

Open Source Maturity Model V3

Open Source Maturity Model:

You have been selected in your organization to participate in a review of your company's practices regarding Open Source Software (OSS). The Open Source Software Maturity Model evaluates an organization's approach to managing use of Open Source across three broad dimensions:

1. **Strategy**
 1. Goals and Objectives
 2. Corporate Alignment
 3. Community and Ecosystem engagement
 4. InnerSource
2. **Management**
 1. Life-cycle Management
 2. Risk Management
 3. Compliance and Assurance Management
 4. Security Management
 5. Operations Management
3. **Usage**
 1. Consumption
 2. Contribution
 3. Publication

Confidentiality:

FINOS understands that you or your organization may consider the information in the survey and the report to be sensitive. FINOS will maintain the confidentiality of the survey and the report. Please contact FINOS if you desire the additional protection of a non-disclosure agreement.

There are 236 questions in this survey.

General Information

Captures the demographic information of the survey participant!!

Company Name:

Please write your answer here:

Company Size:

Choose one of the following answers

Please choose **only one** of the following:

- 1 - 1000
- 1001 - 5000
- 5001 - 10000
- 10001+

Region

Choose one of the following answers

Please choose **only one** of the following:

- US
- EU
- APMEA
- ROW

Name

Please write your answer here:

Role/Department: *

Choose one of the following answers

Please choose **only one** of the following:

- Accounting/Finance
- Architect
- Audit/Compliance
- Community
- CXO
- HR
- Legal
- Marketing/PR/Communication
- Procurement
- Product Management
- Security/Risk
- Software Developer
- Strategy
- Other

Experience Level:

Only answer this question if the following conditions are met:

Answer was 'HR' or 'CXO' or 'Legal' or 'Marketing/PR/Communication' or 'Community' or 'Procurement' or 'Product Management' or 'Security/Risk' or 'Software Developer' or 'Strategy' or 'Other' or 'Audit/Compliance' or 'Architect' or 'Accounting/Finance' at question '[Q216]' (Role/Department):

Choose one of the following answers

Please choose **only one** of the following:

- 2-5 Yrs
- 6-10 Yrs
- 11-15 Yrs
- 15+ Yrs

Goals and Objectives

This section of the survey focuses on Goals and Objectives of the Strategy dimension!

Does your organization have a defined open source policy? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the open source policy communicated to everyone responsible for implementing or following the policy? *

Only answer this question if the following conditions are met:

((Q1.NAOK == 'A1'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have an open source strategy? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the open source strategy have goals and objectives defined? *

Only answer this question if the following conditions are met:

((Q3.NAOK == 'A1'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do the goals/objectives of the strategy have defined metrics and KPIs? *

Only answer this question if the following conditions are met:

((Q4.NAOK == 'A1'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there personnel dedicated to open source strategy? *

Only answer this question if the following conditions are met:
((Q5.NAOK == 'A1'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

If there is an open source strategy, is there a plan for open source strategy implementation?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know
- NA

Does the plan have defined milestones?

Only answer this question if the following conditions are met:
((Q7.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is someone responsible for tracking and reporting those milestones?

Only answer this question if the following conditions are met:
((Q8.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source benefits considered during the organizational strategy discussions?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What are the main objectives of your organization's open source strategy? (select up to three, or N/A if not involved in open source) *

Check all that apply

Please select at most 3 answers

Please choose **all** that apply:

- High quality software
- Innovation
- Productivity
- Reduced vendor lock-in
- Increased user friendliness
- Easy to scale
- Faster bug resolution
- Enhanced security
- Others(mention all that apply)
- NA

Is open source considered as a part of the overall technology strategy plans/goals?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that open source contributes to critical company strategy/goals?

Only answer this question if the following conditions are met:
((Q12.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source solutions preferred over proprietary softwares?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there policies to encourage contribution to open source projects and communities?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization leverage open source activities (consumption, contribution, publication) as part of its innovation strategy?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which of the below concerns related to open source are most important at your organization? (select upto three) *

Check all that apply

Please select at most 3 answers

Please choose **all** that apply:

- Security risks
- IP issues
- License compliance
- Code quality
- Cost
- Supportability
- Others

Do you feel that your organization does a good job of leveraging open source? Why? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Make a comment on your choice here:

Does every open source activity, decision, strategic element is aligned one of the corporate strategic objectives it supports?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Corporate Alignment

This section of the survey focuses on Corporate Alignment of Strategy dimension.

Is senior leadership aware of the use of open source within the organization? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

How is open source viewed by the leadership inside organization? *

Only answer this question if the following conditions are met:

((Q10.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- An Asset
- A Risk
- Both
- Neither
- Don't Know

Has management established policies to control the "use of"/"contribution to" open source?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the "use of"/"contribution to" open source typically restrictive in nature?

Only answer this question if the following conditions are met:

((Q12.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

If there is an open source strategy, how widely is the strategy communicated? *

Choose one of the following answers

Please choose **only one** of the following:

- To select teams
- To only technical teams
- To most departments in IT
- To the entire IT organization
- Others (please specify)
- NA

Make a comment on your choice here:

Do you feel that the executive management at your organization has negative views on open source?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have an open source program office (OSPO) or equivalent? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Who all are the stakeholders in OSPO? *

Only answer this question if the following conditions are met:

((Q25.NAOK == 'AO01'))

Check all that apply

Please choose **all** that apply:

- Executive Level
- Legal
- HR
- Innovation
- Procurement
- Business
- IT
- Development
- Security
- Others

Is the OSPO represented in executive strategy discussions?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are people aware of how to engage with the OSPO?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is it a common or systematic practice to consult the OSPO when starting a new open source activity or seeking knowledge on open source issues?

Only answer this question if the following conditions are met:

((Q28.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What would you name as the main benefit of using open source? *

Check all that apply

Please select at most 3 answers

Please choose **all** that apply:

- Cost Optimization
- Time-to-market
- Attract and retain talent

- New ways of working (inner source)
- Innovation
- Skill building
- Branding and thought leadership
- Other

Do you feel that management at your organization realizes the benefits of open source? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that the management sees open source mainly as a tool for cost savings? *

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q32]' (Do you feel that management at your organization realizes the benefits of open source?)

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your corporate talent acquisition/retention strategy leverage your open source activities?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the management realize that open source strategy can be used to achieve corporate objectives? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the Open source strategy aligned with corporate strategic objectives?

Only answer this question if the following conditions are met:

((Q34.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Community and Ecosystem Engagement

This section of the survey focuses on Community and Ecosystem engagement.

Does your organization engage with any open source community or ecosystem? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that the open source community engagement is made complex due to controls? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which of the following engage(s) in open source activites? (select all that apply)

Check all that apply

Please choose **all** that apply:

- Line of business management
- Engineering management
- Both
- None
- NA

Is your organization a member of any industry foundations? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which all foundations does your organizations a member of? *

Only answer this question if the following conditions are met:

((Q40.NAOK == 'A1'))

Please write your answer here:

What benefit do you derive from the membership?

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q41]' (Does your organization sponsor or actively contribute to any open source communities that are important to company strategy by providing developer resources, facilities, or financial support?)

Please write your answer here:

Does your organization have an open source community manager function? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the community manager a dedicated role in your organization? *

Only answer this question if the following conditions are met:

((Q44.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you leverage social media (Twitter, LinkedIn, Blogs or other platforms) to generate visibility for your open source contributions/publications? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No

- Don't Know

Does your organization have a strategic plan for engaging with open source communities? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization encourage employees to announce themselves as their employees of the organization when: (select all that apply) *

Only answer this question if the following conditions are met:

((Q47.NAOK == 'AO01'))

Check all that apply

Please choose **all** that apply:

- Contributing to corporate projects
- Contributing to third party projects
- Publishing/Contributing on their own code

Are governance program(s) in place to govern open source community and ecosystem engagement? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the governance program benefits of such engagements tracked/measured ? *

Only answer this question if the following conditions are met:

((Q49.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there any specific benefits targeted from the governance program? Which ones? (mention all in the comment box below) *

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q50]' (Are the governance program benefits of such engagements tracked/measured ?)

Please write your answer here:

Is the engagement level with ecosystem/community measured?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is community engagement an integral part of your open source strategy?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are employees in your organization encouraged to participate in open source projects?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the employees rewarded/recognized for participation in open source project?

Only answer this question if the following conditions are met:

((Q54.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that your organizational culture is favorable open source? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do atleast some of your developers have committer or maintainer status in open source projects? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

InnerSource

This section of the survey focuses on Innersource aspects of Strategy dimension.

Which of the option best describes the teams within your organization? *

Choose one of the following answers

Please choose **only one** of the following:

- Silos - teams work independently but also in isolation
- Reactive - teams work independently, but know how to react to flaws in dependencies
- Contributive - teams actively help improve their dependencies by contributing
- Activist - teams actively seek help, mentor and recruit new contributors

Does your organization support a shared repository or tool for collective knowledge sharing? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a standard process across your organization to share blueprints/roadmaps with clear guidelines and contribution rules?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are employees encouraged to contribute to internal projects outside the direct influence of their line manager?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do individuals and teams regularly share their products/solutions with stakeholders outside their group?

Only answer this question if the following conditions are met:

((Q61.NAOK == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the organization share best practices for cross-functional teams to work together?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there rewards for cross-team collaborations? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are middle managers incentivized for cross-team collaboration? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

What do you think are the benefits of InnerSource in your organization? (select up to three options) *

Check all that apply
Please select at most 3 answers
Please choose **all** that apply:

- Innovation
- Time to market
- Resilience
- Distributed agile
- Standardization, reduction of tech debt
- Software velocity
- Precursor to open source
- Not familiar with InnerSource
- Others

Does your organization have an InnerSource Strategy?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a well-communicated mission/vision statement pertaining to InnerSource?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are all relevant employees encouraged to contribute to InnerSourced codebases?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a dedicated InnerSource community leader?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there goals or OKRs pertaining to InnerSource?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there metrics/KPIs to measure those goals?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have : (select all that apply) *

Check all that apply

Please choose **all** that apply:

- InnerSource Program Office (ISPO)
- InnerSource training program
- InnerSource evangelists who promote the benefits/ways of getting engaged, and/or model/processes

What do you think would be the greatest barriers to InnerSource adoption at your organization? (select all that apply) *

Check all that apply

Please choose **all** that apply:

- Management interference
- Code quality
- Compliance
- Support - Collaborative tooling
- Developer discomfort
- Others

Lifecycle Management

This section of the focuses on Lifecycle Management of Management dimension.

Does your company use tools or processes to manage component lifecycle? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Make a comment on your choice here:

Which all tools your organization uses to manage open source components? *

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q75]' (Does your company use tools or processes to manage component lifecycle?)

Please write your answer here:

Are the IT teams aware of the possible or existing issues around component lifecycle management? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a structured approach to resolve the issues related to component lifecycle management?

Only answer this question if the following conditions are met:

((Q77.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the company have an automated process to track issues for each third party / OSS component? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the company have an automated process to track fixes for each third party / OSS component?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the company have an automated process to track versions requirements for each third party / OSS component?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the company have an automated process to track compatibility requirements for each third party / OSS component?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your company have a plan to support open source components in production?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your company identify an "owner" for each open source component in production? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No

- Don't Know

Do you define code management responsibilities of owners? *

Only answer this question if the following conditions are met:

((Q84.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source components properly managed from ingestion through EOL? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know
- NA

Are the open source components managed on a case-by-case basis? *

Only answer this question if the following conditions are met:

((Q86.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a process defining how open source components are managed from ingestion through EOL? *

Only answer this question if the following conditions are met:

((Q87.NAOK == 'AO02'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a process for managing open source lifecycle which is always executed? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Risk Management

This section of the survey focuses on Risk management aspects of Management dimension.

Is there an enterprise risk management (ERM) process management including OSS risk management? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the OSS risk management processes repeatable? *

Only answer this question if the following conditions are met:

((Q90.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the OSS risk management processes applied consistently?? *

Only answer this question if the following conditions are met:
([Q91.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your OSS ERM process manage OSS risk appetite? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the importance of defining OSS risk appetite understood? *

Only answer this question if the following conditions are met:
([Q93.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the types/degrees of OSS risk appetite defined/documentated? ? *

Only answer this question if the following conditions are met:
([Q94.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your OSS ERM process manage OSS performance?? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is OSS performance management defined/documentated? *

Only answer this question if the following conditions are met:
([Q96.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there an integrated performance management in the OSS RM processes? *

Only answer this question if the following conditions are met:
([Q97.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the process evaluated regularly? *

Only answer this question if the following conditions are met:
([Q98.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your OSS ERM process manage OSS business resiliency/sustainability? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Have the OSS operational processes evolved into a steady state and/or are repeatable? *

Only answer this question if the following conditions are met:

((Q100.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the resilience/sustainability requirements integrated with services and/or internal OSS RM processes/activities? *

Only answer this question if the following conditions are met:

((Q101.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there an optimized business recovery process with fully developed resilient strategy? *

Only answer this question if the following conditions are met:

((Q102.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have an open source software risk management strategy? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the organization define activities for software risk management? *

Only answer this question if the following conditions are met:

((Q104.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What does your organizations risk management addresses? (select all that apply) *

Only answer this question if the following conditions are met:

((Q105.NAOK == 'AO01'))

Check all that apply

Please choose **all** that apply:

- Third-party risk
- Supply chain risk
- Both

- None

Does your organization have an open source software asset registry?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you track open source code elements (snippets/other directly incorporated code)?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which of the following are provided by open source risk management activities?: (select all that apply)

Check all that apply

Please choose **all** that apply:

- IT control mapping
- Metrics
- Indicators
- Internal RACI for each control
- Test plans
- Automation
- Others

Are your organization's open source risk management activities a part of the overall organizational compliance policy?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Your organization's open source risk management activities are aligned with which of the following: (select all that apply) *

Check all that apply

Please choose **all** that apply:

- Overall organizational business continuity
- Human resources
- Quality management
- Service management policies
- None of the above
- Others

Are standardised controls in place with periodic testing for effective design & operation?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the standardised controls have reporting capabilities?

Only answer this question if the following conditions are met:

([Q112.NAOK](#) == 'AO01')

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does an integrated internal control framework exists?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the integrated controls have real time monitoring by management?

Only answer this question if the following conditions are met:
((Q114.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Compliance and Assurance Management

This section of the survey focuses on Compliance and Assurance aspects of Management dimension.

Does the company have a review board providing decisions on third party component requests that do not meet existing policy requirements?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Make a comment on your choice here:

Does your organization ensure compliance with the licenses of: (select all that apply) *

Check all that apply

Please choose **all** that apply:

- Third party software included in your internal software base
- OSS included in your internal software base
- OSS included in your customer-facing software base
- None of the above
- Others

Do you require software suppliers to: (select all that apply) *

Check all that apply

Please choose **all** that apply:

- Report OSS code incorporated in their deliverables
- Provide Bills of Materials for the OSS code incorporated in their deliverables
- None of the above
- Others

Does your organization have an open source software audit program? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a specific open source wider regulatory compliance alignment? (i.e. SOX, GDPR, PCI-DSS, etc.) *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do internal stakeholders collaborate around compliance?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there any process or control in place overseeing the download of open source software within the organization?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the legal department define controls to reduce or block the ingestion of open source code?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a defined hierarchy to report compliance issues?

Only answer this question if the following conditions are met:
([Q123.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do the existing controls block developers from downloading code?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the existing controls lead to creation of workarounds?

Only answer this question if the following conditions are met:
([Q125.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the policies for consuming open source software standardized across the organization? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a "disallowed list" of open source projects that cannot be consumed or require exceptional approvals for consumption? *

Only answer this question if the following conditions are met:
([Q127.NAOK](#) == 'AO01'))

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization perform open source scans during software builds?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a policy around Contributor Licensing Agreements/Developer Certificate of Origin?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Security Management

This section of the survey focuses on Security Management aspects of Management dimension.

Is there an open source security policy? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel the open source security policy is adequate? *

Only answer this question if the following conditions are met:

((Q131.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is the security policy well defined with guidelines to differentiate open source software in terms of security posture? *

Only answer this question if the following conditions are met:

((Q132.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you have an OSS vulnerability management system? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization currently perform vulnerability and penetration tests on your systems and software? *

Only answer this question if the following conditions are met:

((Q134.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What is the frequency of the vulnerability scans? *

Only answer this question if the following conditions are met:

((Q135.NAOK == 'AO01'))

Please write your answer here:

Is the security assessment methodology scalable?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the security management have automated security assessment mechanism?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have visibility into all open source assets? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are all open source assets subjected to security audits? *

Only answer this question if the following conditions are met:

((Q139.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization currently produce a software bill of materials (SBOM) for your application and dependencies? *

Only answer this question if the following conditions are met:

((Q140.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization scan OSS source code for vulnerabilities prior to incorporating it into your application? *

Only answer this question if the following conditions are met:

((Q141.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is security training provided for team working on open source software?

Choose one of the following answers

Please choose **only one** of the following:

- Yes

- No
- Don't Know

Does your organization have data security procedures regarding the use of OSS in critical applications/services? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there security controls implemented inside your organization for: (select all that apply)?

Check all that apply

Please choose **all** that apply:

- All outbound open source contributions to external OSS projects
- All inbound open source contributions from external OSS projects
- None
- NA

Are customized security sandbox environments for open source community initiatives monitored?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is periodic security threat assessment being performed in your organization?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a security dashboard for all open source community initiatives?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there security gated controls for release of open source software to external community initiatives?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there open source security utilities to strengthen the security of open source community initiatives? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Operations Management

This section of survey focuses on Operationalization of Management dimension.

Is there a defined process for procuring open source software in your organization? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that the process for procuring open source software slows down ingestion of open source in your organization? *

Only answer this question if the following conditions are met:

((Q151.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source processes planned and implemented for use of open source?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Make a comment on your choice here:

Does your organization have an open source training program? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you operate an external open source repository (e.g. GitHub, other...)? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there governance programs and tools to support developers to use and/or contribute open source? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that there are effective processes and tools in place that allow developers to leverage open source?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have model for obtaining support for open source components?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization acquire commercially supported open source?

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

With which of the following do you support open source component maintenance: (select all that apply)

Check all that apply
Please choose **all** that apply:

- With your resources
- With 3rd party resources (vendor-supported)
- Both of the above
- Not supported

Does your development team use a modern version control system? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Consumption

This section of the survey focuses on open source Consumption aspects of Usage dimension.

Are your employees able to download open source code without any kind of process or control? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does the organization have knowledge of what open source is running inside the organization? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there controls or policies in place around the ingestion and use of open source software? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

which part of the organization manages those controls or policies? (check all that apply) *

Only answer this question if the following conditions are met:
((Q164.NAOK == 'AO01'))

Check all that apply
Please choose **all** that apply:

- Legal
- Risk Management
- Compliance
- Others

If there are controls or policies (around the ingestion and use of OSS) in place, do you feel developers follow them?

Choose one of the following answers
Please choose **only one** of the following:

- Yes

- No
- Don't Know
- NA

How do you feel developers react to the controls or policies?

Only answer this question if the following conditions are met:
((Q166.NAOK == 'AO02'))

Check all that apply

Please choose **all** that apply:

- Ignore
- Create workarounds
- Find and use loopholes
- Others

Does your organization use open source software components in their products? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are the open source components/tools standardized across the organization? *

Only answer this question if the following conditions are met:
((Q168.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there defined goals/metrics for open source usage?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source software components consumed across the organization?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there owners for the components of the open source software which is consumed?

Only answer this question if the following conditions are met:
((Q171.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a selection process to decide on open source usage? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which roles are involved in the open source selection process? (mention all that apply) *

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q173]' (Does your organization have a selection process to decide on open source usage?)

Please write your answer here:

Does your organization have a "allow list" of open source projects that require no additional approval? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have internally-published documentation about its open source usage policies and procedures? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization provide internal training on its open source usage policies and procedures? *

Only answer this question if the following conditions are met:

((Q176.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a method for externally publishing copyright attributions and/or source code for the open source projects that it uses?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a method for external people or organizations to report licensing concerns for the open source projects that it uses?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Contribution

This section of the survey focuses on open source Contribution aspects of Usage dimension.

Does your organization contribute code to existing open source projects? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is approval or review required prior to making contributions to open source? *

Only answer this question if the following conditions are met:

((Q180.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

If employees contribute to open source projects, most often do they do so in their:

Check all that apply

Please choose **all** that apply:

- Personal time
- Company time
- Both personal and company time
- Don't know

Are the open source contributions by employees measured or tracked in anyway?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that employees who are open source contributors are frustrated by restrictive internal controls over contributions?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does management perceive that there is business value in contributing to open source communities? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do they actively promote contributions by employees? *

Only answer this question if the following conditions are met:

((Q185.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do they reward/recognize open source contributions by employees? *

Only answer this question if the following conditions are met:

((Q186.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are there any target projects where your organization intends to or does already contribute? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are objectives set for achieving maintainer/committer/leadership status in target projects? *

Only answer this question if the following conditions are met:

((Q188.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a contributor reward program for achieving some objectives? *

Only answer this question if the following conditions are met:
((Q189.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization participate in open source communities/foundations/ecosystems?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Which of the communities/foundations/ecosystems your organization participate?

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q191]' (Does your organization participate in open source communities/foundations/ecosystems?)

Please write your answer here:

Are developers encouraged to contribute to open source projects? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization contribute non-code (documentation, project management, etc.) to existing open source projects? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a pre-contribution review process for non-code contributions? *

Only answer this question if the following conditions are met:
((Q194.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are open source contributions a part of OKRs/goals and/or performance review process? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What roles in your organization are involved in approving contributions to open source projects? (mention all that apply in the comment)

Please write your answer here:

What are the top reasons your organization contributes to open source projects? (select up to three) *

Check all that apply

Please select at most 3 answers

Please choose **all** that apply:

- To foster Innovation
- To know/leverage better technology
- To upskill
- To maintain business-critical open source components
- To give back to the community
- To sustain the open source ecosystem
- To assist in developer recruitment
- To assist in developer retention
- Others

Publication

This section of the survey focuses on open source Publication aspects of Usage dimension.

Is any code published as open source by the organization? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Make a comment on your choice here:

Is there a policy for releasing open source projects? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is there a framework/process for releasing open source projects? *

Only answer this question if the following conditions are met:

([Q200.NAOK](#) == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization open source your own software? *

Choose one of the following answers
Please choose **only one** of the following:

- Yes
- No
- Don't Know

What roles are involved in the vetting process? *

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q202]' (Does your organization open source your own software?)

Please write your answer here:

What are the core criteria for selecting projects to release as open source? (mention in the comment box below) *

Please write your answer here:

What are the primary reasons for open sourcing your organization's software? (Select up to three) *

Check all that apply

Please choose **all** that apply:

- Better product quality
- Accelerate development
- Commoditize a market
- Drive market demand by building an ecosystem
- Develop goodwill
- Ensure that new features are implemented
- Offer your customers the ability to support themselves and add custom features for themselves
- Others
- NA

Has your organization contributed a project to an open source foundation (CNCF, FINOS, Apache, Eclipse, etc.)? *

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization have a preferred set of licenses from which to choose when open sourcing software?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

What are the preferred set of open source licenses?

Only answer this question if the following conditions are met:

Answer was 'Yes' at question '[Q207]' (Does your organization have a preferred set of licenses from which to choose when open sourcing software?)

Please write your answer here:

Do you feel that the management understand the value of publishing open source software?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does your organization encourage in publishing open source software?

Only answer this question if the following conditions are met:

((Q209.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Is marketing team aware of open source publishing?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do marketing team participate in open source publishing?

Only answer this question if the following conditions are met:

((Q211.NAOK == 'AO01'))

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Are marketing activities organized around published open source code?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Does management establish goals or OKRs about open source publication?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Do you feel that the legal/management policies are restrictive in publishing open source software?

Choose one of the following answers

Please choose **only one** of the following:

- Yes
- No
- Don't Know

Conclusion