

# Mass and occupation fraction of dark matter halos hosting Lyman- $\alpha$ emitters at $z \sim 3$

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## ABSTRACT

We derive constraints on the mass and occupation fraction of dark matter halos hosting Ly $\alpha$  Emitting galaxies (LAEs) at a redshift of  $z = 3.1$  by matching the number density and the angular correlation function between mock and observed fields. We explicitly take into account the cosmic variance on the typical observed field size by constructing mock fields from a large cosmological N-body simulation matching the observational geometries. To populate the halos in the simulation we use a model where a dark matter halo with mass in the range  $M_{\min} < M_h < M_{\max}$  can only host one LAE with a probability  $f_{\text{occ}}$ . We find that the large scatter in the mocks, induced by cosmic variance, makes the number density and spatial clustering information insufficient to derive a unique and narrow set of values for the parameters in the model. In particular, it is always possible to find a model that is consistent with observations and has any desired occupation fraction in the range  $0.1 \leq f_{\text{occ}} \leq 1.0$ . Nevertheless, in the models we can define three different families of parameters based on their mass range,  $\Delta M \equiv \log_{10} M_{\max} - \log_{10} M_{\min}$ , and occupation fraction,  $f_{\text{occ}}$ . The dominant family is composed by models with a narrow mass range  $\Delta M < 1.0$  dex, a low occupation fraction  $f_{\text{occ}} \leq 0.3$  and a maximum mass  $M_{\max} < 10^{12} h^{-1} M_{\odot}$ . The existence of this dominant family gives support to the idea that the most massive dark matter halos at that epoch do not host the brightest LAEs and that only a small fraction of star forming galaxies can be actually detected as LAEs.

**Key words:** cosmology: theory cosmology: large-scale structure of universe galaxies: formation galaxies: high-redshift galaxies: statistics galaxy: haloes

## 1 INTRODUCTION

Lyman- $\alpha$  emitting galaxies (LAEs) have become in the last decade a central topic in studies of structure formation in the Universe. They are helpful in a diverse range of fields. LAEs can be used as probes of reionization (Dijkstra et al. 2011), tracers of large scale structure (Koehler et al. 2007), signposts for low metallicity stellar populations, markers of the galaxy formation process through cosmic history (Forero-Romero et al. 2012) and tracers of active star formation (Guaita et al. 2013).

At the same time, theoretical and observational developments have contributed to the emergence of a paradigm to describe structure formation in a cosmological context. In this context it is considered that dominant matter content of the Universe is to be found in dark matter (DM), whereby each galaxy is hosted by larger dark matter structure known as a halo. (Peebles 1980; Springel et al. 2005).

Most models of galaxy formation find that halo mass can be used to predict galaxy properties such as the stellar

mass and star formation rate (Behroozi et al. 2012). Processes that regulate the star formation cycle are also thought to be strongly dependent on its mass. For these reasons, finding the typical dark matter halo mass hosting LAEs represents a significant step forward to understand the nature of this galaxy population in the context of Lambda Cold Dark Matter ( $\Lambda$ CDM) paradigm.

Some theoretical approaches to this problem have been based on ab-initio approach. Starting from the DM halo population, the corresponding intrinsic star formation properties are inferred together with other statistics such as the luminosity function, the correlation function and the equivalent width distributions. Such modelling has been implemented from analytic considerations, semi-analytic models and full N-body hydrodynamical simulations (Laursen & Sommer-Larsen 2007; Dayal et al. 2009; Forero-Romero et al. 2011; Yajima et al. 2012; Orsi et al. 2012; Walker-Soler et al. 2012).

In addition to the uncertainties in the astrophysical pro-

cesses describing star formation in galactic populations, is the calculation of the fraction of Ly $\alpha$  photons that escape the galaxy to the observer is another debated step. Given the resonant nature of the Ly $\alpha$  line, the radiative transfer of Ly $\alpha$  is sensitive to the density, temperature, topology and kinematics of the neutral Hydrogen in the interstellar medium (ISM). (Neufeld 1991; Forero-Romero et al. 2011; Dijkstra & Kramer 2012; Laursen et al. 2013; Orsi et al. 2012).

This problem can be tackled with Monte Carlo simulations for the radiative transfer, however the complexity of the relevant physical processes makes it difficult to achieve a robust consensus on what is the theoretical expected value for the Ly $\alpha$  escape fraction at high redshift.

Another approach to infer the typical DM halo mass of halos hosting LAEs is based on the spatial information. This uses the fact that in cold dark matter cosmologies the spatial clustering of galaxies on large scales is entirely dictated by the halo distribution (Colberg et al. 2000), which in turn has a strong dependence on halo mass. Using measurements of the angular correlation function of LAEs, observers have put constraints on the typical mass and occupation fraction of the putative halos hosting these galaxies (Hayashino et al. 2004; Gawiser et al. 2007; Nilsson et al. 2007; Ouchi et al. 2010). In this studies the observations were done on single fields of  $\sim 1 \text{ deg}^2$  and the conclusions derived on the halo host mass from clustering information do not delve to deeply into the impact of cosmic variance.

Recently Yamada et al. (2012) observed a wide area a bit more than ten times the sky area covered so far by individual campaigns. The observations were done with the same instrument and same criteria to reduce the observational data and produce LAE catalogs. This large data-set allows us to make the first study on the expected cosmic variance effects in LAEs at  $z = 3.1$  and its impact on the determination of the halo mass and occupation fraction.

In this paper to study the impact of cosmic variance, we implement a method to populate the DM halos in cosmological simulations with LAEs. This approach bypasses all the uncertainty involved in the estimation of star formation rates and Ly $\alpha$  escape fraction. The model only considers whether a DM can host a detectable LAE or not. It does not predict a Ly $\alpha$  luminosity. Once the mock catalogs are constructed we proceed with a direct comparison against the statistics derived from observations. This allows us to find the preferred mass range of DM halos hosting these galaxies and its occupation fraction.

This paper is structured as follows. In the next section we present the simulation and model used to produce the mock catalogs and the criteria we use to compare them against observations. In SS3 we present the main results for the halo mass and occupation fraction. We continue with a discussion of these results under the light of other observational and theoretical results. Finally, we present our conclusions in SS5.

Throughout this paper we assume a  $\Lambda$ CDM cosmology with the following values for the cosmological parameters,  $\Omega_m = 0.27$ ,  $\Omega_\Lambda = 0.73$  and  $h = 0.70$ , corresponding to the matter density, vacuum density and the Hubble constant in units of  $100 \text{ km s}^{-1} \text{ Mpc}^{-1}$ .

## 2 METHODOLOGY

Our method is based on the comparison of observations and mock catalogs. We use two different kinds of statistics to perform the comparison: (i) the distribution of the surface number density across fields and (ii) the angular correlation function measured in some fields.

In the next subsections we describe in detail the four key elements of this work-flow. First, we present the observations we take as a benchmark. Second, we describe the main characteristics of the N-body simulation and the halo catalogs we use. Third, we recount the important parameters of the simplified model that we use to populate the halo catalogs with LAEs. Finally we describe some of the statistical tests we adopt to compare observations and mocks.

### 2.1 Observational Constraints

The first observational constraint we use in this paper is the LAE number density information at  $z = 3.1$  obtained by the panoramic narrow-band survey presented by Yamada et al. (2012) from a survey conducted with the Subaru 8.2m telescope and the Subaru Prime Focus Camera, which has a field of view covering  $34 \times 27 \text{ arc-min}$ , corresponding to a comoving scale of  $46 \times 35 \text{ Mpc } h^{-1}$  at  $z = 3.09$ . The narrow band filter used in the survey is centered at  $4977 \text{ \AA}$  with  $77 \text{ \AA}$  width, corresponding to the redshift range  $z = 3.062 - 3.125$  and  $41 \text{ Mpc } h^{-1}$  comoving scale for the detection of the Lyman- $\alpha$  line centered at  $z = 3.09$ . The authors reported a total 2161 LAEs with an observed equivalent width larger than  $190 \text{ \AA}$  over a total survey area of  $2.42 \text{ deg}^2$  that includes 12 sub-fields, this corresponds to average surface number density of  $0.20 \pm 0.01 \text{ arcmin}^{-2}$ .

The survey covered four independent fields. The first is the SSA22 field of  $1.38 \text{ deg}^2$  with 1394 detected LAEs (7 sub-fields), this field has been known to harbor a region with a large density excess of galaxies. The second observed region is composed by the fields Subaru/*XMM-Newton* Deep Survey (SXDS)-North, -Center and -South, with a total of  $0.58 \text{ deg}^2$  and 386 LAEs (3 sub-fields). The third and fourth fields are the Subaru Deep Field (SDF) with  $0.22 \text{ deg}^2$  and 196 LAEs (1 sub-field), and the field around the Great Observatory Optical Deep Survey (GOODS-N) with  $0.24 \text{ deg}^2$  and 185 LAEs (1 sub-field).

There is abundant observational work done on LAEs at redshift  $z = 3.1$  (Kudritzki et al. 2000; Matsuda et al. 2005; Gawiser et al. 2007; Nilsson et al. 2007; Ouchi et al. 2008). However, we decide to focus on the data from Yamada et al. (2012) because it has the largest covered area with homogeneous instrumentation conditions (telescope, narrow band filter), data reduction pipeline and conditions to construct the LAE catalog. This ensures that the number density variations among fields are *not* due to different observational conditions or criteria to construct the catalogs.

The second constraint is the angular correlation function (ACF). Yamada et al. (2012) does not report an ACF measurement. Instead we use the results by Hayashino et al. (2004) and Ouchi et al. (2008, 2010). Hayashino et al. (2004) observed in the densest field of SSA22 while Ouchi et al. (2008) observed on a  $1 \text{ deg}^2$  sky of the SXDS Field. There are some differences between these observations and those by Yamada et al. (2012). The details in the color selection,

corresponding limiting luminosities and EW thresholds are different in these references. Nevertheless we use these observations as additional constraints in spite of the fact that the first selected models are based only on the surface density statistics by (Yamada et al. 2012).

## 2.2 Simulation and Halo Catalogs

The Bolshoi simulation (Klypin et al. 2011) we use in this paper was performed in a cubic volume of  $250 h^{-1}$  Mpc on a side. The dark matter distribution is sampled using  $2048^3$  particles, which translates into a particle mass of  $m_p = 1.35 \times 10^8 h^{-1} M_\odot$ . The cosmological parameters are consistent with a WMAP5 and WMAP7 data with a matter density  $\Omega_m = 0.27$ , cosmological constant  $\Omega_\Lambda = 0.73$ , dimensionless Hubble constant  $h = 0.70$ , slope of the power spectrum  $n = 0.95$  and normalization of the power spectrum  $\sigma_8 = 0.82$  (Komatsu et al. 2009; Jarosik et al. 2011).

We use halo catalogs constructed with a Friend-of-Friends (FOF) algorithm with a linking length of 0.17 times the inter-particle distance. The catalogs were obtained from the publicly available Multidark database<sup>1</sup> (Riebe et al. 2011). For each halo in the box we store its position in the box (3-D coordinates) and FOF mass. We focus our work on halos more massive than  $1 \times 10^{10} h^{-1} M_\odot$  that are resolved with at least 70 particles, the reasons for this choice are explained in the next sub-section.

## 2.3 A Model to Populate Halos with LAEs

We assume that a dark matter halo can only host one detectable LAE at most. There are three parameters that decide whether a halo host a LAE: the lower and upper bounds for the mass range  $M_{\min} < M_h < M_{\max}$  where LAEs reside and the fraction  $f_{\text{occ}}$  of such halos that host a detectable LAE. The reader must keep in mind that the physical interpretation of the occupation fraction  $f_{\text{occ}}$  convolves two phenomena: the presence of a star forming galaxy in a halo and its detectability as a LAE. We do not perform an explicit modeling for LAE detectability. Our model does not assign a luminosity or escape fraction to each LAE. We are only interested in constraining the halo mass range hosting detectable LAEs under the conditions defined by Yamada et al. (2012).

In what follows we note by the letter  $\mathcal{M}$  a model defined by a particular choice of the three scalar parameters  $M_{\min}$ ,  $M_{\max}$  and  $f_{\text{occ}}$ . For each model  $\mathcal{M}$  we create a set of mock fields from disjoint volumes in the simulation. Each volume has the same geometry probed by Suprime-CAM and the narrow band filter, namely rectangular cuboids of dimensions  $46 \times 35 \times 41 h^{-3}$  Mpc<sup>3</sup> where the last dimension goes in the redshift direction. This corresponds to a total area of 880 arcmin<sup>2</sup> in each mock field. We construct a total  $5 \times 7 \times 6 = 210$  of such volumes from a snapshot in the Bolshoi simulation. In each mock field a LAE is assigned to the position of a dark matter halo if the halo mass is in the range allowed by the model  $M_{\min} < M_h < M_{\max}$  and

a random variable taken from an homogeneous distribution  $0 \leq \xi < 1$  is smaller than the occupation fraction  $\xi < f_{\text{occ}}$ .

Next we construct mock surveys by making groups of 12 mock fields out of the 210 available volumes. In total 15 mock surveys are constructed for each model  $\mathcal{M}$ . The grouping of the 12 mock fields into a mock catalog is done in two different ways. The first is called `match`, it follows the clustering of the observational fields. From the 12 mock fields, 7 are constructed from contiguous fields in the simulation to mimic the SSA22 region, 3 are also contiguous between them but not to the first 7 fields to mimic the SXDS fields and finally 2 non-contiguous fields to imitate the SDF and GOODS-North field. The second way to group the mock fields is called `random`, whereby all the 12 fields are selected in such a way as to avoid that any two volumes are contiguous. In this paper we only report the results obtained by the `match` method and mention explicitly differences observed with the `random` selection.

## 2.4 Exploring and Selecting Good Models

We make a thorough exploration of the parameter space for the models  $\mathcal{M}$ .  $\log_{10} M_{\min}$  takes 30 values from 10.0 up to 12.9 with an even spacing of 0.1 dex.  $\log_{10} M_{\max}$  takes values in the same range as  $\log_{10} M_{\min}$  only with a displacement of 0.1 dex in the whole range. The occupation fraction  $f_{\text{occ}}$  takes 10 different values from 0.1 to 1 regularly spaced by 0.1. In total the number of different models  $\mathcal{M}$  that are explored is  $30 \times 30 \times 10 = 9000$ .

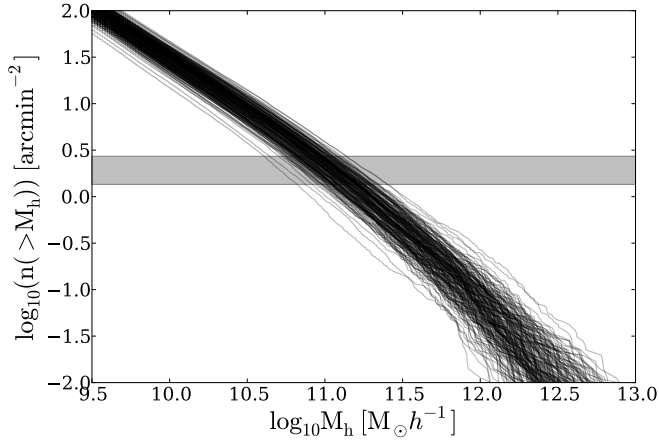
The lower limit for the parameter  $M_{\min}$  is set by the minimum occupation fraction we are able to consider. At  $M_{\min} = 10^{10} h^{-1} M_\odot$  the halo number density is already  $\sim 10$  times higher than the observational constraints for LAEs. This means that models in that mass range and an occupation fraction  $f_{\text{occ}} = 0.1$  have the possibility to be compatible with observations. Lower values for  $M_{\min}$  require  $f_{\text{occ}} < 0.1$ , which are not considered in this paper.

For each mock survey generated in a given model  $\mathcal{M}$  we compute the surface density in the 12 mock fields. We perform a Kolmogorov-Smirnov (KS) to compare this mock data against the 12 observational values. From this test we obtain a value  $0 < P < 1$  to reject the null hypothesis, namely that two data sets come from the same distribution. In this paper we consider that for values  $P > 0.05$  the two distributions can be thought as coming from the same distribution.

We begin by considering that a model  $\mathcal{M}$  that has at least one mock survey (out of 15) consistent with the observed distribution of LAE number densities has viable parameters that deserve to be considered for further analysis. Later on we impose harder constraints to reduce the number of models by asking that all the 15 mocks to be consistent with observations.

The second constraint comes from the angular correlation function (ACF) for all the models having the 15 mock surveys consistent with observations. The ACF is computed (using the Davis & Peebles estimator (Davis & Peebles 1983)) only on the densest sub-field in all the 15 mock surveys corresponding to the SSA22 region. We then take the mean ACF over the 15 mock surveys for each model as well as its standard deviation to include the effect of cosmic variance.

<sup>1</sup> <http://www.multidark.org/MultiDark/>



**Figure 1.** Surface density of dark matter halos as a function of a minimum halo mass to count the total number of elements in a volume. Each line represents one of the 210 volumes of dimensions  $46 \times 35 \times 41 \text{ h}^{-1} \text{Mpc}^3$  in the Bolshoi simulation. The horizontal gray band represents the range of surface densities observed for LAEs at  $z = 3.1$  as reported by (Yamada et al. 2012).

We finally compare the observational ACF and the mean mock ACF of the models in terms of the angular correlation length ( $\theta_0$ ) by fitting them to a power-law function:

$$\omega(\theta) = \left( \frac{\theta}{\theta_0} \right)^{-\beta} \quad (1)$$

Both mock and observational ACF are derived by a least square minimization procedure. The observational errors of the ACF as well as the standard deviation of the mean modeled ACFs are considered to compute the error in the fitted parameters to ensure ourselves to include poissonian and cosmic-variance errors. We consider that a model is consistent with observations if the two parameters  $\beta$  and  $\theta_0$  are equal within a  $1\text{-}\sigma$  range.

### 3 RESULTS

The main purpose of this section is to show how different observational constraints narrow down the parameters space of allowed models. Each sub-sections presents the effect of adding new pieces of observational or statistical evidence.

#### 3.1 Dark Matter Halo Number Density

In Figure 1 we present the results for the integrated dark matter halo surface density as a function of halo mass. Each line corresponds to one of the 210 sub-volumes in the Bolshoi simulation. The gray band indicates the surface density values for LAEs allowed reported in observations (Yamada et al. 2012).

This result provides the basis to understand why only a specific range of models  $\mathcal{M}$  can be expected to be consistent with observations. From Figure 1 we can read that models with a minimum mass  $\log_{10} M_{\min} > 11.5 h^{-1} \text{M}_{\odot}$  will always have a surface number density lower than the observational

constrain. This makes impossible that models in that range can be compatible with observations, there are simply too few halos compared to observed LAEs.

The opposite is true in models with  $\log_{10} M_{\min} < 10.5$  that have a surface number density larger than observations. In those cases the occupation fraction  $f_{\text{occ}} < 1.0$  can be tuned in order to lower the halo number density to match observations.

Figure 1 also illustrates the impact of cosmic variance. At fixed mass there is a scatter of  $0.3 - 0.6$  dex in the mass range  $10^{10} h^{-1} \text{M}_{\odot} < M_{\min} < 10^{11} h^{-1} \text{M}_{\odot}$ . A consequence of this variation is that models with the same mass range and occupation fraction can have mock fields with number densities varying up to a factor of  $\sim 2 - 5$ . Also, the scatter in mocks across the simulation is comparable to the  $0.3$  dex scatter in observations.

In the same Figure 1 we see that at fixed number density, around the range allowed by observations, there is a scatter in the masses of  $0.4 - 0.5$  dex. This intrinsic scatter in the masses with a given number density adds is also included in the mock construction process, enlarging the range of possible models consistent with observations.

#### 3.2 Models consistent with the surface density distributions

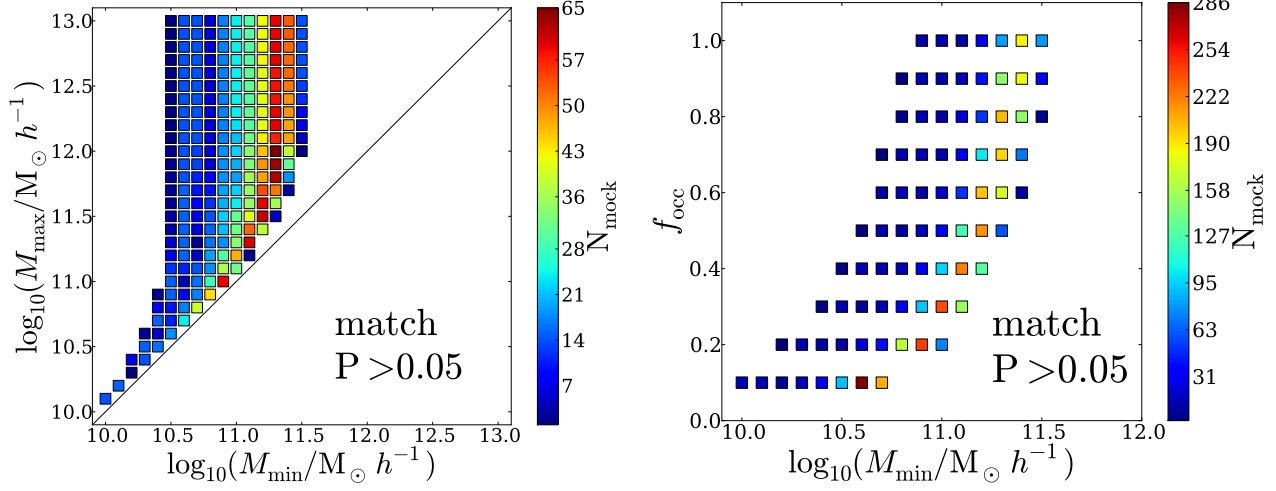
Figure 2 presents regions in parameter space  $M_{\min} - M_{\max}$ ,  $M_{\min} - f_{\text{occ}}$  where the KS test yields values of  $P > 0.05$  at least for one mock survey. For those models it is not possible to reject the hypothesis that the simulated and observed data for the surface number density come from the same parent distribution. In total, there are between 550 to 600 models out of the original 9000 models that have at least one mock survey consistent with observations.

In Figure 2 there are three regions of parameter space that can be clearly distinguished. The first region corresponds to models where the minimum mass is high  $\log_{10} M_{\min} > 11.5$ . None of these models is compatible with observations as expected from the arguments presented in the previous subsection. For these models the number density of LAEs is too low compared to observations.

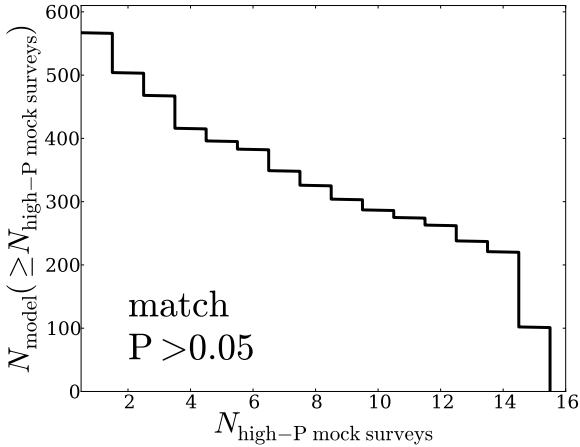
The second region corresponds to an intermediate range for the minimum mass  $10.5 < \log_{10} M_{\min} < 11.5$  where, regardless of the value of the maximum mass  $M_{\max}$ , it is possible to tune the occupation fraction  $f_{\text{occ}}$  to bring some of the mock observations into good agreement with observations. In this region of parameter space one can find two extreme kinds of models. One kind where the mass interval  $\Delta M \equiv \log_{10} M_{\max} - \log_{10} M_{\min}$  is narrow with  $\Delta M < 1.0$  dex, others where the mass interval is extended  $\Delta M > 1.0$  dex going up to the maximum halo mass present in the simulation at that redshift, up to  $\Delta M = 2.5$  dex in some cases.

The third region in parameter space corresponds to  $\log_{10} M_{\min} < 10.5$ . In this case only models with a very narrow mass interval of at most  $0.5$  dex ( $\log_{10} M_{\max} < 11.0$ ) and low occupation fractions  $f_{\text{occ}} < 0.3$  are allowed.

Without any additional information our method allows us to infer that most of the successful models are found in the second and third regions of parameter space. This result was already expected from halo abundance calculations shown in Figure 1 and discussed in the previous subsection.



**Figure 2.**  $M_{\min}$ - $M_{\max}$  (left) and  $M_{\min} - f_{\text{occ}}$  (right) planes for all models with KS test values  $P > 0.05$ . The color code corresponds to the number of mock surveys compatible with observations. Only regions of parameter space with at least one consistent mock survey are included.



**Figure 3.** Number of models with at least  $N_{\text{high-P}}$  mock surveys consistent with the observed surface number density distribution in terms of the KS-test values  $P > 0.05$ . Only  $\sim 100$  models have all their mocks consistent with observations. .

However, the additional information we gain with this test is the relative abundance of models in the parameter space. Not all the models in the second region have an equal abundance. By inspection of Figure 2 it seems that models with  $\log_{10} M_{\min} \sim 10^{11.3} h^{-1} M_{\odot}$  and low occupation fraction  $f < 0.3$  are preferred. In the next sub-section we explore in detail the models in this region, imposing tighter constraints on the KS test results and exploring the mocks' consistency with the angular correlation function.

### 3.3 Models with the largest number of consistent mock surveys

For each model  $\mathcal{M}$  there are 15 different mock surveys. In the previous section we presented the models that had at least one mock survey with  $P > 0.05$ . Figure 3 shows the number of models that have at least  $N_{\text{high-P}}$  mocks with  $P > 0.05$  for both the **match** and **random** methods. This shows that there are  $\sim 100$  models with all the 15 mock survey realizations with  $P > 0.05$ .

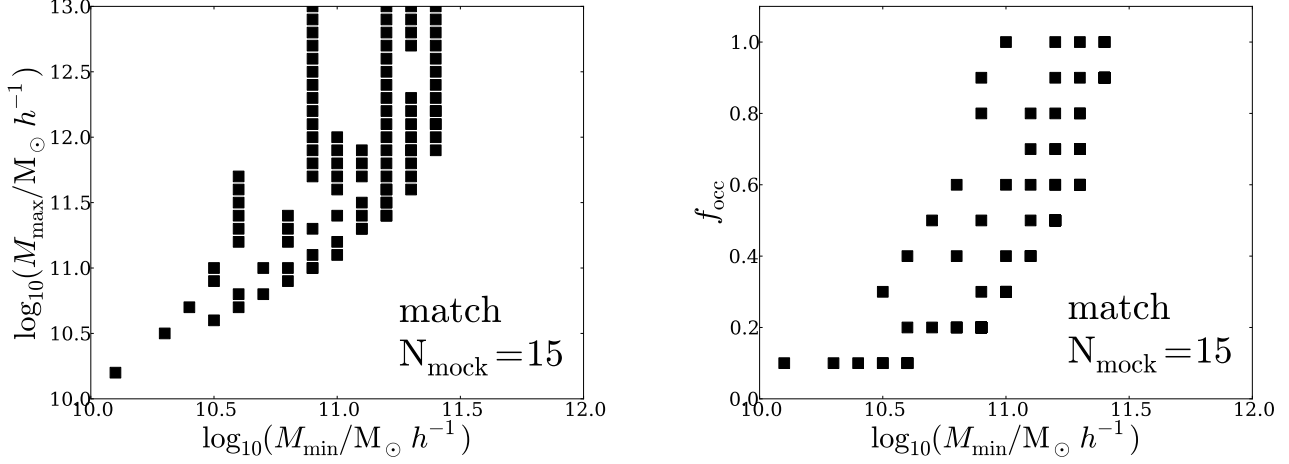
We discard the models that have 14 mocks or less consistent with observations. This cut represents a reduction by a factor of  $\sim 6$  with respect to the total number of models with at least one (1) consistent mock.

Figure 4 presents the loci of these models in the parameter space  $M_{\min} - M_{\max}$  and  $M_{\min} - f_{\text{occ}}$ . With this constraints the number of consistent models with  $10.5 < \log_{10} M_{\min} < 11.0$  are greatly reduced. This corresponds to the regions in the parameter space in Figure 2 that already had a low number of consistent mock surveys. On the other hand, from the right panel in Figure 4 one can see that there is not a strong reduction on the favored values for the occupation fraction  $f_{\text{occ}}$ .

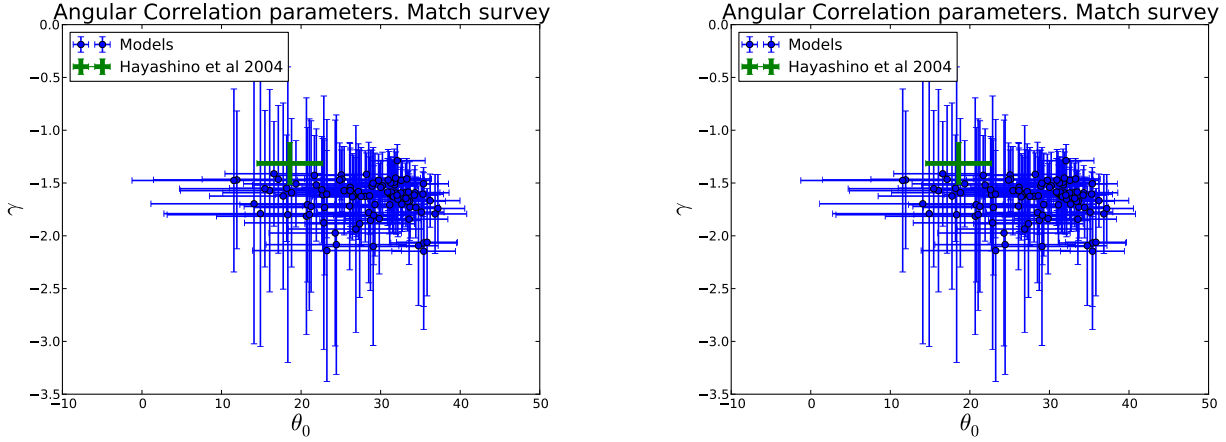
### 3.4 Consistency with the Angular Correlation Function

Figure 5 shows the main results in a  $\theta_0 - \beta$  plane where the average and standard deviation over the mocks is shown in comparison with the result derived from observations. The error bars in this Figure represents the standard deviation of the ACF over all the 15 mock fields used to make the computation. These error bars are larger than the statistical uncertainty from the fitting procedure on a single field.

In the left panel Figure ?? we see how the observational ACF measured by Hayashino et al. (2004) (green dot) is successful in reducing the total number of possible models.



**Figure 4.** Favored regions in parameter space when the constraints on the maximal number of consistent mocks is imposed. Only the results for the match method are shown.



**Figure 5.** Left: Values for the free parameters ( $\theta_0$  vs  $\beta$ ) in the fitting formula (Eq. 1) for the angular correlation function. Blue dots correspond to simulations and the green cross to observations by Hayashino et al. (2004) and Ouchi et al. (2010). The error bars in the theoretical data correspond to the standard deviation from the different mocks surveys.

Only those with angular-correlation length within  $15'' < \theta_0 < 23''$  are considered to reproduce observations. **Cual es el resultado de Ouchi para  $\theta_0$ ?**

In Figure 6 we present the preferred models in the planes  $M_{\min} - M_{\max}$  and  $M_{\min} - f_{\text{occ}}$  for the **match** method. To make this selection we consider that a model is consistent with observations if there is a  $1 - \sigma$  overlap between both the correlation length  $\theta_0$  and the power  $\beta$ . Comparing this Figure with the results shown in Figure 4 we see that the models that were removed were those with highest minimal masses  $M_{\min} > 10^{11.2}$ , for all the values of  $M_{\max}$ , with preferentially high occupation fractions of  $f_{\text{occ}} > 0.5$ .

#### 4 DISCUSSION

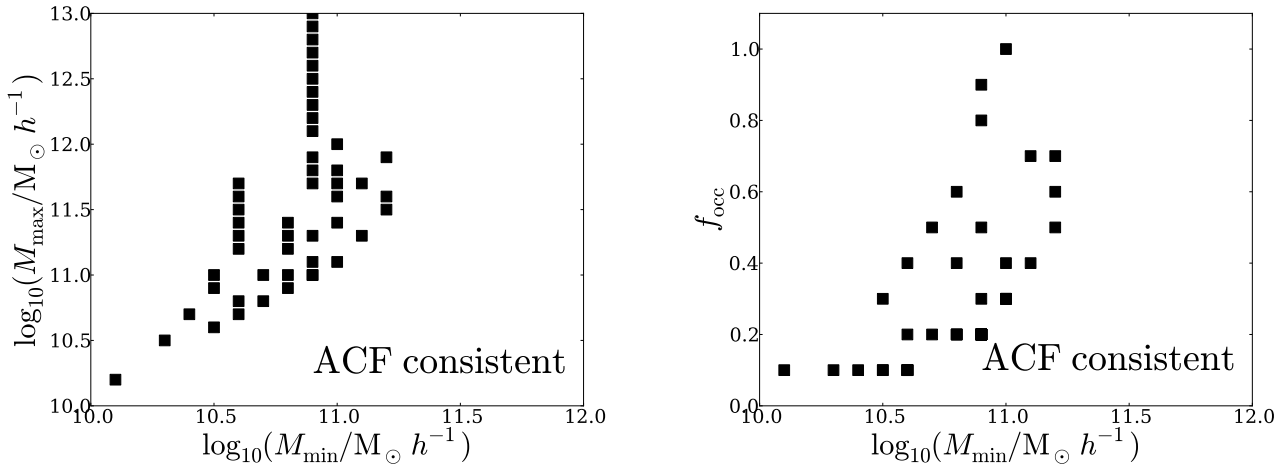
In the Results Section we start by matching the rough numbers for the galaxy surface number density. This sets the median mass of all successful models to the broad range

$(10^{10} - 10^{12}) h^{-1} M_{\odot}$  for  $M_{\min}$  and  $M_{\max}$ . We include additional criteria on the number of mock surveys that must be consistent observations and the information from the angular correlation function, reducing the number of feasible models. We end up with  $\sim 50$  models out of the initial 9000 possible combination of parameters.

In order to make a physical interpretation of these models we use the halo mass range, defined as  $\Delta M = \log_{10} M_{\max} - \log_{10} M_{\min}$ , together with the occupation fraction  $f_{\text{occ}}$  to classify all the successful models into three families:

- (1) Low  $f_{\text{occ}} \leq 0.3$  and low  $\Delta M \leq 1.0$  dex: 24 models.
- (2) Low  $f_{\text{occ}} \leq 0.3$  and high  $\Delta M > 1.0$  dex: 11 models
- (3) High  $f_{\text{occ}} > 0.3$  and low  $\Delta M \leq 1.0$ : 14 models

There is a clear majority of models with a narrow  $\Delta M \leq 1$ , compared to the 2.5 dex of halo mass available for occupation at that redshift. Such models imply that there is



**Figure 6.** Planes  $M_{\min}$  vs  $M_{\max}$  (left),  $M_{\min}$  vs  $f_{\text{occ}}$  (right). The squares represent the models when both constraints on the maximal number of consistent mocks and the angular correlation function are included.

a cut at lower and higher halo masses that render inefficient the presence and/or detection of LAEs.

At the low mass end, such cut can be readily interpreted in terms of the minimal halo star formation rate needed to produce the minimal Ly $\alpha$  luminosity to be above a given detection threshold. However, under the reasonable assumption of star formation rate increasing with halo mass, the cut at higher halo masses requires a different justification. There are two complementary physical scenarios that can provide an explanation.

One scenario can be presented in terms of a decreasing escape fraction of Ly $\alpha$  radiation in massive systems. There are detailed models for radiative transfer that support the idea that massive galaxies with higher metallicities have larger dust contents and less concentrated ISM than lower mass systems. Due to the resonant nature of the Ly $\alpha$  line the probability of absorption of Ly $\alpha$  photons increases in massive systems, producing high absorption of Ly $\alpha$  photons but not of continuum or other non-resonant lines (Laursen et al. 2009; Forero-Romero et al. 2011).

A second scenario is that larger systems have more extended gaseous envelopes which. Again, due to resonance effects of the Ly $\alpha$  line, these systems can present a low surface brightness and a broader line, making these systems less observable in narrow band filter surveys (Laursen et al. 2009; Zheng et al. 2010).

The preference for narrow  $\Delta M$  ranges to host LAEs and the small presence of reasonable models with  $M_{\max} > 10^{12} h^{-1} M_{\odot}$  follows theoretical and observational insights where the most massive systems are not bright Ly $\alpha$  sources (Forero-Romero et al. 2012; Shapley et al. 2003).

#### 4.1 Comparison against results from galaxy surveys

We also find that 70% of the best models are found in families (1) and (2), with a low occupation fraction  $f_{\text{occ}} \leq 0.3$ . This preference goes in the same direction as the observational constraint on the escape fraction  $f_{\text{esc}} \sim 0.1 - 0.2$  derived at  $z = 2.2$  by Hayes et al. (2010) and recent the-

oretical study of observational data in a wide redshift range  $0 < z < 6$  (Dijkstra & Jeason-Daniel 2013).

The observational estimation by Hayes et al. (2010) was based on blind surveys of the H $\alpha$  and Lyman  $\alpha$  line. Using corrections by extinction to obtain an estimate for the intrinsic H $\alpha$  luminosity, and using values for the theoretical expectation of the ratio Lyman $\alpha$ /H $\alpha$  they derive an bulk escape fraction for the Lyman $\alpha$  radiation of  $f_{\text{esc}} = (5.3 \pm 3.8)\%$  or  $f_{\text{esc}} = (10.7 \pm 2.8)\%$  if a different dust correction is used.

They also showed that the luminosity function for LAEs at  $z = 2.2$  is consistent with the escape fraction being constant for every galaxy regardless of its luminosity. From this results they derive that almost 90% of the star forming galaxies emit insufficient Lyman  $\alpha$  to be detected, effectively setting the occupation fraction to be  $f_{\text{occ}} = 0.10$ .

Dijkstra & Jeason-Daniel (2013) used a similar principle to derive their results. They compared observationally derived star formation functions to LAE luminosity functions. At  $z \sim 3.0$  they derive an effective escape fraction of  $f_{\text{esc}} = (17 \pm 5)\%$  could be interpreted as an occupation fraction  $f_{\text{occ}} \sim 0.2$ . We consider a success of our method the fact that we find that most of the consistent models show a low occupation fraction.

#### 4.2 Comparison to other clustering estimates

Observational based on the ACF inferred from photometric measurements in the Extended Chandra Deep Field South have shown that the median dark matter masses of  $h$  los hosting LAEs is  $\log_{10} M_{\text{med}} = 10.9^{+0.5}_{-0.9} M_{\odot}$ , with a corresponding occupation fraction of 1 – 10% (Gawiser et al. 2007). Our results are in a general good agreement with those estimates for the host mass. This is not completely unexpected given that we have also required consistency with ACF measurements.

The novelty in our approach is that we have a detailed estimate for host halo mass range together with the escape fraction. This allows us to demonstrate that the halo mass range could be very narrow  $\Delta M < 0.2\text{dex}$ , something that cannot be inferred from ACF analysis alone.

We also find that an ACF analysis on a single data-set is not enough to rule out models with a high occupation fraction  $f_{\text{occ}} > 0.3$ , which represent almost one fourth of our best models, coinciding with a wide range in halo masses  $\Delta M > 1.0$  dex. These models cannot be considered unfavorable based on a clustering analysis only.

Ouchi et al. (2010) presents an analysis of LAE observations in the redshift interval  $3.1 < z < 7.0$ . At  $z = 3.1$  They quote an average mass for the host dark matter halos of  $M_h = 2.9^{+24.0}_{-2.9} \times 10^{10} h^{-1} M_\odot$  with a corresponding duty cycle of  $0.008 \pm 0.03$ . This broadly matches the expectations from the first family of models, also summarized in Table 1. In particular it seems to favor only the very low occupations fractions.

We conclude this section by noting that the uncertainties on the preferred masses in (Gawiser et al. 2007) and Ouchi et al. (2010) are found within the intervals  $M_{\text{min}}$  and  $M_{\text{max}}$  of our models. Under the light of our model, this implies that detected LAEs must be absent from the most massive dark matter halos at that redshift.

### 4.3 In the context of abundance matching models

The abundance matching methods are based on observational results for Lyman Break Galaxies (Behroozi et al. 2013b,a) (LBG). In the case of Behroozi et al. (2013b) the minimum halo mass considered to be relevant in their analysis is  $10^{11.4} h^{-1} M_\odot$ . They report stellar mass around  $(1.0 \pm 0.3) \times 10^{9.0} h^{-1} M_\odot$ , while their star formation rate is in the range  $0.6 \pm 0.2 \text{ Msun yr}^{-1}$ , which nevertheless are close to the lower bound of values inferred for LAEs at high redshift (Gawiser et al. 2007; Nilsson et al. 2009; Pentericci et al. 2009).

In our results, all the preferred models have a halo mass range lower than the minimum of  $M_{\text{min}} < 10^{11.4} h^{-1} M_\odot$  considered in abundance matching at  $z = 3$ . Thus confirming the expectations of LAEs to be found in less massive halos than those for LBGs.

A detailed analysis of the spectral and photometric properties of LAEs coupled to the kind of analysis performed in this paper can be a guide in the study of the properties of low mass dark matter halos at  $z = 3.1$ , extending the capabilities of abundance matching methods.

### 4.4 Caveats of our method

There are two important caveats for the work presented here. The first is the assumption of a single LAE per dark matter halo. It is expected for sub-halos in the simulation to host satellited galaxies. However, it has been found in analysis based on the shape of the correlation function [citation needed] that satellite galaxies are not a dominant population, making our initial approximation a reasonable one.

The second caveat are the precise values for the mass intervals. These numbers are defined from the halos defined in using the BDM halo finder with a density threshold of 200 times the critical density. Different halo finders and definitions for the detection density threshold can yield different masses up to a factor of 2 [citation needed].

### 4.5 On the reproducibility of our results

All the software, raw data and processed data to produce the results and plots in this paper are publicly available in a github repository<sup>2</sup>. Most of the code to produce the plots can be found as an Ipython notebook (Pérez & Granger 2007) in the same repository.

## 5 CONCLUSIONS

In this paper we look for constraints on the preferred mass and occupation fraction of dark matter halos hosting Lyman Alpha Emitters at redshift  $z = 3.1$  in a  $\Lambda$ CDM cosmology. We perform this study paying attention to the impact of cosmic variance in these results. We build a large number of mock catalogs matching observational geometries. The mocks are constructed from a N-body simulation following a simple recipe to assign a single LAE to each halo. Only a fraction  $f_{\text{occ}}$  of halos with a mass range  $M_{\text{min}} < M_h < M_{\text{max}}$  can host a LAE. We proceed with a thorough exploration of the space of free parameters  $M_{\text{min}}, M_{\text{max}}$  and  $f_{\text{occ}}$  to find mocks that are consistent with two observational constraints: the surface number density and the angular correlation function. Out of the initial 9000 combinations of parameters in the model we find 49 arrangements consistent with observations.

The successful parameter arrangements present a wide range of possibilities for DM hosting LAE hosts at  $z = 3.1$ . This means that the available LAEs' spatial information is not sufficient to put a tight constraint on the mass and occupation fraction of DM halos hosting LAEs. In particular it is not possible to put a strong constraint on the occupation fraction. Such a wide range in solutions is facilitated by the large dispersion in the statistics derived from the mocks. All the halo mass ranges and occupation fractions deduced in previous analysis in previous analysis (i.e. Gawiser et al. 2007; Ouchi et al. 2010) are a subset of the models we find in this paper.

Nevertheless, the successful models can be split into three families depending the mass range  $\Delta M = \log_{10} M_{\text{max}} - \log_{10} M_{\text{min}}$  and the occupation fraction  $f_{\text{occ}}$ . The first family is narrow both in  $\Delta M$  and  $f_{\text{occ}}$ , a second family is only narrow in  $\Delta M$  and the third is narrow in  $f_{\text{occ}}$  and broad in  $\Delta M$ .

We find a large number of models with a narrow mass range  $\Delta M < 1.0$  dex that are consistent in every aspect with the spatial distribution of observed LAEs. In order to have this characteristic it is required that halos around the mass  $M_{\text{max}}$  for each model become inefficient in hosting detectable LAEs. Two conditions can explain this. The first is having LAEs with a decreasing Ly $\alpha$  escape fraction with increasing mass, the second is having larger screening effects by neutral Hydrogen around the most massive systems (Laursen et al. 2009; Forero-Romero et al. 2011).

A third aspect that stands out is the existence of a dominant family of models with narrow  $\Delta M < 1.0$ , as described before, and also a low occupation fraction  $f_{\text{occ}} < 0.3$ . This gives support to the common wisdom stating that only a

<sup>2</sup> <https://github.com/forero/CosmicVarianceLAES>



$\log_{10} M_{\min}$	$\log_{10} M_{\max}$	$f_{\text{occ}}$
10.1	10.2	0.1
10.3	10.5	0.1
10.4	10.7	0.1
10.5	10.6	0.3
10.5	10.9	0.1
10.5	11.0	0.1
10.6	10.8	0.2
10.6	11.2	0.1
10.6	11.3	0.1
10.6	11.4	0.1
10.6	11.5	0.1
10.6	11.6	0.1
10.7	11.0	0.2
10.8	11.2	0.2
10.8	11.3	0.2
10.8	11.4	0.2
10.9	11.3	0.3
10.9	11.7	0.2
10.9	11.8	0.2
10.9	11.9	0.2
11.0	11.6	0.3
11.0	11.7	0.3
11.0	11.8	0.3
11.0	12.0	0.3

**Table 1.** List of parameters for the first family of models. Narrow mass range  $\Delta M \leq 1.0\text{dex}$  and low occupation fraction  $f_{\text{occ}} \leq 0.3$ .

small fraction of star forming galaxies can be detected as strong LAEs.

However, there are extreme cases with a very narrow  $\Delta M < 0.3$ , meaning that there is barely a factor of  $\sim 2$  between the minimum and halo mass. If these models turn out to be confirmed, this would be a great challenge for galaxy formation models to explain how is that LAEs can be hosted in such a narrow range of halo mass.

In summary, we show how current observations of LAEs cannot put a tight constraint on the physical models. We foresee that the new observations with new instruments (such as MUSE, Hyper SuprimeCam and HETDEX) covering larger fields and a wider range of luminosities will be key in imposing tighter constraints on the properties of dark matter halos hosting LAEs.

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$\log_{10} M_{\min}$	$\log_{10} M_{\max}$	$f_{\text{occ}}$
10.6	10.7	0.4
10.7	10.8	0.5
10.8	10.9	0.6
10.8	11.0	0.4
10.9	11.0	0.8
10.9	11.0	0.9
10.9	11.1	0.5
11.0	11.1	1.0
11.0	11.4	0.4
11.1	11.3	0.7
11.1	11.7	0.4
11.2	11.5	0.7
11.2	11.6	0.6
11.2	11.9	0.5

**Table 2.** List of parameters for the second family of models. Narrow mass range  $\Delta M \leq 1.0\text{dex}$  and high occupation fraction  $f_{\text{occ}} > 0.3$ .

$\log_{10} M_{\min}$	$\log_{10} M_{\max}$	$f_{\text{occ}}$
10.6	11.7	0.1
10.9	12.1	0.2
10.9	12.2	0.2
10.9	12.3	0.2
10.9	12.4	0.2
10.9	12.5	0.2
10.9	12.6	0.2
10.9	12.7	0.2
10.9	12.8	0.2
10.9	12.9	0.2
10.9	13.	0.2

**Table 3.** List of parameters for the third family of models. Broad mass range  $\Delta M > 1.0\text{dex}$  and low occupation fraction  $f_{\text{occ}} \leq 0.3$ .

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