# Algorithm analysis

**Big O:** Let f(n) and g(n) be functions that map positive integers to real positive numbers.

f(n) is O(g(n)) if  $f(n) \le c \cdot g(n)$ ,  $n \ge n_0$  for some  $c \in \mathbb{R}$  and  $n_0 \in \mathbb{Z}^+$ 

Big Omega ( $\Omega$ ): Let f(n) and g(n) be functions that map positive integers to real positive numbers.

f(n) is  $\Omega(g(n))$  if  $f(n) \geq c \cdot g(n)$ ,  $n \geq n_0$  for some  $c \in \mathbb{R}$  and  $n_0 \in \mathbb{Z}^+$ 

Big Theta( $\Theta$ ): Let f(n) and g(n) be functions that map positive integers to real positive numbers.

f(n) is  $\Theta(g(n))$  if  $c' \cdot g(n) \le f(n) \le c'' \cdot g(n)$ ,  $n \ge n_0$  for some c',  $c'' \in \mathbb{R}$  and  $n_0 \in \mathbb{Z}^+$ 

Loop invariant: Any predicate/condition that holds true for every iteration of a loop

#### Recursion

- Understand differences between linear recursion, binary recursion, multiple recursion in terms how many recursive class each function makes

#### Trees

**Tree**: If a tree is non-empty, there exists a root node with no parent. Each child of that root is in turn the root of another sub-tree

**Descendant:** Any node lower by 2 or more levels

Ancestor: Any node higher by 2 or more levels

**Depth:** Number of levels separating node from the root **Height**: The maximum levels of the tree (excluding root)

**Proper binary tree**: Each node must have either 0 or 2 children

**Complexities**: depth $\rightarrow O(dp + 1)$ , height  $\rightarrow O(n)$ 

**Preorder:** Visit node before left-right children **Postorder:** Visit left-right children before node **Inorder:** Visit left child, node, right child

Permutations of n elements in a BST: Given by the  $n_{th}$  Catalan number,  $C_n = \frac{1}{n+1} {2n \choose n} = \frac{2n!}{(n+1)!n!}$ 

# **Sorted maps and Balanced Search Trees**

Map: Stores key-value pairs. Also known as an associative array

**Balanced search tree**: A binary tree is balanced if for every internal position p, the heights of p's children differ at most by 1

Deletion policy: If internal node with 2 children, replace with inorder predecessor

AVL tree: Balance after each insertion/deletion with trinode restructuring

**2,4 tree**: Size property – Every internal node has at most 4 children. Depth property – all external nodes have the same depth

**Insertion**: On overflow, promote third child (k3 is promoted from (k1, k2, k3, k4))

**Deletion**: Remove node, replace and cascade if internal, until we remove a key from an internal node whose children are external nodes. If underflow and sibling is a 3/4-node, transfer. Otherwise if sibling is a 2-node, merge both to form a 3-node

**Red-black tree**: Root property – root is black. External property – every external sentinel node is black. Red property – the children of a red node are black. Depth property – All external nodes have the same black depth **Insertion**: x = inserted node, y = parent, z = grandparent. If y = is red, double red. If y = has black sibling, trinode restructuring on z = to form new 4-node. Otherwise, recolor y = y = sibling, and z = propagating if necessary.

**Deletion**: Delete normally and cascade until reaching a node with external child. If red, no issue. If black with one red child, promote red child and color black. Otherwise, double black. p = promoted child, y = sibling of p, z = common parent of p and y.

- 1: If y is black with a red child x, trinode restructuring on z (2,4 transfer)
- 2 :If y is black with black children, recolor y to red, p to black and z black or double black and propagate (2,4 fusion)
- 3: If y is red, z must be black. Rotate such that y is the parent of z, recolor y to black, z to red. Go to case 1 or 2

**Complexities**: search, insertion, removal  $o O(\log(n))$  for AVL (restructuring) and RBT (recoloring)

For red-black tree insertion,  $\leq 1$  trinode restructure. For red-black tree deletion,  $\leq 2$  trinode restructures

### **Hash Tables and Maps**

Hashcode implementations: XOR, polynomial function, bitwise cyclic shift

**Probing methods**: Given a hashcode h(k), we probe A[(h(k) + f(i))%N] for i = 1, 2, ..., N:

Linear probing  $\to f(i) = i$ , Quadratic probing  $\to f(i) = i^2$ , Double hashing  $\to f(i) = i \cdot h'(k)$ 

## **Heaps and Priority Queues**

**Heap:** Heap-order property – for every position p, the key is greater than its parent. Complete binary tree property – every level of the tree has the maximal number of nodes possible, and the remaining noes reside in the leftmost possible positions.

**Complexities**: insertion+removal $\to O(n)$  for list implementations,  $O(\log(n))$  for heap. heap insertion $\to O(\log(n))$ , heapify $\to O(n)$ 

## Graphs

**Graph:** A tuple G = (V, E) where V is the set of vertices and E is the set of edges

**Path**: A set of alternating vertices and edges from u to v, where each edge is incident on the immediate predecessor and successor vertices. *Simple* if no repeated vertices

Cycle: A path from u to itself, involving at least one other vertex. Simple if no repeated vertices

**Connectedness**: A graph is connected if there is a path between any 2 vertices. *Strongly connected* if for any pair of vertices u and v, u is reachable from v and v is reachable from u

**Subgraph**: G' = (V', E') is a subgraph of G = (V, E) if  $V' \in V$  and  $E' \in E$ . Spanning subgraph if V' = V,

**Degree**: Number of edges incident on a vertex. *In-degree* - incoming edges, *Out-degree* - outgoing edges

**Edge list**: n vertices and m edges stored in separate unordered lists. Limitations in processing edges for a given vertex

Adjacency list: n vertices stored in an unordered list, where each vertex maintains its own unordered list of all incident edges

Adjacency map: n vertices stored in an unordered list, each vertex maintains a map where key=adjacent vertex and value=edge

**Adjacency matrix**: 2D array A of  $n \times n$ , where A[u][v] holds a references to the (u, v) edge if it exists

**Topological ordering**: Any given graph G has a topological ordering if and only if it is acyclic. Its vertices  $V_1, V_2, ..., V_n$  are ordered such that for every edge  $(V_i, V_i)$  of G, we have i < j

**Minimum spanning tree**: Given an undirected, weighted graph G, a minimum spanning tree of G is a tree T containing all the vertices in G, that minimizes the sum of weights,  $\sum_{(u,v\in T)}w(u,v)$ . If all edges of G has distinct weights, the minimum spanning tree is unique

| Method                      | Edge List | Adj List | Adj Map       | Adj Matrix |
|-----------------------------|-----------|----------|---------------|------------|
| numVertices(), numEdges()   | 0(1)      | 0(1)     | 0(1)          | 0(1)       |
| vertices()                  | O(n)      | 0(n)     | 0(n)          | O(n)       |
| edges()                     | O(m)      | O(m)     | O(m)          | O(m)       |
| getEdge(u, v)               | O(m)      | O(1)     | O(1) expected | O(1)       |
| outDegree(v), $indegree(v)$ | O(m)      | 0(1)     | 0(1)          | O(n)       |
| outgoingEdges( $v$ ),       | O(m)      | $O(d_v)$ | $O(d_v)$      | O(n)       |
| incomingEdges( $v$ )        |           |          |               |            |
| removeVertex(v)             | O(m)      | $O(d_v)$ | $O(d_v)$      | $O(n^2)$   |
| insertVertex(x),            | 0(1)      | 0(1)     | 0(1)          | 0(1)       |
| insertEdge $(u, v, x)$ ,    |           |          |               |            |
| removeEdge(e)               |           |          |               |            |

Prim-Jarnik: Start from any vertex as its own graph, build up by adding lowest cost edges to undiscovered nodes

**Kruskal**: Each node is its own cluster at the beginning. Iteratively consume lowest cost edges that connect different clusters