

Counterexamples related to the Sato–Tate conjecture for CM abelian varieties*

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31 March 2017

1 Introduction and motivation

Let K/\mathbf{Q} be a finite Galois extension, A/K a g -dimensional abelian variety. Fix a rational prime l ; then the l -adic Tate module of A gives a representation $\rho_l: G_{\mathbf{Q}} = \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q}) \rightarrow \text{GL}_{2g}(\mathbf{Q}_l)$. The image actually lies in the subgroup $\text{GSp}_{2g}(\mathbf{Q}_l)$ preserving the Weil pairing, but we won't worry about that. If we write $F = \text{End}_K(A)_{\mathbf{Q}}$, then since ρ_l commutes with F , the representation actually takes values in $\text{GL}_{2g/[F:\mathbf{Q}]}(F \otimes \mathbf{Q}_l)$. We are interested in the extreme case, when $[F : \mathbf{Q}] = 2g$. When this occurs, we say that A has complex multiplication defined over K . Write $R_{F/\mathbf{Q}} \mathbf{G}_m$ for the algebraic group whose functor of points is, for any \mathbf{Q} -algebra R , given by $(R \otimes \mathbf{Q}_l)^{\times}$. The representation ρ_l is a map $\rho_l: G_{\mathbf{Q}} \rightarrow (R_{F/\mathbf{Q}} \mathbf{G}_m)(\mathbf{Q}_l)$. The *motivic Galois group* of A is a \mathbf{Q} -subgroup $G_A \subset R_{F/\mathbf{Q}} \mathbf{G}_m$ such that for all l , $\overline{\text{im}(\rho_l)}^{\text{Zar}} = G_A(\mathbf{Q}_l)$. It has a canonical subgroup $G_A^1 = G_A^{N_{F/\mathbf{Q}}=1}$, which we will not motivate here. There is a direct description of G_A . Let $\det_{\mathfrak{a}}: R_{K/\mathbf{Q}} \rightarrow R_{F/\mathbf{Q}}$ be induced by the determinant of the K -action on $\mathfrak{a} = \text{Lie}(A)$, viewed as an F -vector space. Then $G_A = \text{im}(\det_{\mathfrak{a}})$. The *Sato–Tate group* of A is the maximal compact subgroup of the torus $G_A^1(\mathbf{C})$. So $\text{ST}(A) = (\mathbf{R}/\mathbf{Z})^d$ for some $1 \leq d \leq g$. If A has good reduction at $\mathfrak{p} \nmid l$, then $\rho_l(\text{fr}_{\mathfrak{p}})$ actually lives in F^{\times} and is independent of l . Write $\pi_{\mathfrak{p}} \in F^{\times}$ for this quantity; it is a \mathfrak{p} -Weil number of weight 1, i.e. $|\sigma(\pi_{\mathfrak{p}})| = N(\mathfrak{p})^{1/2}$ for all $\sigma: F \hookrightarrow \mathbf{C}$. Even better, Shimura–Taniyama–Weil have constructed a continuous homomorphism $\varepsilon: \mathbf{A}_K^{\times} \rightarrow F^{\times}$ which agrees with $\det_{\mathfrak{a}}$ on $K^{\times} \subset \mathbf{A}_K^{\times}$, and for almost all \mathfrak{p} , sends a uniformizer $\varpi_{\mathfrak{p}}$ for \mathfrak{p} to the element $\pi_{\mathfrak{p}}$.

For any $\sigma: F \hookrightarrow \mathbf{C}$, let $\chi_{\sigma}: \mathbf{A}_K^{\times}/K^{\times} \rightarrow \mathbf{C}^{\times}$ be the quasicharacter $\chi_{\sigma}(x) = \sigma(\varepsilon(x)\psi(x_{\infty})^{-1})$. Here, $\varepsilon(x)\psi(x_{\infty})^{-1} \in (F \otimes \mathbf{R})^{\times}$, and we write σ for the

*Notes for a talk given in Cornell's Number Theory Seminar.

map $(F \otimes \mathbf{R})^\times \rightarrow \mathbf{C}^\times$ induced by σ . If we write also σ for the corresponding character of $R_{F/\mathbf{Q}} \mathbf{G}_m$, then there is equality

$$L(\sigma_* \rho_l, s) = L(s, \chi_\sigma).$$

Given any $r = \sum m_\sigma \sigma \in X^*(R_{F/\mathbf{Q}} \mathbf{G}_m)$, put

, and $\theta_{\mathfrak{p}} = \frac{\rho_l(\text{fr}_{\mathfrak{p}})}{N(\mathfrak{p})^{1/2}}$ lies in $\text{ST}(A)$. The *Sato–Tate conjecture* for A tells us that the $\theta_{\mathfrak{p}}$ are equidistributed in $\text{ST}(A)$, i.e. for all $f \in C(\text{ST}(A))$, we have

$$\int f(x) dx = \lim_{x \rightarrow \infty} \frac{1}{\pi_K(x)} \sum_{N(\mathfrak{p}) \leq x} f(\theta_{\mathfrak{p}})$$

Serre outlined a way to prove the Sato–Tate conjecture. Note first that any character of $\text{ST}(A)$ is induced by an algebraic character of G_A . Given $r \in X^*(G_A)$, we associate $L(r_* \rho_l, s)$ with the composite Galois representation $r \circ \rho_l: G_{\mathbf{Q}} \rightarrow \overline{\mathbf{Q}_l}^\times$. To prove the Sato–Tate conjecture for A , it suffices to show that for all f , the function $L(r_* \rho_l, s)$ admits non-vanishing meromorphic continuation past $\Re = 1$, with at most a simple pole at $s = 1$. We will see later how this is done. For our purposes, note that it also suffices to show that for all $r \in X^*(G_A)$ which induce a nontrivial character of $\text{ST}(A)$, a bound of the form

$$\left| \sum_{N(\mathfrak{p}) \leq x} r(\theta_{\mathfrak{p}}) \right| = o(\pi_K(x)).$$

If we can replace $o(\pi_K(x))$ with $x^{-\frac{1}{2}+\epsilon}$, we will have established the Riemann hypothesis for $L(r_* \rho_l, s)$.

Unitary representations of $\text{ST}(A)$ are just characters, and basic representation theory tells us that all such representations are induced by an (algebraic) character of G_A defined over $\overline{\mathbf{Q}}$. For $r \in X^*(G_A)$, there is an L -function $L(r_* \rho_l, s)$ coming from the composite Galois representation $r \circ \rho_l: G_{\mathbf{Q}} \rightarrow G_A(\mathbf{Q}_l) \rightarrow \overline{\mathbf{Q}_l}^\times$. The Sato–Tate conjecture for A says that all $L(r_* \rho_l, s)$ have non-vanishing analytic continuation past $\Re = 1$, and the Generalized Riemann hypothesis for A says that all $L(r_* \rho_l, s)$ satisfy the Riemann hypothesis.

Choose an isomorphism $(\mathbf{R}/\mathbf{Z})^d \simeq \text{ST}(A)$, and put

$$D_x(A) = \sup_{t \in [0,1]^d} \left| \frac{1}{\pi_K(x)} \sum_{N(\mathfrak{p}) \leq x} 1_{[0,t]}(\theta_{\mathfrak{p}}) - \int 1_{[0,t]} \right|.$$

Akiyama and Tanigawa conjectured that for non-CM elliptic curves, $D_x(E) \ll x^{-\frac{1}{2}+\epsilon}$. We call the “Akiyama–Tanigawa conjecture” for A the discrepancy decays like $D_x(A) \ll x^{-\frac{1}{2}+\epsilon}$. Via the Koksma–Hlawka inequality, the Akiyama–Tanigawa conjecture implies that for all bounded-variation functions f on

$\text{ST}(A)$, the estimate

$$\left| \sum_{N(\mathfrak{p}) \leq x} f(\theta_{\mathfrak{p}}) \right| \ll \text{Var}(f) x^{\frac{1}{2} + \epsilon}.$$

For $r \in X^*(G_A)$, this estimate implies the Riemann hypothesis for the L -function $L(r_*, \rho_l, s)$.

Analogy with Artin L -functions (go into detail here!) seems to suggest that if all $L(r_*, \rho_l, s)$ satisfy the Riemann Hypothesis, then the Akiyama–Tanigawa conjecture for A holds. We’ll show: this converse is false, in a limited sense.

2 Diophantine approximation

Let $x \in [0, 1]$ be irrational. It is well known that the sequence $(x \bmod 1, 2x \bmod 1, 3x \bmod 1, \dots)$ is equidistributed in $[0, 1]$. What is less well known is that the rate of convergence of empirical measures from this sequence to the uniform measure is governed by the irrationality measure of x . The irrationality measure $\mu(x)$ is the supremum of the set of $w \geq 1$ such that there are infinitely many p/q with $\left| \mu - \frac{p}{q} \right| \leq q^{-w}$. Let’s generalize this to higher-dimensional space.

3 Fake Satake parameters

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