

Lifting one-dimensional Galois representations

Daniel Miller

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1 Brief review of the setup

Throughout, $\Gamma = \text{Gal}(\overline{\mathbf{Q}}/\mathbf{Q})$ and, for each finite set S of primes, $\Gamma_S = \text{Gal}(\mathbf{Q}_S/S)$, where \mathbf{Q}_S is the maximal extension of \mathbf{Q} unramified outside S . Let k be a finite field of characteristic p . Fix a continuous irreducible representation $\bar{\rho} : \Gamma \rightarrow \text{GL}_n(k)$. For each set S of primes such that $\bar{\rho}$ factors through Γ_S , we have a formal scheme $\mathcal{X}_S = \mathcal{X}_S(\bar{\rho})$. It is given by its functor of points $\mathcal{X}_S : \mathbf{C}_{W(k)} \rightarrow \text{Set}$. Here, $W(k)$ is the ring of Witt vectors of k and $\mathbf{C}_{W(k)}$ is the category of artinian local $W(k)$ -algebras with residue field k . For A such an algebra, the set $\mathcal{X}_S(A)$ consists of lifts $\rho : \Gamma_S \rightarrow \text{GL}_n(A)$ of $\bar{\rho}$, up to strict equivalence. Write $\mathfrak{t}_{\mathcal{X}_S} = \mathcal{X}_S(k[\varepsilon])$ for the tangent space of \mathcal{X}_S at $\bar{\rho}$. It is well-known that there is a natural isomorphism

$$\mathfrak{t}_{\mathcal{X}_S} = H^1(\Gamma_S, \text{Ad } \bar{\rho}),$$

where $\text{Ad } \bar{\rho}$ is Γ_S -module $\mathfrak{gl}_n(k)$, with action $\sigma \cdot x = \text{Ad}(\bar{\rho}(\sigma))(x)$. Moreover, there is a good “obstruction theory” for lifting deformations of $\bar{\rho}$. Given a surjection $A \twoheadrightarrow A_0$ in $\mathbf{C}_{W(k)}$ for which the kernel I is principal and annihilated by \mathfrak{m}_A , there is associated to each $\rho_0 \in \mathcal{X}_S(A_0)$ an *obstruction class* $o(\rho_0) \in H^2(\Gamma_S, \text{Ad } \bar{\rho})$, the vanishing of which is necessary and sufficient for the existence of a lift of ρ_0 to A . If such a lift ρ exists, the set of lifts of ρ_0 admits a natural action of $\mathfrak{t}_{\mathcal{X}_S}$, which we denote $(c, \rho) \mapsto c \cdot \rho$, which makes the set of lifts a $\mathfrak{t}_{\mathcal{X}_S}$ -torsor.

For any rational prime l , write $\Gamma_l = \text{Gal}(\overline{\mathbf{Q}_l}/\mathbf{Q})$. The representation $\bar{\rho} : \Gamma_S \rightarrow \text{GL}_n(k)$ restricts to a representation $\bar{\rho}_l = \bar{\rho}|_{\Gamma_l}$, and we write $\mathcal{X}_l = \mathcal{X}_l(\bar{\rho})$ for the formal scheme classifying strict equivalence classes of lifts of $\bar{\rho}_l$ to representations $\rho_l : \Gamma_l \rightarrow \text{GL}_n(A)$. The operation $\rho \mapsto \rho|_{\Gamma_l}$ induces a morphism $\mathcal{X}_S \rightarrow \mathcal{X}_l$ for each l . Just as above, there is a natural isomorphism $\mathfrak{t}_{\mathcal{X}_l} = H^1(\Gamma_l, \text{Ad } \bar{\rho})$, and obstructions to lifts live in $H^2(\Gamma_l, \text{Ad } \bar{\rho})$.

Put $\mathcal{X}_{\partial S} = \prod_{l \in S} \mathcal{X}_l$. Clearly $\mathfrak{t}_{\mathcal{X}_{\partial S}} = \bigoplus_{l \in S} \mathfrak{t}_{\mathcal{X}_l}$. For us, a *set of local conditions* is a formal subscheme $\mathcal{M} \subset \mathcal{X}_{\partial S}$. Given a set of local conditions \mathcal{M} , define $\mathcal{X}_{\mathcal{M}} = \mathcal{X} \times_{\mathcal{X}_{\partial S}} \mathcal{M}$. That is, for $A \in \mathbf{C}_{W(k)}$, the set $\mathcal{X}_{\mathcal{M}}(A)$ consists of those $\rho \in \mathcal{X}_S(A)$ such that $(\rho|_{\Gamma_l})_{l \in S}$ lies in $\mathcal{M}(A)$. If, as will always be the case,

$\mathcal{M} = \prod_{l \in S} \mathcal{M}_l$ with each $\mathcal{M}_l \subset \mathcal{X}_l$, it is clear that

$$\mathfrak{t}_{\mathcal{X}_{\mathcal{M}}} = \ker \left(\mathfrak{t}_{\mathcal{X}_S} \rightarrow \bigoplus_{l \in S} \mathfrak{t}_{\mathcal{X}_l} / \mathfrak{t}_{\mathcal{M}_l} \right) = \ker \left(H^1(\Gamma_S, \text{Ad } \bar{\rho}) \rightarrow \bigoplus_{l \in S} \frac{H^1(\Gamma_l, \text{Ad } \bar{\rho})}{\mathfrak{t}_{\mathcal{M}_l}} \right).$$

There is also an obstruction theory for $\mathcal{X}_{\mathcal{M}}$. [work this out!]

It is natural to ask whether the morphism $\mathcal{X}_S \rightarrow \mathcal{X}_{\mathcal{M}}$ is (formally) smooth. This is true if and only if, for each square-zero extension $A \twoheadrightarrow A_0$, an element $\rho_0 \in \mathcal{X}_S(A_0)$ lifts to A if and only if $(\rho_0|_{\Gamma_l})_{l \in S} \in \mathcal{M}(A_0)$ lifts to a $(\rho_l)_{l \in S} \in \mathcal{X}_{\mathcal{M}}(A)$. It is easy to check that this holds if and only if

$$H^1_{\mathcal{M}^\perp}(\Gamma_S, \text{Ad } \bar{\rho}^*) = \ker \left(H^1(\Gamma_S, \text{Ad } \bar{\rho}^*) \rightarrow \left(\bigoplus_{l \in S} H^1(\Gamma_l, \text{Ad } \bar{\rho}^*) \right) / \mathfrak{t}_{\mathcal{M}}^\perp \right).$$

Here, $\mathfrak{t}_{\mathcal{M}}^\perp \subset \bigoplus_{l \in S} H^1(\Gamma_l, \text{Ad } \bar{\rho}^*)$ is the orthogonal complement of $\mathfrak{t}_{\mathcal{M}}$ under the pairing $\mathfrak{t}_{\mathcal{M}} \times \bigoplus_{l \in S} H^1(\Gamma_l, \text{Ad } \bar{\rho}^*) \rightarrow \mathbf{Q}/\mathbf{Z}$ induced by the cup-products

$$\smile: H^1(\Gamma_l, \text{Ad } \bar{\rho}) \times H^1(\Gamma_l, \text{Ad } \bar{\rho}^*) \rightarrow H^2(\Gamma_l, \mu_p) \hookrightarrow \mathbf{Q}/\mathbf{Z}.$$

2 The one-dimensional case

Let Γ , k be as above. Fix a continuous character $\bar{\chi}: \Gamma \rightarrow k^\times$. For each appropriate S , write $\mathcal{X}_S = \mathcal{X}_S(\bar{\chi})$. Note that $\text{Ad } \bar{\chi} = k$ (the trivial representation). Because of this, a number of things can be computed using various duality theorems. First, note that for any profinite group G , we have $H^\bullet(G, k) = H^\bullet(G, \mathbf{Z}/p) \otimes k$. Thus by [NSW08, 8.6.9], there is a natural isomorphism

$$H^2(\Gamma_l, k) = H^0(\Gamma_l, \mu_p)^\vee \otimes k = \begin{cases} k & \text{if } l \equiv 1 \pmod{p} \\ 0 & \text{otherwise} \end{cases},$$

that is, \mathcal{X}_l is singular if and only if $l \equiv 1 \pmod{p}$. We can compute tangent spaces:

$$\begin{aligned} \mathfrak{t}_{\mathcal{X}_l} &= H^1(\Gamma_l, k) \\ &= H^1(\Gamma_l, \mu_p)^\vee \otimes k \\ &= \text{hom}(\widehat{\mathbf{Z}} \times \mathbf{Z}/(l-1) \times \mathbf{Z}_l, k) \\ &= \begin{cases} k^2 & \text{if } l = p \text{ or } l \equiv 1 \pmod{p} \\ k & \text{otherwise} \end{cases} \end{aligned}$$

In other words, \mathcal{X}_p is smooth and two-dimensional, and the \mathcal{X}_l ($l \neq p$) are singular with $\dim(\mathfrak{t}_{\mathcal{X}_l}) = 2$ ($l \equiv 1$) or smooth and one-dimensional ($l \not\equiv 1$).

Let K/\mathbf{Q}_p be a finite extension with ring of integers \mathcal{O} and residue field k . Write $\mathcal{O}_n = \mathcal{O}/\mathfrak{m}^{n+1}$. Given $\bar{\chi}: \Gamma \rightarrow \mathcal{O}_0^\times$ and a lift $\chi_n: \Gamma \rightarrow \mathcal{O}_n^\times$ ($n \geq 2$), we

are interested in the existence (possibly after enlarging S) of a lift of χ_n to \mathcal{O} . This will be accomplished by making

$$\mathrm{III}_S^1(\boldsymbol{\mu}_p) = \ker \left(\mathrm{H}^1(\Gamma_S, \boldsymbol{\mu}_p) \rightarrow \bigoplus_{l \in S} \mathrm{H}^1(\Gamma_l, \boldsymbol{\mu}_p) \right)$$

vanish, which should be possible via adding nice primes.

References

- [NSW08] Jürgen Neukirch, Alexander Schmidt, and Kay Wingberg. *Cohomology of number fields*. Second. Vol. 323. Grundlehren der Mathematischen Wissenschaften. Springer-Verlag, 2008.