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Parallel Pattern Discovery  
Master's Thesis

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# Chapter 1

## Introduction

### 1.1 Motivation and background

One of the problems arising in dataset analysis is the discovery of interesting patterns. These patterns can show how the dataset is formed, how it repeats itself or they can be characteristic to some particular subset of the data.

For example a protein motif in a genomic sequence could predict disease. Patterns in medical diagnoses could show relations between diseases. Repeating pattern in source code could show how code could be minimized.

Research in pattern discovery is mainly driven by biology, which means most of the discovery algorithms have been designed for genomic sequences in mind. The techniques could be potentially useful elsewhere, so the algorithms should be generic as possible.

With genomic sequences there is another problem, the amount of data[GC95]. The data collected are growing with increasing speed, which means we need to use more computational resources to analyse them. This means the pattern discovery algorithms should take advantage of multicore processors, highly parallel processors and clusters.

write a priori vs some prior knowledge

cite  
some-  
thing

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some-  
thing

write broad examples from nat processing, code

write usual limitations, alphabet, pattern language...

## 1.2 Algorithm parallelization

Taking an existing algorithm and making it parallel can be sometimes easier than writing an parallel algorithm from scratch. Existing algorithms may have already proven themselves in practice and have already good concepts. Algorithm parallelization can be divided into three subproblems:

1. generalizing the algorithm,
2. decomposing to independent tasks and
3. reifying the generalized version with parallelization in mind.

Generalizing the algorithm means loosening the order constraints and using minimal abstract data types for data storage. Here mathematical definitions and formulation of the problem is helpful. The less constraints there are the more freedom we have to change the implementation side.

Decomposing the algorithm means dividing it into independent tasks that could be ran in parallel. This also means trying to minimize the interaction and dependencies that "algorithm pieces" have.

Reifying the algorithm means finding suitable data structures for parallelization and mapping the independent tasks to different processes. The suitable structures and mapping to processes is dependent on the target architecture. For example data-structures involving vector operations work better on highly parallel processors.

Generalizing and decomposition steps can also make the algorithm simpler. Generalization makes algorithm more applicable to other fields, since there are less dependencies on the original problem.

## 1.3 Contributions of this work

We have derived a new parallel algorithm called SPEXS2 for discovering interesting patterns from a set of sequences. We describe SPEXS2 in a generic way and show how to extend it further.

The practical and "ideal" versions of an algorithm can often diverge due to performance and implementation details, therefore we also explain problems and possible solutions with implementing such algorithm. We also have provided a concise implementation of the algorithm that captures the generic description more closely.

Then we show some possible applications for the algorithm and analyse parallelization benefits.

The practical implementation *spexs2* is already being used in several projects ...

write about current use

## 1.4 Structure of the thesis

In this thesis we explore an algorithm for parallel pattern discovery. We choose an existing algorithm SPEXS[Vil02] and show how it can be parallelized.

In Chapter 2 we introduce the terminology. In Chapter 3 we give an overview of already existing algorithms and discuss why SPEXS[Vil02] was chosen as a base for parallelization. We generalize the SPEXS algorithm in Chapter 4 and reify it in Chapter 5. We discuss an implementation of the parallelized algorithm in Chapter 6 and in Chapter 7 show its possible applications and performance characteristics. The conclusions are presented in Chapter 8.

# Chapter 2

## Definitions

Pattern discovery is a research area aiming to discover unknown patterns in a given set of data structures that are frequent and interesting according to some measure. In this chapter we formally define necessary terms used in this thesis.

### 2.1 Sequence and Dataset

We use  $\Sigma$  to denote the set of tokens in the dataset, an *alphabet*. The *size* of the alphabet is  $|\Sigma|$ . *Tokens* can be numbers, letters, words or sentences - any symbol.

Any sequence  $S = a_1a_2...a_n, \forall a_i \in \Sigma$  is called a *sequence* over the token set  $\Sigma$ . If the length of the string is 0, it is called an empty sequence or  $\epsilon$ .

**Example 2.1.1.** `ACGTGCCATC` is a sequence where  $\Sigma = \{\text{A}, \text{C}, \text{G}, \text{T}\}$ .

A *dataset* is a collection of sequences.

**Example 2.1.2.** In a document sentences can be considered as a *dataset*, where a single sentence is a *sequences* and each word is a *token* in the alphabet. Text `This is some example. This is an other example.` has sequences  $\{ [\text{This is an example}], [\text{This is an other example}] \}$  and the alphabet is  $\Sigma = \{\text{this}, \text{is}, \text{an}, \text{example}, \text{other}\}$ .



## 2.2 Pattern

Our aim is to discover repetitive and common structures in data. We call such structures *patterns*. A generic way to define a *pattern* is as a set of all the sub-structures it represents. This means we can say whether some data sub-structure is represented by a pattern.

The *pattern structure* is usually dependent on the data-structures which it represents. For example sequence patterns are usually represented sequences, graph patterns are represented as graphs; but sequence patterns could also be represented as a graph.

We denote the set of structures that a pattern structure  $p$  defines as  $all(p)$ . If  $\alpha \in all(p)$ , where  $\alpha$  is a structure then we say that structure  $\alpha$  *matches exactly* pattern  $p$ . We say that  $\alpha$  *matches*  $p$  if any of structure  $all(p)$  is a sub-structure of  $\alpha$ .

In this thesis we only consider sequential pattern structures and use *pattern* to mean *sequential pattern structure*. We represent such patterns with regular expressions.

write about regexps

cite  
regex

*Pattern size* is the length of the pattern sequence.

**Example 2.2.1.** `. [AT]` is a pattern of size 2 and denotes a set  $\{ \text{AA}, \text{AT}, \text{CA}, \text{CT}, \text{GA}, \text{GT}, \text{TA}, \text{TT} \}$ ; it matches `CCTC` and exactly matches `AT`.

We denote the set of all pattern  $p$  *matches* in a dataset  $D$  as  $matches(p, D) = \{match(p, \alpha) | \alpha \in D, matches(p, \alpha)\}$ .

## 2.3 Query

We need to somehow understand where given pattern  $p$  is located in a dataset  $D$ . This compound structure  $q = \langle D, p, matches(p, D) \rangle$  is called a *query*.

**Example 2.3.1.** Let our dataset be  $D = [\text{ACGT}, \text{TXCGA}]$  and our pattern be  $p = \text{C.}$ . The corresponding query is  $\langle D, p, \{[1, 3], [2, 4]\} \rangle$ , which means

that the pattern  $p$  ends in sequence 1 at position 3 and in sequence 2 at position 4.

### 2.3.1 Query features

When we talk about how "interesting" a pattern is, we are actually evaluating the query, since the pattern requires a context where it can be "interesting".

Queries can have different properties: length, number of matches in the dataset, pattern textual representation etc. Such properties can be represented by a function that take a query as an input and return the property. Formally a *query feature* is a function  $f : Query \mapsto Any$ .

We also need to see how "interesting" one query is compared to the others. *Query interestingness* is a function  $f : Query \mapsto Value$  where the *Value*s are well-ordered. This gives a measure to compare two different queries. Often we can represent such interestingness measures as a real number.

We should also be able to somehow specify criterias for query. *Query filter* is a function  $f : Query \mapsto Boolean$  and shows whether the query matches the criteria.

**Example 2.3.2.** Pattern occurrences in a document is a interestingness measure. Whether query pattern is at least 3 tokens is a query filter.

## 2.4 Pool

*Pool* is an abstract datatype for a collection of queries. The pool allows queries to be stored. The only operations that pool must provide is "push", for adding a query, and "pop", for getting a query.

**Example 2.4.1.** Stacks and queues both satisfy the pool requirement. We could also define a pool that stores the queries on the disk; also it could pack or reorder the queries for performance reasons.

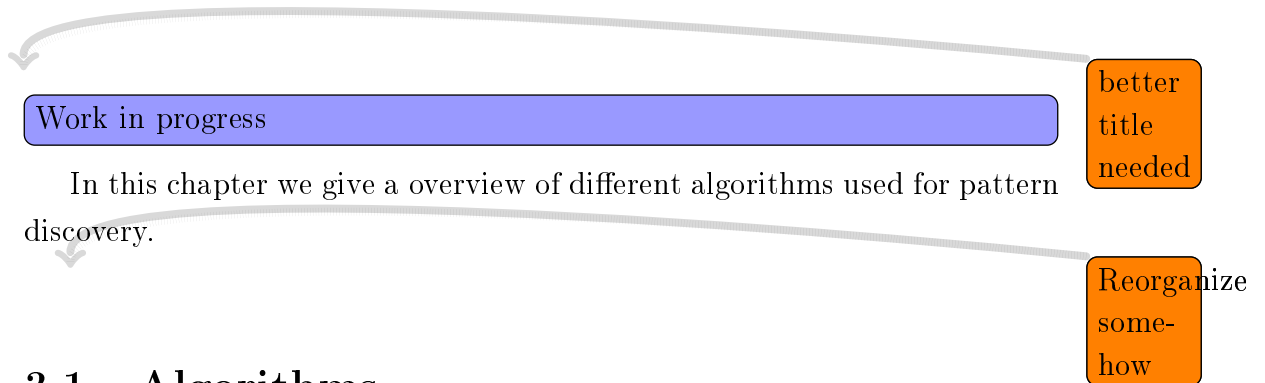
## 2.5 Pattern Discovery

In this thesis *pattern discovery* is a process of finding the most interesting subset, according to a query interestingness, of sequential patterns, that conform to some criteria, in a sequence dataset.

**Example 2.5.1.** Let our search problem be "Finding most common nucleotide patterns that are at least 3 nucleotides long from a shotgun sequencing output.", then *most common* defines our interestingness measure. *At least 3 nucleotides* is the pattern subset criteria. *Sequencing output* is our dataset and *nucleotides* define the token alphabet.

# Chapter 3

## Algorithms



### 3.1 Algorithms

Overview of different combinatorial algorithms.

#### 3.1.1 SPEXS

SPEXS is an pattern discovery algorithm described in "Pattern Discovery from Biosequences"[Vil02]. This algorithm finds patterns from a sequence. We take this as our basis for developing a new parallel algorithm. In this chapter we describe original algorithm so that we can later show the changes made to this algorithm.

We describe the general representation of the SPEXS algorithm. The original algorithm was as follows:

move algorithm to generalization

---

**Algorithm 1** The SPEXS algorithm

---

**Input:** String  $S$ , pattern class  $\mathcal{P}$ , output criteria, search order, and fitness measure  $\mathcal{F}$

**Output:** Patterns  $\pi \in \mathcal{P}$  fulfilling all criteria, and output in the order of fitness  $\mathcal{F}$

```
1: Convert input sequences into a single sequence
2: Initiate data structures
3: Root  $\leftarrow$  new node
4: Root.label  $\leftarrow \epsilon$ 
5: Root.pos  $\leftarrow (1,2,\dots,n)$ 
6: enqueue( $\mathcal{Q}$ , Root, order)
7: while  $N \leftarrow$  dequeue( $\mathcal{Q}$ ) do
8:   Create all possible extensions  $p \in \mathcal{P}$  of  $N$  using  $N$ .pos and  $S$ 
9:   for extension  $p$  of  $N$  do
10:    if pattern  $p$  and position list  $p$ .pos fulfill the criteria then
11:       $N$ .child  $\leftarrow p$ 
12:      calculate  $\mathcal{F}(p, S)$ 
13:      enqueue( $\mathcal{Q}$ ,  $p$ , order)
14:      if  $p$  fulfills the output criteria then
15:        store  $p$  in output queue  $\mathcal{O}$ 
16: Report the list of top-ranking patterns from output queue  $\mathcal{O}$ 
```

---

The main idea of the algorithm is that first we generate a pattern and a query that matches all possible positions in the sequence. We then put this query into a queue for extending. Extending a query means finding all queries whose patterns length is longer by 1. If any of the queries is fit, by some criteria, it will be put into the main queue, for further extension, and output queue for possible output.

### 3.1.2 TEIRESIAS

TEIRESIAS[RF98] is an algorithm for the discovery of rigid patterns in biological sequences.

write more

TEIRESIAS operates in two phases: scanning and convolution. Scanning phase identifies elementary patterns that are frequent. During convolution these elementary patterns are combined to make larger patterns.

This method is a divide and conquer method to only consider frequent patterns.

patterns with any symbol

[write more](#)

### 3.1.3 Verbumculus

Verbumculus[AGL03] is...

statistical analysis, pattern matching

no complex patterns

[write more](#)

### 3.1.4 MobyDick

MobyDick[BLS00]... statistical prediction of frequent

no complex patterns

[write more](#)

### 3.1.5 RSAT

RSAT[Tho+08] is ...

matrix based pattern

[write more](#)

### 3.1.6 Other

[RSK09; Jen+06]

## 3.2 Reviews

[P+00; DD07; SD06]

write about some reviews

## 3.3 Problems

Work in progress

Algorithms are fixed and hard to extend with new pattern types, structures and optimizations. Generalization usually comes at the cost of performance and complexity.

write more

Sequential algorithms do not take advantage of multicore processors.

write more

Data that exceeds computer memory can't work efficiently... can't be distributed efficiently.

write more

# Chapter 4

## SPEXS Generalization

In this chapter we show how to make SPEXS algorithm more abstract by allowing flexibility through function composition and finding minimal requirements for the data-structures.

### 4.1 Algorithm

The algorithm in a more conventional view is:

---

**Algorithm 2** The spexs2 algorithm

---

**Input:** *dataset*, *in* and *out* are pools, *extend* is an extender function, *extend?*, *output?* are filters

**Output:** Patterns satisfying filters and *extender* are in *out* pool

```
1: function SPEXS2(dataset, in, out, extend, extend?, output?)
2:   prepare(in, dataset)
3:   while  $q \leftarrow \text{in.pop}()$  do
4:     extended  $\leftarrow \text{extend}(q, \text{dataset})$ 
5:     for  $qx \in \text{extended}$  do
6:       if  $\text{extend?}(qx)$  then
7:         in.push( $qx$ )
8:       if  $\text{output?}(qx)$  then
9:         out.push( $qx$ )
```

---



When the algorithm starts by initializing the *in* pool. The *in* pool shall contain queries which we wish to further examine. In the simplest case this means we create an empty pattern query and put it into the *in* pool. We could also start the process with an already existing pattern.

As the next step we pick a query from the *in* pool for extending. The extending means generating all queries whose pattern size is larger by one. There can be several such queries.

If any of the queries should be further examined as defined by the *extendable* query filter, it will be put into the *in* pool.

If the query is suitable for output as defined by the *outputtable* filter, it will be put into the *out* pool.

If we extend each pattern at each step by one we guarantee that we examine all the patterns that conform to our criteria as defined by *extendable* filter.

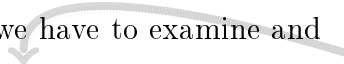
## 4.2 Pools

Since pools act independently from the rest of the algorithm they are free to reorder, store on disk or even discard the queries, if needed. If we wish to get 100 best results the output pool could immediately discard the bad ones.

We can also use different types of structures as pools. For example using a queue would make it start examining breadth first, using a stack would make it run depth first. We can use priority queue to choose the best queries to reach faster the good results as suggested in "Patterns Discovery from Biosequences"[Vil02].

## 4.3 Filtering

Filtering allows us to reduce the number of queries we have to examine and allows to select a subset of patterns by some criteria.



add  
exam-  
ples

Although there is only one filter "function" specified the filter could be a composite of multiple filters.

**Example 4.3.1.** Pattern length is greater than three and pattern occurs at least 10 times in the dataset can be seen as a single filter that is composed of two filters.

## 4.4 Extending

The extending process is at the core of the algorithm and there are several ways of doing it. The main criteria is that the extending should guarantee that all possible patterns get eventually enumerated.

Extender is analogous to an inductive step. Our base case is formulated by *prepare* step in the SPEXS2 algorithm and the induction steps are carried out by the extender.

**Example 4.4.1.** We start with an empty query and we know all the locations of it. If our extender generates all the queries where the patterns are longer by 1 then we are guaranteed to enumerate all the patterns.

**Example 4.4.2.** We can start with the empty query and all queries with patterns of length 1. Now if our extender generates queries where the patterns are longer by 2 we can also examine all of the queries.

The extender determines which patterns and pattern classes will be generated. We can modify and compose different extenders to get new patterns. Often more complex patterns can adversely affect performance.

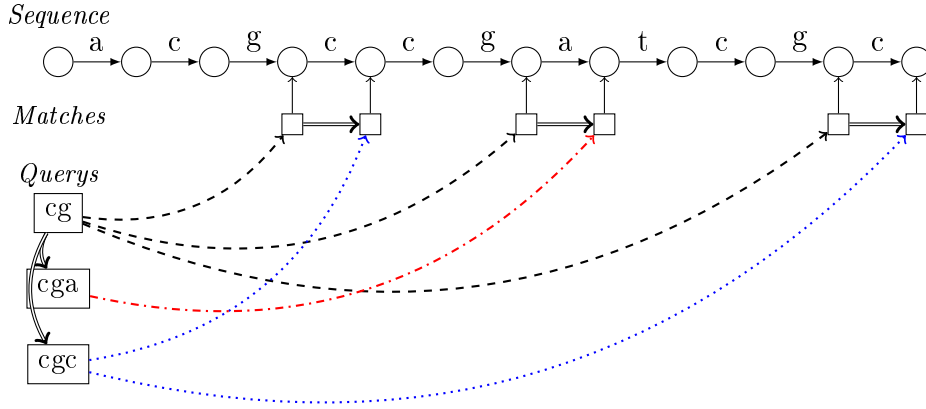
write grouping and single pattern generation

If we visualize the sequences as graphs we can extend it with possible extensions.

### 4.4.1 Sequences

The simplest case how the next function behaves is when we are only looking for simple sequences – the alphabet for patterns and sequences is the same.

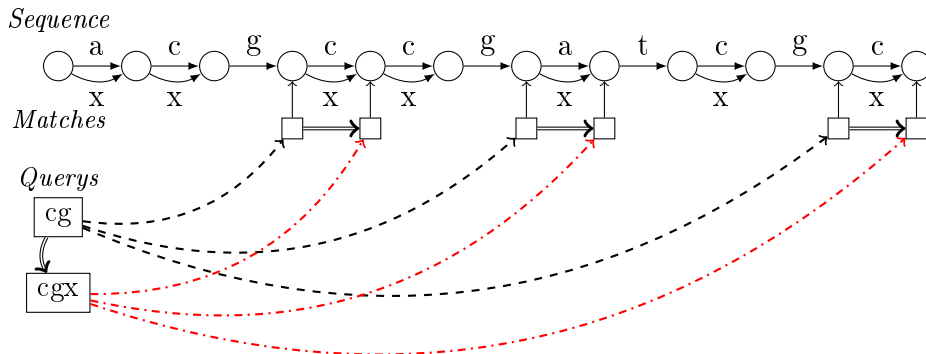
Let's consider a sequence *ACGCCGATCGC* and a pattern *CG*.



Initially we have matches only for query *CG*. Then by taking the *next* token from the sequence we can build up queries *CGA* and *CGC*.

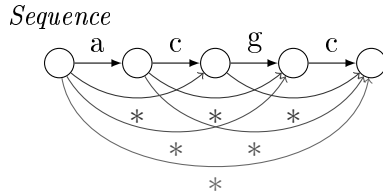
#### 4.4.2 Groups

One common addition in a pattern language is capturing a group of tokens. For example we can use  $X = [AC]$  to denote both tokens *A*, *C*. By adding where either one transitions we can capture such groups in the extension process.



### 4.4.3 Star

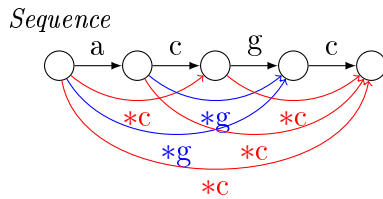
Another possible extension is the *dot-star* or more simply capturing a run of elements. Here we just show the expanded sequence with \* symbol.



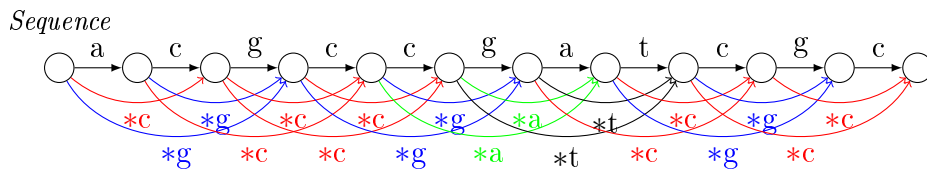
Here we immediately notice how the complexity increases by introducing pattern token.

write properly

We can skip this intermediary step isn't necessary we can instead extend with  $*Y$ , where  $Y$  is some other token. This means we avoid this single large query and have multiple smaller queries.



We can also limit the length of the run.



Here we have limited the run length to be either 2 or 3.

#### 4.4.4 Optimized groups

Instead of immediately extending the group tokens we can take the output of an other extender and combine its results. If we have a group token  $\gamma$  that contains  $tokens(\gamma)$  then the *matches* for such group is

$$matches(p\gamma, D) = \bigcup_{t \in tokens(\gamma)} matches(pt, D)$$

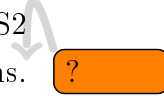
**Example 4.4.3.** A pattern **A[CTG]** is located in document  $D$  at positions  $matches(\mathbf{AC}, D) + matches(\mathbf{AT}, D) + matches(\mathbf{AG}, D)$ .

#### 4.4.5 Optional tokens

write combine current matches(q) + ...

### 4.5 Summary

The extender was shown to work via graphs, practically it is much more reasonable to minimize it as already mentioned in "Pattern Discovery from Biosequences"[Vil02].

Since the best way to visualize was on graphs suggests that the SPEXS2 algorithm could be potentially extended to work on trees and then on graphs. 

From the previous results we can also derive the minimal requirements for the dataset. First we need to get the initial empty query - which means we should be somehow be able to get all the positions where a pattern could start. The other operator is finding the next position and token from a given position.

To use this generic version of the SPEXS algorithm we need to 1. choose our pool structures, 2. choose our filters, 3. choose our extender and preparation and 4. dataset implementation.

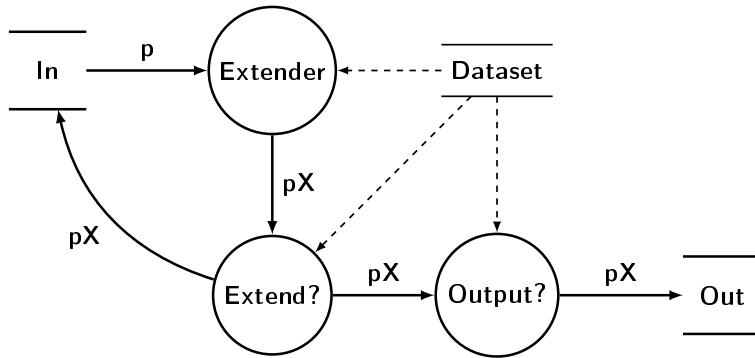
# Chapter 5

## Parallelization

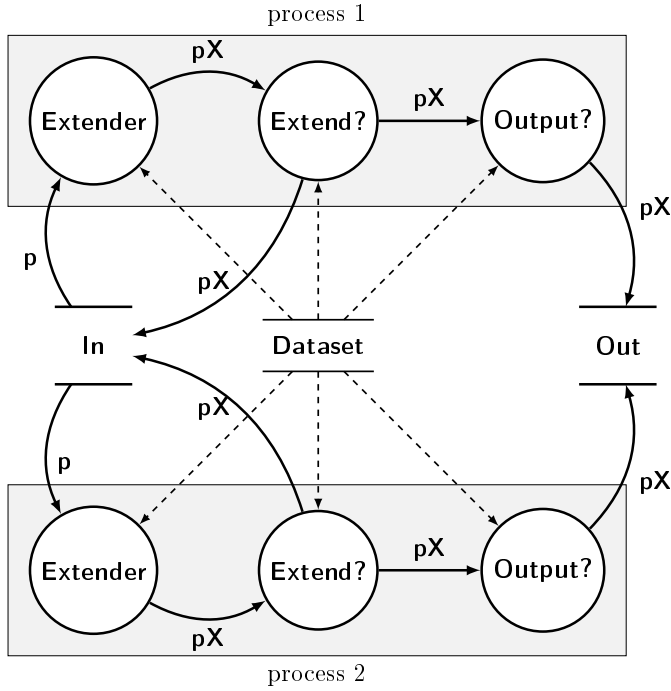
Here we discuss different ways how we can partition the algorithm to support parallelism.

### 5.1 Process

The main process of the algorithm as described by dataflow diagram.[Kah74; LP95] Circles denote processes and unfinished rectangles denote data stores.



We can see that the different query extensions do not share a dependency, except the dataset. Since dataset itself is read-only for a given process, it means we can use multiple extender processes. The same applies for extendability and output filter.



We can add more processes in a similar fashion without affecting the end result. Although this will introduce a source of indeterminism.

## 5.2 Extending

*Extender* can be parallelized by using MapReduce concepts[DG08; Jr09]. We use Clojure[Hic08] reducers library to show how this can be implemented.

---

**Algorithm 3** Parallel extender

---

```
1 (require '[clojure.core.reducers :as r])
2
3 ; fold-join based grouping function
4 (defn group-map-by [g f coll]
5   (r/fold
6     (r/monoid (partial merge-with into) (constantly {}))
7     (fn [ret x]
8       (let [k (g x)]
9         (assoc ret k (conj (get ret k []) (f x))))))
10    coll))
11
12 (defn extend [dataset query]
13   (let [steps (r/mapcat #(walk dataset %) (:positions query))
14         grouped (group-map-by :token :position steps)]
15     (r/map #(child-query q %) grouped))))
```

---

This may not give much improvement on desktop CPUs, since we already can process multiple queries at the same time. This parallelization could be beneficial for highly parallel processors such as GPGPUs or FPGAs.

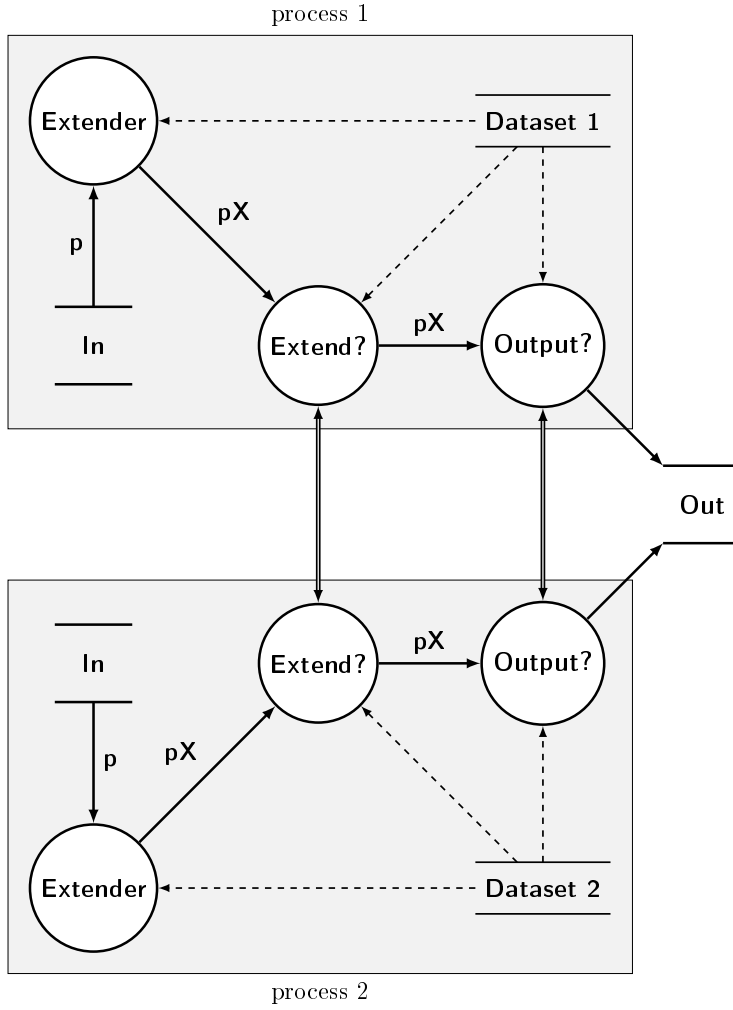
## 5.3 Distributed processes

Since the dataset and the process memory consumption can get quite it would also be beneficial to be able to partition the dataset between multiple machines.

The extension results for a given query stays inside the sequence which means we can partition by dividing sequences to separate datasets.

The whole dataset is required only for filtering, since even one of the simplest operations ("counting matches in dataset") requires full knowledge of all matches over the dataset. We can calculate partial results and let the filters communicate the results. This could be also done in a separate process instead of directly communicating.





**Example 5.3.1.** For example to see whether some query is over some count limit we first count matches in the partial datasets. Then send the partial results to a each other and add these results together locally. Depending on that result we know how to proceed.

# Chapter 6

## Implementation

Here we discuss a practical implementation, *spexs2*, for pattern discovery in sequences.

In this chapter we will discuss a practical implementation, *spexs2*, for pattern discovery in sequences. We only discuss parts that we consider non-trivial or interesting and could be useful in implementing other algorithms.

Information about the full source code is in the Appendix A.

### 6.1 Architecture

The main criteria for designing program have been described in D. Parnas paper "On the Criteria To Be Used in Decomposing Systems into Modules"[Par72]. It suggests decomposing into isolated units and parts that are likely to change together.

We chose the following module decomposition for the application:

**Configuration** structure for holding the configuration data

**Setup** based on the configuration initializes data-structures and functions for the algorithm

**Reader** reads in the data from files

**Database** a collection of datasets

**Algorithm** the SPEXS2 algorithm

**Printer** prints the result queries

The program starts by interpreting flags, then it marshals configuration file onto an internal data structure, this configuration structure as an input to the setup module. Setup module initializes (as defined by configuration) a reader, a printer and also prepares structures for algorithm. Then the reader reads input to the database. Then the algorithm is activated and finally it is printed out with Printer.

This structure is universal for algorithm implementations and allows easily to add more configuration options, different input formats and different output formats. By changing the configuration, reader and printer we could make it a web service instead of running it on a command line.

## 6.2 Configuration

One problem with flexible algorithms is that there are a lot of ways to be run. This can lead to having tens or hundreds of command-line flags for the application.

To avoid this problem we decided to use a *json* file for the program configuration. This format is widely known and well structured. For example a configuration file for pattern discovery in protein sequences:

```

1 {
2   "Dataset": {
3     "fore" : { "File" : "$inp$" },
4     "back" : { "File" : "$ref$" }
5   },
6   "Reader" : {
7     "Method" : "Delimited"
8   },
9   "Extension": {
10    "Method": "Group",
11    "Groups" : {
12      "." : { "elements" : "ACDEFGHIKLMNPQRSTVWY"}
13    },
14    "Extendable": {
15      "PatGroups()" : {"max" : 3},
16      "PatLength()" : {"max" : 6},
17      "Matches(fore)" : {"min" : 20},
18      "NoStartingGroup()" : {}
19    },

```

```

20         ...
21     },
22     "Output": {
23         "SortBy": ["-Hyper(fore , back)"],
24         "Count": 100
25     },
26     "Printer" : {
27         "Method" : "Formatted",
28         "Format": "Pat?()\tMatches(fore)\tMatches(back)\tHyper(fore , back)\n"
29     }
30 }

```

In hindsight *json* for configuration may not be the best option due to rigidity. Users can often forget to add or remove a comma or forget to add quotes. This suggests that formats such as *rson* or *yaml* would be better choices.

Other problem with configuration files is that they are harder to modify than command-line flags. By mixing command-line flags and configuration files we can get a solution that works better in practice than either of them independently.

One easy way to implement is to add custom syntax into the configuration file:

```

1     "Datasets" : {
2         "fore" : { "File" : "$argument:default$"
3         ...

```

Now some command-flags can be interpreted as replacements into the configuration file. Using `spexs2 -conf conf.json argument=other` would transform the configuration file into:

```

1     "Datasets" : {
2         "fore" : { "File" : "other"
3         ...

```

If no such parameter is given then the default value "main" can be used.

Configuration files are also problematic for running the first time. As a user you need to find a configuration file that suits your needs, then modify it and finally run it. To remedy this problem the application can embed sample configuration files so called "profiles" that can be used directly from the command line. This means you can directly use `spexs2 -p=protein input=some.data min-p=1.0`.

## 6.3 Input and Output

Work in progress

write about importance of separating the input reader and output printer from the algorithmic code

## 6.4 Alphabet and Database

Work in progress

write about problems with large alphabets and datasets

## 6.5 Pools

Work in progress

There can be different performance characteristics when using a particular implementation.

If we use a fifo queue as the in pool the algorithm does a breadth first search of patterns. This can be problematic since we would need a lot of memory to hold all the patterns in memory.

A lifo queue for input pool is a more reasonable choice for memory problems since we need to hold less patterns in memory.

A priority queue suits for the output pool since we can easily then use some feature to sort the queue.

## 6.6 Query features, interestingness and filters

When we first described the query features we showed that filters and interestingness are a special case query features. In *spexs2* the features are used to print information about the results.

The filters can be very similar to features in their implementation. For example a filter for pattern length is similar to the pattern length feature.

Although implementing all combinations is possible we can use function composition to avoid such repetition.

Since most of the features implemented could also be used as a interestingness measure we used a simplification for the "Feature" function definition:

```
1 type Feature func(q *Query) (float64, string)
```

Which means that the function returns two types, a real value and a string. In the implementation there are only few features that return arbitrary types so it was easier to convert it into a string. The only place where such features were needed is for printing. For example one of such features is the representation of the pattern.

By specifying a minimum or a maximum value for a feature we can turn it into a filter. One way to do it is using a lexical closure. For example:

```
1 func MakeFeatureFilter(fn Feature, min float64, max float64) Filter {
2     return func(q *Query) bool {
3         v, _ := feature(q)
4         return (min <= v) && (v <= max)
5     }
6 }
```

In languages which do not support such composition we can also use object composition or function pointers.

Of course there are some filters that cannot be defined by features hence there is still possibility to make separate filters. Such as disallowing star symbol in the beginning of the pattern.

## 6.7 Synchronized Tree Traversal

*spexs2* can be seen as a pattern tree traversal algorithm with some extra logic. Implementing search over a tree requires synchronization such that there are only a certain number of workers and that they wouldn't die of starvation.

Without synchronization the parallel version looks like:

---

**Algorithm 4** Tree traversal

---

**Output:** All nodes in tree get processed with fn

```
1: function VISIT(tree, start, fn, examine?)
2:   unvisited  $\leftarrow$  { start }
3:   start workers
4:   while unvisited not empty do
5:     node  $\leftarrow$  unvisited.take()
6:     fn(node)
7:     for child  $\in$  children(node) do
8:       if examine?(child) then
9:         unvisited.put(child)
10:
11:   wait for workers
```

---

This would not work correctly with multiple workers since there are race conditions and the workers can die early due to starvation.

The solution is to control worker startup and only terminate workers if all have finished and there are no more items in unvisited set.

---

**Algorithm 5** Synchronized graph traversal

---

**Output:** All nodes in *graph* get processed with *fn*

```
1: function VISIT(graph, start, fn, examine?)
2:   added  $\leftarrow$  new semaphore(0)
3:   terminate  $\leftarrow$  false
4:   mutex  $\leftarrow$  new mutex()
5:   workers  $\leftarrow$  0
6:   unvisited  $\leftarrow$  { start }
7:   added.signal()
8:   start workers
9:     while true do
10:       added.wait()
11:       mutex.lock()
12:       if terminate then
13:         added.signal()
14:         mutex.unlock()
15:         break
16:       node  $\leftarrow$  unvisited.take()
17:       workers  $\leftarrow$  workers + 1
18:       mutex.unlock()

19:       fn(node)

20:       for child  $\in$  children(node) do
21:         mutex.lock()
22:         if examine?(child) then
23:           unvisited.put(child)
24:           added.signal()
25:           mutex.unlock()

26:       mutex.lock()
27:       workers  $\leftarrow$  workers - 1
28:       if workers = 0 and unvisited = {} then
29:         terminate  $\leftarrow$  true
30:         added.signal()
31:       mutex.unlock()
32:
33:   wait for workers
```

---



We use *mutex* to protect variables and data structures. Semaphore *added* tracks how many items are in the *unvisited* set, if the process finally terminates it is turned into a turnstile on line 31 and 13. Variable *workers* tracks how many workers are busy.

## 6.8 Debugging

Seeing how the algorithm works is very useful to get an understanding how the algorithm works. This often can help to either improve the input configuration or debug the program itself. Often this is resolved by adding debug statements.

For example:

```

1 func Spexs(s *Setup) {
2     for q, ok := s.In.Pop(); ok {
3         trace("started extending %v", q)
4         extended := s.Extend(q)
5         trace("extension result %v", extended)
6         for qx := range extended {
7             if s.Extendable(qx) {
8                 trace("> extendable %v" qx)
9                 s.In.Push(qx)
10            }
11            if s.Outputtable(qx) {
12                trace("> outputtable %v" qx)
13                s.Out.Push(qx)
14            }
15        }
16    }
17 }
```

Such statements make it harder to read the actual code, also it's hard to modify the statements for debugging or provide different ways of debugging.

We can use lexical closures to make it simpler:

```

1 type Extender func(q Query) []Query
2
3 func AddDebuggingStatements(s *Setup) {
4     fn := s.Extend
5     s.Extend := func(q Query) []Query {
6         trace("started extending %v", q)
7         extended := fn(q)
8         trace("extension result %v", extended)
9     }
```

```

9
10         for qx := range extended {
11             trace(" > %v", qx)
12             trace(" > extendable %v", s.Extendable(qx))
13             trace(" > outputtable %v", s.Outputtable(qx))
14         }
15         return extended
16     }
17 }
18
19 func Spexs(s *Setup) {
20     for q, ok := s.In.Pop(); ok {
21         extended := s.Extend(q)
22         for qx := range extended {
23             if s.Extendable(qx) {
24                 s.In.Push(qx)
25             }
26             if s.Outputtable(qx) {
27                 s.Out.Push(qx)
28             }
29         }
30     }
31 }
32
33 func run(){
34     S := CreateSpexsSetup()
35     AddDebuggingStatements(S)
36     Spexs(S)
37 }

```

We have removed the debugging statements from the algorithm. We could define other such "debug statement injectors" that provide different levels of details. This method of course has a slight performance impact due to the additional indirection. This can be extended to provide user interaction and other features.

# Chapter 7

## Applications and experimental results

Work in progress

### 7.1 Examples

Here we show examples for the program:

#### 7.1.1 DNA sequences

make an example

#### 7.1.2 Protein sequences

make an example

#### 7.1.3 Text mining

make an example

#### 7.1.4 Code mining

make an example

### 7.2 Performance

make an example

# Chapter 8

## Conclusions

Work in progress

write results 1

write results 2

write context, compare

write strength + limitations

write speed

write so what? why is it important

write what is next?

write strong conclusions

write take home message

In this thesis we showed how by making an algorithm more abstract and general we can also make it parallel. We showed that this algorithm can find patterns from sequences.

Although we showed that we can apply this algorithm on NFAs we did not analyze the performance characteristics. This suggests that this algorithm may be able to work on trees and graph, but would require slight modifications.

We also demonstrated how to make the algorithm more concrete and work

well on some particular datasets. This also took into consideration further development and flexibility of the algorithm.

The SPEXS2 implementation currently is already used in biosequenceing and text mining.

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# Appendix A

## spexs2

The program can be found at [github.com/egonelbre/spexs](https://github.com/egonelbre/spexs).

Several configurations can be found in the folder *examples*. It is best to start with an already existing configuration and modify it to your needs.

If the running *spexs2 -details* will print extended help about all the available features, filters, extenders.

### A.1 source

The source code in *src* has the following structure:

```
src/
├── spexs .....algorithm definition
│   ├── extenders/ .....query extenders
│   ├── features/ .....query feature calculators
│   ├── filters/ .....filter implementations
│   ├── pool/ .....different queue implementations
│   ├── database.go .....sequence dataset definition
│   ├── query.go .....query definition
│   └── spexs.go .....algorithm implementation
├── spexs2 .....command-line utility
│   └── conf.go .....configuration reader
```

- └─ dataset.go ..... dataset reader
- └─ features.go ..... parses and creates feature functions
- └─ help.go ..... prints help for the program
- └─ printer.go ..... prints the final output
- └─ runtime.go ..... profiling and live-view setup
- └─ setup.go ..... prepares everything for algorithm
- └─ spexs2.go ..... main-entry point

There are also additional packages:

src/

- └─ debugger/ ..... debugger for concurrent processes
- └─ stats/ ..... statistical functions
  - └─ binom/ ..... binomial p-value calculation
  - └─ hyper/ ..... hypergeometric p-value calculation
- └─ utils/ ..... additional utility functions
- └─ bit/ ..... functions for bitmanipulation
- └─ set/ ..... set implementations
  - └─ hash/ ..... hash table with entry per value
  - └─ bin/ ..... hash table with bitvectors
  - └─ trie/ ..... 2-level hashtable with bitvectors

For compilation there are two scripts *make.bat* and *make.sh* that build the program into bin directory.

# Appendix B

## Reference Implementation

This is a concise implementation of the parallel spexs2 algorithm. It is presented in Clojure[Hic08].

```
1 (require '[clojure.core.reducers :as r])
2
3 ; a parallel grouping function
4 (defn group-map-by [g f coll]
5   (r/fold
6     (r/monoid (partial merge-with into) (constantly {})))
7     (fn [ret x]
8       (let [k (g x)]
9         (assoc ret k (conj (get ret k []) (f x)))))
10    coll))
11
12 ; these are the minimal requirements for a dataset
13 (defprotocol Dataset
14   (all [this] "return all possible positions on the dataset")
15   (walk [this pos] "return coll of Step from pos"))
16
17 (defrecord Query [pattern positions])
18 (defrecord Step [token position])
19
20 ; create an empty query for a dataset
21 (defn empty-query [dataset]
22   (Query. [] (all dataset)))
23
24 ; create a child query for parent given a token and positions
25 (defn child-query [parent [token positions]]
26   (Query. (conj (:pattern parent) token) positions))
27
28 ; declare our extension functions:
29 (defn walk-extend [dataset positions]
30   (let [steps (mapcat #(walk dataset %) positions)]
```

```

31     (group-map-by :token :position steps)))
32
33 ; function to combine multiple extension functions
34 (defn combine-extenders [extenders]
35   (fn [dataset positions]
36     (apply merge-with concat (map #(% dataset positions) extenders))))
37
38 ; finally the algorithm itself:
39 (defn spexs-step [ds q extend]
40   (map #(child-query q %) (extend ds (:positions q))))
41
42 (defn spexs [{
43   ds :dataset ; dataset
44   in :in      ; input coll
45   out :out     ; output coll
46   extend :extend ; position extender function
47   extend? :extend? ; query filter for further extension
48   output? :output? ; query filter for output
49 }]
50   (let [e (empty-query ds)]
51     (loop [in (conj in e)
52            out out]
53       (if-not (empty? in)
54         (let [[q & qs] in
55               querys (spexs-step ds q extend)
56               new-in (concat qs (filter extend? querys))
57               new-out (concat out (filter output? new-in))]
58           (recur new-in new-out))
59         out))))
60
61 ; here is an example how to implement a dataset
62 (defn posify [row-index row-item]
63   (map (fn [pos] [row-index pos]) (range (count row-item))))
64
65 (defrecord SequenceDataset [items]
66   (token [this [row pos]]
67     (nth (nth (:items this) row) pos))
68
69   Dataset ; satisfy dataset interface
70   (all [this]
71     (mapcat posify (range) (:items this)))
72   (walk [this [row i]]
73     (let [row-item (nth (:items this) row)]
74       (if (> (count row-item) i)
75         [(Step. (token this [row i]) [row (inc i)])]
76         []))))
77
78 ; and how to use
79 (def simple-dataset (SequenceDataset. ["ACGT" "CGATA" "AGCTTCGA" "GCGTAA"]))
80
81 (spexs { :dataset simple-dataset :input [] :output []
82         :extend walk-extend

```

```
83      :extend? #(> (count (:positions %)) 3)
84      :output? #(> (count (:pattern %)) 2))}
```

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