## A counterexample relating exponential sums and discrepancy

Daniel Miller

December 21, 2016

For a prime p, let

$$T_p = \left\{ \frac{a}{2\sqrt{p}} : a \in \mathbf{Z}, |a| \leqslant 2\sqrt{p} \right\}$$
  
$$\Theta_p = \cos^{-1}(T_p).$$

Since applying continuous increasing functions preserves discrepancy, we have:

$$\operatorname{disc}(T_p, \operatorname{Leb}) \ll p^{-1/2}$$
$$\operatorname{disc}\left(\Theta_p, \frac{1}{2}\sin(t) dt\right) \ll p^{-1/2}.$$

We claim that starting with  $\theta_2 \in \Theta_2$ , we can choose  $\theta_p$  such that we preserve the inequalities:

$$\frac{1}{4\log x} \leqslant \operatorname{disc}(\{\theta_p\}_{p\leqslant x}) \leqslant \frac{4}{\log x}$$
$$\left| \sum_{p\leqslant x} U_1(\theta_p) \right| \leqslant 2\sqrt{x}$$

Recall that

$$U_1(\theta) = \frac{\sin(2\theta)}{\sin \theta}.$$

We can run this for all  $p \leq 10^5$ . Recall that  $\pi(10^5) \approx 10000$ .

Here is what we get:

**Conjecture 1.** There exists a sequence of  $\theta_p \in \Theta_p$  such that the following identities always hold:

$$\frac{1}{4\log x} \leqslant \operatorname{disc}(\{\theta_p\}_{p\leqslant x}) \leqslant \frac{4}{\log x}$$
$$\left| \sum_{p\leqslant x} U_1(\theta_p) \right| \leqslant 2\sqrt{x}.$$

Figure 1: Plot of  $\sum_{p \leqslant x} U_1(\theta_p)$ 

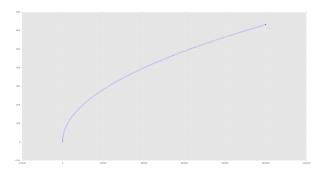
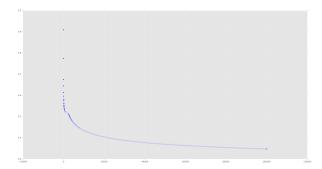


Figure 2: Plot of  $\operatorname{disc}(\{\theta_p\}_{p\leqslant x})$ 



Next, choose  $\bar{\rho}_l\colon G_{\mathbf{Q}}\twoheadrightarrow \mathrm{GL}_2(\mathbf{F}_l)$  to which we can apply Ramakrishna et. al.'s machinery. Define

$$\Theta_p(\bar{\rho}_l) = \left\{ \cos^{-1} \left( \frac{a}{2\sqrt{p}} \right) : a \in \mathbf{Z}, |a| \leqslant 2\sqrt{p}, a \equiv \operatorname{tr} \bar{\rho}_l(\operatorname{fr}_p) \pmod{l} \right\}.$$

Conjecture 2. There exists a sequence of  $\theta_p \in \Theta_p(\bar{\rho}_l)$  such that

$$\operatorname{disc}(\{\theta_p\}_{p\leqslant x}) = \Omega\left(\frac{1}{\log x}\right)$$
$$\left|\sum_{p\leqslant x} U_1(\theta_p)\right| \ll \sqrt{x}.$$

Corollary 1. There exists an (infinitely ramified) Galois representation  $\rho_l \colon G_{\mathbf{Q}} \to \operatorname{GL}_2(\mathbf{Z}_l)$  such that if we set  $a_p = \operatorname{tr} \rho_l(\operatorname{fr}_p)$ , then

1. 
$$a_p \in \mathbf{Z}$$

- 2.  $|a_p| \leqslant 2\sqrt{p}$ .
- 3. The  $\theta_p = \cos^{-1}\left(\frac{a_p}{2\sqrt{p}}\right)$  satisfy

$$\operatorname{disc}(\{\theta_p\}_{p \leqslant x}) = \Omega\left(\frac{1}{\log x}\right)$$
$$\left|\sum_{p \leqslant x} U_1(\theta_p)\right| \ll \sqrt{x}.$$

and hence  $L(\rho_l, s)$  satisfies the Riemann Hypothesis.

## 1 Towards a proof

Let  $\bar{\rho}_l \colon G_{\mathbf{Q}} \to \mathrm{GL}_2(\mathbf{F}_l)$  be a Galois representation. For each prime p, define

$$\Theta_p(l) = \left\{ \cos^{-1} \left( \frac{a}{2\sqrt{p}} \right) : a \in \mathbf{Z}, |a| \leqslant 2\sqrt{p}, a \equiv \operatorname{tr} \bar{\rho}_l(\operatorname{fr}_p) \pmod{l} \right\}.$$

It is easy to check that

$$\operatorname{disc}\left(\Theta_p(l), \frac{1}{2}\sin(t)\operatorname{d}t\right) \ll lp^{-1/2}.$$

We are looking for a way to choose  $\theta_p \in \Theta_p(l)$  such that

- 1.  $\operatorname{disc}(\{\theta_p\}_{p \leqslant x})$  decays like  $1/\log x$
- 2.  $\left|\sum_{p \leq x} U_1(\theta_p)\right|$  grows like  $\sqrt{x}$ .

To do this, suppose we have chosen  $\{\theta_q\}_{q < p}$ . In choosing  $\theta_p$ , we want to simultaneously move the discrepancy towards  $1/\log p$ , while making sure that the  $U_1$ -sum doesn't get too big.

(Fact: if  $\{x_1, \ldots, x_N\}$  and  $\{y_1, \ldots, y_N\}$  are two sequences, then

$$|\operatorname{disc}(\{x_1,\ldots,x_N\}) - \operatorname{disc}(\{y_1,\ldots,y_N\})| \leq 2||x-y||_{\infty}.$$

It's actually quite simple. Note that:

$$U_1(\theta) = \frac{\sin(2\theta)}{\sin \theta} = -U_1(\pi - \theta).$$

The basic idea is: set  $\theta_3 \approx \pi - \theta_2$ ,  $\theta_7 \approx \pi - \theta_5$ , etc. and we can choose  $\theta_2$ ,  $\theta_5$  etc. arbitrarily, meaning good discrepancy, while the sum should approximately cancel out. First, since  $U_1$  has bounded derivative, we know that

$$|U_1(\theta) - U_1(\varphi)| \ll |\theta - \varphi|$$

So, if  $p_1 < p_2$  are sequential primes, we have

$$|\theta_{p_2} - (\pi - \theta_{p_1})| \ll p_1^{-1/2},$$

so

$$|U_1(\theta_{p_1}) + U_1(\theta_{p_2})| \leq |U_1(\theta_{p_1}) - U_1(\pi - \theta_{p_1})| + |U_1(\pi - \theta_{p_1}) - U_1(\theta_{p_2})|$$

$$\ll |\theta_{p_2} - (\pi - \theta_{p_1})|$$

$$\ll p_1^{-1/2}.$$

So,

$$\left| \sum_{p \leqslant x} U_1(\theta_p) \right| \ll \sum_{p \leqslant x} p^{-1/2} \ll \int_1^x t^{-1/2} \, \mathrm{d}t \ll \sqrt{x}.$$

(Same argument works for all  $U_{\text{odd}}$  because they all satisfy  $U_{\text{odd}}(\pi - \theta) =$  $-U_{\text{odd}}(\theta)$ . In contrast,  $U_{\text{even}}(\pi - \theta) = U_{\text{even}}(\theta)$ .)

## 2 A legit proof!

**Theorem 1.** Fix a prime l. Suppose we have chosen, for all primes p, some arbitrary residue class  $\bar{a}_p \in \mathbf{F}_l$ , and set

$$\Theta_p(l) = \left\{ \cos^{-1} \left( \frac{a}{2\sqrt{p}} \right) : a \in \mathbf{Z}, |a| \leqslant 2\sqrt{p}, a \equiv \bar{a}_p \pmod{l} \right\}.$$

Then there exists a choice of  $\theta_p \in \Theta_p(l)$  such that

- 1. The sequence  $\{\theta_p\}$  is equidistributed with respect to the Sato-Tate measure  $\frac{2}{\pi}\sin^2\theta\,\mathrm{d}\theta.$
- 2. The discrepancy disc $(\{\theta_p\}_{p \leqslant x}, ST) \gg \frac{1}{\log x}$ .

3. 
$$\left| \sum_{p \leqslant x} U_{\text{odd}}(\theta_p) \right| \ll \sqrt{x}$$
.

*Proof.* Enumerate the primes  $p_1 < p_2 < \cdots$ . We will choose  $\theta_{p_{\text{odd}}} \in [0, \pi/2)$  so that the discrepancy of the sequence  $\{\theta_{p_{\text{odd}}}\}$  behaves as required in that interval. We'll then set  $\theta_{p_{2i}} \approx \pi - \theta_{p_{2i-1}}$ .

Everything comes down to: if p < q are sequential primes and we have already chosen  $\theta_p$ , we need to be able to choose  $\theta_q$  so that  $|U_1(\theta_p) + U_1(\theta_q)| \ll$  $p^{-1/2}$ . Since  $\frac{dU_1}{d\theta} = -2\sin(\theta)$ , we have (roughly)

$$|U_1(\theta) - U_1(\varphi)| \ll \max(\theta, \varphi) \cdot |\theta - \varphi|$$

for  $\theta, \varphi \in [0, \pi/2)$ . Start with  $t_p = \frac{a_p}{2\sqrt{p}}$  and  $t_q = \frac{a_q}{2\sqrt{q}}$ . We can guarantee that  $|t_p - (\pi - t_q)| \ll$  $p^{-1/2}$ .

Fact:

$$|\cos^{-1}(1-x) - \cos^{-1}(1-(x+\sqrt{x}))| \ll x^{1/5}.$$

So roughly,

$$|\theta_p - \theta_q| \ll p^{-1/5},$$

After taking  $\cos^{-1}$ , all we can guarantee is that

$$|\theta_p - \theta_q| \ll$$

Let's think systematically. We're picking  $t_1$  and  $t_2$  close to 1, which is where  $(\cos^{-1})'$  blows up. But there shouldn't be very many of them close to 1. Aka,

$$\left| \frac{\#\{p \leqslant x : \theta_p \in [0, t)\}}{\pi(x)} - \int_0^t dST \right| \ll \frac{1}{\log x}$$
$$\frac{\#\{p \leqslant x : \theta_p \in [0, t)\}}{\pi(x)} \ll t^2 + \frac{1}{\log x}.$$

We want to know, given x, how small the smallest  $\theta_p, p \leqslant x$  is. Roughly, for what t is

$$\#\{p \leqslant x : \theta_p \in [0, t)\} < 1?$$

We already know that

$$\#\{p \leqslant x : \theta_p \in [0,t)\} \ll \frac{x}{\log x} \left(t^2 + \frac{1}{\log x}\right).$$

This is frustrating, because it means, essentially, that our convergence to the Sato-Tate measure is so slow (by design) that we can't *ever* guarantee that no  $\theta_p$  lies in some small interval. But there's something easier. For each  $p \leqslant x$ , we start by choosing  $a_p \in \mathbf{Z}$ . How close can  $a_p$  be to  $2\sqrt{p}$ ? Numerical experiments (**prove this!**) show that for  $t_p = \frac{a_p}{2\sqrt{p}}$ , we have

$$|1 - t_p| \gg p^{-1/2}$$
.

This is key! That means  $\theta_p$  won't be too small. In particular, we can control how close  $\theta_p$  and  $\theta_q$  will be.

We already have chosen  $\theta_p$ . We want to choose  $a_q$  so that  $\cos^{-1}(\frac{a_q}{2\sqrt{q}}) \approx \pi - \theta_p$ , i.e.

$$\frac{a_q}{2\sqrt{q}} \approx \sin(\theta_p).$$

We can ensure

$$\left| \frac{a_q}{2\sqrt{q}} - \cos(\pi - \theta_p) \right| \ll p^{-1/2}.$$

Moreover, we know that  $|\pm 1 - \frac{a_q}{2\sqrt{q}}| \gg q^{-1/2}$ , and likewise for  $a_p$ . Thus

$$|\theta_p - \theta_q| = \left| \cos^{-1} \left( \frac{a_p}{2\sqrt{p}} \right) - \pi + \cos^{-1} \left( \frac{a_q}{2\sqrt{q}} \right) \right| \ll p^{-1/2} \cdot ?$$

Good news: numerical experiments show that we can get very good approximation to  $U_1(\theta_q) \approx -U_1(\theta_p)$  for p < q successive primes. This is fantastic! Numerical experiments suggest that we can enforce

$$|U_1(\theta_p) + U_1(\theta_q)| \ll \frac{\log p}{p}.$$

Let  $(X, \mu)$  be a topological measure space. Suppose g is a non-trivial automorphism of X, such that  $g_*\mu=\mu$ . Suppose  $g^2=1$ . If we want to minimize

$$\left| \sum_{p \leqslant x} f(x_p) \right|,$$

while letting the discrepancy of  $\{x_p\}$  vary arbitrarily. Suppose we can find a "good" subset  $U \subset X$  such that  $X = U \sqcup gU$ . Choose  $x_{p_{\text{odd}}} \in U$  to control the discrepancy, and then choose  $x_{p_{\text{even}}} \approx g(x_{p_{\text{odd}}})$ . For any  $f \in C^{\infty}(X)$  such that  $g^*f = -f$ . Then

$$\sum_{p \le x} f(x_p) = \sum (f(x_{p_{\text{even}}}) + f(x_{p_{\text{odd}}})) \approx \sum 0.$$