

# Computer Security 2012

## Project 2: Medical Records and Secure Connections

This project should be done in groups of 1-4 people.

### 1 Goal

The goal of this project is the following

1. You should practice your technical writing skills.
2. You should learn how a SSL connection between a server and a client can be set up in practice in Java, including authentication of both the server and the client.
3. You should implement a simple access control scheme.
4. You should apply the knowledge gained in the course by identifying and documenting the strengths and weaknesses of your solution.

### 2 Introduction

The purpose of this project is to study a “real case” problem that requires security mechanisms in its solution. The project aims at going through most of the stages of the development process, including design, implementation, evaluation and documentation. Medical records contain sensitive data and how these records should be handled is regulated by law [3].

Looking at the law, we can see that

- Someone working at a hospital has access to a medical record only if he/she treats the patient or if he/she needs the medical record for some other reason related to his/her work.
- A hospital can allow the patient to access his/her medical record provided that the patient is authenticated.
- An audit log must be kept that logs all access to a medical record. This can help preventing, detecting and reacting to security breaches and other types of unauthorized access to the medical records.
- Socialstyrelsen can decide that a medical record should be destroyed.

Interpreting the law, “Socialstyrelsen” provides more detailed regulations [1], see also [2]. In these documents, among other things, we can find that

- If an open network is used to manage medical records, the data must be transmitted such that unauthorized disclosure of the data is prevented, and strong authentication must be used, i.e., two factor authentication.
- People treating a patient must have enough access so that their work can be carried out safely, but they should not have more access than necessary. Only individual access is allowed, no group access.
- The audit log should contain information about who did what and when.

### 3 Project Description

You will not implement all aspects of all regulations, but the project will use them as a starting point. Let us consider the following scenario:

The medical records in a hospital are kept in a common database managed by a server. Individuals are allowed access to the database by remote access to the server using an open network.

Individuals are of the following types: patient, nurse, doctor, and government agency. Each patient has one or several medical records. Each record contains the name of the associated nurse and doctor (those who treated the patient), the hospital division (where it took place), and some medical data.

For the sake of this project, we interpret the law and the regulations as follows. Each nurse and doctor is associated with a hospital division. Access to medical records is done according to the following rules.

- A patient is allowed to read his/her own list of records.
- A nurse may read and write to all records associated with him/her, and also read all records associated with the same division.
- A doctor may read and write to all records associated with him/her, and also read all records associated with the same division. In addition, the doctor can create new records for a patient provided that the doctor is treating the patient. When creating the record, the doctor also associates a nurse with the record.
- A government agency is allowed to read and delete all types of records.

This interpretation might seem a bit liberal, as it in some cases gives more access than allowed by the regulations. However, this is nothing compared to reality [8]. At the same time, having access control on medical records for hospital staff comes with problems in itself. This is a tricky subject and a reliable overall solution does not exist. We have a tradeoff between availability and confidentiality and in our solution we will have more confidentiality and less availability.

Your implementation should consider a small example with a few patients, a few nurses, a few doctors, and one government agency. You do not need to include a database system for your records (if you don't want to), simply store them in some convenient way. You must also make sure that all actions are properly logged.

Access to the records is provided through a public client program (you must consider all implementations as public knowledge in your security evaluation). The server is situated in a physically protected room. Access to this room is only allowed under the supervision of trusted staff. Proper backup is assumed.

The project must be implemented in the Java language. You will need to write a client application, a server application and possibly some additional application. Some form of two factor authentication of individuals is needed and one way to accomplish this is to authenticate users with certificates. This will require them to have both the actual keystore and also the password to the keystore. You are free to use some other two factor authentication method if you want. All certificates must be signed by a CA, as done in Project 1. The communication between the client and the server must be encrypted and established using the network standard SSL. The CA certificate should be installed in a truststore on both the server and the client. The CA private key should be used to sign all other certificates. The server should store all records and respond to client requests. The records do not need to be stored encrypted.

### 4 Documentation and Presentation

The documentation consists of two main parts, in total approximately 10 A4 pages.

- The first part should be an overview and a technical description of SSL/TLS and certificates put into the context of the project. In the SSL/TLS part you must describe the handshake as it is done in your program. This includes figuring out which handshake method is actually negotiated. The certificate part should relate to the certificate hierarchy used in your program.

- The second part is a security evaluation of the design. In the security evaluation, you must enumerate all possible and impossible attacks on the system, and for each of them summarize the protection that your solution offers. If none (e.g. because the attack is unrealistic), this must also be stated. As only a few examples, you should consider the following:
  - What if an attacker attempts a spoofing attack, writing his/her own client, and tricks users to use this client instead?
  - Man-in-the-middle attacks.
  - Eavesdropping communication.
  - Fake certificates.
  - The human factor. What is required by the users in order to avoid security breaches. Would it be necessary to educate the users?
  - How should the passwords be chosen?

To be accepted on the project you have to submit the documentation and source code. You also have to demonstrate your program and discuss your security evaluation. All reports must be sent with email to Paul.Stankovski@eit.lth.se. Suggest a time for presentation when sending in your report.

## 4.1 What You Should Think About

This is not a programming course, it is a computer security course. Your security evaluation is at least as important as your actual program. We do not require a program that is spotless in all aspects, but we do require that you think extensively about all security aspects that you can find, both technical and nontechnical. In your program, functionality is more important than design. We will not be selling your solution to investors, so you do not need to spend time making your program look nice. In fact, a GUI is *optional*.

## 5 Resources

- If you do not have it already, the Java SDK package can be downloaded at [9].
- Java security documentation can be found at [4]. The most important part for you is the JSSE documentation which can be found at [5]. This is a rather large document but you will learn tons of stuff by reading it and it makes the project much easier. Spending time reading the JSSE documentation will probably save you lots of time in the end.
- There is sample code in the JSSE documentation. You should be most interested in `ClassServer.java`, `ClassFileServer.java` and `SSLSocketClientWithClientAuth`.
- Java SE 7 API specification can be found at [7].
- The java language specification can be found at [6]

## 6 Hints

Here are some useful hints.

**Hint 1:** Before you begin coding, draw a design sketch to show which keystores and truststores your design will be using and where. This sketch can also be used for your report.

**Hint 2:** Distribute the work within the group. Do not sit 4 people in front of the computer.

**Hint 3:** The JSSE documentation can be tough to read, but it is a *very* good source of information with explanations and examples. Consulting it will save you lots of time.

**Hint 4:** When creating the certificates and keystores, you should take advantage of what you learned in Project 1.

**Hint 5:** You will want to use the function `setNeedClientAuth`.

**Hint 6:** When you have established the connection, the server might want to read the client certificate to check which user it is. Instead of

```
Socket socket;  
socket = server.accept();
```

you could write

```
SSLSocket socket = (SSLSocket)theServerSocket.accept();  
SSLSession session = socket.getSession();  
X509Certificate cert = (X509Certificate)session.getPeerCertificateChain()[0];  
String subject = cert.getSubjectDN().getName();  
System.out.println (subject);
```

The server will now print information about the certificate. You can use this information to check the owner of the certificate.

**Hint 7:** When starting a Java program, a truststore can be specified by setting the system properties `javax.net.ssl.trustStore` and `javax.net.ssl.trustStorePassword` as

```
java -Djavax.net.ssl.trustStore=theTruststore -Djavax.net.ssl.trustStorePassword=passphrase  
prog
```

but it can also be set in the source code.

**Hint 8:** In order to find which handshake method is used in your program you can print the `SSLSocket` object to the screen.

## References

- [1] SOSFS 2008:14 Informationshantering och journalföring i hälso- och sjukvården (SOSFS 2008:14)  
Url: <http://www.socialstyrelsen.se/sosfs/2008-14>
- [2] Ansvar för informationssäkerhet - Styrning av behörigheter. Url: <http://www.socialstyrelsen.se/regelverk/handbocker/handbokominformationshanteringochjournalforing/25>
- [3] Patientdatalag (2008:355) Url: <https://lagen.nu/2008:355>
- [4] <http://download.oracle.com/javase/7/docs/technotes/guides/security/index.html>
- [5] <http://download.oracle.com/javase/7/docs/technotes/guides/security/jsse/JSSERefGuide.html>
- [6] <http://java.sun.com/docs/books/jls/index.html>
- [7] <http://download.oracle.com/javase/7/docs/api/>
- [8] Datainspektionen. Bristande åtkomstkontroll på Karolinska sjukhuset, 2009-04-03.  
Url: <http://www.datainspektionen.se/sv/press/nyhetsarkiv/2009/bristande-atkomstkontroll-pa-karolinska-sjukhuset/>
- [9] <http://www.oracle.com/technetwork/java/javase/downloads/index.html>