

- NeuroCAPs: A Python Package for Performing
- <sup>2</sup> Co-Activation Patterns Analyses on Resting-State and
- 3 Task-Based fMRI Data
- 4 Donisha Smith<sup>1</sup>
- 1 Department of Psychology, Florida International University

#### **DOI:** 10.xxxxx/draft

#### **Software**

- Review 🗗
- Repository 🗗
- Archive ♂

# Editor: Open Journals ♂

@openjournals

Submitted: 01 January 1970 Published: unpublished

### License

Reviewers:

Authors of papers retain copyrights and release the work under a  $_{16}$  Creative Commons Attribution 4.0, International License (CC BY 4.0)

# Summary

Co-Activation Patterns (CAPs) is a dynamic functional connectivity technique that clusters similar spatial distributions of brain activity. To make this analytical technique more accessible to neuroimaging researchers, NeuroCAPs, an open source Python package, was developed. This package performs end-to-end CAPs analyses on preprocessed resting-state or task-based functional magnetic resonance imaging (fMRI) data, and is most optimized for data preprocessed with fMRIPrep, a robust preprocessing pipeline designed to minimize manual user input and enhance reproducibility (Esteban et al., 2019).

# **Background**

Numerous fMRI studies employ static functional connectivity (sFC) techniques to analyze correlative activity within and between brain regions. However, these approaches operate under the assumption that functional connectivity patterns, which change within seconds (Jiang et al., 2022), remain stationary throughout the entire data acquisition period (Hutchison et al., 2013).

Unlike sFC approaches, dynamic functional connectivity (dFC) methods enable the analysis of dynamic functional states, which are characterized by consistent, replicable, and distinct periods of time-varying brain connectivity patterns (Rabany et al., 2019). Among these techniques, CAPs analysis aggregates similar spatial distributions of brain activity using clustering techniques, such as the k-means algorithm, to capture the dynamic nature of brain activity (Liu et al., 2018).

# Statement of Need

30

31

32

33

- Currently, performing an end-to-end CAPs analysis presents challenges due to the numerous steps required. Researchers must:
  - 1. clean timeseries data through nuisance regression and censor frames with high framewise displacement (excessive head motion).
  - perform spatial dimensionality reduction.
    - 3. concatenate timeseries data across multiple subjects for k-means clustering.
    - 4. select an optimal cluster size using heuristics such as the elbow or silhouette methods.
      - 5. implement various visualization techniques to enhance interpretability of the results.
- While other excellent CAPs toolboxes exist, they are often implemented in proprietary languages such as MATLAB (which is the case for TbCAPs (Bolton et al., 2020)), lack comprehensive
- 37 end-to-end analytical pipelines for both resting-state and task-based fMRI data with temporal



dynamic metrics and visualization capabilities (such as capcalc (Frederick & Drucker, 2022)), or are comprehensive, but generalized toolboxes for evaluating and comparing different dFC methods (such as pydFC (Torabi et al., 2024)). NeuroCAPs addresses these limitations by providing an accessible Python package specifically for performing end-to-end CAPs analyses, from post-processing of fMRI data to creation of temporal metrics for downstream statistical analyses and visualizations to facilitate interpretations. However, many of NeuroCAPs' post-processing functionalities assumes that fMRI data is organized in a Brain Imaging Data Structure (BIDS) compliant directory and is most optimized for data preprocessed with fMRIPrep (Esteban et al., 2019) or preprocessing pipelines that generate similar outputs (e.g. NiBabies (Goncalves et al., 2025)).

### **Modules**

- NeuroCAPs consists of four modules, with core functionality primarily distributed between two main modules (neurocaps.extraction and neurocaps.analysis) that handle the entire workflow, from post-processing to temporal metric computation and visualization capabilities.
- 52 neurocaps.exceptions
- This module contains custom exceptions. These include BIDSQueryError, which supports
  NeuroCAPs' integration with PyBIDS (Yarkoni et al., 2019) by providing guidance when
  issues arise with BIDS directories; NoElbowDetectedError, which offers solutions when optimal
  cluster determination fails using the elbow method implemented via Kneed (Arvai, 2023); and
  UnsupportedFileExtensionError, which handles cases when pickled inputs have unsupported
  file extensions.

### 59 neurocaps.extraction

61

62

63

64

65

69

70

74

75

76

78

79

81

82

83

- This module contains the TimeseriesExtractor class, which:
  - leverages extracts Nilearn's (contributors, n.d.) NiftiLabelsMasker to perform nuisance regression on resting-state and task-based fMRI data and use lateralized brain parcellations (such as the Schaefer (Schaefer et al., 2018), Automated Anatomical Labeling (Tzourio-Mazoyer et al., 2002), and Human Connectome Project extended (Huang et al., 2022) parcellations) for spatial dimensionality reduction.
  - censors high-motion volumes using fMRIPrep-derived framewise displacement values and stores the extracted timeseries information in a dictionary mapping subject IDs to run IDs and their associated timeseries.
    - reports quality control information for framewise displacement.
    - saves extracted timeseries data as a pickle file.
      - visualizes timeseries data for a specific subject's run.

### neurocaps.analysis

- 73 This module contains the CAP class, which:
  - allows group-specific analyses or analyses on all subjects.
  - performs k-means clustering (from Scikit-learn (Pedregosa et al., 2011)) for CAP identification, while supporting a single cluster size or a range of clusters in combination with various cluster selection methods to determine the optimal cluster size.
  - computes various subject-level temporal dynamics metrics for downstream statistical analyses.
  - enables conversion of CAPs to NIfTI statistical maps.
  - provides diverse visualization options, using Matplotlib (Hunter, 2007), Seaborn (Waskom, 2021), Plotly (Inc., n.d.), and Surfplot (Gale et al., 2021), to facilitate scientific interpretations.
- Additionally, the module provides standalone functions for:



- changing the data type (Harris et al., 2020) and performing additional standardization of timeseries data produced by TimeseriesExtractor.
  - merging multiple timeseries data across different dictionaries produced by TimeseriesExtractor by identifying similar subjects and concatenating their data, which facilitates analyses to identify CAPs across sessions or tasks.
  - creating averaged transition probability matrices from subject-level transition probabilities.

#### neurocaps.typing

86

87

- This module provides custom type definitions compatible with static type checkers, enabling
- 93 proper construction of dictionary structures for parcellations or timeseries data when manual
- 94 creation is necessary.

# • Example Application

- 96 NeuroCAPs was originally developed (and later refined for broader use) to facilitate the analysis
- of in Smith et al. (2025), which has been submitted for peer review by the same author. In this
- manuscript, NeuroCAPs was used to extract timeseries data, cluster and identify CAPs using
- 99 the elbow method, compute temporal metrics for downstream statistical analyses, and produce
- visualizations for CAPs (i.e., heatmap, surface plots, correlation matrix, and radar plots).

## **Acknowledgements**

Funding provided by the Dissertation Year Fellowship (DYF) Program at Florida International University (FIU), assisted in further refinement of NeuroCAPs.

### References

- <sup>105</sup> Arvai, K. (2023). *Kneed*. Zenodo. https://doi.org/10.5281/ZENODO.8127224
- Bolton, T. A. W., Tuleasca, C., Wotruba, D., Rey, G., Dhanis, H., Gauthier, B., Delavari, F., Morgenroth, E., Gaviria, J., Blondiaux, E., Smigielski, L., & Van De Ville, D. (2020).

  TbCAPs: A toolbox for co-activation pattern analysis. *NeuroImage*, 211, 116621. https://doi.org/10.1016/j.neuroimage.2020.116621
- contributors, N. (n.d.). nilearn. https://doi.org/10.5281/zenodo.8397156
- Esteban, O., Markiewicz, C. J., Blair, R. W., Moodie, C. A., Isik, A. I., Erramuzpe, A., Kent, J. D., Goncalves, M., DuPre, E., Snyder, M., Oya, H., Ghosh, S. S., Wright, J., Durnez, J., Poldrack, R. A., & Gorgolewski, K. J. (2019). fMRIPrep: A robust preprocessing pipeline for functional MRI. *Nature Methods*, *16*(1), 111–116. https://doi.org/10.1038/s41592-018-0235-4
- Frederick, B. D., & Drucker, D. M. (2022). *Bbfrederick/capcalc: Version 1.2.2.2 8/30/22 deployment bug fix.* Zenodo. https://doi.org/10.5281/ZENODO.7035806
- Gale, D. J., Vos de Wael., R., Benkarim, O., & Bernhardt, B. (2021). *Surfplot: Publication-ready brain surface figures*. Zenodo. https://doi.org/10.5281/ZENODO.5567926
- Goncalves, M., Markiewicz, C. J., Esteban, O., Feczko, E., Poldrack, R. A., & Fair, D. A. (2025). *NiBabies: A robust preprocessing pipeline for infant functional MRI*. Zenodo. https://doi.org/10.5281/ZENODO.14811979
- Harris, C. R., Millman, K. J., Walt, S. J. van der, Gommers, R., Virtanen, P., Cournapeau, D.,
  Wieser, E., Taylor, J., Berg, S., Smith, N. J., Kern, R., Picus, M., Hoyer, S., Kerkwijk,
  M. H. van, Brett, M., Haldane, A., Río, J. F. del, Wiebe, M., Peterson, P., ... Oliphant,



- T. E. (2020). Array programming with NumPy. *Nature*, *585*(7825), 357–362. https://doi.org/10.1038/s41586-020-2649-2
- Huang, C.-C., Rolls, E. T., Feng, J., & Lin, C.-P. (2022). An extended human connectome project multimodal parcellation atlas of the human cortex and subcortical areas. *Brain Structure and Function*, 227(3), 763–778. https://doi.org/10.1007/s00429-021-02421-6
- Hunter, J. D. (2007). Matplotlib: A 2D graphics environment. Computing in Science & Engineering, 9(3), 90–95. https://doi.org/10.1109/MCSE.2007.55
- Hutchison, R. M., Womelsdorf, T., Allen, E. A., Bandettini, P. A., Calhoun, V. D., Corbetta,
   M., Della Penna, S., Duyn, J. H., Glover, G. H., Gonzalez-Castillo, J., Handwerker, D. A.,
   Keilholz, S., Kiviniemi, V., Leopold, D. A., De Pasquale, F., Sporns, O., Walter, M., &
   Chang, C. (2013). Dynamic functional connectivity: Promise, issues, and interpretations.
   Neurolmage, 80, 360–378. https://doi.org/10.1016/j.neuroimage.2013.05.079
- Inc., P. T. (n.d.). *Chart title*. https://plotly.com/python/radar-chart/; Plotly Technologies Inc.
- Jiang, F., Jin, H., Gao, Y., Xie, X., Cummings, J., Raj, A., & Nagarajan, S. (2022). Time-varying dynamic network model for dynamic resting state functional connectivity in fMRI and MEG imaging. *NeuroImage*, *254*, 119131. https://doi.org/10.1016/j.neuroimage.2022.
- Liu, X., Zhang, N., Chang, C., & Duyn, J. H. (2018). Co-activation patterns in resting-state fMRI signals. *NeuroImage*, 180, 485–494. https://doi.org/10.1016/j.neuroimage.2018.01.
- Pedregosa, F., Varoquaux, G., Gramfort, A., Michel, V., Thirion, B., Grisel, O., Blondel, M.,
  Prettenhofer, P., Weiss, R., Dubourg, V., Vanderplas, J., Passos, A., Cournapeau, D.,
  Brucher, M., Perrot, M., & Duchesnay, E. (2011). Scikit-learn: Machine learning in Python.

  Journal of Machine Learning Research, 12, 2825–2830.
- Rabany, L., Brocke, S., Calhoun, V. D., Pittman, B., Corbera, S., Wexler, B. E., Bell, M. D., Pelphrey, K., Pearlson, G. D., & Assaf, M. (2019). Dynamic functional connectivity in schizophrenia and autism spectrum disorder: Convergence, divergence and classification.

  Neurolmage: Clinical, 24, 101966. https://doi.org/10.1016/j.nicl.2019.101966
- Schaefer, A., Kong, R., Gordon, E. M., Laumann, T. O., Zuo, X.-N., Holmes, A. J., Eickhoff, S. B., & Yeo, B. T. T. (2018). Local-global parcellation of the human cerebral cortex from intrinsic functional connectivity MRI. *Cerebral Cortex*, 28(9), 3095–3114. https://doi.org/10.1093/cercor/bhx179
- Smith, D. D., Bartley, J. E., Peraza, J. A., Bottenhorn, K. L., Nomi, J. S., Uddin, L. Q., Riedel,
   M. C., Salo, T., Laird, R. W., Pruden, S. M., Sutherland, M. T., Brewe, E., & Laird, A. R.
   (2025). Dynamic reconfiguration of brain coactivation states associated with active and
   lecture-based learning of university physics. https://doi.org/10.1101/2025.02.22.639361
- Torabi, M., Mitsis, G. D., & Poline, J.-B. (2024). On the variability of dynamic functional connectivity assessment methods. *GigaScience*, *13*, giae009. https://doi.org/10.1093/gigascience/giae009
- Tzourio-Mazoyer, N., Landeau, B., Papathanassiou, D., Crivello, F., Etard, O., Delcroix, N., Mazoyer, B., & Joliot, M. (2002). Automated anatomical labeling of activations in SPM using a macroscopic anatomical parcellation of the MNI MRI single-subject brain.

  Neurolmage, 15(1), 273–289. https://doi.org/10.1006/nimg.2001.0978
- Waskom, M. L. (2021). Seaborn: Statistical data visualization. Journal of Open Source Software, 6(60), 3021. https://doi.org/10.21105/joss.03021
- Yarkoni, T., Markiewicz, C., De La Vega, A., Gorgolewski, K., Salo, T., Halchenko, Y., McNamara, Q., DeStasio, K., Poline, J.-B., Petrov, D., Hayot-Sasson, V., Nielson, D.,



Carlin, J., Kiar, G., Whitaker, K., DuPre, E., Wagner, A., Tirrell, L., Jas, M., ... Blair, R. (2019). PyBIDS: Python tools for BIDS datasets. *Journal of Open Source Software*, 4(40), 1294. https://doi.org/10.21105/joss.01294

