0.1 Hessian Diagonalization

Frequencies and normal modes are obtained from Hessian diagonalization, following the method from https://tinyurl.com/4a75skfm, which in turn uses (V. Barone, JCP, 2005, 122, 014108; V. Barone et al. IJQ. Chem., 2012, 112, 2185). Without projection frequencies and normal modes are just (transformed) eigenvalues and eigenvectors of the Hessian,

$$\mathsf{H} = \begin{pmatrix} \frac{\partial^2 E}{\partial x_1^2} & \frac{\partial^2 E}{\partial x_1 y_1} & \frac{\partial^2 E}{\partial x_1 z_1} & \cdots \\ \frac{\partial^2 E}{\partial y_1 x_1} & \frac{\partial^2 E}{\partial y_1^2} & \frac{\partial^2 E}{\partial y_1 z_1} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$
(1)

appropriately mass weighted,

$$\mathsf{H}_{\mathrm{w}} = \begin{pmatrix} \frac{\mathsf{H}_{11}}{\sqrt{m_1 m_1}} & \cdots & \frac{\mathsf{H}_{1,3i}}{\sqrt{m_1 m_i}} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix} \tag{2}$$

which is real symmetric so Hermitian ($\mathsf{H} \in \mathbb{R}^{3N \times 3N}$ for a system of N atoms). The frequencies are then square roots of the eigenvalues i.e. $\nu_i = \sqrt{\lambda_i}^{\mathrm{a}}$ and the normal modes v_i where,

$$\mathsf{H}_{\mathbf{w}} = \mathsf{SDS}^{T} \quad ; \quad \mathsf{D} = \begin{pmatrix} \lambda_{1} & 0 & \cdots \\ 0 & \lambda_{2} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix} \quad ; \quad \mathsf{S} = \begin{pmatrix} \uparrow & \uparrow \\ s_{1} & s_{2} & \cdots \\ \downarrow & \downarrow \end{pmatrix} \tag{3}$$

To project out translational and rotational motion for a non linear molecule requires a transformation of $H_{\rm w}$,

$$\mathsf{H}'_{\mathrm{w}} = \mathsf{T}^T \mathsf{H}_{\mathrm{w}} \mathsf{T} \qquad ; \qquad \mathsf{H}'_{\mathrm{w}} = \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \bar{\mathsf{H}}_{\mathrm{w}} \end{pmatrix}$$
 (4)

where

$$\mathsf{T} = \begin{pmatrix} \uparrow & \uparrow \\ \hat{\boldsymbol{t}}_1 & \hat{\boldsymbol{t}}_2 & \cdots \\ \downarrow & \downarrow \end{pmatrix} \tag{5}$$

and the columns of M are,

$$\boldsymbol{t}_{1} = \begin{bmatrix} (\hat{\boldsymbol{e}}_{1})_{1} \\ \vdots \\ (\hat{\boldsymbol{e}}_{1})_{N} \end{bmatrix} \quad ; \quad \boldsymbol{t}_{2} = \begin{bmatrix} (\hat{\boldsymbol{e}}_{2})_{1} \\ \vdots \\ (\hat{\boldsymbol{e}}_{2})_{N} \end{bmatrix} \quad ; \quad \boldsymbol{t}_{3} = \begin{bmatrix} (\hat{\boldsymbol{e}}_{3})_{1} \\ \vdots \\ (\hat{\boldsymbol{e}}_{3})_{N} \end{bmatrix}$$
(6)

^aWith an appropriate unit conversion.

where $\hat{\boldsymbol{e}}_k$ is a unit vector in 3D (i.e. $\hat{\boldsymbol{e}}_1 = (1,0,0)^T$). The rotation vectors are

$$\boldsymbol{t}_{4} = \begin{bmatrix} \boldsymbol{e}_{1} \times \boldsymbol{r}_{1} \\ \vdots \\ \boldsymbol{e}_{1} \times \boldsymbol{r}_{N} \end{bmatrix} \quad ; \quad \boldsymbol{t}_{5} = \begin{bmatrix} \boldsymbol{e}_{2} \times \boldsymbol{r}_{1} \\ \vdots \\ \boldsymbol{e}_{2} \times \boldsymbol{r}_{N} \end{bmatrix} \quad ; \quad \boldsymbol{t}_{6} = \begin{bmatrix} \boldsymbol{e}_{3} \times \boldsymbol{r}_{1} \\ \vdots \\ \boldsymbol{e}_{3} \times \boldsymbol{r}_{N} \end{bmatrix}$$
 (7)

where \mathbf{r}_i is the vector from the centre of mass of the system to the atom i. The remaining \mathbf{t}_n are filled with random vectors that are orthogonal to \mathbf{t}_1 – \mathbf{t}_6 , which can be achieved by QR factorisation once the remaining elements of T have been seeded with random numbers. Normalisation requires,

$$\hat{\boldsymbol{t}}_{i} = \frac{\mathsf{M}^{1/2} \boldsymbol{t}_{i}}{|\mathsf{M}^{1/2} \boldsymbol{t}_{i}|} \quad ; \quad \mathsf{M} = \begin{pmatrix} m_{1} & 0 & 0 & 0 & \cdots \\ 0 & m_{1} & 0 & 0 & \cdots \\ 0 & 0 & m_{1} & 0 & \cdots \\ 0 & 0 & 0 & m_{2} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$
(8)

where m_i is the mass of atom i.

Projected frequencies are then obtained from the submatrix of $H'_{\rm w}$,

$$\bar{\mathbf{H}}_{\mathbf{w}} = \bar{\mathbf{S}}\bar{\mathbf{D}}\bar{\mathbf{S}}^{T} \quad ; \quad \bar{\mathbf{S}} = \begin{pmatrix} \uparrow \\ \bar{\boldsymbol{s}}_{7} & \cdots \end{pmatrix} \quad ; \quad \bar{\mathbf{D}} = \begin{pmatrix} \bar{\lambda}_{7} & 0 & \cdots \\ 0 & \bar{\lambda}_{8} & \cdots \\ \vdots & \vdots & \ddots \end{pmatrix} \tag{9}$$

with $\bar{\nu}_{0-6} = 0$ cm⁻¹, while the eigenvectors are,

$$s_i = \mathsf{T} s_i' \; ; \; \mathsf{S}' = \begin{pmatrix} \uparrow \\ s_1' & \cdots \\ \downarrow \end{pmatrix} = \begin{pmatrix} \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \bar{\mathsf{S}} \end{pmatrix}$$
 (10)

which correspond to the normal modes in the original coordinates.