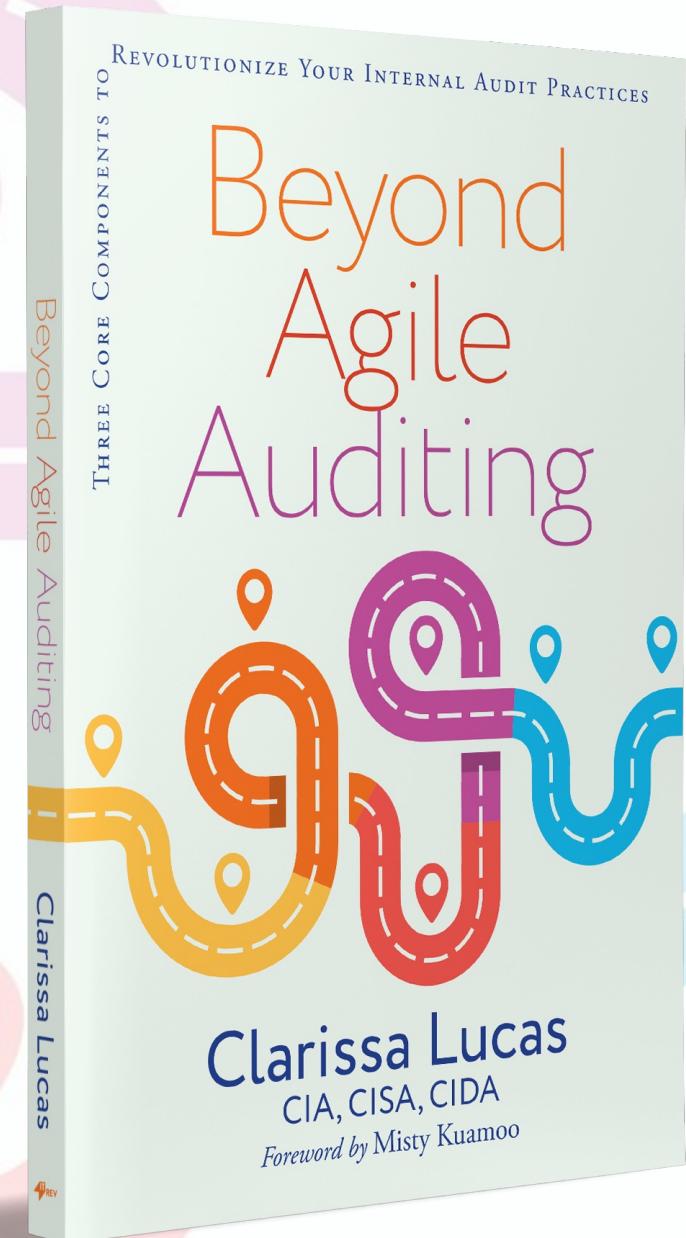


# Beyond Agile Auditing

## The Path to a Better Audit Experience



# 2009 Velocity vs 2022 DOES

2009	2022
<p>The two presenters represented areas that were historically adversaries: Paul from development and John from operations.</p>	<p>While I represented Internal Audit, my copresenter represented the client.</p>
<p>Paul and John explained how Dev and Ops at Flickr got along and worked together.</p>	<p>My copresenter and I explained how the auditor and the audit client got along and worked together.</p>

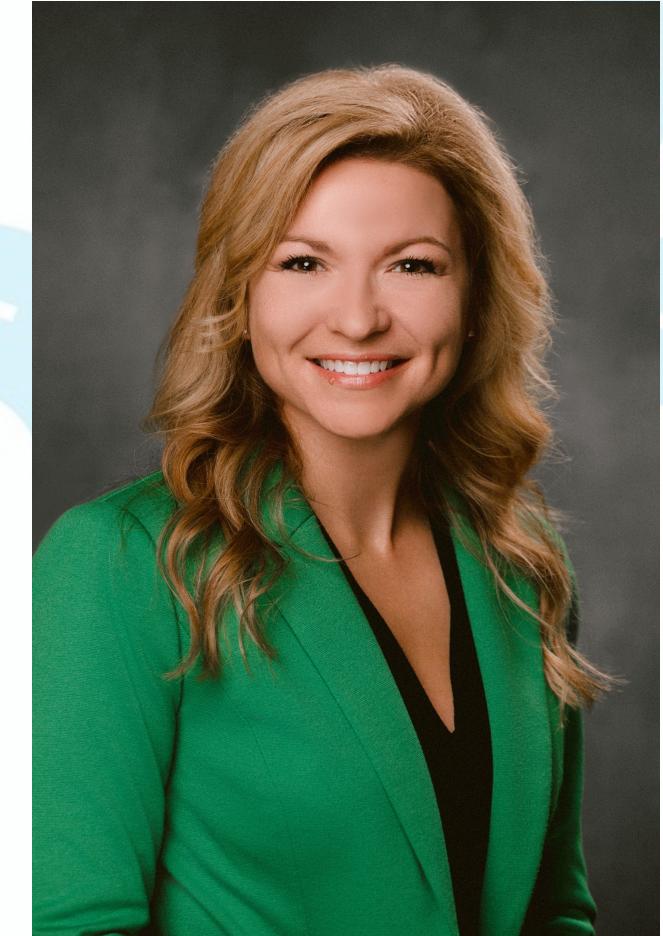
# Today's Journey



- Explore traditional audit approach
- Understand what Auditing with Agility is
- Learn how to influence a better audit experience

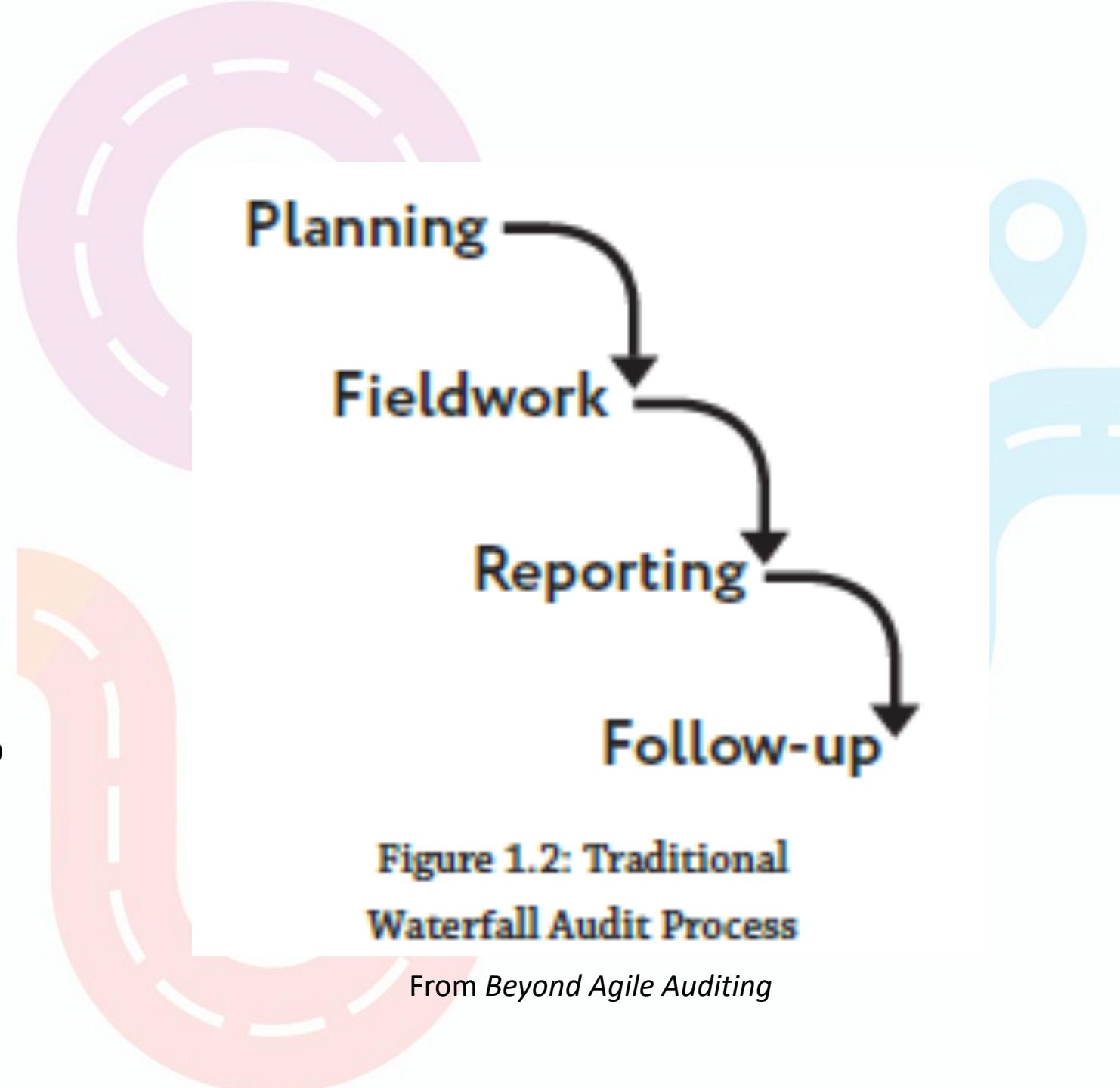
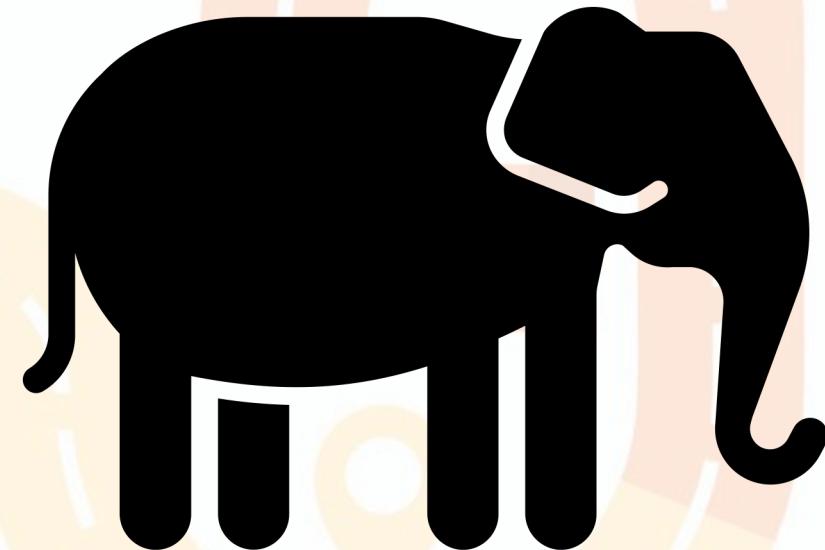
# Your Tour Guide

- Internal Audit Thought Leader
- 15+ Years Internal Audit and Risk Management Experience
- Published author and speaker:
  - Beyond Agile Auditing, IT Revolution Press
  - Published multiple articles in the IIA's Internal Auditor Magazine
  - Published in DevOps Enterprise Journal (IT Revolution)
  - IIA Conferences: GRC, FSA, and International
  - DevOps Enterprise Summits
  - IIA Chapter Events



Clarissa Lucas  
CIA, CISA, CIDA

# Our Starting Point



**Figure 1.2: Traditional  
Waterfall Audit Process**

From *Beyond Agile Auditing*

# Challenges

- Unplanned work
- Context switching
- Chokepoints
- Untimely communications
- Lack of business knowledge
- Limited value or limited clarity on value
- Limited ability to respond to change



# Agile Auditing



Image from the IIA's Global Knowledge brief titled Agile Internal Audit:  
<https://iiabelgium.org/wp-content/uploads/2020/08/GKB-Agile-Internal-Audit.pdf>

# Solution: Auditing with Agility



Three Core Components

From *Beyond Agile Auditing*



From *Beyond Agile Auditing*

- Value Actionable Insights over Extensive Documentation
- Deliver Value Frequently
- Measure Progress through the Delivery of Value
- Increase Visibility
- Constantly Optimize for the Global Goals



*From Beyond Agile Auditing*

- Intentional Collaboration
- Work Together Daily
- Working as One Team toward a Collective Goal
- Integrated Planning
- Feedback Loops

# Example – Integrated Planning/SOD



# Example – Integrated Planning/SOD





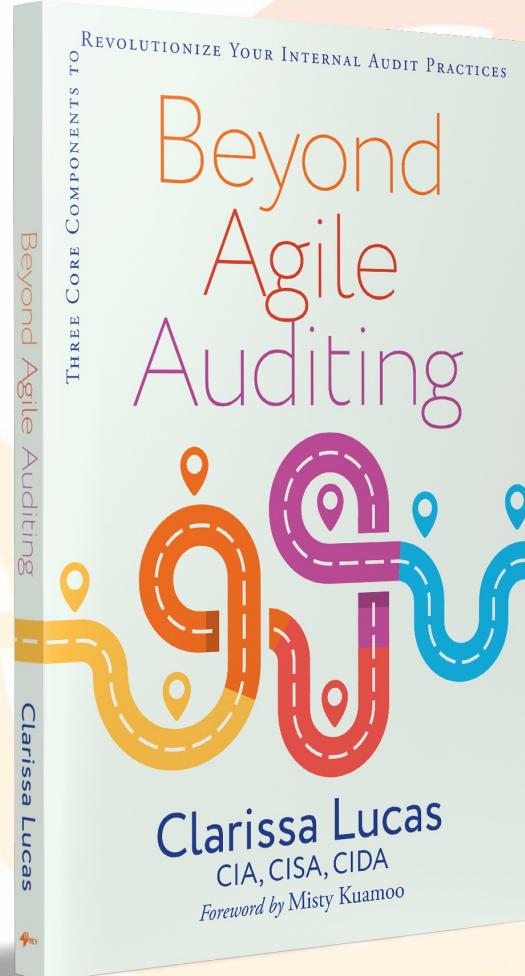
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- Respond to Change over Strictly Following a Plan
- Pursue Simplicity
- Leverage Self-Organizing Teams
- Limit Work in Process

# Benefits



# Next Steps: Moving Beyond Agile Auditing



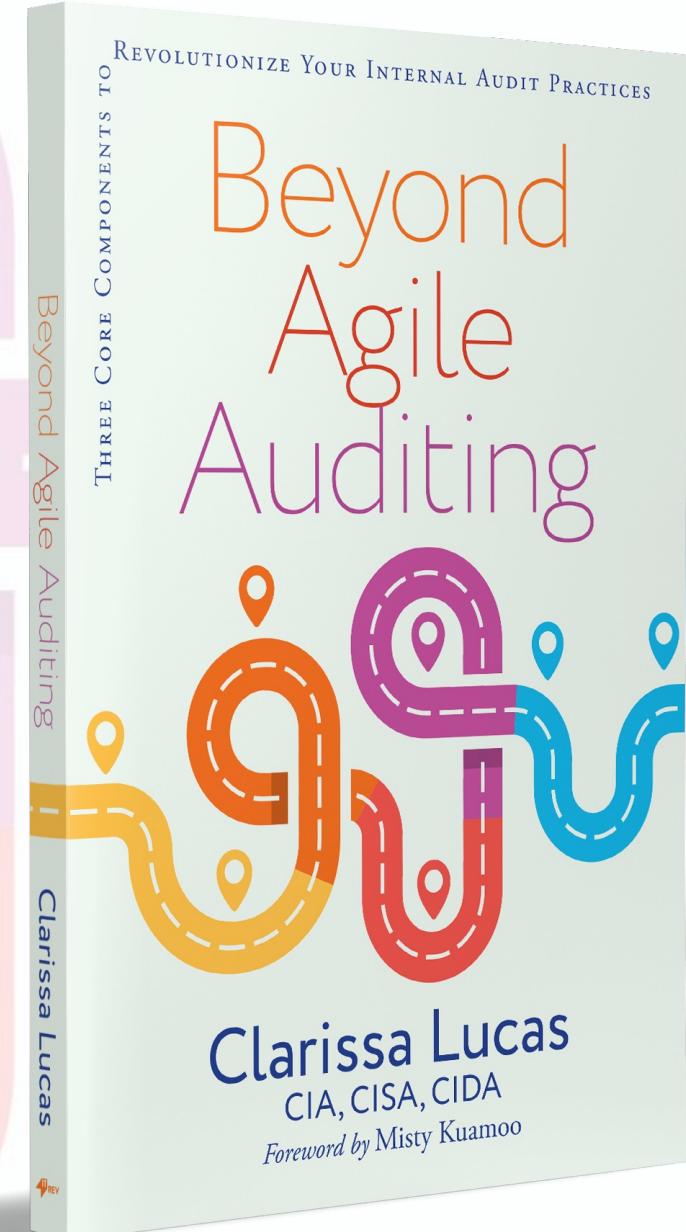
- Break free from old ways of working and constricting frameworks
- Add value with fewer audit headaches
- Move past “auditors vs clients”

# Continue Your Journey

Connect with Clarissa

- <https://www.linkedin.com/in/clarissalucas/>
- [www.clarissalucas.com](http://www.clarissalucas.com)

Scan the QR code to subscribe to my newsletter:



# Here's the help I'm looking for:

- Examples of where you've experienced these (or other) better ways of working applied to the audit process
- Your story on the challenges you faced with your auditors and how you overcame them
- The challenges you're currently facing with your auditors