT is missing for 60 countries, 18 of which are in the 2018 EPI. In order to impute missing values for these countries, we use regional averages. First, we run the regression,

$$WWT = \alpha + \delta R + \varepsilon,$$

on countries with non-missing values, where  $R$  is a vector of region dummies. This then allows us to calculate missing values for the remaining countries,

$$\widehat{WWT} = \hat{\alpha} + \hat{\delta} R.$$

**Countries in the 2018 EPI for which WWT was imputed**

Antigua & Barbuda	Grenada	St Vincent & the Grenadines
Bahamas	Kiribati	Samoa
Barbados	Lebanon	São Tomé and Príncipe
Brunei Darussalam	Maldives	Seychelles
Comoros	Micronesia	Tonga
Gambia	Saint Lucia	Vanuatu

## **SNM : Sustainable Nitrogen Management Index / Agriculture / Ecosystem Vitality**

Measures the Euclidean distance from an ideal point with optimal nitrogen use efficiency (NUE) and crop yield. See Figure 14-1 in the 2018 EPI Report.

<b>Units</b>	unitless
<b>Countries</b>	136
<b>Years</b>	2015
<b>Transformation</b>	none
<b>Targets</b>	Based on the observed data

Performance	Nominal	Raw
Best	0	0.00
Worst	99 <sup>th</sup> -percentile	1.16

## **Calculations**

Component		Units	Source
NUE	Nitrogen Use Efficiency	kg N / kg N	Zhang <i>et al</i> , unpublished
NSR	Nitrogen Surplus	kg N / sq. km	Zhang <i>et al</i> , unpublished
LND	Agricultural land	% of land area	World Bank
PRM	Permanent cropland	% of land area	World Bank
NRY	Raw Nitrogen Yield	kg N / ha	
NNY	Normalized Nitrogen Yield	kg N / ha	

First, a raw measure of Nitrogen yield can be calculated from the Nitrogen Surplus and Nitrogen Use Efficiency.

$$NRY = \frac{NSR/100}{\frac{1}{NUE} - 1}$$

Second, the raw Nitrogen yield is normalized by the reference yield of 90 kg N/ha.

$$NNY = \begin{cases} \frac{NRY}{90} & \text{if } \frac{NRY}{90} < 1 \\ 1 & \text{if } \frac{NRY}{90} \geq 1 \end{cases}$$

Finally, the SNMI is the Euclidean distance of a point defined by a country's NNY and NUE from the ideal point defined as (NUE = 1, NNY = 1).

$$SNM = \sqrt{(1 - NNY)^2 + (1 - NUE)^2}$$

### Imputation

SNM is missing for 100 countries, 46 of which were included in the 2018 EPI. In order to impute missing values for these countries, we use regional averages and other variables. First, we run the regression,

$$SNM = \alpha + \beta \times LND + \gamma \times PRM + \delta R + \varepsilon,$$

on countries with non-missing values, where  $R$  is a vector of region dummies. This then allows us to calculate missing values for the remaining countries,

$$\widehat{SNM} = \widehat{\alpha} + \widehat{\beta} \times LND + \widehat{\gamma} \times PRM + \widehat{\delta} R.$$

**Countries in the 2018 EPI for which SNM was imputed**

Afghanistan	Dominica	Papua New Guinea
Antigua & Barbuda	Equatorial Guinea	Saint Lucia
Bahamas	Eritrea	St Vincent & the Grenadines
Barbados	Fiji	Samoa
Belgium	Grenada	São Tomé and Príncipe
Belize	Guinea-Bissau	Seychelles
Botswana	Guyana	Sierra Leone
Brunei Darussalam	Haiti	Solomon Islands
Burundi	Kiribati	Swaziland
Cabo Verde	Laos	Taiwan
Central African Republic	Lesotho	Timor-Leste
Chad	Liberia	Tonga
Comoros	Libya	Turkmenistan
Cuba	Maldives	Uzbekistan
Djibouti	Mauritania	Vanuatu
	Micronesia	

### 3. Data Sources

The 2018 EPI draws on data from a wide variety of sources. In the interest of transparency, this section of the Technical Appendix describes the sources of data used in the EPI, using the following template.

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<b>TLA</b>	Three letter abbreviation for the name of the dataset.
<b>Source</b>	The organization that produces the dataset.
<b>URL</b>	Where the dataset may be found on the Internet. If the dataset is not publicly available online, the URL points to the Source institution.
<b>Date received</b>	The date on which the dataset used in the analysis came into the possession of the EPI team.
<b>Instructions</b>	Any special instructions for navigating the data source website or other means of retrieving the dataset.
<b>Citation</b>	Formal citation for the dataset, source organization, or other relevant published materials that are helpful in understanding the dataset.
<b>Documentation</b>	Additional documents that describe the dataset.
<b>Note</b>	Additional details for understanding how to retrieve or use the dataset.

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Due to the variety of data sources, not every field is applicable to every dataset. Each entry below provides the fullest account possible.

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AEZ	Areas of EEZs
Source	Sea Around Us
URL	<a href="http://www.searroundus.org/">http://www.searroundus.org/</a>
Date received	2017-06-14
via	Personal communication
AMP	Marine Protected Areas
Source	World Database on Protected Areas
URL	<a href="http://www.protectedplanet.net">http://www.protectedplanet.net</a>
Date received	2017-03-03
Citation	IUCN and UNEP-WCMC (2017), The World Database on Protected Areas (WDPA) [On-line], March Release, Cambridge, UK: UNEP-WCMC.
ATL	Annual loss of forested land
Source	Global Forest Watch
URL	<a href="http://www.globalforestwatch.org/">http://www.globalforestwatch.org/</a> <a href="https://earthenginepartners.appspot.com/science-2013-global-forest/download_v1.4.html">https://earthenginepartners.appspot.com/science-2013-global-forest/download_v1.4.html</a>
Date received	2017-10-27
via	Personal communication
Citation	Hansen, M. C., Potapov, P. V., Moore, R., Hancher, M., Turubanova, S. A., Tyukavina, A., ... Townshend, J. R. G. (2013). High-Resolution Global Maps of 21st-Century Forest Cover Change. <i>Science</i> , 342(6160), 850–853. <a href="https://doi.org/10.1126/science.1244693">https://doi.org/10.1126/science.1244693</a>

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<b>BLC</b>	Black Carbon emissions
<b>Source</b>	Emissions Database for Global Atmospheric Research
<b>URL</b>	<a href="http://edgar.jrc.ec.europa.eu/overview.php?v=431">http://edgar.jrc.ec.europa.eu/overview.php?v=431</a>
<b>Date received</b>	2017-09-29
<b>Instructions</b>	Click on “Timeseries” under BC
<b>Citation</b>	Crippa, M., Janssens-Maenhout, G., Dentener, F., Guizzardi, D., Sindelarova, K., Muntean, M., ... Granier, C. (2016). Forty years of improvements in European air quality: regional policy-industry interactions with global impacts. <i>Atmospheric Chemistry and Physics</i> , 16(6), 3825–3841. <a href="https://doi.org/10.5194/acp-16-3825-2016">https://doi.org/10.5194/acp-16-3825-2016</a>
<b>Documentation</b>	European Commission, Joint Research Centre (JRC)/Netherlands Environmental Assessment Agency (PBL). Emission Database for Global Atmospheric Research (EDGAR), release version 4.3.1, 2016.

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CDT	CO <sub>2</sub> emissions – Total
Source	World Resources Institute – Climate Analysis Indicators Tool
URL	<a href="http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc">http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc</a>
Date received	2017-09-28
Instructions	Click “Download Options”
Citation	CAIT Climate Data Explorer. 2017. Washington, DC: World Resources Institute. Available online at: <a href="http://cait.wri.org">http://cait.wri.org</a>
Documentation	CAIT Country GHG Emissions - Last updated: 2 October 2017 (CSV)
CDT	CO <sub>2</sub> emissions
Source	World Bank
URL	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
Date received	2017-10-23
Instructions	Database: World Development Indicators Country: Timor-Leste Series: CO <sub>2</sub> emissions (kt) Time: various
Documentation	Code: EN.ATM.CO2E.KT These estimate supplemented incomplete CAIT data.
CDT	CO <sub>2</sub> emissions
Source	Taiwan EPA
URL	<a href="https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epae">https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epae</a> <a href="http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf">http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf</a>
Date received	2017-10-19
Instructions	See Table ES2.1, page 6.
Citation	Taiwan Environmental Protection Agency. (2016). <i>2015 Taiwan Greenhouse Gas Inventory: Executive Summary</i> .
Documentation	These estimate supplemented incomplete CAIT data.

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CEH	CO <sub>2</sub> emissions per kWh of electricity and heat
Source	International Energy Agency
URL	<a href="http://www.oecd-ilibrary.org/energy/data/iea-co2-emissions-from-fuel-combustion-statistics_co2-data-en">http://www.oecd-ilibrary.org/energy/data/iea-co2-emissions-from-fuel-combustion-statistics_co2-data-en</a>
Date received	2017-09-28
Instructions	Select “Emissions per kWh of electricity and heat output” Select designated variable: Product = Total Flows = CO <sub>2</sub> per kWh of electricity and heat Export as Excel file
Documentation	<a href="http://dx.doi.org/10.1787/co2-data-en">http://dx.doi.org/10.1787/co2-data-en</a>

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<b>CH4</b>	CH <sub>4</sub> emissions
<b>Source</b>	World Resources Institute – Climate Analysis Indicators Tool
<b>URL</b>	<a href="http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc">http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc</a>
<b>Date received</b>	2017-09-28
<b>Instructions</b>	Click “Download Options”
<b>Citation</b>	CAIT Climate Data Explorer. 2017. Washington, DC: World Resources Institute. Available online at: <a href="http://cait.wri.org">http://cait.wri.org</a>
<b>Documentation</b>	CAIT Country GHG Emissions - Last updated: 2 October 2017 (CSV)
<b>CH4</b>	CH <sub>4</sub> emissions
<b>Source</b>	World Bank
<b>URL</b>	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
<b>Date received</b>	2017-10-23
<b>Instructions</b>	Database: World Development Indicators Country: Timor-Leste Series: Methane emissions (kt of CO2 equivalent) Time: various
<b>Documentation</b>	Code: EN.ATM.METH.KT.CE These estimate supplemented incomplete CAIT data.
<b>CH4</b>	CH <sub>4</sub> emissions
<b>Source</b>	Taiwan EPA
<b>URL</b>	<a href="https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epaen">https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epaen</a> <a href="http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf">http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf</a>
<b>Date received</b>	2017-10-19
<b>Instructions</b>	See Table ES2.1, page 6.
<b>Citation</b>	Taiwan Environmental Protection Agency. (2016). <i>2015 Taiwan Greenhouse Gas Inventory: Executive Summary</i> .
<b>Documentation</b>	These estimate supplemented incomplete CAIT data.

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CTH	Fish catch
Source	Sea Around Us
URL	<a href="http://www.searroundus.org/">http://www.searroundus.org/</a>
Date received	2017-10-13
Instructions	Sea Around Us API Wrapper: data available through R package “library(searroundus)”
Citations	<a href="http://www.searroundus.org/articles/">http://www.searroundus.org/articles/</a>
Documentation	<a href="https://github.com/SeaAroundUs/sau-web-mt/blob/master/sunfish/models/rmti.R">https://github.com/SeaAroundUs/sau-web-mt/blob/master/sunfish/models/rmti.R</a>
EEZ	Economic Exclusion Zones
Source	Flanders Marine Institute Maritime Boundaries Geodatabase, World EEZ, version 9
URL	<a href="http://www.marineregions.org/">http://www.marineregions.org/</a>
Date received	2017-05-02
Citation	Flanders Marine Institute (2016). Maritime Boundaries Geodatabase: Maritime Boundaries and Exclusive Economic Zones (200NM), version 9. <a href="http://dx.doi.org/10.14284/242">http://dx.doi.org/10.14284/242</a>
Documentation	<a href="http://www.marineregions.org/eezmethodology.php">http://www.marineregions.org/eezmethodology.php</a>
FOR	Forested land area
Source	Global Forest Watch
URL	<a href="http://www.globalforestwatch.org/">http://www.globalforestwatch.org/</a> <a href="https://earthenginepartners.appspot.com/science-2013-global-forest/download_v1.4.html">https://earthenginepartners.appspot.com/science-2013-global-forest/download_v1.4.html</a>
Date received	2017-10-27
via	Personal communication
Citation	Hansen, M. C., Potapov, P. V., Moore, R., Hancher, M., Turubanova, S. A., Tyukavina, A., ... Townshend, J. R. G. (2013). High-Resolution Global Maps of 21st-Century Forest Cover Change. <i>Science</i> , 342(6160), 850–853. <a href="https://doi.org/10.1126/science.1244693">https://doi.org/10.1126/science.1244693</a>

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FSC	Fish stock class
Source	Sea Around Us
URL	<a href="http://www.searroundus.org/">http://www.searroundus.org/</a>
Date received	2017-10-13
Instructions	Sea Around Us API Wrapper: data available through R package “library(searroundus)”
Citations	<a href="http://www.searroundus.org/articles/">http://www.searroundus.org/articles/</a>
Documentation	<a href="https://github.com/SeaAroundUs/sau-webmt/blob/master/sunfish/models/rmti.R">https://github.com/SeaAroundUs/sau-webmt/blob/master/sunfish/models/rmti.R</a>

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<b>GDP</b>	Gross Domestic Product (PPP)
<b>Source</b>	World Bank
<b>URL</b>	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
<b>Date received</b>	2017-06-29
<b>Instructions</b>	Database: World Development Indicators Country: <i>various</i> Series: GDP, PPP (constant 2011 international \$) Time: <i>various</i>
<b>Documentation</b>	Code: NY.GDP.MKTP.PP.KD

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<b>GDP</b>	Gross Domestic Product (PPP)
<b>Source</b>	International Monetary Fund
<b>URL</b>	<a href="https://www.imf.org/external/pubs/ft/weo/2015/02/weodata/index.aspx">https://www.imf.org/external/pubs/ft/weo/2015/02/weodata/index.aspx</a>
<b>Date received</b>	2017-12-05
<b>Instructions</b>	All countries Select Countries: Eritrea, Libya, Maldives, Qatar, São Tomé & Príncipe, Taiwan Select Subjects: Gross domestic product based on purchasing-power-parity (PPP) valuation of country GDP Current international dollar Select Date Range: Start Year = 1997, End Year = 2015 Report for Selected Countries and Subjects
<b>Documentation</b>	Incomplete World Bank data were supplemented or replaced for the following countries. Eritrea: IMF used for entire time series Libya: IMF used for entire time series Maldives: IMF data used for 1997–2000 Qatar: IMF data used for 1997–1999 São Tomé & Príncipe: Imputed from WB data 1997–1999 based on trajectory of IMF data Taiwan: IMF data used for entire time series
<b>Note</b>	Current international dollars converted into Constant 2011 international dollars

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HAD	DALY rate for Household Solid Fuels
Source	Institute for Health Metrics and Evaluation
URL	<a href="http://ghdx.healthdata.org/gbd-results-tool">http://ghdx.healthdata.org/gbd-results-tool</a>
Date received	2017-11-06
Instructions	To retrieve these data, use the following settings. Base: Single Context: Risk Measure: DALYs Location: <i>various</i> Age: Age-standardized Sex: both Year: various Metric: Rate Cause: Total All Causes Risk: Household air pollution from solid fuels
Citation	Forouzanfar, M. H., Anderson, H. R., Burnett, R., & Dandona, L., <i>et alia</i> (2016). Global, regional, and national comparative risk assessment of 79 behavioural, environmental and occupational, and metabolic risks or clusters of risks, 1990–2015: a systematic analysis for the Global Burden of Disease Study 2015. <i>The Lancet</i> , 388(10053), 1659–1724. <a href="https://doi.org/10.1016/S0140-6736(16)31679-8">https://doi.org/10.1016/S0140-6736(16)31679-8</a>

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LND	Agricultural land
Source	World Bank
URL	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
Date received	2017-10-26
Instructions	Database: World Development Indicators Country: <i>various</i> Series: Agricultural land (% of land area) Time: <i>various</i>
Documentation	Code: AG.LND.AGRI.ZS

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NOT	N <sub>2</sub> O emissions
Source	World Resources Institute – Climate Analysis Indicators Tool
URL	<a href="http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc">http://www.wri.org/resources/data-sets/cait-historical-emissions-data-countries-us-states-unfccc</a>
Date received	2017-09-28
Instructions	Click “Download Options”
Citation	CAIT Climate Data Explorer. 2017. Washington, DC: World Resources Institute. Available online at: <a href="http://cait.wri.org">http://cait.wri.org</a>
Documentation	CAIT Country GHG Emissions - Last updated: 2 October 2017 (CSV)

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NOT	N <sub>2</sub> O emissions
Source	World Bank
URL	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
Date received	2017-10-23
Instructions	Database: World Development Indicators Country: Timor-Leste Series: Nitrous oxide emissions (thousand metric tons of CO <sub>2</sub> equivalent) Time: various
Documentation	Code: EN.ATM.NOXE.KT.CE These estimate supplemented incomplete CAIT data.

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NOT	N <sub>2</sub> O emissions
Source	Taiwan EPA
URL	<a href="https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epae_n">https://www.epa.gov.tw/ct.asp?xItem=61773&amp;ctNode=35637&amp;mp=epae_n</a> <a href="http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf">http://unfccc.saveoursky.org.tw/2015nir/uploads/00_abstract_en.pdf</a>
Date received	2017-10-19
Instructions	See Table ES2.1, page 6.
Citation	Taiwan Environmental Protection Agency. (2016). <i>2015 Taiwan Greenhouse Gas Inventory: Executive Summary</i> .
Documentation	These estimate supplemented incomplete CAIT data.

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<b>NOX</b>	NO <sub>x</sub> emissions
<b>Source</b>	Emissions Database for Global Atmospheric Research
<b>URL</b>	<a href="http://edgar.jrc.ec.europa.eu/overview.php?v=431">http://edgar.jrc.ec.europa.eu/overview.php?v=431</a>
<b>Date received</b>	2017-09-29
<b>Instructions</b>	Click on “Timeseries” under <b>NOx</b>
<b>Citation</b>	Crippa, M., Janssens-Maenhout, G., Dentener, F., Guizzardi, D., Sindelarova, K., Muntean, M., ... Granier, C. (2016). Forty years of improvements in European air quality: regional policy-industry interactions with global impacts. <i>Atmospheric Chemistry and Physics</i> , 16(6), 3825–3841. <a href="https://doi.org/10.5194/acp-16-3825-2016">https://doi.org/10.5194/acp-16-3825-2016</a>
<b>Documentation</b>	European Commission, Joint Research Centre (JRC)/Netherlands Environmental Assessment Agency (PBL). Emission Database for Global Atmospheric Research (EDGAR), release version 4.3.1, 2016.

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PAR	Protected Area Representativeness Index
Source	Commonwealth Scientific and Industrial Research Organisation
URL	<a href="https://data.csiro.au/">https://data.csiro.au/</a>
Date received	2017-10-04
via	Personal communication
Citations	Ferrier, S., Manion, G., Elith, J. and Richardson, K. (2007) Using generalised dissimilarity modelling to analyse and predict patterns of beta-diversity in regional biodiversity assessment. <i>Diversity and Distributions</i> 13: 252-264.  Ferrier, S., Powell, G.V.N., Richardson, K.S., Manion, G., Overton, J.M., Allnutt, T.F., Cameron, S.E., Mantle, K., Burgess, N.D., Faith, D.P., Lamoreux, J.F., Kier, G., Hjmans, R.J., Funk, V.A., Cassis, G.A., Fisher, B.L., Flemons, P., Lees, D., Lovett, J.C., and van Rompaey, R.S.A.R (2004) Mapping more of terrestrial biodiversity for global conservation assessment. <i>BioScience</i> 54: 1101-1109.
GEO BON (2015) <i>Global Biodiversity Change Indicators. Version 1.2</i> . Group on Earth Observations Biodiversity Observation Network Secretariat. Leipzig. <a href="http://www.geobon.org/Downloads/brochures/2015/GBCI_Version1_2_low.pdf">http://www.geobon.org/Downloads/brochures/2015/GBCI_Version1_2_low.pdf</a>	
Williams, K.J., Harwood, T.D., Ferrier, S. (2016) <i>Assessing the ecological representativeness of Australia's terrestrial National Reserve System: A community-level modelling approach</i> . Publication Number EP163634. CSIRO Land and Water, Canberra, Australia. <a href="https://publications.csiro.au/rpr/pub?pid=csiro:EP163634">https://publications.csiro.au/rpr/pub?pid=csiro:EP163634</a>	

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PBD	DALY rate for Lead exposure
Source	Institute for Health Metrics and Evaluation
URL	<a href="http://ghdx.healthdata.org/gbd-results-tool">http://ghdx.healthdata.org/gbd-results-tool</a>
Date received	2017-11-06
Instructions	To retrieve these data, use the following settings. Base: Single Context: Risk Measure: DALYs Location: <i>various</i> Age: Age-standardized Sex: both Year: various Metric: Rate Cause: Total All Causes Risk: Lead exposure
Citation	Forouzanfar, M. H., Anderson, H. R., Burnett, R., & Dandona, L., <i>et alia</i> (2016). Global, regional, and national comparative risk assessment of 79 behavioural, environmental and occupational, and metabolic risks or clusters of risks, 1990–2015: a systematic analysis for the Global Burden of Disease Study 2015. <i>The Lancet</i> , 388(10053), 1659–1724. <a href="https://doi.org/10.1016/S0140-6736(16)31679-8">https://doi.org/10.1016/S0140-6736(16)31679-8</a>

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PDS	Population distribution
Source	Gridded Population of the World, version 4
URL	<a href="http://sedac.ciesin.columbia.edu/data/collection">http://sedac.ciesin.columbia.edu/data/collection</a>
Date received	2017-05-01
Citation	Center for International Earth Science Information Network (CIESIN), Columbia University. 2016. Gridded Population of the World, Version 4 (GPWv4): Population Count. Palisades, NY: NASA Socioeconomic Data and Applications Center (SEDAC). <a href="http://dx.doi.org/10.7927/H4X63JVC">http://dx.doi.org/10.7927/H4X63JVC</a> .

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PMC	Ambient PM <sub>2.5</sub> concentrations
Source	Atmospheric Composition Analysis Group, Dalhousie University
URL	<a href="http://fizz.phys.dal.ca/~atmos/martin/?page_id=140">http://fizz.phys.dal.ca/~atmos/martin/?page_id=140</a>
Citation	van Donkelaar, A., Martin, R. V., Brauer, M., Hsu, N. C., Kahn, R. A., Levy, R. C., ... Winker, D. M. (2016). Global Estimates of Fine Particulate Matter using a Combined Geophysical-Statistical Method with Information from Satellites, Models, and Monitors. <i>Environmental Science &amp; Technology</i> , 50(7), 3762–3772. <a href="https://doi.org/10.1021/acs.est.5b05833">https://doi.org/10.1021/acs.est.5b05833</a>

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POP	Population
Source	World Bank
URL	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
Date received	2017-06-29
Instructions	Database: World Development Indicators Country: <i>various</i> Series: Population, total Time: <i>various</i>
Documentation	Code: SP.POP.TOTL
POP	Population
Source	International Monetary Fund
URL	<a href="https://www.imf.org/external/pubs/ft/weo/2015/02/weodata/index.aspx">https://www.imf.org/external/pubs/ft/weo/2015/02/weodata/index.aspx</a>
Date received	2017-12-05
Instructions	All countries Select Countries: Eritrea Select Subjects: Population Person Select Date Range: Start Year = 1997, End Year = 2015
Documentation	Eritrea: IMF replaces incomplete World Bank data for entire time series
PRM	Permanent cropland
Source	World Bank
URL	<a href="http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on">http://databank.worldbank.org/data/reports.aspx?source=world-development-indicators&amp;Type=TABLE&amp;preview=on</a>
Date received	2017-10-26
Instructions	Database: World Development Indicators Country: <i>various</i> Series: Permanent cropland (% of land area) Time: <i>various</i>
Documentation	Code: AG.LND.CROP.ZS

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RMT	Regional Marine Trophic Index
Source	Sea Around Us
URL	<a href="http://www.searroundus.org/">http://www.searroundus.org/</a>
Date received	2017-09-08
via	Personal communication
Citations	Kleisner, K., Mansour, H., & Pauly, D. (2015). <i>The MTI and RMTI as tools for unmasking the fishing down phenomenon</i> . Sea Around Us, University of British Columbia.
SHI	Species Habitat Index
Source	Map of Life
URL	<a href="https://mol.org/indicators/">https://mol.org/indicators/</a>
Date received	2017-11-06
via	Personal communication
Citations	Jetz, W., D. S. Wilcove, and A. P. Dobson. 2007. Projected Impacts of Climate and Land-Use Change on the Global Diversity of Birds. <i>PLoS Biology</i> 5:1211-1219. Rondinini, C., et al. 2011. Global habitat suitability models of terrestrial mammals. <i>Philosophical Transactions of the Royal Society B: Biological Sciences</i> 366:2633-2641. Jetz, W., J. M. McPherson, and R. P. Guralnick. 2012. Integrating biodiversity distribution knowledge: toward a global map of life. <i>Trends in Ecology and Evolution</i> 27:151-159.
GEO BON (2015) <i>Global Biodiversity Change Indicators. Version 1.2.</i> Group on Earth Observations Biodiversity Observation Network Secretariat. Leipzig. <a href="http://www.geobon.org/Downloads/brochures/2015/GBCI_Ve rsion1.2_low.pdf">http://www.geobon.org/Downloads/brochures/2015/GBCI_Ve rsion1.2_low.pdf</a>	
Documentation	<a href="https://research.googleblog.com/2015/01/map-of-life-preview-of-how-to-evaluate.html">https://research.googleblog.com/2015/01/map-of-life-preview-of-how-to-evaluate.html</a>

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<b>SNM</b>	Sustainable Nitrogen Management Index
<b>Source</b>	Zhang, Xin
<b>Date received</b>	2017-10-06
<b>via</b>	Personal communication
<b>Citation</b>	Zhang, X., & Davidson, E. (2016). Sustainable Nitrogen Management Index (SNMI): methodology. University of Maryland Center for Environmental Science.
<b>Note</b>	This dataset was for 2015 and covered 136 countries.

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<b>SO2</b>	SO <sub>2</sub> emissions
<b>Source</b>	Emissions Database for Global Atmospheric Research
<b>URL</b>	<a href="http://edgar.jrc.ec.europa.eu/overview.php?v=431">http://edgar.jrc.ec.europa.eu/overview.php?v=431</a>
<b>Date received</b>	2017-09-29
<b>Instructions</b>	Click on “Timeseries” under SO2
<b>Citation</b>	Crippa, M., Janssens-Maenhout, G., Dentener, F., Guizzardi, D., Sindelarova, K., Muntean, M., ... Granier, C. (2016). Forty years of improvements in European air quality: regional policy-industry interactions with global impacts. <i>Atmospheric Chemistry and Physics</i> , 16(6), 3825–3841. <a href="https://doi.org/10.5194/acp-16-3825-2016">https://doi.org/10.5194/acp-16-3825-2016</a>
<b>Documentation</b>	European Commission, Joint Research Centre (JRC)/Netherlands Environmental Assessment Agency (PBL). Emission Database for Global Atmospheric Research (EDGAR), release version 4.3.1, 2016.

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SPI	Species Protection Index
Source	Map of Life
URL	<a href="https://mol.org/indicators/">https://mol.org/indicators/</a>
Date received	2017-10-13
via	Personal communication
Citation	Jetz, W., J. M. McPherson, and R. P. Guralnick. 2012. Integrating biodiversity distribution knowledge: toward a global map of life. <i>Trends in Ecology and Evolution</i> 27:151-159.
GEO BON (2015) <i>Global Biodiversity Change Indicators. Version 1.2</i> . Group on Earth Observations Biodiversity Observation Network Secretariat. Leipzig. URL: <a href="http://www.geobon.org/Downloads/brochures/2015/GBCI_Version1_2_low.pdf">http://www.geobon.org/Downloads/brochures/2015/GBCI_Version1_2_low.pdf</a>	
Documentation	<a href="https://research.googleblog.com/2015/01/map-of-life-preview-of-how-to-evaluate.html">https://research.googleblog.com/2015/01/map-of-life-preview-of-how-to-evaluate.html</a>
TEW	Terrestrial Ecoregions of the World
Source	World Wide Fund for Nature
URL	<a href="https://www.worldwildlife.org/publications/terrestrial-ecoregions-of-the-world">https://www.worldwildlife.org/publications/terrestrial-ecoregions-of-the-world</a>
Date received	2017-03-31
Citation	Olson, D. M., Dinerstein, E., Wikramanayake, E. D., Burgess, N. D., Powell, G. V. N., Underwood, E. C., ... Kassem, K. R. (2001). Terrestrial Ecoregions of the World: A New Map of Life on Earth. <i>BioScience</i> , 51(11), 933–938. https://doi.org/10.1641/0006-3568(2001)051[0933:TEOTWA]2.0.CO;2
TPA	Terrestrial Protected Areas
Source	World Database on Protected Areas
URL	<a href="http://www.protectedplanet.net">http://www.protectedplanet.net</a>
Date received	2017-03-03
Citation	IUCN and UNEP-WCMC (2017), The World Database on Protected Areas (WDPA) [On-line], March Release, Cambridge, UK: UNEP-WCMC.

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**USD** DALY rate for Unsafe sanitation

**Source** Institute for Health Metrics and Evaluation

**URL** <http://ghdx.healthdata.org/gbd-results-tool>

**Date received** 2017-11-06

**Instructions** To retrieve these data, use the following settings.

Base: Single

Context: Risk

Measure: DALYs

Location: *various*

Age: Age-standardized

Sex: both

Year: various

Metric: Rate

Cause: Total All Causes

Risk: Unsafe sanitation

**Citation** Forouzanfar, M. H., Anderson, H. R., Burnett, R., & Dandona, L., *et alia* (2016). Global, regional, and national comparative risk assessment of 79 behavioural, environmental and occupational, and metabolic risks or clusters of risks, 1990–2015: a systematic analysis for the Global Burden of Disease Study 2015. *The Lancet*, 388(10053), 1659–1724.  
[https://doi.org/10.1016/S0140-6736\(16\)31679-8](https://doi.org/10.1016/S0140-6736(16)31679-8)

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UWD	DALY rate for Unsafe Drinking Water
Source	Institute for Health Metrics and Evaluation
URL	<a href="http://ghdx.healthdata.org/gbd-results-tool">http://ghdx.healthdata.org/gbd-results-tool</a>
Date received	2017-11-06
Instructions	To retrieve these data, use the following settings. Base: Single Context: Risk Measure: DALYs Location: <i>various</i> Age: Age-standardized Sex: both Year: various Metric: Rate Cause: Total All Causes Risk: Unsafe water source
Citation	Forouzanfar, M. H., Anderson, H. R., Burnett, R., & Dandona, L., <i>et alia</i> (2016). Global, regional, and national comparative risk assessment of 79 behavioural, environmental and occupational, and metabolic risks or clusters of risks, 1990–2015: a systematic analysis for the Global Burden of Disease Study 2015. <i>The Lancet</i> , 388(10053), 1659–1724. <a href="https://doi.org/10.1016/S0140-6736(16)31679-8">https://doi.org/10.1016/S0140-6736(16)31679-8</a>

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WST	Wastewater treated
CXN	Connection rate
Source 1	OECD Statistics URL <a href="http://stats.oecd.org/">http://stats.oecd.org/</a>
Source 2	Eurostat URL <a href="http://ec.europa.eu/eurostat/data/database">http://ec.europa.eu/eurostat/data/database</a>
Source 3	United Nations Statistics Division URL <a href="http://unstats.un.org/unsd/ENVIRONMENT/Time%20series.htm#InlandWaterResources">http://unstats.un.org/unsd/ENVIRONMENT/Time%20series.htm#InlandWaterResources</a>
Source 4	United Nations Statistics Division URL <a href="http://unstats.un.org/unsd/ENVIRONMENT/Time%20series.htm#InlandWaterResources">http://unstats.un.org/unsd/ENVIRONMENT/Time%20series.htm#InlandWaterResources</a>
Source 5	Global Water Intelligence Water and Wastewater Indicators URL <a href="https://www.globalwaterintel.com/research/global-picture/global-picture/datasets-2">https://www.globalwaterintel.com/research/global-picture/global-picture/datasets-2</a>
Source 6	Pinsent Masons Water Yearbooks URL <a href="http://wateryearbook.pinsentmasons.com/">http://wateryearbook.pinsentmasons.com/</a>
Source 7	AQUASTAT Main Database URL <a href="http://www.fao.org/nr/water/aquastat/data/query/index.html?lang=en">http://www.fao.org/nr/water/aquastat/data/query/index.html?lang=en</a>
Citation	Malik, O. A., Hsu, A., Johnson, L. A., & de Sherbinin, A. (2015). A global indicator of wastewater treatment to inform the Sustainable Development Goals (SDGs). <i>Environmental Science &amp; Policy</i> , 48, 172–185. <a href="https://doi.org/10.1016/j.envsci.2015.01.005">https://doi.org/10.1016/j.envsci.2015.01.005</a>
Documentation	See Appendix A. Supplementary data

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#### 4. Temporal Coverage

The data used in the 2018 EPI cover a variety of time periods. This section summarizes the temporal coverage of the different indicators and clarifies which years support the current and baseline scores.

**Table TA-3.** Temporal coverage for indicators used in the 2018 EPI.

Indicator	97	98	99	00	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17
HAD																					
PME																					
PMW																					
USD																					
UWD																					
PBD																					
MPA																					
TBN																					
TBG																					
SPI																					
PAR																					
SHI																					
TCL																					
FSS																					
MTR																					
CDI																					
CEH																					
CHI																					
NOI																					
BCI																					
SDI																					
NXI																					
WWT																					
SNM																					

Note: Some datasets extend before 1997, but these data were not relevant to the calculations for the 2018 EPI.

**Table TA-4.** Designations of years supporting the current and baseline scores for each indicator.

Indicators	Current	Baseline
<b>Air Quality</b>		
Household Solid Fuels	2016	2005
PM <sub>2.5</sub> Exposure	2015	2008
PM <sub>2.5</sub> Exceedance	2015	2008
<b>Water &amp; Sanitation</b>		
Drinking Water	2016	2005
Sanitation	2016	2005
<b>Heavy Metals / Lead Exposure</b>	2016	2005
<b>Biodiversity &amp; Habitat</b>		
Marine Protected Areas	2017	2007
Terrestrial Biome Protection	2017	2007
Species Protection Index	2014	2004
Protected Area Representativeness Index	2016	2000
Species Habitat Index	2014	2004
<b>Forests / Tree Cover Loss</b>	2016	2006
<b>Fisheries</b>		
Fish Stock Status	2014	2004
Regional Marine Trophic Index	2014	2004
<b>Climate &amp; Energy</b>		
CO <sub>2</sub> Emission intensity – Total	2014	2006
CO <sub>2</sub> Emission intensity – Power	2014	2004*
Methane Emission intensity	2014	2006
N <sub>2</sub> O Emission intensity	2014	2006
Black Carbon Emission intensity	2010	2006
<b>Air Pollution</b>		
SO <sub>2</sub> Emission intensity	2010	2006
NO <sub>x</sub> Emission intensity	2010	2006
<b>Water Resources / Wastewater Treatment</b>	2016	—
<b>Agriculture / Sustainable Nitrogen Management</b>	2015	2001*

\* Global aggregates only.

## 5. Transformations & Targets

**Table TA-5.** Summary of which indicators were logarithmically transformed and the targets used in indicator construction.

Indicator	Trans.	Shift ( $\alpha$ )	Nominal Targets		Value Targets	
			Best	Worst	Best	Worst
BCI			5%	95%	-25.86	-21.10
CDI			5%	95%	-23.36	-21.18
CEH			5%	95%	6.68	850.42
CHI			5%	95%	-24.80	-20.72
DBI			5%	95%	-0.07	0.07
DCI			5%	95%	-0.06	0.05
DMI			5%	95%	-0.06	0.03
DNI			5%	95%	-0.07	0.04
DSI			5%	95%	-0.08	0.09
DXI			5%	95%	-0.06	0.05
FSS			0	99%	0.00	90.82
HAD	log		5%	95%	1.23	8.65
MPA	log	1.22E-05	10	0	2.30	-11.31
MTR			95%	5%	0.01	-0.01
NOI			5%	95%	-26.23	-21.49
NXI			5%	95%	-21.67	-19.47
PAR			95%	5%	0.22	0.03
PME	log		10	99%	2.30	3.79
PMW			0	99%	0.00	86.96
SDI			5%	95%	-22.83	-19.25
SHI			100	1%	100.00	93.40
SNM			0	99%	0.00	1.16
SPI			17	0	17.00	0.00
TBG			17	0	17.00	0.00
TBN			17	0	17.00	0.00
TCL	log	0.000194	0.004	95%	-5.47	0.16
TPI				5%	95%	-0.12
USD	log			5%	95%	0.42
UWD	log			5%	95%	1.23
WT	log	0.01	100	0	4.61	-4.61

**Note:** % indicates percentile, not the units of the indicator.

For the indicators MPA, TCL, and WWT, there were values of zero in the dataset. Before these indicators could be logarithmically transformed, a small shift ( $\alpha$ ) was added to each value.

## 6. Materiality Filters

**Table TA-6.** Materiality Filters applied to the 2018 EPI. Countries meeting the listed criteria are not scored on the associated indicators and issue categories.

Materiality Filter	Criteria	Issue Category	Indicator	No. of Countries
Forest	Total forested ( $\geq 30\%$ canopy cover) area $< 200 \text{ km}^2$	Forests	<i>Tree Cover Loss</i>	30
Sea	Landlocked or Coastline : Land area ratio $< 0.01$	Fisheries	<i>Fish Stock Status</i> <i>Regional MTI</i> <i>Marine Protected Areas</i>	44

### Countries in the 2018 EPI affected by the Forest Materiality Filter

Antigua and Barbuda	Jordan	Oman
Bahrain	Kiribati	Qatar
Barbados	Kuwait	Samoa
Burkina Faso	Lesotho	São Tomé and Príncipe
Cabo Verde	Libya	Saudi Arabia
Djibouti	Maldives	Seychelles
Eritrea	Malta	Singapore
Gambia	Mauritania	Tonga
Iceland	Namibia	Turkmenistan
Iraq	Nigeria	United Arab Emirates

**Countries in the 2018 EPI affected by the Sea Materiality Filter**

Afghanistan	Ethiopia	Niger
Armenia	Hungary	Paraguay
Austria	Iraq	Rwanda
Azerbaijan	Jordan	Serbia
Belarus	Kazakhstan	Slovakia
Bhutan	Kyrgyzstan	Slovenia
Bolivia	Laos	Swaziland
Bosnia & Herzegovina	Lesotho	Switzerland
Botswana	Luxembourg	Tajikistan
Burkina Faso	Macedonia	Turkmenistan
Burundi	Malawi	Uganda
Central African Rep.	Mali	Uzbekistan
Chad	Moldova	Zambia
Czech Republic	Mongolia	Zimbabwe
Dem. Rep. Congo	Nepal	

## 7. Global Scorecard

The country-level indicators can also be aggregated to produce global measures. Sometimes, global aggregates are available from the sources of raw data that went into the construction of indicators, and other times, the indicators had to be combined by various means. This section describes how the construction of the global scorecard values for the 2018 EPI. First, a global aggregate for each metric was either downloaded from a data partner or calculated from the raw, country-level data. Second, these global metric was then turned into a 0–100 score using the same targets and transformations summarized in Section 5.

In the descriptions to follow, the superscript *g* indicates a global aggregate metric, and the subscript *c* is an index of countries in the raw data.

### **HAD : Household Solid Fuels / Air Quality / Environmental Health**

This indicator is available as a global aggregate from the data source.

---

Source Institute for Health Metrics and Evaluation

URL <http://ghdx.healthdata.org/gbd-results-tool>

Instructions To retrieve these data, use the following settings.

Base: Single

Context: Risk

Measure: DALYs

Location: Global

Age: Age-standardized

Sex: both

Year: various

Metric: Rate

Cause: Total All Causes

Risk: Household air pollution from solid fuels

---

### **PME : PM<sub>2.5</sub> Exposure / Air Quality / Environmental Health**

The global aggregate of *PM<sub>2.5</sub> Exposure* is calculated as a population-weighted average of all country-level values.

$$PME^g = \sum_c \left[ PME_c \times \frac{POP_c}{\sum_c POP_c} \right]$$

### **PMW : PM<sub>2.5</sub> Exceedance / Air Quality / Environmental Health**

The global aggregate of *PM<sub>2.5</sub> Exceedance* is based on the population-weighted average of all country-level values for percentage of the population exceeding WHO thresholds.

$$PMW^g = 0.1 \times PM1^g + 0.2 \times PM2^g + 0.3 \times PM3^g + 0.4 \times PM4^g$$

Where, for example,

$$PM1^g = \sum_c \left[ PM1_c \times \frac{POP_c}{\sum_c POP_c} \right]$$

### **UWD : Unsafe Drinking Water / Water & Sanitation / Environmental Health**

This indicator is available as a global aggregate from the data source.

Source    Institute for Health Metrics and Evaluation

URL    <http://ghdx.healthdata.org/gbd-results-tool>

Instructions    To retrieve these data, use the following settings.

Base: Single

Context: Risk

Measure: DALYs

Location: Global

Age: Age-standardized

Sex: both

Year: various

Metric: Rate

Cause: Total All Causes

Risk: Unsafe water source

## **USD : Unsafe Sanitation / Water & Sanitation / Environmental Health**

This indicator is available as a global aggregate from the data source.

---

Source Institute for Health Metrics and Evaluation

URL <http://ghdx.healthdata.org/gbd-results-tool>

Instructions To retrieve these data, use the following settings.

Base: Single

Context: Risk

Measure: DALYs

Location: Global

Age: Age-standardized

Sex: both

Year: various

Metric: Rate

Cause: Total All Causes

Risk: Unsafe sanitation

---

## **PBD : Lead exposure / Heavy Metals / Environmental Health**

This indicator is available as a global aggregate from the data source.

---

Source Institute for Health Metrics and Evaluation

URL <http://ghdx.healthdata.org/gbd-results-tool>

Instructions To retrieve these data, use the following settings.

Base: Single

Context: Risk

Measure: DALYs

Location: Global

Age: Age-standardized

Sex: both

Year: various

Metric: Rate

Cause: Total All Causes

Risk: Lead exposure

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### **MPA : Marine Protected Areas / Biodiversity & Habitat / Ecosystem Vitality**

The global aggregate of *Marine Protected Areas* is calculated as a simple aggregation of country-level data.

$$MPA^g = \frac{\sum_c \sum_i AMP_{ic}}{\sum_c \sum_j EEZ_{jc}} \times 100$$

### **TBG : Terrestrial Protected Areas, global weights / Biodiversity & Habitat / Ecosystem Vitality**

Because national weights do not apply to global aggregates, there is no comparable metric for TBN. Instead, TBG serves as the global indicator of *Terrestrial Protected Areas* and is calculated as a simple aggregation of country-level data.

First, the percent of each biome in the world that lies within a protected area is given by,

$$PCT_b = \frac{\sum_c TPA_{bc}}{\sum_c TEW_{bc}}$$

Second, the credit given to a country for protecting any given biome is capped at 17%,

$$ICT_b = \begin{cases} PCT_b & \text{if } PCT_b \leq 0.17 \\ 0.17 & \text{if } PCT_b > 0.17 \end{cases}$$

Third, the global weight placed on each biome is calculated by the global rarity of the biome,

$$w_b = \frac{\sum_c TEW_{bc}}{\sum_b \sum_c TEW_{bc}}$$

Fourth, the metric is calculated as the weighted sum of percent protection for all biomes in a country.

$$TBG^g = \sum_b [w_b \times ICT_b]$$

### **SPI : Species Protection Index / Biodiversity & Habitat / Ecosystem Vitality**

The global aggregate of *Species Protection Index* is calculated as a land area-weighted average of all country-level values.

$$SPI^g = \sum_c \left[ SPI_c \times \frac{LAN_c}{\sum_c LAN_c} \right]$$

### **PAR : Protected Area Representativeness Index / Biodiversity & Habitat / Ecosystem Vitality**

This indicator is available as a global aggregate from the data source.

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Source Commonwealth Scientific and Industrial Research Organisation

URL <https://data.csiro.au/>

Date received 2017-11-21

via Personal communication

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### **SHI : Species Habitat Index / Biodiversity & Habitat / Ecosystem Vitality**

The global aggregate of *Species Habitat Index* is calculated as a land area-weighted average of all country-level values.

$$SHI^g = \sum_c \left[ SHI_c \times \frac{LAN_c}{\sum_c LAN_c} \right]$$

### **TCL : Tree Cover Loss / Forests / Ecosystem Vitality**

The global aggregate of *tree cover loss* is calculated as a simple aggregation of country-level data.

$$TCL = \frac{1}{5} \sum_{i=0}^4 \frac{\sum_c ATL_{c,t-i}}{\sum_c FOR_c}$$

### **FSS : Fish Stock Status / Fisheries / Ecosystem Vitality**

The global aggregate of *Fish Stock Status* is calculated as a catch-weighted average of all country-level values.

$$FSS^g = \sum_{k=1}^2 \frac{\sum_c \sum_e [FSC_{kec} \times CTH_{ec}]}{\sum_c \sum_e \sum_k [FSC_{kec} \times CTH_{ec}]}$$

**Note:** EEZs where the catch was less than 1.5% of a country's total catch were excluded from the calculation.

### **MTR : Regional Marine Trophic Index / Fisheries / Ecosystem Vitality**

The global aggregate of *Fish Stock Status* is calculated as a EEZ area-weighted average of all country-level values.

$$MTR^g = \frac{\sum_c [MTR_c \times AEZ_c]}{\sum_c AEZ_c}$$

**Note:** EEZs where the catch was less than 1.5% of a country's total catch were excluded from the calculation.

### **Generalized Emission intensity Calculations**

While country-level scores for the Emission Intensity are a blend of current-year and trend-based scores, the global aggregates are only based on current-year data on emissions. This is because there is no income-based standard against which a trend could be referenced at the global level. Therefore, the global scorecard for emission intensity scores should not be interpreted as an assessment of global trends.

For most global aggregates of emission intensity, the values are calculated from simple aggregations of country-level data.

### **DCT : CO<sub>2</sub> Emission intensity – Total / Climate & Energy / Ecosystem Vitality**

$$DCT^g = \ln \left[ \frac{\sum_c CDT_c}{\sum_c GDP_c} \right]$$

### **DPT : CO<sub>2</sub> Emission intensity – Power / Climate & Energy / Ecosystem Vitality**

This indicator is available as a global aggregate from the data source.

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Source	International Energy Agency
URL	<a href="http://www.oecd-ilibrary.org/energy/data/iea-co2-emissions-from-fuel-combustion-statistics_co2-data-en">http://www.oecd-ilibrary.org/energy/data/iea-co2-emissions-from-fuel-combustion-statistics_co2-data-en</a>
Instructions	<p>Select “Emissions per kWh of electricity and heat output”</p> <p>Select designated variable:</p> <ul style="list-style-type: none"> <li>Product = Total</li> <li>Flows = CO<sub>2</sub> per kWh of electricity and heat</li> </ul> <p>Export as Excel file</p>

---

### **DMT : CH<sub>4</sub> Emission intensity / Climate & Energy / Ecosystem Vitality**

$$DMT^g = \ln \left[ \frac{\sum_c CH4_c}{\sum_c GDP_c} \right]$$

### **DNT : N<sub>2</sub>O Emission intensity / Climate & Energy / Ecosystem Vitality**

$$DNT^g = \ln \left[ \frac{\sum_c NOT_c}{\sum_c GDP_c} \right]$$

### **DBT : Black Carbon Emission intensity / Climate & Energy / Ecosystem Vitality**

$$DBT^g = \ln \left[ \frac{\sum_c BCT_c}{\sum_c GDP_c} \right]$$

### **DST : SO<sub>2</sub> Emission intensity / Air Pollution / Ecosystem Vitality**

$$DST^g = \ln \left[ \frac{\sum_c SO2_c}{\sum_c GDP_c} \right]$$

**DXT : NO<sub>x</sub> Emission intensity / Air Pollution / Ecosystem Vitality**

$$DXT^g = \ln \left[ \frac{\sum_c NOX_c}{\sum_c GDP_c} \right]$$

**WWT : Wastewater Treatment / Water Resources / Ecosystem Vitality**

The global aggregate of *Wastewater Treatment* is calculated as a population-weighted average of all country-level values.

$$WWT^g = \sum_c \left[ WWT_c \times \frac{POP_c}{\sum_c POP_c} \right]$$

**SNM : Sustainable Nitrogen Management Index / Agriculture / Ecosystem Vitality**

This indicator is available as a global aggregate from the data source.

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Source Zhang, Xin

Date received 2017-12-14

via Personal communication

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