Born to argue?

The argumentative theory of reasoning revisited

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Contents

Introduction

> **1:** Don't overuse passive voice. Don't make sentences too long (rule of thumb: not longer than two lines). Don't abuse semicolons. Don't have too long NPs before the VP comes. Watch out for Dutch word order. Explain technical terms always, to fix their meaning. Give examples (and keep them as familiar as possible). Don't undersell your points, be confident! Don't vary terminology for the sake of variation.

Two cognitive skills that are often considered to set humans apart from their evolutionarily closest relatives are on the one hand our outstanding capacity for reasoning, and on the other our profound communicative abilities. Broadly considered to be unmatched in the animal kingdom (Cheney and Seyfarth, 1997) are on the one hand our sophisticated reasoning abilities

> **2:** This paragraph is saying the same thing twice, and word order is funky

> **3:** Explicate this; cite a source

and on the other hand our communication using languages that are infinitely creative in enabling the production of complex sentences.

Our reasoning and communication are intertwined with each other in different ways; it is hard to imagine our communication without reasoning. In our everyday lives, a lot of the content we intend to convey to others, we relay pragmatically: we do not literally spell out these things, but rather hope and expect our interlocutors to infer the intended message from the communicated content. When I ask my dinner partner if they can pass me the salt, they infer that I am not interested in learning about their ability to pass me the salt but rather that I am requesting to be passed the salt. When I give feedback on an interlocutor's behavior, I first reason about how my words will come across to her in order to minimize social conflict.

It is thus easy to see that reasoning and communication are intricately linked. But what exactly is the extent and nature of this link? In 2011, Hugo Mercier and Dan Sperber proposed a revolutionary theory of reasoning that intended to account for a number of long-standing issues in the experimental psychology of reasoning. According to their *argumentative theory of reasoning*, the main function of reasoning in humans is argumentative; that is, reasoning evolved in humans in order to devise arguments and evaluate those of others. Their theory is able to explain a number of purported 'flaws' of human reasoning, such as poor performance on standard reasoning tasks such as the Wason selection task; confirmation bias; and the phenomenon of motivated reasoning leading to attitude polarization.

In the words of Mercier and Sperber,

> Reasoning has evolved and persisted mainly because it makes human communication more effective and advantageous. (Mercier and Sperber, 2011, p. 60)

> 4: Add a few words about why this thesis is worth scrutinizing: for example, that others also disagree (see the MS11 commentary), or already hint at your own objections

> 5: Add a few words on that the "why" of communication is an important question, explain why this is needed to ultimately answer the RQ. It's more primitive, or primary; address this

In this thesis, I will scrutinize this position in order to ultimately answer the question of whether advanced reasoning skills in humans evolved because they facilitate more advanced communication.

> 6: Could do with some more explication: how will I scrutinize this position?

> To explore in the introduction: generic question of why an evolutionary approach is worhtwhile. Motivate why you're interested in Mercier & Sperber

1 | The chicken and the egg: the evolutionary approach

Before we are able to answer any *why*-questions about the evolution of reasoning and communication, some groundwork needs to be laid out. For what are the processes underlying evolution, and how does evolutionary causation work? What does it mean for some trait to evolve 'for the purpose of' another trait? Are we even justified in using this kind of terminology when it comes to evolution? And what intermediate questions will we need to ask ourselves in order to ultimately answer the question of why we reason and communicate?

This chapter serves to answer these and related questions. It by no means provides a comprehensive overview of the issues in evolutionary theory, since this is a vast field of research in its own right with widely diverging opinions on a number of specifics of the process of evolution [1]. The purpose of this chapter is to touch on a number of issues in the field that are relevant to our endeavor, such that we have a stronger foundation for our investigation into the evolution of reasoning and communication.

1.1 Evolution: biological vs. cultural

Although the term 'evolution' is in everyday usage most commonly interpreted as 'Darwinian evolution', or 'natural selection', the concept of evolution can be stripped down to a very broadly construed version, which may prove to be illuminating.

In general, any process of selection can be taken to consist of three consecutive steps: (1) variation, (2) sorting[2], and (3) retention (Donahoe, 2003). I will first discuss how each of the steps of this process are construed in standard evolutionary theory, and then we will consider how the process of cultural evolution fits this definition of selection.

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[1]See Ariew et al. (2002) and Uller and Laland (2019) for an overview of topics in evolutionary theory and evolutionary causation.

[2]Donahoe (2003) uses the term 'selection'; I follow Heyes (2018) and Scott-Phillips et al. (2013) in using the term 'sorting', to avoid confusion with the full process of selection, which consists of the three steps outlined here.

Firstly, in standard evolutionary theory the processes introducing variation are mutation (changes in an organism's DNA) and migration (the movement of (genetic material of) organisms from one population to another) (Scott-Phillips et al., 2013). Variation by itself is undirected; it is only due to sorting that the selection process becomes directed (Donahoe, 2003).

> **[Too many brackets]**

> *[Add a bit more on sorting: see Sperber et al. (2010) notes for an example. Try to map it to what people already know, because they're probably familiar with the process, but just not with the term.]*

> *[Make sure you yourself are clear on the difference between the higher-level process of selection, and the lower-level process of sorting.]*

Secondly, in standard evolutionary theory the sorting process amounts to natural selection and genetic drift. Natural selection acts upon the variation introduced by mutation and migration such that the genes that enhance an organism's fitness persist over time in the population (Scott-Phillips et al., 2013). An organism's fitness corresponds to how likely they are to leave offspring in the next generation compared to organisms with a different genetic makeup. This fitness relates to both the organism's chances of survival as well as its chances of reproducing. Genetic drift, on the other hand, is a *random* sorting process, resulting from a sampling error due to populations being finite in size[3].

> **[Check that my sources on evolution (for example Scott-Phillips et al. (2013)) use the same definitions. Don't need to reference]**

> *[Do some research on genetic drift and write more about it. Biologists seem to disagree on how important genetic drift is compared to natural selection; mention that. And the definition as it is right now, is not understandable: unpack it a bit, mention its importance is debated, and keep it aside.]*

Thirdly, in standard evolutionary theory, the process responsible for retention is genetic inheritance, i.e. the transmission of characteristics from parents to their offspring through the genetic material (DNA) parents impart on their offspring.

Now, the evolution of *culture* can also be said to operate by these principles (Heyes, 2018). In this context, culture is understood at its core as *information*: more specifically, it is information "that we inherit from others through social interaction (via certain kinds of social learning)" (Heyes, 2018, p. 30). Let us now consider the cultural processes underlying each of the three steps of the selection process.

Firstly, variation in culture is introduced by error and by innovation. Secondly, sorting of behaviors and habits in culture can happen through two different routes. A behavior can be sorted (selected for) because of some property inherent to the behavior that makes it "more noticeable, learnable, or memorable than others" (Heyes, 2018, p. 34) and thus more likely to be copied. Also, a behavior or habit may be sorted in a more 'classic' evolutionary way: a habit may be selected for because it improves the fitness of the individual, such that individuals with that habit are more likely to survive and reproduce than individuals with an alternative habit . Thirdly, culture may be retained through cultural inheritance, that is, through mechanisms of social learning .

> **[Add example]**

> **[Add example]**

> **[Add example]**

> **[Add example]**

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[3]See Millstein (2021) for discussion on the concept of genetic drift and how it compares with natural selection.

Whether or not the process of cultural evolution can be taken to be analogous to that of biological evolution, is not an uncontroversial issue ; see Claidière et al. (2014) for discussion and a formal account of cultural evolution. In this thesis however, I will follow Heyes (2018) in the assumption that cultural evolution is 'Darwinian'; that is, it abides by mechanisms that are analogous to those of biological evolution. As a consequence, in discussing how reasoning and communication evolved, we may remain agnostic on the kind of evolution responsible for this evolution, since the mechanisms underlying biological and cultural evolution are assumed to be the same. Thus, for the remainder of this thesis, I will use the term 'evolution' to talk about the development of characteristics (in our case, cognitive capacities) in humans over time, remaining agnostic about whether this development is due to biological evolution or cultural evolution.

Possibly add to this section, !if it turns out to be relevant for my argument!: (1) Something about gene-culture coevolution (though, can that be said to be Darwinian?) (2) Something about the hypotheses we need to form to make this theory complete, that Heyes (2018, Chapter 2) talks about: about variants and quantifiable differences between them; about routes of inheritance (vertical / oblique / horizontal); about mechanisms of inheritance.

1.2 Causation in evolution

{sec:causation-evolution}

Next, we will dip our toes into the waters of causation in evolution. As it turns out, causation in evolution is not a simple notion; let us consider for example a moth whose wings provide it with camouflage due to their coloration. The camouflage of the wings is an *effect* of their coloration; yet, it is precisely the camouflage the coloration provides that is the *cause* of the coloration being present at all (Lipton, 2009).

Evolutionary causation is a subfield of philosophy of biology that has continued to see widely diverging opinions (Baedke, 2021; Scott-Phillips et al., 2013). In this section I will restrict focus to four topics in evolutionary causation that are of interest to this thesis. First, I will discuss the distinction between proximate and ultimate causation. Then, I will briefly cover Tinbergen's (1963) questions for explaining animal behavior, which will be discussed at length in Section 1.5. Thirdly, we will have a look at niche construction and reciprocal causation. Lastly, we circle back to our research question and discuss what it entails for one trait to evolve for the purpose of another.

In evolutionary causation, one may distinguish *proximate* from *ultimate* causes. Proximate causes are the immediate influences on a trait: they explain how the trait results from the internal and external factors causing it. Ultimate causes, on the other hand, provide the higher-level historical and evolutionary explanation of those traits (Mayr, 1961). In other words, these two different causes relate to two different explanatory questions: the proximate cause is related to the *how*-question (*how* did a trait come about?), whereas the ultimate cause is related to the *why*-question (*why* did a trait come about?). According to Mayr

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(1961), who pioneered the distinction[4], one needs to answer both of these explanatory questions about a trait in order to obtain a complete understanding of it.

In a seminal proposal considered by some to be an extension of Mayr's dichotomy (Laland et al., 2013), Tinbergen (1963) outlined four questions central to the study of animal behavior. In order to fully understand a pattern of behavior, he argued, one must consider (1) the proximate causation of the behavior, (2) the lifetime development of the behavior, (3) the function[5] of the behavior, and (4) the evolutionary history of the behavior. Since Tinbergen, other authors have grouped these four questions according to Mayr's proximate-ultimate distinction, characterizing the 'causation' and 'development' questions as proximate questions (*how*-questions) and the 'function' and 'evolution' questions as ultimate questions (*why*-questions) (Bateson and Laland, 2013; Laland et al., 2013). Tinbergen's framework has had an extensive and lasting influence on the study of animal behavior, and his questions continue to be used by biologists to this day (Bateson and Laland, 2013). Hence, I will let this framework inform the methodology for this thesis and will thus discuss it in greater detail in Section 1.5.

According to standard evolutionary theory, evolution is a causally *unidirectional* affair: natural selection shapes an organism in such a way that the organism is better adapted to its environment, and as such, the causal chain starts with the environment and ends with the organism. In recent years however, biologists have been looking beyond this unidirectional view and are starting to consider the role of *reciprocal* causation in evolution. According to this concept, not only does the environment cause changes in an organism through evolutionary processes, the organism also causes changes in its environment through its actions:

> To varying degrees, organisms choose habitats and resources; construct aspects of their environments, such as nests, holes, burrows, webs, pupil cases, and a chemical milieu, and destroy other components; and frequently choose, protect, and provision nursery environments for their offspring (Day et al., 2003, p. 81)

This process by which organisms influence their environment is referred to as *niche construction*. A prominent example of niche construction is the evolution of lactose tolerance in adult humans. The prevalence of lactose tolerance in a population correlates with whether that population has a history of dairy farming. This suggests that due to their adoption of dairy farming, the individuals in the population have come to rely upon their tolerance of lactose, and this reliance amounts to a selection pressure for lactose tolerance into adulthood (Scott-Phillips et al., 2013). Much discussion remains on the exact role that niche construction should play in our evolutionary theories. Proponents of *niche construction theory* maintain that niche construction is a process that operates alongside natural selection and is an evolutionary factor in its own right,

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[4]See (Laland et al., 2013) for a discussion of the exact origins of the distinction.
[5]See Section 1.4 for a discussion of function in biology.

whereas skeptics argue that standard evolutionary theory can account for niche construction effects (Scott-Phillips et al., 2013). However, the concept of niche construction itself is uncontroversial: there is plenty of empirical evidence for the fact that organisms may partake in shaping their niche (Scott-Phillips et al., 2013).

Lastly, we briefly touch on what it means for one trait to evolve because of, or for the purpose of, another trait. In this thesis, we are interested in what evolutionary benefits one feature (reasoning) may have to another feature (communication). In order to answer this question, we should first consider what the function[6] of communication is to humans; only then can we consider whether the function of reasoning could be to advance communication and in doing so, improve the fitness of humans.

> Don't overuse parentheses

> Reasoning vs. the ability to reason: delve into this somewhere. Behavior vs. the ability to exhibit behavior. The convention in the evolutionary literature is to talk about these behaviors at a higher level of abstraction: mention this somewhere.

> This last paragraph deserves more discussion

> Missing: concluding remarks about what I will do with the concepts from this section

1.3 Evolutionary psychology

{sec:evol-psych}

In order to answer the question of whether reasoning evolved for the purpose of communication, we will also need to zoom out to consider the field of evolutionary psychology as a whole. What is the merit, and the validity, of adopting an evolutionary approach in our endeavor at all?

> Weird place to ask this question: should be moved to the introduction because it's so basic, and it motivates the research question. Here, mostly a matter of phrasing: this section is more specific than just asking "what is the merit of considering evolution at all". So rephrase this.

The field of evolutionary psychology concerns itself with trying to understand human behavior using evolutionary theory, by looking into the past and considering how our ancestors must have adapted to their environment in order to survive and reproduce. Researchers in the social sciences and humanities have historically been wary of using evolutionary approaches to study human behavior, because evolutionary theory has been abused for prejudiced ends in the past; see Laland and Brown (2002, pp. 19–20) for an overview. Moreover, evolutionary-psychological research has received the criticism that too much of it is "just-so" storytelling and post-hoc explanation of known phenomena, sometimes accompanied by a sensationalist spin on the story (Laland and Brown, 2002). However, if these pitfalls are avoided, looking at human psychology from the evolutionary perspective can be an illuminating endeavor. Let us now consider some of the central concepts and assumptions of evolutionary psychology.

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[6]See Section 1.4.

In order to explain humans' psychological mechanisms, evolutionary psychologists look to the concept of an *environment of evolutionary adaptedness* (EEA). The EEA is the environment in which these psychological mechanisms must have come into being; usually the EEA is identified as hunting and gathering groups on the African savannah in the second half of the Pleistocene, between 1.7 million and ten thousand years ago (Laland and Brown, 2002).

> Are the groups the EEA or the savannah? Elaborate more on this: is it the physical environment or does it include the groups? Aren't the groups a trait that evolved? Think about this

The assumptions underlying the use of the concept of an EEA are that (1) our modern-day environment is too different from that of our ancestors for us to use it to explain why and how our psychological mechanisms evolved in the past; and (2) for our psychological mechanisms to be as complex as they are, they must have evolved slowly; because of this, they must have evolved a considerable amount of time ago without changing significantly since the Stone Age.

There are a number of issues associated with the use of the concept of the EEA (Laland and Brown, 2002). Firstly, we do not know very much about the environment of our ancestors, so the specifics of the EEA may be filled in as is seen fit for one's purpose. Secondly, we do not know enough about the process of evolution to make assumption (2); while evolution does in general operate on a large timescale, there is empirical evidence that the process can also be faster, operating on a timescale of thousands of years, or less than 100 generations (Laland and Brown, 2002, pp. 190–191 and references therein). Thirdly, the argument can be made that for our species to have flourished and dominated in the way that it did, we must have remained adaptive to our changing modern environments after the Stone Age. Lastly, the EEA argument does not take into account reciprocal causation or niche construction.

> Probably good to mention the nature of this evidence, because this evolutionary timeline point is important

> Elaborate on this last issue, because it seems to be important for my argument. Also, it seems like a strange point to make, because especially with humans, it seems obvious that niche construction is a thing that might play a role. Why would the EEA concept rely on assumptions of unidirectional causation?

Despite the issues associated with the concept of the EEA, it is instrumentally valuable in reminding us to consider the state of the environment and its role in the evolutionary process. For the purposes of this thesis, we need not commit to any strong assumptions about the nature and properties of the EEA. The most important assumption I will make is that humans throughout history have been dependent on cooperation and strong social groups for survival.

> This paragraph deserves some attention: some more stuff about cooperation, and how social bonds and cooperation can be beneficial. Because sharing food as-is is not beneficial per se. The issue with the "sharing food is beneficial" could also be resolved by adding half a sentence of explanation about social bonds being beneficial, but I'd like to be more rigorous.

In the EEA, humans lived together in groups and relied on hunting game and gathering plants for their nutrition. In this lifestyle, cooperation is a "necessary element of human life" (Apicella and Silk, 2019, p. R448) in a number of ways. Firstly, hunting is a 'high risk, high reward' endeavor: the returns

are variable, but often when hunting does succeed the yield is large; sometimes even too large for the hunter and his relatives. In this case, food sharing within or between groups is beneficial. Another way that early humans counterbalanced the variable returns of hunting was to also rely on gathering plant foods, which yielded more predictable returns. In this case cooperation through shared labor was also beneficial, since some foods required complex foraging techniques to acquire it, or required complex processing (through e.g. cooking) before consumption. Lastly, cooperation in early humans manifested itself in 'cooperative breeding', where the responsibilities of childcare are spread among multiple caregivers. Moreover, mothers and children relied on the efforts of others for their food (Apicella and Silk, 2019).

> The following paragraph might warrant a larger discussion about domain-specificity; see commented out comment. Right now, it's not clear that this is relevant, so delve more into this if it's relevant (and move it to the relevant spot, probably). Else, remove it

> Add also some things from Freeberg et al. (2019)?

Another topic of discussion in evolutionary psychology that is of importance to our investigation is that of domain-specificity of the psychological mechanisms. The argument has been made that these adaptive mechanisms are necessarily problem- or domain-specific, because the evolutionary process would not favor general solutions to specific problems (Buss, 2015, p. 50). However, as with the EEA, issues with this stance have been raised: the push to domain-specificity can be said to rely on overly strong assumptions about the modularity of the brain; and moreover, there is also a push to domain-generality of cognitive skills because domain-general skills are neurologically more cost-efficient than domain-specific skills (Laland and Brown, 2002).

1.4 Teleological notions in evolutionary theory

{sec:teleology}

> This section needs quite some work: see notes from discussion with Karolina

Next, it is important to scrutinize the terminology that I will be using throughout this thesis. Biological literature frequently makes use of *teleological* terminology, that is, terminology that implies goal-directedness of the processes it describes. Such terminology includes concepts like the *design* of a trait, and *function*, *purpose*, or *utility* of a trait. At first glance, the usage of these terms in discussing evolution would seem to be inappropriate; for evolution is a process of nature, not purposefully performed by an agent, and it is thus without any intentionality or goals. And indeed, this teleological terminology has its roots in pre-Darwinian conceptions of nature: it originates from Aristotle's views on causation, and it was subsequently adopted by creationist Muslim and Christian scholars (Johnson, 2005).

> Maybe reformulate this sentence again: still too convoluted?

> Reformulate this reference to Aristotle: metaphysics/nature more than causation. Be safe (i.e. rather broad than specific) about the phrasing here to not upset historians of philosophy. "Aristotle's views on nature" is probably best

In general, teleological explanations in biology are quite controversial: not only is the usage of the specific terminology itself debated (Ayala, 1999, p. 27

and references therein), the concept has been criticized for its apparent lack of formalization and insufficient argumentative persuasiveness (Baedke, 2021, p. 83).

> Address the controversy around teleological explanations. Talk about instrumentalism, usefulness of the concepts. Lack of formalization is not such a big problem for the purpose here maybe, but the other thing is more of a problem. Address why they won't be a problem for you. Can mention that MS assume it as well, this teleological explanation is at the heart of their thesis (quote it?), so it's their problem to defend this. I work using the same assumptions as them.

However, explanations in terms of goals and function have considerable instrumental value in describing evolutionary processes. Throughout this thesis, I will be adhering to the conception of teleological explanations of Ayala (1999), which is as follows:

> Teleological explanations account for the existence of a certain feature in a system by demonstrating the feature's contribution to a specific property or state of the system, in such a way that this contribution is *the reason why the feature or behaviour exists at all*. (p. 13)

In this respect, the evolutionary process of adaptation merits a teleological explanation: the function of a trait (its 'contribution to a specific property or state of the system') is the reason that the trait exists, because it exists as a consequence of natural selection.

> Add example here

> Is this view compatible with reciprocal causation and niche construction? I think so; they're a complication for the whole picture, not necessarily for using this definition.

> Is this view compatible with cultural learning? From the quote, it doesn't necessarily follow that it's about biology necessarily. Think about this, and after writing a section on culture, state to what extent and in what way we'll adhere to Ayala (1999)

The distinction between proximate and ultimate causes we saw in Section 1.2 can be applied to teleological explanation as well, yielding the distinction between proximate and ultimate *ends* of features. The proximate end is then the 'immediate' function the feature serves, and the ultimate end is the reproductive success of the organism.

> Add example

A footnote to this account is that not all features of organisms can be explained teleologically; only if the feature has arisen and persisted as a direct result of natural selection, a teleological explanation is in place.

> This "direct result of natural selection" is very vague/slippery; acknowledge this, and elaborate more on it if it turns out to be important for my thesis. A way to do this would be to contrast it with an indirect result. Talk about side effects?

1.5 Adopting and adapting Tinbergen's four questions

{sec:tinbergen}

> In this section: terminology issue: trait vs. feature vs. characteristic vs. behavior. Address this earlier on in the chapter, it also relates in a way to the ability to exhibit behavior vs. the behavior itself.

As mentioned in Section 1.2, Tinbergen (1963) proposed an influential framework of problems[7] that should be addressed if one intends to give a complete account of a behavior an animal exhibits. Let us dive more deeply into Tinbergen's framework here, as it will turn out to form a desirable foundation for the current investigations.

As mentioned before, the four problems that Tinbergen argued to be central to the study of behavior are causation, survival value, ontogeny, and evolution. Although these problems were originally raised in regards to animal behavior, the framework has since been adopted for analyzing the characteristics of organisms in general, and can even be used to gain understanding of nonliving systems, such as traffic lights (Bateson and Laland, 2013).

> This last sentence is too ambiguous in its focus: rephrase so that focus is more on characteristics and less on organisms

I will now discuss each of these problems in more detail, such that we can ultimately come to a set of methodological questions to guide us in investigating human communication and reasoning.

1.5.1 Causation

The first Tinbergen problem is that of the mechanistic causation of the behavior; in other words, the proximate causation of the behavior. In our case, addressing this problem would entail a detailed investigation of the neurological processes underlying communicative and reasoning behaviors. This problem, however interesting, will not be addressed in this thesis. The reason for this is that more empirical and conceptual research would be necessary in order to give a satisfactory account of the exact neurological processes underlying communicative and reasoning behavior. Although it has been emphasized that we can only gain a full understanding of a behavior if the four problems are addressed simultaneously (Bateson and Laland, 2013; Tinbergen, 1963), I believe I am justified in leaving the proximate-causation problem for future research.

1.5.2 Survival value

The second problem that Tinbergen outlines relates to the value a behavior provides to an animal's survival: how does the behavior contribute to the chances of the animal surviving?

This survival value is, in teleological terms, the function of the behavior. However, the use of the term 'function' may obscure the fact that a characteristic's function can change over time: the *current* utility that a characteristic has, may not be the same as the *original* utility it had (Bateson and Laland, 2013). For example, feathers originally evolved for temperature regulation in the evolutionary predecessors of birds, and were later adapted for flight (Bateson and Laland, 2013; Benton et al., 2019). We will discuss in Chapter 2 and Chapter 3

> Try to add also example in humans of original and current utility not lining up: fat retention?

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[7]In the literature (e.g. Bateson and Laland (2013), the terms 'problems' and 'questions' are used interchangeably. I will take 'problems to address' and 'questions to answer' to be synonymous, and

what can be construed as the original and current utilities or functions of the cognitive capacities we are dealing with.

As we saw in Section 1.1, an organism's fitness is not only determined by their chances of *survival*, but also their chances of *reproducing*. As a consequence of this, the survival value a trait brings to an organism is not the only reason that the trait may persist throughout evolution. A trait is also more likely to appear in future generations if it improves an organism's chances of reproducing.

In the methodological framework proposed here I will amend Tinbergen's question on survival value by broadly speaking of the *utility* of a characteristic, which denotes the way the characteristic contributes to the fitness of the organism. This leads us to the following formulation of Tinbergen's question for our purposes:

(1)   a. What was the original utility of communication to humans? And what is the current utility of communication to humans?

      b. What was the original utility of reasoning to humans? And what is the current utility of reasoning to humans?

> The distinction is pretty relevant, but rigorous discussion of both utilities won't be necessary: only use the distinction, don't discuss it. This distinction might be an avenue of scrutiny for Mercier & Sperber

1.5.3   Ontogeny

The third question that is essential for gaining understanding about a behavior is the question of how the behavior emerges and changes throughout the development (ontogeny) of the animal.

> This section is very short, but I don't feel like anything can/needs to be added?

So this leads us to the following question:

(2)   a. How does the capacity for communication develop throughout childhood?

      b. How does the capacity for reasoning develop throughout childhood?

1.5.4   Evolution

The fourth and last problem considered by Tinbergen is that of the evolutionary history of the behavior: in order to provide a complete explanation of a behavior, one must look at how it evolved throughout history. To form hypotheses about this, one must look to whether and how the behavior presents itself in the close evolutionary relatives of the animal.

Bateson and Laland (2013) maintain that for traits related to human cognition, this question about evolutionary history should be split up into two questions. They argue that due to the influence of not only biological evolution but

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will use these terms interchangeably.

also culture on the development of the trait, one should distinguish two kinds of evolutionary history, leading to the questions "Which historical processes were responsible for the [trait]?" and "How can its trajectory be explained?" (Bateson and Laland, 2013, p. 714). However, as I concluded in Section 1.1, we are justified in remaining agnostic about these historical processes, so we will only take up the latter of these two questions.

This leads us to the following formulation of Tinbergen's evolutionary question:

(3)   a. What is the evolutionary history of human communication? How can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?

      b. What is the evolutionary history of human reasoning? How can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?

1.5.5 A fifth Tinbergen question: observation and description

Emphasize the importance of this question here briefly, yes: but the definition should already be in the introduction, since the concepts are mentioned in the RQ and the title of the thesis. So drop this header, and probably the questions as well

A problem that is mentioned by Tinbergen in his original paper 1963, but not included as one of the core problems of his framework, and more or less never included by authors discussing his framework (Allen and Bekoff, 1995; Laland and Brown, 2002; Laland et al., 2013), is the problem of *describing* the observed behavior. In the case of describing reasoning and communication, this issue is akin to the problem of defining and delineating what we take to be reasoning and what we take to be communication. This is by no means a trivial issue, which is what warrants its inclusion in the set of questions we will ask ourselves in thesis:

(4)   a. What is human communication?

      b. What is human reasoning?

1.6 Conclusion

{sec:evo-conclusion}

Throughout this chapter, it has become apparent that for none of the topics in evolutionary theory discussed here consensus has been reached among its practitioners. Since the purpose of this thesis will not be to provide a complete causal framework for the evolution of reasoning and communication, we will be able to cast aside some of the issues plaguing the frameworks discussed in this chapter. We will proceed cautiously, using the concepts outlined without needing to account in detail for their shortcomings. Let us conclude this chapter by first gathering four key assumptions that will inform this thesis, and then restating the methodological questions that will guide its investigations.

The first one is the assumption that we are justified in wanting to explore human reasoning and communication from the perspective of evolution. Despite some of the issues raised against evolutionary psychology as a field of study (Laland and Brown, 2002), it cannot be denied that reasoning and communication are cognitive capacities that must have emerged somewhere on our evolutionary journey, through processes of selection (i.e. as a result of variation, sorting and retention).

The second assumption is that in order to answer the question of whether reasoning evolved for communication, we must consider not only reasoning but also communication in detail. This is because for reasoning to have evolved for the purpose of communication, the latter must have been evolutionarily advantageous in its own right, such that advancements in reasoning could have advanced communication to such an extent that it made communication more evolutionarily advantageous. Moreover, as we will see, a thorough investigation of communication will illuminate the role reasoning plays in communication.

The third assumption is that throughout our evolutionary trajectory, we have been dependent on fellow humans for our survival, relying on cooperation and strong social groups. This assumption is especially important in the analysis of human communication.

The fourth and last assumption is that in our analysis we may remain agnostic about whether biological or cultural evolution is responsible for the emergence of reasoning and communication, since both kinds of evolution have the same underlying mechanisms of selection.

Lastly, regarding the questions we will ask ourselves in the following two chapters: the discussion in Section 1.5 has yielded four questions reformulated and adapted from Tinbergen's (1963) framework. Here, I restate these questions in a general manner and in an order that will be most useful to the investigations in Chapter 2 and Chapter 3.

Definition   How can this cognitive capacity be defined and delineated?

Development   How does this cognitive capacity develop throughout childhood?

Evolution   What is the evolutionary history of this cognitive capacity; how can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?

Utility   What are the original and current utilities of this cognitive capacity to humans?

Now that we have gathered the assumptions and questions, it is time to consider the cognitive capacity that is primary in the context of our research question: communication.

Somewhere in this chapter I should talk about cost-benefit analyses: I don't think I do yet, for some reason

2 | Why do we communicate?

In order to answer the question of how advanced reasoning may have evolved to further communication, we will first need to examine communication in its own right: why do we communicate? In order to answer this question, we will discuss each of the methodological questions raised in Section 1.6 as they pertain to communication. But before we can take a look at the evolutionary history, the developmental origins and the functions of communication, we must first fix a definition of communication, since this determines the frame of our research question.

2.1 Conceptions of communication

There are many different ways in which organisms may communicate with each other, and indeed many different ways in which one may define communication. In any case, communication is an process necessarily involving a sender (communicator or speaker) and at least one receiver (addressee or listener).

Some authors regard communication to inherently be a tool of persuasion, which then translates to their very definition of communication: for example, on the manipulative model of communication, communication can be taken to occur

> when an animal, the actor, does something which appears to be the result of selection to influence the sense organs of another animal, the reactor, so that the reactor's behavior changes to the advantage of the actor (Dawkins and Krebs, 1978, p. 283)

Here we see Dawkins and Krebs embedding a teleological explanation in their definition, when they speak of "the result of selection"; recall Section 1.4. But more pressingly, they also include the aspect of benefits to the sender and thus some stance on the function of communication in it ("to the advantage of the [sender]"). Because of this, I believe this definition to be insufficiently parsimonious in its assumptions. So let us instead turn to some conceptions of communication that are more neutral with regards to how communication may be used.

In their discussion of communication as it relates to social cognition, Freeberg et al. (2019) define communication as follows:

> Communication involves an action or characteristic of one individual that influences the behaviour, behavioural tendency or physiology of at least one other individual in a fashion typically adaptive to both (p. 281)

This is a very broad conception of communication, and encompasses more cases than Dawkins and Krebs's definition. It not only covers our case at hand – humans speaking and listening to each other – but also for example bacteria communicating population density through chemical signals (Federle, Bassler, et al., 2003), squirrels producing alarm calls to alert their relatives of danger (Sherman, 1977), plants communicating distress through airborne sounds (Khait et al., 2023), and many more cases.

Scott-Phillips (2015) contrasts two different models of communication with each other: the classical *code model* of communication, and the *ostensive-inferential* model of communication. In the former model, communication involves processes of coding and decoding messages. The coding, on the side of the sender, involves a mapping between the state of the world and a behavior, the behavior being the signal they send. Then decoding, on the side of the receiver, involves a mapping between two behaviors: the signal sent by the sender, and the subsequent response of the receiver. If the mappings are properly calibrated to each other, communication between sender and receiver can be said to have occurred.

However, in order to capture human communication, the code model is too simplistic, because it fails to account for the *underdeterminacy of meaning*: merely taking the message at surface value, one cannot account for the meaning[1] that the message conveys to the sender (Scott-Phillips (2018); consider also Quine (1960)'s views on radical translation). Therefore, a move away from the code model of communication towards the *ostensive-inferential* model of communication would be in order. This model, introduced by Sperber and Wilson (1986) as part of their neo-Gricean framework of relevance theory, takes into account the intentionality inherent in human communication.

In the ostensive-inferential model, one may speak of a sender's *informative intention*, which is their intending for the receiver to believe something. The sender's *communicative intention* is then their intending for the receiver to believe that they have an informative intention. The sender may then express or convey this communicative intention to their receiver with an *ostensive* behavior. If their receiver receives their communicative intention, then ostensive-inferential communication has occurred.

Currently, there is no evidence that any species other than humans communicate ostensively (Scott-Phillips, 2018). As a result, not only may one distinguish between the code model and the ostensive-inferential model to define what communication entails, one may also conceptualize these two models as capturing two different types of communication. The code model then captures the way that non-human animals communicate, and the ostensive-inferential model then captures the way that humans communicate with each other.

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[1] I use the intuitive, colloquial interpretation of the term 'meaning', as I consider further explorations into the philosophy of language out of scope for this thesis.

Finally, I would like to note that Mercier nor Sperber ever defines what they take as the definition of communication. Therefore

2.2 Communication in non-human animals

Now we turn to the evolutionary question: what is the evolutionary history of communication, and more specifically, how can the trajectory from primate communication to human communication be explained?

As already mentioned, one fundamental difference between the communication of non-human animals and humans is by which model their communication is best described: the code model and the ostensive-inferential model, respectively (Scott-Phillips, 2015, 2018).

Communication is used by non-human animals for a wide range of purposes, and it can be elicited by a number of stimuli. Moreover, communicative behaviors can manifest themselves in different modalities: not only can animals communicate through vocalizations, they may also communicate through gestures or glances.

One can broadly distinguish between communication in aggressive and cooperative interactions (Seyfarth and Cheney, 2003). In aggressive interactions, primates may for example use communication in order to intimidate, by using it to signal their size and willingness to fight. This minimizes the chances of a physical altercation or fight actually happening, which minimizes the chance of injury for both the dominant and the subordinate animal. In cooperative interactions on the other hand, where the interests of the signaler and the receiver overlap, communication can be used to alert others about predators, to coordinate foraging activities and to facilitate social interactions:

> [information acquired by listeners] may include, but is not limited to, information about predators or the urgency of a predator's approach, group movements, intergroup interactions, or the identities of individuals involved in social events   (Seyfarth and Cheney, 2003, p. 168)

In animals in general, vocalizations are most often elicited not by just one stimulus, but rather a complex combination of them. Moreover, the "history of interactions between the individuals involved" (Seyfarth and Cheney, 2003, p. 151) can also play a role in eliciting vocalizations. As for the 'immediate' stimuli eliciting vocalizations, we may distinguish between sensory stimuli on the one hand and mental stimuli on the other. Sensory stimuli then refer to stimuli received through the external senses, such as visual, auditory and olfactory senses. For example, if I stop petting my dog (sensory stimulus), she will direct her gaze at me (communicative behavior) to indicate that she would like me to continue. Mental stimuli on the other hand can be viewed as the mental states an animal attributes to another animal. For example, . This type of stimulus elicits the majority of vocalizations in human conversation, but there is no evidence that the attribution of mental states to others causes vocalizations in other animals, except for possibly chimpanzees (Seyfarth and Cheney, 2003).

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Margin notes:

The model that best describes them is a *consequence* of the difference between the two: so the difference is that which makes one model work better for NHA and the other better for humans. Reformulate

Give example / elaborate (see Tomasello (2008)

Give different example, with reference

Address the controversy surrounding theory of mind; see Andrews (2015)

Add example from the literature

Tomasello (2009) notes that in the case of pointing gestures, humans use these mainly to be informative (i.e. cooperative), whereas primates use this gesture mainly or perhaps even exclusively for imperative motives. Experimentally, Tomasello and colleagues found that primates only used a pointing gesture when they benefited from this act of communication, while 25-month-old infants pointed regardless of whether they themself benefited from this action (Bullinger et al., 2011). As Tomasello (2009) concludes, "[the infants] could not help but be informative" (p. 17). Speaking of which, let us now consider communication in human infants.

As we will discuss deception in communication a great deal (for example in Section 2.4.3), let us briefly touch on deception in animals as well. As noted by Dor (2017) in his discussion of deception, primates possess the ability to deceive others. However, compared to humans, their ability to deceive is rather primitive. Dor argues that this is due to the fact that language uniquely enables humans to communicate with each others' imaginations. This opens up countless avenues for deception by the fabrication of stories. For primates on the other hand, without language, it is difficult to fabricate stories to deceive others. They can hide information: for example, they might suppress the food call they would usually expel upon finding food in order to keep this food for themself. However, their ability to fabricate information is very limited.

> Example or elaborate

2.3 Communication in children's development

{sec:comm:ontogeny}

Now that we have seen how communication works in non-human animals, let us turn to how children start communicating throughout their development. Around their first birthdays, children start communicating ostensively by pointing (Tomasello, 2008). Although at first glance pointing may seem like a simple behavior, it may be used in a number of communicative contexts to convey a fairly wide range of messages and intentions. For example, infants may point at a cup to indicate that they want to drink from it (i.e., pointing to request), but they may also point to a hidden object that their parent is searching for (i.e. pointing to inform).

> Check the exact timing of this: 9 or 12 months? Big difference. Directly cite experimental sources.

On the classic account, pointing can serve either of two communicative motives: an imperative motive, in which the pointer requests things from someone, and a declarative motive, in which the pointer shares their experiences and emotions with someone. This account can be extended upon by distinguishing between declaratives as expressives (sharing attitudes and emotions) and declaratives as informatives (providing information), and by furthermore conceiving of imperatives as a continuum, with the underlying motive ranging on a scale from individualistic – e.g. forcing someone to do something – to cooperative, e.g. indirectly making a request to someone by informing them of some desire (Tomasello, 2008).

> This paragraph is too dense

The fact that pointing is a fairly complex communicative act is underscored by the fact that non-human animals are not able to understand pointing in the same way humans are. The hypothesis is that in order to communicate inten-

> Add reference from Tomasello (2008)

tionally, like children begin doing around their first birthday, first the skills and motivations for *shared intentionality* need to be present in the infant; that without skills of shared intentionality, infants could only communicate intentionally, but not cooperatively. Shared intentionality is the "ability to participate with others in interactions involving joint goals, intentions, and attention" (Tomasello, 2008, p. 139). Communicative pointing behaviors in infants emerge around the same time as skills and motivations of shared intentionality do, which according to Tomasello confirms this hypothesis of dependency between them.

Tomasello further investigates what he calls *pantomiming* or *iconic gestures*, which are symbolic or representational gestures. He presents empirical evidence that these kinds of gestures rely heavily on convention for their meaning, and that the acquisition and usage of these conventions bears a strong resemblance to the acquisition and usage of language.

In short, infants first acquire the skills and motivations needed for shared intentionality; then they acquire the skills and motivations for communicative pointing; and then they acquire the ability to use iconic gestures and language around the same time.

Let us, like in Section 2.2, touch briefly upon deception in ontogeny. Children acquire the skills for lying around the age of four (Lee, 2013).

> Give example

> Give this empirical evidence

> Build upon this with stuff from Meibauer (2018)

2.4   What is the function of communication?

{sec:comm:function}

Finally and arguably most importantly for our endeavor, let us have a look at the function of communication.

Essentially, communication facilitates interaction between individuals. This interaction may be either cooperative or competitive in nature, as we have seen in Section 2.2 when discussing Seyfarth and Cheney's (2003) review of animal communication. Whether the communicative event is cooperative or competitive in nature depends on the interests of the interlocutors. If the interests of interlocutors overlap or even align, their communication can be considered to be cooperative; if they do not overlap, or even oppose each other, their communication can be considered to be competitive. For example, if two individuals engage in collaborative hunting of a large prey animal, their interests (catching the prey together and sharing it) align and they will thus use communication for cooperative purposes – i.e., to coordinate their hunting activity. On the other hand, if two individuals compete for a smaller prey animal, their interests (catching the prey alone and keeping it for themselves) oppose each other, and their communication would thus be competitive. They might for example intimidate each other verbally, which may be evolutionarily more advantageous than physical intimidation (i.e. fight) because of a reduced risk of injury.

As argued by Tomasello (2008, 2009) and echoed by Dor (2017), the cooperative setting constitutes the 'birthplace' of the unique features of human communication; the competitive use of human-style communication must have emerged later. As Tomasello (2008) writes:

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The use of skills of cooperative communication outside of collaborative activities (e.g., for lying), came only later. (p. 325)

Especially the emergence of language could only have occurred in cooperative settings, Tomasello (2008) and Dor (2017) argue. I return to this line of thought in a bit.

Let us now consider pragmatic communication, specifically how all of this relates to Grice's cooperative principle:

> Make your conversational contribution such as is required, at the stage at which it occurs, by the accepted purpose or direction of the talk exchange in which you are engaged. (Grice, 1975, p. 45)

Earlier along the evolutionary timeline, an interlocutor's Gricean cooperativeness may very well have coincided with her cooperative intention or disposition. This is of course especially the case with animals communicating (see Section 2.2). However, it is apparent that at the very least nowadays, these two dimensions of cooperativeness need not coincide. Daniel Dor's (2017) discussion of lying and the evolution of language relates to this distinction between what we might refer to as Gricean cooperativeness and behavioral cooperativeness. Dor notes that the distinction between honesty and deception might be interpreted in two ways. On the one hand, one can consider the honesty of a signal to be its truthfulness; so an honest signal is a true one, and a deceitful signal is a false one. On the other hand, one may consider the honesty of a signal to refer to not its truthfulness, but rather the benefits and costs the sender and receiver incur as a result of the communicated signal. In the case of animals communicating, these two conceptions of honesty might very well coincide – i.e., truthful signals benefit receivers and false signals harm receivers. However, it should be apparent that they do not always coincide in the human case: truthful signals may hurt or cause harm to receivers, and false signals may benefit the receiver. He then outlines four possible communicative options one might choose, namely "co-operative honesty, harmful honesty, co-operative lying and harmful lying" (Dor, 2017, p. 45). He argues that while anti-social, exploitative lies – lies with the intention to profit at the expense of the receiver, i.e. harmful lies – are like the 'default' conception of a lie, they are not at all the most prevalent kind of lie. Meibauer (2018) has useful additions to this point: he notes that prosocial lying is connected to politeness. The notion of politeness, in turn, can be connected with the notion of benefits and costs:

> In antisocial (mendacious) lying, only the speaker profits from lying. In prosocial lying, lying is either altruistic (only the hearer profits from the speaker's lie) or polite ("Pareto-white," as Erat & Gneezy 2012 call it) in the sense that both speaker and hearer profit from the lie. (Meibauer, 2018, p. 371)

Going back to Grice's cooperative principle, we note that Dor in his analysis only considers Grice's maxim of Quality ('Try to make your contribution

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one that is true'). If we extend his distinction to the whole cooperative principle – in other words, if we also incorporate the maxims of Quantity, Relation and Manner – we get two axes of cooperation (Gricean and intentional). This leads us to four communicative options one might choose: Gricean cooperative cooperation, Gricean noncooperative cooperation, Gricean cooperative noncooperation, and Gricean noncooperative noncooperation.

For example, lying constitues Gricean noncooperation, because it violates the maxim of Quality. As noted before, lying may be used with a competitive intention (i.e. antisocial lying), or a cooperative intention (i.e. prosocial lying, such as lying for politeness or white lies).

A small note on language

Let me briefly address the elephant in the room: when considering human communication, human language and its evolution cannot remain unmentioned. In this thesis, I will only consider human communication in general, because the emergence and evolution of symbolic communication in the form of language is a whole field of research of its own. I will finish by noting one important thing about the evolution of language as it relates to cooperation and trust. It has been argued that only in cooperative settings could our complex language have emerged at all. This is because for such complexity to arise, more frequent and prolonged interactions are necessary (Benítez-Burraco et al., 2021). As Dor (2017) writes,

> The collective effort of the invention and stabilization of the new technology [namely, language] must have been based on high levels of reliability and trust between the inventors: otherwise, indeed, they would not have been able to get the system going. (p. 50)

We return to a discussion of reliability, trust and 'getting the system going' in Section 2.4.2. For more discussion on the evolution of language, see for example Tomasello (2008) and Dor (2017).

Now, we have seen that communication may be used cooperatively or competitively. To fully appreciate the cooperative function of communication, let us now consider what makes cooperation itself evolutionarily beneficial. Moreover, in order to complete the causal chain, we will have a look at how cooperation could have evolved and the role that communication plays in it. We will do so by drawing extensively from Michael Tomasello's comprehensive 2009 book *Why We Cooperate*.

2.4.1   Human cooperation and its evolution

{sec:comm:cooperation}

Let me start off with a brief terminological aside: although colloquially the terms 'cooperation' and 'collaboration' are more or less synonymous, Tomasello does not use them interchangeably. He defines collaboration as working together for mutual benefit (p. xvii). Implicitly, he takes cooperation to be an overarching term which also encompasses for example altruism, in which one

individual sacrifices something to help another individual. For the remainder of this thesis, I will adhere to his terminological conventions.

Tomasello argues that somewhere along the evolutionary timeline, humans must have been "put under some kind of selective pressure to collaborate in their gathering of food–they become obligate collaborators–in a way that their closest primate relatives were not" (Tomasello, 2009, p. 75). [2] He elaborates by noting that in general, evolution may select for sociality in animals because living together in a social group protects the group's members against predation: it is easier to defend oneself in the context of a group. The group however also brings disadvantages with it when it comes to foraging for food, since the members of the group are competitors in the acquisition of food. This is especially the case when the source of food is 'clumped', such as in a prey animal, rather than dispersed, such as in a plain of grass. The clumped source of food raises the issue of how to share the food amongst the members of the social group. Tomasello enumerates a number of different hypotheses to explain how humans could have broken out of what he calls "the great-ape pattern of strong competition for food, low tolerance for food sharing, and no food offering at all" (Tomasello, 2009, p. 83); in other words, how humans could have evolved to be more tolerant and trusting, and less competitive about food. Firstly, as due to a certain selective pressure it became necessary for humans to forage collaboratively, it could have been evolutionarily advantageous to be more tolerant and less competitive, which would explain its having evolved. Secondly, Tomasello notes it could be the case that humans went through a process of self-domestication, which eliminated aggressive, predatory or greedy individuals from the group; see Benítez-Burraco et al. (2021) for more on this. Thirdly, the evolution of tolerance and trust could be related to what is called *cooperative breeding*, also known as *alloparenting*. In cooperative breeding, the responsibility of child-rearing falls on more individuals than just the mother of the child; these individuals help by providing food for the child and engaging in other acts of childcare. This cooperative breeding may have selected for pro-social skills and motivations; see Hrdy (2009) for an elaboration of this argument.

Tolerance and trust then constitute a foundation upon which coordination and communication can be 'built', so to speak: they provide an environment in which more elaborate collaboration can evolve. In Tomasello's words,

> there had to be some initial emergence of tolerance and trust (…) to put a population of our ancestors in a position where selection for sophisticated collaborative skills was viable (p. 77)

In order to then arrive at the full picture of human cooperative activity, the final step to consider is that of social norms and institutions. As before, there is a missing link in this story, in this case it concerns how mutual expectations between individuals arise and eventually become norms. (Tomasello describes it as "one of the most fundamental questions in all of the social sciences" (p. 89).)

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[2]Notably, what exactly this selective pressure is, is a missing link in his otherwise very convincing story.

Norms may be defined as "socially agreed-upon and mutually known expectations bearing social force, monitored and enforced by third parties" (Tomasello, 2009, p. 87). Norms receive their force not only from the threat of punishment by others if the norm is violated, but also from a kind of social rationality within the collaborative activity. Individuals recognize their dependence on each other for reaching their joint goal. Just as it would be individually irrational to act in a way that thwarts your own goal, it would be socially irrational to act in a way that thwarts your joint goal.

Let us now briefly summarize the evolutionary timeline of human cooperation according to Michael Tomasello. At some point, for reasons as of yet unknown to us, foraging for food collaboratively rather than individualistically became beneficial – perhaps even necessary – for humans. During this evolutionary process, some degree of tolerance and trust must have emerged between those collaborating individuals. In the process of adapting to this collaborative foraging, humans evolved certain skills and motivations specifically for cooperation – for example, abilities for establishing joint goals as well as a role division for the joint activity. This kind of collaborative activity then constituted the breeding ground for human cooperative communication. These joint goals and role divisions later evolved into the superindividual norms, rights and responsibilities that we see within our social institutions today.

As a brief aside: it has been argued that communication is not necessary nor sufficient for the coordination of activities. Goldstone et al. (2024) propose a framework of five features characterizing the specialization of roles in group activities; communication is only one of these five features. This is corroborated by experiments they review in which people "spontaneously differentiate themselves into stable roles" (p. 264) in group activity without communicating with each other. However, the authors note that communication does play a very central role in coordinating group activities, stating that

> direct communication of plans is often the single most potent tool of collective coordination (Goldstone et al., 2024, p. 276)

See also Vorobeychik et al. (2017) for a discussion of communication and coordination.

Now, armed with the ins and outs of human cooperation, and an inkling of how communication relates to the story, we turn our attention to a crucial aspect of understanding human communication: how it can have persisted despite evolutionary pressures treathening its stability. To this end, let us consider at length a paper by Scott-Phillips (2008), who convincingly brings findings from animal signaling research into the realm of human communication. This will provide a good background for discussing two precursory papers to the argumentative theory of reasoning, by Sperber (and others) in Sections 2.5.1 and 2.5.2.

2.4.2 The stability of communication

If communication between individuals of a species persists throughout evolution, we may speak of it as stable. The stability of communication is considered by some as the 'defining problem' of animal signaling research (Scott-Phillips, 2008). It is not a trivial problem by any means: the stability of a communication system is threatened by evolutionary pressures on the communicator to 'defect', as it were. As Scott-Phillips (2008) describes it,

> If one can gain through the use of an unreliable[3] signal then we should expect natural selection to favour such behaviour. Consequently, signals will cease to be of value, since receivers have no guarantee of their reliability. This will, in turn, produce listeners who do not attend to signals, and the system will thus collapse in an evolutionary retelling of Aesop's fable of the boy who cried wolf. (p. 275)

In the context of human communication: if it can be advantageous for me to lie, deceive or mislead someone, then it would evolutionarily make sense for me to do so; yet then it would make evolutionary sense for you to stop listening to me, and as a consequence our system of communication would collapse.

There have been a number of attempts at explaining the reliability of animal communication in general. One such attempt is the *handicap principle* (Zahavi, 1975; Zahavi and Zahavi, 1999), which might be best understood through the paradigmatic example of the peacock's tail. This tail is like a handicap for the peacock: not only does it take a lot of resources to grow the tail and carry it around, it also leaves the bird more vulnerable to predation because it is less agile with a large unwieldy tail. At the same time, a large tail signals to peahens that the peacock is fit enough to be able to incur these costs, and thus has a sexual advantage. The handicap principle then describes this process of communication, by which the signaler incurs costs (i.e., a handicap) for signaling, which thus guarantees the reliability of the signal.

However useful in explaining some cases of the reliability of animal communication, the handicap principle is not able to explain all of those cases: often, it is not the case that reliable signals are costly to produce (Scott-Phillips, 2008) . Especially in the case of human communication, the handicap principle cannot account for its reliability, since it is in general not costly to produce utterances (Scott-Phillips, 2008). Thus, it remains to be shown how communication can be stable if signals are cost-free.

On the handicap principle, reliable signals are costly to produce, thus ensuring their reliability. An alternative explanation of the reliability of animal communication is the principle of *deterrence*, whereby *un*reliable signals are costly to produce, and consequently signalers are deterred from producing unreliable signals. There are a number of ways in which producing unreliable signals may be costly to the signaler. Firstly, this is the case in a coordination game, where the signaler and receiver share some common interest with regard to the outcome of the interaction. Secondly, if two individuals have repeated interactions,

> **Make reference back to the Freeberg definition: a tail is communication**

> **Add example**

> **possibly explain this more**

---

[3]See Section 2.4.3 for a terminological comment on reliability versus honesty.

it may also be costly in the long term to produce unreliable signals, because it may hinder cooperation in the future. Thirdly, producing unreliable signals may be costly to the signaler if false signals are punished by the receiver.

The 'logic of deterrents' applied to the case of human communication poses the following demands in order for the story about stability to work:

> Sufficient conditions for cost-free signalling in which reliability is ensured through deterrents are that signals be verified with relative ease (if they are not verifiable then individuals will not know who is and who is not worthy of future attention) and that costs be incurred when unreliable signalling is revealed.   (Scott-Phillips, 2008, p. ?)

In other words, if unreliable signals are recognized as unreliable relatively easily, and unreliable signalers incur costs for their unreliability, the reliability of communication is secured through deterrents.

Scott-Phillips goes on to state that these sufficient conditions are met in the case of human communication, since people may refrain from interacting with unreliable individuals in the future, which can be very costly for a social species such as humans. Notably however, he does not explicate how the first sufficient condition is met in the case of human communication; we will return to this in Section 4.1.

Now, before we consider Sperber's precursory concepts to the argumentative theory of reasoning, it will be good to have a closer look at deception. The 'stability of communication' problem hinges on the assumption that it is advantageous to deceive others. This is intuitively plausible; however, it deserves some extra attention, as this is such a fundamental assumption.

2.4.3 Deception and lying

{sec:deception}

Let us start off this section by clarifying and examining some of the terminology surrounding honesty and dishonesty.

Briefly returning to Scott-Phillips (2008), he uses the term 'reliable' when explicating his story about the stability of communication, rather than 'honest'. As he explains in the paper's introduction, this is a principled choice, to do with a difference between humans and non-human animals. He argues that one may want to steer clear from anthropomorphically ascribing intentions to animals, and meanings to their behavior. He maintains that thus 'reliability' would be a more neutral term than 'honesty', because it refrains from ascribing intentions and meanings to individuals. However, I find that talking about 'reliable communicators' blurs an important distinction between honest, benevolent communicators and competent communicators. We return to this distinction in Section 2.5.2.

Deception, lying and persuasion may be defined in a number of different ways. Let us now briefly look to the literature to obtain a working definition of these concepts.

First off, deception may be defined as

deliberately leading someone into a false belief   (Meibauer, 2018, p. 358)

Lying, on the other hand, might not be as easily defined: Jennifer Mather Saul even dedicates the whole first chapter of her 2012 book to obtaining a viable definition that includes the relevant examples and excludes the irrelevant ones. An intricate discussion of her definition is out of scope for this thesis; let us for now consider a definition of lying due to Williams (2002) that Meibauer (2018) calls 'standard':

> an assertion, the content of which the speaker believes to be false, which is made with the intention to deceive the hearer with respect to that content (Williams, 2002, p. 96)

Meibauer notes – and this also transpires from Saul's (2012) discussion of the definition of lying – that each of the components of this definition can be, and has been, challenged.

Let us now discuss some of the points that Daniel Dor (2017) makes about lying and the stability of communication.

Especially important are the notes he makes regarding what he terms the "paradox of honest signaling" (Dor, 2017, p. 46) – i.e., the theoretical issues plaguing the stability of communication that we discussed in Section 2.4.2. Dor notes that this foretold collapse of communication due to unreliability of the speaker, does not hinge on whether or not the speaker is truthful, but whether her *intention* is benevolent. In other words, this story appeals not to receivers evaluating the truthfulness of incoming information, but rather the receivers evaluating the *intention* of the sender. Listeners care whether speakers intend to be harmful, not whether or not they are truthful, Dor argues. Moreover, he notes that the paradox of honest signaling mostly appeals to situations in which interests between interlocutors conflict; however, these situations might not be the most pertinent or prevalent kind of communicative situation. According to Dor, at the point in evolutionary time when language emerged, humans were already crucially dependent on cooperation and coordinated action, and thus their interests overlapped more often than not.

Moreover, (and briefly returning to the utility of communication), another avenue Dor explores is how communication is used. As we will see in Section 2.5.1 and in Section 2.5.2, Sperber and his colleagues focus a lot on the transmission of information between individuals, in the form of testimony and argumentation. However, Dor argues that within the paradox of honest signaling, this transmission of information is not the only relevant use of communication. Communication is also used for cooperation, and in that situation lying is not really an issue; Dor writes

> Language is extremely useful in the coordination of collective work, collective defense and so on, where it is used not just for the exchange of information but also for collective planning, division of labor, ordering and requesting, where lying as such does not seem to play a major role. (Dor, 2017, p. 51)

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Convincingly, Dor goes on to argue that due to this dual role of communication (transmitting information on the one hand, and facilitating cooperation on the other), the stability of communication is not threatened by lying. He writes:

> Even in the very unlikely doomsday scenario, then, where all the members of a community lie to each other in their factual statements, and eventually refrain from sharing information with each other, there is no reason to assume that they would stop using language for all these other purposes, especially where their survival, whether they like it or not, depends on collective action. (Dor, 2017, p. 52)

Lastly, it would be good to have a brief look at persuasion, since it naturally plays a considerable role in Mercier & Sperber's argumentative theory of reasoning. Brinol and Petty (2009) broadly define persuasion as

> any procedure with the potential to change someone's mind (p. 50),

whether that be changing someone's emotional state, beliefs, behaviors or attitudes. They describe persuasion as "the most frequent and ultimately efficient approach to social influence" (pp. 49–50). Put crudely, persuasion is a tool for getting what you want, and it serves this end better than the alternatives of using force, threats or violence.[4] From this observation, the conclusion emerges that persuading someone is beneficial to an individual exactly to the extent that the corresponding gain in social influence is beneficial to the individual. For limitations of time and space we will not go into the benefits of gaining social influence. However, I believe the discussion of cooperation in Section 2.4.1 is sufficient for the present purposes.

2.5 Precursory concepts to the ATR

{sec:comm-ATR}

Now that we have discussed the utility of communication, and in doing so also considered the utility of cooperation and deception, and the stability of communication, we should have our first look at some basic foundations for Mercier & Sperber's argumentative theory of reasoning. Here, we will consider Sperber (2001) and Sperber et al. (2010), since they mostly concern communication; in Chapter 3, we will consider Mercier and Sperber (2009) and Mercier and Sperber (2011), since they mostly concern reasoning.

2.5.1 Sperber on the evolution of testimony and argumentation

{sec:Sperber01}

In a 2001 paper, Dan Sperber analyzes testimony and argumentation from an evolutionary perspective. In doing so, he provides important groundwork for his later work with Mercier (and others) on the relation between reasoning, argumentation and the stability of communication.

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[4]In this, one may see a parallel with Seyfarth and Cheney's (2003) conception of aggressive communication as a low-risk alternative to fighting.

Testimony and argumentation are two concepts central to human communication. Sperber borrows his definitions for these concepts from epistemologist Alvin Goldman, who defines testimony as "the transmission of observed (or allegedly observed) information from one person to others" (Sperber, 2001, p. 401) and argumentation as "the defense of some conclusion by appeal to a set of premises that provide support for it" (ibid.). Sperber puts these two concepts in an evolutionary perspective, and discusses in particular how they have figured in stabilizing communication over the course of evolutionary history.

A tempting way to look at communication is as a kind of 'cognition by proxy': through communication, one organism may access information another organism has obtained from its own perception or inference. For instance, if you tell me that there is milk in the fridge, I can through this act of communication benefit from the information derived from your perception of the milk carton in the fridge. However, Sperber argues that, at least in the case of human communication, testimony does not amount to cognition by proxy. This is because testimony has different effects than direct perception does. Going back to our example, upon receiving your testimony stating that the milk is in the fridge, I am in a different cognitive state than if I would have perceived the milk carton there myself. Moreover, in human communication, Sperber argues that interpretation and acceptance of utterances are two separate processes: recognizing what a speaker meant by their utterance is not the same as accepting it as true.[5]

The classical account of animal communication by Dawkins and Krebs (1978) focuses only on the side of the communicator in the story, maintaining that the function of communication is to manipulate others. Sperber rejects this classical approach, arguing that the interests of the sender cannot be the only driving force in the evolution of communication. He outlines a similar line of argumentation as we have seen in Section 2.4.2, arguing that for communication to have stabilized and continued to be stable between senders and receivers, both parties must have benefited from the action. In other – game-theoretic – terms, communication must (at least in the long run) be a positive-sum game, where both senders and receivers gain from the interaction.

In the case of receiving testimony from others, the receiver gains from testimony "only to the extent that it is a source of genuine (...) information" (p. 404). On the side of the production of testimony, the sender stands to gain from this testimony because

> it allows them to have desirable effects on the receivers' attitudes and behavior. By communicating, one can cause others to do what one wants them to do and to take specific attitudes to people, objects, and so on (p. 404)

He later elaborates on this by saying that getting others to accept your communicated message is not intrinsically beneficial. Rather, it is *indirectly* beneficial,

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[5]This may very well be the case philosophically or epistemologically speaking, but psychologically speaking, they may be more intertwined than Sperber implies. In a later paper, he elaborates more on his stance, making even stronger claims about how comprehension always precedes the acceptance (or rejection) of a claim (Sperber et al., 2010, §3). Although this is intuitively plausible, Lewandowsky et al. (2012) points out that empirical evidence suggests that for someone to comprehend an utterance, they must (at least temporarily) accept it.

through bringing about these 'desirable effects' in others, as a way of 'cognitive manipulation'. Sperber notes that it is exactly this self-interest of the sender that renders this 'cognition by proxy' view as inapplicable to human communication. Moreover, he concludes from these observations of his that

> the function of communication presents itself differently for communicator and audience (p. 411)

Sperber goes on to cast his observations in game-theoretic terms by sketching out a payoff matrix for a one-off communicative event. In it, he considers that senders may be truthful or untruthful, and receivers may be trusting or distrusting. According to Sperber, the sender's gain amounts to whether they have the 'desired' effect on the receiver; therefore, the sender gains from the interaction if the receiver is trusting (since this means the sender's message is accepted), and loses from the interaction if the receiver is distrusting. The payoff of this event for the sender is thus independent of the truthfulness of the sender. On the side of the receiver, their payoff *is* dependent on the truthfulness of the sender: the receiver gains if they accept a truthful message, loses if they accept an untruthful message, and incurs no gain nor loss if they are distrusting and thus don't accept a message (truthful or not).

Sperber notes that the optimal strategy for such a game varies with the circumstances for both players: it is not always beneficial to be truthful, nor always untruthful; nor is it beneficial to be always trusting, nor always distrusting. In other words, there is no one stable solution to this game. This is especially the case once we move away from this simple one-off communicative event to an iterated game of communication, where not only short-term payoffs but also long-term payoffs determine the optimal strategy. Therefore, it is in the receiver's interest to calibrate their trust towards senders as accurately as possible; in fact, Sperber argues, this trust calibration is necessary for explaining the stability of communication.

Unlike non-human animals, humans have another way to communicate facts, other than testimony, namely *argumentation*. Senders may provide receivers with reasons to accept their testimony, which the receiver may evaluate and accept or reject, independent of their trust in the sender. Sperber sketches out the steps in what he calls the 'evaluation-persuasion arms race', i.e. the chain of evolutionary adaptations that has resulted in our mechanisms for argument production and evaluation. He argues that the first step in this 'arms race' was for the receiver to develop *coherence checking*. Coherence checking involves attending to both the internal coherence of the communicated message, and the external coherence with what the receiver already believes. Coherence checking, Sperber argues, is a useful defense against the risks of deception by the sender, because lies and other false claims are often externally or internally incoherent. The second step in the arms race was then for the sender to anticipate this coherence-checking by overtly displaying the coherence of their message to their receiver, which requires argumentative form; thus, testimony becomes argument. The next steps were on the side of the receiver to develop skills for

examining these displays of coherence (i.e., arguments), and on the side of the sender to 'improve their argumentative skills'.

2.5.2 Sperber and colleagues on epistemic vigilance

Further building upon his 2001 views, Sperber and his colleagues (among whom, notably, Hugo Mercier) introduced the concept of *epistemic vigilance* in a seminal 2010 paper. This concept constitutes a cornerstone of Sperber & Mercier's later argumentative theory of reasoning. Therefore, a comprehensive discussion is in order here – not in the least because this concept will be one of my targets of scrutiny in Chapter 4.

In their 2010 paper, Sperber and colleagues start by emphasizing that humans are dependent on communication, and they argue that this dependence leaves humans vulnerable to being deceived by others. They state that misinformation or deception may "reduce, cancel, or even reverse" the gains that communication can bring to the addressee (p. 360). Consequently, the information that an addressee receives from a communicator is only advantageous to her to the extent that the information is genuine. Sperber and colleagues thus conclude that for this purpose, humans have evolved a suite of cognitive mechanisms for *epistemic vigilance*. Moreover, this suite of mechanisms must have evolved alongside, and is used in tandem with, abilities for ostensive-inferential communication[6], because they work in tandem to facilitate trust calibration on the side of the receiver.

In order to illustrate and somewhat demarcate the concept of epistemic vigilance, Sperber and colleagues discuss some work in philosophy and psychology related to trust and vigilance. Specifically, they consider different views on the question of whether humans are 'per default' trusting or vigilant. Of this discussion, two points stand out to me as noteworthy, especially as they pertain to the discussion to come in Section 4.1. The first of them concerns a characterization of vigilance that nicely captures its spirit:

> Vigilance (unlike distrust) is not the opposite of trust; it is the opposite of blind trust   (Sperber et al., 2010, p. 363)

The second concerns a strong claim, which we will critically assess in Section 4.1:

> in communication, it is not that we can generally be trustful and therefore need to be vigilant only in rare and special circumstances. We could not be mutually trustful unless we were mutually vigilant.   (Sperber et al., 2010, p. 364)

Next, the authors move on to discussing comprehension and acceptance of utterances in communication, and how these relate to epistemic vigilance and

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[6]Slightly confusingly, Sperber et al. call the ostensive-inferential communication that we saw in Section 2.1 'overt intentional communication' in this paper. However, they refer to Sperber & Wilson's *Relevance Theory*, which calls this ostensive-inferential communication. So ultimately, they are talking about the same thing.

trust. They argue that a communicative act does not only trigger comprehension in the addressee, but it also triggers epistemic vigilance alongside it. If epistemic vigilance then "does not come up with reasons to doubt" (p. 369), this comprehension leads to acceptance. They go on to argue that comprehension of an utterance is not "guided by a presumption of truth", as other theorists state, but rather by an "expectation of relevance" (p. 367); see Sperber and Wilson (1986). This expectation of relevance requires a 'stance of trust' of the addressee regarding the speaker (this relates to the Gricean cooperativeness we discussed in Section 2.4). This stance of trust of the addressee is "tentative and labile" (p. 368), and epistemic vigilance is (as mentioned) active alongside this stance of trust.

To further explicate epistemic vigilance as a concept, Sperber and colleagues outline a distinction between vigilance towards the *source* of a message (the 'who'), and vigilance towards the *content* of the message (the 'what'). As for vigilance towards the source, they note that the reliability of a source depends on two factors: a reliable source must be competent, and a reliable source must be benevolent. Moreover (and importantly), a receiver's vigilance towards the sender as a source of information – in other words, the sender's perceived trustworthiness – is dependent on the context: it varies per topic and per situation. Because of this, it is important for a receiver to accurately calibrate her trust in the sender depending on the context. They go on to discuss empirical evidence that corroborates that trust, and calibrating trust to the situation, is indeed important to us. Moreover, on the other side of the coin, they note that deceiving people can be quite beneficial: experiments from deception detection research show that people are not good at detecting lies based on non-verbal behavioral cues. They end this particular discussion by noting that more empirical research is needed about how people calibrate their trust in everyday communication, outlining some desiderata for this research.

Moving on now to vigilance towards the *content* of a message, Sperber and colleagues restate that comprehension and epistemic vigilance are two processes that are intertwined to some extent. Specifically, they note that one mechanism of comprehension, namely the search for relevance, provides a basis for an "imperfect but cost-effective epistemic assessment" (p. 374). They discuss belief revision and the role that coherence checking plays in it. We already saw Sperber's (2001) discussion of coherence checking; Sperber and colleagues now describe coherence checking a mechanism for epistemic vigilance. They note that coherence checking "takes advantage of the limited background information activated by the comprehension process itself" (p. 375). They argue that the search for relevance "automatically involves the making of inferences which may turn up inconsistencies or incoherences relevant to epistemic assessment" (p. 376).

Next, the authors return to and expand upon an idea we have seen Sperber (2001) propose, concerning the emergence of argumentation as a demonstration of coherence. I will discuss this in much more detail in Section 3.2.3, as this part of Sperber et al. (2010) basically constitutes a rudimentary explication of the argumentative theory of reasoning.

To summarize, according to Sperber and his colleagues humans have developed a suite of mechanisms for epistemic vigilance, filtering incoming information in order to avoid being deceived by others. A communicative act triggers both comprehension and epistemic vigilance, and the epistemic assessment of the communicative act draws upon some of the inferential steps that are carried out in the search for relevance, which makes the assessment relatively cost-effective. Epistemic vigilance can be directed towards the source of a message or towards the content of the message. This amounts to the calibration of trust and coherence checking, respectively.

2.6 Conclusion

3 | Why do we reason?

Reason is considered by many to be that which sets human animals apart from their non-human fellow animals. In this chapter, we will consider reasoning from the different angles laid out in Section 1.6 — in particular in relation to the theory under scrutiny, Mercier & Sperber's argumentative theory of reasoning. First, we consider Mercier & Sperber's definition of reasoning and compare it with some other definitions of reasoning. Then, we will look at reasoning in developing children and in non-human animals. Finally, we will consider the utility of reasoning, and expound the argumentative theory of reasoning.

3.1   What is reasoning?

Before anything else can be said about reasoning, it is critical to get clear what we mean exactly when we talk about reasoning. Mercier and Sperber (2011) take the following as their definition of reasoning:

> *Reasoning*, as commonly understood, refers to a very special form of inference at the conceptual level, where not only is a new mental representation (or *conclusion*) consciously produced, but the previously held representations (or *premises*) that warrant it are also consciously entertained. (p. 57)

In other words, that what distinguishes reasoning from inference is the conscious attending to the representations. In their definition of reasoning, Mercier & Sperber take *inference* to be "the production of new mental representations on the basis of previously held representations" (ibid.). They make explicit that this definition of reasoning excludes non-human animals and preverbal children from the realm of reasoners. We will return to the role of the position of their definition of reasoning within the argumentative theory of reasoning in Section 5.1.1.

Mercier & Sperber state that their definition of reasoning is the one that is most commonly adhered to in the psychology of reasoning. However plausible, this claim is difficult to verify since most work in psychology of reasoning does not explicitly define reasoning within the frame of their research. Especially when one moves from psychology of reasoning to the neighboring discipline of animal cognition, what is understood by 'reasoning' becomes fuzzy. As an

example, Andrews (2015) and Call (2006) discuss animal reasoning at length, yet they never explicate the definition of reasoning they adhere to.

3.1.1 Other definitions of reasoning

Let us now mention and compare two other definitions of reasoning, one due to cognitive scientist Vinod Goel and another due to philosopher Gilbert Harman.

Goel

In his 2022 book "Reason and Less", Goel proposes and develops an account of *tethered rationality*, where four different cognitive systems work in tandem to determine human behavior. The four systems, from evolutionarily 'oldest' to 'youngest', are the autonomic, instinctual, associative, and reasoning systems. These systems evolved 'on top of' each other, and are tightly integrated with one another — tethered, as Goel calls it. Goel then describes (and in doing so more or less defines) reasoning as follows:

> Reasoning is a system for generating new beliefs from observations and/or existing beliefs and maintaining consistency of our beliefs (i.e., mental representations of the world). (p. 114)

In other words, according to Goel reasoning has a dual role: it generates inferences (in the way Mercier & Sperber define them), and it ensures that our beliefs remain internally consistent or coherent.

Harman

Stenning & van Lambalgen, or Oaksford & Chater

3.2 The utility of reasoning

Let us now look at the utility of reasoning, and in particular, dive head-first into the argumentative theory of reasoning. We briefly consider classical accounts of the utility of reasoning, and then we will consider Mercier & Sperber's account at length.

Classical and dual-process theories of reasoning

Classical theories of reasoning, building on centuries of philosophical work, maintain that the function of reasoning is to enhance or support individual cognition (Mercier and Sperber, 2011).

> one of the functions of System 2 is to monitor the quality of both mental operations and overt behavior (Kahneman, 2003, p. 699)

> The analytic system is primarily a control system focused on the interests of the whole person. It is the primary maximizer of an individual's *personal* goal satisfaction. (p. 64)

Goel (2022) also more or less adheres to this classical account of what the function of reasoning is, stating that

> the function of the reasoning mind is to allow for greater flexibility in individual behavior, and thus more finely tuned responses to environmental stimuli than can be accommodated by the autonomic, instinctive, and associative minds. (p. 114)

3.2.1 Introductory thoughts on reasoning by Mercier & Sperber

3.2.2 Mercier & Sperber's dual-process theory

3.2.3 Mercier & Sperber's argumentative theory of reasoning

{sec:exp-atr}

The argumentative theory of reasoning is Hugo Mercier and Dan Sperber's influential, but not uncontroversial, account of the function of reasoning from an evolutionary perspective. They introduced and coined the theory in a 2011 paper, a culmination of more than a decade's worth of experimental and philosophical research (Mercier and Sperber, 2009; Sperber, 2000, 2001; Sperber et al., 2010). Briefly, the argumentative theory of reasoning states that the main function of reasoning is to produce arguments and evaluate arguments of others, in order to stabilize communication. Before we can tackle the theory in detail, it is useful to look at some of Mercier & Sperber's foundations to the theory first. We already discussed their views on the evolution of communication in Section 2.5, so now we turn to their views on reasoning. We first discuss some points about reasoning they offer in Sperber (2001) and Sperber et al. (2010), then we will discuss a dual-process theory of reasoning proposed by Mercier and Sperber (2009), and finally we will go through the 2011 that coined the argumentative theory of reasoning.

3.3 Conclusion

4 | The ATR closely inspected

Although Mercier & Sperber's story about the evolution and function of reasoning is quite convincing on the face of it, their theory seems to leave a number of details underexposed. Moreover, the argumentative theory of reasoning makes a number of assumptions that are in need of explication and detailed discussion.

In this chapter, I will scrutinize a number of assumptions of the argumentative theory of reasoning (ATR) and make explicit some details that require spelling out.

4.1 Epistemic vigilance, revisited

It seems that the argumentative theory of reasoning (ATR) rests on epistemic vigilance having evolved in humans. Therefore, this concept deserves some extra attention. In this section, I will first discuss the position of the notion of epistemic vigilance within the argumentative theory of reasoning. Then, I will discuss a critical response to Sperber et al. (2010) by Kourken Michaelian (2013), and in turn Dan Sperber's (2013) response to the criticism. Lastly, I will conclude with the consequences of this discussion for the argumentative theory of reasoning. Before we do that, let me first briefly summarize Michaelian's and Sperber's papers. In short, Michaelian (2013) spells out some of the assumptions that he claims are inherent to the argument for epistemic vigilance. He contrasts these assumptions with evidence from deception detection research. In doing so, he maintains that epistemic vigilance does not play a major role in ensuring the stability of communication. Rather, he argues, the majority of the burden befalls *speaker honesty*. In the same 2013 issue of *Episteme*, Dan Sperber provides a response to the criticisms of Michaelian. He resolves some misunderstandings and provides further details to reemphasize his perceived importance of epistemic vigilance to the stability of communication.

4.1.1 Epistemic vigilance and the ATR

It is clear that the notion of epistemic vigilance is important for the argumentative theory of reasoning, but it may be illuminating to consider exactly the role or position of epistemic vigilance within the ATR.

Returning focus to the paper coining the ATR, Mercier and Sperber (2011) have the following to say about epistemic vigilance:

> For communication to be stable, it has to benefit both senders and receivers (…) To avoid being victims of misinformation, receivers must therefore exercise some degree of what may be called epistemic vigilance (Sperber et al. 2010).   (p. 60)

In other words, the stability of communication depends on its benefits for both the sender and the receiver. According to Mercier & Sperber, the benefits for the receiver depend on, or are mediated by, epistemic vigilance.

The 'evolutionary arms race' (recall Section 2.5.1) is foundational to the argumentative theory of reasoning. Epistemic vigilance is one of the steps in this arms race, having evolved as a defense against misinformation and deception. Consequently, epistemic vigilance is a critical component of the argumentative theory of reasoning: for, if receivers were not vigilant, senders need not have the ability to display the coherence of their arguments in order to be able to convince receivers.

Let us now go through the points of scrutiny that Kourken Michaelian (2013) subjects Sperber and colleagues' epistemic vigilance to, and consider Sperber's (2013) response to the scrutiny.

4.1.2 What is epistemic vigilance exactly?

Sperber (2013) chalks up a considerable share of the disagreement between him and Michaelian to an alleged misunderstanding between the two authors on the exact definition of epistemic vigilance:

> Michaelian seems to attribute to us the view that 'epistemic vigilance is a matter of processes devoted to screening out incoming false information on the basis of available behavioural cues'. Showing that vigilance in this narrow sense is not efficient would, he holds, be quite damaging to our conjecture. This is a misunderstanding.   (Sperber, 2013, p. 65)

While I agree with Sperber that Michaelian seems to attack a more narrowly defined version of epistemic vigilance, I do not blame Michaelian for the misunderstanding. Sperber and colleagues are (intentionally or unintentionally) vague in their original paper about exactly what epistemic vigilance is. Their flexible use of the notion of epistemic vigilance might not be inherently problematic, but given the central role epistemic vigilance plays in much of Sperber and Mercier's work, I believe we are long overdue an exact definition of epistemic vigilance. In this section, I will gather the details that together may constitute a definition of epistemic vigilance according to Sperber et al. (2010) and Sperber (2013).

Let us first consider the ontological status of epistemic vigilance. Although on the face of it, one may want to describe epistemic vigilance as a set of mechanisms for filtering incoming information, Sperber et al. (2010) describe humans

as having evolved a 'suite of mechanisms' *for* – not *of* – epistemic vigilance. This leaves open the question of what epistemic vigilance itself could be. One candidate is a cognitive capability or skill, which seems to be supported by Mercier and Sperber (2011, p. 60) who describe epistemic vigilance as something that can be 'exercise[d to] some degree'. Moreover, Sperber et al. (2010, §5) bring in empirical evidence on the development of epistemic vigilance in children, which would point to vigilance being a capacity or skill as well. Also possibly pointing us in the right direction, Sperber and colleagues contrast vigilance with trust:

> Vigilance (unlike distrust) is not the opposite of trust; it is the opposite of
> blind trust   (Sperber et al., 2010, p. 363),

implying that trust and vigilance are of the same kind. Although the ontological status of trust does not seem to transpire from their 2010 paper, I would argue that this can be excused, as trust has been described by others as difficult to define (McKnight and Chervany, 2000; Simpson, 2012). A possibly useful perspective on the definition of epistemic vigilance comes from sleep science, relating to neurology and neurophysiology. Schie et al. (2021) define vigilance *per se* as follows:

> Vigilance is defined as the capability to be sensitive to potential changes
> in one's environment, ie the capability to reach a level of alertness above a
> threshold for a certain period of time rather than the state of alertness itself.
> (Schie et al., 2021, p. 175)

It may not be immediately obvious how a definition from sleep science may at all be applicable in our attempt to define epistemic vigilance. However, I do believe that we may assume some degree of overlap between neurology and psychology, and that epistemic vigilance must relate in some way to vigilance *per se*.

All things considered, I believe epistemic vigilance would be best described as the capability to be sensitive to the trustworthiness of communicated information and informants.

Next, let us consider the specifics of the processes in the 'suite of mechanisms for epistemic vigilance'. Just like Sperber and colleagues are somehat vague about the ontological status of epistemic vigilance, they are rarely straight-forward about the exact mechanisms they consider to fall under the umbrella of epistemic vigilance. This however may not be as easily forgiven as their opacity surrounding epistemic vigilance's ontological status. The nature of the mechanisms for epistemic vigilance is crucial for the cost-benefit analysis that underlies the evolutionary argument that they are making. Michaelian (2013) already implicitly picks up on this (and in my opinion, Sperber (2013) does little to alleviate these concerns).

Now slightly shifting focus to the ATR, let us consider the relation between epistemic vigilance and reasoning. Sperber et al. (2010) describe reasoning as "a tool for epistemic vigilance, and for communication with vigilant addressees"

> Discuss epistemic vigilance and dual processes

(p. 378). This would imply that reasoning is an item in the suite of mechanisms for epistemic vigilance. However, in a similar way to how Sperber and colleagues are unspecific about epistemic vigilance's contribution to the stability of communication, they are unspecific about reasoning's contribution to epistemic vigilance.

4.1.3 Strong vs. weak readings of the argument for epistemic vigilance

In their 2010 paper, Sperber and colleagues hint at different ways to interpret their argument, different roles to attribute to epistemic vigilance:

> It is because of the risk of deception that epistemic vigilance may be not merely advantageous but indispensable if communication itself is to remain advantageous. (p. 360)

Sperber et al. seem to prefer to remain agnostic (or – put differently – vague) about the exact role of epistemic vigilance in explaining the stability of communication: is epistemic vigilance "merely advantageous", or is it "indispensable"? In other words, is it just the case that the benefits of epistemic vigilance outweigh its costs, leading to its having evolved in humans; or, stronger, would communication collapse if it were not for receivers' epistemic vigilance? These different readings of epistemic vigilance are also implicit in the following statement Sperber and colleagues make:

Add an illustrative, intuitive example about this

Add in more quotes that point to Sperber's vagueness?

> People stand to gain immensely from communication with others, but this leaves them open to the risk of being accidentally or intentionally misinformed, which may reduce, cancel, or even reverse these gains. (p. 360)

If being misinformed reduces or cancels the benefits an addressee receives from the communicative event, then it would stand to reason that it would be advantageous for the addressee to be epistemically vigilant so as to maintain the positive benefits of communication. If misinformation however reverses the gains one receives from communication, then epistemic vigilance would be *necessary* for the receiver in order to not be negatively affected.

Kourken Michaelian picks up on these different views of epistemic vigilance in his response paper (2013). He distinguishes between a strong and weak reading of Sperber et al.'s argument for epistemic vigilance, in line with this distinction between vigilance being indispensable or advantageous, respectively. He then outlines the assumptions that are needed for each reading of the argument, and apparently shows that these assumptions are unfounded, or at the very least too strong. According to Michaelian, the strong reading carries with it the assumption that dishonesty is sufficiently prevalent to necessitate vigilance on the side of the receiver; since, if epistemic vigilance is indispensable, non-vigilance must then yield a "dramatic reduction in the fitness of receivers" (Michaelian, 2013, p. 39). He argues that, since there is empirical evidence that lying is infrequent (Serota et al., 2010), the strong reading of Sperber et al.'s argument cannot hold.

Discuss prevalence of lying

Further, Michaelian argues that the weak reading of the argument carries with it the assumption that the benefits of epistemic vigilance outweigh its costs. [And so?]

In his response to Michaelian, Sperber (2013) states that "I now believe that we could and should have been even less definite" (p. 63) in recognizing a stronger and weaker reading of their argument. Sperber argues that in the recognition of the two readings of the argument, one mistakenly regards communication as a static enterprise. The degree to which vigilance is advantageous or even indispensable to a receiver, varies greatly from situation to situation, he argues:

> The benefits of vigilance may be negligible in some communicative interactions and essential in other interactions. All I feel confident to say is that, without vigilance, human communication would be a very different and probably much more restricted affair. (Sperber, 2013, p. 63)

This conclusion, however plausible, leaves a lot to be desired when it comes to the details for the evolutionary story, and in particular for the picture of the evolutionary arms race.

In the original 2010 paper, Sperber and colleagues maintain that it is sufficient that communication is *on average* advantageous to both parties:

> The fact that communication is so pervasive despite [the risk of misinformation] suggests that people are able to calibrate their trust well enough to make it advantageous on average to both communicator and audience (Sperber et al., 2010, p. 360)

4.1.4   Honesty or dishonesty as prior

Ultimately, a fundamentally different outlook on human communication and cooperation seems to transpire from the accounts of Sperber, Mercier and colleagues on the one hand, and Michaelian on the other. Sperber's 'evolutionary arms race' account takes dishonesty as prior. On this account, dishonesty is prior to vigilance, and in turn vigilance is prior to honesty:

> We could not be mutually trustful *unless* we were mutually vigilant. (Sperber et al., 2010, p. 364)

For this account to work, one must convincably argue for the evolutionary benefits of dishonesty; if dishonesty is the first step in the evolutionary arms race, it must be beneficial by itself.

Michaelian, on the other hand, refutes this account and instead proposes that communication is stable just because speakers are honest; in other words, honesty is prior. In a similar fashion, it then remains to show how honesty is by itself beneficial.

4.1.5   Concluding remarks

5 | Conclusion

5.1 Further research

5.1.1 What is reasoning? Revisited

However, much more remains to be said about this very definition. Let us first briefly consider other definitions of reasoning from the literature, and then place some question marks around the position of Mercier & Sperber's definition of reasoning within their argumentative theory.

The definition of reasoning given and used by Mercier & Sperber is reminiscent of definitions of argumentation, in particular in its use of the terms 'premise' and 'conclusion'. In a 2001 paper, which can be considered to be a precursory work to Mercier and Sperber (2011), Dan Sperber uses the following definition of argumentation:

> the defense of some conclusion by appeal to a set of premises that provide support for it   (p. 401)

and somewhat less precisely, Mercier and Sperber (2011) define arguments as

> representations of relationships between premises and conclusions (p. 58)

Comparing these definitions of argumentation and of reasoning raises a question: what is reasoning, if not internalized argumentation? Or, in a similar vein, what is argumentation, if not externalized reasoning? And, if this is the case, does this not render the argumentative theory of reasoning void? For then the theory would state that the main function of internalized argumentation is argumentative.

5.1.2 Motivations and dispositions of interlocutors

Throughout their 2011 article, Mercier & Sperber allude to the dispositions of interlocutors in argumentative settings (emphasis in quotes added):

> This experiment illustrates the more general finding stemming from this literature that, *when they are **motivated**, participants are able to use reasoning to evaluate arguments accurately*   (p. 61)

'Dispositions' is not meant as a technical term, and I don't think it is; is it?

43

> Most participants are **willing** to change their mind only once they have been thoroughly convinced that their initial answer was wrong   (p. 63)

> this [experimental finding] should not be interpreted as revealing a lack of ability but only a lack of **motivation**. When participants **want** to prove a conclusion wrong, they will find ways to falsify it.   (p. 65)

> people are good at assessing arguments and are quite able to do so in an unbiased way, **provided they have no particular axe to grind**. In group reasoning experiments where participants **share an interest in discovering the right answer**, it has been shown that *truth wins*   (p. 72)

This reference to the motivations and disposition of interlocutors opens up some questions as to the specifics of the 'argumentative setting' that Mercier & Sperber mention multiple times throughout the paper. It seems that the disposition of the interlocutors going into an argument plays an important role in Mercier & Sperber's account of argumentation, yet they do not expand on this. How plausible is the assumption that people engaging in argumentation have a 'common interest in the truth', as Mercier & Sperber call it? And what happens (or what would happen) when interlocutors do *not* share this interest?

> To do:
> - I'm pretty sure this criticism is about something different than 'motivated reasoning', but check this
> - Define what an argumentative setting is, according to Mercier & Sperber (close-read Mercier and Sperber (2011) for this)
> - Possibly find some empirical work on arguers' dispositions

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