

NeuroCAPs: A Python Package for Performing Co-Activation Patterns Analyses on Resting-State and Task-Based fMRI Data

Donisha Smith¹

¹ Department of Psychology, Florida International University

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Summary

Co-Activation Patterns (CAPs) is a dynamic functional connectivity technique that clusters similar spatial distributions of brain activity. To make this analytical technique more accessible to neuroimaging researchers, NeuroCAPs, an open source Python package, was developed. This package performs end-to-end CAPs analyses on preprocessed resting-state or task-based functional magnetic resonance imaging (fMRI) data, and is most optimized for data preprocessed with fMRIPrep, a robust preprocessing pipeline designed to minimize manual user input and enhance reproducibility ([Esteban et al., 2019](#)).

Background

Numerous fMRI studies employ static functional connectivity (sFC) techniques to analyze correlative activity within and between brain regions. However, these approaches operate under the assumption that functional connectivity patterns, which change within seconds ([Jiang et al., 2022](#)), remain stationary throughout the entire data acquisition period ([Hutchison et al., 2013](#)).

Unlike sFC approaches, dynamic functional connectivity (dFC) methods enable the analysis of dynamic functional states, which are characterized by consistent, replicable, and distinct periods of time-varying brain connectivity patterns ([Rabany et al., 2019](#)). Among these techniques, CAPs analysis aggregates similar spatial distributions of brain activity using clustering techniques, typically the k-means algorithm, to capture the dynamic nature of brain activity ([Liu et al., 2013, 2018](#)).

Statement of Need

The typical CAPs workflow can be programmatically time-consuming to manually orchestrate as it generally entails several steps:

1. implement spatial dimensionality reduction of timeseries data using a parcellation
2. perform nuisance regression and scrub high-motion volumes (excessive head motion)
3. concatenate the timeseries data from multiple subjects into a single matrix
4. apply k-means clustering to the concatenated data and select the optimal number of clusters (CAPs) using heuristics such as the elbow or silhouette methods
5. generate different visualizations to enhance the interpretability of the CAP

While other excellent CAPs toolboxes exist, they are often implemented in proprietary languages such as MATLAB (which is the case for TbCAPs ([Bolton et al., 2020](#))), lack comprehensive end-to-end analytical pipelines for both resting-state and task-based fMRI data with temporal

dynamic metrics and visualization capabilities (such as `capcalc` (Frederick & Drucker, 2022)), or are comprehensive, but generalized toolboxes for evaluating and comparing different dFC methods (such as `pydFC` (Torabi et al., 2024)).

NeuroCAPs addresses these limitations by providing an accessible Python package specifically for performing end-to-end CAPs analyses, from post-processing of fMRI data to creation of temporal metrics for downstream statistical analyses and visualizations to facilitate interpretations. However, many of NeuroCAPs' post-processing functionalities assumes that fMRI data is organized in a Brain Imaging Data Structure (BIDS) compliant directory and is most optimized for data preprocessed with fMRIPrep (Esteban et al., 2019) or preprocessing pipelines that generate similar outputs (e.g. NiBabies (Goncalves et al., 2025)). Furthermore, NeuroCAPs only supports the k-means algorithm for clustering, which is the clustering algorithm that was originally used and is often employed when performing the CAPs analysis (Liu et al., 2013).

Usage

The following code demonstrates basic usage of NeuroCAPs (with simulated data) to perform CAPs analysis. A version of this example using real data is available on [NeuroCAPs' readthedocs](#).

1. Extract timeseries data

```
import numpy as np

from neurocaps.extraction import TimeseriesExtractor
from neurocaps.utils import simulate_bids_dataset

# Set seed
np.random.seed(0)

# Generate a BIDS directory with fMRIPrep derivatives
bids_root = simulate_bids_dataset(n_subs=3, n_runs=1, n_volumes=100, task_name="rest")

# Using Schaefer, one of the default parcellation approaches
parcel_approach = {"Schaefer": {"n_rois": 100, "yeo_networks": 7}}

# List of fMRIPrep-derived confounds for nuisance regression
acompcor_names = [{"a_comp_cor_0{i}" for i in range(5)}]
confound_names = ["cosine*", "trans*", "rot*", *acompcor_names]

# Initialize extractor with signal cleaning parameters
extractor = TimeseriesExtractor(
    space="MNI152NLin2009cAsym",
    parcel_approach=parcel_approach,
    confound_names=confound_names,
    standardize=False,
    # Run discarded if more than 30% of volumes exceed FD threshold
    fd_threshold={"threshold": 0.90, "outlier_percentage": 0.30},
)

# Extract preprocessed BOLD data
extractor.get_bold(bids_dir=bids_root, task="rest", tr=2, n_cores=1, verbose=False)

# Check QC information
qc_df = extractor.report_qc()
print(qc_df)
```

| Subject_ID | Run | Mean_FD | Std_FD | Frames_Scrubbed | ... |
|------------|-------|----------|----------|-----------------|-----|
| 0 | run-0 | 0.516349 | 0.289657 | 9 | ... |
| 1 | run-0 | 0.526343 | 0.297550 | 17 | ... |
| 2 | run-0 | 0.518041 | 0.273964 | 8 | ... |

- 55 2. Use k-means clustering to identify the optimal number of CAPs from the data using a
56 heuristic

```

from neurocaps.analysis import CAP
from neurocaps.utils import PlotDefaults

# Initialize CAP class
cap_analysis = CAP(parcel_approach=extractor.parcel_approach, groups=None)

plot_kwargs = {**PlotDefaults.get_caps(), "figsize": (4, 3), "step": 2}

# Find optimal CAPs (2-20) using silhouette method; results are stored
cap_analysis.get_caps(
    subject_timeseries=extractor.subject_timeseries,
    n_clusters=range(2, 21),
    standardize=True,
    cluster_selection_method="silhouette",
    max_iter=500,
    n_init=10,
    show_figs=True,
    **plot_kwargs,
)

```

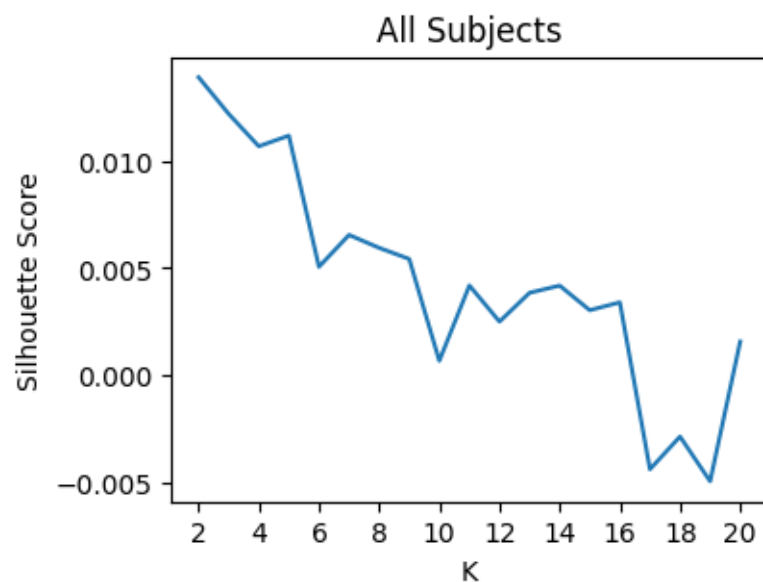


Figure 1: Silhouette Score Plot.

- 57 3. Compute temporal dynamic metrics for downstream statistical analyses

```

# Calculate temporal fraction of each CAP
metric_dict = cap_analysis.calculate_metrics(
    extractor.subject_timeseries, metrics=["temporal_fraction"]
)

```

```
)
print(metric_dict["temporal_fraction"])
```

| Subject_ID | Group | Run | CAP-1 | CAP-2 |
|------------|--------------|-------|----------|----------|
| 0 | All Subjects | run-0 | 0.505495 | 0.494505 |
| 1 | All Subjects | run-0 | 0.530120 | 0.469880 |
| 2 | All Subjects | run-0 | 0.521739 | 0.478261 |

58 Note that CAP-1 is the dominant brain state across subjects (highest frequency).

59 4. Visualize CAPs

```
# Create surface and radar plots for each CAP
surface_kwargs = {**PlotDefaults.caps2surf(), "layout": "row", "size": (500, 100)}

radar_kwargs = {**PlotDefaults.caps2radar(), "height": 400, "width": 485}
radar_kwargs["radialaxis"] = {"range": [0, 0.4], "tickvals": [0.1, "", "", 0.4]}
radar_kwargs["legend"] = {"yanchor": "top", "y": 0.75, "x": 1.15}

cap_analysis.caps2surf(**surface_kwargs).caps2radar(**radar_kwargs)
```

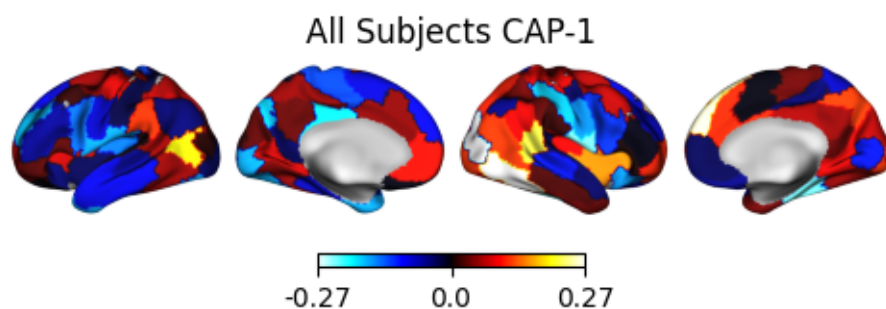


Figure 2: CAP-1 Surface Image.

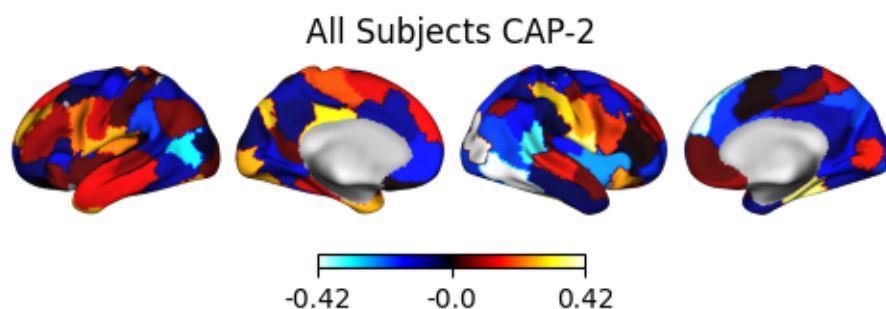
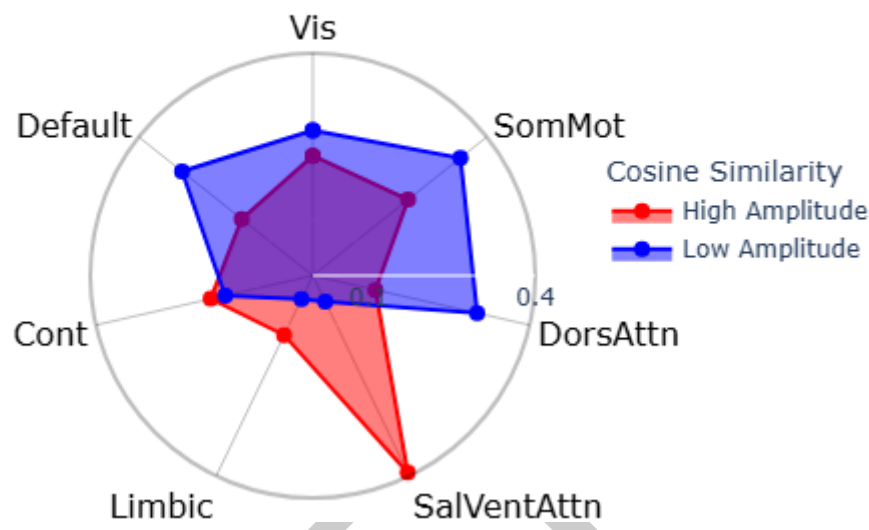
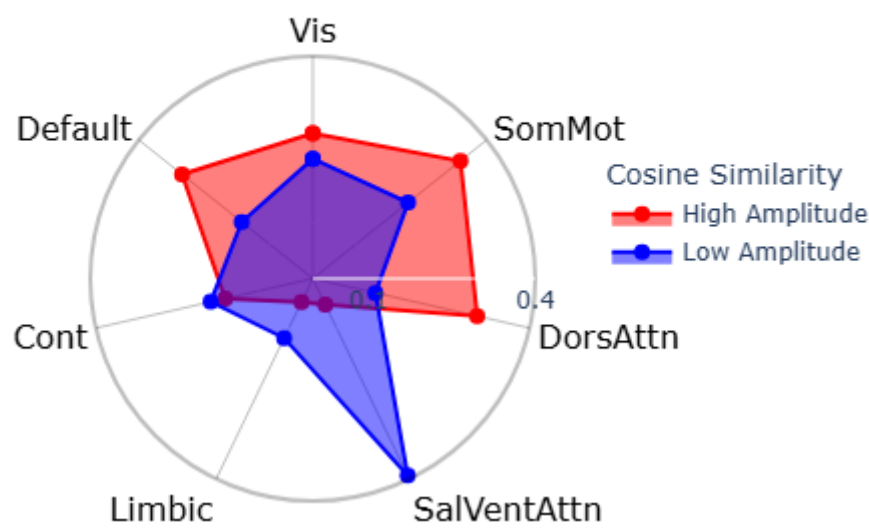


Figure 3: CAP-2 Surface Image.

All Subjects CAP-1



All Subjects CAP-2



60 Radar plots show network alignment (measured by cosine similarity): “High Amplitude” =
61 alignment to activations (> 0), “Low Amplitude” = alignment to deactivations (< 0).
62 Each CAP can be characterized using either maximum alignment (CAP-1: Vis+/SomMot-;
63 CAP-2: SomMot+/Vis-) or predominant alignment (“High Amplitude” – “Low Amplitude”;
64 CAP-1: SalVentAttn+/SomMot-; CAP-2: SomMot+/SalVentAttn-).

```
import pandas as pd
```

```
for cap_name in cap_analysis.caps["All Subjects"]:
    df = pd.DataFrame(cap_analysis.cosine_similarity["All Subjects"][cap_name])
    df["Net"] = df["High Amplitude"] - df["Low Amplitude"]
    df["Regions"] = cap_analysis.cosine_similarity["All Subjects"]["Regions"]
    print(f"{cap_name}:", "\n", df, "\n")
```

65 CAP-1:

| High Amplitude | Low Amplitude | Net | Regions |
|----------------|---------------|-----------|-------------|
| 0.340826 | 0.309850 | 0.030976 | Vis |
| 0.155592 | 0.318072 | -0.162480 | SomMot |
| 0.213348 | 0.181667 | 0.031681 | DorsAttn |
| 0.287179 | 0.113046 | 0.174133 | SalVentAttn |
| 0.027542 | 0.168325 | -0.140783 | Limbic |
| 0.236915 | 0.195235 | 0.041680 | Cont |
| 0.238242 | 0.208548 | 0.029694 | Default |

66 CAP-2:

| High Amplitude | Low Amplitude | Net | Regions |
|----------------|---------------|-----------|-------------|
| 0.309850 | 0.340826 | -0.030976 | Vis |
| 0.318072 | 0.155592 | 0.162480 | SomMot |
| 0.181667 | 0.213348 | -0.031681 | DorsAttn |
| 0.113046 | 0.287179 | -0.174133 | SalVentAttn |
| 0.168325 | 0.027542 | 0.140783 | Limbic |
| 0.195235 | 0.236915 | -0.041680 | Cont |
| 0.208548 | 0.230242 | -0.021694 | Default |

67 Documentation

68 Comprehensive documentations and interactive tutorials of NeuroCAPS can be found at
69 <https://neurocaps.readthedocs.io/> and on its [repository](#).

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