

Proving representability of deformation functors

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Let \mathbf{F} be a finite field, $W(\mathbf{F})$ its ring of Witt vectors, and $\mathfrak{A}\mathfrak{r}_{W(\mathbf{F})}$ the category of finite local $W(\mathbf{F})$ -algebras with residue field \mathbf{F} . Let $\widehat{\mathfrak{A}\mathfrak{r}}_{W(\mathbf{F})}^\circ$ be the opposite of the category of complete local noetherian $W(\mathbf{F})$ -algebras with residue field \mathbf{F} . For $R \in \widehat{\mathfrak{A}\mathfrak{r}}_{W(\mathbf{F})}^\circ$, write $\mathrm{Spf}(R)$ for the corresponding object of $\widehat{\mathfrak{A}\mathfrak{r}}_{W(\mathbf{F})}^\circ$.

Let G be a profinite action, $V_{\mathbf{F}}$ a finite-dimensional \mathbf{F} -vector space with continuous G -action. In proving the representability of the deformation functor $D_{V_{\mathbf{F}}}$, you use the following theorem of SGA:

Theorem 1 (SGA 3, VIIb, Thm. 1.4). *Let $R \rightrightarrows X$ be an equivalence relation in $\widehat{\mathfrak{A}\mathfrak{r}}_{W(\mathbf{F})}^\circ$ such that the first projection is flat. Then the quotient of X by R exists. It represents the functor on points defined by the equivalence relation.*

The problem is, *this theorem is false.*

Example 1. Consider the following group object in $\widehat{\mathfrak{A}\mathfrak{r}}_{W(\mathbf{F})}^\circ$:

$$\widehat{\mathbf{G}}_{a/\mathbf{F}} = \mathrm{Spf}(\mathbf{F}[[t]]), \quad A \mapsto (\mathfrak{m}_A, +).$$

It admits a Frobenius endomorphism $\varphi: \widehat{\mathbf{G}}_{a/\mathbf{F}} \rightarrow \widehat{\mathbf{G}}_{a/\mathbf{F}}$, which on A -points is $\varphi(a) = a^p$. Let $\alpha_p = \ker(\varphi)$. Then

$$0 \longrightarrow \alpha_p \longrightarrow \widehat{\mathbf{G}}_{a/\mathbf{F}} \xrightarrow{\varphi} \widehat{\mathbf{G}}_{a/\mathbf{F}} \longrightarrow 0$$

is exact in the flat topology. Apply Theorem 1 to the equivalence relation

$$\alpha_p \times \widehat{\mathbf{G}}_{a/\mathbf{F}} \rightrightarrows \widehat{\mathbf{G}}_{a/\mathbf{F}} \times \widehat{\mathbf{G}}_{a/\mathbf{F}} \quad (a, b) \mapsto (b, a + b).$$

The quotient has coordinate ring

$$R = \{f \in \mathbf{F}[[t]] : f(t \otimes 1) = f(t \otimes 1 + 1 \otimes t) \text{ in } \mathbf{F}[[t]] \otimes \mathbf{F}[t]/(t^p)\} = \mathbf{F}[[t^p]].$$

In other words, the quotient $\widehat{\mathbf{G}}_{\mathbf{a}/\mathbf{F}}/\alpha_p \simeq \widehat{\mathbf{G}}_{\mathbf{a}/\mathbf{F}}$ via $\varphi: \widehat{\mathbf{G}}_{\mathbf{a}/\mathbf{F}} \rightarrow \widehat{\mathbf{G}}_{\mathbf{a}/\mathbf{F}}$. If Theorem 1 were true, the sequence

$$0 \longrightarrow \alpha_p(A) \longrightarrow \mathfrak{m}_A \xrightarrow{\varphi} \mathfrak{m}_A \longrightarrow 0$$

would be exact for all $A \in \mathfrak{A}\mathfrak{r}_{\mathbf{W}(\mathbf{F})}$. But this is clearly false.

So Theorem 1 as stated is false. The correct theorem (which does apply in your setting) is the following:

Theorem 2. *Let $R \rightrightarrows X$ be an equivalence relation in $\widehat{\mathfrak{A}\mathfrak{r}}_{\mathbf{W}(\mathbf{F})}^\circ$ such that one projection is flat and one is smooth. Then the quotient of X by R exists. It represents the functor on points defined by the equivalence relation.*

Proof. Let X/R be the quotient. It suffices to prove that for all $A \in \mathfrak{A}\mathfrak{r}_{\mathbf{W}(\mathbf{F})}$, the map $X(A) \rightarrow (X/R)(A)$ is surjective. It suffices to prove the existence of a section $X/R \rightarrow X$ of the projection map $X \rightarrow X/R$. The theorem in SGA tells us that $X \rightarrow X/R$ is a flat cover, so after base-change, the projection $X \rightarrow X/R$ is $R \rightarrow X \times_{X/R} X$, as in the following commutative diagram:

$$\begin{array}{ccc} R & \xrightarrow{\quad} & X \\ \parallel & \searrow & \downarrow \\ X \times_{X/R} X & \longrightarrow & X \\ \downarrow & & \downarrow \\ X & \longrightarrow & X/R \end{array}$$

So $X \rightarrow X/R$ becomes smooth after an fppf base-change. By descent, $X \rightarrow X/R$ is itself smooth. In $\widehat{\mathfrak{A}\mathfrak{r}}_{\mathbf{W}(\mathbf{F})}$, smooth maps admit sections. \square

To summarize. You define a framed deformation functor $D_{V_{\mathbf{F}}}^\square$, and want the categorical quotient $D_{V_{\mathbf{F}}}^\square/\widehat{\mathbf{PGL}}_d$ to be the same as the “presheaf quotient” $D_{V_{\mathbf{F}}}$. The theorem in SGA does not give you this—you really need the fact that $\widehat{\mathbf{PGL}}_d$ is smooth.