

Tree

Definition: A tree is a collection of nodes. If non-empty, the tree has one root node r and zero or more subtrees whose respective root nodes are connected to r by a directed edge.

Preorder Traversal: process a node before traversing its children.

Postorder Traversal: process a node after traversing its children.

Node

Depth/Level: the path length from root node to this node. Root node is at level 0.

Height: the largest path length from this node to a descendant leaf. The height of a tree is the height of its root node.

Edge

Theorem 1: A tree of n nodes has $n - 1$ edges since each node except r has a parent node (denoted by an edge)

Path

Path: A path p from node n_1 to n_k is the sequence of nodes n_1, n_2, \dots, n_k such that n_i is the parent of n_{i+1}

Binary Tree

Definition: a tree whose nodes can have at most two children

Theorem 1: At most 2^X nodes can be stored at level X

Theorem 2: At most $2^X - 1$ nodes can be stored in X levels

Theorem 3: At least $\log(N + 1)$ levels required to store N nodes

Inorder Traversal: traverse the left subtree, process or the root node, and then traverse the right subtree.

BST (Binary Search Tree)

Definition: a binary tree whose nodes are sorted with respect to inorder traversal.

insert(): a smaller value goes left, a larger value goes right.

delete():

Situation 1: $X-L = \text{null} \ \&\& \ X-R = \text{null}$. Then set a null be child of $X-P$ in place of X

Situation 2: $X-L \neq \text{null} \ \&\& \ X-R = \text{null}$. Then two sub-situations:

Sub-situation 1: $X-L-R \neq \text{null}$. Then:

- 1 set $X-L-R-M$ be child of $X-P$ in place of X , ($X-L-R-M-P$, $X-L-R-M$ and X have wrong children.)
- 2 set $X-L-R-M-L$ be right child of $X-L-R-M-P$. ($X-L-R-M$ and X have wrong children.)
- 3 set $X-L$ and $X-R$ be left and right child of $X-L-R-M$. (X has wrong children.)
- 4 set two nulls be left and right child of X

Sub-situation 2: $X-L-R = \text{null}$. Then:

- 1 set $X-L$ be child of $X-P$ in place of X . ($X-L$ and X have wrong children.)
- 2 set $X-R$ be right child of $X-L$. (X has wrong children.)
- 3 set two nulls be left and right child of X

Situation 3: $X-R \neq \text{null} \ \&\& \ X-L = \text{null}$. Then two sub-situations:

Sub-situation 1: $X-R-L \neq \text{null}$. Then:

- 1 set $X-R-L-M$ be child of $X-P$ in place of X . ($X-R-L-M-P$, $X-R-L-M$ and X have wrong children.)
- 2 set $X-R-L-M-R$ be left child of $X-R-L-M-P$, ($X-R-L-M$ and X have wrong children.)
- 3 set $X-L$ and $X-R$ be left and right child of $X-R-L-M$. (X has wrong children.)

4 set two nulls be left and right child of X

Sub-situation 2: X-R-L = null. Then:

1 set X-R be child of X-P in place of X, (X-R and X have wrong children.)

2 set X-L be left child of X-R. (X has wrong children.)

3 set two nulls be left and right child of X

Situation 4: X-R \neq null && X-L \neq null. Then follow either situation 2 or 3. Situation 3 is used in the lecture notes.

CBBST (Completely Balanced BST)

Definition: a BST whose levels all have the largest number of nodes.

Theorem 1: The number of nodes of the tree is $2^{H+1} - 1$

Theorem 2: The height of the tree is $\log(N+1) - 1$

Question 1: T_w to search a node, in terms of number of probes? $T_w = H + 1$

Question 2: T_a to search a node, in terms of number of probes? $T_a \approx H \approx \log N$ when $2^H \gg 1$

AVL (Adelson-Velskii and Landis)

Definition: a BST where the height of every left and right subtrees of a node can differ by at most 1

Theorem: Smallest number of nodes in a tree of height H is $N_H = N_{H-1} + N_{H-2} + 1$, $N_0 = 1$, $N_1 = 2$

insert(): consider the path from the violating node r to nodes at the next two levels:

Left Left: rotateRight(r)

```
rotateRight ( theParent& ) {  
    leftChild = theParent->left  
    theParent->left = leftChild->right  
    leftChild->right = theParent  
    theParent = leftChild  
}
```

Right Right: rotateLeft(r)

```
left_rotate( theParent& ) {  
    rightChild = theParent->right  
    theParent->right = rightChild->left  
    rightChild->left = theParent  
    theParent = rightChild  
}
```

Left Right: rotateLeft(r->left), rotateRight(r)

Right Left: rotateRight(r->right), rotateLeft(r)

delete(): the same as a BST deletion but may cause an imbalance. This may need h/2 rotations to rebalance it.

Hash

Open Hashing

Use a data structure such as a linked list to store all keys that hash to the same location.

K: the number of keys

L: the number of locations

λ : the size of a linked list. $\lambda = K / L$ if the keys are uniformly spread out

AST-F: average search time if found. $AST-F = O(1) + \lambda/2$

AST-NF: average search time if not found. $AST-NF = O(1) + \lambda$

Principle 1: Keep $\lambda \leq 1.0$

Principle 2: Keep L prime to ensure good distribution

Closed Hashing

h(): the function to find an unused location, $h(X) = (\text{hash}(X) + f(i)) \% L$

X: the key

hash(): the hash function to map a key to an original location

f(): the function to calculate the location offset. $f(0) = 0$

i: the number of probes, starting at 0 and increment by one every time

L: the number of locations

Linear Probing: $f(i) = i$. It will always find an empty location if one exists, but time to find it can be very bad.

Quadratic Probing: $f(i) = i^2$. If table size is prime, then a new element can always be inserted if the table is at least half empty.

So we must keep $\lambda \leq 0.5$ to ensure successful insertion.

Double Hashing: $f(i) = i \times h_2(X)$, where h_2 is the secondary hash function

Heap

Definition: a binary tree that is completely filled except for the bottom level.

Theorem 1: $2^H \leq N \leq 2^{H+1} - 1$

Theorem 2: $\log N \leq H \leq \log(N + 1)$

Theorem 3: $H = O(\log N)$

Probe: for any element $\text{arr}[i]$:

Left Child: $\text{arr}[2i]$

Right Child: $\text{arr}[2i+1]$

Parent: $\text{arr}[i/2]$

Priority Queue

Definition: A special heap whose parent nodes are less than or equal to their children. The heap itself is implemented by an array.

insert(): insert the new element into the bottom of the heap and percolate it up until heap order property is satisfied

Tw: $O(\log n)$

Ta: $O(1)$

delete_min(): place the latest-inserted element at $i = 1$ and trickle it down until heap order property is satisfied. When trickling down, we must make sure that we swap with the smaller of the two children.

Tw: $O(\log n)$

build_heap(): make n successive insert()

Tw: $O(n)$ (Improved)

Ta: $O(n)$