

# Thoughts on lie algebras

Daniel Miller

August 7, 2016

Let  $k$  be a commutative ring. We will write  $\mathcal{A}$  to denote the category of  $k$ -algebras, but much of this will work for  $\mathcal{A}$  an arbitrary (sufficiently nice) category.

Let  $\mathcal{A}^*$  be the category of augmented  $k$ -algebras (or more generally, the category of arrows  $0 \rightarrow a$  in  $\mathcal{A}$ ). Then the initial and terminal objects in  $\mathcal{A}^*$  are the same. Let  $\text{Ab}(\mathcal{A}^*)$  denote the category of abelian group objects in  $\mathcal{A}^*$ . There is an obvious forgetful functor  $\text{Ab}(\mathcal{A}^*) \rightarrow \mathcal{A}^*$ . It has a left adjoint which we denote by  $\Omega$ . One has

$$\Omega(A, \varepsilon) = k \oplus \varepsilon_* \Omega_{A/k}^1$$

Now let  $\text{Cg}(\mathcal{A}^*)$  denote the category of cogroup objects in  $\mathcal{A}^*$  – in other words group objects in  $\mathcal{A}^{*\circ}$ . So  $\text{hom}(G, -)$  is a group-valued functor for  $G \in \text{Cg}(\mathcal{A}^*)$ .

If  $G \in \text{Cg}(\mathcal{A}^*)$  and  $M \in \text{Ab}(\mathcal{A}^*)$ , then  $\text{hom}_{\mathcal{A}^*}(G, M)$  has two group operations, each of which distribute over the other. Thus  $\text{hom}_{\text{Ab}(\mathcal{A}^*)}(\Omega G, -)$  is canonically an abelian-group functor, so  $\Omega G$ , a priori only an abelian group object, is also an abelian co-group object in  $\mathcal{A}^*$ . Put  $\mathfrak{g} = \Omega G$ . I claim that  $\mathfrak{g}$  has a natural action of  $G$ , the *adjoint action*  $\text{ad} : G \rightarrow \text{Aut } \mathfrak{g}$ . We first realize this action on

Given  $g \in G(A)$ ,  $X \in \mathfrak{g}(A)$

$$\begin{aligned} g &\in \text{hom}_{\mathcal{A}^*}(G, A) \\ X &\in \text{hom}_{\mathcal{A}^*}(\mathfrak{g}, A) = \text{hom}_{\text{Ab}(\mathcal{A}^*)}(\mathfrak{g}, k \oplus A) = \text{hom}_{\mathcal{A}^*}(G, k \oplus A) \end{aligned}$$

## 1 Some examples

Let  $A$  be (possibly non-associative)  $k$ -algebra. Let  $\text{Aut}(A)$  be the functor  $R \mapsto \text{Aut}_R(A \otimes_k R)$ . Put  $G = \text{Aut}(A)$ ; we want to compute  $\mathfrak{g} = \text{Lie } G$ . We have

$$\mathfrak{g}(R) = \ker(G(R[\varepsilon]) \rightarrow G(R)) = \ker(\text{Aut}_R(A_{R[\varepsilon]}) \rightarrow \text{Aut}_R(A_R))$$

If  $\phi : A \otimes R[\varepsilon] \rightarrow A \otimes R[\varepsilon]$  is an isomorphism of  $R[\varepsilon]$ -algebras in  $\mathfrak{g}(R)$ . Then  $\phi$  is of the form  $a \otimes r \mapsto (a + (\partial a)\varepsilon) \otimes r$ , for  $\partial : A_r \rightarrow A_R$  an  $R$ -linear map. One checks that  $\phi$  is an automorphism if and only if  $\partial$  is a derivation. We have:

$$\begin{aligned} \text{Der}(A) &\xrightarrow{\sim} \text{Lie}(\text{Aut } A) \\ \text{End}(V) &\xrightarrow{\sim} \text{Lie}(\text{GL } V) \end{aligned}$$

If we have  $\rho : G \rightarrow \text{Aut } A$ , then we get  $\rho : \text{Lie } G \rightarrow \text{Der}(A)$ . In particular, the adjoint action of  $G$  on itself gives  $\mathfrak{g} \rightarrow \text{Der}(G)$ .

Let's work things out for  $G = \text{GL}(V)$ , where  $V$  is some  $k$ -module. We want to get a morphism  $\mathfrak{g} \rightarrow \text{Der}(G)$ . We look at  $R$ -valued points. An element  $X \in \mathfrak{g}(R)$  is identified with

$$\exp(X) = 1 + X\varepsilon \in \ker(\text{GL}(V \otimes R[\varepsilon]) \rightarrow \text{GL}(V \otimes R)).$$

The action  $\text{ad} : G \rightarrow \text{Aut } G$  should give us  $\text{ad}(X) \in \ker(\text{Aut}(G \otimes R[\varepsilon]) \rightarrow \text{Aut}(G \otimes R))$ . Indeed,  $\text{ad}(X)$  acts on  $S$ -valued points as  $\text{ad}(X) : G_{R[\varepsilon]}(S) \rightarrow G_{R[\varepsilon]}(S)$  as honest conjugation by  $1 + \varepsilon X$ .

$$g : R[\varepsilon][X_{ij}, \det^{-1}] \rightarrow S \leftrightarrow (g_{ij}) \in \text{GL}(V \otimes S)$$

$$(1 + \varepsilon X)g(1 - \varepsilon X) = g + \varepsilon[X, g]$$

## 2 Some functors

We define a  $k$ -group functor  $\text{Der}(A)$  by  $\text{Der}(A)(R) = \text{Der}_R(A \otimes_k R)$ . In nice circumstances, this is a quasi-coherent  $\mathcal{O}$ -module. Define  $\text{Der}(A) \rightarrow \text{Lie}(\text{Aut } A)$  as follows. On  $R$ -valued points, we need

$$\begin{aligned} \text{Der}_R(A \otimes R) &\rightarrow \ker(\text{Aut}(A)(R[\varepsilon]) \rightarrow \text{Aut}(A)(R)) \\ \text{Der}_R(A \otimes R) &\rightarrow \ker(\text{Aut}_{R[\varepsilon]}(A \otimes R[\varepsilon]) \rightarrow \text{Aut}_R(A \otimes R)) \end{aligned}$$

Let  $\partial : A \otimes R \rightarrow A \otimes R$  be an  $R$ -derivation. Define  $\phi = 1 + \varepsilon \cdot \partial$  by

$$\phi(a \otimes r) = a \otimes r + \partial(a) \otimes \varepsilon r.$$

In other words,  $\phi = 1 + \partial \otimes \varepsilon$ . We have

$$\begin{aligned} \phi(a \otimes r)\phi(b \otimes s) &= (a \otimes r + \partial(a) \otimes \varepsilon r)(b \otimes s + \partial(b) \otimes \varepsilon s) \\ &= ab \otimes rs + a\partial(b) \otimes \varepsilon rs + b\partial(a) \otimes \varepsilon rs \\ &= ab \otimes rs + (a\partial b + b\partial a) \otimes \varepsilon rs \\ &= ab \otimes rs + \partial(ab) \otimes \varepsilon rs. \end{aligned}$$

So  $\phi$  is a ring homomorphism. Note that  $1 + \varepsilon \cdot \partial$  and  $1 - \varepsilon \cdot \partial$  are inverses, so  $\phi$  is an automorphism. Conversely, one checks that all elements of  $\text{Lie}(\text{Aut } A)(R)$  are of the form  $1 + \varepsilon \cdot \partial$ . In other words,  $\text{Der}(A) \rightarrow \text{Lie}(\text{Aut } A)$  is an isomorphism of group functors.

## 3 Invariant derivations

Let  $G$  be a  $k$ -group functor. Then we have a homomorphism  $l : G \rightarrow \text{Aut } G$ , the “left regular representation.” The induced infinitesimal representation  $l : \mathfrak{g} \rightarrow \text{Lie}(\text{Aut } G) = \text{Der}(G)$  should induce an isomorphism between  $\mathfrak{g} = \text{Lie } G$  and the algebra of invariant derivations of  $G$ . Let’s see how this works. On points, we have

$$l(R) : \ker(G(R[\varepsilon]) \rightarrow G(R)) \rightarrow \ker(\text{Aut}_{R[\varepsilon]}(G \otimes R[\varepsilon]) \rightarrow \text{Aut}_R(G \otimes R))$$

Given  $X \in \mathfrak{g}(R)$ , we need an element  $l(R)(X) \in \text{Aut}_{R[\varepsilon]}(G \otimes R[\varepsilon])$ . We define this on the functor of points:  $l(R)(X)(S) : (G \otimes R[\varepsilon])(S) \rightarrow (G \otimes R[\varepsilon])(S)$  is  $x \mapsto X \cdot x$ .