

Born to argue?  
The argumentative theory of reasoning revisited

Flip Lijnzaad  
Supervisor: Karolina Krzyżanowska

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# Introduction

{ch:introduction}

1: Don't overuse passive voice. Don't make sentences too long (rule of thumb: not longer than two lines). Don't abuse semicolons. Don't have too long NPs before the VP comes. Watch out for Dutch word order. Explain technical terms always, to fix their meaning. Give examples (and keep them as familiar as possible). Don't undersell your points, be confident! Don't vary terminology for the sake of variation.

Two cognitive skills that are often considered to set humans apart from their evolutionarily closest relatives are on the one hand our outstanding capacity for reasoning, and on the other our profound communicative abilities. Broadly considered to be unmatched in the animal kingdom (Cheney and Seyfarth, 1997) are on the one hand our sophisticated reasoning abilities

2: This paragraph is saying the same thing twice, and word order is funky

3: Explicate this; cite a source

and on the other hand our communication using languages that are infinitely creative in enabling the production of complex sentences.

Our reasoning and communication are intertwined with each other in different ways; it is hard to imagine our communication without reasoning. In our everyday lives, a lot of the content we intend to convey to others, we relay pragmatically: we do not literally spell out these things, but rather hope and expect our interlocutors to infer the intended message from the communicated content. When I ask my dinner partner if they can pass me the salt, they infer that I am not interested in learning about their ability to pass me the salt but rather that I am requesting to be passed the salt. When I give feedback on an interlocutor's behavior, I first reason about how my words will come across to her in order to minimize social conflict.

It is thus easy to see that reasoning and communication are intricately linked. But what exactly is the extent and nature of this link? In 2011, Hugo Mercier and Dan Sperber proposed a revolutionary theory of reasoning that intended to account for a number of long-standing issues in the experimental psychology of reasoning. According to their *argumentative theory of reasoning*, the main function of reasoning in humans is argumentative; that is, reasoning evolved in humans in order to devise arguments and evaluate those of others. Their theory is able to explain a number of purported 'flaws' of human reasoning, such as poor performance on standard reasoning tasks such as the Wason selection task; confirmation bias; and the phenomenon of motivated reasoning leading to attitude polarization.

In the words of Mercier and Sperber,

Reasoning has evolved and persisted mainly because it makes human communication more effective and advantageous. (Mercier and Sperber, 2011, p. 60)

4: Add a few words about why this thesis is worth scrutinizing: for example, that others also disagree (see the MS11 commentary), or already hint at your own objections

5: Add a few words on that the "why" of communication is an important question, explain why this is needed to ultimately answer the RQ. It's more primitive, or primary; address this

In this thesis, I will scrutinize this position in order to ultimately answer the question of whether advanced reasoning skills in humans evolved because they facilitate more advanced communication.

6: Could do with some more explication: how will I scrutinize this position?

To explore in the introduction: generic question of why an evolutionary approach is worthwhile. Motivate why you're interested in Mercier & Sperber

# 1 | The chicken and the egg: the evolutionary approach

{ch:evolution}

Before we are able to answer any *why*-questions about the evolution of reasoning and communication, some groundwork needs to be laid out. For what are the processes underlying evolution, and how does evolutionary causation work? What does it mean for some trait to evolve 'for the purpose of' another trait? Are we even justified in using this kind of terminology when it comes to evolution? And what intermediate questions will we need to ask ourselves in order to ultimately answer the question of why we reason and communicate?

This chapter serves to answer these and related questions. It by no means provides a comprehensive overview of the issues in evolutionary theory, since this is a vast field of research in its own right with widely diverging opinions on a number of specifics of the process of evolution<sup>1</sup>. The purpose of this chapter is to touch on a number of issues in the field that are relevant to our endeavor, such that we have a stronger foundation for our investigation into the evolution of reasoning and communication.

## 1.1 Evolution: biological vs. cultural

{sec:evo-bio-culture}

Although the term 'evolution' is in everyday usage most commonly interpreted as 'Darwinian evolution', or 'natural selection', the concept of evolution can be stripped down to a very broadly construed version, which may prove to be illuminating.

In general, any process of selection can be taken to consist of three consecutive steps: (1) variation, (2) sorting<sup>2</sup>, and (3) retention (Donahoe, 2003). I will first discuss how each of the steps of this process are construed in standard evolutionary theory, and then we will consider how the process of cultural evolution fits this definition of selection.

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<sup>1</sup>See Ariew et al. (2002) and Uller and Laland (2019) for an overview of topics in evolutionary theory and evolutionary causation.

<sup>2</sup>Donahoe (2003) uses the term 'selection'; I follow Heyes (2018) and Scott-Phillips et al. (2013) in using the term 'sorting', to avoid confusion with the full process of selection, which consists of the three steps outlined here.

Firstly, in standard evolutionary theory the processes introducing variation are mutation (changes in an organism's DNA) and migration (the movement of (genetic material of) organisms from one population to another) (Scott-Phillips et al., 2013). Variation by itself is undirected; it is only due to sorting that the selection process becomes directed (Donahoe, 2003).

Too many brackets

Add a bit more on sorting: see Sperber et al. (2010) notes for an example. Try to map it to what people already know, because they're probably familiar with the process, but just not with the term.

Make sure you yourself are clear on the difference between the higher-level process of selection, and the lower-level process of sorting.

Secondly, in standard evolutionary theory the sorting process amounts to natural selection and genetic drift. Natural selection acts upon the variation introduced by mutation and migration such that the genes that enhance an organism's fitness persist over time in the population (Scott-Phillips et al., 2013). An organism's fitness corresponds to how likely they are to leave offspring in the next generation compared to organisms with a different genetic makeup. This fitness relates to both the organism's chances of survival as well as its chances of reproducing. Genetic drift, on the other hand, is a *random* sorting process, resulting from a sampling error due to populations being finite in size<sup>3</sup>.

Do some research on genetic drift and write more about it. Biologists seem to disagree on how important genetic drift is compared to natural selection; mention that. And the definition as it is right now, is not understandable: unpack it a bit, mention its importance is debated, and keep it aside.

Check that my sources on evolution (for example Scott-Phillips et al. (2013)) use the same definitions. Don't need to reference

Thirdly, in standard evolutionary theory, the process responsible for retention is genetic inheritance, i.e. the transmission of characteristics from parents to their offspring through the genetic material (DNA) parents impart on their offspring.

Now, the evolution of *culture* can also be said to operate by these principles (Heyes, 2018). In this context, culture is understood at its core as *information*: more specifically, it is information "that we inherit from others through social interaction (via certain kinds of social learning)" (Heyes, 2018, p. 30). Let us now consider the cultural processes underlying each of the three steps of the selection process.

Firstly, variation in culture is introduced by error and by innovation. Secondly, sorting of behaviors and habits in culture can happen through two different routes. A behavior can be sorted (selected for) because of some property inherent to the behavior that makes it "more noticeable, learnable, or memorable than others" (Heyes, 2018, p. 34) and thus more likely to be copied. Also, a behavior or habit may be sorted in a more 'classic' evolutionary way: a habit may be selected for because it improves the fitness of the individual, such that individuals with that habit are more likely to survive and reproduce than individuals with an alternative habit. Thirdly, culture may be retained through cultural inheritance, that is, through mechanisms of social learning.

Add example

Add example

Add example

Add example

<sup>3</sup>See Millstein (2021) for discussion on the concept of genetic drift and how it compares with natural selection.

Whether or not the process of cultural evolution can be taken to be analogous to that of biological evolution, is not an uncontroversial issue; see Claidière et al. (2014) for discussion and a formal account of cultural evolution. In this thesis however, I will follow Heyes (2018) in the assumption that cultural evolution is ‘Darwinian’; that is, it abides by mechanisms that are analogous to those of biological evolution. As a consequence, in discussing how reasoning and communication evolved, we may remain agnostic on the kind of evolution responsible for this evolution, since the mechanisms underlying biological and cultural evolution are assumed to be the same. Thus, for the remainder of this thesis, I will use the term ‘evolution’ to talk about the development of characteristics (in our case, cognitive capacities) in humans over time, remaining agnostic about whether this development is due to biological evolution or cultural evolution.

Find this reference again!

Possibly add to this section, if it turns out to be relevant for my argument!: (1) Something about gene-culture coevolution (though, can that be said to be Darwinian?) (2) Something about the hypotheses we need to form to make this theory complete, that Heyes (2018, Chapter 2) talks about: about variants and quantifiable differences between them; about routes of inheritance (vertical / oblique / horizontal); about mechanisms of inheritance.

## 1.2 Causation in evolution

{sec:causation-evolution}

Next, we will dip our toes into the waters of causation in evolution. As it turns out, causation in evolution is not a simple notion; let us consider for example a moth whose wings provide it with camouflage due to their coloration. The camouflage of the wings is an *effect* of their coloration; yet, it is precisely the camouflage the coloration provides that is the *cause* of the coloration being present at all (Lipton, 2009).

Evolutionary causation is a subfield of philosophy of biology that has continued to see widely diverging opinions (Baedke, 2021; Scott-Phillips et al., 2013). In this section I will restrict focus to four topics in evolutionary causation that are of interest to this thesis. First, I will discuss the distinction between proximate and ultimate causation. Then, I will briefly cover Tinbergen’s (1963) questions for explaining animal behavior, which will be discussed at length in Section 1.5. Thirdly, we will have a look at niche construction and reciprocal causation. Lastly, we circle back to our research question and discuss what it entails for one trait to evolve for the purpose of another.

In evolutionary causation, one may distinguish *proximate* from *ultimate* causes. Proximate causes are the immediate influences on a trait: they explain how the trait results from the internal and external factors causing it. Ultimate causes, on the other hand, provide the higher-level historical and evolutionary explanation of those traits (Mayr, 1961). In other words, these two different causes relate to two different explanatory questions: the proximate cause is related to the *how*-question (*how* did a trait come about?), whereas the ultimate cause is related to the *why*-question (*why* did a trait come about?). According to Mayr

Add an example to illustrate



(1961), who pioneered the distinction<sup>4</sup>, one needs to answer both of these explanatory questions about a trait in order to obtain a complete understanding of it.

In a seminal proposal considered by some to be an extension of Mayr's dichotomy (Laland et al., 2013), Tinbergen (1963) outlined four questions central to the study of animal behavior. In order to fully understand a pattern of behavior, he argued, one must consider (1) the proximate causation of the behavior, (2) the lifetime development of the behavior, (3) the function<sup>5</sup> of the behavior, and (4) the evolutionary history of the behavior. Since Tinbergen, other authors have grouped these four questions according to Mayr's proximate-ultimate distinction, characterizing the 'causation' and 'development' questions as proximate questions (*how*-questions) and the 'function' and 'evolution' questions as ultimate questions (*why*-questions) (Bateson and Laland, 2013; Laland et al., 2013). Tinbergen's framework has had an extensive and lasting influence on the study of animal behavior, and his questions continue to be used by biologists to this day (Bateson and Laland, 2013). Hence, I will let this framework inform the methodology for this thesis and will thus discuss it in greater detail in Section 1.5.

According to standard evolutionary theory, evolution is a causally *unidirectional* affair: natural selection shapes an organism in such a way that the organism is better adapted to its environment, and as such, the causal chain starts with the environment and ends with the organism. In recent years however, biologists have been looking beyond this unidirectional view and are starting to consider the role of *reciprocal* causation in evolution. According to this concept, not only does the environment cause changes in an organism through evolutionary processes, the organism also causes changes in its environment through its actions:

To varying degrees, organisms choose habitats and resources; construct aspects of their environments, such as nests, holes, burrows, webs, pupal cases, and a chemical milieu, and destroy other components; and frequently choose, protect, and provision nursery environments for their offspring (Day et al., 2003, p. 81)

This process by which organisms influence their environment is referred to as *niche construction*. A prominent example of niche construction is the evolution of lactose tolerance in adult humans. The prevalence of lactose tolerance in a population correlates with whether that population has a history of dairy farming. This suggests that due to their adoption of dairy farming, the individuals in the population have come to rely upon their tolerance of lactose, and this reliance amounts to a selection pressure for lactose tolerance into adulthood (Scott-Phillips et al., 2013). Much discussion remains on the exact role that niche construction should play in our evolutionary theories. Proponents of *niche construction theory* maintain that niche construction is a process that operates alongside natural selection and is an evolutionary factor in its own right,

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<sup>4</sup>See (Laland et al., 2013) for a discussion of the exact origins of the distinction.

<sup>5</sup>See Section 1.4 for a discussion of function in biology.

whereas skeptics argue that standard evolutionary theory can account for niche construction effects (Scott-Phillips et al., 2013). However, the concept of niche construction itself is uncontroversial: there is plenty of empirical evidence for the fact that organisms may partake in shaping their niche (Scott-Phillips et al., 2013).

Lastly, we briefly touch on what it means for one trait to evolve because of, or for the purpose of, another trait. In this thesis, we are interested in what evolutionary benefits one feature (reasoning) may have to another feature (communication). In order to answer this question, we should first consider what the function<sup>6</sup> of communication is to humans; only then can we consider whether the function of reasoning could be to advance communication and in doing so, improve the fitness of humans.

Reasoning vs. the ability to reason: delve into this somewhere. Behavior vs. the ability to exhibit behavior. The convention in the evolutionary literature is to talk about these behaviors at a higher level of abstraction: mention this somewhere.

This last paragraph deserves more discussion

Missing: concluding remarks about what I will do with the concepts from this section

Don't overuse parentheses

### 1.3 Evolutionary psychology

{sec:evol-psych}

In order to answer the question of whether reasoning evolved for the purpose of communication, we will also need to zoom out to consider the field of evolutionary psychology as a whole. What is the merit, and the validity, of adopting an evolutionary approach in our endeavor at all?

Weird place to ask this question: should be moved to the introduction because it's so basic, and it motivates the research question. Here, mostly a matter of phrasing: this section is more specific than just asking "what is the merit of considering evolution at all". So rephrase this.

The field of evolutionary psychology concerns itself with trying to understand human behavior using evolutionary theory, by looking into the past and considering how our ancestors must have adapted to their environment in order to survive and reproduce. Researchers in the social sciences and humanities have historically been wary of using evolutionary approaches to study human behavior, because evolutionary theory has been abused for prejudiced ends in the past; see Laland and Brown (2002, pp. 19–20) for an overview. Moreover, evolutionary-psychological research has received the criticism that too much of it is "just-so" storytelling and post-hoc explanation of known phenomena, sometimes accompanied by a sensationalist spin on the story (Laland and Brown, 2002). However, if these pitfalls are avoided, looking at human psychology from the evolutionary perspective can be an illuminating endeavor. Let us now consider some of the central concepts and assumptions of evolutionary psychology.

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<sup>6</sup>See Section 1.4.

In order to explain humans' psychological mechanisms, evolutionary psychologists look to the concept of an *environment of evolutionary adaptedness* (EEA). The EEA is the environment in which these psychological mechanisms must have come into being; usually the EEA is identified as hunting and gathering groups on the African savannah in the second half of the Pleistocene, between 1.7 million and ten thousand years ago (Laland and Brown, 2002).

Are the groups the EEA or the savannah? Elaborate more on this: is it the physical environment or does it include the groups? Aren't the groups a trait that evolved? Think about this

The assumptions underlying the use of the concept of an EEA are that (1) our modern-day environment is too different from that of our ancestors for us to use it to explain why and how our psychological mechanisms evolved in the past; and (2) for our psychological mechanisms to be as complex as they are, they must have evolved slowly; because of this, they must have evolved a considerable amount of time ago without changing significantly since the Stone Age.

There are a number of issues associated with the use of the concept of the EEA (Laland and Brown, 2002). Firstly, we do not know very much about the environment of our ancestors, so the specifics of the EEA may be filled in as is seen fit for one's purpose. Secondly, we do not know enough about the process of evolution to make assumption (2); while evolution does in general operate on a large timescale, there is empirical evidence that the process can also be faster, operating on a timescale of thousands of years, or less than 100 generations (Laland and Brown, 2002, pp. 190–191 and references therein). Thirdly, the argument can be made that for our species to have flourished and dominated in the way that it did, we must have remained adaptive to our changing modern environments after the Stone Age. Lastly, the EEA argument does not take into account reciprocal causation or niche construction.

Probably good to mention the nature of this evidence, because this evolutionary time-line point is important

Elaborate on this last issue, because it seems to be important for my argument. Also, it seems like a strange point to make, because especially with humans, it seems obvious that niche construction is a thing that might play a role. Why would the EEA concept rely on assumptions of unidirectional causation?

Despite the issues associated with the concept of the EEA, it is instrumentally valuable in reminding us to consider the state of the environment and its role in the evolutionary process. For the purposes of this thesis, we need not commit to any strong assumptions about the nature and properties of the EEA. The most important assumption I will make is that humans throughout history have been dependent on cooperation and strong social groups for survival.

This paragraph deserves some attention: some more stuff about cooperation, and how social bonds and cooperation can be beneficial. Because sharing food as-is is not beneficial per se. The issue with the "sharing food is beneficial" could also be resolved by adding half a sentence of explanation about social bonds being beneficial, but I'd like to be more rigorous.

In the EEA, humans lived together in groups and relied on hunting game and gathering plants for their nutrition. In this lifestyle, cooperation is a "necessary element of human life" (Apicella and Silk, 2019, p. R448) in a number of ways. Firstly, hunting is a 'high risk, high reward' endeavor: the returns

are variable, but often when hunting does succeed the yield is large; sometimes even too large for the hunter and his relatives. In this case, food sharing within or between groups is beneficial. Another way that early humans counterbalanced the variable returns of hunting was to also rely on gathering plant foods, which yielded more predictable returns. In this case cooperation through shared labor was also beneficial, since some foods required complex foraging techniques to acquire it, or required complex processing (through e.g. cooking) before consumption. Lastly, cooperation in early humans manifested itself in 'cooperative breeding', where the responsibilities of childcare are spread among multiple caregivers. Moreover, mothers and children relied on the efforts of others for their food (Apicella and Silk, 2019).

The following paragraph might warrant a larger discussion about domain-specificity; see commented out comment. Right now, it's not clear that this is relevant, so delve more into this if it's relevant (and move it to the relevant spot, probably). Else, remove it

Add also some things from Freeberg et al. (2019)?

Another topic of discussion in evolutionary psychology that is of importance to our investigation is that of domain-specificity of the psychological mechanisms. The argument has been made that these adaptive mechanisms are necessarily problem- or domain-specific, because the evolutionary process would not favor general solutions to specific problems (Buss, 2015, p. 50). However, as with the EEA, issues with this stance have been raised: the push to domain-specificity can be said to rely on overly strong assumptions about the modularity of the brain; and moreover, there is also a push to domain-generality of cognitive skills because domain-general skills are neurologically more cost-efficient than domain-specific skills (Laland and Brown, 2002).

## 1.4 Teleological notions in evolutionary theory

{sec:teleology}

This section needs quite some work: see notes from discussion with Karolina

Next, it is important to scrutinize the terminology that I will be using throughout this thesis. Biological literature frequently makes use of *teleological* terminology, that is, terminology that implies goal-directedness of the processes it describes. Such terminology includes concepts like the *design* of a trait, and *function*, *purpose*, or *utility* of a trait. At first glance, the usage of these terms in discussing evolution would seem to be inappropriate; for evolution is a process of nature, not purposefully performed by an agent, and it is thus without any intentionality or goals. And indeed, this teleological terminology has its roots in pre-Darwinian conceptions of nature: it originates from Aristotle's views on causation, and it was subsequently adopted by creationist Muslim and Christian scholars (Johnson, 2005).

Reformulate this reference to Aristotle: metaphysics/nature more than causation. Be safe (i.e. rather broad than specific) about the phrasing here to not upset historians of philosophy. "Aristotle's views on nature" is probably best

Maybe reformulate this sentence again: still too convoluted?

In general, teleological explanations in biology are quite controversial: not only is the usage of the specific terminology itself debated (Ayala, 1999, p. 27

and references therein), the concept has been criticized for its apparent lack of formalization and insufficient argumentative persuasiveness (Baedke, 2021, p. 83).

Address the controversy around teleological explanations. Talk about instrumentalism, usefulness of the concepts. Lack of formalization is not such a big problem for the purpose here maybe, but the other thing is more of a problem. Address why they won't be a problem for you. Can mention that MS assume it as well, this teleological explanation is at the heart of their thesis (quote it?), so it's their problem to defend this. I work using the same assumptions as them.

However, explanations in terms of goals and function have considerable instrumental value in describing evolutionary processes. Throughout this thesis, I will be adhering to the conception of teleological explanations of Ayala (1999), which is as follows:

Teleological explanations account for the existence of a certain feature in a system by demonstrating the feature's contribution to a specific property or state of the system, in such a way that this contribution is *the reason why the feature or behaviour exists at all*. (p. 13)

In this respect, the evolutionary process of adaptation merits a teleological explanation: the function of a trait (its 'contribution to a specific property or state of the system') is the reason that the trait exists, because it exists as a consequence of natural selection.

Is this view compatible with reciprocal causation and niche construction? I think so; they're a complication for the whole picture, not necessarily for using this definition.

Add example here

Is this view compatible with cultural learning? From the quote, it doesn't necessarily follow that it's about biology necessarily. Think about this, and after writing a section on culture, state to what extent and in what way we'll adhere to Ayala (1999)

The distinction between proximate and ultimate causes we saw in Section 1.2 can be applied to teleological explanation as well, yielding the distinction between proximate and ultimate *ends* of features. The proximate end is then the 'immediate' function the feature serves, and the ultimate end is the reproductive success of the organism.

Add example

A footnote to this account is that not all features of organisms can be explained teleologically; only if the feature has arisen and persisted as a direct result of natural selection, a teleological explanation is in place.

This "direct result of natural selection" is very vague/slippery; acknowledge this, and elaborate more on it if it turns out to be important for my thesis. A way to do this would be to contrast it with an indirect result. Talk about side effects?

## 1.5 Adopting and adapting Tinbergen's four questions

{sec:tinbergen}

In this section: terminology issue: trait vs. feature vs. characteristic vs. behavior. Address this earlier on in the chapter, it also relates in a way to the ability to exhibit behavior vs. the behavior itself.

As mentioned in Section 1.2, Tinbergen (1963) proposed an influential framework of problems<sup>7</sup> that should be addressed if one intends to give a complete account of a behavior an animal exhibits. Let us dive more deeply into Tinbergen's framework here, as it will turn out to form a desirable foundation for the current investigations.

As mentioned before, the four problems that Tinbergen argued to be central to the study of behavior are causation, survival value, ontogeny, and evolution. Although these problems were originally raised in regards to animal behavior, the framework has since been adopted for analyzing the characteristics of organisms in general, and can even be used to gain understanding of nonliving systems, such as traffic lights (Bateson and Laland, 2013).

This last sentence is too ambiguous in its focus: rephrase so that focus is more on characteristics and less on organisms

I will now discuss each of these problems in more detail, such that we can ultimately come to a set of methodological questions to guide us in investigating human communication and reasoning.

### 1.5.1 Causation

The first Tinbergen problem is that of the mechanistic causation of the behavior; in other words, the proximate causation of the behavior. In our case, addressing this problem would entail a detailed investigation of the neurological processes underlying communicative and reasoning behaviors. This problem, however interesting, will not be addressed in this thesis. The reason for this is that more empirical and conceptual research would be necessary in order to give a satisfactory account of the exact neurological processes underlying communicative and reasoning behavior. Although it has been emphasized that we can only gain a full understanding of a behavior if the four problems are addressed simultaneously (Bateson and Laland, 2013; Tinbergen, 1963), I believe I am justified in leaving the proximate-causation problem for future research.

### 1.5.2 Survival value

The second problem that Tinbergen outlines relates to the value a behavior provides to an animal's survival: how does the behavior contribute to the chances of the animal surviving?

This survival value is, in teleological terms, the function of the behavior. However, the use of the term 'function' may obscure the fact that a characteristic's function can change over time: the *current* utility that a characteristic has, may not be the same as the *original* utility it had (Bateson and Laland, 2013). For example, feathers originally evolved for temperature regulation in the evolutionary predecessors of birds, and were later adapted for flight (Bateson and Laland, 2013; Benton et al., 2019). We will discuss in Chapter 2 and Chapter 3

<sup>7</sup>In the literature (e.g. Bateson and Laland (2013)), the terms 'problems' and 'questions' are used interchangeably. I will take 'problems to address' and 'questions to answer' to be synonymous, and

Try to add also example in humans of original and current utility not lining up: fat retention?

what can be construed as the original and current utilities or functions of the cognitive capacities we are dealing with.

As we saw in Section 1.1, an organism's fitness is not only determined by their chances of *survival*, but also their chances of *reproducing*. As a consequence of this, the survival value a trait brings to an organism is not the only reason that the trait may persist throughout evolution. A trait is also more likely to appear in future generations if it improves an organism's chances of reproducing.

Possibly change this comment after Chapter 2 and 3 are more or less finished

In the methodological framework proposed here I will amend Tinbergen's question on survival value by broadly speaking of the *utility* of a characteristic, which denotes the way the characteristic contributes to the fitness of the organism. This leads us to the following formulation of Tinbergen's question for our purposes:

- (1) a. What was the original utility of communication to humans? And what is the current utility of communication to humans?
- b. What was the original utility of reasoning to humans? And what is the current utility of reasoning to humans?

The distinction is pretty relevant, but rigorous discussion of both utilities won't be necessary: only use the distinction, don't discuss it. This distinction might be an avenue of scrutiny for Mercier & Sperber

### 1.5.3 Ontogeny

The third question that is essential for gaining understanding about a behavior is the question of how the behavior emerges and changes throughout the development (ontogeny) of the animal.

This section is very short, but I don't feel like anything can/needs to be added?

So this leads us to the following question:

- (2) a. How does the capacity for communication develop throughout childhood?
- b. How does the capacity for reasoning develop throughout childhood?

### 1.5.4 Evolution

The fourth and last problem considered by Tinbergen is that of the evolutionary history of the behavior: in order to provide a complete explanation of a behavior, one must look at how it evolved throughout history. To form hypotheses about this, one must look to whether and how the behavior presents itself in the close evolutionary relatives of the animal.

Bateson and Laland (2013) maintain that for traits related to human cognition, this question about evolutionary history should be split up into two questions. They argue that due to the influence of not only biological evolution but

---

will use these terms interchangeably.

also culture on the development of the trait, one should distinguish two kinds of evolutionary history, leading to the questions "Which historical processes were responsible for the [trait]?" and "How can its trajectory be explained?" (Bateson and Laland, 2013, p. 714). However, as I concluded in Section 1.1, we are justified in remaining agnostic about these historical processes, so we will only take up the latter of these two questions.

This leads us to the following formulation of Tinbergen's evolutionary question:

- (3) a. What is the evolutionary history of human communication? How can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?
- b. What is the evolutionary history of human reasoning? How can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?

### 1.5.5 A fifth Tinbergen question: observation and description

Emphasize the importance of this question here briefly, yes: but the definition should already be in the introduction, since the concepts are mentioned in the RQ and the title of the thesis. So drop this header, and probably the questions as well

A problem that is mentioned by Tinbergen in his original paper 1963, but not included as one of the core problems of his framework, and more or less never included by authors discussing his framework (Allen and Bekoff, 1995; Laland and Brown, 2002; Laland et al., 2013), is the problem of *describing* the observed behavior. In the case of describing reasoning and communication, this issue is akin to the problem of defining and delineating what we take to be reasoning and what we take to be communication. This is by no means a trivial issue, which is what warrants its inclusion in the set of questions we will ask ourselves in thesis:

- (4) a. What is human communication?
- b. What is human reasoning?

## 1.6 Conclusion

{sec:evo-conclusion}

Throughout this chapter, it has become apparent that for none of the topics in evolutionary theory discussed here consensus has been reached among its practitioners. Since the purpose of this thesis will not be to provide a complete causal framework for the evolution of reasoning and communication, we will be able to cast aside some of the issues plaguing the frameworks discussed in this chapter. We will proceed cautiously, using the concepts outlined without needing to account in detail for their shortcomings. Let us conclude this chapter by first gathering four key assumptions that will inform this thesis, and then restating the methodological questions that will guide its investigations.



The first one is the assumption that we are justified in wanting to explore human reasoning and communication from the perspective of evolution. Despite some of the issues raised against evolutionary psychology as a field of study (Laland and Brown, 2002), it cannot be denied that reasoning and communication are cognitive capacities that must have emerged somewhere on our evolutionary journey, through processes of selection (i.e. as a result of variation, sorting and retention).

The second assumption is that in order to answer the question of whether reasoning evolved for communication, we must consider not only reasoning but also communication in detail. This is because for reasoning to have evolved for the purpose of communication, the latter must have been evolutionarily advantageous in its own right, such that advancements in reasoning could have advanced communication to such an extent that it made communication more evolutionarily advantageous. Moreover, as we will see, a thorough investigation of communication will illuminate the role reasoning plays in communication.

The third assumption is that throughout our evolutionary trajectory, we have been dependent on fellow humans for our survival, relying on cooperation and strong social groups. This assumption is especially important in the analysis of human communication.

The fourth and last assumption is that in our analysis we may remain agnostic about whether biological or cultural evolution is responsible for the emergence of reasoning and communication, since both kinds of evolution have the same underlying mechanisms of selection.

Lastly, regarding the questions we will ask ourselves in the following two chapters: the discussion in Section 1.5 has yielded four questions reformulated and adapted from Tinbergen's (1963) framework. Here, I restate these questions in a general manner and in an order that will be most useful to the investigations in Chapter 2 and Chapter 3.

<b>Definition</b>	How can this cognitive capacity be defined and delineated?
<b>Development</b>	How does this cognitive capacity develop throughout childhood?
<b>Evolution</b>	What is the evolutionary history of this cognitive capacity; how can its evolutionary trajectory from our nearest evolutionary relatives to us be explained?
<b>Utility</b>	What are the original and current utilities of this cognitive capacity to humans?

Now that we have gathered the assumptions and questions, it is time to consider the cognitive capacity that is primary in the context of our research question: communication.

Somewhere in this chapter I should talk about cost-benefit analyses: I don't think I do yet, for some reason

## 2 | Why do we communicate?

{ch:communication}

7: In general: this chapter is very dense, you can go at a bit of a slower pace most of the time. But pace will also be better once elaborations and examples are added.

In order to answer the question of how advanced reasoning may have evolved to further communication, we will first need to examine communication in its own right: why do we communicate? In order to answer this question, we will discuss each of the methodological questions raised in Section 1.6 as they pertain to communication. But before we can take a look at the evolutionary history, the developmental origins and the functions of communication, we must first fix a definition of communication, since this determines the frame of our research question.

10: This whole introduction could be clearer: why do we look at communication now? Can use a bit more words.

8: Terminology: probably drop "advanced" here. Possibly get back to this after writing Chapter 3

9: Refer to the numbers or codes of the Tinbergen questions

### 2.1 Conceptions of communication

{sec:comm:definition}

11: Missing from this section: what Mercier (& Sperber) define as, and/or have to say about, communication

Address !Signalling vs. communication

There are many different ways organisms may communicate with each other, and indeed many different ways in which one may define communication. In any case, communication is an process necessarily involving a signaler (a sender) and at least one receiver (a listener).

Some authors regard communication to inherently be a tool of persuasion, which then translates to their very definition of communication: for example, on the manipulative model of communication, communication can be taken to occur "when an animal, the actor, does something which appears to be the result of selection to influence the sense organs of another animal, the reactor, so that the reactor's behavior changes to the advantage of the actor" (Dawkins and Krebs, 1978, p. 283).

13: Say something about this quote in your own words: comment on it, this also justifies you using the quote. Point the reader to why you use it.

12: Rewrite this so that the quote is actually typeset as a quote, for emphasis

One may also notice that this definition has a teleological explanation embedded in it as well (see Section 1.4). I mention this definition only for completeness' sake, because I believe this definition to be insufficiently parsimonious in its assumptions about the function of communication.

16: Elaborate on this: what are the assumptions they make, in what sense are they strong, and why are they too strong for my liking (i.e. what's wrong with them)?

14: Elaborate on this: make it more explicit

15: Maybe not necessary to mention this

In their discussion of communication as it relates to social cognition, Freeberg et al. (2019) define communication as follows:

Communication involves an action or characteristic of one individual that influences the behaviour, behavioural tendency or physiology of at least one other individual in a fashion typically adaptive to both (p. 281)

17: Explain this in own words; and explain the difference with the definition of Dawkins and Krebs (1978). The difference lies in "to the advantage of"

This is a very broad conception of communication; on this definition, all organisms, from bacteria to fungi to plants to animals, communicate.

Scott-Phillips (2015, 2018) contrasts two different models of communication with each other: the classical *code model* of communication, and the *ostensive-inferential* model of communication. In the former model, communication involves processes of coding and decoding messages. The coding, on the side of the sender, involves a mapping between the state of the world and a behavior (namely the signal they send). The decoding, on the side of the receiver, involves a mapping between two behaviors: the signal sent, and a subsequent response of the receiver. If the mappings are properly calibrated to each other, communication between sender and receiver can be said to have occurred.

18: This paragraph could do with a lot more philosophical discussion: Quine should definitely be mentioned, even if it's just in a footnote, because it's like this milestone philosophy thing that would be a glaring omission to philosophers reading this. Also, the usage of "meaning" and "content" here is a big philosophical no-no, either explicate what you mean by them or change your terminology. You could also just acknowledge or specify that you use the intuitive, colloquial meaning, not the technical one. Read also the Putnam paper on the ants and Winston Churchill?

Provide examples for each of these kingdoms here, nice illustration

However, in order to capture human communication, the code model is too simplistic, because it fails to account for the *underdeterminacy of meaning*: in merely looking at the content of the message, one cannot account for the meaning that the message conveys to the sender (Scott-Phillips, 2018). Therefore, a move away from the code model of communication towards the *ostensive-inferential* model of communication would be in order. This model takes into account the intentionality inherent in human communication.

Add example

19: This paragraph also needs a lot more work: (1) mention Sperber & Wilson and relevance theory (credit where credit's due!), and that their theory is neo-Gricean, because most philosophers will be familiar with Grice. (2) Add an example, this needs to be way clearer and elaborated more if this will be my definition of human communication. (3) Define ostensive behavior, also with an example. It's apparent right now that I don't fully understand this model myself. (4) Add a comment on how the underdeterminacy is captured better by the ostensive-inferential model

In the ostensive-inferential model, one may speak of a sender's *informative intention*, which is their intending for the receiver to believe something. The sender's *communicative intention* is then their intending for the receiver to believe that they have an informative intention. The sender may then express or convey this communicative intention to their receiver with an *ostensive* behavior. If their receiver receives their communicative intention, then ostensive-inferential communication has occurred.

Currently, there is no evidence that any species other than humans communicate ostensively (Scott-Phillips, 2018). As a result, not only may one distinguish between the code model and the ostensive-inferential model to define what communication entails, one may also conceptualize these two models as two different types of communication. The code model then captures the way that non-human animals communicate, and the ostensive-inferential model then captures the way that humans communicate with each other.

It is this ostensive-inferential model that I will consider to form the definition of *human communication* throughout this thesis. When I speak of communication broadly construed, I will adhere to the definition of communication by Freeberg et al. (2019). This definition is compatible with the code model outlined by Scott-Phillips (2018);

20: This is a weird comment, because it implicates maybe that the ostensive-inferential model is not compatible with the Freeberg definition. Make this implicature explicit, say something about how the Freeberg definition compares to the two models we have.

the code model, however, provides a level of detail that will not be necessary for our discussions of non-human animal communication.

21: Explicate the relation between the two models/definitions, because now it's very unclear why and how I'm using two different definitions at the same time

22: Actually, this section could do with a lot more work on intentional and non-intentional communication: read up on this, and make things explicit here. Could choose to define communication and non-intentional communication, or intentional communication and communication. Need to make a choice in this, explain it, and then be clear and *consistent!* about using this terminology.

23: Gricean objection: talking to the self is also communicating, albeit to an imaginary audience, or you yourself are the addressee. The point I make about using language without communicating is a bit slippery, philosophically controversial, and is maybe not relevant. Communicating without language *is* relevant, but maybe this is not the place to point that out; it can also become implicitly or explicitly clear throughout or at the end of the chapter.

As a last side note: while we would often equate human communication with linguistic communication, humans can easily communicate without language – for example, using glances or gestures<sup>1</sup>, see also Section 2.3 – and can use language without communicating – for example, when one is talking to oneself. Therefore, although language will come up now and then throughout this chapter, it is not our object of focus at the present moment.

<sup>1</sup>One might even speculate that any human behavior can be used to communicate.

## 2.2 Communication in non-human animals

24: This section deserves a mention of Chomsky's work on animal communication: something about humans having language, and NHA only having stimulus-dependent responses? Also, this section could do with more references to experimental evidence.

Now we turn to the first methodological question and we look at the communication of other animals, especially those that we are evolutionarily closely related to. As already mentioned, one fundamental difference between the communication of non-human animals and humans is by which model their communication is best described: the code model and the ostensive-inferential model, respectively (Scott-Phillips, 2015, 2018).

Communication is used by non-human animals for a wide range of purposes, and it can be elicited by a number of stimuli. Moreover, communicative behaviors can manifest themselves in different modalities: not only can animals communicate through vocalizations, they may also communicate through gestures or glances.

27: Write more on gestural communication in apes (is mentioned in Tomasello (2008))

One can broadly distinguish between communication in aggressive and cooperative interactions (Seyfarth and Cheney, 2003). In aggressive interactions, primates may for example use communication in order to intimidate, by using it to signal their size and willingness to fight. This minimizes the chances of a physical altercation or fight actually happening, which minimizes the chance of injury for both the dominant and the subordinate animal. In cooperative interactions on the other hand, where the interests of the signaler and the receiver overlap, communication can be used to alert others of predators, to coordinate foraging activities and to facilitate social interactions:

[information acquired by listeners] may include, but is not limited to, information about predators or the urgency of a predator's approach, group movements, intergroup interactions, or the identities of individuals involved in social events (Seyfarth and Cheney, 2003, p. 168)

In animals in general, vocalizations are most often elicited not by just one stimulus, but rather a complex combination of them. Moreover, the "history of interactions between the individuals involved" (Seyfarth and Cheney, 2003, p. 151) can also play a role in eliciting vocalizations. As for the 'immediate' stimuli eliciting vocalizations, we may distinguish between sensory stimuli on the one hand and mental stimuli on the other. Sensory stimuli then refer to stimuli received through the external senses, such as visual, auditory and olfactory senses. For example, if I stop petting my dog (sensory stimulus), she will direct her gaze at me (communicative behavior) to indicate that she would like me to continue. Mental stimuli on the other hand can be viewed as the mental states an animal attributes to another animal.

25: Are they numbered?

26: The model that best describes them is a consequence of the difference between the two: so the difference is that which makes one model work better for NHA and the other better for humans. Reformulate

28: About?

Take a different example: this is too much anecdotal, and with domesticated animals there's issues of evolutionary tractability as well as anthropomorphization. Get a reference on this

29: Glaring omission: the large controversy surrounding theory of mind. You can make assumptions that are controversial, so long as you acknowledge the controversy and give good reasons for making the assumption. Show that you're aware of the debates. Consider taking the consensus in the field from Kristin Andrews' textbook "Animal minds"

For example, . This type of stimulus elicits the majority of vocalizations in human conversation, but there is no evidence that the attribution of mental states to others causes vocalizations in other animals, except for possibly chimpanzees (Seyfarth and Cheney, 2003).

Add example from the literature

This should be woven in better

Tomasello (2009) notes that in the case of pointing gestures, humans use these mainly to be informative (i.e. cooperative), whereas primates use this gesture mainly or perhaps even exclusively for imperative motives. Experimentally, Tomasello and colleagues found that primates only used a pointing gesture when they benefited from this act of communication, while 25-month-old infants pointed regardless of whether they themselves benefited from this action (Bullinger et al., 2011). As Tomasello (2009) concludes, "[the infants] could not help but be informative" (p. 17). Speaking of which, let us now consider communication in human infants.

This is a rough part

As we will later discuss deception in communication a great deal, let us briefly touch on deception in animals as well. As noted by Dor (2017) in his discussion of deception, primates possess the ability to deceive others. However, compared to humans, their ability to deceive is rather primitive. Dor argues that this is due to the fact that language uniquely enables humans to communicate with each others' imaginations. This opens up countless avenues for deception by the fabrication of stories. For primates on the other hand, without language, it is difficult to fabricate stories to deceive others. They can hide information: for example, they might suppress the food call they would usually expel upon finding food in order to keep this food for themselves. However, their ability to fabricate information is very limited.

Example or elaborate

## 2.3 Communication in children's development

{sec:comm:ontogeny}

30: This section tries to fit a lot of information in little space: it is too dense. Also, the structure is weird and unclear. It could do with a whole overhaul.

Now that we have seen how communication works in non-human animals, let us turn to how children start communicating throughout their development. Around their first birthdays, children start communicating ostensibly by pointing (Tomasello, 2008).

31: Check the exact timing of this: Tomasello (1999) talks about the "nine-month revolution", and the difference between 9 and 12 months is very big in human children. Possibly directly cite experimental sources.

Although at first glance pointing may seem like a simple behavior, it may be used in a number of communicative contexts to convey a fairly wide range

of messages and intentions. For example, infants may point at a cup to indicate that they want to drink from it (i.e., pointing to request), but they may also point to a hidden object that their parent is searching for (i.e. pointing to inform).

32: Why do we focus on pointing? Elaborate more on why pointing constitutes communication, and other earlier stuff doesn't. For example, raising arms to parent: a behavior that we inherited from our ape ancestors (instinct to climb on parent). Is that communication? What distinguishes these earlier from the later interactions? And consider for example the communicative function of crying, is this mentioned by Tomasello or by other authors? How is that different from vocalizations in animals? Simple stimulus-response? This basically all comes down/back to the definition of communication I adhere to, and intentionality in it.

33: This paragraph is way too dense: she doesn't understand this upon reading. It's a matter of unpacking the notions.

On the classic account, pointing can serve either of two communicative motives: an imperative motive, in which the pointer requests things from someone, and a declarative motive, in which the pointer shares their experiences and emotions with someone. This account can be extended upon by distinguishing between declaratives as expressives (sharing attitudes and emotions) and declaratives as informatives (providing information), and by furthermore conceiving of imperatives as a continuum, with the underlying motive ranging on a scale from individualistic – e.g. forcing someone to do something – to cooperative, e.g. indirectly making a request to someone by informing them of some desire (Tomasello, 2008).

The fact that pointing is a fairly complex communicative act is underscored by the fact that non-human animals are not able to understand pointing in the same way humans are. The hypothesis is that in order to communicate intentionally,

34: Add reference for this

35: On the current definition, isn't all communication intentional? Should really settle on this, and then change the wording here as applicable. Read up also on Putnam's Brain in a Vat article, this might be illuminating philosophically. Grice also has some readings on natural and non-natural meaning, distinguishing between different types of communication. Something about intentionality? Make the distinction between intentional and non-intentional stuff very explicit, because it's one of the most important things in this chapter.

like children begin doing around their first birthday, first the skills and motivations for *shared intentionality* need to be present in the infant; that without skills of shared intentionality, infants could only communicate intentionally, but not cooperatively.

36: Elaborate more on intentional vs. cooperative communication: does Tomasello (1999) have something on this? Something to do with joint attention.

Shared intentionality is the "ability to participate with others in interactions involving joint goals, intentions, and attention" (Tomasello, 2008, p. 139). Communicative pointing behaviors in infants emerge around the same time as skills and motivations of shared intentionality do, which according to Tomasello confirms this hypothesis of dependency between them.

37: Disentangle communication and shared intentionality, because up until this point, they seem to be the same thing. Go a bit slower in this section.



38: Reconsider the usage of the terminology "skills and motivation", and make explicit exactly what you mean by them. Would abilities be a better word? Why does Tomasello (2008) use skills? Why are motivations included? What are motivations?

Tomasello further investigates what he calls *pantomining* or *iconic gestures*, which are symbolic or representational gestures. He presents empirical evidence

Give example

39: Discuss this empirical evidence

that these kinds of gestures rely heavily on convention for their meaning, and that the acquisition and usage of these conventions bears a strong resemblance to the acquisition and usage of language.

40: This trajectory should also be more clear throughout the section

In short, infants first acquire the skills and motivations needed for shared intentionality; then they acquire the skills and motivations for communicative pointing; and then they acquire the ability to use iconic gestures and language around the same time.

Let us, like in Section 2.2, touch briefly upon deception in ontogeny. Children acquire the skills for lying around the age of four (Lee, 2013).

Build upon this with stuff from Meibauer (2018)

## 2.4 What is the function of communication?

{sec:comm:function}

Finally and arguably most importantly for our endeavor, let us have a look at the function of communication.

Terminology: competitive vs. noncooperative?

Essentially, communication facilitates interaction between individuals. This interaction may be either cooperative or competitive in nature, as we have seen in Section 2.2 when discussing Seyfarth and Cheney's (2003) review of animal communication. Whether the communicative event is cooperative or competitive in nature depends on the interests of the interlocutors. If the interests of interlocutors overlap or even align, their communication can be considered to be cooperative; if they do not overlap, or even oppose each other, their communication can be considered to be competitive. For example, if two individuals engage in collaborative hunting of a large prey animal, their interests (catching the prey together and sharing it) align and they will thus use communication for cooperative purposes – i.e., to coordinate their hunting activity. On the other hand, if two individuals compete for a smaller prey animal, their interests (catching the prey alone and keeping it for themselves) oppose each other, and their communication would thus be competitive. They might for example intimidate each other verbally, which may be evolutionarily more advantageous than physical intimidation (i.e. fight) because of a reduced risk of injury.

As argued by Tomasello (2008, 2009) and echoed by Dor (2017), the cooperative setting constitutes the 'birthplace' of the unique features of human communication; the competitive use of human-style communication must have emerged later. As Tomasello (2008) writes:



The use of skills of cooperative communication outside of collaborative activities (e.g., for lying), came only later. (p. 325)

Especially the emergence of language could only have occurred in cooperative settings, Tomasello (2008) and Dor (2017) argue. I continue this line of thought in Section 2.4.

This section could be a bit more coherent. Also, improve the terminology: it's a damn mess

Let us now consider pragmatic communication, specifically how all of this relates to Grice's cooperative principle. Earlier along the evolutionary timeline, an interlocutor's Gricean cooperativeness may very well have coincided with her cooperative intention or disposition. This is of course especially the case with animals communicating (see Section 2.2). However, it is apparent that at the very least nowadays, these two dimensions of cooperativeness need not coincide.

Cite 'Logic and conversation' here, and quote the principle

This discussion of Dor needs to be more tailored to this section

Daniel Dor's (2017) discussion of lying and the evolution of language relates to this distinction between what we might refer to as Gricean cooperativeness and behavioral cooperativeness. Dor notes that the distinction between honesty and deception might be interpreted in two ways. On the one hand, one can consider the honesty of a signal to be its truthfulness; so an honest signal is a true one, and a deceitful signal is a false one. On the other hand, one may consider the honesty of a signal to refer to not its truthfulness, but rather the benefits and costs the sender and receiver incur as a result of the communicated signal. In the case of animals communicating, these two conceptions of honesty might very well coincide – i.e., truthful signals benefit receivers and false signals harm receivers. However, it should be apparent that they do not always coincide in the human case: truthful signals may hurt or cause harm to receivers, and false signals may benefit the receiver. He then outlines four possible communicative options one might choose, namely "co-operative honesty, harmful honesty, co-operative lying and harmful lying" (Dor, 2017, p. 45). He argues that while anti-social, exploitative lies – lies with the intention to profit at the expense of the receiver, i.e. harmful lies – are like the 'default' conception of a lie, they are not at all the most prevalent kind of lie. Meibauer (2018) has useful additions to this point: he notes that prosocial lying is connected to politeness. The notion of politeness, in turn, can be connected with the notion of benefits and costs:

Think of a better term

Give example?

In antisocial (mendacious) lying, only the speaker profits from lying. In prosocial lying, lying is either altruistic (only the hearer profits from the speaker's lie) or polite ("Pareto-white," as Erat & Gneezy 2012 call it) in the sense that both speaker and hearer profit from the lie. (Meibauer, 2018, p. 371)

Going back to Grice's cooperative principle, we note that Dor in his analysis only considers Grice's maxim of Quality ('Try to make your contribution one that is true'). If we extend his distinction to the whole cooperative principle, we get two axes of cooperation (Gricean and intentional), leading to four communicative options one might choose: Gricean cooperative cooperation, Gricean

noncooperative cooperation, Gricean cooperative noncooperation, and Gricean noncooperative noncooperation.

For example, lying constitutes Gricean noncooperation, because it violates the maxim of Quality. As noted before, lying may be used with a competitive intention (i.e. antisocial lying), or a cooperative intention (i.e. prosocial lying, such as lying for politeness or white lies).

How does the following paragraph fit in? Elaborate and/or update after updating Section 2.3

Does more need to be added? Matrix for clarity?

Moving on from the most basic distinction between cooperative and competitive uses of communication, we may consider three basic motives that drive communicative acts: requesting, informing and sharing (Tomasello, 2008).

Where to put this subsection

Does this hold only for cooperative communication?

### A small note on language

{sec:comm:language}

Let me briefly address the elephant in the room: when considering human communication, human language and its evolution cannot remain unmentioned. In this thesis, I will only consider human communication in general, because the emergence and evolution of symbolic communication in the form of language is a whole field of research of its own. I will finish by noting one important thing about the evolution of language as it relates to cooperation and trust. It has been argued that only in cooperative settings could our complex language have emerged at all. This is because for such complexity to arise, more frequent and prolonged interactions are necessary (Benítez-Burraco et al., 2021). As Dor (2017) writes,

The collective effort of the invention and stabilization of the new technology [namely, language] must have been based on high levels of reliability and trust between the inventors: otherwise, indeed, they would not have been able to get the system going. (p. 50)

We return to a discussion of reliability, trust and 'getting the system going' in Section 2.4.2. For more discussion on the evolution of language, see for example Tomasello (2008) and Dor (2017).

Rewrite this segue

Now, we have seen that communication may be used cooperatively or competitively. To appreciate how communication facilitates cooperation, let us now consider what makes cooperation itself evolutionarily beneficial. Moreover, in order to complete the causal chain, we will have a look at how cooperation could have evolved and the role that communication plays in it. We will do so by drawing extensively from Michael Tomasello's comprehensive 2009 book *Why We Cooperate*.

{sec:comm:cooperation}

### 2.4.1 Human cooperation and its evolution

Let me start off with a brief terminological aside: although colloquially the terms ‘cooperation’ and ‘collaboration’ are more or less synonymous, Tomasello does not use them interchangeably. He defines collaboration as working together for mutual benefit (p. xvii). Implicitly, he takes cooperation to be an overarching term which also encompasses for example altruism, in which one individual sacrifices something to help another individual. For the remainder of this thesis, I will adhere to his terminological conventions.

Tomasello argues that somewhere along the evolutionary timeline, humans must have been “put under some kind of selective pressure to collaborate in their gathering of food—they become obligate collaborators—in a way that their closest primate relatives were not” (Tomasello, 2009, p. 75).<sup>2</sup> He elaborates by noting that in general, evolution may select for sociality in animals because living together in a social group protects the group’s members against predation: it is easier to defend oneself in the context of a group. The group however also brings disadvantages with it when it comes to foraging for food, since the members of the group are competitors in the acquisition of food. This is especially the case when the source of food is ‘clumped’, such as in a prey animal, rather than dispersed, such as in a plain of grass. The clumped source of food raises the issue of how to share the food amongst the members of the social group. Tomasello enumerates a number of different hypotheses to explain how humans could have broken out of what he calls “the great-ape pattern of strong competition for food, low tolerance for food sharing, and no food offering at all” (Tomasello, 2009, p. 83); in other words, how humans could have evolved to be more tolerant and trusting, and less competitive about food. Firstly, as due to a certain selective pressure it became necessary for humans to forage collaboratively, it could have been evolutionarily advantageous to be more tolerant and less competitive, which would explain its having evolved. Secondly, Tomasello notes it could be the case that humans went through a process of self-domestication, which eliminated aggressive, predatory or greedy individuals from the group; see Benítez-Burraco et al. (2021) for more on this. Thirdly, the evolution of tolerance and trust could be related to what is called *cooperative breeding*, also known as *alloparenting*. In cooperative breeding, the responsibility of child-rearing falls on more individuals than just the mother of the child; these individuals help by providing food for the child and engaging in other acts of childcare. This cooperative breeding may have selected for pro-social skills and motivations; see Hrdy (2009) for an elaboration of this argument.

Tolerance and trust then constitute a foundation upon which coordination and communication can be ‘built’, so to speak: they provide an environment in which more elaborate collaboration can evolve. In Tomasello’s words,

there had to be some initial emergence of tolerance and trust (...) to put a population of our ancestors in a position where selection for sophisticated collaborative skills was viable (p. 77)

<sup>2</sup>Notably, what exactly this selective pressure is, is a missing link in his otherwise very convincing story.

In order to then arrive at the full picture of human cooperative activity, the final step to consider is that of social norms and institutions. As before, there is a missing link in this story, in this case it concerns how mutual expectations between individuals arise and eventually become norms. (Tomasello describes it as "one of the most fundamental questions in all of the social sciences" (p. 89).) Norms may be defined as "socially agreed-upon and mutually known expectations bearing social force, monitored and enforced by third parties" (Tomasello, 2009, p. 87). Norms receive their force not only from the threat of punishment by others if the norm is violated, but also from a kind of social rationality within the collaborative activity. Individuals recognize their dependence on each other for reaching their joint goal. Just as it would be individually irrational to act in a way that thwarts your own goal, it would be socially irrational to act in a way that thwarts your joint goal.

This could/should be improved upon

Let us now briefly summarize the evolutionary timeline of human cooperation according to Michael Tomasello. At some point, for reasons as of yet unknown to us, foraging for food collaboratively rather than individualistically became beneficial – perhaps even necessary – for humans. During this evolutionary process, some degree of tolerance and trust must have emerged between those collaborating individuals. In the process of adapting to this collaborative foraging, humans evolved certain skills and motivations specifically for cooperation – for example, abilities for establishing joint goals as well as a role division for the joint activity. This kind of collaborative activity then constituted the breeding ground for human cooperative communication. These joint goals and role divisions later evolved into the superindividual norms, rights and responsibilities that we see within our social institutions today.

Weird sentence

Armed with the ins and outs of human cooperation, and an inkling of how communication relates to the story, we now turn our attention to a crucial aspect of understanding human communication: how it can have persisted despite evolutionary pressures threatening its stability. To this end, let us consider at length a paper by Scott-Phillips (2008), who convincingly brings findings from animal signalling research into the realm of human communication. This will prove a steady foundation for discussing two precursory papers to the argumentative theory of reasoning, by Sperber (and others) in Sections 2.4.4 and 2.4.5.

## 2.4.2 The stability of communication

{sec:S-P08}

(Possibly) add page numbers throughout section

Make a comment here about terminology: reliability vs. honesty

If communication between individuals of a species persists throughout evolution, we may speak of it as stable. The stability of communication is considered by some as the 'defining problem' of animal signalling research (Scott-Phillips, 2008). It is not a trivial problem by any means: the stability of a com-

munication system is threatened by evolutionary pressures on the communicator to 'defect', as it were. As Scott-Phillips (2008) describes it,

If one can gain through the use of an unreliable signal then we should expect natural selection to favour such behaviour. Consequently, signals will cease to be of value, since receivers have no guarantee of their reliability. This will, in turn, produce listeners who do not attend to signals, and the system will thus collapse in an evolutionary retelling of Aesop's fable of the boy who cried wolf. (p. 275)

In the context of human communication: if it can be advantageous for me to lie, deceive or mislead someone, then it would evolutionarily make sense for me to do so; yet then it would make evolutionary sense for you to stop listening to me, and as a consequence our system of communication would collapse.

There have been a number of attempts at explaining the reliability of animal communication in general. One such attempt is the *handicap principle* (Zahavi, 1975; Zahavi and Zahavi, 1999), which might be best understood through the paradigmatic example of the peacock's tail. This tail is like a handicap for the peacock: not only does it take a lot of resources to grow the tail and carry it around, it also leaves the bird more vulnerable to predation because it is less agile with a large unwieldy tail. At the same time, a large tail signals to peahens that the peacock is fit enough to be able to incur these costs, and thus has a sexual advantage. The handicap principle then describes this process of communication, by which the signaller incurs costs (i.e., a handicap) for signalling, which thus guarantees the reliability of the signal.

However useful in explaining some cases of the reliability of animal communication, the handicap principle is not able to explain all of those cases: often, it is not the case that reliable signals are costly to produce (Scott-Phillips, 2008). Especially in the case of human communication, the handicap principle cannot account for its reliability, since it is in general not costly to produce utterances (Scott-Phillips, 2008). Thus, it remains to be shown how communication can be stable if signals are cost-free.

On the handicap principle, reliable signals are costly to produce, thus ensuring their reliability. An alternative explanation of the reliability of animal communication is the principle of *deterrence*, whereby *unreliable* signals are costly to produce, and consequently signallers are deterred from producing unreliable signals. There are a number of ways in which producing unreliable signals may be costly to the signaller. Firstly, this is the case in a coordination game, where the signaller and receiver share some common interest with regard to the outcome of the interaction. Secondly, if two individuals have repeated interactions, it may also be costly in the long term to produce unreliable signals, because it may hinder cooperation in the future. Thirdly, producing unreliable signals may be costly to the signaller if false signals are punished by the receiver.

The 'logic of deterrents' applied to the case of human communication poses the following demands in order for the story about stability to work:

Sufficient conditions for cost-free signalling in which reliability is ensured through deterrents are that signals be verified with relative ease (if they are

How does this tail constitute, not only signalling, but communication?

Add example

possibly explain this more

This sentence is still a bit weird

not verifiable then individuals will not know who is and who is not worthy of future attention) and that costs be incurred when unreliable signalling is revealed. (Scott-Phillips, 2008, p. ?)

In other words, if unreliable signals are recognized as unreliable relatively easily, and unreliable signallers incur costs for their unreliability, the reliability of communication is secured through deterrents.

Scott-Phillips goes on to state that these sufficient conditions are met in the case of human communication, since people may refrain from interacting with unreliable individuals in the future, which can be very costly for a social species such as humans. Notably however, he does not explicate how the first sufficient condition is met in the case of human communication; we will return to this in Section 4.2.

### 2.4.3 Honesty, deception and lying

Dangers of misinformation?

This section was written in order to be bullshit: be very critical of this one when revising. Also, it feels like some of this, if not all of this, information belongs in Chapter 4 possibly

Still to add: benefits and costs of honesty/deception

Relate this section to the functions of communication as you laid them out at the start of this section

Let us start off this section by discussing some terminology around honesty.

Scott-Phillips (2008) uses the term 'reliable' when explicating his story about the stability of communication, rather than 'honest'. This is a principled choice, as he explains in the paper's introduction, to do with a difference between humans and non-human animals. He argues that one may want to steer clear from anthropomorphically ascribing intentions to animals and meanings to their behavior. He maintains that the term 'reliability' rather than 'honesty' would be a more neutral option, because it refrains from ascribing intentions and meanings to people. However, I find that his use of the term 'reliable' confuses two important axes with each other: one ranging from competent to incompetent signallers, and one ranging from benevolent to malevolent signallers. We return to this point later.

Is this a correct interpretation of what he says?

Lying, deception, honesty and persuasion may be defined in a great number of ways. Let us here look to the literature to obtain a working definition for the remainder of this thesis.

Especially when it comes to lying, finding a definition might not be as easy as expected: Saul (2012) even dedicates the whole first chapter of her book to obtaining a viable definition that includes and/or excludes the relevant examples. An intricate discussion of her definition is out of scope for this thesis; let us for now consider a definition of lying due to Williams (2002) that Meibauer (2018) calls 'standard':

an assertion, the content of which the speaker believes to be false, which is

made with the intention to deceive the hearer with respect to that content  
(Williams, 2002, p. 96)

Meibauer notes – and this also transpires from Saul’s (2012) discussion of the definition of lying – that each of the components of this definition may be and have been challenged. Additionally, Meibauer (2018) notes that deception may be defined as

deliberately leading someone into a false belief (Meibauer, 2018, pp. . 358)

Let us now discuss some of the points that Daniel Dor (2017) makes about how the lie may have figured in the evolution of language. Although our present endeavor may be focused more on deception, not lies, and communication in general, not language, this will still prove to be a relevant undertaking.

Especially important are the notes he makes regarding the so-called "paradox of honest signaling" (Dor, 2017, p. 45) – i.e., the theoretical issues plaguing the stability of communication that we discussed in Section 2.4.2. Dor notes that the collapse of communication as it is described in the ‘paradox of honest signaling’, appeals not to receivers evaluating the truthfulness of incoming information, but rather the receivers evaluating the *intention* of the sender. Listeners care whether speakers intend to be harmful, not whether or not they are truthful, Dor argues. Moreover, he notes that the paradox of honest signaling appeals greatly to situations in which interests between interlocutors conflict, which may not be as prevalent or plausible. As he argues, at the point in evolution where language emerged, humans were already crucially dependent on cooperation and coordinated action, and thus their interests overlapped more often than not.

Too many quotes? I just think he worded it nicely :(

Moreover, another very important avenue that Dor explores is how communication is used. As we will see in Section 2.4.4 and in Section 2.4.5, Sperber and his colleagues focus a lot on the transmission of information between individuals, in the forms of testimony and argumentation. However, within the ‘paradox of honest signaling’, this transmission of information is not the only relevant use of communication. Communication is also used for cooperation, and in that situation lying is not really an issue; Dor writes

Language is extremely useful in the coordination of collective work, collective defense and so on, where it is used not just for the exchange of information but also for collective planning, division of labor, ordering and requesting, where lying as such does not seem to play a major role. (Dor, 2017, p. 51)

Convincingly, Dor goes on to argue that due to this dual role of communication (transmitting information and facilitating cooperation), the stability of communication is not threatened by lying. He writes:

Even in the very unlikely doomsday scenario, then, where all the members of a community lie to each other in their factual statements, and eventually refrain from sharing information with each other, there is no reason to

assume that they would stop using language for all these other purposes, especially where their survival, whether they like it or not, depends on collective action. (Dor, 2017, p. 52)

How does persuasion figure in this?:

Lastly, it would be good to have a brief look at what *persuasion* is, since it naturally plays a considerable role in Mercier & Sperber's argumentative theory of reasoning. Brinol and Petty (2009) broadly define persuasion as

any procedure with the potential to change someone's mind (p. 50),

whether that be changing someone's emotional state, beliefs, behaviors or attitudes. They describe persuasion as "the most frequent and ultimately efficient approach to social influence" (pp. 49–50). Put crudely, persuasion is a tool for getting what you want, and better than its alternatives of using force, threats or violence to get what you want.<sup>3</sup> From this, I believe it is fair to conclude that persuading someone is beneficial to an individual exactly to the extent that the corresponding gain in social influence is beneficial to the individual. For limitations of time and space we will not go into the benefits of gaining social influence; however, (conclusion).

#### 2.4.4 Sperber on the evolution of testimony and argumentation

{sec:Sperber01}

In a 2001 paper, Dan Sperber analyzes testimony and argumentation from an evolutionary perspective. In doing so, he provides important groundwork for his later work with Mercier (and others) on the relation between reasoning, argumentation and the stability of communication.

Testimony and argumentation are two concepts central to human communication. Sperber borrows his definitions for these concepts from epistemologist Alvin Goldman, who defines testimony as "the transmission of observed (or allegedly observed) information from one person to others" (Sperber, 2001, p. 401) and argumentation as "the defense of some conclusion by appeal to a set of premises that provide support for it" (ibid.). Sperber puts these two concepts in an evolutionary perspective, and discusses in particular how they have figured in stabilizing communication over the course of evolutionary history.

Replace this example by an animal communication example

A tempting way to look at communication is as a kind of 'cognition by proxy': through communication, one organism may access information another organism has obtained from its own perception or inference. For instance, if you tell me that there is milk in the fridge, I can through this act of communication benefit from the information derived from your perception of the milk carton in the fridge. However, Sperber argues that, at least in the case of human communication, testimony does not amount to cognition by proxy. This is because

<sup>3</sup>In this, one may see a parallel with Seyfarth and Cheney's (2003) conception of aggressive communication as a low-risk alternative to fighting.



testimony has different effects than direct perception does. Going back to our example, upon receiving your testimony stating that the milk is in the fridge, I am in a different cognitive state than if I would have perceived the milk carton there myself. Moreover, in human communication, Sperber argues that interpretation and acceptance of utterances are two separate processes: recognizing what a speaker meant by their utterance is not the same as accepting it as true.<sup>4</sup>

And so? Connect this to the ostensive-inferential model: is interpretation part of communication?

The classical account of animal communication by Dawkins and Krebs (1978) focuses only on the side of the communicator in the story, maintaining that the function of communication is to manipulate others. Sperber rejects this classical approach, arguing that the interests of the sender cannot be the only driving force in the evolution of communication. He outlines a similar line of argumentation as we have seen in Section 2.4.2, arguing that for communication to have stabilized and continued to be stable between senders and receivers, both parties must have benefited from the action. In other – game-theoretic – terms, communication must (at least in the long run) be a positive-sum game, where both senders and receivers gain from the interaction.

Is it too much overlap with that section?

In the case of receiving testimony from others, the receiver gains from testimony "only to the extent that it is a source of genuine (...) information" (p. 404).

Where to talk about why gaining information is itself beneficial? Here or Ch. 4?

On the side of the production of testimony, the sender stands to gain from this testimony because

it allows them to have desirable effects on the receivers' attitudes and behavior. By communicating, one can cause others to do what one wants them to do and to take specific attitudes to people, objects, and so on (p. 404)

He later elaborates on this by saying that getting others to accept your communicated message is not intrinsically beneficial. Rather, it is *indirectly* beneficial, through bringing about these 'desirable effects' in others, as a way of 'cognitive manipulation'. We briefly return to these observations (particularly the 'desirable effects') in Chapter 4.

Where exactly? Will I talk about how this paper stacks up to the other things I found and concluded about communication? If so, where? Here?

Sperber notes that it is exactly this self-interest of the sender that renders this 'cognition by proxy' view as inapplicable to human communication. Moreover, he concludes from these observations of his that

<sup>4</sup>As a sidenote, this may very well be the case philosophically or epistemologically speaking, but psychologically speaking they may be more intertwined than Sperber implies. Although intuitively one would say that comprehension of an utterance always precedes acceptance (or rejection), Lewandowsky et al. (2012) points out that empirical evidence suggests that for someone to comprehend an utterance, they must (at least temporarily) accept it.

the function of communication presents itself differently for communicator and audience (p. 411)

Conclusion about how this fits with what I wrote?? Or should this quote and comment be somewhere else?

Sperber goes on to cast his observations in game-theoretic terms by sketching out a payoff matrix for a one-off communicative event. In it, he considers that senders may be truthful or untruthful, and receivers may be trusting or distrusting. According to Sperber, the sender's gain amounts to whether they have the 'desired' effect on the receiver; therefore, the sender gains from the interaction if the receiver is trusting (since this means the sender's message is accepted), and loses from the interaction if the receiver is distrusting. The payoff of this event for the sender is thus independent of the truthfulness of the sender. On the side of the receiver, their payoff *is* dependent on the truthfulness of the sender: the receiver gains if they accept a truthful message, loses if they accept an untruthful message, and incurs no gain nor loss if they are distrusting and thus don't accept a message (truthful or not).

Sperber notes that the optimal strategy for such a game varies with the circumstances for both players: it is not always beneficial to be truthful, nor always untruthful; nor is it beneficial to be always trusting, nor always distrusting. In other words, there is no one stable solution to this game. This is especially the case once we move away from this simple one-off communicative event to an iterated game of communication, where not only short-term payoffs but also long-term payoffs determine the optimal strategy. Therefore, it is in the receiver's interest to calibrate their trust towards senders as accurately as possible; in fact, Sperber argues, this trust calibration is necessary for explaining the stability of communication.

Should I discuss somewhere how reputation works in mass society?

Unlike non-human animals, humans have another way to communicate facts, other than testimony, namely *argumentation*. Senders may provide receivers with reasons to accept their testimony, which the receiver may evaluate and accept or reject, independent of their trust in the sender. Sperber sketches out the steps in what he calls the 'evaluation-persuasion arms race', i.e. the chain of evolutionary adaptations that has resulted in our mechanisms for argument production and evaluation. He argues that the first step in this 'arms race' was for the receiver to develop *coherence checking*. Coherence checking involves attending to both the internal coherence of the communicated message, and the external coherence with what the receiver already believes. Coherence checking, Sperber argues, is a useful defense against the risks of deception by the sender, because lies and other false claims are often externally or internally incoherent. The second step in the arms race was then for the sender to anticipate this coherence-checking by overtly displaying the coherence of their message to their receiver, which requires argumentative form; thus, testimony becomes argument. The next steps were on the side of the receiver to develop skills for examining these displays of coherence (i.e., arguments), and on the side of the sender to 'improve their argumentative skills'.

{sec:Sperber10}

## 2.4.5 Sperber and colleagues on epistemic vigilance

Make this fit with the previous section once that's done

One of the cornerstones of the argumentative theory of reasoning is the concept of *epistemic vigilance*, which Sperber and colleagues (among whom Hugo Mercier) introduced in a seminal 2010 paper.

In the paper, Sperber and colleagues note that humans are dependent on communication, and they argue that this dependence leaves humans vulnerable to being deceived by others. They state that misinformation or deception may "reduce, cancel, or even reverse" the gains that communication might bring to the addressee (p. 360). Consequently, the information that addressees receive from communicators is only advantageous to them to the extent that the information is genuine. Sperber and colleagues thus conclude that for this purpose, humans have evolved a suite of cognitive mechanisms for *epistemic vigilance*.

A communicative act triggers not only comprehension in the addressee, but also epistemic vigilance alongside it. In the grand scheme of human communication, vigilance maintains a balance between honesty and dishonesty; as Sperber and colleagues put it, "the audience's vigilance limits the range of situations where dishonesty might be in the communicators' best interest" (p. 368), which results in communication being honest most of the time. Sperber and colleagues argue that vigilance is not a nicety, something that is only invoked sometimes; they maintain that vigilance is the default disposition of interlocutors in communicative settings.

Talk about the duality Sperber et al. also mention, of trust vs. vigilance. Move the blind trust quote from Ch. 4 to here

One may distinguish between vigilance towards the *source* of a message, and vigilance towards the *content* of the message. In regards to the source, the authors note that reliable sources must be both competent and benevolent (and this is dependent on the context). Empirical evidence suggests that deceiving people can be quite beneficial, since experiments from deception detection research show that people are not good at detecting lies based on non-verbal behavioral cues.

Finish this: discuss Sperber et al. (2010) in great detail for Section 4.2 to make sense

## 2.5 Conclusion

Summarize the conclusions from each section and segue into the next chapter

## 3 | Why do we reason?

{ch:reasoning}

Reason is considered by many to be that which sets human animals apart from their non-human fellow animals. In this chapter, we will consider reasoning from the different angles laid out in Section 1.6 — in particular in relation to the theory under scrutiny, Mercier & Sperber's argumentative theory of reasoning. First, we consider Mercier & Sperber's definition of reasoning and compare it with some other definitions of reasoning. Then, we will look at reasoning in developing children and in non-human animals. Finally, we will consider the utility of reasoning, and expound the argumentative theory of reasoning.

### 3.1 What is reasoning?

{sec:reasoning-def}

This section is very unfinished, sorry!

Before anything else can be said about reasoning, it is critical to get clear what we mean exactly when we talk about reasoning. Mercier and Sperber (2011) take the following as their definition of reasoning:

*Reasoning*, as commonly understood, refers to a very special form of inference at the conceptual level, where not only is a new mental representation (or *conclusion*) consciously produced, but the previously held representations (or *premises*) that warrant it are also consciously entertained. (p. 57)

In other words, that what distinguishes reasoning from inference is the conscious attending to the representations. In their definition of reasoning, Mercier & Sperber take *inference* to be "the production of new mental representations on the basis of previously held representations" (ibid.). They make explicit that this definition of reasoning excludes non-human animals and preverbal children from the realm of reasoners. We will return to the role of the position of their definition of reasoning within the argumentative theory of reasoning in Section 4.1.

Mercier & Sperber state that their definition of reasoning is the one that is most commonly adhered to in the psychology of reasoning. However plausible, this claim is difficult to verify since most work in psychology of reasoning does not explicitly define reasoning within the frame of their research. Especially when one moves from psychology of reasoning to the neighboring discipline

of animal cognition, what is understood by ‘reasoning’ becomes fuzzy. As an example, Andrews (2015) and Call (2006) discuss animal reasoning at length, yet they never explicate the definition of reasoning they adhere to.

### 3.1.1 Dual-process theories of reasoning

Let us now mention and compare two other definitions of reasoning, one due to cognitive scientist Vinod Goel and another due to philosopher Gilbert Harman.

### 3.1.2 Discussion/introduction of Goel

In his 2022 book "Reason and Less", Goel proposes and develops an account of *tethered rationality*, where four different cognitive systems work in tandem to determine human behavior. The four systems, from evolutionarily ‘oldest’ to ‘youngest’, are the autonomic, instinctual, associative, and reasoning systems. These systems evolved ‘on top of’ each other, and are tightly integrated with one another — tethered, as Goel calls it. Goel then describes (and in doing so more or less defines) reasoning as follows:

Reasoning is a system for generating new beliefs from observations and/or existing beliefs and maintaining consistency of our beliefs (i.e., mental representations of the world).  
(p. 114)

In other words, according to Goel reasoning has a dual role: it generates inferences (in the way Mercier & Sperber define them), and it ensures that our beliefs remain internally consistent or coherent.

### 3.1.3 Discussion of Harman

To do:

- Compare this definition with Mercier & Sperber’s and draw a conclusion about it
- Discuss Harman’s reasoned change in view

### 3.1.4 Discussion of Stenning & van Lambalgen, or Oaksford & Chater

## 3.2 Reasoning in children

{sec:reasoning-dev}

Possible references for this section (most I have yet to read): Bubikova-Moan and Sandvik (2023), Pontecorvo and Arcidiacono (2010), Tomasello (2014)? It was hard to find general references on development of reasoning; most of them seem to be pretty specific, approaching it from for example education science.

{sec:reasoning-nha}

## 3.3 Reasoning in non-human animals

Before we consider experimental findings on reasoning or reasoning-like abilities in non-human animals, we first need to consider one aspect of Mercier & Sperber's definition of reasoning – consciousness – in more detail.

### 3.3.1 Consciousness

Mercier & Sperber take the defining difference between reasoning and inference to be whether or not the 'reasons' are *consciously* attended to. However, it may not be as straight-forward as it seems to grasp this concept exactly. Moreover, once we start to consider animal minds, it becomes especially tricky to pinpoint what consciousness means in this definition.

In a seminal 1995 paper, Ned Block proposed a distinction between two types of consciousness: access-consciousness (or A-consciousness) and phenomenal consciousness (or P-consciousness). A-consciousness then concerns the ability to access one's own mental states, whereas P-consciousness concerns "the qualitative nature of experience" (Andrews, 2015, p. 52): what it 'feels like' to be in a certain mental state. Block noted the relation between A-consciousness and reasoning, and stated that A-consciousness is fundamental to reasoning:

It is of the essence of A-conscious content to play a role in reasoning (p. 232)

Although Mercier & Sperber do not mention Block's distinction, it is presumably A-consciousness rather than P-consciousness that their definition of reasoning employs. In other words, in order to reason one must be able to have conscious access to the mental representations resulting from their inferential mechanisms. Unfortunately for our current purposes however, studies into animal consciousness are primarily interested in the extent to which non-human animals possess *phenomenal* consciousness<sup>1</sup>, not access consciousness (Andrews, 2015; Carruthers, 2018). Josep Call (2006) does discuss the capacity for *reflection* in non-human animals; however, its relation to access consciousness and to reasoning (in the definition of Mercier & Sperber) is unclear. Call discusses empirical evidence that some animals know when they are uncertain about something, that some monkeys can know if they have forgotten something, and that apes know what they have not seen.

Missing: conclusion

Is this an accurate paraphrase? Check the sources again

Is it? Compare this to Mercier and Sperber (2009) on reflective inference

Re-paraphrase

### 3.3.2 Inference and reasoning in animals

Many non-human animals are thought to possess the cognitive capacity for inference. For instance, monkeys are capable of performing disjunctive syl-

<sup>1</sup>It may be noted that in his original paper, Ned Block wanted to leave open the possibility of non-human animals possessing access-consciousness (Block, 1995).

logisms (Ferrigno et al., 2021); monkeys, birds, and some fish are capable of transitive inference (Premack, 2007)<sup>2</sup>.

Note on Darwin, Penn et al., Premack, conclude with evolutionary origins.

## 3.4 The utility of reasoning

Let us now look at the utility of reasoning, and in particular, dive head-first into the argumentative theory of reasoning. We briefly consider classical accounts of the utility of reasoning, and then we will consider Mercier & Sperber's account at length.

### 3.4.1 Classical theories of reasoning

Add to this section: take from Mercier and Sperber (2009) and others

Classical theories of reasoning, building on centuries of philosophical work, maintain that the function of reasoning is to enhance or support individual cognition (Mercier and Sperber, 2011).

Goel (2022) also more or less adheres to this classical account of what the function of reasoning is, stating that

the function of the reasoning mind is to allow for greater flexibility in individual behavior, and thus more finely tuned responses to environmental stimuli than can be accommodated by the autonomic, instinctive, and associative minds. (p. 114)

### 3.4.2 Mercier & Sperber on the function of reasoning

The tone and content of this section may change slightly on what has already been said in the introduction

The argumentative theory of reasoning is Hugo Mercier and Dan Sperber's influential, but not uncontroversial, account of the function of reasoning from an evolutionary perspective. They introduced and coined the theory in a 2011 paper, a culmination of more than a decade's worth of experimental and philosophical research (Mercier and Sperber, 2009; Sperber, 2000, 2001; Sperber et al., 2010). Briefly, the argumentative theory of reasoning states that the main function of reasoning is to produce arguments and evaluate arguments of others, in order to stabilize communication. Before we can tackle the theory in detail, it is useful to look at some of Mercier & Sperber's foundations to the theory first.

Talk about some stuff from Sperber (2001) that didn't make it to Section 2.4.4 because it was about reasoning: p. 185

<sup>2</sup>Although Premack remarks that no non-human animals possess the concept of monotonicity, concluding that their capacity for transitive inference must rely on a 'hard-wired mechanism' rather than being 'based on reasoning' (p. 13864).

I think the discussion of the first two papers should eventually be moved to Chapter 2, since they have more to do with the utility of communication than reasoning

### **The argumentative theory of reasoning**

To do:

- Describe the ATR in detail
- Discuss the empirical predictions it makes, and the evidence that Mercier and Sperber (2011) bring to corroborate the predictions
- Possibly discuss some of the additional claims and findings from Mercier and Sperber (2017)



## 4 | The argumentative theory of reasoning closely inspected

{ch:scrutiny}

Although Mercier & Sperber's story about the evolution and function of reasoning is quite convincing on the face of it, their theory seems to leave a number of details underexposed. Moreover, the argumentative theory of reasoning makes a number of assumptions that are in need of explication and detailed discussion.

In this chapter, I will scrutinize a number of assumptions of the argumentative theory of reasoning (ATR) and make explicit some details that require spelling out.

(Add discussion of structure of the chapter after filling in the sections more)

### 4.1 What is reasoning? Revisited

{sec:def-scrutiny}

However, much more remains to be said about this very definition. Let us first briefly consider other definitions of reasoning from the literature, and then place some question marks around the position of Mercier & Sperber's definition of reasoning within their argumentative theory.

#### 4.1.1 Other definitions of reasoning

To do:

- Explicate Harman's (1986) definition
- Maybe find other definitions, perhaps references from Mercier and Sperber (2011, § 1.2, par. 2)
- Compare these definitions to that of Mercier and Sperber (2011)

#### 4.1.2 Accusations of circularity

It's not really a circularity but more that the evolutionary claim is void if reasoning equals argumentation. Work this out

The definition of reasoning given and used by Mercier & Sperber is reminiscent of definitions of argumentation, in particular in its use of the terms

‘premise’ and ‘conclusion’. In a 2001 paper, which can be considered to be a precursory work to Mercier and Sperber (2011), Dan Sperber uses the following definition of argumentation:

the defense of some conclusion by appeal to a set of premises that provide support for it (p. 401)

and somewhat less precisely, Mercier and Sperber (2011) define arguments as representations of relationships between premises and conclusions (p. 58)

Comparing these definitions of argumentation and of reasoning raises a question: what is reasoning, if not internalized argumentation? Or, in a similar vein, what is argumentation, if not externalized reasoning? And, if this is the case, does this not render the argumentative theory of reasoning circular? For then the theory would state that the main function of internalized argumentation is argumentative.

To do:

- Closely read Mercier and Sperber (2011, § 1.1) for their ontological considerations on inference and argument
- Work out the details of what the definitions entail, and spell out what "internalized argumentation" would entail
- Work out whether this is indeed a circularity in the theory
- And whether that would be a fatal flaw of the theory

## 4.2 Epistemic vigilance, revisited

{sec:EV-scrutiny}

Update this paragraph once the section is updated. What is exactly the direction and purpose of this section?

It seems that the argumentative theory of reasoning (ATR) rests on the notion of epistemic vigilance having evolved in humans. Therefore, this concept deserves some extra attention. In this section, I will first discuss the position of the notion of epistemic vigilance within the argumentative theory of reasoning. Then, I will discuss a critical response to Sperber et al. (2010) by Kourken Michaelian (2013), and in turn Dan Sperber’s (2013) response to the criticism. Lastly, I will conclude with the consequences of this discussion for the argumentative theory of reasoning.

### (Short summary of both papers)

The below paragraph is weirdly placed, and it’s not detailed enough to make a lot of sense. You can decide to keep this paragraph; then you’d need to take the reader by the hand some more, and it would need to be more detailed.

In short, Michaelian (2013) spells out some of the assumptions that he claims are inherent to the argument for epistemic vigilance. He contrasts these assumptions with evidence from deception detection research. In doing so, he

maintains that epistemic vigilance does not play a major role in ensuring the stability of communication. Rather, he argues, the majority of the burden befalls *speaker honesty*. In the same 2013 issue of *Episteme*, Dan Sperber provides a response to the criticisms of Michaelian. He resolves some misunderstandings and provides further details to reemphasize his perceived importance of epistemic vigilance to the stability of communication.

### 4.2.1 Epistemic vigilance and the ATR

{sec:epi-vigil-atr}

Can/should this section be merged with the strong/weak reading stuff? Maybe strong/weak reading is a part of this larger story (it's like scrutiny to the epistemic vigilance - ATR story), so it can be embedded here. Then you'd need a forward reference at the start of this section to justify why epistemic vigilance deserves the most scrutiny.

It is clear that the notion of epistemic vigilance is important for the argumentative theory of reasoning, but it may be illuminating to consider exactly the role or position of epistemic vigilance within the ATR.

Returning focus to the paper coining the ATR, Mercier and Sperber (2011) have the following to say about epistemic vigilance:

For communication to be stable, it has to benefit both senders and receivers (...). To avoid being victims of misinformation, receivers must therefore exercise some degree of what may be called epistemic vigilance (Sperber et al. 2010). (p. 60)

In other words, the stability of communication depends on its benefits for both the sender and the receiver. According to Mercier & Sperber, the benefits for the receiver depend on, or are mediated by, epistemic vigilance.

Sperber's (2001) 'evolutionary arms race' is foundational to the argumentative theory of reasoning. Epistemic vigilance is one of the steps in this arms race, having evolved as a defense against misinformation and deception. Consequently, epistemic vigilance is a critical component of the argumentative theory of reasoning: for, if receivers were not vigilant, senders need not have the ability to display the coherence of their arguments in order to be able to convince receivers.

Also refer back to the section in Chapter 2 where you introduce this concept

Right word?

Let us now go through the points of scrutiny that Kourken Michaelian (2013) subjects Sperber and colleagues' epistemic vigilance to, and consider Sperber's (2013) response to the scrutiny.

This transition could be smoother

### 4.2.2 What is epistemic vigilance exactly?

This section needs to be at the start of the discussion of epistemic vigilance

Sperber (2013) chalks up a considerable share of the disagreement between him and Michaelian to an alleged misunderstanding between the two authors on the exact definition of epistemic vigilance:

Michaelian seems to attribute to us the view that 'epistemic vigilance is a matter of processes devoted to screening out incoming false information

on the basis of available behavioural cues'. Showing that vigilance in this narrow sense is not efficient would, he holds, be quite damaging to our conjecture. This is a misunderstanding. (Sperber, 2013, p. 65)

While I agree with Sperber that Michaelian seems to attack a more narrowly defined version of epistemic vigilance, I do not blame Michaelian for the misunderstanding. Sperber and colleagues are (intentionally or unintentionally) vague in their original paper about exactly what epistemic vigilance is. Their flexible use of the notion of epistemic vigilance might not be inherently problematic, but given the central role epistemic vigilance plays in much of Sperber and Mercier's work, I believe we are long overdue an exact definition of epistemic vigilance. In this section, I will gather the details that together may constitute a definition of epistemic vigilance according to Sperber et al. (2010) and Sperber (2013).

Let us first consider the ontological status of epistemic vigilance. Although on the face of it, one may want to describe epistemic vigilance as a set of mechanisms for filtering incoming information, Sperber et al. (2010) describe humans as having evolved a 'suite of mechanisms' *for* – not *of* – epistemic vigilance. This leaves open the question of what epistemic vigilance itself could be. One candidate is a cognitive capability or skill, which seems to be supported by Mercier and Sperber (2011, p. 60) who describe epistemic vigilance as something that can be 'exercise[d to] some degree'. Moreover, Sperber et al. (2010, §5) bring in empirical evidence on the development of epistemic vigilance in children, which would point to vigilance being a capacity or skill as well. Also possibly pointing us in the right direction, Sperber and colleagues contrast vigilance with trust:

Vigilance (unlike distrust) is not the opposite of trust; it is the opposite of blind trust (Sperber et al., 2010, p. 363),

implying that trust and vigilance are of the same kind. Although the ontological status of trust does not seem to transpire from their 2010 paper, I would argue that this can be excused, as trust has been described by others as difficult to define (McKnight and Chervany, 2000; Simpson, 2012). A possibly useful perspective on the definition of epistemic vigilance comes from sleep science, relating to neurology and neurophysiology. Schie et al. (2021) define vigilance *per se* as follows:

Vigilance is defined as the capability to be sensitive to potential changes in one's environment, ie the capability to reach a level of alertness above a threshold for a certain period of time rather than the state of alertness itself. (Schie et al., 2021, p. 175)

It may not be immediately obvious how a definition from sleep science may at all be applicable in our attempt to define epistemic vigilance. However, I do believe that we may assume some degree of overlap between neurology and psychology, and that epistemic vigilance must relate in some way to vigilance *per se*.

Reconsider this whole part about mentioning trust: why is this quote important?

All things considered, I believe epistemic vigilance would be best described as the capability to be sensitive to the trustworthiness of communicated information and informants.

Next, let us consider the specifics of the processes in the 'suite of mechanisms for epistemic vigilance'. Just like Sperber and colleagues are somewhat vague about the ontological status of epistemic vigilance, they are rarely straight-forward about the exact mechanisms they consider to fall under the umbrella of epistemic vigilance. This however may not be as easily forgiven as their opacity surrounding epistemic vigilance's ontological status. The nature of the mechanisms for epistemic vigilance is crucial for the cost-benefit analysis that underlies the evolutionary argument that they are making. Michaelian (2013) already implicitly picks up on this (and in my opinion, Sperber (2013) does little to alleviate these concerns).

Discuss the specifics of how epistemic vigilance fits into dual processes: this is a very important part of the evolutionary account, since it relates to the costs of epistemic vigilance, and a cost-benefit analysis underlies the evolutionary account. But, since Sperber and colleagues are so opaque in their characterization of epistemic vigilance, this is tough to figure out. So here, you can really be critical and voice your gripes!

Now slightly shifting focus to the ATR, let us consider the relation between epistemic vigilance and reasoning. Sperber et al. (2010) describe reasoning as "a tool for epistemic vigilance, and for communication with vigilant addressees" (p. 378). This would imply that reasoning is an item in the suite of mechanisms for epistemic vigilance. However, in a similar way to how Sperber and colleagues are unspecific about epistemic vigilance's contribution to the stability of communication, they are unspecific about reasoning's contribution to epistemic vigilance.

This point begs some clarification, and this paragraph still needs a conclusion. I think it might be the case that reasoning is something more general than the other mechanisms they mention that belong to the suite

### 4.2.3 Strong vs. weak readings of the argument for epistemic vigilance

In their 2010 paper, Sperber and colleagues hint at different ways to interpret their argument, different roles to attribute to epistemic vigilance:

It is because of the risk of deception that epistemic vigilance may be not merely advantageous but indispensable if communication itself is to remain advantageous. (p. 360)

Sperber et al. seem to prefer to remain agnostic (or – put differently – vague) about the exact role of epistemic vigilance in explaining the stability of communication: is epistemic vigilance "merely advantageous", or is it "indispensable"? In other words, is it just the case that the benefits of epistemic vigilance outweigh its costs, leading to its having evolved in humans; or, stronger, would communication collapse if it were not for receivers' epistemic vigilance?

Add an illustrative, intuitive example about this

Maybe add in here quotes that point to Sperber's vagueness

These different readings of epistemic vigilance are also implicit in the following statement Sperber and colleagues make:

People stand to gain immensely from communication with others, but this leaves them open to the risk of being accidentally or intentionally misinformed, which may reduce, cancel, or even reverse these gains. (p. 360)

If being misinformed reduces or cancels the benefits an addressee receives from the communicative event, then it would stand to reason that it would be advantageous for the addressee to be epistemically vigilant so as to maintain the positive benefits of communication. If misinformation however reverses the gains one receives from communication, then epistemic vigilance would be *necessary* for the receiver in order to not be negatively affected.

Kourken Michaelian picks up on these different views of epistemic vigilance in his response paper (2013). He distinguishes between a strong and weak reading of Sperber et al.'s argument for epistemic vigilance, in line with this distinction between vigilance being indispensable or advantageous, respectively. He then outlines the assumptions that are needed for each reading of the argument, and apparently shows that these assumptions are unfounded, or at the very least too strong. According to Michaelian, the strong reading carries with it the assumption that dishonesty is sufficiently prevalent to necessitate vigilance on the side of the receiver; since, if epistemic vigilance is indispensable, non-vigilance must then yield a "dramatic reduction in the fitness of receivers" (Michaelian, 2013, p. 39). He argues that, since there is empirical evidence that lying is infrequent (Serota et al., 2010), the strong reading of Sperber et al.'s argument cannot hold.

Insert discussion of prevalence of lying here. Really explicate the findings, and draw a conclusion about what this means for the story: why is lying prevalent, or why not? Also, this paraphrase of Michaelian's view could be clearer.

Further, Michaelian argues that the weak reading of the argument carries with it the assumption that the benefits of epistemic vigilance outweigh its costs.

Missing conclusion from Michaelian: what is his conclusion to all this?

In his response to Michaelian, Sperber (2013) states that "I now believe that we could and should have been even less definite" (p. 63) in recognizing a stronger and weaker reading of their argument. Sperber argues that in the recognition of the two readings of the argument, one mistakenly regards communication as a static enterprise. The degree to which vigilance is advantageous or even indispensable to a receiver, varies greatly from situation to situation, he argues:

The benefits of vigilance may be negligible in some communicative interactions and essential in other interactions. All I feel confident to say is that, without vigilance, human communication would be a very different and probably much more restricted affair. (Sperber, 2013, p. 63)

This conclusion, however plausible, leaves a lot to be desired when it comes to the details for the evolutionary story, and in particular for the picture of the evolutionary arms race.

Discuss: why is it problematic that this is a vague conclusion?

In the original 2010 paper, Sperber and colleagues maintain that it is sufficient that communication is *on average* advantageous to both parties:

The fact that communication is so pervasive despite [the risk of misinformation] suggests that people are able to calibrate their trust well enough to make it advantageous on average to both communicator and audience (Sperber et al., 2010, p. 360)

Continue here: draw a conclusion that either defends Sperber, or conclude that it's a strike against the ATR. Change the tone of the subsection accordingly

#### 4.2.4 Honesty or dishonesty as prior

To fit in still: discussion of ignorance, competence, that stuff

Ultimately, a fundamentally different outlook on human communication and cooperation seems to transpire from the accounts of Sperber, Mercier and colleagues on the one hand, and Michaelian on the other. Sperber's 'evolutionary arms race' account takes dishonesty as prior. On this account, dishonesty is prior to vigilance, and in turn vigilance is prior to honesty:

We could not be mutually trustful *unless* we were mutually vigilant. (Sperber et al., 2010, p. 364)

For this account to work, one must convincingly argue for the evolutionary benefits of dishonesty; if dishonesty is the first step in the evolutionary arms race, it must be beneficial by itself.

Michaelian, on the other hand, refutes this account and instead proposes that communication is stable just because speakers are honest; in other words, honesty is prior. In a similar fashion, it then remains to show how honesty is by itself beneficial.

Discuss, or at least mention, Grice's cooperative principle

Discuss and contrast these using Tomasello (2009), and draw conclusions on the most plausible account

Make also terminological note of dishonesty vs. lying vs. deception: Levine et al. (2010) has good nuance on how lying is used. For example, intuitively I would say that something like a 'white lie' doesn't really amount to deception (see Appendix of Levine et al. (2010)), so this might warrant a bit of explication. This discussion probably also ties into the next section.

#### 4.2.5 Concluding remarks

To do: draw a conclusion about the argument for epistemic vigilance, its viability, and the consequences of all of this for the ATR

### 4.3 How is convincing others advantageous?

This section should probably be moved to Chapter 2, utility of communication

The account of human communication that underpins the argumentative theory of reasoning (see Sperber (2001) and Sperber et al. (2010)) entails that for human communication to have stabilized over time, it must have been evolutionarily advantageous to both the sender and the receiver. I agree that it is reasonable to assume that – since it depends on the participation of two parties – communication would collapse if either party was not experiencing any benefits from the action. Let us now briefly discuss these benefits.

The possible benefit of communication to the receiver is for them to gain information (to the extent that it is genuine information).

This is not really accurate: see notes of Michaelian (2013). Also, then why is gaining information beneficial?

This benefit seems straight-forward enough: communication can enable us to gain information about the world in a similar way to how direct perception, or inference on the basis of held beliefs, yield information to us. However, we should be careful to regard communication as ‘cognition by proxy’, since the sender also stands to gain from communication and thus has their own interests as well (Sperber, 2001).

On the other side of the coin, Sperber (2001) describes the benefits of communication to the sender as follows:

From the point of view of producers of messages, what makes communication, and testimony in particular, beneficial is that it allows them to have desirable effects on the receivers’ attitudes and behavior. By communicating, one can cause others to do what one wants them to do and to take specific attitudes to people, objects, and so on. (p. 404)

The details of this point in particular require some explication in order to understand their force within the evolutionary story.

To do:

- Check Mercier and Sperber (2011) for a possible quote on benefits of convincing others: how do they describe it there?
- (It might turn out that the benefits of persuasion are already discussed in Chapter 2 once it’s revised.)

### 4.4 Motivations and dispositions of interlocutors

Throughout their 2011 article, Mercier & Sperber allude to the dispositions of interlocutors in argumentative settings (emphasis in quotes added):

This experiment illustrates the more general finding stemming from this literature that, *when they are motivated*, participants are able to use reasoning to evaluate arguments accurately (p. 61)

‘Dispositions’ is not meant as a technical term, and I don’t think it is; is it?



Most participants are **willing** to change their mind only once they have been thoroughly convinced that their initial answer was wrong (p. 63)

this [experimental finding] should not be interpreted as revealing a lack of ability but only a lack of **motivation**. When participants **want** to prove a conclusion wrong, they will find ways to falsify it. (p. 65)

people are good at assessing arguments and are quite able to do so in an unbiased way, **provided they have no particular axe to grind**. In group reasoning experiments where participants **share an interest in discovering the right answer**, it has been shown that *truth wins* (p. 72)

This reference to the motivations and disposition of interlocutors opens up some questions as to the specifics of the 'argumentative setting' that Mercier & Sperber mention multiple times throughout the paper. It seems that the disposition of the interlocutors going into an argument plays an important role in Mercier & Sperber's account of argumentation, yet they do not expand on this. How plausible is the assumption that people engaging in argumentation have a 'common interest in the truth', as Mercier & Sperber call it? And what happens (or what would happen) when interlocutors do *not* share this interest?

To do:

- I'm pretty sure this criticism is about something different than 'motivated reasoning', but check this
- Define what an argumentative setting is, according to Mercier & Sperber (close-read Mercier and Sperber (2011) for this)
- Possibly find some empirical work on arguers' dispositions

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