

Generating Runtime Type Checks for JavaScript from TypeScript

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Declaration

I hereby declare and confirm that this thesis is entirely the result of my own original work. Where other sources of information have been used, they have been indicated as such and properly acknowledged. I further declare that this or similar work has not been submitted for credit elsewhere.

Hagenberg, September 15, 2017

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Contents

Declaration	iii
Abstract	vii
Kurzfassung	viii
1 Introduction	1
1.1 Problem Definition	1
1.2 Solution Approach	1
1.3 Thesis Structure	1
2 Technical Foundation	2
2.1 Type Systems	2
2.1.1 Explicitly and Implicitly Typed	3
2.1.2 Execution Errors	3
2.1.3 Safety and Good Behavior	4
2.1.4 Type Checking	4
2.2 JavaScript	4
2.2.1 Loose Typing	5
2.2.2 Value Types	5
2.2.3 Type Conversion	6
2.2.4 Value Comparison	7
2.2.5 Objects and Prototypal Inheritance	8
2.2.6 Latest Improvements	9
2.2.7 Extensions	14
2.2.8 Further Reading	15
2.3 Abstract Syntax Tree	15
3 State of the Art	17
3.1 TypeScript	17
3.1.1 Basic Types	17
3.1.2 Type Inference	18
3.1.3 Type Annotations	18
3.1.4 Type Assertions	18
3.1.5 Ambient Type Declarations	19
3.1.6 Structural Types	20

3.1.7	Classes	20
3.1.8	Enums	21
3.1.9	Namespaces	21
3.1.10	Parameter Default Values	22
3.1.11	Future JavaScript	22
3.2	Flow	22
3.3	Other Supersets	23
3.4	Comparison	23
3.5	Existing Runtime Projects	23
4	Theoretical Approach	24
4.1	Initial Situation	24
4.1.1	Undetectable Errors	24
4.1.2	Runtime Type Checks	27
4.2	Desired Result	29
4.3	Definition of Cases	29
4.3.1	Interfaces and Type Aliases	29
4.3.2	Variable Declarations and Assignments	30
4.3.3	Type Assertions	30
4.3.4	Functions	30
4.3.5	Enums	30
4.3.6	Classes	31
4.3.7	Type Queries	31
4.3.8	Externals	31
4.3.9	Ambient Declarations	31
4.4	Required Steps	31
4.4.1	Configuration	32
4.4.2	Read Source Files	32
4.4.3	Syntax Analyzation	32
4.4.4	Abstraction	33
4.4.5	Static Type Checks	33
4.4.6	Scan Abstraction	33
4.4.7	Transformations	33
4.4.8	Target Code Emit	34
4.5	Summary	34
5	Implementation	35
5.1	Technology	35
5.1.1	TypeScript Compiler	35
5.1.2	Runtime Type System	37
5.2	Architecture	38
5.2.1	Central Element	38
5.2.2	Components	39
5.2.3	Outline	40
5.3	Application Structure	40
5.4	Components	41

5.4.1	Transformer	41
5.4.2	Mutators	42
5.4.3	Factory	49
5.4.4	Context	50
5.4.5	Utility	50
5.4.6	Event Bus	50
5.4.7	Scanner	50
5.4.8	Options	51
5.5	Transformation Process	53
5.6	Usage	54
5.6.1	Application Programming Interface	54
5.6.2	Command Line Interface	55
5.6.3	Playground	56
6	Evaluation	58
6.1	Automated Unit Tests	58
6.2	Performance Analyzation	58
6.3	Comparison	58
6.4	Interpretation and Conclusion	58
7	Summary and Outlook	59
	References	60
	Literature	60
	Online sources	61

Abstract

Kurzfassung

Chapter 1

Introduction

1.1 Problem Definition

1.2 Solution Approach

1.3 Thesis Structure

Chapter 2

Technical Foundation

This chapter will give an overview of the technical knowledge required for this thesis. It will give an exposure to the different type systems, the programming language JavaScript and JavaScript supersets. Also the terminology used throughout this paper will be specified, since standard terminology differs across sources [1, p. 1].

2.1 Type Systems

There are different kinds of programming languages with different characteristics and specifications. An essential part of a language is its type system, which has a great impact on the behavior of a program and may influence the syntax the program is written in. In general a programming language can be categorized as typed or untyped, where untyped languages do not have a static type system at all, or have a single type that can hold any value [1, p. 2]. More precisely a language is considered typed independently of types being part of the syntax, but simply by the existence of a (static) type system [1, p. 2]. According to Cardelli from *Microsoft Research*¹ a type system is

[a] collection of type rules for a typed programming language [1, p. 38]

with the purpose to

[...] prevent the occurrence of *execution errors* during the running of a program [1, p. 1].

He further equates *type system* with *static type system* and also Pierce defines type systems as being static [11, p. 2], which categorizes languages as untyped, that may distinguish between types in run time, but do not have knowledge about types during compile time or interpretation, such as JavaScript (see section 2.2). This notion is further supported by Loudon and Lambert, stating that

[l]anguages without static type systems are usually called untyped languages (or dynamically typed languages). Such languages include [...] most scripting languages such as Perl, Python, and Ruby [8, p. 331].

¹<https://www.microsoft.com/research/>

Obviously, a widely adopted consensus in terminology is to use both, *untyped* (e.g. in [19, p. 117]) and *dynamically typed* (e.g. in [5, p. 32] and [9, p. 203]) for languages without a static type system. Anyway, following the terminology of Cardelli, expressions like *statically typed* or *dynamically typed* are avoided in favor for *statically checked* and *dynamically checked*, respectively [1, p. 1]. This should help to avoid confusion over languages having types, but are referred to as untyped.

2.1.1 Explicitly and Implicitly Typed

If types are part of the syntax of a language (e.g. Java) it is explicitly typed, whereas in implicitly typed languages, type annotations are assigned automatically by the type system [1, pp. 2–3]. Some languages, however, make use of a mixture, allowing developers to omit type annotations in various scenarios, where the type can be inferred by the compiler [11, p. 10] as shown in the C# code below:

```
var implicitNum = 10; // implicitly typed as integer
int explicitNum = 10; // explicitly typed as integer
```

While a type system—explicit, implicit or a combination of both—may detect possible execution errors already during compile time, it is not required to guard against specific errors. There are mechanisms for untyped languages to make them safe [1, p. 3], as outlined in section 2.1.4.

2.1.2 Execution Errors

Errors can occur in various situations and in order to classify a language, it is important to understand the different types of errors. Cardelli distinguished between three error categories: Trapped errors, untrapped errors and forbidden errors [1, p. 3].

Trapped Errors

A trapped error causes a program to stop immediately [1, p. 3] or to raise an exception, which may be handled in the program [11, p. 7]. An example for such an error could be division by zero [1, p. 3].

Untrapped Errors

Errors where a program does not crash or raise an exception immediately are called untrapped errors [1, p. 37]. They may remain unnoticed—at least for a while—and can lead to unexpected behavior [1, p. 3]. For example accessing data from an array that is out of bounds is perfectly legal in the programming language C [11, p. 7], but can lead to errors or arbitrary behavior later in the program [1, p. 3].

Forbidden Errors

Following the definition of Cardelli, forbidden errors should include “all of the untrapped errors, plus a subset of the trapped errors [1, p. 3]”. They are not generally defined, but vary between programming languages and may even not include all untrapped errors, which leads to a language being considered as unsafe [1, p. 4].

2.1.3 Safety and Good Behavior

A programming language can be considered as safe if no untrapped errors can appear, and is well behaved (i.e., good behaved), if no forbidden errors can occur [1, p. 3], consequently good behavior implies safety. Not all major languages are safe, and therefore not well behaved, such as C or C++ [11, p. 6], as guaranteeing safety usually results in increased execution time. An example for a safe language, with decreased development and maintenance time compared to an unsafe language, is Java [1, p. 5].

2.1.4 Type Checking

To ensure that a program follows the specified rules of its type system and to guarantee safety and good behavior (i.e., ensuring the absence of forbidden errors [1, p. 37]), as described in section 2.1.3, type checking may be performed. Again, Cardelli treats *type checking* and *static type checking* as equivalent and calls languages that employ such a technique *statically checked* [1, p. 3]. Dynamically checked programming languages, on the other hand, may also ensure good behavior by applying sufficient checks at run time. Anyway, statically checked languages may also perform checks during the execution of a program to guarantee safety, if not all untrapped errors can be discovered statically during compilation [1, p. 4].

2.2 JavaScript

JavaScript dates back to 1996, where its creator Brendan Eich from the company *Netscape*² submitted the language to Ecma International³ [10, p. 28], an “industry association founded in 1961, dedicated to the standardization of information and communication systems [42]” and since became one of the most popular programming languages in the world [2, p. 2]. According to *GitHub*⁴ it was the most popular language on its platform by opened *Pull Requests*⁵ with a growth of 97% in 2016, followed by Java, which saw an increase of 63% compared to 2015. Also *TypeScript* (see 3.1) is following up, which takes the 15th place with an increase of Pull Request by 250% [56].

While JavaScript is known for programming inside browsers and adding visual effects to websites [12, p. 4], its first use in a product was on the server-side in 1994 [10, p. 369]. Since then, no application platform for JavaScript was available for 17 years until Ryan Dahl created and released *Node.js*⁶ in 2011, which allowed developers to build cross-platform applications in JavaScript. It is built upon Google’s *V8 JavaScript engine*, also used in the popular *Chrome*⁷ browser [10, p. 369].

The following sections try to give an ample exposure to the language JavaScript,

²*Netscape Communications*—founded as *Mosaic* in 1994—released its *Netscape Communicator* browser in 1995 which became the leading internet browser at that time [36].

³<https://www.ecma-international.org>

⁴<https://github.com>

⁵Pull requests on GitHub are used to let other people know about changes made to a repository. From there on these modification can be reviewed and discussed with collaborators and can be rejected or merged into the repository [22].

⁶<https://nodejs.org>

⁷<https://www.google.com/chrome/>

outlining its most important and interesting concepts. Please note, that not all cases—especially the numerous exceptions—are described, as this would go beyond the scope of this thesis.

2.2.1 Loose Typing

Like in other programming languages, variables can be declared and values may be assigned to them. An essential concept of JavaScript is its loose typing, meaning that any value may be assigned or reassigned at any time to any variable:

```
let foo = 10;
foo = "I've been a number, now I'm a string";
```

The term *loose typing* may be misleading to infer that JavaScript has a type system. However, when following standard terminology and keeping in mind that type system is equal to *static* type system, it is made clear, that JavaScript is considered untyped. It does employ mechanisms to reject code from running, that has semantic errors, but evaluation is performed during execution, and errors are determined and reported during run time [47, p. 291]. Therefore JavaScript can be deemed a *dynamically checked* language (see section 2.1.4).

2.2.2 Value Types

JavaScript is an untyped and dynamically checked—but safe—scripting language, as defined in section 2.1. Most untyped programming languages are necessarily safe, as it would be exceedingly difficult to maintain the code if untrapped errors would remain unnoticed [1, p. 4]. Even though considered as untyped, the EcmaScript language specification defines seven value types [47, p. 16]:

- Undefined
- Null
- Boolean
- String
- Symbol
- Number
- Object

A major difference to a (statically) typed language is, that in JavaScript only values are typed, variables are not. When requesting the type of a variable with the `typeof` operator during run time, the assigned value's type is determined and returned as a string [18, p. 30]:

```
let num = 10;
typeof num; // "number"
```

The returned string by `typeof` does not reflect the specified value types entirely. As shown in table 2.1, objects are differentiated by whether they are callable or not. For objects with a call signature `"function"` will be returned and `"object"` otherwise. Strangely, for a value of type *Null*, the result will be `"object"`. A proposal to change the specification and to fix this bug—erroneously indicating that null is an object—was rejected, as existing code may break [73, 79].

Table 2.1: Result of the `typeof` operator by a value's type [47, p. 164].

<i>Type of Value</i>	<i>Result</i>
Undefined	"undefined"
Null	"object"
Number	"number"
String	"string"
Symbol	"symbol"
Object (not callable)	"object"
Object (callable)	"function"

Table 2.2: Values evaluated as false or true when converted to a boolean value [4, p. 40].

<i>Falsy</i>	undefined, null, 0, -0, NaN and "" (empty string).
<i>Truthy</i>	Any other value, including [] (empty array) and {} (empty object).

2.2.3 Type Conversion

In JavaScript “any [...] value can be converted to a boolean value [4, p. 40]”. If the interpreter expects a boolean value, it simply performs a conversion [4, p. 46] (see section 2.2.4). Because of that it is important to know, which values are considered true, and which conversion will result in being false, depending on their type, as shown in table 2.2. There are various situation where a conversion is desired, which happens implicitly in JavaScript. For example if a string should be added to a number, and vice versa, the number is converted to a string and the result is a concatenation of both values:

```
"2" + 3 // "23"
"Hello" + 2 + 3 // "Hello23"
```

The outcome of such an operation will most likely complete without errors, as the interpreter does its best to come up with a sufficient result. Anyway, it has a major influence on the result, how such an expression is written. In the example above, the string was seen first by JavaScript, therefore the subsequent numbers were converted to a string. If, on the other hand, the numbers came first, the result would have been completely different:

```
2 + 3 + "Hello" // "5Hello"
```

Again, even a slight change to the code means an entirely different outcome:

```
"2" + 3 + "Hello" // "23Hello"
```

A more comprehensive overview of possible type conversions, summarized by Flanagan and extended with Symbol type conversions as of the latest ECMAScript 2015 specification, can be found in table 2.3, which also highlights situations, where type conversions are not possible or lead to an error.

Table 2.3: Type conversions in JavaScript [4, p. 46, 47, pp. 36–44].

<i>Initial Value</i>	<i>String</i>	<i>Number</i>	<i>Boolean</i>	<i>Object</i>
undefined	"undefined"	NaN	false	<i>TypeError</i>
null	"null"	0	false	<i>TypeError</i>
true	"true"	1		(i)
false	"false"	0		(i)
"" (empty string)		0	false	(i)
"1.2" (non-empty, numeric)		1.2	true	(i)
"one" (non-empty, non-numeric)		NaN	true	(i)
0	"0"		false	(i)
-0	"0"		false	(i)
NaN	"NaN"		false	(i)
Infinity	"Infinity"		true	(i)
-Infinity	"-Infinity"		true	(i)
1 (finite, non-zero)	"1"		true	(i)
{ } (any object)	(ii)	(iii)	true	
[] (empty array)	""	0	true	
[9] (single numeric array)	"9"	9	true	
["a"] (any other array)	(iv)	NaN	true	
() => { } (any function)	(ii)	NaN	true	
Symbol("sym") (any symbol)	<i>TypeError</i>	<i>TypeError</i>	true	(i)

(i) For situations, where converting a value to an object does not throw a *TypeError*, a new object of the value's type is returned. E.g. for the value "Hello world!", `new String("Hello world!")` is returned [47, p. 44].

(ii) When converting an object to a string, JavaScript tries to call the `toString` or `valueOf` method on the object and converts the returned value to a string. If no primitive value can be obtained from either of these methods, a *TypeError* is thrown [4, p. 50].

(iii) The same steps as in (ii) are performed with the difference, that `valueOf` will be preferred over `toString`.

(iv) The `toString` method of the Array object joins the array separated by a comma, which would result in `["a", "b", "c"]` being converted to `"a,b,c"` [23].

2.2.4 Value Comparison

In section 2.2.4, the flexibility of JavaScript has already been outlined. Types are converted (i.e., casted) to another type if required and possible. The same is true when comparing values. JavaScript tries to implicitly convert a value to another type if it cannot perform a comparison at first. Comparing a string—holding a numerical value—with an actual number, will give the same result as comparing two values of type *Number*, as the interpreter implicitly casts the string to a number:

```
"5" > 2 // true
"2" == 2 // true
```

When comparing with the *equality operator* `==` there are a few rules to keep in mind [4, p. 72]:

- The values `null` and `undefined` are considered equal.
- If a number and a string are compared, the string is converted to a number.
- The values `true` and `false` are converted to 1 and 0 respectively.
- Objects are compared by reference⁸, whereas one value being a number and the other one being a string, JavaScript tries to convert it to a primitive value, either by using the object's `toString` or `valueOf` method.
- All other comparisons are not equal.

If a more strict comparison is required and an automatic conversion of values is not desired, the *strict equality operator* `===` may be used. Only if type and value matches, the expression evaluates to true:

```
"2" === 2 // false
2 === 2   // true
```

Following the rules from above it is interesting to look at comparing an object to the string `[object Object]`:

```
{ } == "[object Object]" // true
{ } === "[object Object]" // false
```

Using the equality operator, the object is converted using the default `toString` method, returning `"[object Object]"`, resulting in the compared values being equal. When making use of the *strict* equality operator, no conversion is performed and the expression is false.

2.2.5 Objects and Prototypal Inheritance

Every value that is not a primitive value (i.e., Undefined, Null, Boolean, Number, Symbol, or String [47, p. 5])—including functions and arrays—is an object, hence making JavaScript a highly flexible language. A key concept of the language is the prototypal inheritance of objects. Every object has a prototype, which is just a reference to another object. Anyway, the prototype is not accessible for all types of objects, but for functions, or more precisely, constructors [47, p. 3] (see figure 2.1). A constructor function is just an ordinary JavaScript function, that—by convention—begins with a capital letter [12, p. 8]. Initial values can be defined, that may be different for every instance created from the constructor.

```
function Person(name) {
  this.name = name;
}
```

On the other hand, properties on the function's prototype may be defined. This properties are shared across all instances of *Person*.

```
Person.prototype.speak = function speak() {
  return "Hi, my name is " + this.name;
}
```

⁸Todo: Explain by reference and by value shortly

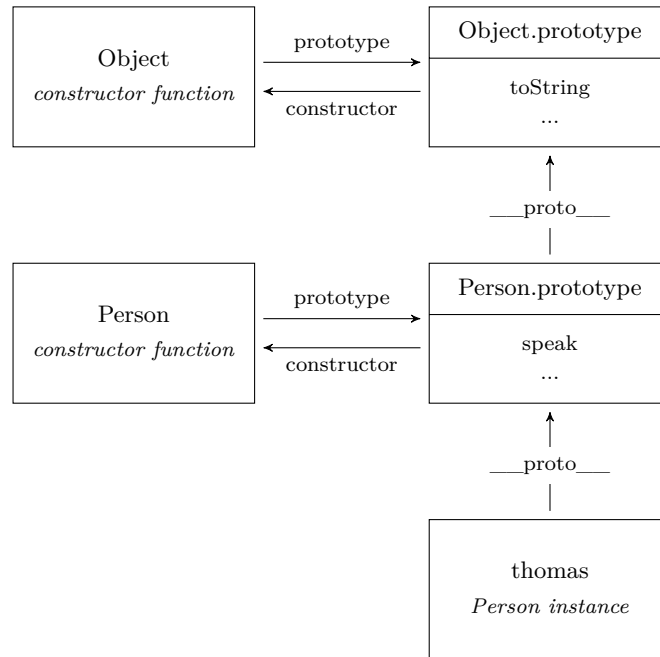


Figure 2.1: Prototypal inheritance in JavaScript.

By calling `thomas.speak()` in the code below, JavaScript looks for a *speak* property on the object *thomas*. As there doesn't exist any property with that name, the interpreter looks at the object's prototype and successfully calls the method [16, pp. 85–86].

```
let thomas = new Person("Thomas");
thomas.speak(); // "Hi, my name is Thomas"
```

If again no property *speak* would exist on the prototype, JavaScript would look at the prototype's prototype, and so on. This is called the prototype chain [16, p. 86].

2.2.6 Latest Improvements

JavaScript is recently improving rapidly, with a number of minor and major additions and improvements to the language's standard. Some additions improve readability and reduce the amount of lines of code needed to accomplish the same things in previous versions. Others add completely new functionality and concepts to JavaScript. The following few sections will give a shallow overview of a few additions to the 6th edition of ECMAScript—called *ECMAScript 2015*—as they make it most distinctive to the standard's previous edition.

Declaration Keywords

Until and including the fifth edition of ECMAScript (i.e., ES5), the only declaration keyword available was `var` [44, p. 87]. As of the sixth edition of ECMAScript (i.e., ES6), the keywords `let`—as seen in previous code snippets—and `const` were added [47, p. 194]. In order to understand the impact of using one keyword over another, it is important

Program 2.1: Variable `i` was declared on line 7 as counter for a for loop. When function `bar` is called from within the loop, the identifier `i` exists in the scope of `bar`, or rather in its enclosing scope `foo`, and `i` is assigned the value 2. This results in an infinite loop, as it will never reach its condition to stop of `i` being equal to or greater than 10. [15, p. 26]

```
1 function foo() {  
2  
3   function bar() {  
4     i = 2;  
5   }  
6  
7   for(var i = 0; i < 10; i++) {  
8     bar();  
9   }  
10  
11 }  
12  
13 foo();
```

to know the basics of how variables are scoped in JavaScript. Simpson defines a scope as

[...] the set of rules that govern how the engine can look up a variable by its identifier name and find it, either in the current scope, or in any of the nested scopes it's contained within [15, p. 13].

JavaScript makes use of a *lexical scope* model, which is based on where variables and scope blocks (e.g. functions) are written in the code [15, p. 13]. This means that

[n]o matter *where* a function is invoked from, or even *how* it is invoked, its lexical scope is *only* defined by where the function was declared [15, p. 16].

Program 2.1 gives a simple example of how scopes behave in JavaScript and also shows the importance to be aware of it. While there are ways to get around lexical scoping in JavaScript, those mechanisms are considered bad practice [15, p. 14] and come with performance issues [15, p. 21], hence won't be covered here.

Before `let` and `const` were introduced, the easiest way to create a scope was a function [17, p. 7]. Other programming languages, like Java, support block scope [15, p. 7], which means that variables will be scoped by any block that is created, including loops. JavaScript, however, has a function scope, as shown previously in program 2.1. As of ES6, with `let` it is possible to make use of block scoped variables, whereas `var` would lead to the variable being scoped to its parent function or the global scope, if no enclosing function exists. The code below demonstrates, that creating a simple block in JavaScript in combination with a `var` declaration, does not scope the identifier to that block [17, p. 8].

```
1 var a = 1;  
2  
3 {  
4   var a = 2
```

Program 2.2: The function scope was outlined in program 2.1 when using a `var` declaration for a loop, resulting in an infinite loop. In the example below, the only difference to program 2.1 is that `var` has been replaced in favor for `let` on line 7. This causes the variable `i` being block scoped to the for loop, and *not* to its enclosing function `foo`. Therefore the assignment in `bar` won't change the value of `i` from line 7 and the loop is called exactly ten times.

```
1 function foo() {  
2  
3   function bar() {  
4     i = 2;  
5   }  
6  
7   for(let i = 0; i < 10; i++) {  
8     bar();  
9   }  
10  
11 }  
12  
13 foo();
```

```
5 }  
6  
7 console.log(a); // 2
```

On the other hand, when declaring `a` on line 4 with the `let` keyword, the variable will be scoped to its enclosing block. In this case it doesn't make any difference, whether the declaration on the first line uses `var` or `let`, as it is top level and will live in the global scope anyway.

```
1 var a = 1;  
2  
3 {  
4   let a = 2  
5 }  
6  
7 console.log(a); // 1
```

Anyway, it may be a good practice to use the block scope behavior for variables with `let` or `const` over `var` at any time, if not explicitly needed otherwise. This may prevent errors and unexpected behavior, as shown in program 2.2, when comparing to program 2.1.

The `const` keyword behaves exactly the same as `let`, with the only difference that it is a constant, meaning that its value is fixed and cannot be changed. An attempt to reassign a constant identifier would result in an error [15, p. 39].

```
const a = 1;  
a = 2; // TypeError
```

However, this does not affect e.g. properties of an object assigned to a constant variable, unless the property itself is marked as not writeable.

```
const b = { name: "Foo" };  
b.name = "Bar";
```

Program 2.3: Line 5 of the program logs the global `window` object in browsers, whereas on line 9 the object `bar` is logged to the console [76, p. 18].

```
1 const foo = function() {  
2   console.log(this);  
3 };  
4  
5 foo();  
6  
7 const bar = { foo };  
8  
9 bar.foo();
```

Arrow Functions

In order to understand the differences of the declaration keywords in the previous section, a fundamental understanding of scopes in JavaScript is indispensable. For the concept of arrow functions, also introduced in ECMAScript 2015, a basic knowledge of the `this` keyword is required. In contrast to the function scope, `this` is bound in runtime and is not associated to where a function is placed in the code [16, p. 9]. Simpson puts it succinctly, that

[w]hen a function is invoked, [...] an execution context is created. This [context] contains information about where the function was called from (the call-stack), *how* the function was invoked, what parameters were passed, etc. One of the properties of this [context] is the `this` reference, which will be used for the duration of that function's execution [16, p. 1].

Thus, the value bound to `this` differs and is influenced by *how* and from *where* a function is called. Arrow functions on the other hand use lexical instead of dynamic binding for `this` [17, p. 58]. Furthermore they inherit the `arguments` array from its parent, and also `super` and `new.target` are lexically bound [17, p. 59]. Program 2.3 shows the behavior when using a traditional function alongside `this`.

To highlight the syntactical and behavioral differences of a function compared to an arrow functions, the code below shows a normal function assigned to a constant, taking one parameter and returning a value.

```
const foo = function(a) {  
  return a;  
}
```

The same function can be written as an arrow function as shown in the following code snippet.

```
const foo = (a) => {  
  return a;  
}
```

It is possible to write the function even shorter. If only one parameter is given, the parenthesis around it can be omitted. Also when deciding not to wrap the function's body with curly brackets, the result of the statement is returned automatically, therefore typing `return` is not required, as shown in the code below.

Program 2.4: Unlike in program 2.3, where line 5 and 9 logged different objects to the console, in this example, both will log the global `window` object, due to the lexical binding of the arrow function, defined on line 1.

```
1 const foo = () => {  
2   console.log(this);  
3 };  
4  
5 foo();  
6  
7 const bar = { foo };  
8  
9 bar.foo();
```

Program 2.5: A class in JavaScript as of ECMAScript 2015.

```
1 class Foo {  
2  
3   constructor(a, b) {  
4     this.a = a;  
5     this.b = b;  
6   }  
7  
8   bar() {  
9     return this.a + this.b;  
10  }  
11  
12 }
```

```
const foo = a => a;
```

The main purpose of arrow functions, however, is not to reduce the number of characters needed for a function, but the lexical binding of `this` as shown in program 2.4. Using an arrow function over a function or vice versa, without being aware of the differences, may result in unexpected behavior.

Classes

The introduction of classes was a major step for the language's standard, although the concept is not new to JavaScript and has been used before, as seen in section 2.2.5. Program 2.5 shows a class in ES6, whereas program 2.6 demonstrates how the same result could be achieved in JavaScript prior to the sixth edition of ECMAScript. Both variants are valid in ES6 and can be used in the same way as follows:

```
const foo = new Foo(1, 2);  
foo.bar(); // 3
```

When looking at program 2.6, which shows how to accomplish a class-like behavior in ES5 and below, it is made clear, that classes in JavaScript don't work like traditional classes in other languages, and actually rely on its concept of prototypes [17, p. 135].

Program 2.6: A class in JavaScript prior to ECMAScript 2015.

```
1 function Foo(a, b) {  
2   this.a = a;  
3   this.b = b;  
4 }  
5  
6 Foo.prototype.bar = function() {  
7   return this.a + this.b;  
8 }
```

String Concatenation

JavaScript has been using the addition operator for string concatenation, which is still possible in the latest standard [46]. In the sixth edition of ECMAScript, template literals were introduced [47, p. 148, 17, pp. 47–48], giving developers more flexibility when working with strings. To showcase the ordinary way to add one string to another, the following code is given:

```
let firstname = "Foo";  
let lastname = "Bar";
```

To put the values of these variables together, the addition operator can be used:

```
firstname + " " + lastname; // "Foo Bar"
```

In order to insert a space between `firstname` and `lastname`, it has to be added as a string between the two variables. The same result could be achieved, by creating an array from these identifiers and to join the values by a space:

```
[firstname, lastname].join(" "); // "Foo Bar"
```

Starting with ES6, another possibility is to use template strings—delimited with back-ticks rather than quotes—where expressions can be inserted [17, p. 48]:

```
`${firstname} ${lastname}`; // "Foo Bar"
```

The result of all three concatenation techniques from above is identical.

Beyond ECMAScript 2015

The development of JavaScript is dependent on its specification defined by ECMAScript and it took long for new editions to be released [75]. Edition 5.1 was published in 2011 [48], from where it took four years until the sixth edition was released in June 2015 [45]. Starting with ECMAScript 2015, a new specification will be released yearly [49], with the seventh edition of ECMAScript from 2016 being the latest [74, 46].

2.2.7 Extensions

There are a multitude of extensions available for JavaScript. In [61] an extensive list of languages that compile to JavaScript, JavaScript supersets, parsers and compilers can be found. That list includes *CoffeeScript*⁹—a “[...] language that compiles into

⁹<http://coffeescript.org>

JavaScript” [33]—as well as the static type checkers *TypeScript*¹⁰ and *Flow*¹¹. Languages that have packages available for transforming its code to JavaScript include, but are not limited to, *Ruby*, *Python*, *Java*, *Scala* and *C#*.

2.2.8 Further Reading

This section handled the most important concepts of JavaScript with a focus on the characteristics that will encourage the value of runtime type checks in JavaScript, discussed later in chapter 4. Various exceptions or details were not handled, as they would go beyond the knowledge required for this thesis. If a more sophisticated knowledge of the language is desired, the *You Don’t Know JS* series by Simpson, *JavaScript: The Good Parts* by Crockford and *JavaScript: The Definitive Guide* by Flanagan, among others, are recommended.

2.3 Abstract Syntax Tree

An *abstract syntax tree* (i.e., *AST*) is the representation of a source program, created for analyzation purposes [7, p. 19], containing only the indispensable parts of the code [20, p. 12] for the most parts. A syntax tree—or abstract syntax tree—is usually created by the compiler at an early stage. More specifically it is usually the second out of five compilation phases [7, pp. 2–3]:

1. The *scanner* or *lexical analyzer*, reads and tokenizes the source code, where a token is typically a keyword, an identifier or a literal.
2. In the next step the *parser*, also called *syntactic analyzer*, combines multiple tokens to e.g. an expression, a statement or a declaration. The result of the parser is the abstract syntax tree.
3. The *semantic analyzer* performs, among other things, type checks and range checking.
4. In the fourth step of a typical compiler, the *optimizer* creates intermediate code and applies code improvement algorithms.
5. The *code generator* is the last step, where the final target code of a program is generated.

To demonstrate, how an abstract syntax tree may look like for TypeScript, a simple variable declaration was inserted in the online editor *AST explorer*¹², which can visualize the syntax tree generated by numerous parsers, including JavaScript, various JavaScript supersets such as TypeScript and Flow, CSS, and HTML [60]. The resulting syntax tree is illustrated in figure 2.2.

¹⁰<https://www.typescriptlang.org>

¹¹<https://flow.org>

¹²<https://astexplorer.net>

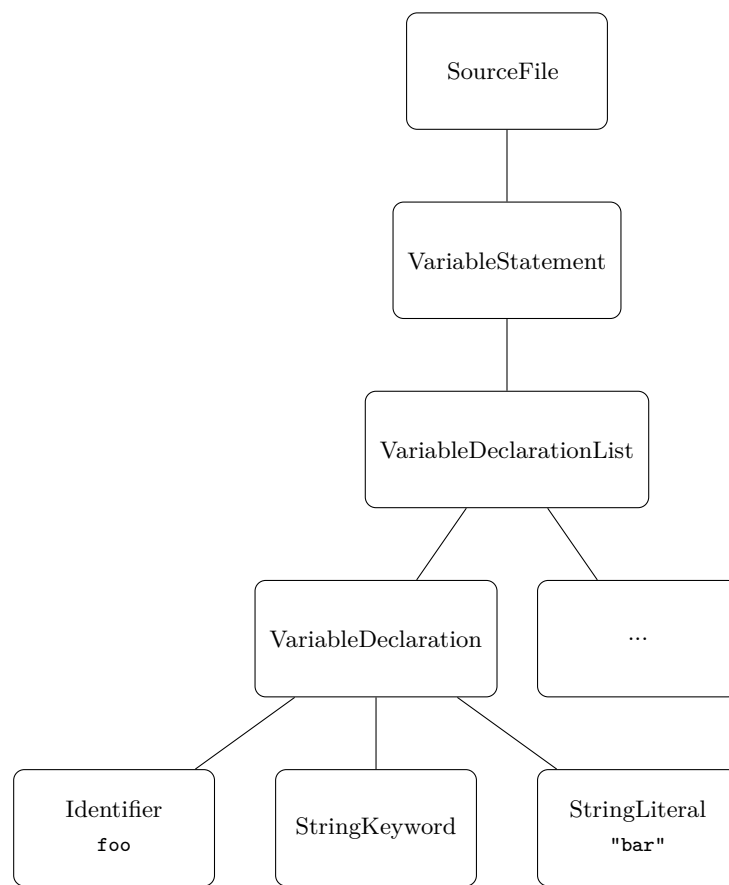


Figure 2.2: Abstract syntax tree of the TypeScript code `let foo: string = "bar".`

Chapter 3

State of the Art

The following chapter will provide an overview of available technologies and techniques to introduce a static type system—as defined in section 2.1—to JavaScript. The main focus will be on TypeScript, but also other supersets will be explored. In conclusion, existing projects, to enable runtime type checks for these supersets, will be highlighted. Weisstein defines a *superset* as

[a] set containing all elements of a smaller set. If B is a subset of A , then A is a superset of B [...] [80].

This means that every program that is valid in JavaScript is also legal in a JavaScript superset, where the purpose of such a superset is to add features to the original language. As the source written in the supersets syntax will be compiled to JavaScript, any additional functionality needs to be representable as JavaScript code.

3.1 TypeScript

TypeScript was created by Anders Hejlsberg—the designer of C#—at Microsoft [14, p. 10] and was released in 2012 under the Apache 2.0 open source license [3, p. xix]. The most important aspect of TypeScript is, that it includes a compilation step, where static type checking is performed [14, p. 11]. Type annotations are optional and the compiler will infer type information where possible [76, p. 10]. TypeScript also introduces concepts known from other programming languages, such as interfaces and enumerations (i.e., enums). Not only it is possible to develop a program in the TypeScript syntax, but also to add type annotations to existing JavaScript projects [14, p. 13]. The most significant particularities and features will be explored in this section.

3.1.1 Basic Types

Like many major languages, TypeScript defines some basic types, that overlap with JavaScript's types, listed in section 2.2.2, while also introducing new ones [24]:

- *Tuple* is a special kind of an array, only allowing a fixed number of elements.
- *Enum* may already be known from other programming languages, like Java, and is useful to define a set of numeric values.

- *Any* results in type checking not being performed by the compiler. This is useful when using TypeScript alongside third-party-libraries where no type definitions are available. It also allows e.g. access to any property on an object, whether it does exist or not.
- *Void* is the counterpart to *Any*. Again, it is used in other languages, for example to annotate functions that do not return a value. In TypeScript also variables may be typed as `void`, meaning that only `undefined` or `null` will be accepted as value.
- *Never* for instance is useful for functions that always throw an error or result in an infinite loop, as no value will ever come back [24].

While other types, such as *Function* or more advanced structures are also available, the ones listed in combination with those already defined in JavaScript (i.e., Undefined, Null, Boolean, String, Symbol, Number, and Object) are the most important ones to get started.

3.1.2 Type Inference

As already mentioned, TypeScript infers the type if possible. The snippet below shows a simple variable declaration in JavaScript (or TypeScript), where the TypeScript compiler can automatically infer the type `Number` from the declaration.

```
let num = 1;
```

Therefore it won't allow any subsequent assignment to `num` not being a number. For example the reassignment `num = "foo"` would result in the following compiler diagnostic:

```
Type '"foo"' is not assignable to type 'number'.
```

The term *diagnostic* over *error* is used here, because by default the compiler won't stop in such cases and will do its best to emit the final JavaScript code [76, p. 12]:

```
let num = 1;  
num = 'foo';
```

The code above shows the resulting JavaScript program, even though the compiler detected a type error.

3.1.3 Type Annotations

While type inference can be useful in some situations, others require types to be set explicitly, as shown below:

```
let num: number;  
num = 1;
```

The variable `num` was declared, but not initialized, requiring a type annotation, in order to be treated as a number by TypeScript. Omitting the explicit type information, the compiler would infer the *Any* type, allowing arbitrary assignments to the variable.

3.1.4 Type Assertions

Type assertions are a way to provide TypeScript with type information, which is not available to the compiler. They are

[...] like [...] type [casts] in other languages, but [perform] no special checking or restructuring of data [24].

It is the developer that has to take care of performing sufficient checks when using a type assertion. Because of the possibility to use any existing JavaScript library with TypeScript, situations where the compiler does not have any type information of the external package available may occur. Type assertion can be a solution to prevent compile time type errors in such cases:

```
import RandomName from 'random-name';
let name: string = (RandomName as any).getName();
```

In the sample from above, the default export from the library `random-name` was imported as `RandomName`. This package is neither written in TypeScript nor does it have type definitions available. However, the library has a callable `getName` property, returning a string. As the compiler is not aware of the package's properties and their return types, it is necessary to tell it which type to assert. `RandomName` was casted to `any`, allowing property access independently of their existence. Again, because of type assertions (or type casts) not performing any special checking, the solution from above may lead to errors if the author of the package decides to change its API¹. Therefore manually checking for if `RandomName` has a callable `getName` property and is actually returning a string may be recommended here. As an alternative to the type casting syntax with the `as` keyword, the following may be used:

```
let name: string = (<any>RandomName).getName();
```

However, this *angle-bracket* syntax is not supported when using TypeScript with *JSX*² [24], making the `as` syntax preferable.

3.1.5 Ambient Type Declarations

In TypeScript either existing structures—such as classes and basic types—can be used as type annotation, or they can be defined via interfaces or type aliases. The latter are not part of the code after compilation, while e.g. classes or enums remain in the JavaScript code. Anyway, it is also possible to declare, among others, a class or variable as ambient in TypeScript. This may be useful when consuming a third party package, that was not written in TypeScript and there are no type definitions for it available. In the previous section type casting was used to circumvent this issue. While this is a possibility, it may not be suitable, if the library is used frequently in a project. Prepending e.g. a variable, class, namespace or enum with the `declare` keyword, makes them ambient and will be treated by the compiler as if they were part of the code:

```
declare const RandomName: any;
```

This results in TypeScript always treating the variable `RandomName` as having type `any`. Alternatively the imported package may be described in more detail:

```
declare const RandomName: {
  getName: () => string;
};
```

¹TODO: Explain API

²“JSX is an embeddable XML-like syntax [...] meant to be transformed into valid JavaScript [which] came to popularity with the React framework, but has since seen other applications as well.” [59]

Program 3.1: An instance of `Person` can be assigned to a variable with type `Named` on line 10, because of TypeScript's structural type system. In languages with a nominal type system the class `Person` would need to implement the interface `Named` in their corresponding syntax, for this example to be valid [78].

```
1 interface Named {  
2     name: string;  
3 }  
4  
5 class Person {  
6     name: string;  
7 }  
8  
9 let p: Named;  
10 p = new Person();
```

From now on, TypeScript will know, that the import has a callable property `getName` that returns a string.

3.1.6 Structural Types

Types in TypeScript are structural [76, p. 11], meaning that the type checker looks at members of an object, to ensure type compatibility, while other major languages, such as C# or Java, use nominal type systems [78]. Program 3.1 gives an example that would fail in a nominally typed language, but is possible in TypeScript.

3.1.7 Classes

TypeScript not only enables static type checking for JavaScript applications, but also includes additional language features. While EcmaScript 2015 introduced classes, with TypeScript it is possible to also add visibility modifiers and interface implementation to them as shown below.

```
class Person implements Human {  
    public name: string;  
    private age: number;  
}
```

The keywords `public`, `protected` and `private` may be used for class members and methods. Also it is possible to define members and provide default values outside of the constructor, as well as to mark properties as `readonly`, preventing any reassignment.

```
class Person implements Human {  
    public readonly id = uid();  
}
```

Anyway, it is important to note, that the modifiers described, as well as implemented interfaces, are only relevant during compile time. After the final JavaScript code has been emitted, this information is stripped out and cannot be used in the running program, as shown in the compiled class, using plain JavaScript syntax, below.

```
class Person {
```

```
    constructor() {  
        this.id = uid();  
    }  
}
```

This means that it is technically possible, to assign any arbitrary value to `id` of a `Person` instance during runtime.

3.1.8 Enums

As already mentioned earlier, an enumeration is beneficial for defining a set of numeric values. The TypeScript compiler will take any enum declaration and transform it into runnable JavaScript code. The following enum in TypeScript syntax

```
enum HairColor {  
    Black, Blond, Brown, Red, Other  
}
```

results in the JavaScript code below, which creates an object containing the values defined in the enumeration.

```
var HairColor;  
(function (HairColor) {  
    HairColor[HairColor["Black"] = 0] = "Black";  
    HairColor[HairColor["Blond"] = 1] = "Blond";  
    // ...  
})(HairColor || (HairColor = {}));
```

The enum can now be accessed during runtime to obtain the corresponding numeric value. Also it is possible, to reveal the name of a numeric value from the enumeration:

```
HairColor.Black // 0  
HairColor[0] // "Black"
```

However, if the enumeration is declared as constant, the compiler will look up the numeric value and will insert it directly into the source code, before entirely removing its definition [50].

3.1.9 Namespaces

In TypeScript, namespaces provide a possibility to encapsulate code. They were previously referred to as *internal modules*, but have since been renamed to avoid confusion with native *modules* of the EcmaScript standard, previously denoted as *external modules* in TypeScript [65]. Code within a namespace only expose explicitly exported parts.

```
namespace Capsule {  
    let foo = "Hello from Capsule!";  
  
    export function bar() {  
        return foo;  
    }  
}
```

Accessing `foo` of the namespace `Capsule` would result in `undefined`, whereas calling `bar` would return the value of `foo`. If taking a look at the JavaScript code, generated from the namespace above, this behavior is made clear.

```
var Capsule;
(function (Capsule) {
  var foo = "Hello from Capsule!";
  function bar() {
    return foo;
  }
  Capsule.bar = bar;
})(Capsule || (Capsule = {}));
```

A variable with the name of the namespace will be declared and an empty object will be assigned to it. Only the namespace's exported parts will be added to this object to be exposed, while all other values remain exclusively accessible from within the self executing function itself.

3.1.10 Parameter Default Values

Another useful feature is the possibility to define default values for parameters in TypeScript. This gives developers the ability to avoid parameters being `undefined` if not passed, and can be useful in various other scenarios.

```
function log(message: string, logger = console) {
  logger.log(message);
}
```

The example above shows a simple log function which writes a string to the console, when omitting the second parameter. If another log mechanism is desired, it is possible to pass a different logger to this method, which also implements the Console interface, or at least shares its properties. The same result can be achieved with plain JavaScript as well, as shown in the compiled code below:

```
function log(message, logger) {
  if (logger === void 0) { logger = console; }
  logger.log(message);
}
```

If the parameter `logger` equals `void 0`, which is identical to `undefined`³, the global variable `console` will be assigned to it. Otherwise the parameter passed to the function `log` will be used as is.

3.1.11 Future JavaScript

3.2 Flow

*Flow*⁴ is another open source static type checker for JavaScript, developed by *Facebook*⁵.

³TODO: explain void 0

⁴<https://flow.org>

⁵<https://code.facebook.com/projects/>

3.3 Other Supersets

3.4 Comparison

3.5 Existing Runtime Projects

Chapter 4

Theoretical Approach

After defining the terminology for this thesis, as well as giving an exposure to available technologies and projects, the theoretical approach for generating runtime type checks from TypeScript type annotations for runnable JavaScript code will be described in this chapter. The project of this thesis will further be referred to as *ts-runtime* (i.e., *typescript-runtime*) or *tsr*.

4.1 Initial Situation

This section is dedicated to point out, why runtime type checks are of importance. Also current approaches and solutions are discovered, to get an overview of the techniques available and steps required to make a TypeScript project more resistant to failure.

4.1.1 Undetectable Errors

There are various situations the static type analysis of TypeScript cannot detect errors that may occur during runtime. Either a project is written in TypeScript, so the compiler can infer type information needed, or type definition files may be provided for untyped JavaScript libraries. In both cases it is possible to introduce errors, which may cause the type checker to make wrong assumptions about type compatibility. Also particular programming techniques can result in errors not being trapped already during compilation.

Compiler Analysis Circumvention

In TypeScript it is possible to perform a special kind of type cast, called *type assertion*, as described in section 3.1.4. While the compiler will trigger an error, when trying to assert an incompatible type—e.g. asserting a string as a number—there exists a special case to bypass static type checks for a given variable or value entirely. If a variable is annotated or asserted with the *any* type, type checking and type inference will be disabled. The TypeScript documentation describes this type as

[...] a powerful way to work with existing JavaScript, allowing you to gradually opt-in and opt-out of type-checking during compilation. [24]

It seems legitimate to use *any* alongside the utilization of third party libraries or in situations where the flexibility of JavaScript's loose typing (see sec. 2.2.1) is required. However, opening the possibility to opt-out of type checks can have a negative impact for projects depending on libraries where this technique is misused. The following code snippet outlines a situation, where compilation passes, but an error is thrown at runtime:

```
let foo: any = 'bar';
foo.getNumber();
```

Because of type checks being disabled for variable `foo`, access to the not existing property `getNumber` won't be detected by the compiler. Even if the identifier was annotated correctly, or its type could be inferred by omitting a type annotation, it is possible to get around type checks:

```
let foo: string = 'bar';
(foo as any).getNumber();
```

In both cases, the JavaScript runtime engine will throw *TypeError: foo.getNumber is not a function*. The examples from above highlight the potentiality of creating conditions where a detectable mistake remains undiscovered by the compiler, which could cause a program to crash.

Polymorphism

Polymorphism in combination with type assertions can lead to errors at runtime. While the TypeScript compiler does check type compatibility in general, it allows casts to a type that would be assignable to the variable's type being asserted. To give an example of such a situation, the following code is given:

```
class Animal { }

class Cat extends Animal {
  miow() {
    return "Miow";
  }
}

class Dog extends Animal {
  woof() {
    return "Woof";
  }
}
```

As a next step an instance of `Cat` is created and assigned to a variable, typed as `Animal`, as shown below:

```
let dog: Animal = new Dog();
```

In order to call the method `woof` on the `Dog` instance, it needs to be asserted as follows:

```
(dog as Dog).woof();
```

The TypeScript compiler does not raise any concern, as the type `Dog` is assignable to `Animal` and therefore is allowing the cast. Subsequently, also the following type assertion passes without any compiler errors:

```
(dog as Cat).miow();
```

While static type checks are successful, the compiled JavaScript code will fail at runtime. As no method `miow` exists on the `dog` object, a *TypeError* exception will be thrown at runtime, saying that “`dog.miow` is not a function”.

Untyped JavaScript Libraries

If a JavaScript project was written in native syntax, TypeScript can't infer the type information needed, to perform sufficient static type analysis. In this cases, type declarations may be provided manually, as discussed in the next section. *DefinitelyTyped*¹ provides a collection of such type definitions for JavaScript libraries [41]. Anyway, not all packages have definitions available, especially small projects. A practice often used in such a situation is to declare the package name, to stop complaints from the TypeScript compiler about not finding the import with `declare module "MyModule"`. After declaring the module, it effectively has the *any* type applied to it. Therefore, as already mentioned in the previous section, it is possible to access any property on the imported module, regardless of whether it exists or not. Also changes to the package's API won't be noticed, and a project depending on the module may break after updating its dependencies. When creating more sophisticated type definitions, every change of the library has to be reviewed, to manually align its declaration files.

Type Declaration Mistakes

Libraries written in TypeScript are usually published as compiled JavaScript code alongside type declaration files with the extension *d.ts*. These files include all type information that was removed for the runnable JavaScript code. The compiler can parse the definitions and can statically check the correct usage of the library, when imported in another project. If the definitions are generated during compilation, they can be considered relatively safe to use. If, however, declaration files are created manually for a JavaScript library, not written in TypeScript, there is a chance, that they contain mistakes or that they are not be up to date with the implementation. A JavaScript file *foo.js* may contain the following code:

```
class Foo {
  getName(): string {
    return "Foo";
  }
}
```

Its corresponding declaration file *foo.d.ts* provides the declaration as shown below:

```
declare class Foo {
  getNumber(): number;
}
```

The file containing the type declaration for class `Foo` does not reflect the actual implementation. The method `getNumber`, as suggested by the type definition, does not exist on the class. Code completion in an IDE (i.e., integrated development environment) may suggest to use this method, which can lead to a runtime exception. Also the static type checker would complain if attempting to use the implemented method `getName`, as it has no knowledge of it existing on the object.

¹<http://definitelytyped.org>

Program 4.1: A JavaScript function without type checks.

```
1 function sum(arr) {  
2   return arr.reduce((a, b) => a + b);  
3 }
```

Erroneous API Responses

When making use of an external API, the response should be of a given structure, known to the consuming program. An interface may be created, to describe the receiving object and to statically check its usage. If the format of the response changes unexpectedly, it is impossible to reveal this change at compile time, as the type checker relies on the interface provided. Runtime checks have to be added manually, to make sure, the response conforms to the expected structure. Otherwise an error may be raised at the execution of the program, or behavior may be different than expected.

4.1.2 Runtime Type Checks

The situations discussed in the previous sections of this chapter raised the concern of negligently or unknowingly opting out of static type checks for specific parts of the code or of providing insufficient or wrong type declaration, which may cause the compiler to miss incompatible types. These situation may be discoverable at runtime, if runtime type checks would be employed alongside compile time checks. As already mentioned in chapter 3, type annotations are stripped out for the compiled JavaScript program and no additional code is introduced to add checks at runtime. The removal of types is intended and is defined in the design non-goals of the language [31]:

[Do not] add or rely on runtime type information in programs, or emit different code based on the results of the type system. Instead, encourage programming patterns that do not require runtime metadata.

Anyway, runtime type information and checks can be useful in several situations. For example they can give more accurate error messages during development and can flag issues, that are not observable during compile time. There are proposals, to expose type information to the runtime and to add runtime type checks in the TypeScript community [55, 62, 71]. Regardless of the demand, these features won't be added, as they are out of scope for TypeScript [30, 57]. Currently manually added type checks are required to identify and easily trace errors during development. Program 4.1 shows a simple JavaScript function, which only has three lines of code, while program 4.2 shows a function with the same outcome, but added type checks—also in native JavaScript—that now requires 13 lines of code. While these examples outlined the verification of primitive types, like a number or an array, inspecting an object if it is of a given type is more complex. Instances may be checked with the `instanceof` operator, which “[...] tests whether an object in its prototype chain has the prototype property of a constructor [58]”, but interfaces or type alias are removed by the TypeScript compiler, therefore such a verification is not possible. To get around this issue, Rozentals describes three different techniques to employ type checks for the runtime engine:

Program 4.2: The JavaScript function from program 4.2 with type checks.

```

1 function sum(arr) {
2   if (!Array.isArray(arr)) {
3     throw new TypeError('array expected');
4   }
5
6   return arr.reduce((a, b) => {
7     if (typeof b !== 'number') {
8       throw new TypeError('number expected');
9     }
10
11     return a + b;
12   });
13 }

```

- **Reflection:** The prototype of a JavaScript object holds some information about the object which can be accessed. It might, for instance, contain the name of the constructor function, used to create the object. Limitations apply, as various information is only available from ECMAScript 5.1, or may not be available at all [13, pp. 98–100]. Also the name of a constructor is not suitable to categorize an object as a type, as the same name may also be used for a different constructor function or an anonymous function has been used. Simply obtaining the name is also not sufficient to check for implemented interfaces or type aliases, as they are compiled away by TypeScript.
- **Checking an object for a property:** An object could be considered as being of a type, if specified properties exist on it [13, pp. 101–102, 6, pp. 18–20]. For example every *Person* object must implement a *getName* method. By verifying if a function with this name is available on the object, it may be considered as the given type. This gets closer to TypeScript’s structural types (see sec. 3.1.6).
- **Interface checking with generics:** For every interface there is a class, which holds its property names, to identify an object as having a specific type [13, pp. 102–105, 6, pp. 17–19]. This solution is similar to the previous one, but it introduces a pattern which is more readable and maintainable.

Another mechanism is to use *decorators*², a JavaScript language feature proposal, which is currently at stage two [32], meaning that it is still a draft and not yet in the specification [77]. They can, however, already be used with TypeScript or tools like *Babel*³ [39, 40]. The solution used in [72], that makes use of decorators, again requires to add them to the source code manually. Furthermore only primitive types and instances can be checked automatically. Structural type checks—e.g. for custom objects or interfaces—have to be provided by the developer.

²<https://tc39.github.io/proposal-decorators>

³<https://babeljs.io>

4.2 Desired Result

Information about types are already available in a TypeScript development environment. Either it is provided through explicit type annotations, or the compiler tries to infer the type, which leads to the assumption, that this metadata can be used to reflect types and generate representations for the runnable JavaScript code. This representations may then be used to verify if an object conforms, based on its structure. Below is a type alias declaration of its simplest form in TypeScript:

```
type MyString = string;
let foo: MyString = 10 as any;
```

This code compiles without any errors, as the number is assigned as *any* type to a variable, that should only accept strings. In the resulting JavaScript program, the type alias, as well as the type assertion, is removed. A concept to keep this declaration also for the compiled code is shown in the following code snippet:

```
const MyString = reflect("string");
let foo = 10;
```

In this case, the name of the type alias was used as identifier for a variable declaration. Furthermore, the name of the type is used to pass it as a string to a method, which should return a reflection for it. This still results in a number being assigned to a variable, that should only accept strings. To catch this type incompatibility, the final JavaScript code could check the value, that should be assigned to `foo`:

```
const MyString = reflect("string");
let foo = MyString.accepts(10);
```

Before the number is assigned, it should be passed to the type representation of `MyString`, which should check if the received value is compatible. In case of a violation, the program should report an error.

4.3 Definition of Cases

The current situation for TypeScript projects, as discussed in section 4.1 observed, that runtime type checks cannot be generated automatically at this time. Additional effort is required to integrate code safety features for the compiled program. This means that situations may be missed, where checks would be of advantage. In order to achieve a development environment, where as many undetectable errors (see sec. 4.1.1 as possible are reported during execution time, it may be beneficial to automate the inclusion of runtime type checks. In order to provide generated runtime type checks for a TypeScript project, cases have to be collected, where such checks have to be performed.

4.3.1 Interfaces and Type Aliases

Interface and type alias declarations are removed by the TypeScript compiler and therefore need to be described for the runtime environment. The name of the given type definition should be used, to declare a variable, holding all required information to check any value for conformance.

Program 4.3: The enum `enum Enum { A }` compiled to JavaScript. [50]

```
1 var Enum;  
2 (function (Enum) {  
3     Enum[Enum["A"] = 0] = "A";  
4 })(Enum || (Enum = {}));  
5 var a = Enum.A;  
6 var nameOfA = Enum[Enum.A]; // "A"
```

4.3.2 Variable Declarations and Assignments

If a variable is declared, it also has a type bound to it during compile time. This type should be used, to declare another variable alongside the original declaration, containing the type description or reference. When assigning a value to a variable, type compatibility should be checked by using the type description declaration.

4.3.3 Type Assertions

Type assertions are comparable to type casts in other languages, with the difference that no special checks or conversions are performed (see sec. 3.1.4). To inspect if an assertion is valid, the same checks are performed as for variable assignments. Values asserted as *any*, as discussed in section 4.1.1, can be ignored, as they would always pass.

4.3.4 Functions

There are different types of functions that need to be distinguished: classic function declarations, function expressions—which can be used for every case expressions are allowed—and arrow functions (see sec. 2.2.6). For any of these types, the function has to be reflected to enable type comparison. The runtime description has to include the return type and its parameters—including their types—which can also be optional. If the function is called, the values passed as parameters, as well as the returned value, have to be checked. Additionally a function may make use of generics, to define parameter or return types [54] as shown below:

```
function foo<T>(bar: T): T {  
    return bar;  
}
```

Whatever type the parameter `bar`—passed to function `foo`—has, the returned value must be of the same type, as both are annotated with the generic type parameter `T`.

4.3.5 Enums

Enumerations (see sec. 3.1.8) are compiled to self executing functions, that initialize a corresponding object, by TypeScript [50] (see prog. 4.3). To enable type checks for the runtime, the enum has to be described with their members. In the case of program 4.3, it has exactly one member with the value of the number zero.

4.3.6 Classes

For classes a multitude of cases, that require runtime reflection and checks, have to be considered. Most importantly, the entire class—including type parameters (i.e., generics), its members, extending classes and implemented interfaces—has to be reflected, to use it as type reference at other places of the program. Methods can be checked the same way as functions, with the difference that they may also use class type parameters as type annotations. Furthermore, when instantiating a class, checks should be performed, to ensure that it correctly implemented its interfaces.

4.3.7 Type Queries

It is possible to use a value's type as type annotation in TypeScript, which looks like the following:

```
let foo: string = "Bar";  
type MyType = typeof foo;
```

In this case `MyType` would be of type `string`. TypeScript's `typeof` operator is not to be confused with JavaScript's built in operator of the same name. In TypeScript it is possible to query the type of any identifier, if not attempting to reuse it as a value. In JavaScript, a type query result may be used as value, but it can only distinguish between six value types at runtime (see tab. 2.1 in sec. 2.2.2). If a variable is annotated with a type query, the type of a variable should also be obtainable at runtime.

4.3.8 Externals

JavaScript programs usually make use of other libraries, which are imported alongside other project code. If this packages are itself written in TypeScript, or provide type declaration files, the compiler can use the type information to perform compile time checks. However, as types are also removed from external projects, their, among other, interfaces, type aliases and class reflections have to be collected and has to be made available to the runtime code.

4.3.9 Ambient Declarations

If globals for a project are not available in the development environment, but it is known that they will be present in the environment of execution, modules, classes, functions and variables can be declared for the compiler without actual implementations:

```
declare function foo(bar: number): string;
```

After the function `foo` has been declared as shown above, it can be used according to its signature throughout the project, but it will be removed for the compiled code. Such declaration should be collected and made available to the runtime the same way as externals.

4.4 Required Steps

After having clarified the current situation (see 4.1), outlined the desired result of the project (see 4.2), and pointed out conditions where transformations should take place

(see 4.3), specifying the steps required to accomplish automated runtime type check generation, is a logical consequence:

1. Set configurations for the transformation process.
2. Read the source files of a TypeScript project.
3. Analyze the source code provided.
4. Represent the input as an abstract data structure.
5. Scan abstraction to obtain type information and relationships.
6. Perform static type analysis and checks.
7. Insert runtime type reflections and assertions.
8. Emit target code for the JavaScript runtime engine.

These steps will be described in more detail in the following sections. While trying to give a theoretical understanding of the concept of the project, no technical details will be included at this point.

4.4.1 Configuration

As different projects have to meet different requirements regarding the result of the JavaScript target code, configurations for the transformation process have to be set in advance. This includes the settings for the TypeScript compiler itself⁴, as well as adjustments for *ts-runtime*. While the project of this thesis is not intended to be a complete drop-in replacement for TypeScript, it should honor the options of the development environment. This settings especially include the ECMAScript version of the resulting program, if individual or a concatenated single file should be emitted, the module system to use, as well as the write location of the output [34].

4.4.2 Read Source Files

The starting point of the transformation process will be an existing project. As for a usual TypeScript compilation process, the entry files should be passed to *ts-runtime*, alongside a set of configurations, described in the previous section. All referenced files from the entry points should be followed and read in as well, resulting in a reflection of the project's file system structure. This should enable further steps, to interact with the input in memory, leaving the original files untouched.

4.4.3 Syntax Analyzation

After all contents of the project are available it should be determined, if the code provided is syntactically correct. This should prevent *ts-runtime* to fail, because of syntax errors in the source. If the code contains syntactic errors, it is impossible to perform any further analysis. Therefore the process should be stopped immediately, to prevent the occurrence of unexpected behavior or results.

⁴<https://www.typescriptlang.org/docs/handbook/compiler-options.html>

4.4.4 Abstraction

In order to perform special checks and transformations to the original code, abstracting the source will be beneficial. A suitable data structure may be an abstract syntax tree (i.e., AST), as described in section 2.3. Performing modifications on the input directly via string modifications is much more error prone, and semantic connections between parts of the code cannot be extracted easily.

4.4.5 Static Type Checks

Another important aspect would be, to already perform static type checks and to reject the input from further processing, if type incompatibilities could be detected. This has the advantage of flagging issues to developers early and to align with the usual behavior of a TypeScript compilation. If static type analysis can already find possible violations, the target code may not behave correctly. Anyway, as it is possible to provide incorrect type declarations for accurate implementations, there should be a possibility to force the process to proceed and to solely rely on type compatibility checks at runtime. Warnings should be generated at compile time in this case, to clearly indicate that unexpected results may be a consequence.

4.4.6 Scan Abstraction

Once the provided source files can be considered syntactically correct, are represented in an abstract data structure, the type information has to be extracted for future modifications to the code. Not only should it be possible to obtain the explicitly set type of an AST node, also the implicitly inferred type should be receivable. In addition it should be should be practicable to follow a type reference's type, for further processing. To draw these connection between different parts of the program, the abstract syntax tree may be walked through entirely ahead of any modifications. This should ensure, that important data—like type information, AST node relations and declared identifiers—is not lost or becoming inaccessible while transformations are being applied.

4.4.7 Transformations

Cases where modification will have to take place, to reflect all required type information, as well as introducing runtime type checks based on these reflections, were already identified in section 4.3. All required data to perform extensive transformations to the AST should already have been prepared by the previous steps of the process. This enables *ts-runtime* to proceed with substituting and altering abstract syntax tree nodes. The tree should be walked from bottom to top, for changes applied to the very bottom of the AST does not interfere with modifications that have to take place on a higher level. This should guarantee, that transformations of high level tree nodes already include low level mutations. Furthermore, nodes that were replaced, or their changes would result in not being able to obtain required informations, should be mapped to the original parse tree node. This will make sure, that the initial state of the node can be retrieved at any time.

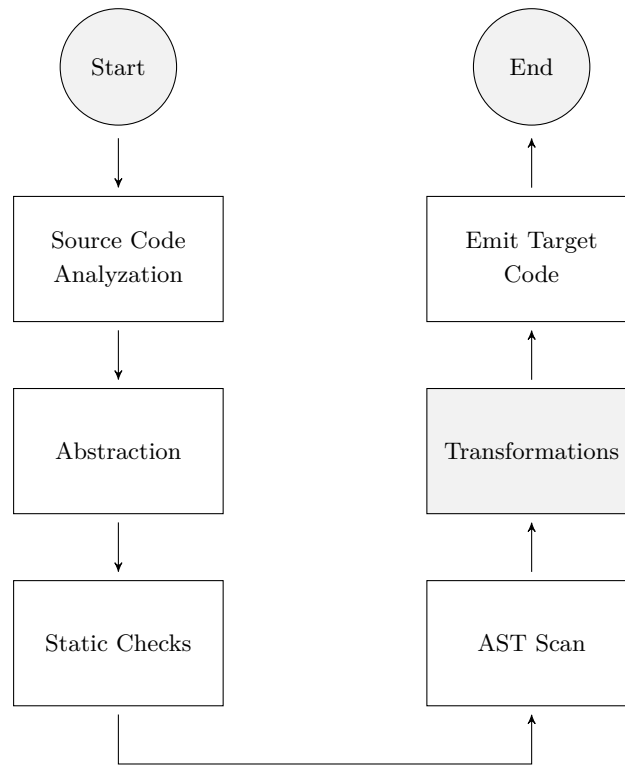


Figure 4.1: Conceptual procedure of applying transformations to a TypeScript project.

4.4.8 Target Code Emit

After all transformations have been applied to the syntax tree, it should be converted back to TypeScript compatible syntax code. In a next step, this code can then be used to emit runnable JavaScript, according to the options passed, when initially triggering the generation of runtime type checks (see 4.4.1). In case of inconsistencies or faults, appropriate warnings or error messages should be triggered.

4.5 Summary

To achieve automatically generated runtime type reflections and checks, a series of steps—some more complex than others—have to be carried out. To give a better understanding of the conceptual procedure, figure 4.1 illustrates the idea of the transformation process. In a next step, the theoretical approach will be evaluated, and the program technology and architecture has to be defined. Also technical peculiarities and limitations have to be identified, to provide a solid base for the implementation.

Chapter 5

Implementation

After elaborating situations where runtime errors may occur in the previous chapter (see sec. 4.1.1), even with preceding static type checks by the TypeScript compiler, a program will be implemented, that should catch those situations while the compiled JavaScript program is running. All previously defined cases (see sec. 4.3) should be honored and suitable technology should be selected to perform the required steps (see sec. 4.4) to achieve the desired result (see sec. 4.2).

5.1 Technology

The project itself will be implemented in TypeScript, while the compiled program will be executed in a JavaScript—most likely *Node.js*¹—environment. It will be published on the *npm* (i.e., node package manager) registry², a “[...] public collection of packages of open-source code for Node.js [...] [21]”, which should make it easy for developers to install an executable version of *ts-runtime* on their system. Also other packages should be able to integrate as fast as possible with this project. This implies that both, an API (i.e., application programming interface) and a CLI (i.e., command line interface), should be provided. Furthermore, to create an application that efficiently achieves its goal, it will be important to choose appropriate tools and libraries. This includes the process of generating runtime type checks itself, as well as reflecting and checking type compatibility in the final JavaScript code. If trusted and established technology is available, that provides functionality needed for the implementation, it should be utilized to decrease development and maintenance effort and to increase the quality of the resulting project.

5.1.1 TypeScript Compiler

The TypeScript compiler exposes an API to utilize its functionality programmatically. This makes it possible to read in an existing TypeScript project, perform static type checks on it and to emit a compiled JavaScript program, while having control over various aspects of this process. Several steps that are required to generate type checks

¹<https://nodejs.org>

²<https://www.npmjs.com>

for the JavaScript runtime, are provided by the TypeScript compiler. With version 2.3 an API was exposed to enable abstract syntax tree transformations [25] and an issue preventing traversing the AST [68] was resolved with version 2.4 [26]. Not only the ability to modify the syntax tree may be useful for the project of this thesis, also other features may be beneficial. As *ts-runtime* will make use of the compiler API later in this chapter, some parts of it will be described below.

Compiler Components

To receive a runnable JavaScript program from a TypeScript project, a number of components contribute to the TypeScript compiler: [76, p. 251]:

- **Scanner:** The scanner is responsible for the tokenization of the source code and is controlled by the parser [76, p. 260].
- **Parser:** After a source file is tokenized, the parser creates an abstract syntax tree out of it [76, p. 263].
- **Binder:** In this part of the compiler, connections between nodes of the AST are created through *symbols* [76, p. 267].
- **Checker:** The checker is the largest part of the TypeScript compiler and performs static type checks on the source files [76, p. 282].
- **Emitter:** The emitter translates the TypeScript syntax tree of the source files to plain JavaScript [76, p. 286], based on the compiler options.

These components don't have to be triggered individually when using the compiler API and a wrapper is provided, named *Program*. It holds the options and source files of the current compilation [76, p. 254] and provides access to the *Checker* [76, p. 282] and *Emitter* [76, p. 286].

Compiler Options

When starting a compilation through the TypeScript compiler API, a multitude of options [34] may be passed. They include, but are not limited to, settings for the type checking behavior, files that should be emitted, and the ECMAScript standard the resulting JavaScript code should comply to.

Program

A TypeScript project compilation can be triggered, by providing the path to a single or multiple entry files, alongside customized compiler options. All files that are referenced from the input files are loaded recursively, by making use of a *compiler host*. Also it exposes the functionality, to emit the compiled JavaScript code.

Compiler Host

The compiler host abstracts, among other things, the reading and writing of input files by the *Program*. By default, files will be accessed on the file system, however, a custom compiler host may be provided to a new *Program*.

Node

The abstract syntax tree, created during the compilation of a TypeScript project, consists of nodes, while every node has a specific kind. A file, for example, is of kind *SourceFile*, whereas a class declaration is represented by a node with the kind *ClassDeclaration*.

Syntax Kind

The TypeScript API exposes an enum, named *SyntaxKind*, which maps a numeric value to an AST node type (e.g. *InterfaceDeclaration*). As every syntax tree node defines a *kind* property, containing a number from the *SyntaxKind* enumeration, it is possible to always determine the type of a node.

Symbol

A syntax tree abstracts a source file to interact with it in various ways, but it lacks relations between nodes, that are not directly connected to each other. Symbols are created to provide the relationships between such nodes. While it is possible to identify a type reference through the AST, there is no link to the declaration of the referenced type. However, by extracting the symbol of the type reference, the node of the type declaration can be obtained.

Printer

The compiler API exposes a printer, which can create text out of an AST node recursively. Therefore it is possible to pass a *SourceFile* node to the printer, and getting back a string containing TypeScript code.

5.1.2 Runtime Type System

A multitude of libraries are available that aim to provide a runtime type system for JavaScript and several of them were evaluated to use with *ts-runtime*. Libraries that did not seem to be maintained any more were not considered.

ObjectModel

*ObjectModel*³ is an extensive type system, which “[...] intends to bring strong dynamic type checking to [JavaScript] web applications [70]”. While being actively maintained and a detailed documentation is available, several features that are required to reflect TypeScript’s static type system are not included in this library, making it not entirely suitable for the use with the project of this thesis.

tcomb

The project *tcomb*⁴ argues to “[...] check the types of JavaScript values at runtime [29]”. Probably one of the most famous runtime type checking libraries for JavaScript, with

³<https://github.com/sylvainpolletvillard/ObjectModel>

⁴<https://github.com/gcanti/tcomb>

more than 1300 stars on GitHub [29], it is again intended to be used for JavaScript code. Considerations especially for TypeScript are not part of this package.

io-ts

Created by Giulio Canti, the author of *tcomb*, this project claims to be a “TypeScript compatible runtime type system [...] [28]”. While it did look promising to be utilized, some aspects did not meet the expectations. For example being able to define the type reflection of a class alongside the class declaration itself is not provided by the library, as well as being able to retrieve a type reference with type parameters (i.e., generics) is not possible. However, as *io-ts*⁵ may evolve over time, a transition of *ts-runtime* to use it at a later point is possible.

runtypes

The *runtypes*⁶ library is a fairly young project, which wants to provide “[r]untime validation for static types [37]”. Anyway, only basic validations can be performed, compared to more comprehensive systems such as *tcomb* or *io-ts*. For example when asserting a value for being a function, the built in JavaScript `typeof` operator (see sec. 2.2.2) is used, which does not include the comparison of parameters or tests for return type compatibility.

flow-runtime

The *flow-runtime*⁷ project states to be “[a] runtime type system for JavaScript with full Flow compatibility [67]”. As Flow and TypeScript have a lot of similarities in syntax and features (see sec. 3.4), this library seems to be most suitable to reflect the static type system of TypeScript as close as possible. Additionally *flow-runtime* has a separate package, that generates type checks for Flow projects [66], which already shows, that a great number of cases for Flow syntax are implemented in this package.

5.2 Architecture

In this section, the architecture for the application will be designed, that should already outline how the program will operate and defines some of the components that will be needed:

5.2.1 Central Element

The transformation process is a sequential process, as defined in section 4.4, which already suggests, that a central element will be needed, coordinating all different steps that should be executed. It will be responsible for interpreting and triggering specific application logic in the appropriate situations. Before being able to initiate the actual program flow, this crucial part of the project will have to interpret different settings,

⁵<https://github.com/gcanti/io-ts>

⁶<https://github.com/pelotom/runtypes>

⁷<https://github.com/codemix/flow-runtime>

including options for the TypeScript compiler. Also it will have to react to possible errors and will have to handle them adequately.

5.2.2 Components

Specific tasks will be handed over to dedicated components, which contain the logic for a selected purpose, to keep the project extensible and maintainable.

Options

It will be beneficial to control the behavior of *ts-runtime*, when initiating a transformation process. While the program should provide sensible defaults, it should be possible to optionally overwrite these default settings, by passing the desired options to the application.

Event Bus

Some of the components of the application will have access to other components and their API, whereas other parts of the program won't know the state of the transformation process. It might be necessary to observe, or to get notified, if a condition changes, where an event bus will be of advantage. Consequently, the event bus (i.e., bus) should be accessible globally.

Scanner

Not to confuse with the scanner of the TypeScript compiler (see sec. 5.1.1), this component of the thesis project should scan the abstract tree of the source files. Ambient and external declarations should be identified, that won't be included in the compiled program, but need to be reflected in order to guarantee that type checks can take place during runtime. Also identifier names across all source files should be stored, to avoid duplicate identifiers when introducing new variables during the insertion of runtime type checks.

Mutators

For every situation where runtime type checks should be generated (see sec. 4.3), a mutator should exist, that performs the modification or substitution of the AST node.

Factory

To avoid code duplication, the factory should provide a collection of common transformations performed on syntax tree nodes. It will be utilized by the mutators, to keep their footprint as small as possible and to reduce code complexity.

Context

As not all components of the application are connected to each other, the context should provide a centralized gateway to information, that might be required by the mutators

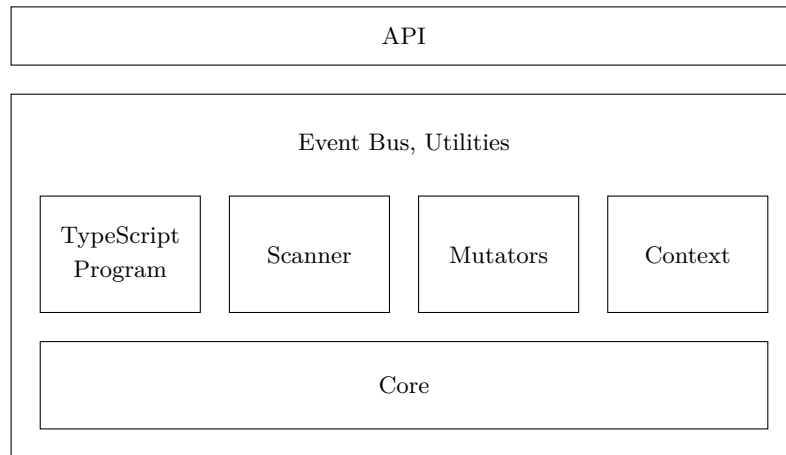


Figure 5.1: Component architecture of the thesis project.

or the factory. It should, among other things, have knowledge of the current source file being processed, the options of the application, and the TypeScript compiler program.

Utilities

Miscellaneous functionality, that does not require any link to the state of the program, should be collected in the utilities of *ts-runtime*. It should be available from any location of the project.

5.2.3 Outline

After the main parts of the program have been defined, it is possible to draw connections between them (see fig. 5.1). As already stated, the central element (i.e., core) of the application controls the program flow, therefore having knowledge and access to all components of *ts-runtime*. It evaluates the options, creates a TypeScript compiler program (see sec. 5.1.1) and triggers the scanning and transforming of the syntax tree, before emitting a compiled JavaScript project with inserted runtime type checks.

5.3 Application Structure

The following directory structure will be used for *ts-runtime*, which at the same time shows the most important files and folders of the project:

```

/src
├── bin.....Command Line Interface
├── lib.....Runtime Type Checking Library
├── mutators.....AST Node Transformers
├── bus.ts.....Event Bus
├── context.ts.....Mutation Context
├── index.ts.....API Exposure
└── factory.ts.....Common AST Node Transformations
  
```


Program 5.1: The `transform` function of the project's core, reduced to its essentials. The `ts` namespace from line 3 and 6 point to the TypeScript compiler API.

```
1 function transform(entryFiles: string[], options?: Options) {
2   const opts = getOptions(options);
3   const program = ts.createProgram(entryFiles, opts.compilerOptions);
4   const scanner = new Scanner(program, opts);
5   const files = program.getSourceFiles();
6   const result = ts.transform(files, [transformer], opts.compilerOptions);
7   emit(result);
8 }
```

```
|
|_ options.ts ..... Default Options
|_ scanner.ts ..... AST Scanner
|_ transform.ts ..... Application Core
|_ util.ts ..... Miscellaneous Utilities
```

5.4 Components

In this section the implementation of the core of the project, as well as the application's components, will be described. The main focus will be on challenges that had to be overcome, and connections between the different parts of the program will already be drawn.

5.4.1 Transformer

The transformer, located in `src/transformer.ts`, is the core of the thesis project and exposes three methods, that may be utilized via the project's API:

- **getOptions:** This function accepts an object as parameter, that aligns with the *Options* interface, described later in this section. It then merges the passed object with the default settings, and returns the result. This ensures, that all required options are contained in the resulting object.
- **transform:** By calling this method, a transformation process is initiated. It is required to pass at least a list of entry file names. Optionally, an *Options* object may be passed as well. The compiled JavaScript files are written to disk, according to the TypeScript compiler options, if no errors occurred.
- **transformReflection:** The `transform` function loads the passed list of files from disk, which requires a file system to be present. On the contrary, this method accepts a list of file reflections, that must include the passed entry files, as well as all modules referenced, recursively. This enables the application, to act without a real file system. Also the target code is not persisted, but a list of file reflections, containing the compilation result, is returned.

Program 5.1 shows a, reduced to its essentials, version of the `transform` function. It is not fully functional, but should give an idea of the program flow. On line 6, a variable `transformer` is passed to a function from the TypeScript compiler API. This identi-

Program 5.2

```
1 function transformer() {
2   let context: MutationContext;
3
4   const visitor = node => {
5     node = mutate(node, context);
6     return ts.visitEachChild(node);
7   }
8
9   return sourceFile => {
10    context = createContext(sourceFile);
11    return ts.visitNode(sourceFile, visitor);
12  };
13 }
```

fier references a function, that visits every node of the AST of all source files from the TypeScript program. The function code, again simplified, is shown in program 5.2, while line 5 indicates the AST node being passed to the mutators of *ts-runtime*, possibly returning a substitution. Technically, the abstract syntax tree node’s children are followed to the very bottom before applying mutations on them. This should assure, that every transformation already includes modifications from its child nodes.

5.4.2 Mutators

Every mutator of the project, extends a base mutator, that provides a simplified API, which is utilized by the core (i.e., transformer) of the project. Therefore each mutator must cohere with its base, which in its simplest form may look like the code below:

```
class InterfaceMutator extends Mutator {

  protected kind = ts.SyntaxKind.InterfaceDeclaration;

  protected mutate(node: ts.InterfaceDeclaration): ts.Node {
    return node;
  }

}
```

A valid mutator must define a `kind` property, containing a syntax kind—or a list (i.e., array) of syntax kinds—to define which node types the mutator is able to process. Additionally, also a method `mutate` must exist on a mutator. This function accepts a single parameter, which is the node to be processed. The mutator may then perform modifications on it, or can replace the node entirely. Each mutator is meant to be used through the method `mutateNode`, defined by the base class. This ensures that the following checks are performed to discover, if the node should be processed:

1. Is the kind of the node supported by the mutator?
2. Is the node flagged to be skipped?
3. Is the node declared ambient, using the `declare` keyword?

Program 5.3: The arrow function mutator of *ts-runtime*.

```
1 export class ArrowFunctionMutator extends Mutator {  
2  
3   protected kind = ts.SyntaxKind.ArrowFunction;  
4  
5   protected mutate(node: ts.ArrowFunction): ts.CallExpression {  
6     return this.factory.annotate(  
7       this.factory.mutateFunctionBody(node),  
8       this.factory.functionReflection(node)  
9     );  
10  }  
11  
12 }
```

If all of these checks pass, the actual `mutate` method is called. If, however, any of the conditions from above could not be met, the original node is returned untouched. Based on the defined cases from section 4.3, the following mutators were implemented, located in `src/mutators`, also showing their file names without an extension:

- ArrowFunctionMutator
- AsExpressionMutator
- BinaryExpressionMutator
- BlockLikeMutator
- ClassDeclarationMutator
- FunctionDeclarationMutator
- FunctionExpressionMutator
- InterfaceDeclarationMutator
- SourceFileMutator
- TypeAliasDeclarationMutator
- VariableDeclarationListMutator

Some of the implementations were more complex than others and special cases had to be taken into account. While every mutator will be outlined, a few of them will be handled in more detail.

Arrow Function Mutator

The arrow function mutator has to modify the body of the passed node, while some peculiarities have to be considered. As for every other function type (i.e., function expression and function declaration), the parameters have to be asserted, while they can be optional or may have a default value. Also every location where the function may return a value has to be observed and checked. A major difference to function expressions is, that they can omit the function body, previously described in section 2.2.6. In such a case it has to be created, in order to be able to insert runtime type checks. Because of the arrow function mutator being relatively small, compared to other mutators, it is shown in program 5.3. Analyzing the code of the class, it obviously makes use of

the factory, that will be described later in this chapter. Alongside changing the arrow function's body, it is also annotated. This means, that a reflection of the function, including its parameters and the return type, will be added to the function object, to retrieve it in other places of the running program. To better describe, how the result of a transformation may look like, the following arrow function is given:

```
() : string => "bar";
```

The call to `mutateFunctionBody` in program 5.3 on line 7 returns a node, that transforms the function to the following:

```
() => {
  const _returnType = t.return(t.string());
  return _returnType.assert("bar");
}
```

Also a description of the function signature is retrieved via `functionReflection` on line 8, that is represented with:

```
t.function(t.return(t.string()));
```

Furthermore, the arrow function is annotated with this reflection. As in most cases a function expression would be assigned to a variable, the code below could be the result of the transformation:

```
const foo = t.annotate(() => {
  const _returnType = t.return(t.string());
  return _returnType.assert("bar");
}, t.function(t.return(t.string())));
```

The variable `foo` now holds the arrow function, with added information about its signature. The type of the identifier may then be used to declare another variable, like in the following code snippet:

```
const bar: typeof foo = () : string => "hi";
```

This results in the following code in the compiled JavaScript program:

```
const bar = t.typeOf(foo).assert(/* transformed arrow function */);
```

A function `t.typeOf`—part of the *flow-runtime* library—is called with `foo`, which extracts the previously annotated information. Therefore it can be checked, if the value that should be assigned to `bar`, matches the type of `foo`. Otherwise it could only be verified, if the value is a function, which is unsatisfactory to ensure type compatibility.

As Expression Mutator

Also TypeScript type assertions should be checked at runtime. This means that if a value is casted to another type, it should be validated, if the value actually is compatible with this type:

```
"foo" as number;
```

The code from above will therefore be substituted with the statement below:

```
t.number().assert("foo");
```

While the assertion used in this example already raises an error when being statically checked by the TypeScript compiler, there are situations where a cast can be performed successfully, even though types do not match (see sec. 4.1.1).

Binary Expression Mutator

Binary expressions in JavaScript (and TypeScript) include, but are not limited to, assignments, comparisons and bitwise operations [52]. The mutator which is handling such nodes is only considering assignment operations. It is worth to note that an expression with an assignment operator is a different AST node, than a variable declaration with an initializer. However, the outcome of the transformation is very similar, therefore being pictured later in this section.

Block Like Mutator

The transformation API of the TypeScript compiler allows for substituting AST nodes, by returning another node from a tree visitor. While this functionality is heavily used in the project of this thesis, it does only allow to replace a node with exactly one other node. There are cases that require mutators to substitute a node with a list of nodes. These situations include declarations for functions, classes and enums:

- As shown in the mutator for arrow functions, they are annotated with their signature reflection. Also function declarations have to be annotated in this way, but it is not possible to wrap them into another function call, as this would change the scope of the declaration (see sec. 2.2.6). Because of that, the annotation should be added beneath the function declaration itself.
- The same applies to enumerations, as they are initialized by a self executing function in the target code (see sec. 4.3). Also there is no information available about the variable that will hold the enum object after the TypeScript compiler emits the final JavaScript code, during transformation. This means, that the annotation should take place after the initialization of the runtime representation of the enumeration.
- For classes the situation is different. Decorators can be used to annotate the class, but its type parameters need to be available before the first instantiation, to make use of them in the class signature reflection.

To better illustrate, how the transformation for classes differ from function and enum declarations in the block-like mutator, the code below is given:

```
class A<T> { }
```

The class from above will result in the following transformation by the block-like mutator:

```
const _ATypeParametersSymbol = Symbol("ATypeParameters");  
class A<T> { }
```

The main focus of this example is on the first line of the snippet, therefore the class transformation itself was omitted, where a symbol for the class's type parameters is declared, to expose it to the same scope as of the class.

Class Declaration Mutator

The class declaration mutator is one of the most complex mutators. It has to consider various situations, including that a class may extend another class, implement interfaces, can have method and non-method properties, may include function overloads [53], and

can merge with interface declarations [38]. Also class members may have modifiers such as *static*, *readonly*, *public*, *private* and *protected*. To make sure that all particularities are taken into account, various steps are performed successively:

1. **Reflection:** Foremost, the class is annotated with its signature, to expose its type to the runtime. The reflection includes all properties of the class and a reference to its base class, if available. As a class's type merges with interfaces with the same name, it is necessary to retrieve the properties from all declarations of the class identifier. After that, the properties can be merged, while also method overloads have to be combined.
2. **Methods:** Class method properties have to be mutated similar to normal functions, with the difference, that they may also make use of class type parameters, alongside type parameters defined on the method itself.
3. **Variables:** Also non-method properties (i.e., member variables) have to be checked. Therefore, a getter and a setter is defined for it, to assert the member's type, each time a new value should be assigned. If the property is marked as *readonly*, the setter will be omitted. Additionally, the initializer is checked for type compatibility.
4. **Type Parameters:** All class type parameters are initialized in the constructor. Further, if the class extends another type with generics, they have to be set on the parent instance.
5. **Interfaces:** If the class should align with one or more interfaces, type compatibility is checked when the class is instantiated.

To show some of the mutations, the following class is transformed:

```

1 class NumberConverter extends Singleton implements Converter {
2
3   readonly converter: string = "NumberConverter";
4
5   convert(val: number): number;
6   convert(val: string): number;
7   convert(val: string | number): number {
8     if (typeof val === "number") {
9       return val;
10    }
11
12    return parseFloat(val);
13  }
14
15 }
```

It extends another class and implements a single interface. Furthermore, a *readonly* property is defined, and the method `convert` is overloaded. The result, after being processed by *ts-runtime*, is shown in program 5.4.

Function Declaration Mutator

The function declaration mutator consists of a single line of code in the `mutate` method, which is a call to `mutateFunctionBody` from the factory. A specialty about functions of all types, that was not handled in this section before, is their support for type parameters, also referred to as generics, which may look like the following in TypeScript:

Program 5.4: JavaScript code, after the transformation of a class from section 5.4.2.

```

1  @t.annotate(t.class("NumberConverter", t.extends(t.ref(Singleton)),
2    t.property("converter", t.string()),
3    t.property("convert",
4      t.function(
5        t.param("val", t.union(t.number(), t.string())),
6        t.return(t.number())
7      ))
8  )
9  )
10 class NumberConverter extends Singleton {
11
12   constructor(...args) {
13     super(...args);
14     this._converter = t.string().assert("NumberConverter");
15     t.ref(Converter).assert(this);
16   }
17
18   get converter() {
19     return this._converter;
20   }
21
22   convert(val) {
23     let _valType = t.union(t.string(), t.number());
24     const _returnType = t.return(t.number());
25     t.param("val", _valType).assert(val);
26
27     if (typeof val === "number") {
28       return _returnType.assert(val);
29     }
30
31     return _returnType.assert(parseFloat(val));
32   }
33 }
34 }

```

```

function foo<T>(bar: T): T[] {
  return [bar];
}

```

A parameter of a generic type T , which is not known at compile time, is accepted and the function should return a value, that is an array of this type. For example, if a number would be passed to `foo`, the returned value must be an array, consisting only of numbers. The factory can detect, if the type reference is a type parameter and will adjust the transformation accordingly:

```

function foo(bar) {
  const T = t.typeParameter("T");
  let _barType = t.flowInto(T);
  const _returnType = t.return(t.array(T));
  t.param("bar", _barType).assert(bar);
  return _returnType.assert([bar]);
}

```

In the code from above, the annotation, which was already shown with the arrow function mutator, was omitted. A variable is created for the type parameter and the type of `bar` will be used on every function call. This type may be extracted from an annotation, or may be inferred from the actual value as accurately as possible, if no type reflection is available for it. Further it is possible, to provide a default type for the generic parameter, or to extend another type, which may look like the following:

```
function elementToString<T extends HTMLElement = HTMLDivElement>(el: T): string {
  // ...
}
```

This type parameter definition results in a reflection that is shown below:

```
const T = t.typeParameter("T", t.ref(HTMLElement), t.ref(HTMLDivElement));
```

In this case, the value passed to the function must be a `HTMLElement`, or a subset of it, while by default `T` refers to the `HTMLDivElement` type.

Interface Declaration Mutator

The interface declaration mutator request a reflection of the node's type from the factory. If a class with the same name exists in scope, and therefore will be or has already been merged with the interface declaration, the interface will be removed and no mutations will take place.

Source File Mutator

As every source file must import the runtime type checking library, if it is utilized, this mutator assures its existence. Also, if ambient or external declarations have been collected by the scanner, the file that holds these declarations has to be included as well in every entry file:

```
import "../tsr-declararions";
import t from "ts-runtime/lib";
```

The first statement will only be added, if the file `tsr-declarations.js` has been created by the transformer, whereas the second statement will always be included, unless the library was not used throughout the file at all. If the identifier `t` would have already been used in the project, it would be prefixed with an underscore recursively, until it is guaranteed that no naming conflicts will occur.

Type Alias Declaration Mutator

Type alias substitutions are very similar to interface replacements, but an important aspect of them has not been described yet. Interfaces, type aliases, and classes can reference itself in TypeScript. When declaring a reflection of a type at runtime, the variable, that holds the type description, won't be available in such cases yet:

```
type Foo = { circle: Foo; }
```

This type alias has a single property `circular`, which points to its own type. To expose it early and to avoid infinite loops, the object that will later hold the reflection of the type is passed to a function, as can be seen below:


```
const Foo = Foo => t.object(t.property("circular", Foo));
```

Internally the function assigned to `Foo` will be called, once the type is referenced somewhere else.

Variable Declaration List Mutator

Variable declarations are wrapped within a node of kind *VariableDeclarationList*, which includes at least one declaration. The mutator performs a transformation on every declaration that was annotated with a type—regardless of the existence of an initializer—unless they are not part of a for-of statement, for-in statement, catch clause or import clause:

```
let foo: string = "bar";
```

This variable declaration would be transformed to the following:

```
let _fooType = t.string(), foo = _fooType.assert("bar");
```

Another identifier `_fooType` has been introduced, to retrieve the type of `foo`, whenever another value is assigned to it. For constant variables, there is no need to declare the variable's type alongside the actual declaration:

```
const foo: string = "bar";
```

Therefore, by using the `const` keyword instead of `let` or `var`, the assertion is performed in place:

```
const foo = t.string().assert("bar");
```

As the native JavaScript runtime engine should throw an error, if a constant variable is reassigned, a separate type declaration can be omitted.

5.4.3 Factory

A reference to the factory could already be noticed in the mutators, which is created by the mutation context, described in the next section. It can recursively create reflections for a type node of the abstract syntax tree, while keeping track of its state. For every type node kind, there exists a method that can come up with a runtime description, e.g. `literalTypeReflection`, `arrayTypeReflection`, or `typeReferenceReflection`. If the kind of a node is not determined in advance, the method `typeReflection` can be called, which invokes the suitable reflection function. The following syntax kinds are supported:

- **Keywords:** Any, Boolean, Never, Null, Number, Object, String, Symbol, Undefined, Void
- **Types:** Array, Constructor, Function, Intersection, Literal, Parenthesized, This, Tuple, Union
- **Others:** TypeLiteral, TypePredicate, TypeQuery, TypeReference, Expression-WithTypeArguments

However, three types are not yet checked by *ts-runtime*. As they are reflected with the *any* type by the factory, the transformation process can still finish without errors, but a warning will be issued, if a node with one of the syntax kinds below occurs in the project:

- IndexedAccessType
- MappedType
- TypeOperator

In addition to type node reflections, also common transformations are collected in the factory. It can, for example, reflect classes, interfaces and type aliases, while also providing methods for the substitution of types, if required. Furthermore the merging of declarations or method overloads is carried out by this component in certain situations.

5.4.4 Context

The context—or mutation context—is created for every source file during the traversal of the AST in the transformer. It holds a reference to the TypeScript program and the TypeScript type checker. Also the compiler options and settings for *ts-runtime* can be retrieved from the mutation context. This allows this component to provide much more sophisticated functionality than, for example, the utility component. Methods of the context include, but are not limited to:

- Is a node the implementation of an overload?
- Is a given name declared in the current context?
- Is an identifier used before its declaration?
- Does a given type reference point to itself?
- Does a node include a type reference, that points to itself?
- Retrieve a merged list of members of a class or interface declaration.

All of these queries require a link to the TypeScript API or the scanner component in order to come up with a response.

5.4.5 Utility

The utility component is global to all parts of the project. It can be imported and utilized without any dependencies. It provides a collection of functions, that are used throughout *ts-runtime*, to not introduce duplicated code. While the full API will not be presented at this point, it includes methods for determining whether a node is a type parameter of a given type node (e.g. a class, interface or function declaration), or to extract the extends clause from a class declaration node.

5.4.6 Event Bus

The event bus (i.e., bus) is a lightweight wrapper around the built in *EventEmitter* of Node.js [51], and includes some predefined events that can be obtained from anywhere in the project. It is used to indicate changes of the state of the program (e.g. start of the transformation), or to notify subscribers about other important events, such as warnings and errors.

5.4.7 Scanner

This component is a key part of *ts-runtime*. It is instantiated by the core, before the actual transformations take place. It visits every node of the AST from each source file,

whereas only those will be processed, that may point to a type declaration, or its type reflection may be needed later. This includes identifiers, type references and function declarations, besides a multitude of other syntax kinds. Also the scanner saves the text of every identifier of the project to be processed, to prevent naming conflicts by variables introduced by the mutators. Most importantly, for nodes that are inspected by the scanner, an object is created, that holds information about a variety of things, including the node's symbol, source files and connected declarations, in case of e.g. an interface or class. The extraction of this details enables the scanner to determine, whether the scanned node is an ambient or external declaration. In case of such a declaration, the entity is stored, alongside the hashed name of the source file. The transformer can then obtain the list of these nodes, to create a file with this declarations:

```
declare class Person {
  name: string;
}
```

The class declaration from above is declared ambient, meaning, that it will only be used for static type check purposes, before it is being removed by the TypeScript compiler. The thesis project does not reflect this declaration in place, but adds a runtime representation to a separate file, which looks like the following:

```
t.declare("Person.3174411535",
  t.class("Person", t.property("name", t.string())))
);
```

A type reference may use the ambient class declaration, as it can be seen below:

```
let person: Person;
```

This TypeScript code will be transformed to the following, by the mutators:

```
let _personType = t.ref("Person.3174411535"), person;
```

The number in the runtime reflection is the hashed file name, as previously mentioned, to avoid the overwriting of global declarations with the same name.

5.4.8 Options

In order to provide developers with the ability to adjust the behavior of this project, the options component exposes an interface, settings have to align to (see prog 5.5):

- **compilerOptions:** The options for the TypeScript compiler are included in the settings for *ts-runtime*. Especially the *rootDir* and *outFile* or *outDir* are important. The root directory option specifies a base folder, that contains the TypeScript project to be processed. If no such option is given, the common directory of the entry files will be used. The *outDir* setting sets the location of the compiled project, while *outFile* would tell the compiler to concatenate the target code and to only emit a single file [34]. The option *preserveConstEnums* will always be enabled, as also constant enumerations need to be available for runtime type checks. By default, the TypeScript compiler would replace the enum references with their constant value [34, 35].
- **force:** The processing would be aborted if the TypeScript compiler detects errors for both, syntactics and semantics. By setting this flag to **true**, transformations will be performed, even if semantic errors exist.

Program 5.5: The interface for the options of *ts-runtime*.

```
1 interface Options {  
2   compilerOptions?: ts.CompilerOptions;  
3   force?: boolean;  
4   log?: boolean;  
5   noAnnotate?: boolean;  
6   libDeclarations?: boolean;  
7   declarationFileName?: string;  
8   excludeDeclarationFile?: boolean;  
9   excludeLib?: boolean;  
10  libIdentifier?: string;  
11  libNamespace?: string;  
12  declarationPrefix?: string;  
13 }
```

- **log:** By default, warnings, errors and other messages will be printed to the console. To disable the output, this option can be set to **false**.
- **noAnnotate:** Functions and classes are annotated with their type reflection, which can be disabled. The type checking library will try to infer the type as accurately as possible from the value available at runtime.
- **libDeclarations:** Specific functionality is available globally in a running JavaScript program, based on its execution context (e.g. Node.js or a browser). These globals won't be reflected by default.
- **declarationFileName:** The scanner collects all ambient and external declarations, which are then written to a separate file. The name for this file can be set via this option.
- **excludeDeclarationFile:** The file that holds the collection of global declarations is imported in every entry file of the target code, which may be changed.
- **excludeLib:** The runtime type checking library is not only loaded in every entry file, but in every single module that includes some kind of type reflection or assertion, the package is imported.
- **libIdentifier:** Even though naming conflicts should not occur, as the scanner stores identifiers in use, the name for the library variable may be changed.
- **libNamespace:** If a prefix for the library identifier is desired, it can be set with this option.
- **declarationPrefix:** In some situations, new variable declarations are introduced by the mutators. To easily distinguish generated identifiers from others, a prefix can be set.

While it is possible to provide settings to the transformer, it is not required to pass anything but the entry files. The project includes default settings, that will be used for every option that is not specified (see prog. 5.6).

Program 5.6: The default options for *ts-runtime*.

```
1 {  
2   compilerOptions: {},  
3   force: false,  
4   log: true,  
5   noAnnotate: false,  
6   libDeclarations: false,  
7   declarationFileName: 'tsr-declarations',  
8   excludeDeclarationFile: false,  
9   excludeLib: false,  
10  libIdentifier: 't',  
11  libNamespace: '',  
12  declarationPrefix: '_'  
13 }
```

5.5 Transformation Process

A variety of elements work together to achieve the desired result of the project, that were pictured in this chapter. While they were described with a certain level of detail, not all characteristics of every component could be highlighted. To better illustrate the procedure of the program, and the interconnections of the different components, the steps performed by the transformer are described:

1. The transformer has to obtain a complete set of options, by requesting the default options for *ts-runtime* and the TypeScript compiler, which can then be merged with the settings passed. If the options are not valid, the transformation is stopped.
2. The root directory for the TypeScript project to be processed needs to be discovered next. Either it is provided through the TypeScript compiler option *rootDir*, or it is computed based on the input entry files.
3. At this point the transformer distinguishes between a compilation of files from the file system or a reflection, that is represented by a list of objects, containing the file name and its contents as a string. The latter requires a custom compiler host (see sec. 5.1.1) to be created, which can provide the TypeScript program with the appropriate data from the reflection list. For a classic compilation, the standard compiler host, provided by TypeScript, will be used.
4. A TypeScript program is instantiated with the compiler host, the compiler options, and the entry files. The TypeScript compiler will now process the project and provides access to the type checker, alongside other useful functionality, like compiler diagnostics (i.e., errors).
5. If errors were detected by TypeScript, the processing will be stopped. In case of the force option being set, the transformation will only be aborted, if diagnostics occurred that are not related to semantics.
6. The abstract syntax tree for each source file is scanned, where identifiers are stored and ambient and external declarations are extracted.
7. The state of the application now allows for the actual transformations to take place. Every node is passed to the mutators, which perform modifications if re-

quired.

8. As the current TypeScript program is no longer synchronized with the AST of the source files, it has to be replaced with a new instance. The TypeScript printer (see sec. 5.1.1) is utilized to create a reflection of the project, that—alongside with a compiler host that supports the reflections—is used to create a new program.
9. The target code can now be emitted by the TypeScript program, and the result is written to disk or a reflection of the emitted files is created.
10. As a last step all external and ambient declarations are requested from the scanner. The transformer then creates runtime representations through the factory and includes them in the emitted result.
11. The transformation process is now finished and a file reflection list, containing the target files, is returned.

A diagram, depicting the most significant parts of this process, can also be found in figure ??.

5.6 Usage

After the project of this thesis has been implemented, it needs to be consumable by other applications or developers. In order to use the application, Node.js version six or greater needs to be installed on the system. Further *npm*, which comes with Node.js, or *yarn*⁸ is required to install *ts-runtime* from the npm registry. The following command can be used with yarn, to add the package as a dependency to a project via the command line:

```
yarn add ts-runtime
```

With npm, the corresponding command is as follows:

```
npm install ts-runtime --save
```

After the package is available on a system, different approaches are provided to interact with *ts-runtime*, which are described in this chapter.

5.6.1 Application Programming Interface

The library exposes various sections of its internals via an application programming interface (i.e., API). To provide high flexibility when making use of this project, technically almost all parts are accessible from outside. However, for a typical setup, only the options component, the transformer, as well as the bus may be used, which are exported from the main file of the published package. In JavaScript the library can be loaded as shown below:

```
import * as tsr from 'ts-runtime';
```

In this case, every exported member of the main file of *ts-runtime* is imported and being made available through the variable *tsr*. To load only specific parts of the application, the following syntax can be used:

```
import { transform } from 'ts-runtime';
```

⁸<https://yarnpkg.com>

Program 5.7: This code makes uses the API of the thesis project and utilizes the bus component to append TypeScript compiler diagnostics to a file.

```

1 import * as fs from 'fs';
2 import * as ts from 'typescript';
3 import { transform, bus } from 'ts-runtime';
4
5 const stream = fs.createWriteStream('diags.log', { flags: 'a' });
6
7 bus.on(bus.events.DIAGNOSTICS, diagnostics => {
8   logStream.write(
9     ts.formatDiagnostics(diagnostics, {
10       getDirectory: () => '',
11       getNewLine: () => '\n',
12       getCanonicalFileName: fileName => fileName
13     })
14   );
15 });
16
17 bus.on(bus.events.STOP, () => {
18   stream.end();
19 });
20
21 transform('./entry');
```

While the examples from above make use of a code style from the EcmaScript 2015 language specification [47, p. 302], not all features from this specification are available in Node.js at this time [43], and the syntax shown below needs to be used [63]:

```
const tsr = require('ts-runtime');
```

However, when utilizing a compiler that supports EcmaScript 2015 modules, which can produce Node.js compatible JavaScript—such as TypeScript [64] or Babel [69]—the syntax from the former two examples may be used. After successfully loading the project of this thesis, its functionality can be used programmatically, which is outlined in program 5.7.

5.6.2 Command Line Interface

The project of this thesis does also include a command line interface (i.e., CLI), which requires the package to be installed globally via the command line:

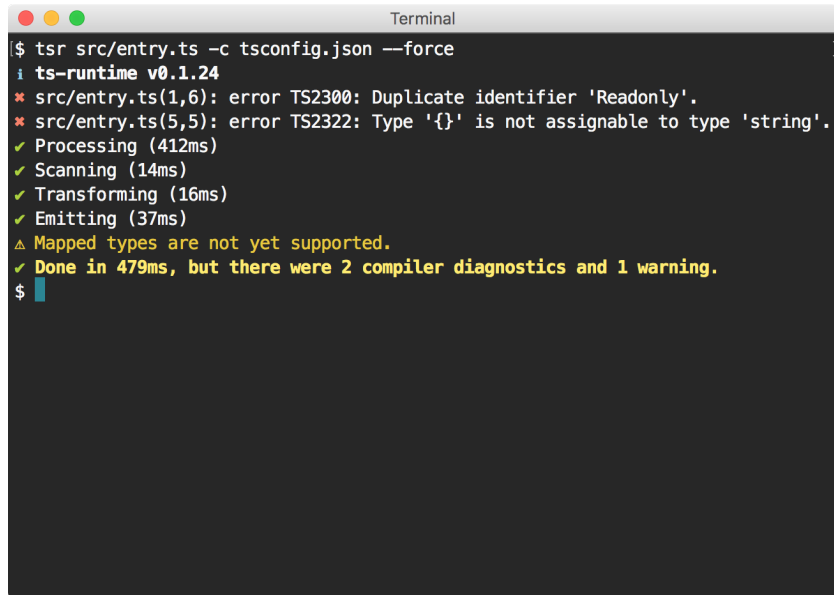
```
yarn global add ts-runtime
```

Again, also npm may be used to install *ts-runtime*:

```
npm install -g ts-runtime
```

The CLI of the application is now exposed to the environment variables and it may be executed from any location of the operating system. To display a help message, including its options and usage examples, the following command can be run:

```
tsr --help
```



```
Terminal
$ tsr src/entry.ts -c tsconfig.json --force
i ts-runtime v0.1.24
* src/entry.ts(1,6): error TS2300: Duplicate identifier 'Readonly'.
* src/entry.ts(5,5): error TS2322: Type '{}' is not assignable to type 'string'.
✓ Processing (412ms)
✓ Scanning (14ms)
✓ Transforming (16ms)
✓ Emitting (37ms)
△ Mapped types are not yet supported.
✓ Done in 479ms, but there were 2 compiler diagnostics and 1 warning.
$
```

Figure 5.2: Output of the command line interface, with compiler errors and warnings. The TypeScript file `entry.ts` within the directory `src` was compiled, while the TypeScript compiler options were loaded from `tsconfig.json`, and the transformation process was not aborted on the occurrence of semantic errors, as the `force` flag was set.

The only argument that is required to be passed to the command line interface, is at least one TypeScript entry file name, while the extension may be omitted. Figure 5.2 shows an example of the CLI, where the TypeScript compiler options should be loaded from a file, and the transformation process should not be aborted if semantic errors are raised by the compiler.

5.6.3 Playground

To easily test *ts-runtime* for small code snippets, a playground was created, to try the project in a browser. It takes advantage of the reflection transformation feature, which does not require an underlying file system. While it is not included in the published package on the npm registry, it can be obtained from the repository on GitHub⁹, where the source code of the project is available. The playground is also served directly from this repository¹⁰, as shown in figure 5.3. The transformed and compiled JavaScript code can also be executed in the browser, to not only show the result of the target code, but to also inspect its behavior at runtime.

⁹<https://github.com/fabiandev/ts-runtime>

¹⁰<https://fabiandev.github.io/ts-runtime/>

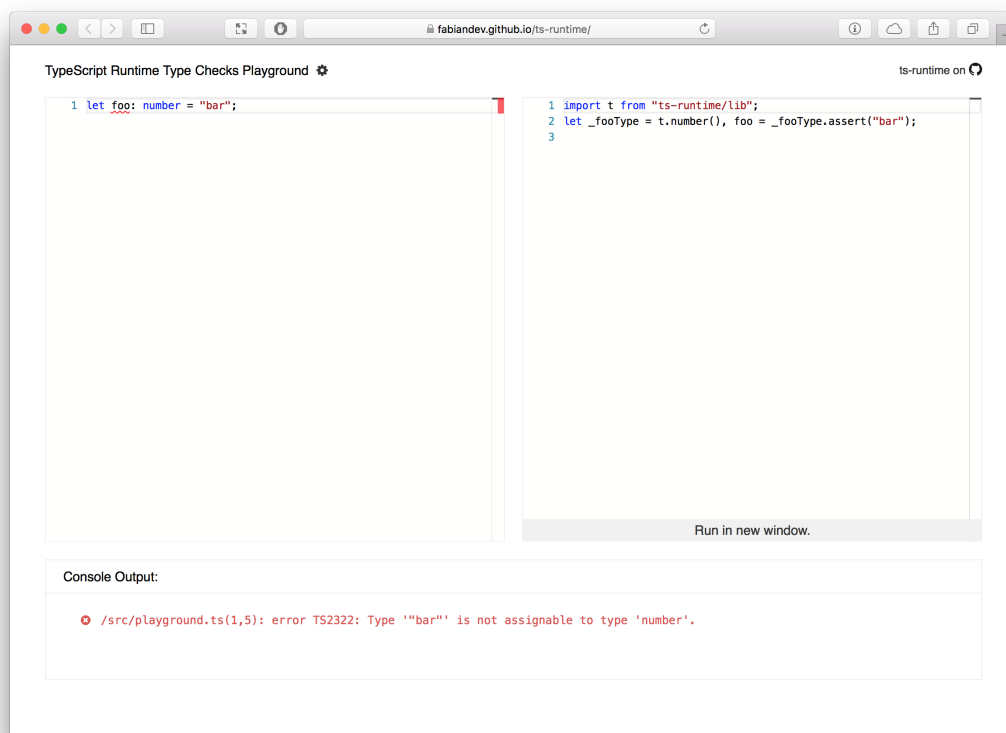


Figure 5.3: The playground for the project, to test transformations and its behavior at runtime.

Chapter 6

Evaluation

In this chapter, the implemented project of this thesis will be tested and evaluated. Different methods should be used to measure and verify the quality and functionality of *ts-runtime*.

6.1 Automated Unit Tests

To ensure the correctness of the source code transformations, a series of unit tests¹ are provided, which should be run after changes are applied to the project's source code. These tests should raise errors, if a mutation changes unexpectedly, possibly resulting in wrong behavior when utilized at runtime. If such a change is intended, the tests have to be updated as well.

6.2 Performance Analyzation

6.3 Comparison

6.4 Interpretation and Conclusion

¹“A unit test generally exercises the functionality of the smallest possible unit of code (which could be a method, class, or component) in a repeatable way [27]” to “[...] verify that the logic of individual units is correct. [27]”

Chapter 7

Summary and Outlook

References

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