

# A Coalgebraic Decision Procedure for WS1S

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## Contents

<b>1</b>	<b>Equivalence Framework</b>	<b>1</b>
1.1	Abstract Deterministic Automaton . . . . .	3
1.2	The overall procedure . . . . .	5
1.3	Abstract Deterministic Finite Automaton . . . . .	6
<b>2</b>	<b>Preliminaries</b>	<b>7</b>
<b>3</b>	<b>Abstract formulas</b>	<b>7</b>
<b>4</b>	<b>Normalization</b>	<b>21</b>
<b>5</b>	<b>Derivatives of Formulas</b>	<b>23</b>
<b>6</b>	<b>Finiteness of Derivatives Modulo ACI</b>	<b>25</b>
<b>7</b>	<b>Emptiness Check</b>	<b>27</b>
<b>8</b>	<b>Restrictions</b>	<b>30</b>
<b>9</b>	<b>Concrete Atomic WS1S Formulas</b>	<b>34</b>
<b>10</b>	<b>Interpretation</b>	<b>40</b>
<b>11</b>	<b>Examples</b>	<b>50</b>

## 1 Equivalence Framework

**abbreviation**  $\mathfrak{d}s \equiv \text{fold } (\lambda a \ L. \ \mathfrak{d} \ L \ a)$

**lemma** *in-language- $\mathfrak{d}s$* : *in-language*  $(\mathfrak{d}s \ w \ L) \ v \longleftrightarrow \text{in-language } L \ (w \ @ \ v)$   
**by** (*induct* *w arbitrary*: *L*) *simp-all*

**lemma** *o- $\mathfrak{d}s$* :  $\mathfrak{o} \ (\mathfrak{d}s \ w \ L) \longleftrightarrow \text{in-language } L \ w$

```

by (induct w arbitrary: L) auto

lemma in-language-to-language[simp]: in-language (to-language L) w  $\longleftrightarrow$  w  $\in$  L
  by (metis in-language-to-language mem-Collect-eq)

lemma rtrancl-fold-product:
shows {((r, s), (f a r, f a s)) | a r s. a  $\in$  A}  $^*$  =
  {((r, s), (fold f w r, fold f w s)) | w r s. w  $\in$  lists A} (is ?L = ?R)
proof-
  { fix r s r' s'
    have ((r, s), (r', s')) : ?L  $\implies$  ((r, s), (r', s'))  $\in$  ?R
    proof(induction rule: converse-rtrancl-induct2)
      case refl show ?case by (force intro!: fold-simps(1)[symmetric])
    next
      case step thus ?case by (force intro!: fold-simps(2)[symmetric])
    qed
  } moreover
  { fix r s r' s'
    { fix w assume w  $\in$  lists A
      then have ((r, s), fold f w r, fold f w s)  $\in$  ?L
      proof(induction w rule: rev-induct)
        case Nil show ?case by simp
      next
        case snoc thus ?case by (force elim!: rtrancl-into-rtrancl)
      qed
    }
    hence ((r, s), (r', s'))  $\in$  ?R  $\implies$  ((r, s), (r', s'))  $\in$  ?L by auto
  } ultimately show ?thesis by (auto 10 0)
qed

lemma rtrancl-fold-product1:
shows {(r, s).  $\exists a \in A. s = f a r$ }  $^*$  = {(r, s).  $\exists a \in$  lists A.  $s = \text{fold } f a r$ } (is
  ?L = ?R)
proof-
  { fix r s
    have (r, s)  $\in$  ?L  $\implies$  (r, s)  $\in$  ?R
    proof(induction rule: converse-rtrancl-induct)
      case base show ?case by (force intro!: fold-simps(1)[symmetric])
    next
      case step thus ?case by (force intro!: fold-simps(2)[symmetric])
    qed
  } moreover
  { fix r s
    { fix w assume w  $\in$  lists A
      then have (r, fold f w r)  $\in$  ?L
      proof(induction w rule: rev-induct)
        case Nil show ?case by simp
      next
        case snoc thus ?case by (force elim!: rtrancl-into-rtrancl)
      qed
    }
  }

```

```

    qed
  }
  hence  $(r, s) \in ?R \implies (r, s) \in ?L$  by auto
} ultimately show  $?thesis$  by (auto 10 0)
qed

lemma lang-eq-ext-Nil-fold-Deriv:
  fixes  $K L A$ 
  assumes  $\bigwedge w. \text{in-language } K w \implies w \in \text{lists } A \bigwedge w. \text{in-language } L w \implies w \in \text{lists } A$ 
  defines  $\mathfrak{B} \equiv \{(\mathfrak{d}s w K, \mathfrak{d}s w L) \mid w. w \in \text{lists } A\}$ 
  shows  $K = L \iff (\forall (K, L) \in \mathfrak{B}. \mathfrak{o} K \iff \mathfrak{o} L)$ 
proof
  assume  $\forall (K, L) \in \mathfrak{B}. \mathfrak{o} K = \mathfrak{o} L$ 
  then show  $K = L$ 
  unfolding  $\mathfrak{B}$ -def using assms(1,2)
  proof (coinduction arbitrary:  $K L$ )
    case (Lang  $K L$ )
    then have CIH:  $\bigwedge K' L'. \exists w. K' = \mathfrak{d}s w K \wedge L' = \mathfrak{d}s w L \wedge w \in \text{lists } A \implies \mathfrak{o} K' = \mathfrak{o} L'$  and
      [dest, simp]:  $\bigwedge w. \text{in-language } K w \implies w \in \text{lists } A \bigwedge w. \text{in-language } L w \implies w \in \text{lists } A$ 
      by blast+
    show ?case unfolding ex-simps simp-thms
    proof (safe del: iffI)
      show  $\mathfrak{o} K = \mathfrak{o} L$  by (intro CIH[OF exI[where  $x = []$ ]]) simp
    next
      fix  $x w$  assume  $\forall x \in \text{set } w. x \in A$ 
      then show  $\mathfrak{o} (\mathfrak{d}s w (\mathfrak{d} K x)) = \mathfrak{o} (\mathfrak{d}s w (\mathfrak{d} L x))$ 
      proof (cases  $x \in A$ )
        assume  $x \notin A$ 
        then show ?thesis unfolding in-language-ds in-language.simps[symmetric]
        by fastforce
      qed (intro CIH[OF exI[where  $x = x \# w$ ]], auto)
      qed (auto simp add: in-language.simps[symmetric] simp del: in-language.simps)
    qed
  qed (auto simp:  $\mathfrak{B}$ -def)

```

## 1.1 Abstract Deterministic Automaton

```

locale DA =
  fixes alphabet :: 'a list
  fixes init :: 't  $\Rightarrow$  's
  fixes delta :: 'a  $\Rightarrow$  's  $\Rightarrow$  's
  fixes accept :: 's  $\Rightarrow$  bool
  fixes wellformed :: 's  $\Rightarrow$  bool
  fixes wf :: 't  $\Rightarrow$  bool
  fixes Language :: 's  $\Rightarrow$  'a language
  fixes Lang :: 't  $\Rightarrow$  'a language

```

**assumes** *Language-init*:  $\text{Language } (\text{init } t) = \text{Lang } t$   
**assumes** *wellformed-init*:  $\text{wf } t \implies \text{wellformed } (\text{init } t)$   
**assumes** *Language-alphabet*:  $\llbracket \text{wellformed } s; \text{in-language } (\text{Language } s) \ w \rrbracket \implies w \in \text{lists } (\text{set alphabet})$   
**assumes** *wellformed-delta*:  $\llbracket \text{wellformed } s; a \in \text{set alphabet} \rrbracket \implies \text{wellformed } (\text{delta } a \ s)$   
**assumes** *Language-delta*:  $\llbracket \text{wellformed } s; a \in \text{set alphabet} \rrbracket \implies \text{Language } (\text{delta } a \ s) = \mathfrak{d} (\text{Language } s) \ a$   
**assumes** *accept-Language*:  $\text{wellformed } s \implies \text{accept } s \longleftrightarrow \mathfrak{o} (\text{Language } s)$   
**begin**

**lemma** *wellformed-deltas*:  $\llbracket \text{wellformed } s; w \in \text{lists } (\text{set alphabet}) \rrbracket \implies \text{wellformed } (\text{fold delta } w \ s)$   
**by** (*induction w arbitrary: s*) (*auto simp add: Language-delta wellformed-delta*)

**lemma** *Language-deltas*:  $\llbracket \text{wellformed } s; w \in \text{lists } (\text{set alphabet}) \rrbracket \implies \text{Language } (\text{fold delta } w \ s) = \mathfrak{d} s \ w \ (\text{Language } s)$   
**by** (*induction w arbitrary: s*) (*auto simp add: Language-delta wellformed-delta*)

**abbreviation** *closure* ::  $'s * 's \Rightarrow (( 's * 's) \text{ list } * ( 's * 's) \text{ set}) \text{ option}$  **where**  
*closure*  $\equiv \text{rtrancl-while } (\lambda(p, q). \text{accept } p = \text{accept } q)$   
 $(\lambda(p, q). \text{map } (\lambda a. (\text{delta } a \ p, \text{delta } a \ q)) \ \text{alphabet})$

**theorem** *closure-sound-complete*:

**assumes** *wf*:  $\text{wf } r \ \text{wf } s$

**and result**:  $\text{closure } (\text{init } r, \text{init } s) = \text{Some } (ws, R) \ (\text{is closure } (?r, ?s) = -)$

**shows**  $ws = [] \longleftrightarrow \text{Lang } r = \text{Lang } s$

**proof** –

**from** *wf* **have** *wellformed*:  $\text{wellformed } ?r \ \text{wellformed } ?s$  **using** *wellformed-init*

**by** *blast+*

**note** *Language-alphabets[simp]* =

*Language-alphabet[OF wellformed(1)] Language-alphabet[OF wellformed(2)]*

**note** *Language-deltass* = *Language-deltas[OF wellformed(1)] Language-deltas[OF wellformed(2)]*

**have** *bisim*:  $(\text{Language } ?r = \text{Language } ?s) =$

$(\forall a \ b. (\exists w. a = \mathfrak{d} s \ w \ (\text{Language } ?r) \wedge b = \mathfrak{d} s \ w \ (\text{Language } ?s) \wedge w \in \text{lists } (\text{set alphabet}))) \longrightarrow$

$\mathfrak{o} \ a = \mathfrak{o} \ b)$

**by** (*subst lang-eq-ext-Nil-fold-Deriv*) *auto*

**have** *leq*:  $(\text{Language } ?r = \text{Language } ?s) =$

$(\forall (r', s') \in \{((r, s), (\text{delta } a \ r, \text{delta } a \ s)) \mid a \ r \ s. a \in \text{set alphabet}\}^* \text{ “ } \{(?r, ?s)\}.$

*accept r' = accept s'*) **using** *Language-deltass*

*accept-Language[OF wellformed-deltas[OF wellformed(1)]]*

*accept-Language[OF wellformed-deltas[OF wellformed(2)]]*

**unfolding** *rtrancl-fold-product in-lists-conv-set bisim*

**by** (*auto 10 0*)

**have**  $\{(x,y). y \in \text{set } ((\lambda(p,q). \text{map } (\lambda a. (\text{delta } a \ p, \text{delta } a \ q)) \ \text{alphabet}) \ x))\} =$   
 $\{((r, s), (\text{delta } a \ r, \text{delta } a \ s)) \mid a \ r \ s. a \in \text{set alphabet}\}$  **by** *auto*  
**with** *rtrancl-while-Some*[*OF result*]  
**have**  $(ws = []) = (\text{Language } ?r = \text{Language } ?s)$  **by**  $(\text{auto simp add: leq Ball-def split: if-splits})$   
**then show** *?thesis unfolding Language-init* .  
**qed**

## 1.2 The overall procedure

**definition** *check-equiv* ::  $'t \Rightarrow 't \Rightarrow \text{bool}$  **where**  
 $\text{check-equiv } r \ s = (wf \ r \wedge wf \ s \wedge (\text{case closure } (init \ r, init \ s) \text{ of } \text{Some}([], -) \Rightarrow \text{True} \mid - \Rightarrow \text{False}))$

**lemma** *soundness*:

**assumes** *check-equiv*  $r \ s$  **shows**  $\text{Lang } r = \text{Lang } s$

**proof** –

**obtain**  $R$  **where**  $wf \ r \ wf \ s \ \text{closure } (init \ r, init \ s) = \text{Some}([], R)$

**using** *assms* **by**  $(\text{auto simp: check-equiv-def Let-def split: option.splits list.splits})$

**from** *closure-sound-complete*[*OF this*] **show**  $\text{Lang } r = \text{Lang } s$  **by** *simp*

**qed**

Auxiliary functions:

**definition** *reachable* ::  $'a \ \text{list} \Rightarrow 's \Rightarrow 's \ \text{set}$  **where**

$\text{reachable } as \ s = \text{snd } (\text{the } (\text{rtrancl-while } (\lambda -. \text{True}) (\lambda s. \text{map } (\lambda a. \text{delta } a \ s) \ as) \ s))$

**definition** *automaton* ::  $'a \ \text{list} \Rightarrow 's \Rightarrow (('s * 'a) * 's) \ \text{set}$  **where**

$\text{automaton } as \ s =$

$\text{snd } (\text{the}$

$(\text{let } \text{start} = (([s], \{s\}), \{\});$

$\text{test} = \lambda((ws, Z), A). ws \neq [];$

$\text{step} = \lambda((ws, Z), A).$

$(\text{let } s = \text{hd } ws;$

$\text{new-edges} = \text{map } (\lambda a. ((s, a), \text{delta } a \ s)) \ as;$

$\text{new} = \text{remdups } (\text{filter } (\lambda ss. ss \notin Z) (\text{map } \text{snd } \text{new-edges}))$

$\text{in } ((\text{new} @ \text{tl } ws, \text{set } \text{new} \cup Z), \text{set } \text{new-edges} \cup A))$

$\text{in } \text{while-option } \text{test } \text{step } \text{start}))$

**definition** *match* ::  $'s \Rightarrow 'a \ \text{list} \Rightarrow \text{bool}$  **where**

$\text{match } s \ w = \text{accept } (\text{fold } \text{delta } w \ s)$

**lemma** *match-correct*:

**assumes** *wellformed*  $s \ w \in \text{lists } (\text{set alphabet})$

**shows**  $\text{match } s \ w \longleftrightarrow \text{in-language } (\text{Language } s) \ w$

**unfolding** *match-def accept-Language*[*OF wellformed-deltas*[*OF assms*]] *Language-deltas*[*OF assms*] *o-ds* ..

**end**

### 1.3 Abstract Deterministic Finite Automaton

**locale** *DFA* = *DA* +

**assumes** *fin*: *wellformed s*  $\implies$  *finite* {*fold delta w s* | *w*. *w*  $\in$  *lists (set alphabet)*}

**begin**

**lemma** *finite-rtrancl-delta-Image*:

$\llbracket \text{wellformed } r; \text{wellformed } s \rrbracket \implies$

*finite* ({(*r*, *s*), (*delta a r*, *delta a s*) | *a r s*. *a*  $\in$  *set (alphabet)*}<sup>\*</sup> “ {(*r*, *s*)})

**unfolding** *rtrancl-fold-product Image-singleton*

**by** (*auto intro*: *finite-subset[OF - finite-cartesian-product[OF fin fin]]*)

**lemma** *termination*:

**assumes** *wellformed r wellformed s*

**shows**  $\exists st. \text{closure } (r, s) = \text{Some } st$  (**is**  $\exists -. \text{closure } ?i = -$ )

**proof** (*rule rtrancl-while-finite-Some*)

**show** *finite* ({(*x*, *st*). *st*  $\in$  *set ((λ(p,q). map (λa. (delta a p, delta a q)) alphabet)*  
*x*)<sup>\*</sup> “ {*?i*})

**by** (*rule finite-subset[OF Image-mono[OF rtrancl-mono] finite-rtrancl-delta-Image[OF*  
*assms]]*) *auto*

**qed**

**lemma** *completeness*:

**assumes** *wf r wf s Lang r = Lang s* **shows** *check-equiv r s*

**proof** –

**obtain** *ws R* **where** *1: closure (init r, init s) = Some (ws, R)* **using** *termination*  
*wellformed-init assms* **by** *fastforce*

**with** *closure-sound-complete[OF - - this] assms*

**show** *check-equiv r s* **by** (*simp add: check-equiv-def Language-alphabet*)

**qed**

**lemma** *finite-rtrancl-delta-Image1*:

*wellformed r*  $\implies$  *finite* ({(*r*, *s*).  $\exists a \in \text{set alphabet. } s = \text{delta } a \text{ } r$ }<sup>\*</sup> “ {*r*})

**unfolding** *rtrancl-fold-product1* **by** (*auto intro: finite-subset[OF - fin]*)

**lemma**

**assumes** *wellformed r set as*  $\subseteq$  *set alphabet*

**shows** *reachable: reachable as r* = {*fold delta w r* | *w*. *w*  $\in$  *lists (set as)*}

**and** *finite-reachable: finite (reachable as r)*

**proof** –

**obtain** *wsZ* **where**  $*$ : *rtrancl-while (λ-. True) (λs. map (λa. delta a s) as) r* =  
*Some wsZ*

**using** *assms* **by** (*atomize-elim, intro rtrancl-while-finite-Some Image-mono*  
*rtrancl-mono*

*finite-subset[OF - finite-rtrancl-delta-Image1[of r]]*) *auto*

**then show** *reachable as r* = {*fold delta w r* | *w*. *w*  $\in$  *lists (set as)*}

**unfolding** *reachable-def* **by** (*cases wsZ*)

(*auto dest!: rtrancl-while-Some split: if-splits simp: rtrancl-fold-product1*  
*image-iff*)

**then show** *finite (reachable as r)* **using** *assms* **by** (*force intro: finite-subset[OF*

```

- fin])
qed

end

```

## 2 Preliminaries

```

lemma pred-Diff-0[simp]:  $0 \notin A \implies i \in (\lambda x. x - \text{Suc } 0) \text{ ' } A \longleftrightarrow \text{Suc } i \in A$ 
  by (cases i) (fastforce simp: image-iff le-Suc-eq elim: contrapos-np)+

```

```

lemma funpow-cycle-mult:  $(f \text{ ^^ } k) x = x \implies (f \text{ ^^ } (m * k)) x = x$ 
  by (induct m) (auto simp: funpow-add)

```

```

lemma funpow-cycle:  $(f \text{ ^^ } k) x = x \implies (f \text{ ^^ } l) x = (f \text{ ^^ } (l \bmod k)) x$ 
  by (subst mod-div-equality[symmetric, of l k])
    (simp only: add.commute funpow-add funpow-cycle-mult o-apply)

```

```

lemma funpow-cycle-offset:
  fixes f :: 'a  $\Rightarrow$  'a
  assumes  $(f \text{ ^^ } k) x = (f \text{ ^^ } i) x \text{ } i \leq k \text{ } i \leq l$ 
  shows  $(f \text{ ^^ } l) x = (f \text{ ^^ } ((l - i) \bmod (k - i) + i)) x$ 
proof -
  from assms have
     $(f \text{ ^^ } (k - i)) ((f \text{ ^^ } i) x) = ((f \text{ ^^ } i) x)$ 
     $(f \text{ ^^ } l) x = (f \text{ ^^ } (l - i)) ((f \text{ ^^ } i) x)$ 
  unfolding fun-cong[OF funpow-add[symmetric, unfolded o-def]] by simp-all
  from funpow-cycle[OF this(1), of l - i] this(2) show ?thesis
  by (simp add: funpow-add)
qed

```

```

definition dec k m = (if m > k then m - Suc 0 else m :: nat)

```

## 3 Abstract formulas

```

datatype-new (discs-sels) ('a, 'k) aformula =
  FBool bool
| FBase 'a
| FNot ('a, 'k) aformula
| FOr ('a, 'k) aformula ('a, 'k) aformula
| FAnd ('a, 'k) aformula ('a, 'k) aformula
| FEx 'k ('a, 'k) aformula
| FAll 'k ('a, 'k) aformula
datatype-compact aformula
derive linorder aformula

```

**fun** *nFOR* **where**

*nFOR* [] = *FBool False*  
| *nFOR* [x] = *x*  
| *nFOR* (x # xs) = *FOr x (nFOR xs)*

**fun** *nFAND* **where**

*nFAND* [] = *FBool True*  
| *nFAND* [x] = *x*  
| *nFAND* (x # xs) = *FAnd x (nFAND xs)*

**definition** *NFOR* = *nFOR* o *sorted-list-of-set*

**definition** *NFAND* = *nFAND* o *sorted-list-of-set*

**fun** *disjuncts* **where**

*disjuncts* (*FOr*  $\varphi$   $\psi$ ) = *disjuncts*  $\varphi \cup$  *disjuncts*  $\psi$   
| *disjuncts*  $\varphi$  = { $\varphi$ }

**fun** *conjuncts* **where**

*conjuncts* (*FAnd*  $\varphi$   $\psi$ ) = *conjuncts*  $\varphi \cup$  *conjuncts*  $\psi$   
| *conjuncts*  $\varphi$  = { $\varphi$ }

**fun** *disjuncts-list* **where**

*disjuncts-list* (*FOr*  $\varphi$   $\psi$ ) = *disjuncts-list*  $\varphi @$  *disjuncts-list*  $\psi$   
| *disjuncts-list*  $\varphi$  = [ $\varphi$ ]

**fun** *conjuncts-list* **where**

*conjuncts-list* (*FAnd*  $\varphi$   $\psi$ ) = *conjuncts-list*  $\varphi @$  *conjuncts-list*  $\psi$   
| *conjuncts-list*  $\varphi$  = [ $\varphi$ ]

**lemma** *finite-juncts*: *finite* (*disjuncts*  $\varphi$ ) *finite* (*conjuncts*  $\varphi$ )

**and** *nonempty-juncts*: *disjuncts*  $\varphi \neq \{\}$  *conjuncts*  $\varphi \neq \{\}$

**by** (*induct*  $\varphi$ ) *auto*

**lemma** *juncts-eq-set-juncts-list*:

*disjuncts*  $\varphi$  = *set* (*disjuncts-list*  $\varphi$ )

*conjuncts*  $\varphi$  = *set* (*conjuncts-list*  $\varphi$ )

**by** (*induct*  $\varphi$ ) *auto*

**lemma** *notin-juncts*:

$\llbracket \psi \in \text{disjuncts } \varphi; \text{is-FOr } \psi \rrbracket \implies \text{False}$

$\llbracket \psi \in \text{conjuncts } \varphi; \text{is-FAnd } \psi \rrbracket \implies \text{False}$

**by** (*induct*  $\varphi$ ) *auto*

**lemma** *juncts-list-singleton*:

$\neg \text{is-FOr } \varphi \implies \text{disjuncts-list } \varphi = [\varphi]$

$\neg \text{is-FAnd } \varphi \implies \text{conjuncts-list } \varphi = [\varphi]$

**by** (*induct*  $\varphi$ ) *auto*

**lemma** *nonempty-juncts-list*: *conjuncts-list*  $\varphi \neq []$  *disjuncts-list*  $\varphi \neq []$



**using** *nonempty-juncts*[*of*  $\varphi$ ] **by** (*auto simp: Suc-le-eq juncts-eq-set-juncts-list*)

**primrec** *norm-ACI* ( $\langle \cdot \rangle$ ) **where**

$\langle FBool\ b \rangle = FBool\ b$   
 $\langle FBase\ a \rangle = FBase\ a$   
 $\langle FNot\ \varphi \rangle = FNot\ \langle \varphi \rangle$   
 $\langle FOr\ \varphi\ \psi \rangle = NFOR\ (disjuncts\ (FOr\ \langle \varphi \rangle\ \langle \psi \rangle))$   
 $\langle FAnd\ \varphi\ \psi \rangle = NFAND\ (conjuncts\ (FAnd\ \langle \varphi \rangle\ \langle \psi \rangle))$   
 $\langle FEx\ k\ \varphi \rangle = FEx\ k\ \langle \varphi \rangle$   
 $\langle FAll\ k\ \varphi \rangle = FAll\ k\ \langle \varphi \rangle$

**fun** *nf-ACI* **where**

*nf-ACI* (*FOr*  $\psi1\ \psi2$ ) = ( $\neg$  *is-FOr*  $\psi1 \wedge$  (*let*  $\varphi s = \psi1 \# disjuncts-list\ \psi2$  in  
*sorted*  $\varphi s \wedge distinct\ \varphi s \wedge nf-ACI\ \psi1 \wedge nf-ACI\ \psi2$ ))  
 $\mid$  *nf-ACI* (*FAnd*  $\psi1\ \psi2$ ) = ( $\neg$  *is-FAnd*  $\psi1 \wedge$  (*let*  $\varphi s = \psi1 \# conjuncts-list\ \psi2$  in  
*sorted*  $\varphi s \wedge distinct\ \varphi s \wedge nf-ACI\ \psi1 \wedge nf-ACI\ \psi2$ ))  
 $\mid$  *nf-ACI* (*FNot*  $\varphi$ ) = *nf-ACI*  $\varphi$   
 $\mid$  *nf-ACI* (*FEx*  $k\ \varphi$ ) = *nf-ACI*  $\varphi$   
 $\mid$  *nf-ACI* (*FAll*  $k\ \varphi$ ) = *nf-ACI*  $\varphi$   
 $\mid$  *nf-ACI*  $\varphi = True$

**lemma** *nf-ACI-D*:

*nf-ACI*  $\varphi \implies sorted\ (disjuncts-list\ \varphi)$   
*nf-ACI*  $\varphi \implies sorted\ (conjuncts-list\ \varphi)$   
*nf-ACI*  $\varphi \implies distinct\ (disjuncts-list\ \varphi)$   
*nf-ACI*  $\varphi \implies distinct\ (conjuncts-list\ \varphi)$   
*nf-ACI*  $\varphi \implies list-all\ nf-ACI\ (disjuncts-list\ \varphi)$   
*nf-ACI*  $\varphi \implies list-all\ nf-ACI\ (conjuncts-list\ \varphi)$   
**by** (*induct*  $\varphi$ ) (*auto simp: juncts-list-singleton*)

**lemma** *disjuncts-list-nFOR*:

$\llbracket list-all\ (\lambda x. \neg is-FOr\ x)\ \varphi s; \varphi s \neq [] \rrbracket \implies disjuncts-list\ (nFOR\ \varphi s) = \varphi s$   
**by** (*induct*  $\varphi s$  *rule: nFOR.induct*) (*auto simp: juncts-list-singleton*)

**lemma** *conjuncts-list-nFAND*:

$\llbracket list-all\ (\lambda x. \neg is-FAnd\ x)\ \varphi s; \varphi s \neq [] \rrbracket \implies conjuncts-list\ (nFAND\ \varphi s) = \varphi s$   
**by** (*induct*  $\varphi s$  *rule: nFAND.induct*) (*auto simp: juncts-list-singleton*)

**lemma** *disjuncts-NFOR*:

$\llbracket finite\ X; X \neq \{\}; \forall x \in X. \neg is-FOr\ x \rrbracket \implies disjuncts\ (NFOR\ X) = X$   
**unfolding** *NFOR-def* **by** (*auto simp: juncts-eq-set-juncts-list list-all-iff disjuncts-list-nFOR*)

**lemma** *conjuncts-NFAND*:

$\llbracket finite\ X; X \neq \{\}; \forall x \in X. \neg is-FAnd\ x \rrbracket \implies conjuncts\ (NFAND\ X) = X$   
**unfolding** *NFAND-def* **by** (*auto simp: juncts-eq-set-juncts-list list-all-iff conjuncts-list-nFAND*)

**lemma** *nf-ACI-nFOR*:

$\llbracket sorted\ \varphi s; distinct\ \varphi s; list-all\ nf-ACI\ \varphi s; list-all\ (\lambda x. \neg is-FOr\ x)\ \varphi s \rrbracket \implies$   
*nf-ACI* (*nFOR*  $\varphi s$ )

**by** (*induct*  $\varphi s$  *rule*: *nFOR.induct*)  
 (*auto simp*: *juncts-list-singleton disjuncts-list-nFOR nf-ACI-D*)

**lemma** *nf-ACI-nFAND*:  
 $\llbracket \text{sorted } \varphi s; \text{distinct } \varphi s; \text{list-all nf-ACI } \varphi s; \text{list-all } (\lambda x. \neg \text{is-FAnd } x) \varphi s \rrbracket \implies$   
*nf-ACI (nFAND  $\varphi s$ )*  
**by** (*induct*  $\varphi s$  *rule*: *nFAND.induct*)  
 (*auto simp*: *juncts-list-singleton conjuncts-list-nFAND nf-ACI-D*)

**lemma** *nf-ACI-juncts*:  
 $\llbracket \psi \in \text{disjuncts } \varphi; \text{nf-ACI } \varphi \rrbracket \implies \text{nf-ACI } \psi$   
 $\llbracket \psi \in \text{conjuncts } \varphi; \text{nf-ACI } \varphi \rrbracket \implies \text{nf-ACI } \psi$   
**by** (*induct*  $\varphi$ ) *auto*

**lemma** *nf-ACI-norm-ACI*: *nf-ACI*  $\langle \varphi \rangle$   
**by** (*induct*  $\varphi$ )  
 (*force simp*: *NFOR-def NFAND-def finite-juncts list-all-iff*  
*intro!*: *nf-ACI-nFOR nf-ACI-nFAND elim*: *nf-ACI-juncts notin-juncts*) $+$

**lemma** *nFOR-Cons*: *nFOR* ( $x \# xs$ ) = (*if*  $xs = []$  *then*  $x$  *else* *FOr*  $x$  (*nFOR*  $xs$ ))  
**by** (*cases*  $xs$ ) *simp-all*

**lemma** *nFAND-Cons*: *nFAND* ( $x \# xs$ ) = (*if*  $xs = []$  *then*  $x$  *else* *FAnd*  $x$  (*nFAND*  $xs$ ))  
**by** (*cases*  $xs$ ) *simp-all*

**lemma** *nFOR-disjuncts*: *nf-ACI*  $\psi \implies \text{nFOR} (\text{disjuncts-list } \psi) = \psi$   
**by** (*induct*  $\psi$ ) (*auto simp*: *juncts-list-singleton nFOR-Cons*)

**lemma** *nFAND-conjuncts*: *nf-ACI*  $\psi \implies \text{nFAND} (\text{conjuncts-list } \psi) = \psi$   
**by** (*induct*  $\psi$ ) (*auto simp*: *juncts-list-singleton nFAND-Cons*)

**lemma** *NFOR-disjuncts*: *nf-ACI*  $\psi \implies \text{NFOR} (\text{disjuncts } \psi) = \psi$   
**using** *nFOR-disjuncts*[*of*  $\psi$ ] **unfolding** *NFOR-def o-apply juncts-eq-set-juncts-list*  
**by** (*metis finite-set finite-sorted-distinct-unique nf-ACI-D*(1,3) *sorted-list-of-set*)

**lemma** *NFAND-conjuncts*: *nf-ACI*  $\psi \implies \text{NFAND} (\text{conjuncts } \psi) = \psi$   
**using** *nFAND-conjuncts*[*of*  $\psi$ ] **unfolding** *NFAND-def o-apply juncts-eq-set-juncts-list*  
**by** (*metis finite-set finite-sorted-distinct-unique nf-ACI-D*(2,4) *sorted-list-of-set*)

**lemma** *norm-ACI-if-nf-ACI*: *nf-ACI*  $\varphi \implies \langle \varphi \rangle = \varphi$   
**by** (*induct*  $\varphi$ )  
 (*auto simp*: *juncts-list-singleton juncts-eq-set-juncts-list nonempty-juncts-list*  
*NFOR-def NFAND-def nFOR-Cons nFAND-Cons nFOR-disjuncts nFAND-conjuncts*  
*sorted-Cons sorted-list-of-set-sort-remdups distinct-remdups-id sorted-sort-id*  
*insort-is-Cons*)

**lemma** *norm-ACI-idem*:  $\langle \langle \varphi \rangle \rangle = \langle \varphi \rangle$   
**by** (*metis nf-ACI-norm-ACI norm-ACI-if-nf-ACI*)

**lemma** *norm-ACI-juncts*:  
*nf-ACI*  $\varphi \implies \text{norm-ACI } \text{'disjuncts } \varphi = \text{disjuncts } \varphi$   
*nf-ACI*  $\varphi \implies \text{norm-ACI } \text{'conjuncts } \varphi = \text{conjuncts } \varphi$   
**by** (*drule nf-ACI-D(5,6)*, *force simp: list-all-iff juncts-eq-set-juncts-list norm-ACI-if-nf-ACI*) +

**lemma**  
*norm-ACI-NFOR*: *nf-ACI*  $\varphi \implies \varphi = \text{NFOR } (\text{norm-ACI } \text{'disjuncts } \varphi)$  **and**  
*norm-ACI-NFAND*: *nf-ACI*  $\varphi \implies \varphi = \text{NFAND } (\text{norm-ACI } \text{'conjuncts } \varphi)$   
**by** (*simp-all add: norm-ACI-juncts NFOR-disjuncts NFAND-conjuncts*)

**locale** *Formula-Operations* =  
**fixes** *TYPEVARS* :: 'a :: linorder  $\times$  'i  $\times$  'k :: linorder  $\times$  'n  $\times$  'x  $\times$  'v

**and** *SUC* :: 'k  $\Rightarrow$  'n  $\Rightarrow$  'n  
**and** *LESS* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  'n  $\Rightarrow$  bool

**and** *assigns* :: nat  $\Rightarrow$  'i  $\Rightarrow$  'k  $\Rightarrow$  'v (- - [900, 999, 999] 999)  
**and** *nvars* :: 'i  $\Rightarrow$  'n ( $\#_V$  - [1000] 900)  
**and** *Extend* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  'i  $\Rightarrow$  'v  $\Rightarrow$  'i  
**and** *CONS* :: 'x  $\Rightarrow$  'i  $\Rightarrow$  'i  
**and** *SNOC* :: 'x  $\Rightarrow$  'i  $\Rightarrow$  'i  
**and** *Length* :: 'i  $\Rightarrow$  nat

**and** *extend* :: 'k  $\Rightarrow$  bool  $\Rightarrow$  'x  $\Rightarrow$  'x  
**and** *size* :: 'x  $\Rightarrow$  'n  
**and** *zero* :: 'n  $\Rightarrow$  'x

**and** *eval* :: 'v  $\Rightarrow$  nat  $\Rightarrow$  bool  
**and** *downshift* :: 'v  $\Rightarrow$  'v  
**and** *upshift* :: 'v  $\Rightarrow$  'v  
**and** *add* :: nat  $\Rightarrow$  'v  $\Rightarrow$  'v  
**and** *cut* :: nat  $\Rightarrow$  'v  $\Rightarrow$  'v  
**and** *len* :: 'v  $\Rightarrow$  nat

**and** *restrict* :: 'k  $\Rightarrow$  'v  $\Rightarrow$  bool  
**and** *Restrict* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  ('a, 'k) *aformula*

**and** *left-formula0* :: 'a  $\Rightarrow$  bool  
**and** *FV0* :: 'a  $\Rightarrow$  ('k  $\times$  nat) *list*  
**and** *find0* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  bool  
**and** *wf0* :: 'n  $\Rightarrow$  'a  $\Rightarrow$  bool

**and** *decr0* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a  
**and** *satisfies0* :: 'i  $\Rightarrow$  'a  $\Rightarrow$  bool (**infix**  $\models_0$  50)  
**and** *nullable0* :: 'a  $\Rightarrow$  bool  
**and** *lderiv0* :: 'x  $\Rightarrow$  'a  $\Rightarrow$  ('a, 'k) aformula  
**and** *rderiv0* :: 'x  $\Rightarrow$  'a  $\Rightarrow$  ('a, 'k) aformula  
**begin**

**abbreviation** *LEQ* k l n  $\equiv$  *LESS* k l (*SUC* k n)

**primrec** *FV* **where**

*FV* (*FBool* -) = []  
| *FV* (*FBase* a) = *FV0* a  
| *FV* (*FNot*  $\varphi$ ) = *FV*  $\varphi$   
| *FV* (*FOr*  $\varphi$   $\psi$ ) = *List.union* (*FV*  $\varphi$ ) (*FV*  $\psi$ )  
| *FV* (*FAnd*  $\varphi$   $\psi$ ) = *List.union* (*FV*  $\varphi$ ) (*FV*  $\psi$ )  
| *FV* (*FEx* k  $\varphi$ ) = *map* ( $\lambda(k', x). (k', \text{if } k = k' \text{ then } x - 1 \text{ else } x)$ ) (*List.remove1* (k, 0) (*FV*  $\varphi$ ))  
| *FV* (*FAll* k  $\varphi$ ) = *map* ( $\lambda(k', x). (k', \text{if } k = k' \text{ then } x - 1 \text{ else } x)$ ) (*List.remove1* (k, 0) (*FV*  $\varphi$ ))

**primrec** *find* **where**

*find* k l (*FBool* -) = *False*  
| *find* k l (*FBase* a) = *find0* k l a  
| *find* k l (*FNot*  $\varphi$ ) = *find* k l  $\varphi$   
| *find* k l (*FOr*  $\varphi$   $\psi$ ) = (*find* k l  $\varphi$   $\vee$  *find* k l  $\psi$ )  
| *find* k l (*FAnd*  $\varphi$   $\psi$ ) = (*find* k l  $\varphi$   $\vee$  *find* k l  $\psi$ )  
| *find* k l (*FEx* k'  $\varphi$ ) = *find* k (*if* k = k' *then* *Suc* l *else* l)  $\varphi$   
| *find* k l (*FAll* k'  $\varphi$ ) = *find* k (*if* k = k' *then* *Suc* l *else* l)  $\varphi$

**primrec** *wf* :: 'n  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  bool **where**

*wf* n (*FBool* -) = *True*  
| *wf* n (*FBase* a) = *wf0* n a  
| *wf* n (*FNot*  $\varphi$ ) = *wf* n  $\varphi$   
| *wf* n (*FOr*  $\varphi$   $\psi$ ) = (*wf* n  $\varphi$   $\wedge$  *wf* n  $\psi$ )  
| *wf* n (*FAnd*  $\varphi$   $\psi$ ) = (*wf* n  $\varphi$   $\wedge$  *wf* n  $\psi$ )  
| *wf* n (*FEx* k  $\varphi$ ) = *wf* (*SUC* k n)  $\varphi$   
| *wf* n (*FAll* k  $\varphi$ ) = *wf* (*SUC* k n)  $\varphi$

**primrec** *left-formula* :: ('a, 'k) aformula  $\Rightarrow$  bool **where**

*left-formula* (*FBool* -) = *True*  
| *left-formula* (*FBase* a) = *left-formula0* a  
| *left-formula* (*FNot*  $\varphi$ ) = *left-formula*  $\varphi$   
| *left-formula* (*FOr*  $\varphi$   $\psi$ ) = (*left-formula*  $\varphi$   $\wedge$  *left-formula*  $\psi$ )  
| *left-formula* (*FAnd*  $\varphi$   $\psi$ ) = (*left-formula*  $\varphi$   $\wedge$  *left-formula*  $\psi$ )  
| *left-formula* (*FEx* k  $\varphi$ ) = *left-formula*  $\varphi$   
| *left-formula* (*FAll* k  $\varphi$ ) = *left-formula*  $\varphi$

**primrec** *decr* :: 'k  $\Rightarrow$  nat  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula **where**  
*decr* k l (*FBool* b) = *FBool* b

$\mid \text{decr } k \ l \ (FBase \ a) = FBase \ (\text{decr0 } k \ l \ a)$   
 $\mid \text{decr } k \ l \ (FNot \ \varphi) = FNot \ (\text{decr } k \ l \ \varphi)$   
 $\mid \text{decr } k \ l \ (FOr \ \varphi \ \psi) = FOr \ (\text{decr } k \ l \ \varphi) \ (\text{decr } k \ l \ \psi)$   
 $\mid \text{decr } k \ l \ (FAnd \ \varphi \ \psi) = FAnd \ (\text{decr } k \ l \ \varphi) \ (\text{decr } k \ l \ \psi)$   
 $\mid \text{decr } k \ l \ (FEx \ k' \ \varphi) = FEx \ k' \ (\text{decr } k \ (\text{if } k = k' \text{ then } Suc \ l \text{ else } l) \ \varphi)$   
 $\mid \text{decr } k \ l \ (FAll \ k' \ \varphi) = FAll \ k' \ (\text{decr } k \ (\text{if } k = k' \text{ then } Suc \ l \text{ else } l) \ \varphi)$

**primrec** *satisfies-gen* ::  $('k \Rightarrow 'v \Rightarrow nat \Rightarrow bool) \Rightarrow 'i \Rightarrow ('a, 'k) \text{ aformula} \Rightarrow bool$   
**where**

$\text{satisfies-gen } r \ \mathfrak{A} \ (FBool \ b) = b$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FBase \ a) = (\mathfrak{A} \models_0 a)$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FNot \ \varphi) = (\neg \text{satisfies-gen } r \ \mathfrak{A} \ \varphi)$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FOr \ \varphi_1 \ \varphi_2) = (\text{satisfies-gen } r \ \mathfrak{A} \ \varphi_1 \vee \text{satisfies-gen } r \ \mathfrak{A} \ \varphi_2)$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FAnd \ \varphi_1 \ \varphi_2) = (\text{satisfies-gen } r \ \mathfrak{A} \ \varphi_1 \wedge \text{satisfies-gen } r \ \mathfrak{A} \ \varphi_2)$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FEx \ k \ \varphi) = (\exists P. r \ k \ P \ (\text{Length } \mathfrak{A}) \wedge \text{satisfies-gen } r \ (\text{Extend } k \ 0 \ \mathfrak{A} \ P) \ \varphi)$   
 $\mid \text{satisfies-gen } r \ \mathfrak{A} \ (FAll \ k \ \varphi) = (\forall P. r \ k \ P \ (\text{Length } \mathfrak{A}) \longrightarrow \text{satisfies-gen } r \ (\text{Extend } k \ 0 \ \mathfrak{A} \ P) \ \varphi)$

**abbreviation** *satisfies* (**infix**  $\models$  50) **where**

$\mathfrak{A} \models \varphi \equiv \text{satisfies-gen } (\lambda - \ -. \ True) \ \mathfrak{A} \ \varphi$

**abbreviation** *satisfies-bounded* (**infix**  $\models_b$  50) **where**

$\mathfrak{A} \models_b \varphi \equiv \text{satisfies-gen } (\lambda - \ P \ n. \ \text{len } P \leq n) \ \mathfrak{A} \ \varphi$

**abbreviation** *sat-vars-gen* **where**

$\text{sat-vars-gen } r \ V \ \mathfrak{A} \ \varphi \equiv$   
 $\text{satisfies-gen } (\lambda k \ P \ n. \ \text{restrict } k \ P \wedge r \ k \ P \ n) \ \mathfrak{A} \ \varphi \wedge (\forall (k, x) \in \text{set } V. \ \text{restrict } k \ (x \mathfrak{A}_k))$

**definition** *sat* **where**

$\text{sat } \mathfrak{A} \ \varphi \equiv \text{sat-vars-gen } (\lambda - \ -. \ True) \ (FV \ \varphi) \ \mathfrak{A} \ \varphi$

**definition** *sat<sub>b</sub>* **where**

$\text{sat}_b \ \mathfrak{A} \ \varphi \equiv \text{sat-vars-gen } (\lambda - \ P \ n. \ \text{len } P \leq n) \ (FV \ \varphi) \ \mathfrak{A} \ \varphi$

**fun** *RESTR* **where**

$RESTR \ (FOr \ \varphi \ \psi) = FOr \ (RESTR \ \varphi) \ (RESTR \ \psi)$   
 $\mid RESTR \ (FAnd \ \varphi \ \psi) = FAnd \ (RESTR \ \varphi) \ (RESTR \ \psi)$   
 $\mid RESTR \ (FNot \ \varphi) = FNot \ (RESTR \ \varphi)$   
 $\mid RESTR \ (FEx \ k \ \varphi) = FEx \ k \ (FAnd \ (\text{Restrict } k \ 0) \ (RESTR \ \varphi))$   
 $\mid RESTR \ (FAll \ k \ \varphi) = FAll \ k \ (FOr \ (FNot \ (\text{Restrict } k \ 0)) \ (RESTR \ \varphi))$   
 $\mid RESTR \ \varphi = \varphi$

**abbreviation** *RESTRICT-VARS* **where**

$RESTRICT-VARS \ \text{vs } \varphi \equiv \text{foldr } (\lambda (k, x) \ \varphi. \ FAnd \ (\text{Restrict } k \ x) \ \varphi) \ \text{vs} \ (RESTR \ \varphi)$

**definition** *RESTRICT* **where**

$RESTRICT \ \varphi \equiv RESTRICT\text{-}VARS \ (FV \ \varphi) \ \varphi$

**primrec** *nullable* :: ('a, 'k) aformula  $\Rightarrow$  bool **where**

| *nullable* (FBool b) = b  
| *nullable* (FBase a) = nullable0 a  
| *nullable* (FNot  $\varphi$ ) = ( $\neg$  *nullable*  $\varphi$ )  
| *nullable* (FOr  $\varphi$   $\psi$ ) = (*nullable*  $\varphi$   $\vee$  *nullable*  $\psi$ )  
| *nullable* (FAnd  $\varphi$   $\psi$ ) = (*nullable*  $\varphi$   $\wedge$  *nullable*  $\psi$ )  
| *nullable* (FEx k  $\varphi$ ) = *nullable*  $\varphi$   
| *nullable* (FAll k  $\varphi$ ) = *nullable*  $\varphi$

**fun** *nFOr* :: ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula **where**

| *nFOr* (FBool b1) (FBool b2) = FBool (b1  $\vee$  b2)  
| *nFOr* (FBool b)  $\psi$  = (if b then FBool True else  $\psi$ )  
| *nFOr*  $\varphi$  (FBool b) = (if b then FBool True else  $\varphi$ )  
| *nFOr* (FOr  $\varphi$ 1  $\varphi$ 2)  $\psi$  = *nFOr*  $\varphi$ 1 (*nFOr*  $\varphi$ 2  $\psi$ )  
| *nFOr*  $\varphi$  (FOr  $\psi$ 1  $\psi$ 2) =  
| (if  $\varphi$  =  $\psi$ 1 then FOr  $\psi$ 1  $\psi$ 2  
| else if  $\varphi$  <  $\psi$ 1 then FOr  $\varphi$  (FOr  $\psi$ 1  $\psi$ 2)  
| else FOr  $\psi$ 1 (*nFOr*  $\varphi$   $\psi$ 2))  
| *nFOr*  $\varphi$   $\psi$  =  
| (if  $\varphi$  =  $\psi$  then  $\varphi$   
| else if  $\varphi$  <  $\psi$  then FOr  $\varphi$   $\psi$   
| else FOr  $\psi$   $\varphi$ )

**fun** *nFAnd* :: ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula **where**

| *nFAnd* (FBool b1) (FBool b2) = FBool (b1  $\wedge$  b2)  
| *nFAnd* (FBool b)  $\psi$  = (if b then  $\psi$  else FBool False)  
| *nFAnd*  $\varphi$  (FBool b) = (if b then  $\varphi$  else FBool False)  
| *nFAnd* (FAnd  $\varphi$ 1  $\varphi$ 2)  $\psi$  = *nFAnd*  $\varphi$ 1 (*nFAnd*  $\varphi$ 2  $\psi$ )  
| *nFAnd*  $\varphi$  (FAnd  $\psi$ 1  $\psi$ 2) =  
| (if  $\varphi$  =  $\psi$ 1 then FAnd  $\psi$ 1  $\psi$ 2  
| else if  $\varphi$  <  $\psi$ 1 then FAnd  $\varphi$  (FAnd  $\psi$ 1  $\psi$ 2)  
| else FAnd  $\psi$ 1 (*nFAnd*  $\varphi$   $\psi$ 2))  
| *nFAnd*  $\varphi$   $\psi$  =  
| (if  $\varphi$  =  $\psi$  then  $\varphi$   
| else if  $\varphi$  <  $\psi$  then FAnd  $\varphi$   $\psi$   
| else FAnd  $\psi$   $\varphi$ )

**fun** *nFNot* :: ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula **where**

| *nFNot* (FNot  $\varphi$ ) =  $\varphi$   
| *nFNot* (FOr  $\varphi$   $\psi$ ) = *nFAnd* (*nFNot*  $\varphi$ ) (*nFNot*  $\psi$ )  
| *nFNot* (FAnd  $\varphi$   $\psi$ ) = *nFOr* (*nFNot*  $\varphi$ ) (*nFNot*  $\psi$ )  
| *nFNot* (FEx b  $\varphi$ ) = FAll b (*nFNot*  $\varphi$ )  
| *nFNot* (FAll b  $\varphi$ ) = FEx b (*nFNot*  $\varphi$ )  
| *nFNot* (FBool b) = FBool ( $\neg$  b)  
| *nFNot*  $\varphi$  = FNot  $\varphi$

**fun** *nFEx* :: 'k  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula **where**

$nFEx\ k\ (FOr\ \varphi\ \psi) = nFOr\ (nFEx\ k\ \varphi)\ (nFEx\ k\ \psi)$   
 $| nFEx\ k\ \varphi = (if\ find\ k\ 0\ \varphi\ then\ FEx\ k\ \varphi\ else\ decr\ k\ 0\ \varphi)$

**fun** *nFall* **where**

$nFAll\ k\ (FAnd\ \varphi\ \psi) = nFAnd\ (nFAll\ k\ \varphi)\ (nFAll\ k\ \psi)$   
 $| nFAll\ k\ \varphi = (if\ find\ k\ 0\ \varphi\ then\ FAll\ k\ \varphi\ else\ decr\ k\ 0\ \varphi)$

**fun** *norm* **where**

$norm\ (FOr\ \varphi\ \psi) = nFOr\ (norm\ \varphi)\ (norm\ \psi)$   
 $| norm\ (FAnd\ \varphi\ \psi) = nFAnd\ (norm\ \varphi)\ (norm\ \psi)$   
 $| norm\ (FNot\ \varphi) = nFNot\ (norm\ \varphi)$   
 $| norm\ (FEx\ k\ \varphi) = nFEx\ k\ (norm\ \varphi)$   
 $| norm\ (FAll\ k\ \varphi) = nFAll\ k\ (norm\ \varphi)$   
 $| norm\ \varphi = \varphi$

**context**

**fixes** *deriv0* :: '*x*  $\Rightarrow$  '*a*  $\Rightarrow$  ('*a*, '*k*) *aformula*

**begin**

**primrec** *deriv* :: '*x*  $\Rightarrow$  ('*a*, '*k*) *aformula*  $\Rightarrow$  ('*a*, '*k*) *aformula* **where**

$deriv\ x\ (FBool\ b) = FBool\ b$   
 $| deriv\ x\ (FBase\ a) = deriv0\ x\ a$   
 $| deriv\ x\ (FNot\ \varphi) = FNot\ (deriv\ x\ \varphi)$   
 $| deriv\ x\ (FOr\ \varphi\ \psi) = FOr\ (deriv\ x\ \varphi)\ (deriv\ x\ \psi)$   
 $| deriv\ x\ (FAnd\ \varphi\ \psi) = FAnd\ (deriv\ x\ \varphi)\ (deriv\ x\ \psi)$   
 $| deriv\ x\ (FEx\ k\ \varphi) = FEx\ k\ (FOr\ (deriv\ (extend\ k\ True\ x)\ \varphi)\ (deriv\ (extend\ k\ False\ x)\ \varphi))$   
 $| deriv\ x\ (FAll\ k\ \varphi) = FAll\ k\ (FAnd\ (deriv\ (extend\ k\ True\ x)\ \varphi)\ (deriv\ (extend\ k\ False\ x)\ \varphi))$

**end**

**abbreviation** *lderv*  $\equiv deriv\ lderiv0$

**abbreviation** *rderiv*  $\equiv deriv\ rderiv0$

**lemma** *fold-deriv-FBool*:  $fold\ (deriv\ d0)\ xs\ (FBool\ b) = FBool\ b$

**by** (*induct xs*) *auto*

**lemma** *fold-deriv-FNot*:

$fold\ (deriv\ d0)\ xs\ (FNot\ \varphi) = FNot\ (fold\ (deriv\ d0)\ xs\ \varphi)$

**by** (*induct xs arbitrary: \varphi*) *auto*

**lemma** *fold-deriv-FOr*:

$fold\ (deriv\ d0)\ xs\ (FOr\ \varphi\ \psi) = FOr\ (fold\ (deriv\ d0)\ xs\ \varphi)\ (fold\ (deriv\ d0)\ xs\ \psi)$

**by** (*induct xs arbitrary: \varphi \psi*) *auto*

**lemma** *fold-deriv-FAnd:*

*fold (deriv d0) xs (FAnd  $\varphi$   $\psi$ ) = FAnd (fold (deriv d0) xs  $\varphi$ ) (fold (deriv d0) xs  $\psi$ )*  
**by** (*induct xs arbitrary:  $\varphi$   $\psi$* ) *auto*

**lemma** *fold-deriv-FEx:*

*{⟨fold (deriv d0) xs (FEx k  $\varphi$ )⟩ | xs. True} ⊆*  
*{FEx k  $\psi$  |  $\psi$ . nf-ACI  $\psi \wedge$  disjuncts  $\psi \subseteq (\bigcup xs. \text{disjuncts } \langle \text{fold (deriv d0) xs } \varphi \rangle)$ }*

**proof** –

**{ fix xs**  
**have**  $\exists \psi. \langle \text{fold (deriv d0) xs (FEx k } \varphi) \rangle = \text{FEx k } \psi \wedge$   
 $\text{nf-ACI } \psi \wedge \text{disjuncts } \psi \subseteq (\bigcup xs. \text{disjuncts } \langle \text{fold (deriv d0) xs } \varphi \rangle)$   
**proof** (*induct xs arbitrary:  $\varphi$* )  
**case** (*Cons x xs*)  
**let**  $?\varphi = \text{FOr (deriv d0 (extend k True x) } \varphi) (\text{deriv d0 (extend k False x) } \varphi)$   
**from** *Cons[of ? $\varphi$ ]* **obtain**  $\psi$  **where**  $\langle \text{fold (deriv d0) xs (FEx k } ?\varphi) \rangle = \text{FEx k } \psi$   
 $\text{nf-ACI } \psi$  **and**  $*: \text{disjuncts } \psi \subseteq (\bigcup xs. \text{disjuncts } \langle \text{fold (deriv d0) xs } ?\varphi \rangle)$  **by**  
*blast+*  
**then show** *?case*  
**proof** (*intro exI conjI*)  
**have**  $(\bigcup xs. \text{disjuncts } \langle \text{fold (deriv d0) xs } ?\varphi \rangle) \subseteq$   
 $(\bigcup xs. \text{disjuncts } \langle \text{fold (Formula-Operations.deriv extend d0) xs } \varphi \rangle)$   
**by** (*force simp: fold-deriv-FOr finite-juncts nonempty-juncts nf-ACI-juncts*  
*nf-ACI-norm-ACI*  
 $\text{dest: notin-juncts set-mp[OF equalityD1[OF disjuncts-NFOR], rotated } -1]$   
 $\text{intro: exI[of - extend k b x \# xs for b xs]}$   
**with**  $*$  **show**  $\text{disjuncts } \psi \subseteq \dots$  **by** *blast*  
**qed** *simp-all*  
**qed** (*auto simp: nf-ACI-norm-ACI intro!: exI[of - []]*)  
**}**  
**then show** *?thesis* **by** *blast*  
**qed**

**lemma** *fold-deriv-FAll:*

*{⟨fold (deriv d0) xs (FAll k  $\varphi$ )⟩ | xs. True} ⊆*  
*{FAll k  $\psi$  |  $\psi$ . nf-ACI  $\psi \wedge$  conjuncts  $\psi \subseteq (\bigcup xs. \text{conjuncts } \langle \text{fold (deriv d0) xs } \varphi \rangle)$ }*

**proof** –

**{ fix xs**  
**have**  $\exists \psi. \langle \text{fold (deriv d0) xs (FAll k } \varphi) \rangle = \text{FAll k } \psi \wedge$   
 $\text{nf-ACI } \psi \wedge \text{conjuncts } \psi \subseteq (\bigcup xs. \text{conjuncts } \langle \text{fold (deriv d0) xs } \varphi \rangle)$   
**proof** (*induct xs arbitrary:  $\varphi$* )  
**case** (*Cons x xs*)  
**let**  $?\varphi = \text{FAnd (deriv d0 (extend k True x) } \varphi) (\text{deriv d0 (extend k False x) } \varphi)$   
**from** *Cons[of ? $\varphi$ ]* **obtain**  $\psi$  **where**  $\langle \text{fold (deriv d0) xs (FAll k } ?\varphi) \rangle = \text{FAll k } \psi$   
 $\text{nf-ACI } \psi$  **and**  $\text{conjuncts } \psi \subseteq (\bigcup xs. \text{conjuncts } \langle \text{fold (deriv d0) xs } ?\varphi \rangle)$  **by**  
*blast+*  
**then show** *?case*  
**proof** (*intro exI conjI*)  
**have**  $(\bigcup xs. \text{conjuncts } \langle \text{fold (deriv d0) xs } ?\varphi \rangle) \subseteq$   
 $(\bigcup xs. \text{conjuncts } \langle \text{fold (Formula-Operations.deriv extend d0) xs } \varphi \rangle)$   
**by** (*force simp: fold-deriv-FAnd finite-juncts nonempty-juncts nf-ACI-juncts*  
*nf-ACI-norm-ACI*  
 $\text{dest: notin-juncts set-mp[OF equalityD1[OF conjuncts-NFOR], rotated } -1]$   
 $\text{intro: exI[of - extend k b x \# xs for b xs]}$   
**with**  $*$  **show**  $\text{conjuncts } \psi \subseteq \dots$  **by** *blast*  
**qed** *simp-all*  
**qed** (*auto simp: nf-ACI-norm-ACI intro!: exI[of - []]*)  
**}**  
**then show** *?thesis* **by** *blast*  
**qed**



$\text{nf-ACI } \psi \text{ and } *: \text{conjuncts } \psi \subseteq (\bigcup xs. \text{conjuncts } \langle \text{fold } (\text{deriv } d0) \text{ } xs \text{ } ?\varphi \rangle)$   
**by** *blast+*  
**then show** *?case*  
**proof** (*intro exI conjI*)  
**have**  $(\bigcup xs. \text{conjuncts } \langle \text{fold } (\text{deriv } d0) \text{ } xs \text{ } ?\varphi \rangle) \subseteq$   
 $(\bigcup xs. \text{conjuncts } \langle \text{fold } (\text{Formula-Operations.deriv extend } d0) \text{ } xs \text{ } \varphi \rangle)$   
**by** (*force simp: fold-deriv-FAnd finite-juncts nonempty-juncts nf-ACI-juncts*  
*nf-ACI-norm-ACI*  
*dest: notin-juncts set-mp[OF equalityD1[OF conjuncts-NFAND], rotated*  
*-1]*  
*intro: exI[of - extend k b x # xs for b xs]*)  
**with** *\* show conjuncts*  $\psi \subseteq \dots$  **by** *blast*  
**qed** *simp-all*  
**qed** (*auto simp: nf-ACI-norm-ACI intro!: exI[of - []]*)  
**}**  
**then show** *?thesis* **by** *blast*  
**qed**

**lemma** *finite-norm-ACI-juncts*:  
**fixes**  $f :: ('a, 'k) \text{aformula} \Rightarrow ('a, 'k) \text{aformula}$   
**shows**  $\text{finite } B \Rightarrow \text{finite } \{f \varphi \mid \varphi. \text{nf-ACI } \varphi \wedge \text{disjuncts } \varphi \subseteq B\}$   
 $\text{finite } B \Rightarrow \text{finite } \{f \varphi \mid \varphi. \text{nf-ACI } \varphi \wedge \text{conjuncts } \varphi \subseteq B\}$   
**by** (*elim finite-surj[OF iffD2[OF finite-Pow-iff], of - - f o NFOR o image*  
*norm-ACI]*  
*finite-surj[OF iffD2[OF finite-Pow-iff], of - - f o NFAND o image norm-ACI],*  
*force simp: Pow-def image-Collect intro: arg-cong[OF norm-ACI-NFOR] arg-cong[OF*  
*norm-ACI-NFAND])+*

**end**

**locale** *Formula = Formula-Operations*  
**where** *TYPEVARS = TYPEVARS*  
**for** *TYPEVARS :: 'a(\*l\*) :: linorder (\*× 'ar :: linorder\*) × 'i × 'k :: linorder*  
 $\times 'n \times 'x \times 'v +$

**assumes** *SUC-SUC*:  $\text{SUC } k \text{ } (\text{SUC } k' \text{ } idx) = \text{SUC } k' \text{ } (\text{SUC } k \text{ } idx)$   
**and** *LEQ-0*:  $\text{LEQ } k \text{ } 0 \text{ } idx$   
**and** *LESS-SUC*:  $\text{LEQ } k \text{ } (\text{Suc } l) \text{ } idx = \text{LESS } k \text{ } l \text{ } idx$   
 $k \neq k' \Rightarrow \text{LESS } k \text{ } l \text{ } (\text{SUC } k' \text{ } idx) = \text{LESS } k \text{ } l \text{ } idx$

**and** *nvars-Extend*:  $\#_V (\text{Extend } k \text{ } i \text{ } \mathfrak{A} \text{ } P) = \text{SUC } k \text{ } (\#_V \mathfrak{A})$   
**and** *Length-Extend*:  $\text{Length } (\text{Extend } k \text{ } i \text{ } \mathfrak{A} \text{ } P) = \max (\text{Length } \mathfrak{A}) (\text{len } P)$   
**and** *Length-0-inj*:  $\llbracket \text{Length } \mathfrak{A} = 0; \text{Length } \mathfrak{B} = 0; \#_V \mathfrak{A} = \#_V \mathfrak{B} \rrbracket \Rightarrow \mathfrak{A} = \mathfrak{B}$   
**and** *ex-Length-0*:  $\exists \mathfrak{A}. \text{Length } \mathfrak{A} = 0 \wedge \#_V \mathfrak{A} = idx$   
**and** *Extend-commute-safe*:  $\llbracket j \leq i; \text{LEQ } k \text{ } i \text{ } (\#_V \mathfrak{A}) \rrbracket \Rightarrow$   
 $\text{Extend } k \text{ } j \text{ } (\text{Extend } k \text{ } i \text{ } \mathfrak{A} \text{ } P) \text{ } Q = \text{Extend } k \text{ } (\text{Suc } i) \text{ } (\text{Extend } k \text{ } j \text{ } \mathfrak{A} \text{ } Q) \text{ } P$   
**and** *Extend-commute-unsafe*:  $k \neq k' \Rightarrow$   
 $\text{Extend } k \text{ } j \text{ } (\text{Extend } k' \text{ } i \text{ } \mathfrak{A} \text{ } P) \text{ } Q = \text{Extend } k' \text{ } i \text{ } (\text{Extend } k \text{ } j \text{ } \mathfrak{A} \text{ } Q) \text{ } P$

**and assigns-Extend:**  $LEQ \text{ ord } i (\#_V \mathfrak{A}) \implies$   
 $m^{Extend \text{ ord } i \mathfrak{A}} P_{ord'} = (\text{if } ord = ord' \text{ then } (\text{if } m = i \text{ then } P \text{ else } dec \ i \ m^{\mathfrak{A}}_{ord})$   
 $\text{else } m^{\mathfrak{A}}_{ord'})$   
**and assigns-SNOC-zero:**  $LESS \text{ ord } m (\#_V \mathfrak{A}) \implies m^{SNOC \ (zero \ (\#_V \mathfrak{A})) \ \mathfrak{A}}_{ord}$   
 $= m^{\mathfrak{A}}_{ord}$   
**and Length-CONS:**  $Length \ (CONS \ x \ \mathfrak{A}) = Length \ \mathfrak{A} + 1$   
**and Length-SNOC:**  $Length \ (SNOC \ x \ \mathfrak{A}) = Suc \ (Length \ \mathfrak{A})$   
**and nvars-CONS:**  $\#_V \ (CONS \ x \ \mathfrak{A}) = \#_V \ \mathfrak{A}$   
**and nvars-SNOC:**  $\#_V \ (SNOC \ x \ \mathfrak{A}) = \#_V \ \mathfrak{A}$   
**and Extend-CONS:**  $\#_V \ \mathfrak{A} = size \ x \implies Extend \ k \ 0 \ (CONS \ x \ \mathfrak{A}) \ P =$   
 $CONS \ (\text{extend } k \ (\text{if eval } P \ 0 \text{ then True else False}) \ x) \ (Extend \ k \ 0 \ \mathfrak{A} \ (\text{downshift}$   
 $P))$   
**and Extend-SNOC-cut:**  $\#_V \ \mathfrak{A} = size \ x \implies len \ P \leq Length \ (SNOC \ x \ \mathfrak{A}) \implies$   
 $Extend \ ord \ 0 \ (SNOC \ x \ \mathfrak{A}) \ P =$   
 $SNOC \ (\text{extend } ord \ (\text{if eval } P \ (Length \ \mathfrak{A}) \text{ then True else False}) \ x) \ (Extend \ ord$   
 $0 \ \mathfrak{A} \ (\text{cut } (Length \ \mathfrak{A}) \ P))$

**and size-zero:**  $size \ (zero \ idx) = idx$   
**and size-extend:**  $size \ (\text{extend } k \ b \ x) = SUC \ k \ (size \ x)$

**and downshift-upshift:**  $downshift \ (upshift \ P) = P$   
**and downshift-add-zero:**  $downshift \ (\text{add } 0 \ P) = downshift \ P$   
**and eval-add:**  $eval \ (\text{add } n \ P) \ n$   
**and eval-upshift:**  $\neg \ eval \ (upshift \ P) \ 0$   
**and eval-ge-len:**  $p \geq len \ P \implies \neg \ eval \ P \ p$   
**and len-cut-le:**  $len \ (\text{cut } n \ P) \leq n$   
**and len-cut:**  $len \ P \leq n \implies \text{cut } n \ P = P$   
**and cut-add:**  $\text{cut } n \ (\text{add } m \ P) = (\text{if } m \geq n \text{ then } \text{cut } n \ P \text{ else } \text{add } m \ (\text{cut } n \ P))$   
**and len-add:**  $len \ (\text{add } m \ P) = \max \ (Suc \ m) \ (len \ P)$   
**and len-upshift:**  $len \ (upshift \ P) = (\text{case } len \ P \text{ of } 0 \Rightarrow 0 \mid n \Rightarrow Suc \ n)$   
**and len-downshift:**  $len \ (downshift \ P) = (\text{case } len \ P \text{ of } 0 \Rightarrow 0 \mid Suc \ n \Rightarrow n)$

**and wf0-decr0:**  $\llbracket wf0 \ (SUC \ k \ idx) \ a; LESS \ k \ l \ (SUC \ k \ idx); \neg \ find0 \ k \ l \ a \rrbracket \implies$   
 $wf0 \ idx \ (\text{decr0 } k \ l \ a)$   
**and left-formula0-decr0:**  $\text{left-formula0 } \varphi \implies \text{left-formula0 } (\text{decr0 } k \ l \ \varphi)$   
**and Extend-satisfies0:**  $\llbracket \neg \ find0 \ k \ i \ a; LESS \ k \ i \ (SUC \ k \ (\#_V \ \mathfrak{A})) \rrbracket \implies$   
 $Extend \ k \ i \ \mathfrak{A} \ P \models_0 a \longleftrightarrow \mathfrak{A} \models_0 \text{decr0 } k \ i \ a$   
**and nullable0-satisfies0:**  $Length \ \mathfrak{A} = 0 \implies nullable0 \ a \longleftrightarrow \mathfrak{A} \models_0 a$   
**and satisfies0-eqI:**  $wf0 \ (\#_V \ \mathfrak{B}) \ a \implies \#_V \ \mathfrak{A} = \#_V \ \mathfrak{B} \implies \text{left-formula0 } a \implies$   
 $(\bigwedge m \ k. LESS \ k \ m \ (\#_V \ \mathfrak{B}) \implies m^{\mathfrak{A}}_k = m^{\mathfrak{B}}_k) \implies \mathfrak{A} \models_0 a \longleftrightarrow \mathfrak{B} \models_0 a$   
**and wf-ldriv0:**  $wf0 \ idx \ a \implies wf \ idx \ (\text{ldriv0 } x \ a)$   
**and left-formula-ldriv0:**  $\text{left-formula0 } a \implies \text{left-formula } (\text{ldriv0 } x \ a)$   
**and wf-rderiv0:**  $wf0 \ idx \ a \implies wf \ idx \ (\text{rderiv0 } x \ a)$   
**and satisfies-ldriv0:**  $\llbracket wf0 \ (\#_V \ \mathfrak{A}) \ a; \#_V \ \mathfrak{A} = size \ x \rrbracket \implies \mathfrak{A} \models \text{ldriv0 } x \ a \longleftrightarrow$   
 $CONS \ x \ \mathfrak{A} \models_0 a$

**and** *satisfies-bounded-lderiv0*:  $\llbracket wf0 \ (\#_V \mathfrak{A}) \ a; \ \#_V \mathfrak{A} = size \ x \rrbracket \implies \mathfrak{A} \models_b lderiv0$   
 $x \ a \longleftrightarrow CONS \ x \ \mathfrak{A} \models_0 a$   
**and** *satisfies-bounded-rderiv0*:  $\llbracket wf0 \ (\#_V \mathfrak{A}) \ a; \ \#_V \mathfrak{A} = size \ x \rrbracket \implies \mathfrak{A} \models_b rderiv0$   
 $x \ a \longleftrightarrow SNOC \ x \ \mathfrak{A} \models_0 a$   
**and** *find0-FV0*:  $find0 \ k \ l \ a \longleftrightarrow (k, l) \in set \ (FV0 \ a)$   
**and** *distinct-FV0*:  $distinct \ (FV0 \ a)$   
**and** *wf0-FV0-LESS*:  $\llbracket wf0 \ idx \ a; \ (k, v) \in set \ (FV0 \ a) \rrbracket \implies LESS \ k \ v \ idx$   
**and** *restrict-Restrict*:  $i^{\mathfrak{A}}k = P \implies restrict \ k \ P \longleftrightarrow \mathfrak{A} \models Restrict \ k \ i$   
**and** *restrict-Restrict<sub>b</sub>*:  $i^{\mathfrak{A}}k = P \implies restrict \ k \ P \longleftrightarrow \mathfrak{A} \models_b Restrict \ k \ i$   
**and** *wf-Restrict*:  $LESS \ k \ i \ idx \implies wf \ idx \ (Restrict \ k \ i)$   
**and** *left-formula-Restrict*:  $left-formula \ (Restrict \ k \ i)$   
**and** *finite-lderiv0*:  $finite \ \{fold \ lderiv \ xs \ (FBase \ a) \mid xs. \ True\}$   
**and** *finite-rderiv0*:  $finite \ \{fold \ rderiv \ xs \ (FBase \ a) \mid xs. \ True\}$

**locale** *Word-Formula* = *Formula*  
**where** *TYPEVARS* = *TYPEVARS*  
**for** *TYPEVARS* :: 'a :: linorder  $\times$  'i  $\times$  'k :: linorder  $\times$  'n  $\times$  'x  $\times$  'v +  
**fixes** *enc* :: 'i  $\Rightarrow$  'x list  
**and** *alphabet* :: 'n  $\Rightarrow$  'x list  
**and** *ZERO* :: 'n

**assumes** *enc-inj*:  $\#_V \mathfrak{A} = \#_V \mathfrak{B} \implies enc \ \mathfrak{A} = enc \ \mathfrak{B} \longleftrightarrow \mathfrak{A} = \mathfrak{B}$   
**and** *length-enc*:  $length \ (enc \ \mathfrak{A}) = Length \ \mathfrak{A}$   
**and** *enc-CONS*:  $\#_V \mathfrak{A} = size \ x \implies enc \ (CONS \ x \ \mathfrak{A}) = x \ \# \ enc \ \mathfrak{A}$   
**and** *in-set-encD*:  $x \in set \ (enc \ \mathfrak{A}) \implies size \ x = \#_V \mathfrak{A}$   
**and** *alphabet-size*:  $x \in set \ (alphabet \ idx) \longleftrightarrow size \ x = idx$

**context** *Formula*  
**begin**

**lemma** *satisfies-eqI*:  
 $\llbracket wf \ (\#_V \mathfrak{A}) \ \varphi; \ \#_V \mathfrak{A} = \#_V \mathfrak{B}; \ \bigwedge m \ k. \ LESS \ k \ m \ (\#_V \mathfrak{A}) \implies m^{\mathfrak{A}}k = m^{\mathfrak{B}}k;$   
 $left-formula \ \varphi \rrbracket \implies$   
 $\mathfrak{A} \models \varphi \longleftrightarrow \mathfrak{B} \models \varphi$   
**proof** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A} \ \mathfrak{B}$ )  
**case** (*FEx*  $k \ \varphi$ )  
**from** *FEx.prem*s **have**  $\bigwedge P. \ (Extend \ k \ 0 \ \mathfrak{A} \ P \models \varphi) \longleftrightarrow (Extend \ k \ 0 \ \mathfrak{B} \ P \models \varphi)$   
**by** (*intro* *FEx.hyps*) (*auto simp: nvars-Extend assigns-Extend dec-def gr0-conv-Suc LEQ-0 LESS-SUC*)  
**then show** ?*case* **by** *simp*  
**next**  
**case** (*FAll*  $k \ \varphi$ )  
**from** *FAll.prem*s **have**  $\bigwedge P. \ (Extend \ k \ 0 \ \mathfrak{A} \ P \models \varphi) \longleftrightarrow (Extend \ k \ 0 \ \mathfrak{B} \ P \models \varphi)$   
**by** (*intro* *FAll.hyps*) (*auto simp: nvars-Extend assigns-Extend dec-def gr0-conv-Suc LEQ-0 LESS-SUC*)  
**then show** ?*case* **by** *simp*  
**next**  
**case** (*FNot*  $\varphi$ )  
**from** *FNot.prem*s **have**  $(\mathfrak{A} \models \varphi) \longleftrightarrow (\mathfrak{B} \models \varphi)$  **by** (*intro* *FNot.hyps*) *simp-all*

**then show** *?case* **by** *simp*  
**qed** (*auto dest: satisfies0-eqI*)

**lemma** *wf-decr*:  
 $\llbracket \text{wf } (SUC\ k\ idx)\ \varphi; LEQ\ k\ l\ idx; \neg\ \text{find}\ k\ l\ \varphi \rrbracket \implies \text{wf}\ idx\ (\text{decr}\ k\ l\ \varphi)$   
**by** (*induct*  $\varphi$  *arbitrary: idx l*) (*auto simp: wf0-decr0 LESS-SUC SUC-SUC*)

**lemma** *left-formula-decr*:  
 $\text{left-formula}\ \varphi \implies \text{left-formula}\ (\text{decr}\ k\ l\ \varphi)$   
**by** (*induct*  $\varphi$  *arbitrary: l*) (*auto simp: left-formula0-decr0*)

**lemma** *Extend-satisfies-decr*:  
 $\llbracket \neg\ \text{find}\ k\ i\ \varphi; LEQ\ k\ i\ (\#_V\ \mathfrak{A}) \rrbracket \implies \text{Extend}\ k\ i\ \mathfrak{A}\ P \models \varphi \longleftrightarrow \mathfrak{A} \models \text{decr}\ k\ i\ \varphi$   
**by** (*induct*  $\varphi$  *arbitrary: i*  $\mathfrak{A}$ )  
*(auto simp: Extend-commute-unsafe[of - k 0 - - P] Extend-commute-safe*  
*Extend-satisfies0 nvars-Extend LESS-SUC SUC-SUC split: bool.splits)*

**lemma** *LEQ-SUC*:  $k \neq k' \implies LEQ\ k\ i\ (SUC\ k'\ idx) = LEQ\ k\ i\ idx$   
**by** (*metis LESS-SUC(2) SUC-SUC*)

**lemma** *Extend-satisfies-bounded-decr*:  
 $\llbracket \neg\ \text{find}\ k\ i\ \varphi; LEQ\ k\ i\ (\#_V\ \mathfrak{A}); \text{len}\ P \leq \text{Length}\ \mathfrak{A} \rrbracket \implies$   
 $\text{Extend}\ k\ i\ \mathfrak{A}\ P \models_b \varphi \longleftrightarrow \mathfrak{A} \models_b \text{decr}\ k\ i\ \varphi$   
**proof** (*induct*  $\varphi$  *arbitrary: i*  $\mathfrak{A}\ P$ )  
**case** (*FEx*  $k'\ \varphi$ )  
**show** *?case*  
**proof** (*cases*  $k = k'$ )  
**case** *True*  
**with** *FEx*(2,3,4) **show** *?thesis*  
**using** *FEx*(1)[*of* *Suc i Extend k' 0*  $\mathfrak{A}\ Q\ P$  **for**  $Q\ j$ ]  
**by** (*auto simp: Extend-commute-safe LESS-SUC Length-Extend nvars-Extend*  
*max-def*)  
**next**  
**case** *False*  
**with** *FEx*(2,3,4) **show** *?thesis*  
**using** *FEx*(1)[*of* *i Extend k' j*  $\mathfrak{A}\ Q\ P$  **for**  $Q\ j$ ]  
**by** (*auto simp: Extend-commute-unsafe LEQ-SUC Length-Extend nvars-Extend*  
*max-def*)  
**qed**  
**next**  
**case** (*FAll*  $k'\ \varphi$ ) **show** *?case*  
**proof** (*cases*  $k = k'$ )  
**case** *True*  
**with** *FAll*(2,3,4) **show** *?thesis*  
**using** *FAll*(1)[*of* *Suc i Extend k' 0*  $\mathfrak{A}\ Q\ P$  **for**  $Q\ j$ ]  
**by** (*auto simp: Extend-commute-safe LESS-SUC Length-Extend nvars-Extend*  
*max-def*)  
**next**  
**case** *False*

```

with FAll(2,3,4) show ?thesis
  using FAll(1)[of i Extend k' j  $\mathfrak{A}$  Q P for Q j]
  by (auto simp: Extend-commute-unsafe LEQ-SUC Length-Extend nvars-Extend
max-def)
  qed
qed (auto simp: Extend-satisfies0 split: bool.splits)

```

## 4 Normalization

**lemma** *wf-nFOr*:

```

wf idx (FOr  $\varphi$   $\psi$ )  $\implies$  wf idx (nFOr  $\varphi$   $\psi$ )
by (induct  $\varphi$   $\psi$  rule: nFOr.induct) (simp-all add: Let-def)

```

**lemma** *wf-nFAnd*:

```

wf idx (FAnd  $\varphi$   $\psi$ )  $\implies$  wf idx (nFAnd  $\varphi$   $\psi$ )
by (induct  $\varphi$   $\psi$  rule: nFAnd.induct) (simp-all add: Let-def)

```

**lemma** *wf-nFNot*:

```

wf idx (FNot  $\varphi$ )  $\implies$  wf idx (nFNot  $\varphi$ )
by (induct  $\varphi$  arbitrary: idx rule: nFNot.induct) (auto simp: wf-nFOr wf-nFAnd)

```

**lemma** *wf-nFEx*:

```

wf idx (FEx b  $\varphi$ )  $\implies$  wf idx (nFEx b  $\varphi$ )
by (induct  $\varphi$  arbitrary: idx rule: nFEx.induct)
  (auto simp: SUC-SUC LEQ-0 LESS-SUC(1) gr0-conv-Suc wf-nFOr intro:
wf0-decr0 wf-decr)

```

**lemma** *wf-nFAll*:

```

wf idx (FAll b  $\varphi$ )  $\implies$  wf idx (nFAll b  $\varphi$ )
by (induct  $\varphi$  arbitrary: idx rule: nFAll.induct)
  (auto simp: SUC-SUC LEQ-0 LESS-SUC(1) gr0-conv-Suc wf-nFAnd intro:
wf0-decr0 wf-decr)

```

**lemma** *wf-norm*: *wf idx  $\varphi \implies$  wf idx (norm  $\varphi$ )*

```

by (induct  $\varphi$  arbitrary: idx) (simp-all add: wf-nFOr wf-nFAnd wf-nFNot wf-nFEx
wf-nFAll)

```

**lemma** *left-formula-nFOr*:

```

left-formula (FOr  $\varphi$   $\psi$ )  $\implies$  left-formula (nFOr  $\varphi$   $\psi$ )
by (induct  $\varphi$   $\psi$  rule: nFOr.induct) (simp-all add: Let-def)

```

**lemma** *left-formula-nFAnd*:

```

left-formula (FAnd  $\varphi$   $\psi$ )  $\implies$  left-formula (nFAnd  $\varphi$   $\psi$ )
by (induct  $\varphi$   $\psi$  rule: nFAnd.induct) (simp-all add: Let-def)

```

**lemma** *left-formula-nFNot*:

```

left-formula (FNot  $\varphi$ )  $\implies$  left-formula (nFNot  $\varphi$ )
by (induct  $\varphi$  rule: nFNot.induct) (auto simp: left-formula-nFOr left-formula-nFAnd)

```

**lemma** *left-formula-nFEx*:  
 $\text{left-formula } (FEx\ b\ \varphi) \implies \text{left-formula } (nFEx\ b\ \varphi)$   
**by** (*induct*  $\varphi$  *rule*: *nFEx.induct*)  
(auto simp: *left-formula-nFOr left-formula0-decr0 left-formula-decr*)

**lemma** *left-formula-nFAll*:  
 $\text{left-formula } (FAll\ b\ \varphi) \implies \text{left-formula } (nFAll\ b\ \varphi)$   
**by** (*induct*  $\varphi$  *rule*: *nFAll.induct*)  
(auto simp: *left-formula-nFAnd left-formula0-decr0 left-formula-decr*)

**lemma** *left-formula-norm*:  $\text{left-formula } \varphi \implies \text{left-formula } (\text{norm } \varphi)$   
**by** (*induct*  $\varphi$ ) (*simp-all add*: *left-formula-nFOr left-formula-nFAnd left-formula-nFNot left-formula-nFEx left-formula-nFAll*)

**lemma** *satisfies-nFOr*:  
 $\mathfrak{A} \models nFOr\ \varphi\ \psi \longleftrightarrow \mathfrak{A} \models FOr\ \varphi\ \psi$   
**by** (*induct*  $\varphi\ \psi$  *arbitrary*:  $\mathfrak{A}$  *rule*: *nFOr.induct*) *auto*

**lemma** *satisfies-nFAnd*:  
 $\mathfrak{A} \models nFAnd\ \varphi\ \psi \longleftrightarrow \mathfrak{A} \models FAnd\ \varphi\ \psi$   
**by** (*induct*  $\varphi\ \psi$  *arbitrary*:  $\mathfrak{A}$  *rule*: *nFAnd.induct*) *auto*

**lemma** *satisfies-nFNot*:  
 $\mathfrak{A} \models nFNot\ \varphi \longleftrightarrow \mathfrak{A} \models FNot\ \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ )  
(auto simp: *satisfies-nFOr satisfies-nFAnd*)

**lemma** *satisfies-nFEx*:  $\mathfrak{A} \models nFEx\ b\ \varphi \longleftrightarrow \mathfrak{A} \models FEx\ b\ \varphi$   
**by** (*induct*  $\varphi$  *rule*: *nFEx.induct*)  
(auto simp add: *satisfies-nFOr Extend-satisfies-decr*  
*LEQ-0 LESS-SUC(1) nvars-Extend Extend-satisfies0 Extend-commute-safe*  
*Extend-commute-unsafe*)

**lemma** *satisfies-nFAll*:  $\mathfrak{A} \models nFAll\ b\ \varphi \longleftrightarrow \mathfrak{A} \models FAll\ b\ \varphi$   
**by** (*induct*  $\varphi$  *rule*: *nFAll.induct*)  
(auto simp add: *satisfies-nFAnd Extend-satisfies-decr*  
*Extend-satisfies0 LEQ-0 LESS-SUC(1) nvars-Extend Extend-commute-safe*  
*Extend-commute-unsafe*)

**lemma** *satisfies-norm*:  $\mathfrak{A} \models \text{norm } \varphi \longleftrightarrow \mathfrak{A} \models \varphi$   
**using** *satisfies-nFOr satisfies-nFAnd satisfies-nFNot satisfies-nFEx satisfies-nFAll*  
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ ) *simp-all*

**lemma** *satisfies-bounded-nFOr*:  
 $\mathfrak{A} \models_b nFOr\ \varphi\ \psi \longleftrightarrow \mathfrak{A} \models_b FOr\ \varphi\ \psi$   
**by** (*induct*  $\varphi\ \psi$  *arbitrary*:  $\mathfrak{A}$  *rule*: *nFOr.induct*) *auto*

**lemma** *satisfies-bounded-nFAnd*:  
 $\mathfrak{A} \models_b nFAnd\ \varphi\ \psi \longleftrightarrow \mathfrak{A} \models_b FAnd\ \varphi\ \psi$

**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$  *rule*: *nFAnd.induct*) *auto*

**lemma** *satisfies-bounded-nFNot*:  
 $\mathfrak{A} \models_b \text{nFNot } \varphi \longleftrightarrow \mathfrak{A} \models_b \text{FNot } \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ )  
*(auto simp: satisfies-bounded-nFOr satisfies-bounded-nFAnd)*

**lemma** *len-cut-0*:  $\text{len } (\text{cut } 0 \ P) = 0$   
**by** (*metis* *le-0-eq* *len-cut-le*)

**lemma** *satisfies-bounded-nFEx*:  $\mathfrak{A} \models_b \text{nFEx } b \ \varphi \longleftrightarrow \mathfrak{A} \models_b \text{FEx } b \ \varphi$   
**by** (*induct*  $\varphi$  *rule*: *nFEx.induct*)  
*(auto 4 4 simp add: satisfies-bounded-nFOr Extend-satisfies-bounded-decr*  
*LEQ-0 LESS-SUC(1) nvars-Extend Length-Extend len-cut-0*  
*Extend-satisfies0 Extend-commute-safe Extend-commute-unsafe cong: ex-cong*  
*split: bool.splits*  
*intro: exI[where  $P = \lambda x. P \ x \wedge Q \ x$  for  $P \ Q$ , OF conjI[rotated]] exI[of -*  
*cut 0 P for P])*

**lemma** *satisfies-bounded-nFAll*:  $\mathfrak{A} \models_b \text{nFAll } b \ \varphi \longleftrightarrow \mathfrak{A} \models_b \text{FAll } b \ \varphi$   
**by** (*induct*  $\varphi$  *rule*: *nFAll.induct*)  
*(auto 4 4 simp add: satisfies-bounded-nFAnd Extend-satisfies-bounded-decr*  
*LEQ-0 LESS-SUC(1) nvars-Extend Length-Extend len-cut-0*  
*Extend-satisfies0 Extend-commute-safe Extend-commute-unsafe cong: split:*  
*bool.splits*  
*intro: exI[where  $P = \lambda x. P \ x \wedge Q \ x$  for  $P \ Q$ , OF conjI[rotated]] dest: spec[of -*  
*cut 0 P for P])*

**lemma** *satisfies-bounded-norm*:  $\mathfrak{A} \models_b \text{norm } \varphi \longleftrightarrow \mathfrak{A} \models_b \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ )  
*(simp-all add: satisfies-bounded-nFOr satisfies-bounded-nFAnd*  
*satisfies-bounded-nFNot satisfies-bounded-nFEx satisfies-bounded-nFAll)*

## 5 Derivatives of Formulas

**lemma** *wf-lderv*:  
 $\text{wf idx } \varphi \implies \text{wf idx } (\text{lderv } x \ \varphi)$   
**by** (*induct*  $\varphi$  *arbitrary*:  $x \ \text{idx}$ ) (*auto simp: wf-lderv0*)

**lemma** *left-formula-lderv*:  
 $\text{left-formula } \varphi \implies \text{left-formula } (\text{lderv } x \ \varphi)$   
**by** (*induct*  $\varphi$  *arbitrary*:  $x$ ) (*auto simp: left-formula-lderv0*)

**lemma** *wf-rderiv*:  
 $\text{wf idx } \varphi \implies \text{wf idx } (\text{rderiv } x \ \varphi)$   
**by** (*induct*  $\varphi$  *arbitrary*:  $x \ \text{idx}$ ) (*auto simp: wf-rderiv0*)

**theorem** *satisfies-lderv*:  $\llbracket \text{wf } (\#_V \ \mathfrak{A}) \ \varphi; \#_V \ \mathfrak{A} = \text{size } x \rrbracket \implies \mathfrak{A} \models \text{lderv } x \ \varphi \longleftrightarrow \text{CONS } x \ \mathfrak{A} \models \varphi$

**proof** (*induct*  $\varphi$  *arbitrary*:  $x \mathfrak{A}$ )  
**case** ( $FEx\ k\ \varphi$ )  
**from**  $FEx.prem\ FEx.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** (*auto simp*: *nvars-Extend size-extend Extend-CONS*  
*downshift-upshift eval-add eval-upshift downshift-add-zero*  
*intro*:  $exI[of\ -\ add\ 0\ (upshift\ P)\ for\ P]$   $exI[of\ -\ upshift\ P\ for\ P]$ )  
**next**  
**case** ( $FAll\ k\ \varphi$ )  
**from**  $FAll.prem\ FAll.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** (*auto simp*: *nvars-Extend size-extend Extend-CONS*  
*downshift-upshift eval-add eval-upshift downshift-add-zero*  
*dest*:  $spec[of\ -\ add\ 0\ (upshift\ P)\ for\ P]$   $spec[of\ -\ upshift\ P\ for\ P]$ )  
**qed** (*simp-all add: satisfies-lderiv0 split: bool.splits*)

**theorem** *satisfies-bounded-lderiv*:  $\llbracket wf\ (\#_V\ \mathfrak{A})\ \varphi;\ \#_V\ \mathfrak{A} = size\ x \rrbracket \implies \mathfrak{A} \models_b\ lderiv\ x\ \varphi \longleftrightarrow CONS\ x\ \mathfrak{A} \models_b\ \varphi$

**proof** (*induct*  $\varphi$  *arbitrary*:  $x \mathfrak{A}$ )  
**case** ( $FEx\ k\ \varphi$ )  
**note** [*simp*] = *nvars-Extend size-extend Extend-CONS Length-CONS*  
*downshift-upshift eval-add eval-upshift downshift-add-zero len-add len-upshift*  
*len-downshift*  
**from**  $FEx.prem\ FEx.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** *auto* (*force intro*:  $exI[of\ -\ add\ 0\ (upshift\ P)\ for\ P]$   $exI[of\ -\ upshift\ P\ for\ P]$  *split: nat.splits*)  
**next**  
**case** ( $FAll\ k\ \varphi$ )  
**note** [*simp*] = *nvars-Extend size-extend Extend-CONS Length-CONS*  
*downshift-upshift eval-add eval-upshift downshift-add-zero len-add len-upshift*  
*len-downshift*  
**from**  $FAll.prem\ FAll.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** *auto* (*force dest*:  $spec[of\ -\ add\ 0\ (upshift\ P)\ for\ P]$   $spec[of\ -\ upshift\ P\ for\ P]$  *split: nat.splits*)  
**qed** (*simp-all add: satisfies-bounded-lderiv0 split: bool.splits*)

**theorem** *satisfies-bounded-rderiv*:

$\llbracket wf\ (\#_V\ \mathfrak{A})\ \varphi;\ \#_V\ \mathfrak{A} = size\ x \rrbracket \implies \mathfrak{A} \models_b\ rderiv\ x\ \varphi \longleftrightarrow SNOC\ x\ \mathfrak{A} \models_b\ \varphi$

**proof** (*induct*  $\varphi$  *arbitrary*:  $x \mathfrak{A}$ )  
**case** ( $FEx\ k\ \varphi$ )  
**from**  $FEx.prem\ FEx.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** (*auto simp*: *nvars-Extend size-extend Extend-SNOC-cut len-cut-le eval-ge-len*  
*eval-add cut-add Length-SNOC len-add len-cut le-Suc-eq max-def*  
*intro*:  $exI[of\ -\ cut\ (Length\ \mathfrak{A})\ P\ for\ P]$   $exI[of\ -\ add\ (Length\ \mathfrak{A})\ P\ for\ P]$  *split: if-splits*)  
**next**  
**case** ( $FAll\ k\ \varphi$ )  
**from**  $FAll.prem\ FAll.hyps[of\ Extend\ k\ 0\ \mathfrak{A}\ P\ extend\ k\ b\ x\ for\ P\ b]$  **show**  $?case$   
**by** (*auto simp*: *nvars-Extend size-extend Extend-SNOC-cut len-cut-le eval-ge-len*)



$eval-add$   $cut-add$   $Length-SNOC$   $len-add$   $len-cut$   $le-Suc-eq$   $max-def$   
 $dest: spec[of - cut (Length \mathfrak{A}) P \text{ for } P] spec[of - add (Length \mathfrak{A}) P \text{ for } P]$   
 $split: if-splits)$   
**qed** ( $simp-all$   $add: satisfies-bounded-rderiv0$   $split: bool.splits$ )

**lemma**  $wf-norm-rderivs: wf\ idx\ \varphi \implies wf\ idx\ (((norm \circ rderiv\ (zero\ idx)) \ ^\wedge\ k)$   
 $\varphi)$   
**by** ( $induct\ k$ ) ( $auto\ simp: wf-norm\ wf-rderiv$ )

## 6 Finiteness of Derivatives Modulo ACI

**lemma**  $finite-fold-deriv:$   
**assumes**  $d0 = lderiv0 \vee d0 = rderiv0$   
**shows**  $finite\ \{\langle fold\ (deriv\ d0)\ xs\ \varphi \rangle \mid xs. True\}$   
**proof** ( $induct\ \varphi$ )  
**case** ( $FBase\ a$ ) **then show**  $?case$  **using**  $assms$   
**by** ( $auto\ intro:$   
 $finite-subset[OF\ -\ finite-imageI[OF\ finite-lderv0]]$   
 $finite-subset[OF\ -\ finite-imageI[OF\ finite-rderiv0]]$ )  
**next**  
**case** ( $FNot\ \varphi$ )  
**then show**  $?case$   
**by** ( $auto\ simp: fold-deriv-FNot\ intro: finite-surj[OF\ FNot]$ )  
**next**  
**case** ( $FOR\ \varphi\ \psi$ )  
**then show**  $?case$   
**by** ( $auto\ simp: fold-deriv-FOR\ intro!: finite-surj[OF\ finite-cartesian-product[OF\ FOR]]$ )  
**next**  
**case** ( $FAnd\ \varphi\ \psi$ )  
**then show**  $?case$   
**by** ( $auto\ simp: fold-deriv-FAnd\ intro!: finite-surj[OF\ finite-cartesian-product[OF\ FAnd]]$ )  
**next**  
**case** ( $FEx\ k\ \varphi$ )  
**then have**  $finite\ (\bigcup\ (disjuncts\ ' \ \{\langle fold\ (deriv\ d0)\ xs\ \varphi \rangle \mid xs. True\}))$   
**by** ( $auto\ simp: finite-juncts$ )  
**then have**  $finite\ (\bigcup\ xs. disjuncts\ \langle fold\ (deriv\ d0)\ xs\ \varphi \rangle)$   
**by** ( $rule\ finite-subset[rotated]$ )  $auto$   
**then have**  $finite\ \{FEx\ k\ \psi \mid \psi. nf-ACI\ \psi \wedge disjuncts\ \psi \subseteq (\bigcup\ xs. disjuncts\ \langle fold\ (deriv\ d0)\ xs\ \varphi \rangle)\}$   
**by** ( $rule\ finite-norm-ACI-juncts$ )  
**then show**  $?case$   
**by** ( $rule\ finite-subset[OF\ fold-deriv-FEx]$ )  
**next**  
**case** ( $FAll\ k\ \varphi$ )  
**then have**  $finite\ (\bigcup\ (conjuncts\ ' \ \{\langle fold\ (deriv\ d0)\ xs\ \varphi \rangle \mid xs. True\}))$   
**by** ( $auto\ simp: finite-juncts$ )  
**then have**  $finite\ (\bigcup\ xs. conjuncts\ \langle fold\ (deriv\ d0)\ xs\ \varphi \rangle)$

by (rule finite-subset[rotated]) auto  
 then have finite {Fall k  $\psi$  |  $\psi$ . nf-ACI  $\psi \wedge$  conjuncts  $\psi \subseteq (\bigcup xs.$  conjuncts  
 $\langle fold (deriv d0) xs \varphi \rangle)$ }  
 by (rule finite-norm-ACI-juncts)  
 then show ?case  
 by (rule finite-subset[OF fold-deriv-Fall])  
 qed (simp add: fold-deriv-FBool)

**theorem**

finite-fold-lderiv: finite { $\langle fold lderiv xs \varphi \rangle$  |  $xs.$  True} and  
 finite-fold-rderiv: finite { $\langle fold rderiv xs \varphi \rangle$  |  $xs.$  True}  
 by (blast intro: nf-ACI-norm-ACI finite-fold-deriv)+

**lemma** wf-nFOR: wf idx (nFOR  $\varphi s$ )  $\longleftrightarrow$  ( $\forall \varphi \in set \varphi s.$  wf idx  $\varphi$ )  
 by (induct rule: nFOR.induct) auto

**lemma** wf-nFAND: wf idx (nFAND  $\varphi s$ )  $\longleftrightarrow$  ( $\forall \varphi \in set \varphi s.$  wf idx  $\varphi$ )  
 by (induct rule: nFAND.induct) auto

**lemma** wf-NFOR: finite  $\Phi \implies$  wf idx (NFOR  $\Phi$ )  $\longleftrightarrow$  ( $\forall \varphi \in \Phi.$  wf idx  $\varphi$ )  
 unfolding NFOR-def o-apply by (auto simp: wf-nFOR)

**lemma** wf-NFAND: finite  $\Phi \implies$  wf idx (NFAND  $\Phi$ )  $\longleftrightarrow$  ( $\forall \varphi \in \Phi.$  wf idx  $\varphi$ )  
 unfolding NFAND-def o-apply by (auto simp: wf-nFAND)

**lemma** satisfies-bounded-nFOR:  $\mathfrak{A} \models_b nFOR \varphi s \longleftrightarrow (\exists \varphi \in set \varphi s. \mathfrak{A} \models_b \varphi)$   
 by (induct rule: nFOR.induct) (auto simp: satisfies-bounded-nFOR)

**lemma** satisfies-bounded-nFAND:  $\mathfrak{A} \models_b nFAND \varphi s \longleftrightarrow (\forall \varphi \in set \varphi s. \mathfrak{A} \models_b \varphi)$   
 by (induct rule: nFAND.induct) (auto simp: satisfies-bounded-nFAND)

**lemma** satisfies-bounded-NFOR: finite  $\Phi \implies \mathfrak{A} \models_b NFOR \Phi \longleftrightarrow (\exists \varphi \in \Phi. \mathfrak{A} \models_b \varphi)$   
 unfolding NFOR-def o-apply by (auto simp: satisfies-bounded-nFOR)

**lemma** satisfies-bounded-NFAND: finite  $\Phi \implies \mathfrak{A} \models_b NFAND \Phi \longleftrightarrow (\forall \varphi \in \Phi. \mathfrak{A} \models_b \varphi)$   
 unfolding NFAND-def o-apply by (auto simp: satisfies-bounded-nFAND)

**lemma** wf-juncts:

wf idx  $\varphi \longleftrightarrow (\forall \psi \in disjuncts \varphi. wf idx \psi)$   
 wf idx  $\varphi \longleftrightarrow (\forall \psi \in conjuncts \varphi. wf idx \psi)$   
 by (induct  $\varphi$ ) auto

**lemma** wf-norm-ACI: wf idx  $\langle \varphi \rangle = wf idx \varphi$   
 by (induct  $\varphi$  arbitrary: idx)  
 (auto simp: finite-juncts wf-NFOR wf-NFAND ball-Un wf-juncts[symmetric])

**lemma** satisfies-bounded-disjuncts:

$\mathfrak{A} \models_b \varphi \longleftrightarrow (\exists \psi \in \text{disjuncts } \varphi. \mathfrak{A} \models_b \psi)$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ ) *auto*

**lemma** *satisfies-bounded-conjuncts*:  
 $\mathfrak{A} \models_b \varphi \longleftrightarrow (\forall \psi \in \text{conjuncts } \varphi. \mathfrak{A} \models_b \psi)$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ ) *auto*

**lemma** *satisfies-bounded-norm-ACI*:  $\mathfrak{A} \models_b \langle \varphi \rangle \longleftrightarrow \mathfrak{A} \models_b \varphi$   
**by** (*rule sym*, *induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ )  
*(auto simp: satisfies-bounded-NFOR satisfies-bounded-NFAND finite-juncts*  
*intro: iffD2[OF satisfies-bounded-disjuncts] iffD2[OF satisfies-bounded-conjuncts]*  
*dest: iffD1[OF satisfies-bounded-disjuncts] iffD1[OF satisfies-bounded-conjuncts])*

**lemma** *nvars-SNOCs*:  $\#_V ((\text{SNOC } x \hat{\ } k) \mathfrak{A}) = \#_V \mathfrak{A}$   
**by** (*induct*  $k$ ) (*auto simp: nvars-SNOC*)

**lemma** *wf-fold-rderiv*:  $\text{wf } \text{idx } \varphi \implies \text{wf } \text{idx } (\text{fold } \text{rderiv } (\text{replicate } k \ x) \ \varphi)$   
**by** (*induct*  $k$  *arbitrary*:  $\varphi$ ) (*auto simp: wf-rderiv*)

**lemma** *satisfies-bounded-fold-rderiv*:  
 $\llbracket \text{wf } \text{idx } \varphi; \#_V \mathfrak{A} = \text{idx}; \text{size } x = \text{idx} \rrbracket \implies$   
 $\mathfrak{A} \models_b \text{fold } \text{rderiv } (\text{replicate } k \ x) \ \varphi \longleftrightarrow (\text{SNOC } x \hat{\ } k) \mathfrak{A} \models_b \varphi$   
**by** (*induct*  $k$  *arbitrary*:  $\mathfrak{A} \ \varphi$ ) (*auto simp: satisfies-bounded-rderiv wf-rderiv nvars-SNOCs*)

## 7 Emptiness Check

**context**  
**fixes**  $b :: \text{bool}$   
**and**  $\text{idx} :: 'n$   
**and**  $\psi :: ('a, 'k) \text{ aformula}$   
**begin**

**abbreviation** *fut-test*  $\equiv \lambda(\varphi, \Phi). \varphi \notin \text{set } \Phi$   
**abbreviation** *fut-step*  $\equiv \lambda(\varphi, \Phi). (\text{norm } (\text{rderiv } (\text{zero } \text{idx}) \ \varphi), \varphi \# \Phi)$   
**definition** *fut-derivs*  $k \ \varphi \equiv ((\text{norm } o \ \text{rderiv } (\text{zero } \text{idx})) \hat{\ } k) \ \varphi$

**lemma** *fut-derivs-Suc[simp]*:  $\text{norm } (\text{rderiv } (\text{zero } \text{idx}) \ (\text{fut-derivs } k \ \varphi)) = \text{fut-derivs } (\text{Suc } k) \ \varphi$   
**unfolding** *fut-derivs-def* **by** *auto*

**definition** *fut-invariant*  $=$   
 $(\lambda(\varphi, \Phi). \text{wf } \text{idx } \varphi \wedge (\forall \varphi \in \text{set } \Phi. \text{wf } \text{idx } \varphi) \wedge$   
 $(\exists k. \varphi = \text{fut-derivs } k \ \psi \wedge \Phi = \text{map } (\lambda i. \text{fut-derivs } i \ \psi) \ (\text{rev } [0 ..< k])))$   
**definition** *fut-spec*  $\varphi \Phi \equiv (\forall \varphi \in \text{set } (\text{snd } \varphi \Phi). \text{wf } \text{idx } \varphi) \wedge$   
 $(\forall \mathfrak{A}. \#_V \mathfrak{A} = \text{idx} \longrightarrow$   
 $(\text{if } b \text{ then } (\exists k. (\text{SNOC } (\text{zero } \text{idx}) \hat{\ } k) \mathfrak{A} \models_b \psi) \longleftrightarrow (\exists \varphi \in \text{set } (\text{snd } \varphi \Phi). \mathfrak{A} \models_b \varphi)$   
 $\text{else } (\forall k. (\text{SNOC } (\text{zero } \text{idx}) \hat{\ } k) \mathfrak{A} \models_b \psi) \longleftrightarrow (\forall \varphi \in \text{set } (\text{snd } \varphi \Phi). \mathfrak{A} \models_b \varphi))))$

**definition** *fut-default* =  
 ( $\psi$ , *sorted-list-of-set* { $\langle \text{fold rderiv (replicate } k \text{ (zero idx)) } \psi \rangle \mid k. \text{ True} \}$ )

**lemma** *finite-fold-rderiv-zeros*: *finite* { $\langle \text{fold rderiv (replicate } k \text{ (zero idx)) } \psi \rangle \mid k. \text{ True} \}$   
*True*  
**by** (*rule finite-subset*[*OF* - *finite-fold-rderiv*[*of*  $\psi$ ]]) *blast*

**definition** *fut* :: (*'a*, *'k*) *aformula* **where**  
*fut* = (*if* *b* *then* *nFOR* *else* *nFAND*) (*snd* (*while-default fut-default fut-test fut-step* ( $\psi$ , [])))

**context**  
**assumes** *wf*: *wf idx*  $\psi$   
**begin**

**lemma** *wf-fut-derivs*:  
*wf idx (fut-derivs k  $\psi$ )*  
**by** (*induct k*) (*auto simp: wf-norm wf-rderiv wf fut-derivs-def*)

**lemma** *satisfies-bounded-fut-derivs*:  
 $\#_V \mathfrak{A} = \text{idx} \implies \mathfrak{A} \models_b \text{fut-derivs } k \psi \longleftrightarrow (\text{SNOC (zero idx)} \hat{\sim} k) \mathfrak{A} \models_b \psi$   
**by** (*induct k arbitrary:  $\mathfrak{A}$* ) (*auto simp: fut-derivs-def satisfies-bounded-rderiv satisfies-bounded-norm*  
*wf-norm-rderivs size-zero nvars-SNOC funpow-swap1 [of SNOC x for x] wf*)

**lemma** *fut-init*: *fut-invariant* ( $\psi$ , [])  
**unfolding** *fut-invariant-def* **by** (*auto simp: fut-derivs-def wf*)

**lemma** *fut-spec-default*: *fut-spec fut-default*  
**using** *satisfies-bounded-fold-rderiv*[*OF* *iffD2*[*OF* *wf-norm-ACI wf*] *sym size-zero*]

**unfolding** *fut-spec-def fut-default-def snd-conv*  
*conjunct1*[*OF* *sorted-list-of-set*[*OF* *finite-fold-rderiv-zeros*]]  
**by** (*auto simp: satisfies-bounded-norm-ACI wf-fold-rderiv wf wf-norm-ACI simp*  
*del: fold-replicate*)

**lemma** *fut-invariant*: *fut-invariant*  $\varphi\Phi \implies \text{fut-test } \varphi\Phi \implies \text{fut-invariant (fut-step } \varphi\Phi)$   
**by** (*cases*  $\varphi\Phi$ ) (*auto simp: fut-invariant-def wf-norm wf-rderiv split: if-splits*)

**lemma** *fut-terminate*: *fut-invariant*  $\varphi\Phi \implies \neg \text{fut-test } \varphi\Phi \implies \text{fut-spec } \varphi\Phi$   
**proof** (*induct*  $\varphi\Phi$ , *unfold prod.case not-not*)  
**fix**  $\varphi \Phi$  **assume** *fut-invariant* ( $\varphi$ ,  $\Phi$ )  $\varphi \in \text{set } \Phi$   
**then obtain** *i k* **where**  $i < k$  **and**  $\varphi\text{-def}$ :  $\varphi = \text{fut-derivs } i \psi$   
**and**  $\Phi\text{-def}$ :  $\Phi = \text{map } (\lambda i. \text{fut-derivs } i \psi) (\text{rev } [0..<k])$   
**and**  $*$ :  $\text{fut-derivs } k \psi = \text{fut-derivs } i \psi$  **unfolding** *fut-invariant-def* **by** *auto*  
**have**  $\text{set } \Phi = \{\text{fut-derivs } k \psi \mid k. \text{ True}\}$   
**unfolding**  $\Phi\text{-def}$  *set-map set-rev set-upt* **proof** *safe*

```

fix j
show fut-derivs j  $\psi \in (\lambda i. \text{fut-derivs } i \ \psi) \text{ ' } \{0..<k\}$ 
proof (cases j < k)
  case False
  with *  $\langle i < k \rangle$  have fut-derivs j  $\psi = \text{fut-derivs } ((j - i) \bmod (k - i) + i) \ \psi$ 
    unfolding fut-derivs-def by (auto intro: funpow-cycle-offset)
  then show ?thesis using  $\langle i < k \rangle \ \langle \neg j < k \rangle$ 
    by (metis image-eqI atLeastLessThan-iff le0 less-diff-conv mod-less-divisor
zero-less-diff)
  qed simp
qed (blast intro: *)
then show fut-spec  $(\varphi, \Phi)$ 
  unfolding fut-spec-def using satisfies-bounded-fut-derivs by (auto simp: wf-fut-derivs)
qed

```

```

lemma fut-spec-while-default:
  fut-spec (while-default fut-default fut-test fut-step  $(\psi, [])$ )
using fut-invariant fut-terminate fut-init fut-spec-default by (rule while-default-rule)

```

```

lemma wf-fut: wf idx fut
using fut-spec-while-default unfolding fut-def fut-spec-def by (auto simp: wf-nFOR
wf-nFAND)

```

```

lemma satisfies-bounded-fut:
  assumes  $\#_V \mathcal{A} = \text{id}_x$ 
  shows  $\mathcal{A} \models_b \text{fut} \longleftrightarrow$ 
    (if b then  $(\exists k. (\text{SNOC } (\text{zero } \text{id}_x) \ \hat{\wedge} \ k) \ \mathcal{A} \models_b \psi)$  else  $(\forall k. (\text{SNOC } (\text{zero } \text{id}_x) \ \hat{\wedge} \ k) \ \mathcal{A} \models_b \psi)$ )
  using fut-spec-while-default assms unfolding fut-def fut-spec-def
  by (auto simp: satisfies-bounded-nFOR satisfies-bounded-nFAND)

```

**end**

**end**

```

fun finalize :: 'n  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  ('a, 'k) aformula where
  finalize idx (FEx k  $\varphi$ ) = fut True idx (nFEx k (finalize (SUC k idx)  $\varphi$ ))
| finalize idx (FALL k  $\varphi$ ) = fut False idx (nFALL k (finalize (SUC k idx)  $\varphi$ ))
| finalize idx (FOr  $\varphi \ \psi$ ) = FOr (finalize idx  $\varphi$ ) (finalize idx  $\psi$ )
| finalize idx (FAnd  $\varphi \ \psi$ ) = FAnd (finalize idx  $\varphi$ ) (finalize idx  $\psi$ )
| finalize idx (FNot  $\varphi$ ) = FNot (finalize idx  $\varphi$ )
| finalize idx  $\varphi$  =  $\varphi$ 

```

```

definition final :: 'n  $\Rightarrow$  ('a, 'k) aformula  $\Rightarrow$  bool where
  final idx = nullable o finalize idx

```

```

lemma wf-finalize: wf idx  $\varphi \implies$  wf idx (finalize idx  $\varphi$ )
by (induct  $\varphi$  arbitrary: idx) (auto simp: wf-fut wf-nFEx wf-nFALL)

```

**lemma** *Length-SNOCs*:  $\text{Length } ((\text{SNOC } x \text{ } \wedge^k) \mathfrak{A}) = \text{Length } \mathfrak{A} + k$   
**by** (*induct*  $k$  *arbitrary*:  $\mathfrak{A}$ ) (*auto simp*: *Length-SNOC*)

**lemma** *assigns-SNOCs-zero*:

$\llbracket \text{LESS } \text{ord } m \text{ } (\#_V \mathfrak{A}); \#_V \mathfrak{A} = \text{idx} \rrbracket \implies m(\text{SNOC } (\text{zero } \text{idx}) \text{ } \wedge^k) \mathfrak{A}_{\text{ord}} = m \mathfrak{A}_{\text{ord}}$   
**by** (*induct*  $k$  *arbitrary*:  $\mathfrak{A}$ ) (*auto simp*: *assigns-SNOC-zero nvars-SNOC funpow-swap1*)

**lemma** *Extend-SNOCs-zero-satisfies*:  $\llbracket \text{wf } (\text{SUC } \text{ord } \text{idx}) \varphi; \#_V \mathfrak{A} = \text{idx}; \text{left-formula } \varphi \rrbracket \implies$   
 $\text{Extend } \text{ord } 0 ((\text{SNOC } (\text{zero } (\#_V \mathfrak{A})) \text{ } \wedge^k) \mathfrak{A}) P \models \varphi \longleftrightarrow \text{Extend } \text{ord } 0 \mathfrak{A} P \models$   
 $\varphi$   
**by** (*rule satisfies-eqI*)  
*(auto simp: nvars-Extend nvars-SNOCs assigns-Extend assigns-SNOCs-zero LEQ-0 LESS-SUC*  
*dec-def gr0-conv-Suc)*

**lemma** *finalize-satisfies*:  $\llbracket \text{wf } \text{idx } \varphi; \#_V \mathfrak{A} = \text{idx}; \text{left-formula } \varphi \rrbracket \implies \mathfrak{A} \models_b \text{finalize}$   
 $\text{idx } \varphi \longleftrightarrow \mathfrak{A} \models \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\text{idx } \mathfrak{A}$ )  
*(force simp add: wf-nFEx wf-nFAll wf-finalize Length-SNOCs nvars-Extend*  
*nvars-SNOCs*  
*satisfies-bounded-fut satisfies-bounded-nFEx satisfies-bounded-nFAll Extend-SNOCs-zero-satisfies*  
*intro: le-add2)+*

**lemma** *Extend-empty-satisfies0*:

$\llbracket \text{Length } \mathfrak{A} = 0; \text{len } P = 0 \rrbracket \implies \text{Extend } k \ i \ \mathfrak{A} \ P \models_0 a \longleftrightarrow \mathfrak{A} \models_0 a$   
**by** (*intro box-equals[OF - nullable0-satisfies0 nullable0-satisfies0]*)  
*(auto simp: nvars-Extend Length-Extend)*

**lemma** *Extend-empty-satisfies-bounded*:

$\llbracket \text{Length } \mathfrak{A} = 0; \text{len } P = 0 \rrbracket \implies \text{Extend } k \ 0 \ \mathfrak{A} \ P \models_b \varphi \longleftrightarrow \mathfrak{A} \models_b \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $k \ \mathfrak{A} \ P$ )  
*(auto simp: Extend-empty-satisfies0 Length-Extend split: bool.splits)*

**lemma** *nullable-satisfies-bounded*:  $\text{Length } \mathfrak{A} = 0 \implies \text{nullable } \varphi \longleftrightarrow \mathfrak{A} \models_b \varphi$

**by** (*induct*  $\varphi$ ) (*auto simp: nullable0-satisfies0 Extend-empty-satisfies-bounded*  
*len-cut-0*  
*intro: exI[of - cut 0 P for P])*

**lemma** *final-satisfies*:

$\llbracket \text{wf } \text{idx } \varphi \wedge \text{left-formula } \varphi; \text{Length } \mathfrak{A} = 0; \#_V \mathfrak{A} = \text{idx} \rrbracket \implies \text{final } \text{idx } \varphi = (\mathfrak{A} \models \varphi)$   
**by** (*simp only: final-def o-apply nullable-satisfies-bounded finalize-satisfies*)

## 8 Restrictions

**lemma** *satisfies-gen-restrict-RESTR*:

$\text{satisfies-gen } (\lambda k \ P \ . \ \text{restrict } k \ P) \ \mathfrak{A} \ \varphi \longleftrightarrow \mathfrak{A} \models \text{RESTR } \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ ) (*auto simp: restrict-Restrict[symmetric] assigns-Extend*)

LEQ-0)

**lemma** *satisfies-gen-restrict<sub>b</sub>-RESTR*:

*satisfies-gen* ( $\lambda k P n. \text{restrict } k P \wedge \text{len } P \leq n$ )  $\mathfrak{A} \varphi \longleftrightarrow \mathfrak{A} \models_b \text{RESTR } \varphi$   
**by** (*induct*  $\varphi$  *arbitrary*:  $\mathfrak{A}$ ) (*auto simp*: *restrict-Restrict<sub>b</sub>[symmetric]* *assigns-Extend* *LEQ-0*)

**lemma** *distinct-FV*: *distinct* (*FV*  $\varphi$ )

**by** (*induct*  $\varphi$ ) (*auto simp*: *distinct-FV0* *distinct-map inj-on-def split: if-splits*)

**lemma** *sat-vars-RESTRIC-T-VARS*: *sat-vars-gen* ( $\lambda - -. \text{True}$ ) *vs*  $\mathfrak{A} \varphi \longleftrightarrow \mathfrak{A} \models$   
*RESTRIC-T-VARS vs*  $\varphi$

**by** (*induct vs arbitrary*:  $\varphi$ ) (*force simp*: *satisfies-gen-restrict-RESTR restrict-Restrict*) +

**lemma** *sat-vars<sub>b</sub>-RESTRIC-T-VARS*: *sat-vars-gen* ( $\lambda - P n. \text{len } P \leq n$ ) *vs*  $\mathfrak{A} \varphi$   
 $\longleftrightarrow \mathfrak{A} \models_b \text{RESTRIC-T-VARS vs } \varphi$

**by** (*induct vs arbitrary*:  $\varphi$ ) (*auto simp*: *satisfies-gen-restrict<sub>b</sub>-RESTR restrict-Restrict<sub>b</sub>*) +

**lemma** *sat-RESTRIC-T*: *sat*  $\mathfrak{A} \varphi \longleftrightarrow \mathfrak{A} \models \text{RESTRIC-T } \varphi$

**unfolding** *sat-def RESTRIC-T-def* **by** (*rule sat-vars-RESTRIC-T-VARS*)

**lemma** *sat<sub>b</sub>-RESTRIC-T*: *sat<sub>b</sub>*  $\mathfrak{A} \varphi \longleftrightarrow \mathfrak{A} \models_b \text{RESTRIC-T } \varphi$

**unfolding** *sat<sub>b</sub>-def RESTRIC-T-def* **by** (*rule sat-vars<sub>b</sub>-RESTRIC-T-VARS*)

**end**

**context** *Word-Formula*

**begin**

**lemma** *enc-Nil*: *Length*  $\mathfrak{A} = 0 \implies \text{enc } \mathfrak{A} = []$

**by** (*metis length-0-conv length-enc*)

**definition** *decode idx = the-inv-into*  $\{\mathfrak{B}. \#_V \mathfrak{B} = \text{idx}\}$  *enc*

**lemma** *inj-on-enc*: *inj-on* *enc*  $\{\mathfrak{B}. \#_V \mathfrak{B} = \text{idx}\}$

**unfolding** *inj-on-def* **by** (*auto simp*: *enc-inj*)

**lemma** *surj-enc*:  $\forall x \in \text{set } xs. \text{size } x = \text{idx} \implies xs \in \text{enc } \{ \mathfrak{B}. \#_V \mathfrak{B} = \text{idx} \}$

**proof** (*induct xs*)

**case** *Nil* **then show** *?case* **using** *ex-Length-0[of idx]*

**by** (*auto dest*: *enc-Nil[symmetric]*)

**next**

**case** (*Cons x xs*) **then show** *?case*

**by** (*auto simp*: *image-iff enc-CONS nvars-CONS intro: exI[of - CONS x  $\mathfrak{A}$  for  $\mathfrak{A}$ ]*)

**qed**

**lemma** *enc-decode*:  $\forall x \in \text{set } xs. \text{size } x = \text{idx} \implies \text{enc } (\text{decode idx } xs) = xs$

**unfolding** *decode-def* **by** (*rule f-the-inv-into-f[OF inj-on-enc surj-enc]*)

**definition**  $TL\ \mathfrak{A} = decode\ (\#_V\ \mathfrak{A})\ (tl\ (enc\ \mathfrak{A}))$

**lemma**  $in-set-tlD$ :  $x \in set\ (tl\ xs) \implies x \in set\ xs$   
**by**  $(cases\ xs)\ auto$

**lemma**  $enc-TL$ :  $enc\ (TL\ \mathfrak{A}) = tl\ (enc\ \mathfrak{A})$   
**unfolding**  $TL-def$  **by**  $(subst\ enc-decode)\ (auto\ dest!:\ in-set-encD\ in-set-tlD)$

**lemma**  $nvars-TL$ :  $\#_V\ (TL\ \mathfrak{A}) = \#_V\ \mathfrak{A}$   
**unfolding**  $TL-def\ decode-def$   
**using**  $the-inv-into-into[OF\ inj-on-enc\ surj-enc\ subset-refl,\ of\ tl\ (enc\ \mathfrak{A})]$   
**by**  $(auto\ dest!:\ in-set-encD\ in-set-tlD)$

**definition**  $lang\ idx\ \varphi = \{enc\ \mathfrak{A} \mid \mathfrak{A}. \mathfrak{A} \models \varphi \wedge \#_V\ \mathfrak{A} = idx\}$   
**definition**  $lang_b\ idx\ \varphi = \{enc\ \mathfrak{A} \mid \mathfrak{A}. \mathfrak{A} \models_b \varphi \wedge \#_V\ \mathfrak{A} = idx\}$

**lemma**  $lang-eq-iff$ :  $lang\ idx\ \varphi = lang\ idx\ \psi \longleftrightarrow (\forall \mathfrak{A}. \#_V\ \mathfrak{A} = idx \longrightarrow \mathfrak{A} \models \varphi \longleftrightarrow \mathfrak{A} \models \psi)$   
**unfolding**  $lang-def\ set-eq-iff$  **by**  $auto\ (metis\ enc-inj)+$

**lemma**  $lang_b-eq-iff$ :  $lang_b\ idx\ \varphi = lang_b\ idx\ \psi \longleftrightarrow (\forall \mathfrak{A}. \#_V\ \mathfrak{A} = idx \longrightarrow \mathfrak{A} \models_b \varphi \longleftrightarrow \mathfrak{A} \models_b \psi)$   
**unfolding**  $lang_b-def\ set-eq-iff$  **by**  $auto\ (metis\ enc-inj)+$

**lemma**  $final-iff-Nil$ :  $wf\ idx\ \varphi \wedge left-formula\ \varphi \implies final\ idx\ \varphi \longleftrightarrow ([\ ] \in lang\ idx\ \varphi)$   
**using**  $ex-Length-0[of\ idx]\ Length-0-inj$   
 $enc-Nil[symmetric]\ enc-inj\ final-satisfies[of\ idx\ \varphi]$   
**unfolding**  $lang-def$  **by**  $clarsimp\ metis$

**lemma**  $nullable-iff-Nil$ :  $wf\ idx\ \varphi \wedge left-formula\ \varphi \implies nullable\ \varphi \longleftrightarrow ([\ ] \in lang_b\ idx\ \varphi)$   
**using**  $ex-Length-0[of\ idx]\ Length-0-inj$   
 $enc-Nil[symmetric]\ enc-inj\ nullable-satisfies-bounded$   
**unfolding**  $lang_b-def$  **by**  $clarsimp\ metis$

**lemma**  $lQuot-enc$ :  
**assumes**  $\bigwedge \mathfrak{A}. P\ \mathfrak{A} \implies \#_V\ \mathfrak{A} = size\ a$   
**shows**  $\{w. a \# w \in \{enc\ \mathfrak{A} \mid \mathfrak{A}. P\ \mathfrak{A}\}\} = \{enc\ \mathfrak{A} \mid \mathfrak{A}. P\ (CONS\ a\ \mathfrak{A})\}$   
**proof**  $safe$   
**fix**  $w$  **and**  $\mathfrak{A} :: 'i$  **assume**  $a \# w = enc\ \mathfrak{A}\ P\ \mathfrak{A}$   
**with**  $assms$  **have**  $CONS\ a\ (TL\ \mathfrak{A}) = \mathfrak{A}\ enc\ \mathfrak{A} = a \# w$   
**by**  $(auto\ simp:\ enc-inj[symmetric]\ enc-CONS\ enc-TL\ nvars-CONS\ nvars-TL\ dest:\ sym)$   
**with**  $\langle P\ \mathfrak{A} \rangle$  **show**  $\exists \mathfrak{A}. w = enc\ \mathfrak{A} \wedge P\ (CONS\ a\ \mathfrak{A})$  **by**  $(auto\ simp:\ enc-TL\ intro!:\ exI[of\ -\ TL\ \mathfrak{A}])$   
**next**  
**fix**  $\mathfrak{A} :: 'i$  **assume**  $P\ (CONS\ a\ \mathfrak{A})$



**with** *assms*[*of CONS a A*] **show**  $\exists A'. a \# \text{enc } A = \text{enc } A' \wedge P A'$   
**by** (*auto simp: enc-CONS nvars-CONS intro: exI[of - CONS a A]*)  
**qed**

**lemma** *lang-ldderiv*:

$\llbracket \text{wf idx } \varphi; \text{idx} = \text{size } x \rrbracket \implies \text{lang idx (ldderiv } x \varphi) = \{w. x \# w \in \text{lang idx } \varphi\}$   
**unfolding** *lang-def* **by** (*subst lQuot-enc*) (*auto simp: satisfies-ldderiv nvars-CONS*)

**lemma** *lang\_b-ldderiv*:

$\llbracket \text{wf idx } \varphi; \text{idx} = \text{size } x \rrbracket \implies \text{lang}_b \text{idx (ldderiv } x \varphi) = \{w. x \# w \in \text{lang}_b \text{idx } \varphi\}$   
**unfolding** *lang\_b-def* **by** (*subst lQuot-enc*) (*auto simp: satisfies-bounded-ldderiv nvars-CONS*)

**lemma** *lang-norm*:  $\text{lang idx (norm } \varphi) = \text{lang idx } \varphi$

**unfolding** *lang-eq-iff* **by** (*simp add: satisfies-norm*)

**lemma** *lang\_b-norm*:  $\text{lang}_b \text{idx (norm } \varphi) = \text{lang}_b \text{idx } \varphi$

**unfolding** *lang\_b-eq-iff* **by** (*simp add: satisfies-bounded-norm*)

**lemma** *lang-size*:  $\llbracket w \in \text{lang idx } \varphi; x \in \text{set } w \rrbracket \implies \text{size } x = \text{idx}$

**unfolding** *lang-def* **by** (*auto elim: in-set-encD*)

**lemma** *lang\_b-size*:  $\llbracket w \in \text{lang}_b \text{idx } \varphi; x \in \text{set } w \rrbracket \implies \text{size } x = \text{idx}$

**unfolding** *lang\_b-def* **by** (*auto elim: in-set-encD*)

**definition** *language idx*  $\varphi = \{\text{enc } A \mid A. \text{sat } A \varphi \wedge \#_V A = \text{idx}\}$

**definition** *language\_b idx*  $\varphi = \{\text{enc } A \mid A. \text{sat}_b A \varphi \wedge \#_V A = \text{idx}\}$

**lemma** *language-lang-RESTRICT*:  $\text{language idx } \varphi = \text{lang idx (RESTRICT } \varphi)$

**unfolding** *language-def lang-def* **by** (*auto simp: sat-RESTRICT*)

**lemma** *language\_b-lang\_b-RESTRICT*:  $\text{language}_b \text{idx } \varphi = \text{lang}_b \text{idx (RESTRICT } \varphi)$

**unfolding** *language\_b-def lang\_b-def* **by** (*auto simp: sat\_b-RESTRICT*)

**lemma** *wf-RESTR*:  $\text{wf idx } \varphi \implies \text{wf idx (RESTR } \varphi)$

**by** (*induct*  $\varphi$  *arbitrary: idx*) (*auto simp: wf-Restrict LESS-SUC LEQ-0*)

**lemma** *wf-RESTRICT-VARS*:  $\llbracket \text{wf idx } \varphi; \text{list-all } (\lambda(k, v). \text{LESS } k \ v \ \text{idx}) \ \text{vs} \rrbracket \implies$   
 $\text{wf idx (RESTRICT-VARS } \text{vs } \varphi)$

**by** (*induct* *vs*) (*auto simp: wf-RESTR wf-Restrict*)

**lemma** *wf-FV-LESS*:  $\llbracket \text{wf idx } \varphi; (k, v) \in \text{set (FV } \varphi) \rrbracket \implies \text{LESS } k \ v \ \text{idx}$

**by** (*induct*  $\varphi$  *arbitrary: idx v*)

(*force simp: distinct-FV wf0-FV0-LESS LESS-SUC diff-Suc split: if-splits nat.splits*) $+$

**lemma** *wf-RESTRICT*:  $\text{wf idx } \varphi \implies \text{wf idx (RESTRICT } \varphi)$

**unfolding** *RESTRICT-def* **by** (*rule wf-RESTRICT-VARS*) (*auto simp: list-all-iff wf-FV-LESS*)

**lemma** *left-formula-RESTR*:  $\text{left-formula } \varphi \implies \text{left-formula } (\text{RESTR } \varphi)$   
**by** (*induct*  $\varphi$ ) (*auto simp*: *left-formula-Restrict*)

**lemma** *left-formula-RESTRIC-VEARS*:  $\text{left-formula } \varphi \implies \text{left-formula } (\text{RESTRIC-VEARS } \varphi)$   
**by** (*induct*  $\varphi$ ) (*auto simp*: *left-formula-RESTR left-formula-Restrict*)

**lemma** *left-formula-RESTRIC*:  $\text{left-formula } \varphi \implies \text{left-formula } (\text{RESTRIC } \varphi)$   
**unfolding** *RESTRIC-def* **by** (*rule left-formula-RESTRIC-VEARS*)

**end**

**sublocale** *Word-Formula* <  
*bounded!*: *DA alphabet idx*  $\lambda \varphi. \text{norm } (\text{RESTRIC } \varphi) \lambda a \varphi. \text{norm } (\text{lderiv } a \varphi)$   
*nullable*  
 $\lambda \varphi. \text{wf idx } \varphi \wedge \text{left-formula } \varphi \lambda \varphi. \text{wf idx } \varphi \wedge \text{left-formula } \varphi$   
 $\lambda \varphi. \text{to-language } (\text{lang}_b \text{ idx } \varphi) \lambda \varphi. \text{to-language } (\text{language}_b \text{ idx } \varphi)$  **for** *idx*  
**by** *unfold-locales*  
(*auto simp*: *nullable-iff-Nil lang<sub>b</sub>-norm lang<sub>b</sub>-lderiv wf-norm wf-lderiv*  
*left-formula-norm left-formula-lderiv alphabet-size lang<sub>b</sub>-size*  
*language<sub>b</sub>-lang<sub>b</sub>-RESTRIC wf-RESTRIC left-formula-RESTRIC*)

**sublocale** *Word-Formula* <  
*DA alphabet idx*  $\lambda \varphi. \text{norm } (\text{RESTRIC } \varphi) \lambda a \varphi. \text{norm } (\text{lderiv } a \varphi)$  *final idx*  
 $\lambda \varphi. \text{wf idx } \varphi \wedge \text{left-formula } \varphi \lambda \varphi. \text{wf idx } \varphi \wedge \text{left-formula } \varphi$   
 $\lambda \varphi. \text{to-language } (\text{lang idx } \varphi) \lambda \varphi. \text{to-language } (\text{language idx } \varphi)$  **for** *idx*  
**by** *unfold-locales*  
(*auto simp*: *final-iff-Nil lang-norm lang-lderiv wf-norm wf-lderiv*  
*left-formula-norm left-formula-lderiv alphabet-size lang-size*  
*language-lang-RESTRIC wf-RESTRIC left-formula-RESTRIC*)

**lemma** (**in** *Word-Formula*) *check-equiv-soundness*:  
 $\llbracket \#_V \mathfrak{A} = \text{idx}; \text{check-equiv idx } \varphi \psi \rrbracket \implies \text{sat } \mathfrak{A} \varphi \longleftrightarrow \text{sat } \mathfrak{A} \psi$   
**by** (*drule soundness, drule injD[OF bij-is-inj[OF to-language-bij]]*)  
(*force simp*: *language-def set-eq-iff enc-inj*)

**lemma** (**in** *Word-Formula*) *bounded-check-equiv-soundness*:  
 $\llbracket \#_V \mathfrak{A} = \text{idx}; \text{bounded.check-equiv idx } \varphi \psi \rrbracket \implies \text{sat}_b \mathfrak{A} \varphi \longleftrightarrow \text{sat}_b \mathfrak{A} \psi$   
**by** (*drule bounded.soundness, drule injD[OF bij-is-inj[OF to-language-bij]]*)  
(*force simp*: *language<sub>b</sub>-def set-eq-iff enc-inj*)

**end**

## 9 Concrete Atomic WS1S Formulas

**definition** *eval*  $P \ x = (x \mid \in \mid P)$

**definition** *downshift*  $P = (\lambda x. x - \text{Suc } 0) \mid \cdot \mid (P \mid - \mid \{|0|\})$

**definition** *upshift*  $P = \text{Suc } \mid \cdot \mid P$

**definition** *lift bs i P* = (if bs ! i then fininsert 0 (upshift P) else upshift P)

**definition** *snoc n bs i P* = (if bs ! i then fininsert n P else P)

**definition** *cut n P* = ffilter ( $\lambda i. i < n$ ) P

**definition** *len P* = (if P = {||} then 0 else Suc (fMax P))

**datatype-new** order = FO | SO

**datatype-comp**at order

**derive** linorder order

**typedef** *idx* = UNIV :: (nat  $\times$  nat) set **by** (rule UNIV-witness)

**setup-lifting** type-definition-idx

**lift-definition** ZERO :: *idx* is (0, 0) .

**lift-definition** SUC :: order  $\Rightarrow$  *idx*  $\Rightarrow$  *idx* is

$\lambda \text{ord } (m, n). \text{ case ord of FO } \Rightarrow (\text{Suc } m, n) \mid \text{SO } \Rightarrow (m, \text{Suc } n) .$

**lift-definition** LESS :: order  $\Rightarrow$  nat  $\Rightarrow$  *idx*  $\Rightarrow$  bool is

$\lambda \text{ord } l (m, n). \text{ case ord of FO } \Rightarrow l < m \mid \text{SO } \Rightarrow l < n .$

**abbreviation** LEQ ord l *idx*  $\equiv$  LESS ord l (SUC ord *idx*)

**definition** MSB Is  $\equiv$

if  $\forall P \in \text{set Is}. P = \{||\}$  then 0 else Suc (Max ( $\bigcup P \in \text{set Is}. \text{fset } P$ ))

**lemma** MSB-Nil[simp]: MSB [] = 0

**unfolding** MSB-def **by** simp

**lemma** MSB-Cons[simp]: MSB (I # Is) = max (if I = {||} then 0 else Suc (fMax I)) (MSB Is)

**unfolding** MSB-def **including** fset.lifting

**by** transfer (auto simp: Max-Un list-all-iff Sup-bot-conv(2)[symmetric] simp del: Sup-bot-conv(2))

**lemma** MSB-append[simp]: MSB (I1 @ I2) = max (MSB I1) (MSB I2)

**by** (induct I1) auto

**lemma** MSB-insert-nth[simp]:

MSB (insert-nth n P Is) = max (if P = {||} then 0 else Suc (fMax P)) (MSB Is)

**by** (subst (2) append-take-drop-id[of n Is, symmetric])

(simp only: insert-nth-take-drop MSB-append MSB-Cons MSB-Nil)

**lemma** MSB-greater:

$\llbracket i < \text{length Is}; p \in \text{Is} ! i \rrbracket \Longrightarrow p < \text{MSB Is}$

**unfolding** MSB-def **by** (fastforce simp: Bex-def in-set-conv-nth less-Suc-eq-le intro: Max-ge)

**lemma** MSB-mono: set I1  $\subseteq$  set I2  $\Longrightarrow$  MSB I1  $\leq$  MSB I2

**unfolding** MSB-def **including** fset.lifting

**by** transfer (auto simp: list-all-iff intro!: Max-ge)

**lemma** *MSB-map-index'-CONS*[simp]:  
 $MSB \ (map-index' \ i \ (lift \ bs) \ Is) =$   
 $(if \ MSB \ Is = 0 \wedge (\forall i \in \{i \ ..< i + length \ Is\}. \neg bs \ ! \ i) \ then \ 0 \ else \ Suc \ (MSB \ Is))$   
**by** (*induct Is arbitrary: i*)  
 $(auto \ split: if-splits \ simp: mono-fMax-commute[where \ f = Suc, \ symmetric]$   
*mono-def*  
 $lift-def \ upshift-def,$   
 $metis \ atLeastLessThan-iff \ le-antisym \ not-less-eq-eq)$

**lemma** *MSB-map-index'-SNOC*[simp]:  
 $MSB \ Is \leq n \implies MSB \ (map-index' \ i \ (snoc \ n \ bs) \ Is) =$   
 $(if \ (\forall i \in \{i \ ..< i + length \ Is\}. \neg bs \ ! \ i) \ then \ MSB \ Is \ else \ Suc \ n)$   
**by** (*induct Is arbitrary: i*)  
 $(auto \ split: if-splits \ simp: mono-fMax-commute[where \ f = Suc, \ symmetric]$   
*mono-def*  
 $snoc-def, \ (metis \ atLeastLessThan-iff \ le-antisym \ not-less-eq-eq)+)$

**lemma** *MSB-replicate*[simp]:  $MSB \ (replicate \ n \ P) = (if \ P = \{\|\} \vee n = 0 \ then \ 0 \ else \ Suc \ (fMax \ P))$   
**by** (*induct n*) *auto*

**typedef** *interp* =  
 $\{(n :: nat, \ I1 :: nat \ fset \ list, \ I2 :: nat \ fset \ list) \mid n \ I1 \ I2. \ MSB \ (I1 \ @ \ I2) \leq n\}$   
**by** *auto*

**setup-lifting** *type-definition-interp*

**lift-definition** *assigns* ::  $nat \Rightarrow interp \Rightarrow order \Rightarrow nat \ fset \ (- - [900, 999, 999]$   
 $999)$

**is**  $\lambda n \ (-, \ I1, \ I2) \ ord. \ case \ ord \ of \ FO \Rightarrow \ if \ n < length \ I1 \ then \ I1 \ ! \ n \ else \ \{\|\}$   
 $\mid \ SO \Rightarrow \ if \ n < length \ I2 \ then \ I2 \ ! \ n \ else \ \{\|\} \ .$

**lift-definition** *nvars* ::  $interp \Rightarrow idx \ (\#_V - [1000] \ 900)$

**is**  $\lambda(-, \ I1, \ I2). \ (length \ I1, \ length \ I2) \ .$

**lift-definition** *Length* ::  $interp \Rightarrow nat$

**is**  $\lambda(n, \ -, \ -). \ n \ .$

**lift-definition** *Extend* ::  $order \Rightarrow nat \Rightarrow interp \Rightarrow nat \ fset \Rightarrow interp$

**is**  $\lambda ord \ i \ (n, \ I1, \ I2) \ P. \ case \ ord \ of$

$FO \Rightarrow (max \ n \ (if \ P = \{\|\} \ then \ 0 \ else \ Suc \ (fMax \ P)), \ insert-nth \ i \ P \ I1, \ I2)$   
 $\mid \ SO \Rightarrow (max \ n \ (if \ P = \{\|\} \ then \ 0 \ else \ Suc \ (fMax \ P)), \ I1, \ insert-nth \ i \ P \ I2)$

**using** *MSB-mono* **by** (*auto simp del: insert-nth-take-drop split: order.splits*)

**lift-definition** *CONS* ::  $(bool \ list \times bool \ list) \Rightarrow interp \Rightarrow interp$

**is**  $\lambda(bs1, \ bs2) \ (n, \ I1, \ I2).$

$(Suc \ n, \ map-index \ (lift \ bs1) \ I1, \ map-index \ (lift \ bs2) \ I2)$

**by** *auto*

**lift-definition** *SNOC* ::  $(bool \ list \times bool \ list) \Rightarrow interp \Rightarrow interp$

**is**  $\lambda(bs1, bs2) (n, I1, I2).$   
 $(Suc\ n, map-index\ (snoc\ n\ bs1)\ I1, map-index\ (snoc\ n\ bs2)\ I2)$   
**by**  $(auto\ simp: Let-def)$

**abbreviation**  $enc-atom-bool\ I\ n \equiv map\ (\lambda P. n \in P)\ I$

**abbreviation**  $enc-atom\ I1\ I2\ n \equiv (enc-atom-bool\ I1\ n, enc-atom-bool\ I2\ n)$

**type-synonym**  $atom = bool\ list \times bool\ list$

**lift-definition**  $enc :: interp \Rightarrow atom\ list$   
**is**  $\lambda(n, I1, I2). let\ m = MSB\ (I1\ @\ I2)\ in$   
 $map\ (enc-atom\ I1\ I2)\ [0 ..< m]\ @$   
 $replicate\ (n - m)\ (replicate\ (length\ I1)\ False, replicate\ (length\ I2)\ False) .$

**lift-definition**  $zero :: idx \Rightarrow atom$   
**is**  $\lambda(m, n). (replicate\ m\ False, replicate\ n\ False) .$

**definition**  $extend\ ord\ b \equiv$   
 $\lambda(bs1, bs2). case\ ord\ of\ FO \Rightarrow (b \# bs1, bs2) \mid SO \Rightarrow (bs1, b \# bs2)$

**lift-definition**  $size-atom :: bool\ list \times bool\ list \Rightarrow idx$   
**is**  $\lambda(bs1, bs2). (length\ bs1, length\ bs2) .$

**type-synonym**  $fo = nat$   
**type-synonym**  $so = nat$

**datatype-new**  $ws1s =$   
 $Q\ fo \mid$   
 $Less\ fo\ fo \mid LessF\ fo\ fo \mid LessT\ fo\ fo \mid$   
 $In\ fo\ so \mid InT\ fo\ so$

**datatype-compact**  $ws1s$   
**derive**  $linorder\ option$   
**derive**  $linorder\ ws1s$   
**type-synonym**  $formula = (ws1s, order)\ aformula$

**primrec**  $wf0\ where$   
 $wf0\ idx\ (Q\ m) = LESS\ FO\ m\ idx$   
 $\mid wf0\ idx\ (Less\ m1\ m2) = (LESS\ FO\ m1\ idx \wedge LESS\ FO\ m2\ idx)$   
 $\mid wf0\ idx\ (LessF\ m1\ m2) = (LESS\ FO\ m1\ idx \wedge LESS\ FO\ m2\ idx)$   
 $\mid wf0\ idx\ (LessT\ m1\ m2) = (LESS\ FO\ m1\ idx \wedge LESS\ FO\ m2\ idx)$   
 $\mid wf0\ idx\ (In\ m\ M) = (LESS\ FO\ m\ idx \wedge LESS\ SO\ M\ idx)$   
 $\mid wf0\ idx\ (InT\ m\ M) = (LESS\ FO\ m\ idx \wedge LESS\ SO\ M\ idx)$

**fun**  $left-formula0\ where$   
 $left-formula0\ x = True$

**fun**  $FV0\ where$   
 $FV0\ (Q\ m) = [(FO, m)]$   
 $\mid FV0\ (Less\ m1\ m2) = List.insert\ (FO, m1)\ [(FO, m2)]$

```

| FV0 (LessF m1 m2) = List.insert (FO, m1) [(FO, m2)]
| FV0 (LessT m1 m2) = List.insert (FO, m1) [(FO, m2)]
| FV0 (In m M) = [(FO, m), (SO, M)]
| FV0 (InT m M) = [(FO, m), (SO, M)]

```

**fun find0 where**

```

  find0 FO i (Q m) = (i = m)
| find0 FO i (Less m1 m2) = (i = m1 ∨ i = m2)
| find0 FO i (LessF m1 m2) = (i = m1 ∨ i = m2)
| find0 FO i (LessT m1 m2) = (i = m1 ∨ i = m2)
| find0 FO i (In m -) = (i = m)
| find0 SO i (In - M) = (i = M)
| find0 FO i (InT m -) = (i = m)
| find0 SO i (InT - M) = (i = M)
| find0 - - = False

```

**primrec decr0 where**

```

  decr0 ord k (Q m) = Q (case-order (dec k) id ord m)
| decr0 ord k (Less m1 m2) = Less (case-order (dec k) id ord m1) (case-order (dec
k) id ord m2)
| decr0 ord k (LessF m1 m2) = LessF (case-order (dec k) id ord m1) (case-order
(dec k) id ord m2)
| decr0 ord k (LessT m1 m2) = LessT (case-order (dec k) id ord m1) (case-order
(dec k) id ord m2)
| decr0 ord k (In m M) = In (case-order (dec k) id ord m) (case-order id (dec k)
ord M)
| decr0 ord k (InT m M) = InT (case-order (dec k) id ord m) (case-order id (dec
k) ord M)

```

**primrec satisfies0 where**

```

  satisfies0 A (Q m) = (mAFO ≠ {||})
| satisfies0 A (Less m1 m2) =
  (let P1 = m1AFO; P2 = m2AFO in if P1 = {||} ∨ P2 = {||} then False else
fMin P1 < fMin P2)
| satisfies0 A (LessF m1 m2) =
  (let P1 = m1AFO; P2 = m2AFO in
  if P1 = {||} then False else if P2 = {||} then True else fMin P1 < fMin P2)
| satisfies0 A (LessT m1 m2) =
  (let P1 = m1AFO; P2 = m2AFO in
  if P2 = {||} then True else if P1 = {||} then False else fMin P1 < fMin P2)
| satisfies0 A (In m M) =
  (let P = mAFO in if P = {||} then False else fMin P |∈ MASO)
| satisfies0 A (InT m M) =
  (let P = mAFO in if P = {||} then True else fMin P |∈ MASO)

```

**fun lderiv0 where**

```

  lderiv0 (bs1, bs2) (Q m) = (if bs1 ! m then FBool True else FBase (Q m))
| lderiv0 (bs1, bs2) (Less m1 m2) = (case (bs1 ! m1, bs1 ! m2) of
  (False, False) ⇒ FBase (Less m1 m2)

```

```

| (True, False) ⇒ FBase (Q m2)
| - ⇒ FBool False)
| lderiv0 (bs1, bs2) (LessF m1 m2) = (case (bs1 ! m1, bs1 ! m2) of
  (False, False) ⇒ FBase (LessF m1 m2)
| (True, False) ⇒ FBool True
| - ⇒ FBool False)
| lderiv0 (bs1, bs2) (LessT m1 m2) = (case (bs1 ! m1, bs1 ! m2) of
  (False, False) ⇒ FBase (LessT m1 m2)
| (True, False) ⇒ FBool True
| - ⇒ FBool False)
| lderiv0 (bs1, bs2) (In m M) = (case (bs1 ! m, bs2 ! M) of
  (False, -) ⇒ FBase (In m M)
| (True, True) ⇒ FBool True
| - ⇒ FBool False)
| lderiv0 (bs1, bs2) (InT m M) = (case (bs1 ! m, bs2 ! M) of
  (False, -) ⇒ FBase (InT m M)
| (True, True) ⇒ FBool True
| - ⇒ FBool False)

```

**fun rderiv0 where**

```

  rderiv0 (bs1, bs2) (Q m) = (if bs1 ! m then FBool True else FBase (Q m))
| rderiv0 (bs1, bs2) (Less m1 m2) = (case bs1 ! m2 of
  False ⇒ FBase (Less m1 m2)
| True ⇒ FBase (LessF m1 m2))
| rderiv0 (bs1, bs2) (LessF m1 m2) = (case (bs1 ! m1, bs1 ! m2) of
  (True, False) ⇒ FBase (LessT m1 m2)
| - ⇒ FBase (LessF m1 m2))
| rderiv0 (bs1, bs2) (LessT m1 m2) = (case bs1 ! m2 of
  False ⇒ FBase (LessT m1 m2)
| True ⇒ FBase (LessF m1 m2))
| rderiv0 (bs1, bs2) (In m M) = (case (bs1 ! m, bs2 ! M) of
  (True, True) ⇒ FBase (InT m M)
| - ⇒ FBase (In m M))
| rderiv0 (bs1, bs2) (InT m M) = (case (bs1 ! m, bs2 ! M) of
  (True, False) ⇒ FBase (In m M)
| - ⇒ FBase (InT m M))

```

**fun nullable0 where**

```

  nullable0 (Q m) = False
| nullable0 (Less m1 m2) = False
| nullable0 (LessF m1 m2) = False
| nullable0 (LessT m1 m2) = True
| nullable0 (In m M) = False
| nullable0 (InT m M) = True

```

**lift-definition**  $\sigma :: \text{idx} \Rightarrow \text{atom list}$

**is**  $(\lambda(n, N). \text{map } (\lambda bs. (\text{take } n \text{ bs}, \text{drop } n \text{ bs})) (\text{List.n-lists } (n + N) [\text{True}, \text{False}])))$  .

## 10 Interpretation

**lemma** *fMin-fimage-Suc[simp]*:  $x \in A \implies fMin (Suc \mid A) = Suc (fMin A)$   
**by** (rule *fMin-eqI*) (auto intro: *fMin-in*)

**lemma** *fMin-eq-0[simp]*:  $0 \in A \implies fMin A = (0 :: nat)$   
**by** (rule *fMin-eqI*) auto

**lemma** *insert-nth-Cons[simp]*:  
 $insert\_nth\ i\ x\ (y \# xs) = (case\ i\ of\ 0 \Rightarrow x \# y \# xs \mid Suc\ i \Rightarrow y \# insert\_nth\ i\ x\ xs)$   
**by** (cases *i*) *simp-all*

**lemma** *insert-nth-commute[simp]*:  
**assumes**  $j \leq i \leq length\ xs$   
**shows**  $insert\_nth\ j\ y\ (insert\_nth\ i\ x\ xs) = insert\_nth\ (Suc\ i)\ x\ (insert\_nth\ j\ y\ xs)$   
**using** *assms* **by** (induct *xs* arbitrary: *i j*) (auto *simp* del: *insert-nth-take-drop* *split: nat.splits*)

**lemma** *SUC-SUC[simp]*:  $SUC\ ord\ (SUC\ ord'\ idx) = SUC\ ord'\ (SUC\ ord\ idx)$   
**by** *transfer* (auto *split: order.splits*)

**lemma** *LESS-SUC[simp]*:  
 $LESS\ ord\ 0\ (SUC\ ord\ idx)$   
 $LESS\ ord\ (Suc\ l)\ (SUC\ ord\ idx) = LESS\ ord\ l\ idx$   
 $ord \neq ord' \implies LESS\ ord\ l\ (SUC\ ord'\ idx) = LESS\ ord\ l\ idx$   
 $LESS\ ord\ l\ idx \implies LESS\ ord\ l\ (SUC\ ord'\ idx)$   
**by** (*transfer*, *force split: order.splits*)+

**lemma** *nvars-Extend[simp]*:  
 $\#_V\ (Extend\ ord\ i\ \mathfrak{A}\ P) = SUC\ ord\ (\#_V\ \mathfrak{A})$   
**by** (*transfer*, *force split: order.splits*)

**lemma** *Length-Extend[simp]*:  
 $Length\ (Extend\ k\ i\ \mathfrak{A}\ P) = max\ (Length\ \mathfrak{A})\ (if\ P = \{\mid\} \text{ then } 0 \text{ else } Suc\ (fMax\ P))$   
**unfolding** *max-def* **by** (*split if-splits*, *transfer*) (*force split: order.splits*)

**lemma** *assigns-Extend[simp]*:  
 $LEQ\ ord\ i\ (\#_V\ \mathfrak{A}) \implies m^{Extend\ ord\ i\ \mathfrak{A}\ P\ ord} = (if\ m = i \text{ then } P \text{ else } dec\ i\ m^{\mathfrak{A}\ ord})$   
 $ord \neq ord' \implies m^{Extend\ ord\ i\ \mathfrak{A}\ P\ ord'} = m^{\mathfrak{A}\ ord'}$   
**by** (*transfer*, *force simp: dec-def min-def nth-append split: order.splits*)+

**lemma** *Extend-commute-safe[simp]*:  
 $\llbracket j \leq i; LEQ\ ord\ i\ (\#_V\ \mathfrak{A}) \rrbracket \implies$   
 $Extend\ ord\ j\ (Extend\ ord\ i\ \mathfrak{A}\ P1)\ P2 = Extend\ ord\ (Suc\ i)\ (Extend\ ord\ j\ \mathfrak{A}\ P2)\ P1$   
**by** (*transfer*,  
*force simp del: insert-nth-take-drop simp: replicate-add[symmetric] split: or-*



*der.splits*)

**lemma** *Extend-commute-unsafe*:

*ord*  $\neq$  *ord'*  $\implies$  *Extend* *ord* *j* (*Extend* *ord'* *i*  $\mathfrak{A}$  *P1*) *P2* = *Extend* *ord'* *i* (*Extend* *ord* *j*  $\mathfrak{A}$  *P2*) *P1*

**by** (*transfer*, *force simp: replicate-add[symmetric]* *split: order.splits*)

**lemma** *Length-CONS[simp]*:

*Length* (*CONS* *x*  $\mathfrak{A}$ ) = *Suc* (*Length*  $\mathfrak{A}$ )

**by** (*transfer*, *force split: order.splits*)

**lemma** *Length-SNOC[simp]*:

*Length* (*SNOC* *x*  $\mathfrak{A}$ ) = *Suc* (*Length*  $\mathfrak{A}$ )

**by** (*transfer*, *force simp: Let-def split: order.splits*)

**lemma** *nvars-CONS[simp]*:

$\#_V$  (*CONS* *x*  $\mathfrak{A}$ ) =  $\#_V$   $\mathfrak{A}$

**by** (*transfer*, *force*)

**lemma** *nvars-SNOC[simp]*:

$\#_V$  (*SNOC* *x*  $\mathfrak{A}$ ) =  $\#_V$   $\mathfrak{A}$

**by** (*transfer*, *force simp: Let-def*)

**lemma** *assigns-CONS[simp]*:

**assumes**  $\#_V$   $\mathfrak{A}$  = *size-atom* *bs1-bs2*

**shows** *LESS* *ord* *x* ( $\#_V$   $\mathfrak{A}$ )  $\implies$   $x^{CONS\ bs1-bs2\ \mathfrak{A}\ ord} =$

(*if split case-order* *bs1-bs2* *ord* ! *x* *then fininsert* 0 (*upshift* ( $x^{\mathfrak{A}\ ord}$ )) *else upshift* ( $x^{\mathfrak{A}\ ord}$ ))

**by** (*insert assms, transfer*) (*auto simp: lift-def split: order.splits*)

**lemma** *assigns-SNOC[simp]*:

**assumes**  $\#_V$   $\mathfrak{A}$  = *size-atom* *bs1-bs2*

**shows** *LESS* *ord* *x* ( $\#_V$   $\mathfrak{A}$ )  $\implies$   $x^{SNOC\ bs1-bs2\ \mathfrak{A}\ ord} =$

(*if split case-order* *bs1-bs2* *ord* ! *x* *then fininsert* (*Length*  $\mathfrak{A}$ ) ( $x^{\mathfrak{A}\ ord}$ ) *else*  $x^{\mathfrak{A}\ ord}$ )

**by** (*insert assms, transfer*) (*force simp: snoc-def Let-def split: order.splits*)

**lemma** *map-index'-eq-conv[simp]*:

*map-index'* *i* *f* *xs* = *map-index'* *j* *g* *xs* = ( $\forall k < \text{length } xs. f\ (i + k)\ (xs\ !\ k) = g\ (j + k)\ (xs\ !\ k)$ )

**proof** (*induct* *xs* *arbitrary: i j*)

**case** *Cons* **from** *Cons* (1) [*of* *Suc* *i* *Suc* *j*] **show** ?*case* **by** (*auto simp: nth-Cons split: nat.splits*)

**qed** *simp*

**lemma** *fMax-Diff-0[simp]*: *Suc* *m*  $\in$  | *P*  $\implies$  *fMax* (*P* | - |  $\{|0|\}$ ) = *fMax* *P*

**by** (*rule fMax-eqI*) (*auto intro: fMax-in dest: fMax-ge*)

**lemma** *Suc-fMax-pred-fimage[simp]*:

**assumes** *Suc* *p*  $\in$  | *P* 0  $\notin$  | *P*

**shows**  $Suc (fMax ((\lambda x. x - Suc\ 0) \mid' \mid P)) = fMax\ P$   
**using** *assms* **by** (*subst mono-fMax-commute*[of *Suc*, *unfolded mono-def*, *simplified*]) (*auto simp: o-def*)

**lemma** *Extend-CONS*[*simp*]:  $\#_V \mathfrak{A} = size\text{-}atom\ x \implies Extend\ ord\ 0\ (CONS\ x\ \mathfrak{A})$   
 $P =$   
 $CONS\ (extend\ ord\ (eval\ P\ 0)\ x)\ (Extend\ ord\ 0\ \mathfrak{A}\ (downshift\ P))$   
**by** *transfer* (*auto simp: extend-def o-def gr0-conv-Suc*  
*mono-fMax-commute*[of *Suc*, *symmetric*, *unfolded mono-def*, *simplified*]  
*lift-def upshift-def downshift-def eval-def*  
*dest!: fsubset-fsingletonD split: order.splits*)

**lemma** *size-atom-extend*[*simp*]:  
 $size\text{-}atom\ (extend\ ord\ b\ x) = SUC\ ord\ (size\text{-}atom\ x)$   
**unfolding** *extend-def* **by** *transfer* (*simp split: prod.splits order.splits*)

**lemma** *size-atom-zero*[*simp*]:  
 $size\text{-}atom\ (zero\ idx) = idx$   
**unfolding** *extend-def* **by** *transfer* (*simp split: prod.splits order.splits*)

**lemma** *interp-eqI*:  
 $\llbracket Length\ \mathfrak{A} = Length\ \mathfrak{B}; \#_V \mathfrak{A} = \#_V \mathfrak{B}; \bigwedge m\ k. LESS\ k\ m\ (\#_V \mathfrak{A}) \implies m^{\mathfrak{A}}k = m^{\mathfrak{B}}k \rrbracket \implies \mathfrak{A} = \mathfrak{B}$   
**by** *transfer* (*force split: order.splits intro!: nth-equalityI*)

**lemma** *Extend-SNOC-cut*[*unfolded eval-def cut-def Length-SNOC, simp*]:  
 $\llbracket len\ P \leq Length\ (SNOC\ x\ \mathfrak{A}); \#_V \mathfrak{A} = size\text{-}atom\ x \rrbracket \implies$   
 $Extend\ ord\ 0\ (SNOC\ x\ \mathfrak{A})\ P =$   
 $SNOC\ (extend\ ord\ (if\ eval\ P\ (Length\ \mathfrak{A})\ then\ True\ else\ False)\ x)\ (Extend\ ord\ 0\ \mathfrak{A}\ (cut\ (Length\ \mathfrak{A})\ P))$   
**by** *transfer* (*fastforce simp: extend-def len-def cut-def ffilter-eq-fempty-iff snoc-def eval-def*  
*split: if-splits order.splits dest: fMax-ge fMax-boundedD intro: fMax-in*)

**lemma** *nth-replicate-simp*:  $replicate\ m\ x\ !\ i = (if\ i < m\ then\ x\ else\ []\ !\ (i - m))$   
**by** (*induct m arbitrary: i*) (*auto simp: nth-Cons'*)

**lemma** *MSB-eq-SucD*:  $MSB\ Is = Suc\ x \implies \exists P \in set\ Is. x \mid \in P$   
**using** *Max-in*[of  $\bigcup x \in set\ Is. fset\ x$ ]  
**unfolding** *MSB-def* **by** (*force simp: fmember-def split: if-splits*)

**lemma** *last-enc-atom-MSB*:  
**fixes** *I1 I2*  
**defines**  $xs \equiv map\ (enc\text{-}atom\ I1\ I2)\ [0..<MSB\ (I1\ @\ I2)]$   
**assumes**  $m = length\ I1 = length\ I2\ xs \neq []$   
**shows**  $last\ xs \neq (replicate\ m\ False, replicate\ n\ False)$   
**proof** (*rule ccontr, unfold not-not*)  
**assume**  $last\ xs = (replicate\ m\ False, replicate\ n\ False)$   
**moreover from**  $\langle xs \neq [] \rangle$  **obtain** *i* **where**  $i: MSB\ (I1\ @\ I2) = Suc\ i$

**unfolding**  $xs\text{-def}$  **by** (auto simp: gr0-conv-Suc)  
**ultimately have**  $enc\text{-atom } I1\ I2\ i = (\text{replicate } m\ False, \text{replicate } n\ False)$   
**unfolding**  $xs\text{-def}$  **by** auto  
**with**  $i$  **show**  $False$   
**by** (auto simp: list-eq-iff-nth-eq max-def in-set-conv-nth dest!: MSB-eq-SucD  
split: if-splits)  
**qed**

**lemma**  $append\text{-replicate-inj}$ :

**assumes**  $xs \neq [] \implies last\ xs \neq x$  **and**  $ys \neq [] \implies last\ ys \neq x$   
**shows**  $xs @ replicate\ m\ x = ys @ replicate\ n\ x \longleftrightarrow (xs = ys \wedge m = n)$   
**proof** safe  
**from**  $assms$  **have**  $assms'$ :  $xs \neq [] \implies rev\ xs ! 0 \neq x$   $ys \neq [] \implies rev\ ys ! 0 \neq x$   
**by** (auto simp: hd-rev hd-conv-nth[symmetric])  
**assume**  $*$ :  $xs @ replicate\ m\ x = ys @ replicate\ n\ x$   
**then have**  $rev\ (xs @ replicate\ m\ x) = rev\ (ys @ replicate\ n\ x)$  ..  
**then have**  $replicate\ m\ x @ rev\ xs = replicate\ n\ x @ rev\ ys$  **by** simp  
**then have**  $take\ (max\ m\ n)\ (replicate\ m\ x @ rev\ xs) = take\ (max\ m\ n)\ (replicate\ n\ x @ rev\ ys)$  **by** simp  
**then have**  $replicate\ m\ x @ take\ (max\ m\ n - m)\ (rev\ xs) =$   
 $replicate\ n\ x @ take\ (max\ m\ n - n)\ (rev\ ys)$  **by** (auto simp: min-def max-def  
split: if-splits)  
**then have**  $(replicate\ m\ x @ take\ (max\ m\ n - m)\ (rev\ xs)) ! min\ m\ n =$   
 $(replicate\ n\ x @ take\ (max\ m\ n - n)\ (rev\ ys)) ! min\ m\ n$  **by** simp  
**with**  $arg\text{-cong}[OF\ *,\ of\ length,\ simplified]\ assms'$  **show**  $m = n$   
**by** (cases  $xs = []\ ys = []$  rule: bool.exhaust[case-product bool.exhaust])  
(auto simp: min-def nth-append split: if-splits)  
**with**  $*$  **show**  $xs = ys$  **by** auto  
**qed**

**lemma**  $enc\text{-inj}[simp]$ :  $\#_V\ \mathfrak{A} = \#_V\ \mathfrak{B} \implies enc\ \mathfrak{A} = enc\ \mathfrak{B} \implies \mathfrak{A} = \mathfrak{B}$

**using**  $MSB\text{-greater}$   
**by** transfer (elim exE conjE, hypsubst, unfold prod.case Pair-eq Let-def, elim  
conjE, simp only;  
subst (asm) append-replicate-inj,  
erule (2) last-enc-atom-MSB[OF sym sym], erule last-enc-atom-MSB[OF refl  
refl],  
auto simp: list-eq-iff-nth-eq, (metis less-max-iff-disj)+)

**lemma**  $length\text{-enc}[simp]$ :  $length\ (enc\ \mathfrak{A}) = Length\ \mathfrak{A}$

**by** transfer (auto simp: Let-def)

**lemma**  $in\text{-set-encD}[simp]$ :

$x \in set\ (enc\ \mathfrak{A}) \implies \#_V\ \mathfrak{A} = size\text{-atom}\ x$   
**by** transfer (auto simp: Let-def split: if-splits)

**lemma**  $fin\text{-lift}[simp]$ :  $m \in | lift\ bs\ i\ (I ! i) \longleftrightarrow (case\ m\ of\ 0 \Rightarrow bs ! i \mid Suc\ m \Rightarrow m \in | I ! i)$

**unfolding**  $lift\text{-def}\ upshift\text{-def}$  **by** (auto split: nat.splits)

**lemma** *enc-CONS*[simp]:  
**assumes**  $\#_V \mathfrak{A} = \text{size-atom } x$   
**shows**  $\text{enc } (\text{CONS } x \ \mathfrak{A}) = x \ \# \ \text{enc } \mathfrak{A}$   
**using** *assms* **by** *transfer (fastforce simp add: Let-def nth-append nth-Cons simp del: upt-conv-Nil diff-is-0-eq' atLeastLessThan-empty split: prod.splits nat.splits intro!: nth-equalityI)*

**lemma** *ex-Length-0*[simp]:  
 $\exists \mathfrak{A}. \text{Length } \mathfrak{A} = 0 \wedge \#_V \mathfrak{A} = \text{id}x$   
**by** *transfer (auto intro!: exI[of - replicate m {||}] for m)*

**lemma** *is-empty-inj*[simp]:  $\llbracket \text{Length } \mathfrak{A} = 0; \text{Length } \mathfrak{B} = 0; \#_V \mathfrak{A} = \#_V \mathfrak{B} \rrbracket \implies \mathfrak{A} = \mathfrak{B}$   
**by** *transfer (simp add: list-eq-iff-nth-eq split: prod.splits,metis MSB-greater fMax-in less-nat-zero-code)*

**lemma** *set-σ-length-atom*[simp]:  $(x \in \text{set } (\sigma \ \text{id}x)) \longleftrightarrow \text{id}x = \text{size-atom } x$   
**by** *transfer (auto simp: set-n-lists enum-UNIV image-iff intro!: exI[of - I1 @ I2] for I1 I2)*

**lemma** *fMin-less-Length*[simp]:  $x \in | \ m1^{\mathfrak{A}}k \implies \text{fMin } (m1^{\mathfrak{A}}k) < \text{Length } \mathfrak{A}$   
**by** *transfer (force elim: order.strict-trans2[OF MSB-greater, rotated -1] intro: fMin-in split: order.splits)*

**lemma** *min-Length-fMin*[simp]:  $x \in | \ m1^{\mathfrak{A}}k \implies \min (\text{Length } \mathfrak{A}) (\text{fMin } (m1^{\mathfrak{A}}k)) = \text{fMin } (m1^{\mathfrak{A}}k)$   
**using** *fMin-less-Length[of x m1  $\mathfrak{A}$  k] unfolding fMin-def by auto*

**lemma** *assigns-less-Length*[simp]:  $x \in | \ m1^{\mathfrak{A}}k \implies x < \text{Length } \mathfrak{A}$   
**by** *transfer (force dest: MSB-greater split: order.splits if-splits)*

**lemma** *Length-notin-assigns*[simp]:  $\text{Length } \mathfrak{A} \notin | \ m^{\mathfrak{A}}k$   
**by** *(metis assigns-less-Length less-not-refl)*

**lemma** *nth-zero*[simp]:  $\text{LESS } \text{ord } m (\#_V \mathfrak{A}) \implies \neg \text{split case-order } (\text{zero } (\#_V \mathfrak{A}))$   
 $\text{ord } ! \ m$   
**by** *transfer (auto split: order.splits)*

**lemma** *in-fimage-Suc*[simp]:  $x \in | \ \text{Suc } |' \ A \longleftrightarrow (\exists y. y \in | \ A \wedge x = \text{Suc } y)$   
**by** *blast*

**lemma** *fimage-Suc-inj*[simp]:  $\text{Suc } |' \ A = \text{Suc } |' \ B \longleftrightarrow A = B$   
**by** *blast*

**lemma** *MSB-eq0-D*:  $\text{MSB } I = 0 \implies x < \text{length } I \implies I ! x = \{||\}$   
**unfolding** *MSB-def* **by** *(auto split: if-splits)*

**lemma** *Suc-in-fimage-Suc*:  $Suc\ x \mid\in\ Suc\ \mid'\ X \longleftrightarrow x \mid\in\ X$   
**by** *auto*

**lemma** *Suc-in-fimage-Suc-o-Suc[simp]*:  $Suc\ x \mid\in\ (Suc\ o\ Suc)\ \mid'\ X \longleftrightarrow x \mid\in\ Suc\ \mid'\ X$   
**by** *auto*

**lemma** *finsert-same-eq-iff[simp]*:  $finsert\ k\ X = finsert\ k\ Y \longleftrightarrow X \mid-\mid\ \{|k|\} = Y \mid-\mid\ \{|k|\}$   
**by** *auto*

**lemma** *fimage-Suc-o-Suc-eq-fimage-Suc-iff[simp]*:  
 $((Suc\ o\ Suc)\ \mid'\ X = Suc\ \mid'\ Y) \longleftrightarrow (Suc\ \mid'\ X = Y)$   
**by** *(metis fimage-Suc-inj fset.map-comp)*

**lemma** *fMax-image-Suc[simp]*:  $x \mid\in\ P \implies fMax\ (Suc\ \mid'\ P) = Suc\ (fMax\ P)$   
**by** *(rule fMax-eqI) (metis Suc-le-mono fMax-ge fimageE, metis fimageI fempty-iff fMax-in)*

**lemma** *len-downshift-helper*:

$x \mid\in\ P \implies Suc\ (fMax\ ((\lambda x. x - Suc\ 0)\ \mid'\ (P \mid-\mid\ \{|0|\}))) \neq fMax\ P \implies xa \mid\in\ P \implies xa = 0$

**proof** –

**assume** *a1*:  $xa \mid\in\ P$

**assume** *a2*:  $Suc\ (fMax\ ((\lambda x. x - Suc\ 0)\ \mid'\ (P \mid-\mid\ \{|0|\}))) \neq fMax\ P$

**have**  $xa \mid\in\ \{|0|\} \longrightarrow xa = 0$  **by** *fastforce*

**moreover**

**{ assume**  $xa \notin \{|0|\}$

**hence**  $0 \notin P \mid-\mid\ \{|0|\} \wedge xa \notin \{|0|\}$  **by** *blast*

**then obtain**  $esk1_1 :: nat \Rightarrow nat$  **where**  $xa = 0$  **using** *a1 a2* **by** *(metis Suc-fMax-pred-fimage fMax-Diff-0 fminus-iff not0-implies-Suc)* }

**ultimately show**  $xa = 0$  **by** *blast*

**qed**

**declare**  $[[goals-limit = 50]]$

**definition** *restrict ord*  $P = (case\ ord\ of\ FO \Rightarrow P \neq \{||\} \mid SO \Rightarrow True)$

**definition** *Restrict ord*  $i = (case\ ord\ of\ FO \Rightarrow FBase\ (Q\ i) \mid SO \Rightarrow FBool\ True)$

**permanent-interpretation** *WS1S*: *Word-Formula SUC LESS assigns nvars Extend CONS SNOC Length*

*extend size-atom zero eval downshift upshift finsert cut len restrict Restrict*

*left-formula0 FV0 find0 wf0 decr0 satisfies0 nullable0 lderiv0 rderiv0 undefined enc  $\sigma$  ZERO*

**defining**  $norm = Formula-Operations.norm\ find0\ decr0$

**and**  $nFOr = Formula-Operations.nFOr :: formula \Rightarrow -$

**and**  $nFAnd = Formula-Operations.nFAnd :: formula \Rightarrow -$

**and**  $nFNot = Formula-Operations.nFNot :: formula \Rightarrow -$

```

and nFEx = Formula-Operations.nFEx find0 decr0
and nFAll = Formula-Operations.nFAll find0 decr0
and decr = Formula-Operations.decr decr0 :: - ⇒ - ⇒ formula ⇒ -
and find = Formula-Operations.find find0 :: - ⇒ - ⇒ formula ⇒ -
and FV = Formula-Operations.FV FV0
and RESTR = Formula-Operations.RESTR Restrict
and RESTRICT = Formula-Operations.RESTRICK Restrict FV0
and deriv =  $\lambda d0 (a :: atom) (\varphi :: formula). Formula-Operations.deriv \text{ extend } d0$ 
 $a \varphi$ 
and nullable =  $\lambda \varphi :: formula. Formula-Operations.nullable \text{ nullable0 } \varphi$ 
and fut-default = Formula.fut-default extend zero rderiv0
and fut = Formula.fut extend zero find0 decr0 rderiv0
and finalize = Formula.finalize SUC extend zero find0 decr0 rderiv0
and final = Formula.final SUC extend zero find0 decr0
  nullable0 rderiv0 :: idx ⇒ formula ⇒ -
and ws1s-wf = Formula-Operations.wf SUC (wf0 :: idx ⇒ ws1s ⇒ -)
and ws1s-left-formula = Formula-Operations.left-formula left-formula0 :: formula
 $\Rightarrow -$ 
and check-equiv =  $\lambda idx. DA.check-equiv (\sigma \ idx)$ 
   $(\lambda \varphi. norm (RESTRICT \ \varphi) :: (ws1s, order) \ aformula)$ 
   $(\lambda a \ \varphi. norm (deriv (lderiv0 :: - \Rightarrow - \Rightarrow formula) (a :: atom) \ \varphi))$ 
   $(final \ idx) (\lambda \varphi :: formula. ws1s-wf \ idx \ \varphi \wedge ws1s-left-formula \ \varphi)$ 
and bounded-check-equiv =  $\lambda idx. DA.check-equiv (\sigma \ idx)$ 
   $(\lambda \varphi. norm (RESTRICT \ \varphi) :: (ws1s, order) \ aformula)$ 
   $(\lambda a \ \varphi. norm (deriv (lderiv0 :: - \Rightarrow - \Rightarrow formula) (a :: atom) \ \varphi))$ 
  nullable  $(\lambda \varphi :: formula. ws1s-wf \ idx \ \varphi \wedge ws1s-left-formula \ \varphi)$ 
and automaton = DA.automaton
   $(\lambda a \ \varphi. norm (deriv \ lderiv0 (a :: atom) \ \varphi :: formula))$ 
proof
  fix k idx and a :: ws1s and l assume wf0 (SUC k idx) a LESS k l (SUC k idx)
   $\neg \text{ find0 } k \ l \ a$ 
  then show wf0 idx (decr0 k l a)
    by (induct a) (unfold wf0.simps decr0.simps find0.simps,
      (transfer, force simp: dec-def split: if-splits order.splits)+)
  next
    fix k and a :: ws1s and l assume left-formula0 a
    then show left-formula0 (decr0 k l a) by (induct a) simp-all
  next
    fix i k and a :: ws1s and A :: interp and P assume  $\neg \text{ find0 } k \ i \ a \text{ LESS } k \ i$ 
    (SUC k (#V A))
    then show satisfies0 (Extend k i A P) a = satisfies0 A (decr0 k i a)
    by (induct a) (auto split: if-splits order.splits)
  next
    fix idx and a :: ws1s and x assume wf0 idx a
    then show Formula-Operations.wf SUC wf0 idx (lderiv0 x a)
    by (induct rule: lderiv0.induct)
    (auto simp: Formula-Operations.wf.simps Let-def split: bool.splits order.splits)
  next
    fix a :: ws1s and x assume left-formula0 a

```

```

then show Formula-Operations.left-formula left-formula0 (lderiv0 x a)
  by (induct a rule: lderiv0.induct)
    (auto simp: Formula-Operations.left-formula.simps split: bool.splits)
next
  fix idx and a :: ws1s and x assume wf0 idx a
  then show Formula-Operations.wf SUC wf0 idx (rderiv0 x a)
    by (induct rule: lderiv0.induct)
      (auto simp: Formula-Operations.wf.simps Let-def split: bool.splits order.splits)
next
  fix ℳ :: interp and a :: ws1s
  assume Length ℳ = 0
  then show nullable0 a = satisfies0 ℳ a
    by (induct a, unfold wf0.simps nullable0.simps satisfies0.simps Let-def)
      (transfer, (auto 0 2 dest: MSB-greater split: prod.splits if-splits) []) +
next
  note Formula-Operations.satisfies-gen.simps[simp] Let-def[simp] upshift-def[simp]
  fix x :: atom and a :: ws1s and ℳ :: interp
  assume wf0 (#V ℳ) a #V ℳ = size-atom x
  then show Formula-Operations.satisfies Extend Length satisfies0 ℳ (lderiv0 x
a) = satisfies0 (CONS x ℳ) a
    proof (induct a)
      qed (auto split: prod.splits bool.splits)
next
  note Formula-Operations.satisfies-gen.simps[simp] Let-def[simp] upshift-def[simp]
  fix x :: atom and a :: ws1s and ℳ :: interp
  assume wf0 (#V ℳ) a #V ℳ = size-atom x
  then show Formula-Operations.satisfies-bounded Extend Length len satisfies0 ℳ
(lderiv0 x a) = satisfies0 (CONS x ℳ) a
    proof (induct a)
      qed (auto split: prod.splits bool.splits)
next
  note Formula-Operations.satisfies-gen.simps[simp] Let-def[simp]
  fix x :: atom and a :: ws1s and ℳ :: interp
  assume wf0 (#V ℳ) a #V ℳ = size-atom x
  then show Formula-Operations.satisfies-bounded Extend Length len satisfies0
ℳ (rderiv0 x a) = satisfies0 (SNOC x ℳ) a
    proof (induct a)
      case Less then show ?case by (auto split: prod.splits) (metis fMin-less-Length
less-not-sym)
      next
      case LessT then show ?case by (auto split: prod.splits) (metis fMin-less-Length
less-not-sym)
      next
      case LessF then show ?case by (auto split: prod.splits) (metis fMin-less-Length
less-not-sym)
      next
      case In then show ?case by (auto split: prod.splits) (metis fMin-less-Length
less-not-sym) +
      next

```

```

    case InT then show ?case by (auto split: prod.splits) (metis fMin-less-Length
less-not-sym)+
    qed (auto split: prod.splits)
next
  fix a :: ws1s and  $\mathfrak{A} \ \mathfrak{B} :: \text{interp}$ 
  assume wf0 ( $\#_V \ \mathfrak{B}$ ) a  $\#_V \ \mathfrak{A} = \#_V \ \mathfrak{B} (\bigwedge m \ k. \text{LESS } k \ m (\#_V \ \mathfrak{B}) \implies m^{\mathfrak{A}}_k = m^{\mathfrak{B}}_k)$ 
    left-formula0 a
  then show satisfies0  $\mathfrak{A} \ a \longleftrightarrow \text{satisfies0 } \mathfrak{B} \ a$  by (induct a) simp-all
next
  fix a :: ws1s
  let ?d = Formula-Operations.deriv extend lderiv0
  def  $\Phi \equiv \lambda a. (\text{case } a \text{ of}$ 
    |  $Q \ i \Rightarrow \{FBase \ (Q \ i), FBool \ True\}$ 
    |  $Less \ i \ j \Rightarrow \{FBase \ (Less \ i \ j), FBase \ (Q \ j), FBool \ True, FBool \ False\}$ 
    |  $LessT \ i \ j \Rightarrow \{FBase \ (LessT \ i \ j), FBool \ True, FBool \ False\}$ 
    |  $LessF \ i \ j \Rightarrow \{FBase \ (LessF \ i \ j), FBool \ True, FBool \ False\}$ 
    |  $In \ i \ I \Rightarrow \{FBase \ (In \ i \ I), FBool \ True, FBool \ False\}$ 
    |  $InT \ i \ I \Rightarrow \{FBase \ (InT \ i \ I), FBool \ True, FBool \ False\} :: (ws1s, order)$ 
  aformula set
  { fix xs
    note Formula-Operations.fold-deriv-FBool[simp] Formula-Operations.deriv.simps[simp]
   $\Phi$ -def[simp]
    have  $\forall a. \text{fold } ?d \ xs \ (FBase \ a) \in \Phi \ a$ 
    by (induct xs) (auto split: ws1s.splits bool.splits if-splits, metis+)
  }
  moreover have finite ( $\Phi \ a$ ) unfolding  $\Phi$ -def by (auto split: ws1s.splits)
  ultimately show finite {fold ?d xs (FBase a) | xs. True}
  by (blast intro: finite-subset)
next
  fix a :: ws1s
  let ?d = Formula-Operations.deriv extend rderiv0
  def  $\Phi \equiv \lambda a. (\text{case } a \text{ of}$ 
    |  $Q \ i \Rightarrow \{FBase \ (Q \ i), FBool \ True\}$ 
    |  $Less \ i \ j \Rightarrow \{FBase \ (Less \ i \ j), FBase \ (LessF \ i \ j), FBase \ (LessT \ i \ j)\}$ 
    |  $LessT \ i \ j \Rightarrow \{FBase \ (LessT \ i \ j), FBase \ (LessF \ i \ j)\}$ 
    |  $LessF \ i \ j \Rightarrow \{FBase \ (LessF \ i \ j), FBase \ (LessT \ i \ j)\}$ 
    |  $In \ i \ I \Rightarrow \{FBase \ (In \ i \ I), FBase \ (InT \ i \ I)\}$ 
    |  $InT \ i \ I \Rightarrow \{FBase \ (InT \ i \ I), FBase \ (In \ i \ I)\} :: (ws1s, order)$ 
  aformula set
  { fix x xs
    note Formula-Operations.fold-deriv-FBool[simp] Formula-Operations.deriv.simps[simp]
   $\Phi$ -def[simp]
    have  $\forall a. \text{fold } ?d \ xs \ (FBase \ a) \in \Phi \ a$ 
    by (induct xs) (auto split: ws1s.splits bool.splits if-splits, metis+)
  }
  moreover have finite ( $\Phi \ a$ ) unfolding  $\Phi$ -def by (auto split: ws1s.splits)
  ultimately show finite {fold ?d xs (FBase a) | xs. True}
  by (blast intro: finite-subset)
next

```



```

    fix k l and a :: ws1s
    show find0 k l a  $\longleftrightarrow$  (k, l)  $\in$  set (FV0 a) by (induct a rule: find0.induct) auto
next
    fix a :: ws1s
    show distinct (FV0 a) by (induct a) auto
next
    fix idx a k v
    assume wf0 idx a (k, v)  $\in$  set (FV0 a)
    then show LESS k v idx by (induct a) auto
next
    fix idx k i
    assume LESS k i idx
    then show Formula-Operations.wf SUC wf0 idx (Restrict k i)
        unfolding Restrict-def by (cases k) (auto simp: Formula-Operations.wf.simps)
next
    fix k i
    show Formula-Operations.left-formula left-formula0 (Restrict k i)
        unfolding Restrict-def by (cases k) (auto simp: Formula-Operations.left-formula.simps)
next
    fix i  $\mathfrak{A}$  k P
    assume  $i^{\mathfrak{A}}_k = P$ 
    then show restrict k P  $\longleftrightarrow$ 
        Formula-Operations.satisfies-gen Extend Length satisfies0 ( $\lambda$ - - . True)  $\mathfrak{A}$ 
        (Restrict k i)
        unfolding restrict-def Restrict-def
        by (cases k) (auto simp: Formula-Operations.satisfies-gen.simps)
next
    fix i  $\mathfrak{A}$  k P
    assume  $i^{\mathfrak{A}}_k = P$ 
    then show restrict k P  $\longleftrightarrow$ 
        Formula-Operations.satisfies-gen Extend Length satisfies0 ( $\lambda$ - P n. len P  $\leq$  n)
 $\mathfrak{A}$  (Restrict k i)
        unfolding restrict-def Restrict-def
        by (cases k) (auto simp: Formula-Operations.satisfies-gen.simps)
qed (auto simp: Extend-commute-unsafe downshift-def upshift-def fimage-iff Suc-le-eq
len-def
eval-def cut-def len-downshift-helper
dest: fMax-ge fMax-ffilter-less fMax-boundedD fsubset-fsingletonD
split: order.splits if-splits)

```

**lemma** [code]: check-equiv idx r s =  
 $((ws1s\text{-}wf\ idx\ r \wedge ws1s\text{-}left\text{-}formula\ r) \wedge (ws1s\text{-}wf\ idx\ s \wedge ws1s\text{-}left\text{-}formula\ s) \wedge$   
 $(case\ rtranc1\text{-}while\ (\lambda(p, q). final\ idx\ p = final\ idx\ q)$   
 $(\lambda(p, q). map\ (\lambda a. (norm\ (deriv\ lderiv0\ a\ p), norm\ (deriv\ lderiv0\ a\ q))))\ (\sigma$   
 $idx))$   
 $(norm\ (RESTRICT\ r), norm\ (RESTRICT\ s))\ of$   
 $None \Rightarrow False$   
 $| Some\ ([], x) \Rightarrow True$

| *Some* (*a* # *list*, *x*)  $\Rightarrow$  *False*)  
**unfolding** *check-equiv-def* *WS1S.check-equiv-def* ..

**definition** *while where* [*code del*, *code-abbrev*]: *while idx*  $\varphi$  = *while-default* (*fut-default* *idx*  $\varphi$ )  
**declare** *while-default-code*[*of fut-default idx*  $\varphi$  **for** *idx*  $\varphi$ , *folded while-def*, *code*]

**export-code** *check-equiv* **in** *SML module-name* *WS1S-Generated*

**lemma** *check-equiv-sound*:  
 $\llbracket \#_V \mathfrak{A} = \text{idx}; \text{check-equiv idx } \varphi \ \psi \rrbracket \implies (WS1S.sat \ \mathfrak{A} \ \varphi \longleftrightarrow WS1S.sat \ \mathfrak{A} \ \psi)$   
**unfolding** *check-equiv-def* **by** (*rule* *WS1S.check-equiv-soundness*)

**lemma** *bounded-check-equiv-sound*:  
 $\llbracket \#_V \mathfrak{A} = \text{idx}; \text{bounded-check-equiv idx } \varphi \ \psi \rrbracket \implies (WS1S.sat_b \ \mathfrak{A} \ \varphi \longleftrightarrow WS1S.sat_b \ \mathfrak{A} \ \psi)$   
**unfolding** *bounded-check-equiv-def* **by** (*rule* *WS1S.bounded-check-equiv-soundness*)

**end**

## 11 Examples

**abbreviation** (*input*) *FImp* **where** *FImp*  $\varphi \ \psi \equiv FOr \ (FNot \ \varphi) \ \psi$   
**abbreviation** *FIff* **where** *FIff*  $\varphi \ \psi \equiv FAnd \ (FImp \ \varphi \ \psi) \ (FImp \ \psi \ \varphi)$   
**abbreviation** *FBall* **where** *FBall*  $X \ \varphi \equiv FAll \ FO \ (FImp \ (FBase \ (In \ 0 \ X)) \ \varphi)$

**abbreviation** *SUBSET* **where** *SUBSET*  $X \ Y \equiv FBall \ X \ (FBase \ (In \ 0 \ Y))$   
**abbreviation** *EQ* **where** *EQ*  $X \ Y \equiv FAnd \ (SUBSET \ X \ Y) \ (SUBSET \ Y \ X)$   
**abbreviation** *First* **where** *First*  $x \equiv FNot \ (FEx \ FO \ (FBase \ (Less \ 0 \ (x+1))))$   
**abbreviation** *Last* **where** *Last*  $x \equiv FNot \ (FEx \ FO \ (FBase \ (Less \ (x+1) \ 0)))$   
**abbreviation** *Succ* **where** *Succ*  $sucx \ x \equiv FAnd \ (FBase \ (Less \ x \ sucx)) \ (FNot \ (FEx \ FO \ (FAnd \ (FBase \ (Less \ (x+1) \ 0)) \ (FBase \ (Less \ 0 \ (sucx+1))))))$

**definition** *Thm* *idx*  $\varphi$  = *check-equiv idx*  $\varphi \ (FBool \ True)$

**export-code** *Thm* **in** *SML module-name* *Thm*

**abbreviation** *FTrue* ( $\top$ ) **where** *FTrue*  $\equiv FBool \ True$   
**abbreviation** *FFalse* ( $\perp$ ) **where** *FFalse*  $\equiv FBool \ False$

**notation** *FImp* (**infixr**  $-->$  25)  
**notation** (**output**) *FO* (1)  
**notation** (**output**) *SO* (2)  
**notation** *FEx* ( $\exists$  - [10] 10)  
**notation** *FAll* ( $\forall$  - [10] 10)  
**notation** *FNot* ( $\neg$  - [40] 40)  
**notation** *FOr* (**infixr**  $\vee$  30)  
**notation** *FAnd* (**infixr**  $\wedge$  35)  
**abbreviation** *FLess* ( $x$  <  $x$  [65, 66] 65) **where** *FLess*  $m1 \ m2 \equiv FBase \ (Less$

$m1\ m2)$

**abbreviation**  $FIn\ (x_- \in X_- [65, 66]\ 65)$  **where**  $FIn\ m\ M \equiv FBase\ (In\ m\ M)$

**abbreviation**  $FQ\ ([x_-]\ [66]\ 65)$  **where**  $FQ\ m \equiv FBase\ (Q\ m)$

**definition**  $M2L = (FEx\ SO\ (FAll\ FO\ (FBase\ (In\ 0\ 0))) :: formula)$

**definition**  $\Phi = (FAll\ FO\ (FEx\ FO\ (FBase\ (Less\ 1\ 0))) :: formula)$

**lemma**  $Thm\ (Abs-idx\ (0, 1))\ (FNot\ M2L)$   
**by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 0))\ \Phi$   
**by** *eval*

**abbreviation**  $Globally\ (\Box\ [40]\ 40)$  **where**  $Globally\ P == \%n.\ FAll\ FO\ (FImp\ (FNot\ (FBase\ (Less\ (n+1)\ 0)))\ (P\ 0))$

**abbreviation**  $Future\ (\Diamond\ [40]\ 40)$  **where**  $Future\ P == \%n.\ FEx\ FO\ (FAnd\ (FNot\ (FBase\ (Less\ (n+1)\ 0)))\ (P\ 0))$

**abbreviation**  $IMP\ (infixr\ \rightarrow\ 50)$  **where**  $IMP\ P1\ P2 == \%n.\ FImp\ (P1\ n)\ (P2\ n)$

**definition**  $\Psi :: nat \Rightarrow formula$  **where**

$\Psi\ n = FAll\ FO\ (((\Box(foldr\ (\lambda i\ \varphi.\ (\lambda m.\ FBase\ (In\ m\ i)) \rightarrow \varphi)\ [0..<n]\ (\lambda m.\ FBase\ (In\ m\ n)))) \rightarrow$   
 $foldr\ (\lambda i\ \varphi.\ (\Box(\lambda m.\ FBase\ (In\ m\ i)) \rightarrow \varphi)\ [0..<n]\ (\Box(\lambda m.\ FBase\ (In\ m\ n))))\ 0)$

**lemma**  $Thm\ (Abs-idx\ (0, 1))\ (\Psi\ 0)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 2))\ (\Psi\ 1)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 3))\ (\Psi\ 2)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 4))\ (\Psi\ 3)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 5))\ (\Psi\ 4)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 6))\ (\Psi\ 5)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 11))\ (\Psi\ 10)$  **by** *eval*

**lemma**  $Thm\ (Abs-idx\ (0, 16))\ (\Psi\ 15)$  **by** *eval*