

- NeuroCAPs: A Python Package for Performing
- ² Co-Activation Patterns Analyses on Resting-State and
- 3 Task-Based fMRI Data
- 4 Donisha Smith¹
- 1 Department of Psychology, Florida International University

DOI: 10.xxxxx/draft

Software

- Review 🗗
- Repository 🗗
- Archive ♂

Editor: Open Journals ♂ Reviewers:

@openjournals

Submitted: 01 January 1970 Published: unpublished

License

Authors of papers retain copyrights and release the work under a 16 Creative Commons Attribution 4.07 International License (CC BY 4.0)8

Summary

Co-Activation Patterns (CAPs) is a dynamic functional connectivity technique that clusters similar spatial distributions of brain activity. To make this analytical technique more accessible to neuroimaging researchers, NeuroCAPs (D. Smith, 2025a), an open source Python package, was developed to perform end-to-end co-activation patterns (CAPs) analyses on either resting-state or task-based fMRI data. Additionally, it is published under the MIT license.

Background

Numerous functional magnetic resonance imaging (fMRI) studies employ static functional connectivity (sFC) techniques to analyze connectivity within and between brain regions. These approaches operate under the assumption that functional connectivity patterns, defined as correlation in activity between brain regions of interest, remain stationary throughout the entire data acquisition period (Hutchison et al., 2013). However, substantial evidence suggests that functional connectivity can change within seconds (Jiang et al., 2022).

Unlike sFC approaches, dynamic functional connectivity (dFC) methods account for temporal variability within and between brain regions. These dFC methods enable analysis of dynamic functional states, characterized by consistent, replicable, and distinct periods of varying connectivity patterns (Rabany et al., 2019). Among these techniques, co-activation patterns (CAPs) analysis aggregates similar spatial distributions of brain activity using clustering techniques, typically k-means. This process not only clusters these patterns but also creates an average representation of brain states assigned to the same cluster (i.e., CAP), thereby capturing the dynamic nature of brain activity during the scanning session (Liu et al., 2018). Similar CAPs can be identified across both resting-state data (representing the brain's fundamental architecture that spontaneously fluctuates without external stimuli) and task data (representing functional coupling between brain regions in response to a specific stimuli or task) (Kupis et al., 2020).

31 Statement of Need

- Currently, performing an end-to-end CAPs analysis presents challenges due to the numerous steps required. Researchers must:
- 1. clean timeseries data through nuisance regression and censor frames with high framewise displacement (excessive head motion).
- 2. perform spatial dimensionality reduction.
- concatenate timeseries data across multiple subjects for k-means clustering.



38

39

- 4. select an optimal cluster size using heuristics such as the elbow or silhouette methods.
- 5. implement various visualization techniques to enhance interpretability of the results.

While other excellent CAPs toolboxes exist, they are often implemented in proprietary languages such as MATLAB (which is the case for TbCAPs (Bolton et al., 2020)), lack comprehensive end-to-41 end analytical pipelines for both resting-state and task-based fMRI data with temporal dynamic 42 metrics and visualization capabilities (such as capcalc (Frederick & Drucker, 2022)), or are generalized toolboxes for assessing similarity between different dFC methods (such as PydFC (Torabi et al., 2024)). NeuroCAPs addresses these limitations by providing an accessible Python package that leverages object-oriented programming design to create a flexible pipeline-like architecture specifically for performing CAPs analyses. Furthermore, NeuroCAPs offers the 47 ability to directly save certain outputs, such as the extracted timeseries, and supports continuing work across sessions through pickle serialization of Python objects, allowing an analysis to be completed incrementally. The package assumes fMRI data is organized in a BIDS-compliant 50 directory and is optimized for data preprocessed with fMRIPrep (Esteban et al., 2019), a 51 preprocessing workflow designed to minimize manual user input and enhance reproducibility. However, preprocessed fMRI data organized in similar directory formats as fMRIPrep outputs 53 can still leverage NeuroCAPs' timeseries extraction functionalities. In addition, the necessary data structure (a dictionary mapping subject IDs to run IDs and its associated timeseries data) 55 can be manually created to leverage many of NeuroCAPs' analytical capabilities.

Modules

The package consists of four modules, with core functionality primarily distributed between two main modules (neurocaps.extraction and neurocaps.analysis) that handle the entire workflow from postprocessing to visualization, significantly streamlining the CAPs analysis process.

62 neurocaps.exceptions

This module contains a custom exception - BIDSQueryError. Since NeuroCAPs utilizes PyBIDS (Yarkoni et al., 2019), a Python package for querying BIDS-compliant directories, this exception was created to guide users and provide potential fixes when no subject IDs are detected in the specified BIDS directories.

67 neurocaps.extraction

69

70

71

72

73

75

76

77

81

82

83

This module contains the TimeseriesExtractor class, which:

- extracts both resting-state and task-based functional MRI data using lateralized brain parcellations (such as the Schaefer (Schaefer et al., 2018), Automated Anatomical Labeling (Tzourio-Mazoyer et al., 2002), and Human Connectome Project extended (Huang et al., 2022) parcellations) for spatial dimensionality reduction.
- leverages Nilearn's (contributors, n.d.) NiftiLabelsMasker to perform nuisance regression and censoring of high-motion volumes using fMRIPrep-derived regressors, and stores the extracted timeseries information in a dictionary mapping subject IDs to run IDs and their associated timeseries.
 - saves extracted timeseries data in a serialized pickle format.
- visualizes timeseries data for a specific subject's run.

79 neurocaps.analysis

80 This module contains the CAP class, which:

- allows group-specific analyses or analyses on all subjects (the default configuration).
- performs k-means clustering for CAP identification, while supporting a single cluster size or a range of clusters in combination with a cluster selection method (i.e. elbow, silhouette, davies-boulden, and variance ratio) to determine the optimal cluster size.



85

86

87

89

91

93

- computes various temporal dynamics metrics (including counts/state initiation, temporal fraction, persistence, transition frequency, and transition probability) at the subject-level and exports data to a CSV file for downstream statistical analyses.
 - enables conversion of CAPs to NIfTI statistical maps.
 - provides multiple visualization options for CAPs including heatmaps, outer products, correlation matrices, and cosine similarity radar plots (showing network correspondence to both positive and negative CAP activations).
- Additionally, the module provides standalone functions for:
 - changing the data type and performing additional standardization of timeseries data produced by TimeseriesExtractor.
 - merging multiple timeseries data across different dictionaries produced by TimeseriesExtractor by identifying similar subjects and concatenating their data, which facilitates analyses to identify CAPs across sessions or different tasks.
 - creating averaged transition probability matrices from subject-level transition probabilities.

99 neurocaps.typing

This module contains custom type definitions that can be used with static type checkers to build appropriate dictionary structures for specifying parcellations or the timeseries data if these structures must be manually created.

Documentation

Comprehensive documentation for NeuroCAPs, related to installation, usage, and the application programming interface (API) can be found at neurocaps.readthedocs.io (D. Smith, 2025b), with Jupyter Notebook demonstrations available on its Github repository (D. Smith, 2025c).

107 Example Application

NeuroCAPs was originally developed (and later refined for broader use) to facilitate the analysis in D. D. Smith et al. (2025). In this manuscript that was submitted for publication, NeuroCAPs was used to extract timeseries data, cluster and identify CAPs using the elbow method, and produce visualizations for CAPs (i.e., heatmap, surface plots, correlation matrix, and cosine similarity plots)

Acknowledgements

Funding provided by the Dissertation Year Fellowship (DYF) Program at Florida International University (FIU), assisted in further refinement of the NeuroCAPs package.

116 References

Bolton, T. A. W., Tuleasca, C., Wotruba, D., Rey, G., Dhanis, H., Gauthier, B., Delavari, F., Morgenroth, E., Gaviria, J., Blondiaux, E., Smigielski, L., & Van De Ville, D. (2020).

TbCAPs: A toolbox for co-activation pattern analysis. *NeuroImage*, 211, 116621. https://doi.org/10.1016/j.neuroimage.2020.116621

contributors, N. (n.d.). nilearn. https://doi.org/https://doi.org/10.5281/zenodo.8397156

Esteban, O., Markiewicz, C. J., Blair, R. W., Moodie, C. A., Isik, A. I., Erramuzpe, A., Kent, J. D., Goncalves, M., DuPre, E., Snyder, M., Oya, H., Ghosh, S. S., Wright, J., Durnez, J., Poldrack, R. A., & Gorgolewski, K. J. (2019). fMRIPrep: A robust preprocessing



- pipeline for functional MRI. *Nature Methods*, 16(1), 111-116. https://doi.org/10.1038/s41592-018-0235-4
- Frederick, B. D., & Drucker, D. M. (2022). Bbfrederick/capcalc: Version 1.2.2.2 8/30/22 deployment bug fix. Zenodo. https://doi.org/10.5281/ZENODO.7035806
- Huang, C.-C., Rolls, E. T., Feng, J., & Lin, C.-P. (2022). An extended human connectome project multimodal parcellation atlas of the human cortex and subcortical areas. *Brain Structure and Function*, 227(3), 763–778. https://doi.org/10.1007/s00429-021-02421-6
- Hutchison, R. M., Womelsdorf, T., Allen, E. A., Bandettini, P. A., Calhoun, V. D., Corbetta,
 M., Della Penna, S., Duyn, J. H., Glover, G. H., Gonzalez-Castillo, J., Handwerker, D. A.,
 Keilholz, S., Kiviniemi, V., Leopold, D. A., De Pasquale, F., Sporns, O., Walter, M., & Chang, C. (2013). Dynamic functional connectivity: Promise, issues, and interpretations.
 Neurolmage, 80, 360–378. https://doi.org/10.1016/j.neuroimage.2013.05.079
- Jiang, F., Jin, H., Gao, Y., Xie, X., Cummings, J., Raj, A., & Nagarajan, S. (2022). Time-varying dynamic network model for dynamic resting state functional connectivity in fMRI and MEG imaging. *NeuroImage*, 254, 119131. https://doi.org/10.1016/j.neuroimage.2022.
- Kupis, L., Romero, C., Dirks, B., Hoang, S., Parladé, M. V., Beaumont, A. L., Cardona, S.
 M., Alessandri, M., Chang, C., Nomi, J. S., & Uddin, L. Q. (2020). Evoked and intrinsic brain network dynamics in children with autism spectrum disorder. *NeuroImage: Clinical*, 28, 102396. https://doi.org/10.1016/j.nicl.2020.102396
- Liu, X., Zhang, N., Chang, C., & Duyn, J. H. (2018). Co-activation patterns in resting-state fMRI signals. *NeuroImage*, 180, 485–494. https://doi.org/10.1016/j.neuroimage.2018.01.
- Rabany, L., Brocke, S., Calhoun, V. D., Pittman, B., Corbera, S., Wexler, B. E., Bell, M. D., Pelphrey, K., Pearlson, G. D., & Assaf, M. (2019). Dynamic functional connectivity in schizophrenia and autism spectrum disorder: Convergence, divergence and classification.

 Neurolmage: Clinical, 24, 101966. https://doi.org/10.1016/j.nicl.2019.101966
- Schaefer, A., Kong, R., Gordon, E. M., Laumann, T. O., Zuo, X.-N., Holmes, A. J., Eickhoff, S. B., & Yeo, B. T. T. (2018). Local-global parcellation of the human cerebral cortex from intrinsic functional connectivity MRI. *Cerebral Cortex*, 28(9), 3095–3114. https://doi.org/10.1093/cercor/bhx179
- Smith, D. (2025a). NeuroCAPs: A python package for performing co-activation patterns analyses on resting-state and task-based fMRI data: archive. Zenodo. https://doi.org/10.5281/zenodo.15061878
- 159 Smith, D. (2025b). NeuroCAPs. Documentation. https://neurocaps.readthedocs.io/.
- Smith, D. (2025c). *NeuroCAPs. Github repository.* https://github.com/donishadsmith/neurocaps
- Smith, D. D., Bartley, J. E., Peraza, J. A., Bottenhorn, K. L., Nomi, J. S., Uddin, L. Q., Riedel,
 M. C., Salo, T., Laird, R. W., Pruden, S. M., Sutherland, M. T., Brewe, E., & Laird, A. R.
 (2025). Dynamic reconfiguration of brain coactivation states associated with active and
 lecture-based learning of university physics. https://doi.org/10.1101/2025.02.22.639361
- Torabi, M., Mitsis, G. D., & Poline, J.-B. (2024). On the variability of dynamic functional connectivity assessment methods. *GigaScience*, *13*, giae009. https://doi.org/10.1093/gigascience/giae009
- Tzourio-Mazoyer, N., Landeau, B., Papathanassiou, D., Crivello, F., Etard, O., Delcroix, N., Mazoyer, B., & Joliot, M. (2002). Automated anatomical labeling of activations in SPM using a macroscopic anatomical parcellation of the MNI MRI single-subject brain.

 Neurolmage, 15(1), 273–289. https://doi.org/10.1006/nimg.2001.0978



Yarkoni, T., Markiewicz, C., De La Vega, A., Gorgolewski, K., Salo, T., Halchenko, Y.,
McNamara, Q., DeStasio, K., Poline, J.-B., Petrov, D., Hayot-Sasson, V., Nielson, D.,
Carlin, J., Kiar, G., Whitaker, K., DuPre, E., Wagner, A., Tirrell, L., Jas, M., ... Blair, R.
(2019). PyBIDS: Python tools for BIDS datasets. *Journal of Open Source Software*, 4(40),
1294. https://doi.org/10.21105/joss.01294

