Parallel Implementation of Eigenfaces for Face Recognition on CUDA

Dissertation

Submitted in partial fulfillment of the requirement for the degree of

Master of Technology in Computer Engineering

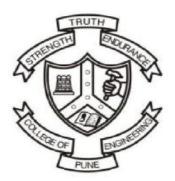
By

Manik R. Kawale

MIS No: 121222015

Under the guidance of

Dr. Vandana Inamdar



Department of Computer Engineering and Information Technology

College of Engineering, Pune

Pune – 411005

June, 2014

DEPARTMENT OF COMPUTER ENGINEERING AND INFORMATION TECHNOLOGY, COLLEGE OF ENGINEERING, PUNE

CERTIFICATE

This is to certify that the dissertation titled

Parallel Implementation of Eigenfaces for Face Recognition on CUDA

has been successfully completed

By

Manik R. Kawale

MIS No: 121222015

and is approved for the partial fulfillment of the requirements for the degree of

Master of Technology, Computer Engineering

Dr. Vandana Inamdar
Project Guide,
Department of Computer Engineering
and Information Technology,
College of Engineering, Pune,
Shivaji Nagar, Pune-411005.

Head,
Department of Computer Engineering
and Information Technology,
College of Engineering, Pune,
Shivaji Nagar, Pune-411005.

Dr. J. V. Aghav

June 2014

Acknowledgments

I express my sincere gratitude towards my guide Dr. Vandana Inamdar for her constant help, encouragement and inspiration throughout the project work. Without her invaluable guidance, this work would never have been a successful one. I am extremely thankful to Dr. J. V. Aghav for providing me infrastructural facilities to work in, without which this work would not have been possible. Last but not least, I would like to thank my family and friends, who have been a source of encouragement and inspiration throughout the duration of the project.

Manik R. Kawale

College of Engineering, Pune

Abstract

Face has significant role in identifying a person. Face recognition has many real world applications including surveillance and authentication. Due to complex and multidimensional structure of face it requires huge computations therefore fast face recognition is required. One of the most successful template based techniques for face recognition is Principal Component Analysis (PCA) which is generally known as eigenface approach. It suffers from the disadvantage of higher computation cost, despite its better recognition rate. With the increase in number of images in training database and also the resolution of images, the computational cost also increases.

Graphics Processing Unit (GPU) is the solution for fast and efficient computation. GPUs have massively parallel multi-threaded environment. With the use of GPU's parallel environment, a problem can be solved in parallel with much less time. NVIDIA has released a parallel programming framework CUDA (Compute Unified Device Architecture), which supports popular programming languages with CUDA extension for GPU programming.

A parallel version of eigenface approach for face recognition is developed using CUDA framework.

Contents

Cer	tificate	e	i
Acl	knowle	edgement	ii
Abs	stract		iii
List	of Fig	gures	vi
List	t of Ta	bles	vii
1.	Intro	duction	1
	1.1	Biometric	1
	1.2	Introduction to Face Recognition	1
	1.3	Introduction to Parallel Computing	3
	1.4	GPU	5
	1.5	CUDA	7
2	Liter	ature Survey	11
	2.1	Eigenface Approach	11
	2.2	Related Work	13
3	Prob	lem Statement	14
	3.1	Motivation	14
	3.2	Problem Statement	14
	3.3	Objective	14
4	Impl	ementation	15
	4.1	Parallel Implementation	15

5	Result		20
6	Conclusion and Future Work		
	5.1	Conclusion	25
	5.2	Future Work	25
Bib	liograp	phy	

List of Figures

1.1	Steps involved in Face recognition	2
1.2	Modern GPU Architecture	6
1.3	Execution of CUDA Program	7
2.1	Sample Eigenfaces	11
4.1	System Architecture	16
5.1	Speedup of Individual modules	23
5.2	Training Phase Speedup	24
5.3	Transfer Time	24

List of Tables

5.1	System Specification	20
5.2	Execution Time & Speedup of Normalization Module	21
5.3	Execution Time & Speedup of Covariance Module	21
5.4	Execution Time & Speedup of Jacobi Module	21
5.5	Execution Time & Speedup of Eigenface Module	22
5.6	Execution Time & Speedup of Weights Module	22
5.7	Execution Time & Speedup of Recognition Module	22
5.8	Execution Time & Speedup of Training Phase	23

Introduction

1.1 Biometric

The world "biometrics" came from Greek words "bio" means life and "metrics" means to measure. Biometric is the process of identification of humans with the use of measurable biological characteristics or trait. In computer science, biometric is used for authentication and access control.

User authentication can be done in three ways [6]:

- Something you know (password or pin)
- Something you have (key or id card)
- Something you are i.e. biometrics (your face, voice, fingerprint or DNA)

Advantages of biometrics over other authentication techniques are that they cannot be forgotten or lost. Also, biometrics characters are unique to individual humans so they are more difficult to fake. There are many types of biometrics systems including face recognition, fingerprint recognition, iris recognition etc.

1.2 Introduction to Face Recognition

Face recognition is one of the important methods of biometric identification. Developing a face recognition model continues to be an extremely fascinating field for many researchers mainly because of its many real world applications like criminal identification, user authentication, security systems and surveillance systems. However, due to its complex and multidimensional structure, it is difficult to develop a face recognition model.

The face recognition process basically involves four steps image acquisition, image preprocessing, feature extraction and classification as shown in figure 1.1.

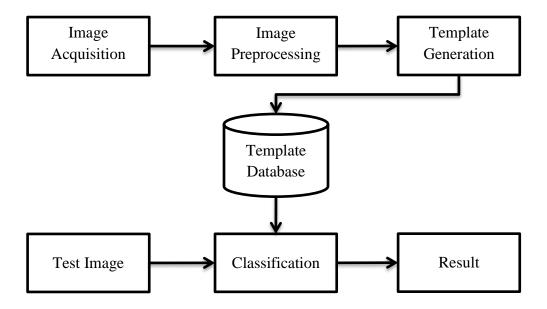


Figure 1.1 Steps involved in Face Recognition

Image acquisition is the first step in face recognition which involves reading an input image from disk or camera and locating face in that image. In image pre-processing step, image enhancement techniques are applied on input image like histogram equalization, sharpening and smoothing. Generally a combination of several image enhancement techniques is applied to get the best result.

In feature extraction step, a feature vector unique to the image is generated by analyzing the face and a template is created. In the final step, a test image is classified into either one of the known face or unknown face using classification techniques.

The amount of computation and memory required in these face recognition steps is mainly affected by the approach used for face representation, that is, how to model a face. Depending upon the face representation approach, face recognition algorithms are classified into template based, feature based and appearance based.

i. Template based approach

In a most simple template based approach for face representation, a single template, i.e.

an array of intensities of face image, is used. Multiple templates of each face may be used in a complex method of template based approach where each template represents different viewpoint. Simplicity is the advantage of template based approach, but it has a major disadvantage of very huge requirements and inefficient matching.

ii. Feature based approach

Feature based approach uses facial features like relative position and/or size of eyes, nose and jaw to represent faces. To recognize a test face, same set of features are extracted from test image as earlier and are matched to precompiled features. The advantages of feature based approach are very little memory requirements and faster recognition speed. But in practical, it is very difficult to implement perfect feature extraction technique.

iii. Appearance based approach

Appearance based approach is somewhat similar to template based approach. In this approach a face image is projected onto a linear subspace which has much lower dimensions compared to original image dimensions. This low dimensional subspace has to be precompiled by applying Principal Component Analysis (PCA) on training face image set. Appearance based approach requires very less memory as compared to template based approach and, also, it has fast recognition rate. The major drawback of appearance based approach is that it has high computation cost.

As compared to template based and feature based approach, appearance based approach is simple and efficient except its high computation cost. If some way of lowering the computational cost is developed then appearance based approach is a good practical approach for face recognition. One way of doing this is to use the parallel environment of GPU. GPU can process huge amount of data by executing same instruction concurrently on a sub-set of data. So with GPU a faster appearance based face recognition can be implemented.

1.3 Introduction to parallel computing

Parallel computing has attracted many of the researchers in recent years, who are trying to increase the performance of various applications and algorithms with the use of parallel computing techniques. Parallel computing is being used in a number of scientific and industrial

applications from nuclear science to medical diagnosis. In computer science, it is being used for image processing, graphics rendering, data mining and various other applications. All these applications require large computation. Due to increase in computing power and storage of computers, demand for fast processing in increased.

In general, parallel computing is the use of multiple computing resources simultaneously to solve a problem. It aims to solve a problem by dividing the problem into discrete sub-problems that can be solved concurrently. Instructions from each sub-problem executes concurrently on different processor. Parallel algorithms are designed to effectively use most of the computing resources of a system. A large no of real world applications requires high computation, thus it requires the exploration of possible parallelism in the application which gives higher performance.

Parallel computing models are generally classified into four groups based on the number of instruction and data streams, as following:

i. SISD

This group of computers has single processor that executes instructions one after another. SISD computers, also called sequential, are not capable of performing parallel computing on their own.

ii. MISD

This group of computers has multiple processors. Each processor executes different set of instructions on the same set of data. There are not much MISD computers, because the problems that can be solved by MISD computer are uncommon.

iii. SIMD

This group of computers has multiple processors that performs same set of instructions, but each processor has different set of input data, thus employing data parallelism This is the most important class of parallel computing.

iv. MIMD

This group of computers has multiple processors that perform its own set of instructions. Each processor also has different set of data. This kind of system is important when different algorithms have to be executed on different sets of data.

GPU uses data parallelism, thus employing SIMD architecture. GPUs have hundreds of threads that can process large amount of data simultaneously.

Speedup

The performance improvement of a parallel algorithm is defined in terms of speedup. Speedup is the ratio of execution time of the sequential algorithm to the execution time of parallel algorithm [12].

Speedup =
$$\frac{T_s}{T_p}$$

 T_s is the execution time of sequential algorithm and T_p is the execution time of parallel algorithm.

1.4 GPU Architecture and CUDA

The continuous development of computer graphics and the multi-billions gaming industry are the primary driving forces for the development of high performance graphics cards. Graphics cards were mainly developed for the purpose of accelerating graphics rendering on gaming consoles, personal computers and mobile phones. Graphics rendering involves generating frames rapidly for visual display. This is a highly computational process especially when frames have to be generated for real time computer games and complex encoded videos. The term Graphics Processing Unit (GPU) was introduces by NVIDIA Corporation to popularize its GeForce 256 graphics card as "the word's first GPU".

The GPUs are multi-threaded highly parallel electronics devices specially developed to perform real time graphics operations. The computing power of GPU is much higher than CPU. A high end CPU has the computing power of few gigaflops while an average GPU has the computing power of few hundreds of gigaflops. Latest high end GPUs are so powerful that it has achieved few teraflops speed which is much greater than speed of CPU. Researchers soon noted the capability of GPUs and started using it to achieved speedup in their applications. GPGPU (General Purpose Computing on GPU) or GPU computing is the use of GPUs to perform computation which is traditionally done by CPU.

CPUs have few cores that are optimized to perform sequential computing while GPUs have thousands of cores which are specially designed for parallel processing. So a significant speedup can be achieved by executing high computational work on GPU while rest of code in CPU. Researchers have used GPU computing to accelerate various engineering and scientific problems.

1.4.1 GPU Architecture

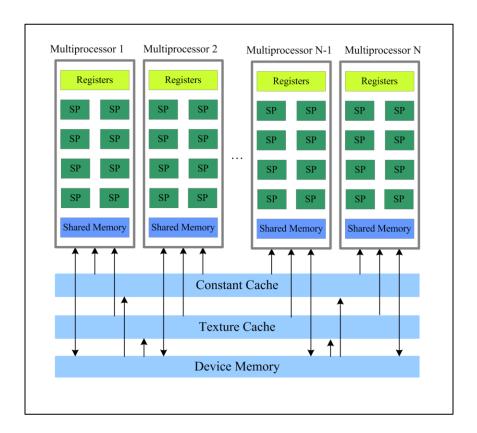


Figure 1.2 Modern GPU Architecture

A CUDA capable GPU consists of a set of streaming multiprocessors (SMs) as shown in figure 1.2. Each streaming multiprocessor has a number of processor cores. A streaming multiprocessor processor core is known as streaming processor (SP). The number of streaming processors each streaming multiprocessor contains depends on the GPU. Generally, in modern GPU each streaming multiprocessor contains 32 streaming processors. So if a GPU has 512 cores that mean it contains 16 streaming multiprocessors each containing 32 cores or streaming processors.

The programs running on GPU are independent of architectural differences which make GPU programming scalable.

1.5 CUDA

Compute Unified Device Architecture (CUDA) is a parallel computing platform and programming model developed by NVIDIA which is implemented on GPUs they manufacture. It is a proprietary technology of NVIDIA. Before CUDA, computer graphics programmers were using shader languages such as GLSL2. Shading language deals with the computer graphics domain. So it was mandatory to learn some computer graphics terminology before developing GPU programs. CUDA provides its own libraries, compilers and supports popular programming languages like C, C++ and FORTRAN. Other third party wrappers are available for other languages like Java and Python.

1.5.1 CUDA Program Structure

A CUDA program consists of two kinds of codes, one is the standard C code which runs serially on the CPU, and the other is the extended C code which executes parallely on the GPU. In the CUDA programming model, CPU is also refers to a host, while GPU refers to a device. Figure 1.3 shows the executions of a CUDA program.

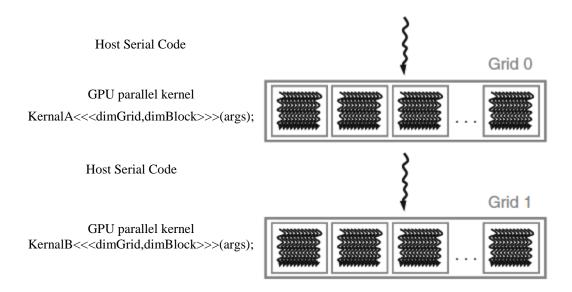


Figure 1.3 Execution of a CUDA Program

Generally, a CUDA program starts execution from the host side code, which runs serially. When it reaches a device code, such as a kernel, the program starts to execute in parallel GPU. When the parallel execution of the device code completes, the program returns the control to CPU. This allows the concurrent execution of serial code on CPU and parallel device code of GPU, especially when there is no data dependence between host code and device code [14].

CUDA programs are compiled by nvcc compiler provided by NVIDIA. It first separates the host and device code from a CUDA program. The host code is then further compiled by a standard C compiler like gcc. While nvcc further compiles the device code as well to make it able to execute in parallel on GPU. The device code uses C syntax with a few extensions, i.e. keywords, which allows nvcc compiler to split the device code from the whole program. The main differences between host code and device code are their execution pattern and memory structure. The device code is executed in parallel rather than in serial, it also uses a variety of memory types within the GPU.

The parallel execution code and different memory types are specified by the extended CUDA keywords. CUDA introduced two additional functions, kernel function and device function. The kernel function with the __global__ qualifier generates multiple threads running on GPU, and the device function with the __device__ qualifier only executes on a single thread. The execution of a parallel CUDA program is a two level hierarchal structure. The launch of a kernel invokes a grid which consists of several blocks and each block includes a large number of threads. The structure of the grid and blocks is determined at the time of invocation of a kernel. To specify dimension of grid and its blocks, kernel invocation uses <<<gri>GridDim, blockDim>>> syntax.

1.5.2 CUDA Memory Types

GPU has its own memory, and there are many types of memories in GPU's memory hierarchy. Variables in the GPU side cannot be used in the CPU side, nor can the variables on CPU side to be used on GPU side. Therefore, there must be explicit transfer of data between host and device. Two interrelated buffers which reside on GPU and CPU separately are usually allocated by a CUDA program. The host buffer stores the initial data. In order to make it available on the GPU side for parallel operation on it during the invocation of kernel, this buffer on the CPU should copy data to its corresponding buffer on the GPU. When the execution of the kernel completes

and the output data is generated, the buffer on the GPU should also transfer the outcome to its corresponding buffer on the CPU. This process is realised by the CUDA runtime function cudaMemcpy. Allocation of a buffer on a GPU is done by cudaMalloc, this buffer is dynamically allocated so it can be freed up after use by cudaFree.

There are four memory types in CUDA, Global Memor, Shared Memory, Constant Memory and Registers. Each has different access latency and bandwidth. To achieve best performance gain, each memory type should be used efficiently. Different types of variable are specified by additional CUDA keywords. The scope of variables refers to the threads that can access the variable. This is caused by the design of two level hierarchy structures of threads in CUDA programming model. A variable can either be accessed from a single thread, or it can be accessed from a block, or it can be accessed from the whole grid.

- Global Memory: Global memory has much higher latency and lower bandwidth compared to other memory spaces on GPU, although compared to CPU memory the bandwidth of global memory is many times higher. Global variables reside in global memory. Global variables are specified by an optional __device__ qualifier. It is created and operated in the host code by invoking the CUDA runtime APIs such as cudaMalloc and cudaMemcpy. Global variables have the scope of the entire grid, and their lifetime is across the entire program. The value of global variables is maintained throughout multiple invocations of different kernel functions. Global variables are particularly used to pass data between different kernel executions.
- Shared Memory: Global memory exists in DRAM on a GPU. By contrast, Shared memory is on chip memory which is much like a cache manipulated by user. Each SM has its own shared memory that is evenly partitioned in terms of the number of blocks on the SM and assigned to these blocks during runtime. Therefore, access to shared memory is much faster than global memory. Shared memory also consists of several memory banks which allow it to be accessed simultaneously by threads. This feature of shared memory yields the result that its bandwidth is several times as high as that of a single bank. Shared variables specified by __shared__ qualifier reside on shared memory. Shared variables have the scope of a block. Shared variables have the lifetime of the block.

- Constant Memory: Similar to global memory, constant memory space is in DRAM and
 can be both read and written in the host code. However, constant memory has much
 faster access speed than global memory. In the host code, constant memory only provides
 read-only access. Constant variables are specified by __constant__ qualifier, it must be
 declared outside any function body.
- Registers: Registers are another kind of on chip memory with extremely low latency. Each SM has a set of registers that are assigned to threads. Unlike shared memory that is owned by all threads in a block, registers are exclusively owned by each thread. Access to shared memory can be highly parallel due to its multiple banks, also registers can also be highly parallel because each thread has its unique registers. Variables placed into registers are part of automatic variables which refer to variables declared within a kernel or device function without any qualifier. The scope of variables is within individual thread. As the number of registers is limited, only a few automatic variables will be stored in registers. The remaining variables will reside in local memory space with the same high latency and low bandwidth as global memory.

Literature Survey

2.1 Eigenface Approach

Eigenfaces can be extracted out of an image by performing Principle Component Analysis (PCA) and Sirovich and Kirby are among the first researchers to utilize this approach [2]. They showed that any particular face can be represented along the eigenpicture coordinate space utilizing a much smaller amount of memory. Also a face can be reconstructed utilizing a small collection of eigenpictures and their corresponding projections, called coefficients, along each eigenpicture.

Principal Component Analysis (PCA) is a mathematical procedure invented by Karl Pearson [3]. It is used to reduce the dimensionality of a data set consisting of a large number of unrelated variables i.e. having redundancy. PCA gives a new set of variables called Principal Components. Principal components retains as much as possible of the variation present in the data set and are stored in decreasing order of significance, which allows even further reduction by only utilizing the top few components. Applying PCA and producing the eigenfaces reduces the number of dimensions that need to be explored by the face classifier.



Figure 2.1 Sample Eigenfaces

Eigenfaces are called so due to the fact that they look like ghostly faces as seen in figure 2.1. The eigenfaces define a feature space or face space, where the dimensionality of this new space is dramatically reduced from the original space. Having this reduced spaces saves in complexity of the classification and the storage of these images.

The mean face of the faces in training set is given in equation below, which is calculated by averaging each pixel of all the images.

$$\Psi = \left(\frac{1}{M}\right) \sum_{i=1}^{M} \Gamma_{i}$$

In above equation, M represents the number of training images and Γ_i is a training image of size N×N.

The mean adjusted image is given by following equation

$$\Phi_i = \Gamma_i - \Psi \quad \text{for } i = 1, 2, ..., M$$

The covariance matrix, denoted C, is defined as

$$C = \frac{1}{M} \sum_{i=1}^{M} \Phi_i \Phi_i^T$$

Using PCA we can obtain a set of M eigenvectors v_i and their corresponding eigenvalues λ_i of the covariance matrix C. Each of this M eigenvector corresponds to an eigenface. Only top few M' eigenvectors are selected thus reducing the eigenface space.

We can calculate the eigenface components of an image by projecting it onto the mean face space. Each eigenface is given by the sum of its corresponding eigenvector scaled by each input face image vector.

$$\Omega_i = v_i(\Gamma_i - \Psi) \ \mbox{ for } i = 1, 2, ... \, , M'$$

where $(\Gamma_i - \Psi)$ is the mean centered image and v_i is the i^{th} eigenvector.

Finally, we calculate the reconstructed image Φ^f of the projection.

$$\Phi^f = \sum_{i=1}^{M'} \Omega_i \, v_i$$

Now we have all the calculation, we can utilize the reconstructed image and the mean centered image to determine whether the image is similar to a face or not. Since we only select the eigenfaces with the higher eigenvalues we have a smaller space to compare and classify the images with. This is the benefit in using eigenfaces.

2.2 Related work

Wendy S. et al. concluded in their analysis that using standard PCA algorithm, a strong recognition rate can be achieved [5].

Due to its simplicity and good recognition rate researchers have tried to reduce the time complexity of eigenfaces to speed up the process. Patrik Kamencay et al. designed an improved face recognition algorithm using graph based segmentation algorithm [7]. Although they improved recognition rate but time requirements were not majorly minimized.

Wlodzimierz M. Baranski et al. used BST to reduce the time [8]. They achieved a slightly higher recognition rate but the speed up achievement was below 2x. Neeraja and Ekta Walia used fuzzy feature extraction along with PCA for accelerating the process [9]. Their algorithm also has roghly 2x improvement.

GPUs provided a highly parallel environment for computing.

Tao Wang et al. provided a CUDA implementation of Jacobi algorithm [10]. They used a fixed N number of iterations, while in practical Jacobi algorithm requires more number of iterations to converge for finding eigenvalues and eigenvectors.

Problem Statement

3.1 Motivation

The GPU computing has been applied to accelerate a lot of engineering and scientific applications. With the advancement of GPU, the performance gain through GPU computing is increasing rapidly. A low cost GPU give more performance than a high end CPU for data parallelism tasks. CUDA has provided GPU programming through popular programming language extensions like C which is easy to learn. Eigenface algorithm is a simple and popular face recognition algorithm which gives better recognition rate but has high computation task for training phase. There was a need to reduce the time required for training eigenface algorithm, due to its various practical applications.

3.2 Problem Statement

Aim of this project is to implement a faster parallel version of eigenface algorithm for face recognition that uses highly parallel multithreaded environment of GPU using CUDA

3.3 Objective

- To implement high computation tasks of eigenface on GPU using CUDA which includes covariance matrix computation, Eigen vector computation, building eigenfaces and calculating weights.
- To achieve a significant speedup in CUDA implementation over serial implementation.

Implementation

Eigenface algorithm consists of various steps that have data parallelism which are implemented in parallel. Before the start of computation, memory is allocated for training images on GPU and training images are transferred from CPU memory to GPU global memory.

4.1 Parallel Implementation

As shown in figure 4.1 various modules of eigenface algorithm were implemented in parallel. Parallel implementation of each module is discussed below

1. Normalizing training images

In normalization, first a mean image is constructed by averaging each pixel of all training images. Then average pixel value is subtracted from pixel values of all images to get normalized or mean centered images. In parallel implementation, one thread is launched for each pixel i.e. there will be as much threads as the resolution of image. Each thread will find the average of its own pixel, stores it in mean image and subtract it from that pixel of all images.

2. Covariance Matrix Computation

Covariance matrix is created from normalized image set, by multiplying the normalized image set with its transpose. The size of covariance matrix is M * M, where M is number of images in training set. Since each element in covariance matrix is independent of each other, a thread is launched for each value in covariance matrix. Each thread will calculate a value in covariance matrix by multiplying one row and one column of normalized image set.

To further speedup the process normalized image set is first brought in shared memory. Each thread in a block will load one value of normalized image set in shared memory then all

thread in a block will synchronize with each other before proceeding. A thread can now safely read values from shred memory for creating result. The process of loading normalized image set from global memory is repeated until all the values are brought into shared memory for processing.

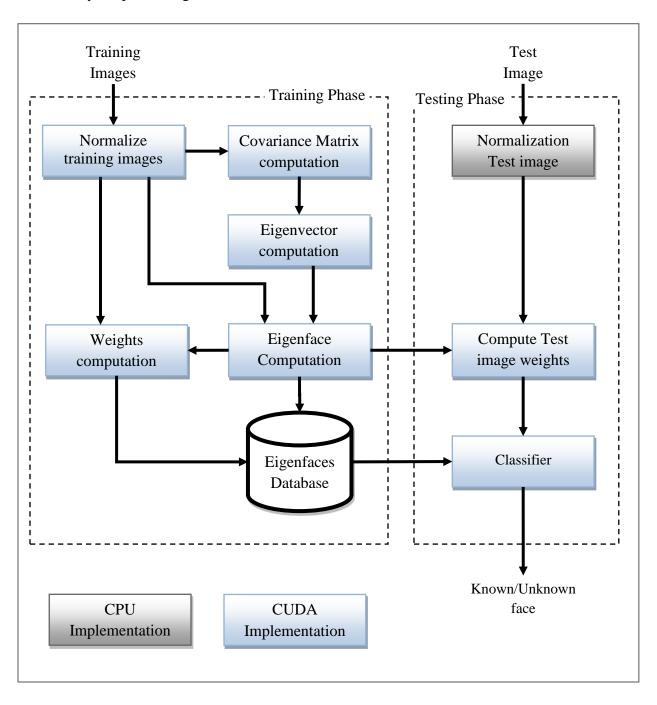


Figure 4.1 System Architecture

3. Eigenvector computation

From covariance matrix, eigenvalues and eigenvectors are computed by using Jacobi method. The Jacobi method consists of following steps, where A is input matrix size M * M and V is identity matrix of size M * M.

- i. Find the maximum element arc from the off diagonal elements of A.
- ii. If $\max < \theta$ then the iterative process finishes and diagonal elements of A gives eigenvalues and V gives eigenvectors.
- iii. Otherwise orthogonal similarity transformation is applied on A where r^{th} row, c^{th} row, r^{th} column and c^{th} column of A is modified. Other values remain unchanged. Also r^{th} and c^{th} column of V matrix is also modified.
- iv. Go to step i.

The orthogonal similarity transformation used in step iii, transforms A^n to A^{n+1} by using following equations:

The orthogonal similarity transformation used in step iii, transforms A^n to A^{n+1} by using following equations:

$$\begin{split} a^{n+1}_{rr} &= a^n_{rr}\cos^2\theta + a^n_{cc}\sin^2\theta + a^n_{rc}\sin2\theta \\ a^{n+1}_{cc} &= a^n_{rr}\sin^2\theta - a^n_{cc}\cos^2\theta - a^n_{rc}\sin2\theta \\ a^{n+1}_{rc} &= 0 \\ a^{n+1}_{rj} &= a^n_{rj}\cos\theta + a^n_{cj}\sin\theta \text{ where } j \neq r,c \\ a^{n+1}_{cj} &= -a^n_{rj}\sin\theta + a^n_{cj}\cos\theta \text{ where } j \neq r,c \\ a^{n+1}_{kr} &= a^n_{kr}\cos\theta + a^n_{kc}\sin\theta \text{ where } k \neq r,c \\ a^{n+1}_{kc} &= -a^n_{kr}\sin\theta + a^n_{kc}\cos\theta \text{ where } k \neq r,c \end{split}$$

In parallel implementation, step i & ii is done in parallel. To find the maximum of off diagonal elements reduction technique is used. The number of off diagonal elements is given by $\frac{M*(M-1)}{2}$. Number of threads launched is equal to the number of off diagonal elements.

In a thread block containing B threads, each thread will load one element of A's off diagonal element from global memory to shared memory pointed by A_s and synchronize with other threads in the same block. The reduction technique is as following:

a.
$$i = block size / 2$$

b. if
$$i = 0$$
 stop

- c. if thread_id < i and A_s[thread_id + i] > A_s[thread_id] then A_s[thread_id] = A_s[thread_id + i]
- d. synchronize threads
- e. i = i / 2

After the reduction each block will have only 1 maximum element out of its allocated B elements. So out of the total number of off diagonal elements we have only a smaller number of elements as there are number of blocks to search from.

If there are 500 images in training data set then there are $500 * \frac{499}{2} = 124750$ off diagonal elements. By applying reduction technique with B = 512, we require 244 blocks giving only 244 elements to search from. Again reduction technique is applied on these elements to get a maximum element.

In orthogonal transformation step, we launch M number of threads which will update the matrix A in parallel by using the equations discussed earlier.

4. Eigenface Computation

In this module, k eigenfaces are constructed by multiplying k principal components i.e. eigenvectors with the normalized images. Each pixel of each eigenface is computed by multiplying an eigenvector with the corresponding pixel of each image in normalized image set. Since each pixel value of each eigenface is computed independently of each other this is done in parallel.

The number of threads launched are no of eigenfaces * resolution. Since same normalized images and eigenvetors are accessed by a number of threads, these two are brought into shared memory as discussed in normalization module. Each thread then writes its value in eigenface matrix.

The same technique as described in image normalization module is used here to normalize the eigenfaces.

5. Weights Computation

In this module weight of each training image with each of the eigenface is calculated. One

thread is launched for calculating weight of an image with an eigenface. So the number of threads are k * M. Each thread will find weight by multiplying an image with an eigenface. To reduce the traffic to global memory, images and eigenfaces are firstly loaded in shared memory.

6. Compute Test Image Weights & Classifier

This module will compute weights for test image and then classify it into one of the known faces. At first, weights of test image with each of the eigenface are calculated as described in weight computation module. Then, for recognition, euclidian distance between weights of test image and weights of each of the training image is calculated, so there will be a total of M number of distances. The test image is classified into one of the face image which has the minimum distance. If the minimum distance is greater than threshold then it is classified as unknown. Threshold is set to the minimum distance of an image in database with other images.

In parallel implementation, each thread will calculate a distance between test image weights and weights of an image in training data set. In a thread block, each thread will write its distance to an array in shared memory. The minimum distance is then found out by using reduction tehnique as discussed earlier.

Result

Both serial and parallel algorithms were tested on the following system:

Table 5.1 System Specification

Processor	Intel Xeon E5-1607 3.00 GHz X 4
RAM	16 GB
OS	Ubuntu 12.04 LTS 64-bit
GPU	GeForce GTX 480
GPU compute capability	2.0
CUDA Version	6.0

The algorithm was tested on FERET database. A serial eigenface algorithm was build using C++, to compare the performance of the parallel algorithm. Different set of images was considered to test the performance of the algorithm. Different set of images ranging from 400 to 1200 were considered to test the algorithm. The execution time and speedup of each module is shown in table 5.2 to table 5.7 and in figure 5.1.

From the graph it is clear that, except Jacobi and recognition module, all other parallel modules have shown a large speedup over serial modules. Normalization module has shown the speedup of minimum 25x and maximum of 53x. Covariance module has shown the constant speedup between 83x to 85x. Execution time of normalization and covariance module depends on the number of images and resolution of image in database, therefore its speedup increases with increase in number of images or resolution.

Jacobi module has shown the speedup of 3x to 5x. This module involves CUDA kernel launch at each iteration, therefore it has shown less performance gain compared to other modules. Eigenface module has shown the speedup of minimum 54x to a maximum of 148x and weights module has shown speedup of 84x to 112x. Speedup of eigenface and weights module increases with increase in the number of eigenfaces, i.e. the number of principal components.

Recognition module has not shown any performance gain at small database, but it has shown a little performance gain for database containing 1200 images. This is because eigenface algorithm choose very small number of eigenfaces to represent training data, thus recognition step requires very few computation. The CPU is always faster for computation over small dataset, while GPU is faster for large dataset. The performance gain of recognition will increase with increase in training database.

Table 5.2 Execution Time and Speedup of Normalization Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	0.25	0.01	25.00x
600	0.38	0.01	38.00x
800	0.53	0.01	53.00x
1000	0.67	0.02	33.50x
1200	0.88	0.02	44.00x

Table 5.3 Execution Time and Speedup of Covariance Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	79.50	0.95	83.68x
600	178.98	2.11	84.82x
800	317.98	3.75	84.79x
1000	496.87	5.84	85.08x
1200	715.30	8.38	85.36x

Table 5.4 Execution Time and Speedup of Jacobi Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	323.00	84.60	3.82x
600	1621.40	368.45	4.40x
800	5102.28	1059.71	4.81x
1000	12510.01	2501.83	5.00x
1200	25971.70	5014.75	5.18x

Table 5.5 Execution Time and Speedup of Eigenface Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	16.89	0.31	54.48x
600	37.47	0.45	83.27x
800	58.04	0.54	107.48x
1000	77.80	0.64	121.56x
1200	130.62	0.88	148.43x

Table 5.6 Execution Time and Speedup of Weights Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	12.74	0.15	84.94x
600	26.74	0.30	89.13x
800	40.70	0.42	97.00x
1000	52.36	0.51	102.67x
1200	83.20	0.74	112.43x

Table 5.7 Execution Time and Speedup of Recognition Module

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	0.10	0.12	0.84x
600	0.12	0.12	1.00x
800	0.12	0.13	0.92x
1000	0.13	0.13	1.00x
1200	0.14	0.13	1.08x

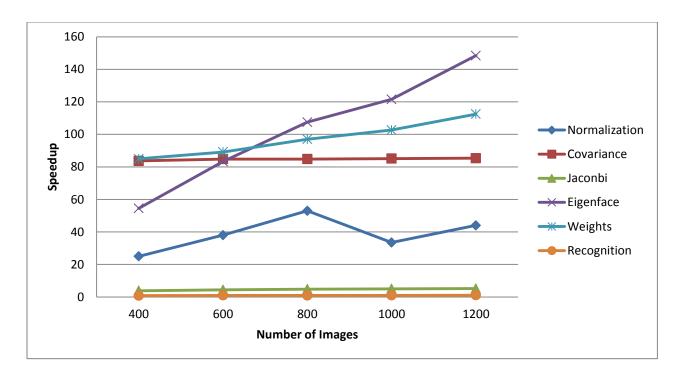


Figure 5.1 Speedup of individual modules

The total execution time of training phase, which includes normalization, covariance, jacobi, eigenface and weights module, is shown in table 5.8 and speedup is shown in figure 5.2. Training phase has shown overall speedup of 5x.

Time required for transferring training images data from CPU to GPU is shown in fig 5.3. As compared to computation time, the transfer time is very low.

Table 5.8 Execution Time and speedup of training phase

No of Images	Serial Time (In seconds)	Parallel Time (In seconds)	Speedup
400	434.34	85.58	5.07x
600	1878.38	369.29	5.09x
800	5540.00	1060.53	5.22x
1000	13343.20	2502.60	5.33x
1200	27087.00	5022.92	5.40x

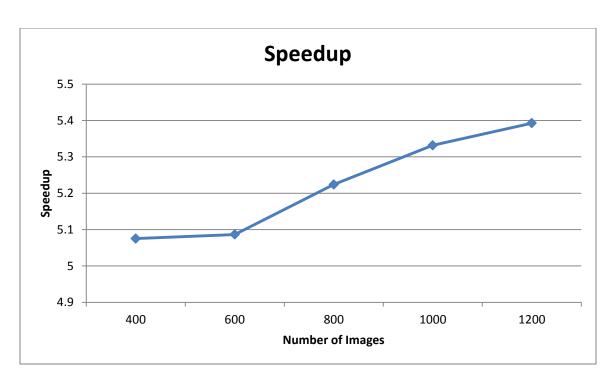


Figure 5.2 Training phase speedup



Figure 5.3 Transfer time

Conclusion and Future Work

6.1 Conclusion

Eigenface algorithm consists of various data parallelism tasks which require high computation mainly in the training phase. The parallel CUDA implementation of eigenface algorithm has shown an overall speedup of 5x in the training phase. The speedup increases with the increase in training database. In training phase, eigenface computation module has shown highest speedup of 148x. The Jacobi module has shown the lowest performance gain among the entire training phase modules with the speedup of 3x to 5x. Recognition phase has shown little speedup, which increases with increase in the training database. Recognition phase is faster on CPU for small database, but it requires copying data from GPU to CPU which takes some time. To avoid copying, the recognition module is best kept on GPU.

6.2 Future Work

Future work will include implementing Jacobi module using dynamic parallelism. The Jacobi module requires launching a CUDA kernel at each CPU iteration and a memory copy from device to host at every 10000 iteration to check for convergence. Kernel launch from host and memory copy from device to host is a time consuming process. Dynamic parallelism supports launching a CUDA kernel from a CUDA kernel, which is faster than launching a kernel from CPU. With dynamic parallelism, in Jacobi module, only one kernel has to be launched from host and the memory copy will also not require. Dynamic parallelism is available on NVidia GPUs having compute capability 3.5 or higher. With the advancements in GPUs, it is possible to get much higher performance gain.

Bibliography

- [1]. Matthew A. Turk, Alex P. Pentland, "Face Recognition Using Eigenfaces", Proc IEEE Conference on Computer Vision and Pattern Recognition, 1991.
- [2]. L. Sirovich and M. Kirby, "Low-dimensional Procedure for the characterization of human faces", Journal of the Optical Society of America A4(3): 519-524.
- [3]. Karl Pearson (1901), "On Lines and Planes of Closest Fit to Systems of Points in Space", Philosophical Magazine 2(11): 559/572.
- [4]. Prof. V.P. Kshirsagar, M.R.Baviskar, M.E.Gaikwad, "Face Recognition Using Eigenfaces", Intennational conference on Computer research and development 2011.
- [5]. Wendy S., Yambor, Bruce A. Draper and J. Ross Beveridge, "Analyzing PCA-based Face Recognition Algorithms: Eigenvector Selection and Distance Measures", Second Workshop on Empirical Evaluation in Computer Vision, 39-60, 2000.
- [6]. Christopher Mallow, "Authentication Methods and Techniques", CISSP
- [7]. Patrik Kamencay, Martin Breznan, Dominik Jelsovka, Martina Zacharisova, "Improved Face Recognition method based on Segmentation Algorithm using SIFT-PCA", TSP, page 758-762. IEEE, (2012)
- [8]. Wlodzimierz M. Baranski, Andrzej Wytyczak-Partyka, Tomasz Walkowiak, "Computational complexity reduction in PCA-based face recognition", Institute of Computer Engineering, Control and Robotics, Wroclaw University of Technology, Poland.
- [9]. Neeraja, Ekta Walia, "Face Recognition Using Improved Fast PCA Algorithm", Congress on Image and Signal Processing.
- [10]. Tao Wang, Longjiang Guo, Guilin Li, Jinbao L, Renda Wang, Meirui Ren, "Implementing the Jacobi Algorithm for solving Eigenvalues of Symmetric Matrices with CUDA", IEEE Seventh International Conference on Networking, Architecture and storage, 2012.
- [11]. D. B. Kirk and W. mei W. Hwu, "Programming Massively Parallel Processors: A Hands-on Approach", Morgan Kaufmann, 2010.
- [12]. Hennessy, John L., David A., Patterson, "Computer Architecture: A Quantitive Approach", Morgan Kaufmann, 46-47, 2012

- [13]. P. J. Phillips, H. Wechsler, J. Huang, P.Rauss, "The FERET database and evaluation procedure for face recognition algorithms", Image and Vision Computing J, Vol. 16, No. 5, 295-306, 1998.
- [14]. Jason Sanders, Edward Kandrot, "CUDA by Example: An Introduction to general-Purpose GPU Programming", Addison-Welsey.