

Equidistribution, discrepancy, and the analytic properties of Dirichlet series

Daniel Miller

27 November 2016

Cornell University

Background

The Sato–Tate conjecture

Breaking the Akiyama–Tanigawa converse

Generalizations

Background

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Simplify: assume $a, b \in \mathbf{Z}$.

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Simplify: assume $a, b \in \mathbf{Z}$.

Non-singular: $4a^3 + 27b^2 \neq 0$.

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Simplify: assume $a, b \in \mathbf{Z}$.

Non-singular: $4a^3 + 27b^2 \neq 0$.

Count points modulo p :

$$\#E(\mathbf{F}_p) = \#\{(x, y) \in (\mathbf{F}_p)^2 : x^2 = y^3 + ax + b\} + 1.$$

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Simplify: assume $a, b \in \mathbf{Z}$.

Non-singular: $4a^3 + 27b^2 \neq 0$.

Count points modulo p :

$$\#E(\mathbf{F}_p) = \#\{(x, y) \in (\mathbf{F}_p)^2 : x^2 = y^3 + ax + b\} + 1.$$

+1 = “point at infinity.”

Elliptic curves

Equation of the form $E : y^2 = x^3 + ax + b$.

Simplify: assume $a, b \in \mathbf{Z}$.

Non-singular: $4a^3 + 27b^2 \neq 0$.

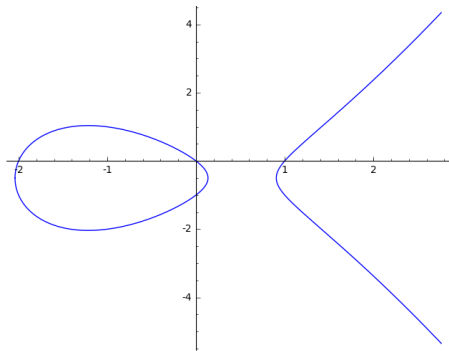
Count points modulo p :

$$\#E(\mathbf{F}_p) = \#\{(x, y) \in (\mathbf{F}_p)^2 : x^2 = y^3 + ax + b\} + 1.$$

+1 = “point at infinity.”

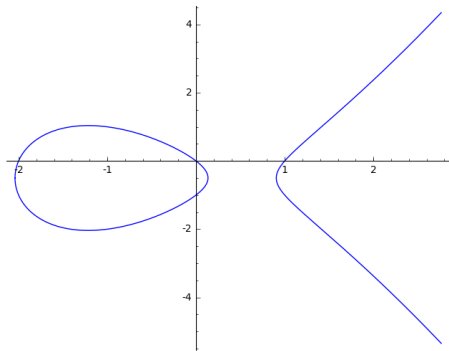
Geometric structure of $E(\mathbf{C})$

Our example



$$E : y^2 + y = x^3 + x^2 - 2x$$

Our example



$$E : y^2 + y = x^3 + x^2 - 2x$$

Where is ∞ ?

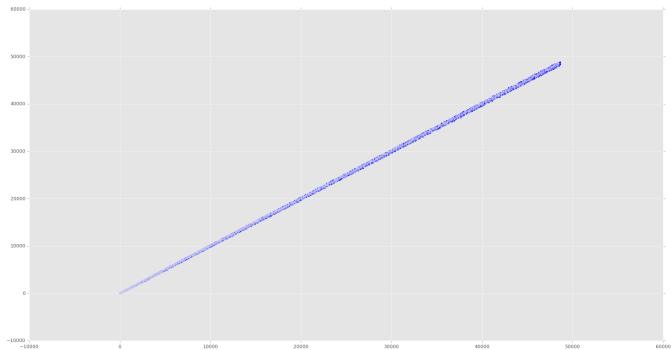
Initial data

p	2	3	5	7	11	13	...	999999929	999999937
$\#E(\mathbf{F}_p)$	1	2	3	3	8	11	...	999950222	1000031072

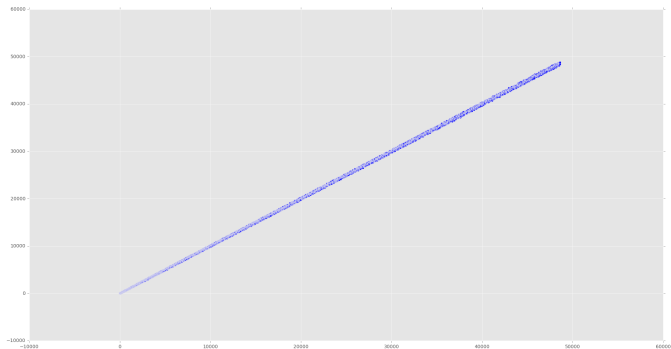
Initial data

p	2	3	5	7	11	13	...	999999929	999999937
$\#E(\mathbf{F}_p)$	1	2	3	3	8	11	...	999950222	1000031072

Look at more data...



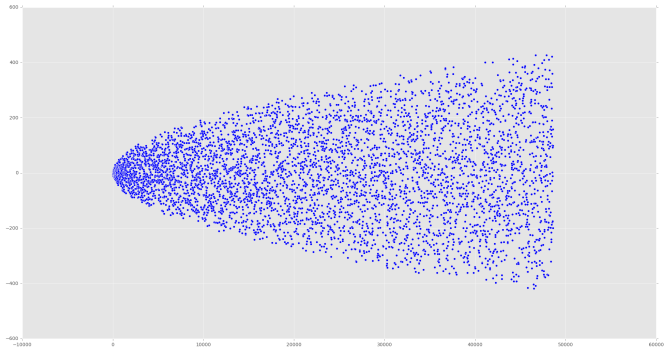
$\#E(\mathbf{F}_p)$ as a function of p .



$\#E(\mathbf{F}_p)$ as a function of p .

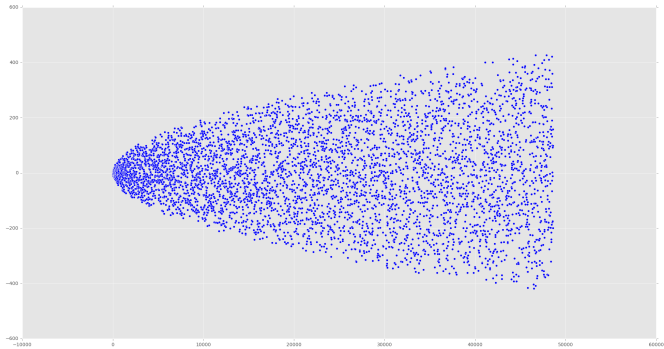
How does the error term behave?

Hasse bound



$a_p(E) := p + 1 - \#E(\mathbf{F}_p)$ as a function of p .

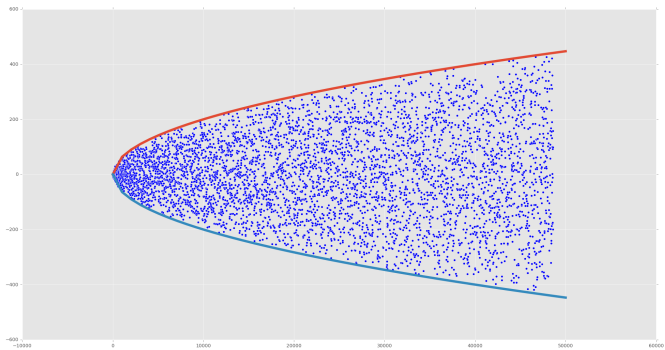
Hasse bound



$a_p(E) := p + 1 - \#E(\mathbf{F}_p)$ as a function of p .

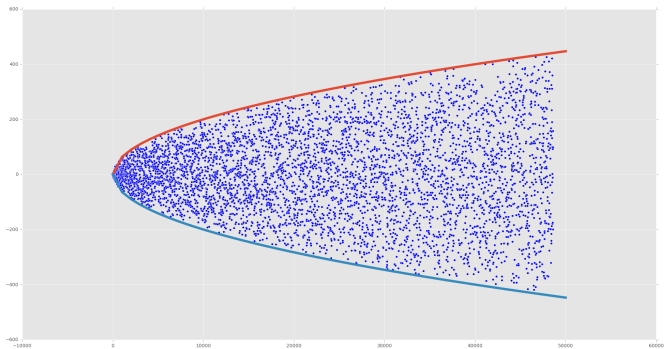
Intuition: why is a_p small? (and how small is it?)

Hasse bound



$a_p(E)$ vs. $\pm 2\sqrt{p}$.

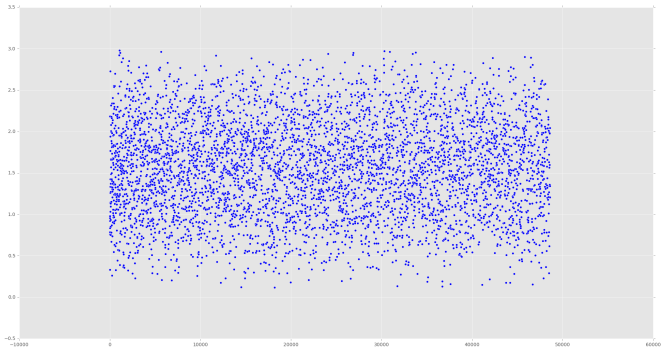
Hasse bound



$$a_p(E) \text{ vs. } \pm 2\sqrt{p}.$$

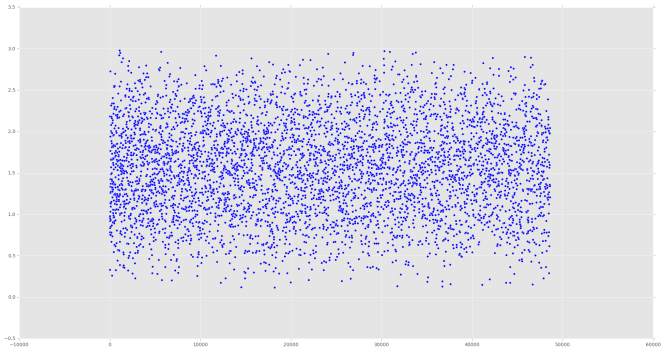
Perhaps we should normalize?

Satake parameters



$$\theta_p = \cos^{-1} \left(\frac{a_p}{2\sqrt{p}} \right) \text{ as a function of } p.$$

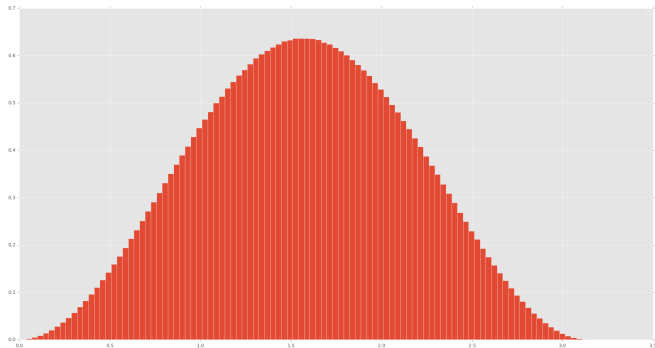
Satake parameters



$$\theta_p = \cos^{-1} \left(\frac{a_p}{2\sqrt{p}} \right) \text{ as a function of } p.$$

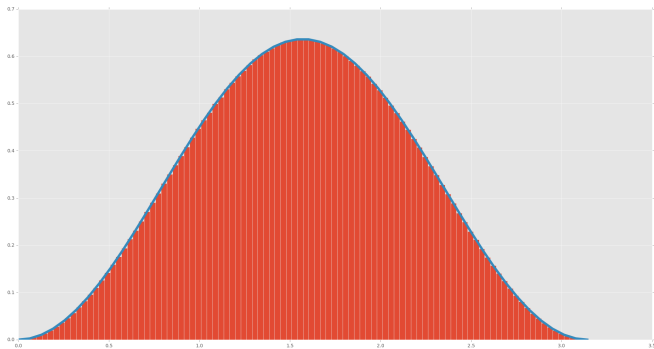
Look at the statistics of $\{\theta_p\}$.

Their statistics



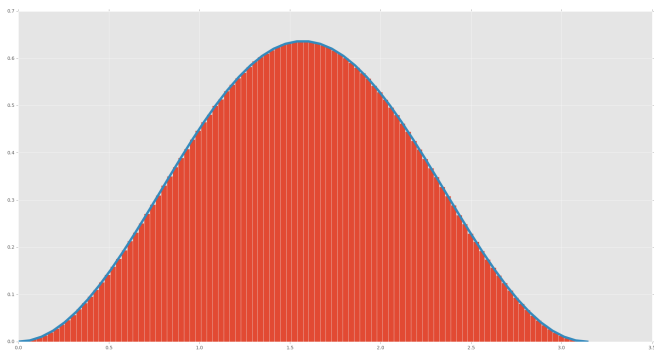
Histogram of $\{\theta_p\}_{p \leq 10^9}$.

Their statistics



Histogram with graph of $ST(\theta) = \frac{2}{\pi} \sin^2(\theta)$.

Their statistics



Histogram with graph of $ST(\theta) = \frac{2}{\pi} \sin^2(\theta)$.

Some kind of convergence happening. . .

The Sato–Tate conjecture

Some definitions

Two cumulative distribution functions:

$$\text{cdf}_N(x) = \frac{\#\{p \leq N : \theta_p \leq x\}}{\#\{p \leq N\}}$$

$$\text{cdf}_{\text{ST}}(x) = \int_0^x \text{ST}(x) \, dx = \frac{x - \sin(x) \cos(x)}{\pi}$$

Some definitions

Two cumulative distribution functions:

$$\text{cdf}_N(x) = \frac{\#\{p \leq N : \theta_p \leq x\}}{\#\{p \leq N\}}$$

$$\text{cdf}_{\text{ST}}(x) = \int_0^x \text{ST}(x) \, dx = \frac{x - \sin(x) \cos(x)}{\pi}$$

Discrepancy

$$\text{disc}_E(N) = \sup_{0 \leq x \leq \pi} |\text{cdf}_N(x) - \text{cdf}_{\text{ST}}(x)|.$$

Some definitions

Two cumulative distribution functions:

$$\text{cdf}_N(x) = \frac{\#\{p \leq N : \theta_p \leq x\}}{\#\{p \leq N\}}$$

$$\text{cdf}_{ST}(x) = \int_0^x ST(x) dx = \frac{x - \sin(x) \cos(x)}{\pi}$$

Discrepancy

$$\text{disc}_E(N) = \sup_{0 \leq x \leq \pi} |\text{cdf}_N(x) - \text{cdf}_{ST}(x)|.$$

Other ways to measure distance between distributions?

Theorem (Sato–Tate)

For any elliptic curve E , $\text{disc}_E(N) \rightarrow 0$ as $N \rightarrow \infty$.

A deep theorem

Theorem (Sato–Tate)

For any elliptic curve E , $\text{disc}_E(N) \rightarrow 0$ as $N \rightarrow \infty$.

Conjecture (Akiyama–Tanigawa)

For any elliptic curve E , $\text{disc}_E(N) = O_E(N^{-\frac{1}{2}+\epsilon})$.

A deep theorem

Theorem (Sato–Tate)

For any elliptic curve E , $\text{disc}_E(N) \rightarrow 0$ as $N \rightarrow \infty$.

Conjecture (Akiyama–Tanigawa)

For any elliptic curve E , $\text{disc}_E(N) = O_E(N^{-\frac{1}{2}+\epsilon})$.

Theorem

The Akiyama–Tanigawa conjecture implies the Riemann Hypothesis (for the elliptic curve).

A deep theorem

Theorem (Sato–Tate)

For any elliptic curve E , $\text{disc}_E(N) \rightarrow 0$ as $N \rightarrow \infty$.

Conjecture (Akiyama–Tanigawa)

For any elliptic curve E , $\text{disc}_E(N) = O_E(N^{-\frac{1}{2}+\epsilon})$.

Theorem

The Akiyama–Tanigawa conjecture implies the Riemann Hypothesis (for the elliptic curve).

Key idea: Koksma–Hlawka inequality.

Definition (Riemann zeta function)

$$\zeta(s) = \prod_p \frac{1}{1 - p^{-s}} = \sum_{n \geq 1} \frac{1}{n^s}$$

Some L -functions

Definition (Riemann zeta function)

$$\zeta(s) = \prod_p \frac{1}{1 - p^{-s}} = \sum_{n \geq 1} \frac{1}{n^s}$$

Definition (L -function of elliptic curve)

$$L(E, s) = \prod_p \frac{1}{(1 - e^{i\theta_p} p^{-s})(1 - e^{-i\theta_p} p^{-s})}$$

Some L -functions

Definition (Riemann zeta function)

$$\zeta(s) = \prod_p \frac{1}{1 - p^{-s}} = \sum_{n \geq 1} \frac{1}{n^s}$$

Definition (L -function of elliptic curve)

$$L(E, s) = \prod_p \frac{1}{(1 - e^{i\theta_p} p^{-s})(1 - e^{-i\theta_p} p^{-s})}$$

Definition (strange Dirichlet series)

$$L_f(E, s) = \prod_p \frac{1}{1 - f(\theta_p) p^{-s}}$$

Some L -functions

Definition (Riemann zeta function)

$$\zeta(s) = \prod_p \frac{1}{1 - p^{-s}} = \sum_{n \geq 1} \frac{1}{n^s}$$

Definition (L -function of elliptic curve)

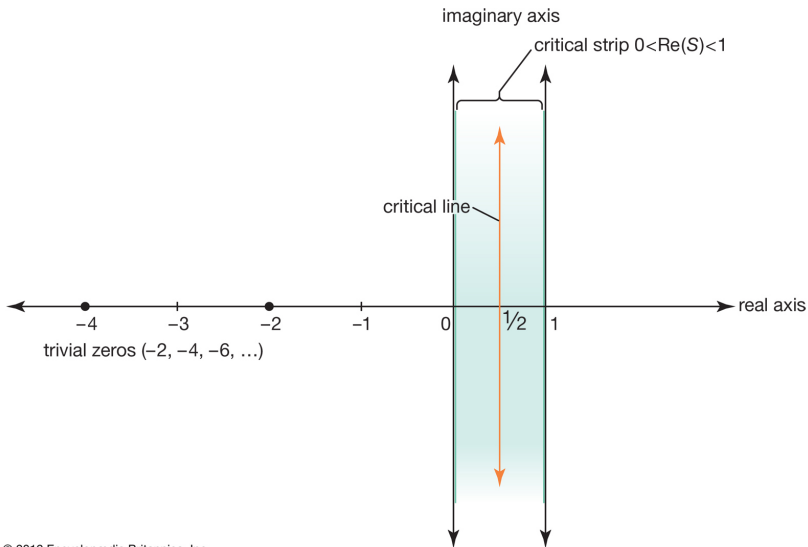
$$L(E, s) = \prod_p \frac{1}{(1 - e^{i\theta_p} p^{-s})(1 - e^{-i\theta_p} p^{-s})}$$

Definition (strange Dirichlet series)

$$L_f(E, s) = \prod_p \frac{1}{1 - f(\theta_p) p^{-s}}$$

(Standing assumption: $\int f \cdot \text{ST} = 0$.)

L -functions on the complex plane



© 2012 Encyclopædia Britannica, Inc.

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

(Equivalent to Riemann Hypothesis.)

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

(Equivalent to Riemann Hypothesis.)

A–T for elliptic curves implies:

$$\left| \sum_{p \leq N} f(\theta_p) \right| = O_f(N^{\frac{1}{2}})$$

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

(Equivalent to Riemann Hypothesis.)

A–T for elliptic curves implies:

$$\left| \sum_{p \leq N} f(\theta_p) \right| = O_f(N^{\frac{1}{2}})$$

A–T \Rightarrow RH.

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

(Equivalent to Riemann Hypothesis.)

A–T for elliptic curves implies:

$$\left| \sum_{p \leq N} f(\theta_p) \right| = O_f(N^{\frac{1}{2}})$$

A–T \Rightarrow RH. Is the converse true?

Intuition for Akiyama–Tanigawa conjecture

A–T for Riemann zeta function:

$$\left| \#\{p \leq N\} - \int_0^x \frac{t}{\log t} dt \right| = O(\sqrt{N})$$

(Equivalent to Riemann Hypothesis.)

A–T for elliptic curves implies:

$$\left| \sum_{p \leq N} f(\theta_p) \right| = O_f(N^{\frac{1}{2}})$$

A–T \Rightarrow RH. Is the converse true? No!

Breaking the Akiyama–Tanigawa converse

What is needed?

Construct a sequence $\{\theta_p\}$ such that

1. Sums of the form $\sum_{p \leq N} f(\theta_p)$ have “good bounds” like $O(\sqrt{N})$.

What is needed?

Construct a sequence $\{\theta_p\}$ such that

1. Sums of the form $\sum_{p \leq N} f(\theta_p)$ have “good bounds” like $O(\sqrt{N})$.
2. The discrepancy $\text{disc}_{\{\theta_p\}}(N)$ is *not* $O(N^{-\frac{1}{2}})$.

Key idea

Choose an angle θ , and let $\theta_n = n\theta \bmod \pi$. Then

$$\left| \sum_{n \leq N} e^{2\pi i m \theta_n} \right| = O\left(\frac{1}{|e^{2\pi i \theta} - 1|}\right)$$

Key idea

Choose an angle θ , and let $\theta_n = n\theta \bmod \pi$. Then

$$\left| \sum_{n \leq N} e^{2\pi i m \theta_n} \right| = O\left(\frac{1}{|e^{2\pi i \theta} - 1|}\right)$$

Right-hand-side doesn't depend on N .

Key idea

Choose an angle θ , and let $\theta_n = n\theta \bmod \pi$. Then

$$\left| \sum_{n \leq N} e^{2\pi i m \theta_n} \right| = O\left(\frac{1}{|e^{2\pi i \theta} - 1|}\right)$$

Right-hand-side doesn't depend on N .

Corollary

If f is a smooth function, then

$$\left| \sum_{n \leq N} f(\theta_n) \right| = O_f(1).$$

Two degrees of freedom

If $\theta_{p_n} = n\theta$, then

$$L_f(s) = \prod_p \frac{1}{1 - f(\theta_p)p^{-s}}$$

satisfies Riemann Hypothesis.

Two degrees of freedom

If $\theta_{p_n} = n\theta$, then

$$L_f(s) = \prod_p \frac{1}{1 - f(\theta_p)p^{-s}}$$

satisfies Riemann Hypothesis.

Also, we can control the discrepancy of the sequence $\{\theta_p\}$ via an *irrationality exponent*.

Diophantine approximation

Definition

The *irrationality exponent* of x is the largest η such that

$$\left| x - \frac{p}{q} \right| < q^{-\eta}$$

for infinitely many p/q .

Diophantine approximation

Definition

The *irrationality exponent* of x is the largest η such that

$$\left| x - \frac{p}{q} \right| < q^{-\eta}$$

for infinitely many p/q .

Theorem (Thue–Siegel–Roth)

If x is algebraic but not rational (e.g. $\sqrt{2}$), then it has irrationality exponent 2.

Diophantine approximation

Definition

The *irrationality exponent* of x is the largest η such that

$$\left| x - \frac{p}{q} \right| < q^{-\eta}$$

for infinitely many p/q .

Theorem (Thue–Siegel–Roth)

If x is algebraic but not rational (e.g. $\sqrt{2}$), then it has irrationality exponent 2.

Theorem

There are x with arbitrary irrationality exponent > 2 .

Theorem

For any $\eta \in (-1/2, 0)$, there exists a sequence $\{\theta_p\}$ such that

$$L(\{\theta_p\}, s) = \prod_p \frac{1}{(1 - e^{i\theta_p} p^{-s})(1 - e^{-i\theta_p} p^{-s})}$$

satisfies the Riemann Hypothesis, but for which

$$\text{disc}(N) \neq O(N^\eta).$$

Putting things together

Theorem

For any $\eta \in (-1/2, 0)$, there exists a sequence $\{\theta_p\}$ such that

$$L(\{\theta_p\}, s) = \prod_p \frac{1}{(1 - e^{i\theta_p} p^{-s})(1 - e^{-i\theta_p} p^{-s})}$$

satisfies the Riemann Hypothesis, but for which

$$\text{disc}(N) \neq O(N^\eta).$$

Problem: this sequence $\{\theta_p\}$ is uniformly distributed, not ST-distributed.

Inverse inverse sampling transform

If $\tilde{\theta}_p = \text{cdf}_{\text{ST}}^{-1}(\theta_p)$, then $\{\tilde{\theta}_p\}$ is ST-distributed.

Inverse inverse sampling transform

If $\tilde{\theta}_p = \text{cdf}_{\text{ST}}^{-1}(\theta_p)$, then $\{\tilde{\theta}_p\}$ is ST-distributed.

Also $\text{cdf}_{\text{ST}}^{-1}$ preserves discrepancy of sequences.

Inverse inverse sampling transform

If $\tilde{\theta}_p = \text{cdf}_{\text{ST}}^{-1}(\theta_p)$, then $\{\tilde{\theta}_p\}$ is ST-distributed.

Also $\text{cdf}_{\text{ST}}^{-1}$ preserves discrepancy of sequences.

Tricky part: show that Riemann Hypothesis holds for $\{\tilde{\theta}_p\}$.

Inverse inverse sampling transform

If $\tilde{\theta}_p = \text{cdf}_{\text{ST}}^{-1}(\theta_p)$, then $\{\tilde{\theta}_p\}$ is ST-distributed.

Also $\text{cdf}_{\text{ST}}^{-1}$ preserves discrepancy of sequences.

Tricky part: show that Riemann Hypothesis holds for $\{\tilde{\theta}_p\}$.

Problem: the $\tilde{\theta}_p$ don't come from integral a_p .

Inverse inverse sampling transform

If $\tilde{\theta}_p = \text{cdf}_{\text{ST}}^{-1}(\theta_p)$, then $\{\tilde{\theta}_p\}$ is ST-distributed.

Also $\text{cdf}_{\text{ST}}^{-1}$ preserves discrepancy of sequences.

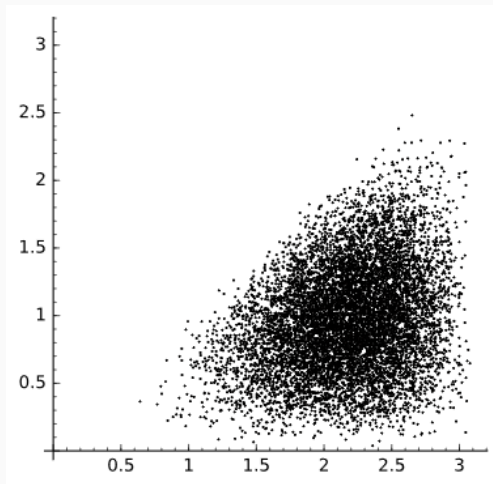
Tricky part: show that Riemann Hypothesis holds for $\{\tilde{\theta}_p\}$.

Problem: the $\tilde{\theta}_p$ don't come from integral a_p .

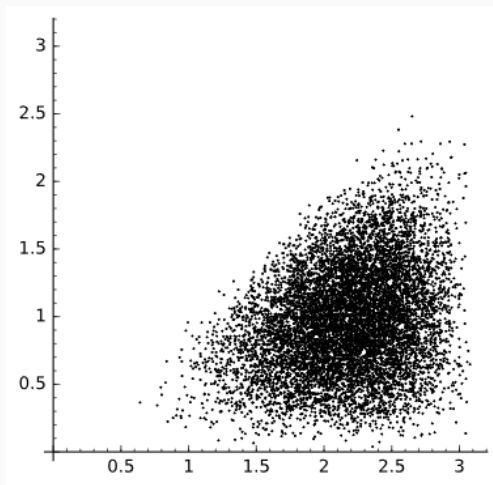
Solution: tweak them so they do, then prove everything still works.

Generalizations

From $y^2 = x^3 + ax + b$ to $y^2 = x^5 - 1$:



From $y^2 = x^3 + ax + b$ to $y^2 = x^5 - 1$:



Higher-dimensional counterexamples.

Questions?

d