

Communicating Sequential Processes in C++

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Chapter 1

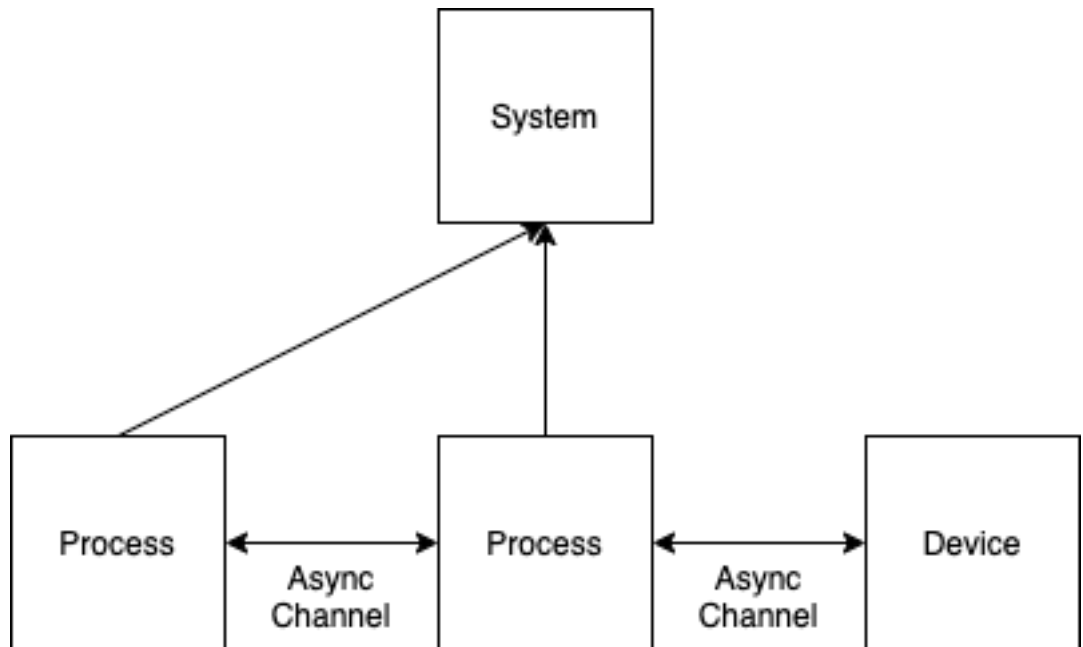
CSP model

The CSP kernel supports a simple architecture. It is based on a scheduler mediated continuation passing model. A continuation is an object encapsulating execution state in a form where if running it can be suspended in such a way execution can be resumed.

1.1 Systems

A *system* consists of several *processes* which communicate using *asynchronous channels*. Systems are used to manage core *internal* resources: processing elements (CPUs), program code, time, and data memory.

A *device* is an arbitrary object and associated service thread which is used to manage *external* resources, including network and file system access, and user interfaces.



Processes within the same system can communicate with each other or with devices. Processes in distinct systems must use a device as a middleman to communicate.

1.2 Processes

The execution of a process is effected by one or more *threads*. If there is only one, the process is *single threaded*, otherwise if there are two or more, *multi-threaded*. Every process is started with a single *initial* thread.

A *fibre* is a logical thread of control and associated execution context. Each process consists of a collection of fibres.

Fibres in a process are *running* if a thread is elaborating the fibre's program code, otherwise the fibre is *suspended*.

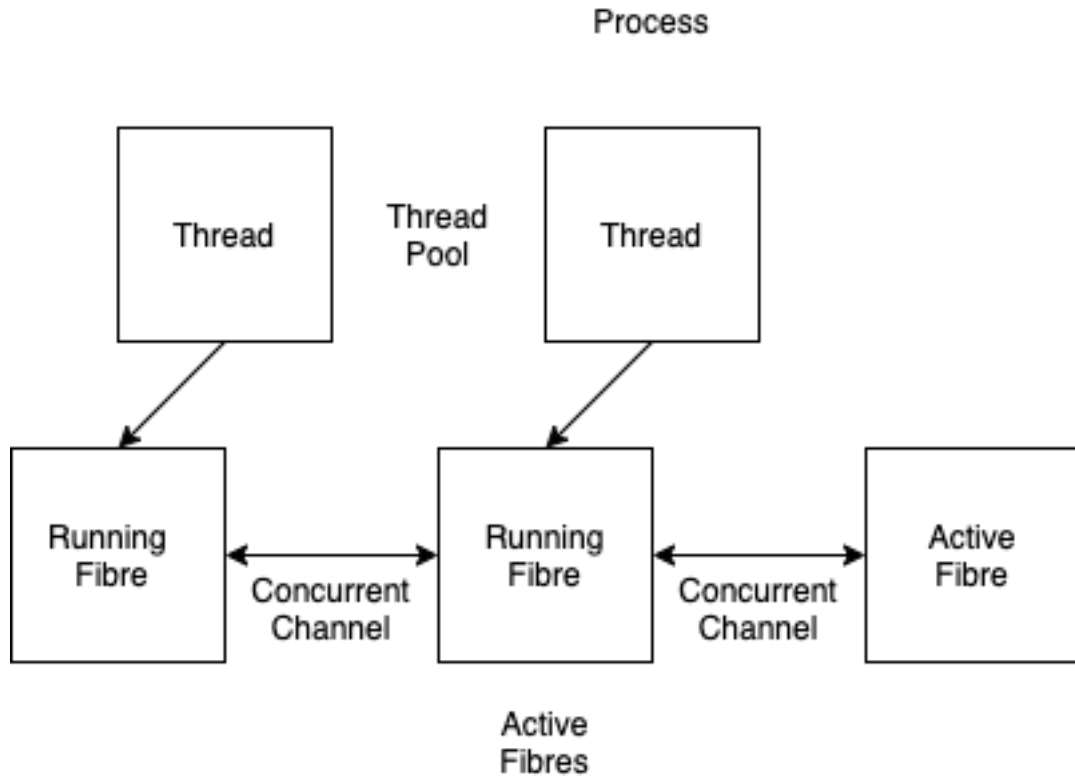
A suspended fibre can either be *ready* or *waiting*. The collection of ready and running fibres are said to be *active*.

All the ready fibres of a processes are maintained in a set and are owned by their process. Running fibres are owned by the thread running them which are owned by the thread's process.

When a thread is out of work, it attempts to locate a ready fibre then run it. If there are no ready fibres, no fibres are running, and there are no fibres waiting on asynchronous I/O from an external device or another system, then

the process terminates and all associated memory is released, all but the initial thread are terminated, and the initial thread returns control.

A process is initiated by a single C++ function call which is passed a pointer to an initial fibre suspension to invoke and a pointer to the owning system. A single threaded processes is then constructed with a single fibre owning the initial fibre.



1.3 Fibres

A *fibre* consists of a stack of continuations.

1.3.1 Continuation Objects

A *continuation object* is an instance of a routine. Continuations can be *suspended* and *resumed*. Suspension is always the result of the action of the routine itself: there is no preemptive suspension.

Continuations are instances of the abstract type `con_t` which has a `resume()` method. Calling this method continues execution of the continuation, whilst returning from it leaves it in a suspended state so that (if correctly programmed)

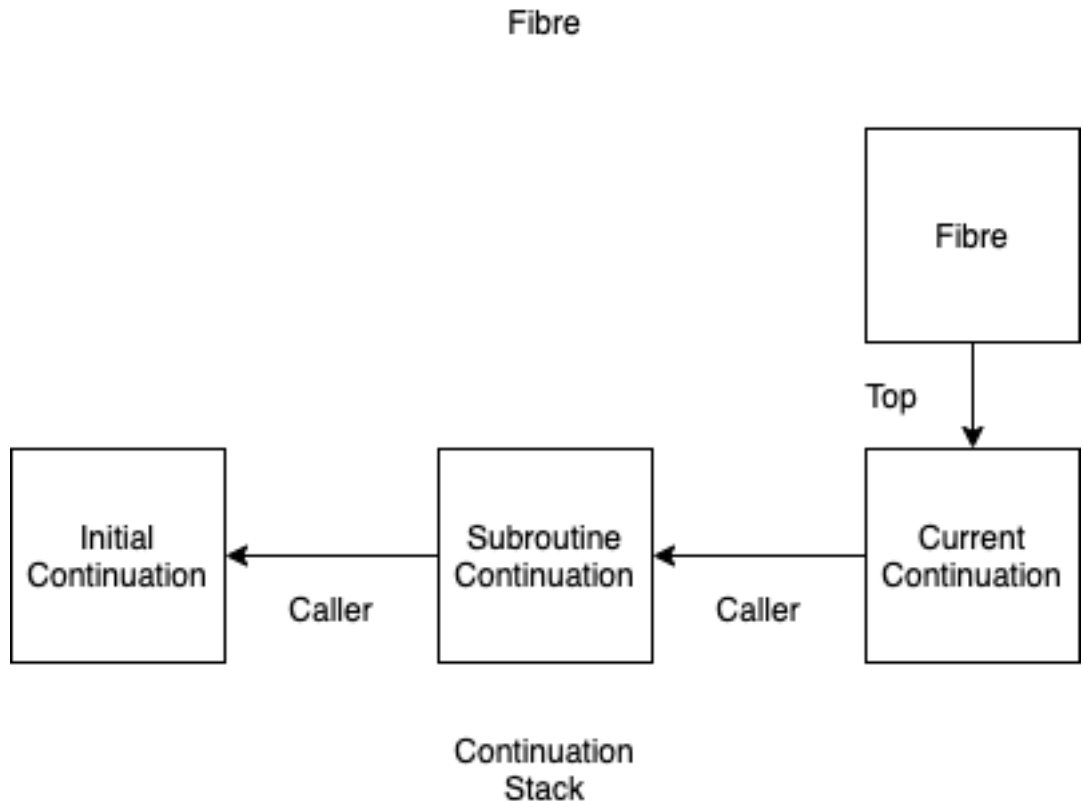
the next invocation of the resume method continues execution from the suspension point with the data state of the continuation the unchanged, except possibly if a channel I/O service call completed or a subrouine returned a value.

1.3.2 Coroutines

There are two kinds of routine. A *coroutine* represents the type of the initial routine of a fibre. An instance of a coroutine must be *spawned* by a service call which yields a fibre with one continuation on its stack. Coroutines are typically infinite loops and they cannot logically return control, for there is nowhere to return to. They can, however, terminate by *suicide*.

1.3.3 Subroutines

A *subroutine* represents the type of a routine which can be invoked by a *call* operation in a way analogous to subroutines in a convential stack machine. A call pushes the instance onto the fibre stack suspending the caller and running the subroutine continuation, which is prepared to start at its entry point.



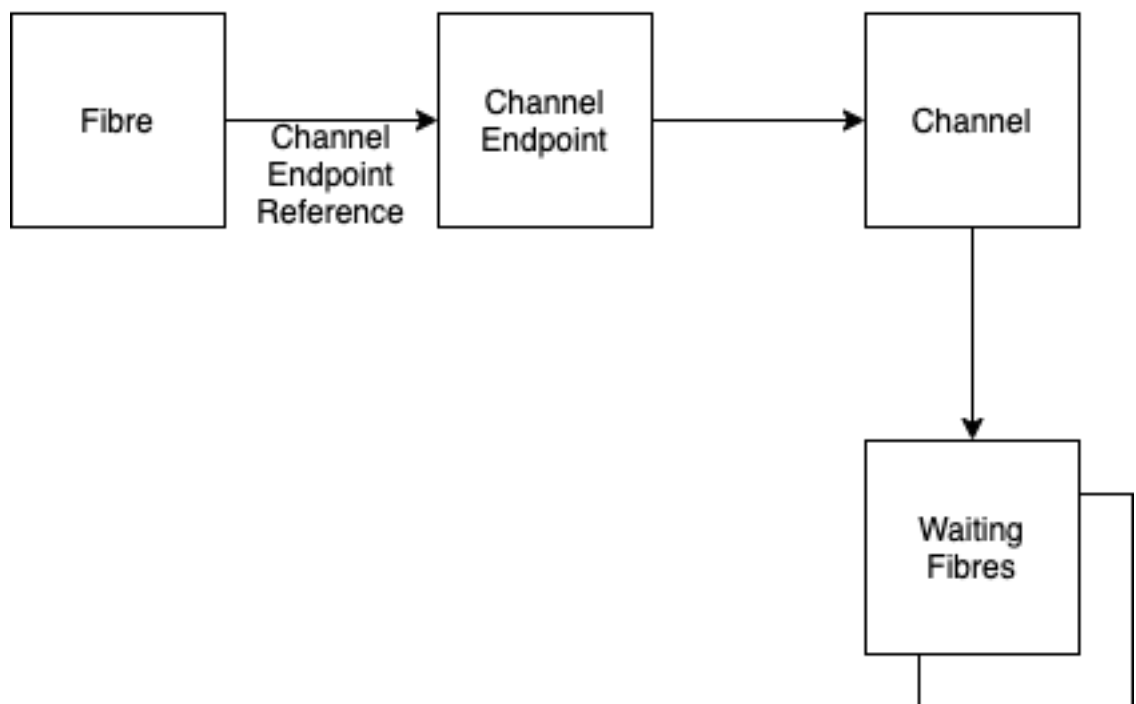
1.4 Channels

A *channel* is a synchronisation primitive which also allows transmission of data.

Channels are accessed via *channel endpoints*, using *channel endpoint references*.

A particular channel endpoint may be accessed only from the continuations of one fibre; that is, each endpoint must be uniquely owned by a single fibre. This invariant ensures the correct termination of fibres making I/O requests which cannot be satisfied.

Channel



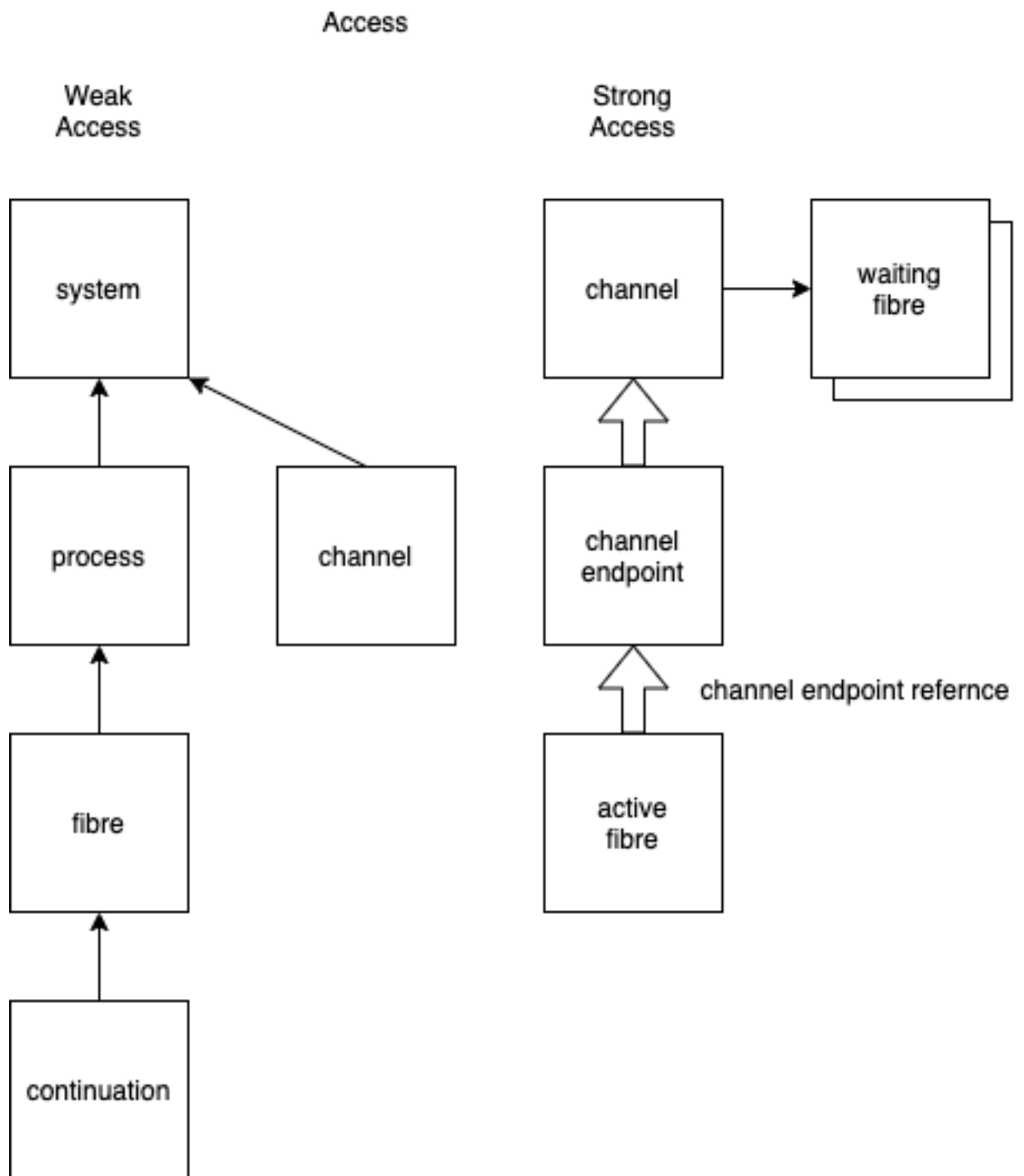
Chapter 2

Access

Objects in the system can access others using either pointers or reference counting smart pointers. A variable containing a pointer which expresses ownership is called a *strong pointer variable*. Reference counting pointer variables are always strong.

Ordinary pointers can also be strong. In this case, the object referred to must be manually deleted before the object containing the variable is destroyed.

A weak pointer variable is a variable containing a pointer which provides access to an object which owns, directly or indirectly, the containing variable. Such objects must be deleted before the owning object is deleted so that the lifetime of the weak pointer variable is contained temporally in the owner lifetime.



Chapter 3

Operational Semantics

The core semantic operations of the system are construction of processes, threads, and fibres, and channel I/O.

Reads and writes on channels are performed with special service calls which are given a channel endpoint reference. The semantic rules are simple enough.

A channel may be in three states. It may be empty, consist of a set of readers, or consist of a set of writers.

3.0.1 Write Semantics

When a write is performed then, if the channel is empty or consists of a set of writers, the fibre performing the write is added to the channel. Since this fibre was the currently running fibre of some thread, and the fibre is now suspended, the thread no longer attempts to find another fibre to execute.

If the channel contains a reader then, instead, it is removed from the channel. Data is transferred from the writer to the reader. Nominally, both fibres then become active fibres of their respective processes.

3.0.2 Channel types

There are three types of channels.

Sequential Channel

Always safe in a single threaded system lacking asynchronous I/O, this kind of channel has the fastest operation.

Concurrent Channel

This kind of channels works the same way as a sequential channel but a spinlock is used to protect the critical part of the operations. It is always safe between fibres of the same system.

Asynchronous Channel

This kind of channel must be used to communicate with external devices.

First, a device must send a signal to a system when it completes an I/O operation. Completion of an I/O operation causes a suspended fibre to become active and the signal is required to wait up any sleeping threads so allow the fibre to be resumed.

Second, a fibre must send a signal to a device when it initiates an I/O operation, to wake up the device management thread in case it is sleeping, to process the I/O request.

The action of a device is not arbitrary. On completing an I/O request it must push the requesting fibre back onto the process active set and then issue the required signal. However the precise action of the device thread apart from this is dependent on the nature of the device.

3.0.3 Read Semantics

Dual to write semantics except the direction of data transfer is the same in both cases, from writer to reader.

3.1 Sequential Model

A closed process with a single thread can be implemented using sequential channels, it has the simplest semantics and highest performance because no inter-thread synchronisation is required.

In a sequential system a single thread executes a routine whilst a set of other routines are suspended.

When a fibre terminates, a suspension is fetched from the active set and resumed, unless there are none, in which case the scheduler returns to its caller and the CSP subsystem is terminated.

There are four operations a fibre can perform which impact fibre scheduling.

- **Suicide** The fibre terminates. The scheduler runs another active fibre or terminates if there are none.
- **Spawn** The fibre creates a new active fibre. The current and newly constructed fibre are active. The scheduler may run any active fibre. Our implementation provides two service calls, one which continues running

the current fibre, suspending the new one, and one which suspends the current fibre and runs the new one.

- Read/Write A fibre performs a read or write operation on a channel. A detailed description is below.

3.1.1 Channel I/O

A fibre performing a read on a channel is called a reader. A fibre performing a write is called a writer. A read operation is said to match a write.

A channel is a set of fibres, possibly empty, all of which are readers or all of which are writers.

If a channel consists of a non-empty set of readers a write operation will succeed. It is performed by removing one reader from the set, transferring a machine word from the writer to the reader, and making both reader and writer active.

Similarly a read operation will succeed if the channel consists of a non-empty set of writers. It is performed by removing one writer from the set, transferring a machine word from the writer to the reader, and making both reader and writer active.

In our implementation in a sequential system the reader is always resumed and the writer suspended so the reader "goes first" and may use the opportunity to copy data from the writer before the writer has a chance to modify it, however the abstract semantics do not specify this, and concurrent and asynchronous channel operations cannot ensure this.

If the channel is empty or an I/O operation does not find a matching fibre in the channel, then there exists a channel endpoint which might be used later to satisfy the I/O request, the requesting fibre is suspended and added to the channel, and the scheduler then picks another fibre to run.

Otherwise, if the only remaining channel endpoint is the one being used to perform the I/O request, there is no possibility that the I/O operation can succeed. In this case, the channel, along with all fibres suspended on it, is deleted, the caller fibre is deleted, and the scheduler now looks to find another fibre to run from the active set. Crucially, if no such fibres exist, the scheduler terminates and returns control to its caller.

A reader waiting on a matching write is said to be *hungry*, a writer waiting on matching read is said to be *loaded*. If a fibre is hungry and no matching write will be performed the fibre is said to be starved. If a fibre is loaded and no matching read will be performed, the fibre is blocked.

In a correctly constructed system, a fibre which is starved because there is no endpoint on which a write could be performed is deleted and so such fibre cannot exist. Similarly a fibre which is blocked and for which no endpoint exists which could be used to perform a read, is deleted and so cannot exist.

A correctly constructed system must satisfy the constraint that no fibre can access more than one endpoint of the same channel. Note this rule may be transiently broken during construction by an initialisation routine.

Deadlocks may still occur. In the simplest case a fibre A reads on channel 1 and then writes on channel 2, whilst a fibre B reads on channel 2 then writes on channel 1. Fibre A is suspended on the channel 1 read operation and fibre B is suspended on the channel 2 read operation. Each fibre holds an endpoint for the channel on which the other is waiting, so the termination condition is not met and the system is deadlocked. [Note this cannot happen in the garbage collected version of Felix because the collector performs exhaustive reachability analysis.)

The algorithm used to implement I/O is novel. We use three objects, and double indirection with reference counting.

Each channel has a set of associated endpoints, a count of which is maintained in the channel. The endpoints in turn are accessed by a dedicated shared pointer type which manages a reference count stored in the endpoint.

When there are no references to an endpoint, the endpoint is deleted and the count of endpoints in the channel decremented. If the count would become zero, the channel, and all fibres waiting for I/O on that channel deleted.

However, when an unmatched read or write is performed on a channel and the reader or writer fibre would normally be added to the channel, the reference count in the channel is also decremented, and, when a fibre is removed from a channel due to a matching I/O operation, the count is incremented.

Thus, the reference count maintained in the channel is not a count of the number of endpoints in existence but rather the number of endpoints which are owned by fibre not suspended on the channel. Note that this does not allow for a fibre suspended on another channel, which is why a deadlock can occur.

If the reference count of the channel is one and would become zero, the channel is deleted along with the fibre requesting the I/O operation and all the fibres suspended on the channel.

Note carefully this deletion process is recursive and potentially circular: deleting a channel deletes all the fibres on it which destroys all endpoint references held by these fibres which in turn can delete endpoints of other channels and thus also those channels, then recursively deleting all the fibres of those channels.

The algorithm takes care to prevent infinite loops by marking a channel being deleted by setting the reference count to 0 to indicate deletion is already in progress.

In most systems coroutines are infinite loops which repeatedly process elements of data streams. The simplest configuration is a pipeline consisting of a data source, a sequence of transducers, and a terminal sink. In such a pipeline if any one of the components suicides, the whole pipeline automatically collapses.

This is the correct way for CSP systems to terminate. It must be repeated, that blockage and starvation are not errors, but the correct method of termination. That this is not the case in Golang is a fundamental design fault in that system. It is important to note that it is impossible to check for end of data on a channel: being able to do so actually makes the semantics unsound.

We note again the Felix garbage collected implementation is more exhaustive. It detects deadlocks too, so in a correctly constructed Felix system deadlocks are impossible and simply lead to termination. Although our system could also implement this capability, maintaining a data structure representing all channels a fibre can access is potentially expensive and may prohibit the temporal bounds required for hard real time systems: in particular the kernel would need to perform arbitrary allocations. By contrast the existing system uses only linked lists of fibres, where fibres have a slot for the next pointer, and so the kernel operation itself requires no allocations at all.

3.2 Concurrent Systems

A system can use pool of threads to execute more than one active routine at once. All systems begin single threaded and the initial thread is called the main thread. All threads are detached and terminate by returning control; the main thread returns to its caller, whilst the other threads, called *cothreads*, simply evaporate.

A routine can spawn a routine as a cothread. This adds a new thread to the thread pool. Since more than one thread can now be running, channel I/O requires inter-thread synchronisation. We use spinlocks to achieve this because I/O operations are extremely light.

If the spinlocks also ensure no preemption is possible whilst a thread holds a lock, then the system would be lock free. Unfortunately most operating systems do not provide non-preemptable critical sections. This means if there is heavy contention, and an I/O operation is preempted whilst holding a lock, other thread will spin until the pre-empted thread is resumed by the operating system.

This situation can be avoided on Linux if the thread has exclusive use of a CPU core so that pre-emptions are not possible. It is also the expected behaviour if heavy weight operating system mutex had been used, although most operating systems do not ensure this. Unfortunately, acquiring mutex locks is almost certainly involved in de-scheduling threads attempting to acquire an already held lock, which no assurance such a thread will be rescheduled rapidly after the lock is released; so although the semantics may be superior real time performance may be compromised.

This is because again most operating systems refuse to clearly specify the meaning of real time assurances given to a thread, in the event the thread performs some service call to the operating system: in principle the time during which the

service is performed should not be debited from the temporal quota the thread has been issued (although the costs of the call itself may be).

Operating systems do assure real time threads of their quotas if they behave nicely, even if pre-empted: the time lost to a pre-emption must be made up later.

In addition, the lack of hardware synchronisation primitives is a severe limitation on which can be achieved. Most processors provide atomic versions of basic operations on a machine word, such as increment, along with a CAS (compare and swap) instruction. Unfortunately CAS alone is next to useless. Even with DCAS (double compare and swap) a simple stack cannot be implemented. What is actually required is the ability to perform an short sequence of a reasonable selected set of instructions atomically, as can be achieved with a non-preemptable spinlock.

Idleness

When multiple threads are able to pull jobs out of a job queue, there is an issue what to do when there are no jobs in the queue. If there are no currently running fibres at all, the system must terminate.

On the other hand, if one job is running, we do not want to terminate the idle threads, because the running fibre can spawn new work. This means an idle thread should wait on a condition variable which is signalled when either new work becomes available or, it is time for all threads to return.

When a thread has no work, the cost of the OS calls required to wait on a condition variable can be ignored, since the thread has no work anyhow. However signaling all threads every time a new job is spawned is not a good idea either: although we expect and indeed demand signalling to be a real time operation, in practice it may not be. We must therefore at least limit signaling to when it is necessary.

A signal must be sent when there is at least one idle thread and the spawn operation is adding to an empty job queue. These conditions are logically connected. However the emptiness of the job queue is indicated by a `nulptr`, and we have to maintain a count of active threads and idle threads separately to allow termination.

The problem here is a potential race condition. Signalling a thread to wake up and grab a job off the queue does not ensure the job is still there when the thread wakes up. On the other hand if a thread is idle when a job is added to the queue, failing to signal the thread just because the queue was not empty could lead to a situation when a job is pulled off the queue by another thread, leaving a job still waiting, but the idle thread was not signalled.

In the end, a simple solution to this problem is to use a timed wait on a condition variable. An idle thread simply polls for work or the termination condition, with

the option of being woken up by a signal to reduce the delay in starting a job. This is a universal solution, and the argument for it is simple enough: the thread is idle anyhow, so the polling interval is chosen as a tradeoff between latency and energy consumption.

3.3 Asynchronicity

So far we have dealt with closed systems. Although multithreaded applications require synchronisation of channel I/O, the delays are very small.

Asynchronous I/O involves waiting potentially long periods for external events such as alarm timeouts, user interface inputs, socket I/O, video sync pulses, audio callbacks, or communication between distinct processes.

In this situation, fast polling by waiting threads is not acceptable.

We represent an external device as a thread which monitors actual hardware. These threads are custom designed in an arbitrary manner. For example socket I/O would use operating system dependent polling of event queues by use of functions like poll, epoll, kqueue, etc to wait for socket events.

Our system routines never block directly waiting for asynchronous events. Instead, a fibre is simply made inactive in the same way as an unsatisfied channel I/O request removes the fibre from the active set. When the wait is over some work is done by the device threads preparing the fibre for resumption and then it is put back in its owning active set. It will not run immediately but must wait at least until a thread is free to resume it.

Because of the significant delays and heavily asynchronous nature of external event delivery, threads may use very long or even infinite wait times. This is because there could be a very large number of potential events which would make polling prohibitive. Therefore, asynchronous I/O requires a device sending data to a fibre must issue a signal to wake up a thread. Similarly, external threads managing devices should not waste energy or CPU resources in fast polling loops, and so a write to the device requires a signal to wake it up.

It is tempting to use channels for asynchronous I/O, however the read and write operations can only involve half duplex semantics. In particular a reader waiting for data from a device can be rescheduled by the device, and similarly for writers, but the device end need not be a CSP system with a matching fibre to reschedule.

Therefore, where a device end rescheduling operation would be used were the device a concurrent CSP process, a signal must be delivered to the device instead to wake it up.

Chapter 4

Allocators

An *allocator* is an object with two methods, `allocate` and `deallocate`, used to manage memory blocks. The `allocate` method retrieves an available block of a particular size, and the `deallocate` method returns to the free store.

Each system has a *system allocator* which must be used to construct process objects, channel objects, and channel endpoint objects.

Each process has a *process allocator* which must be used to construct fibres and continuations of that process.

We provide a standard system allocator of class `system_allocator_t`. This allocator is thread safe and uses spinlocks to protect the allocation and deallocation methods.

The management objects and the user memory blocks are all suballocated from a single large memory block retrieved from another allocator, its *bootstrap allocator*. We provide a convenience allocator which can be used as the initial bootstrap for other allocators, of class `malloc_free_t` allocator.

The standard system allocator requires that only blocks retrieved from it by `allocate` be returned to it, by method `deallocate`. In addition, no more than the available number of blocks of any particular size may be allocated or the behaviour is undefined. The system allocator must be constructed with the maximum number of blocks required.

Requests for a particular size are mapped to requests for the size which is the initially specified size which is the least upper bound of the requested size. Requests for blocks larger than any specified size have undefined behaviour.

The model is based on the fact that all programs are ultimately finite state machines. This requires all scalable programs be bounded so that the maximum number of blocks of any particular size ever required at any time can be calculated and thus pre-allocated.

4.1 Allocator Structures

All allocators are used via a reference counting handle `alloc_ref_t`. Every non-root allocator has constructors whose first argument is a handle to its parent, which is the allocator that constructed it. This ensure the parent remains live whilst its child does, and provides access to the parent which must be used to deallocate it.

A root allocator is passed a `nullptr` and is created by C++ standard `new` and deallocated by `delete`. Therefore, allocators must form a directed acyclic graph with respect to parentage.

In addition many allocators delegate to others, often one other, but many others could be used. The delegation graph must also be a directed acyclic graph.

Chapter 5

Continuations

The heart of the system are continuation objects. These are user defined classes that contain the application code. The code must be presented in a heavily stylised way in order for the system to operate correctly.

A translator for a higher level language will generate conformant continuations.

The heart of a continuation contains three methods:

1. The constructor is responsible for binding the lexical context
2. The `call` method is responsible for assigning the arguments
3. The `resume` method is responsible for executing a step of code