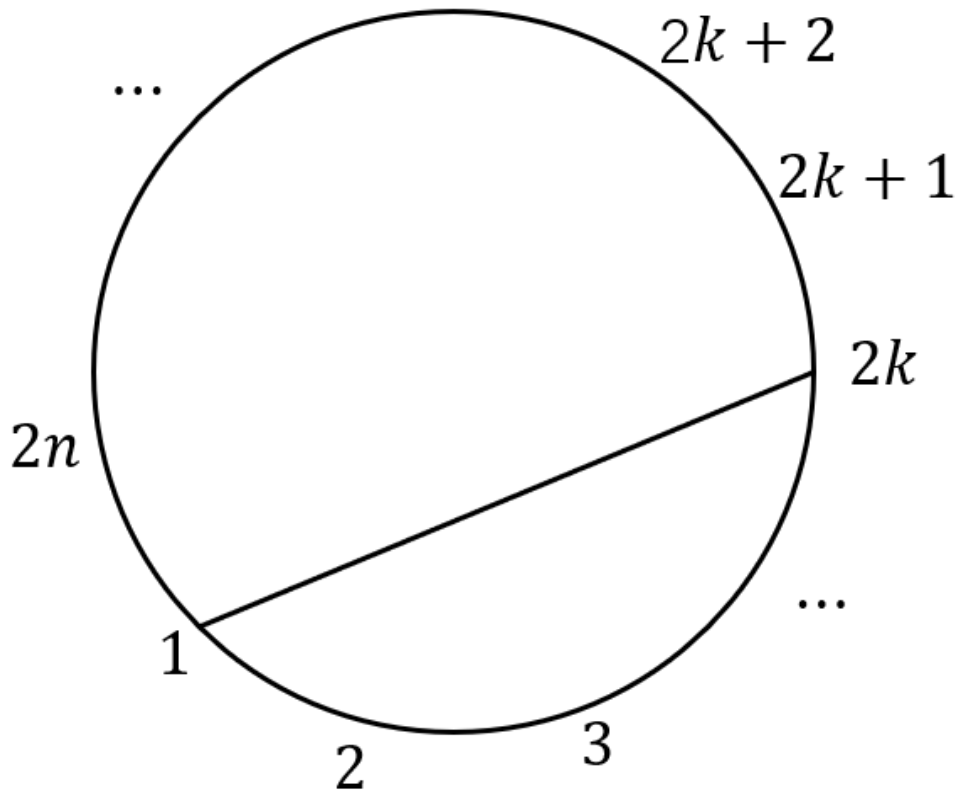


# 第8章 特殊计数序列

## EX1

Let  $2n$  (equally spaced) points on a circle be chosen. Show that the number of ways to join these points in pairs, so that the resulting  $n$  line segments do not intersect, equals the  $n$ th Catalan number  $C_n$ .



记该问题的解为  $h_n$ ，选择一端固定在1上的线段为基线，另一端指向  $2k$ ，圆上的  $2n$  个点被分为两组，一组有  $2k-2$  个，另一组有  $2n-2k$  个，同时问题  $h_n$  被划分为  $h_{k-1}$  和  $h_{n-k}$ 。所以有，

$$h_n = \sum_{k=1}^n h_{k-1} h_{n-k}, \quad n \geq 1, h_0 = h_1 = 1$$

显然  $h_n$  与卡特兰数  $C_n$  有相同的递推关系和初始项，因此，

$$h_n = \frac{1}{n+1} \binom{2n}{n}$$

## EX1注

本题与第7章EX41是类似的问题

## EX2

Prove that the number of 2-by- $n$  arrays

$$\begin{bmatrix} x_{11} & x_{12} & \cdots & x_{1n} \\ x_{21} & x_{22} & \cdots & x_{2n} \end{bmatrix}$$

that can be made from the numbers  $1, 2, \dots, 2n$  such that

$$\begin{aligned} x_{11} &\leq x_{12} \leq \cdots \leq x_{1n} \\ x_{21} &\leq x_{22} \leq \cdots \leq x_{2n} \end{aligned}$$

$$x_{11} \leq x_{21}, x_{12}x_{22}, \dots, x_{1n} \leq x_{2n}$$

equals the  $n$ th Catalan number,  $C_n$ .

将数组第一行的元素标记为+1，第二行元素标记为-1。  
问题可以转化为：将+1和-1按照从左到右的顺序排列，并且保证第*i*个+1在第*i*个-1前面，即 $x_{1i} \leq x_{2i}$  ( $1 \leq i \leq n$ )。  
这与前*k*项和满足

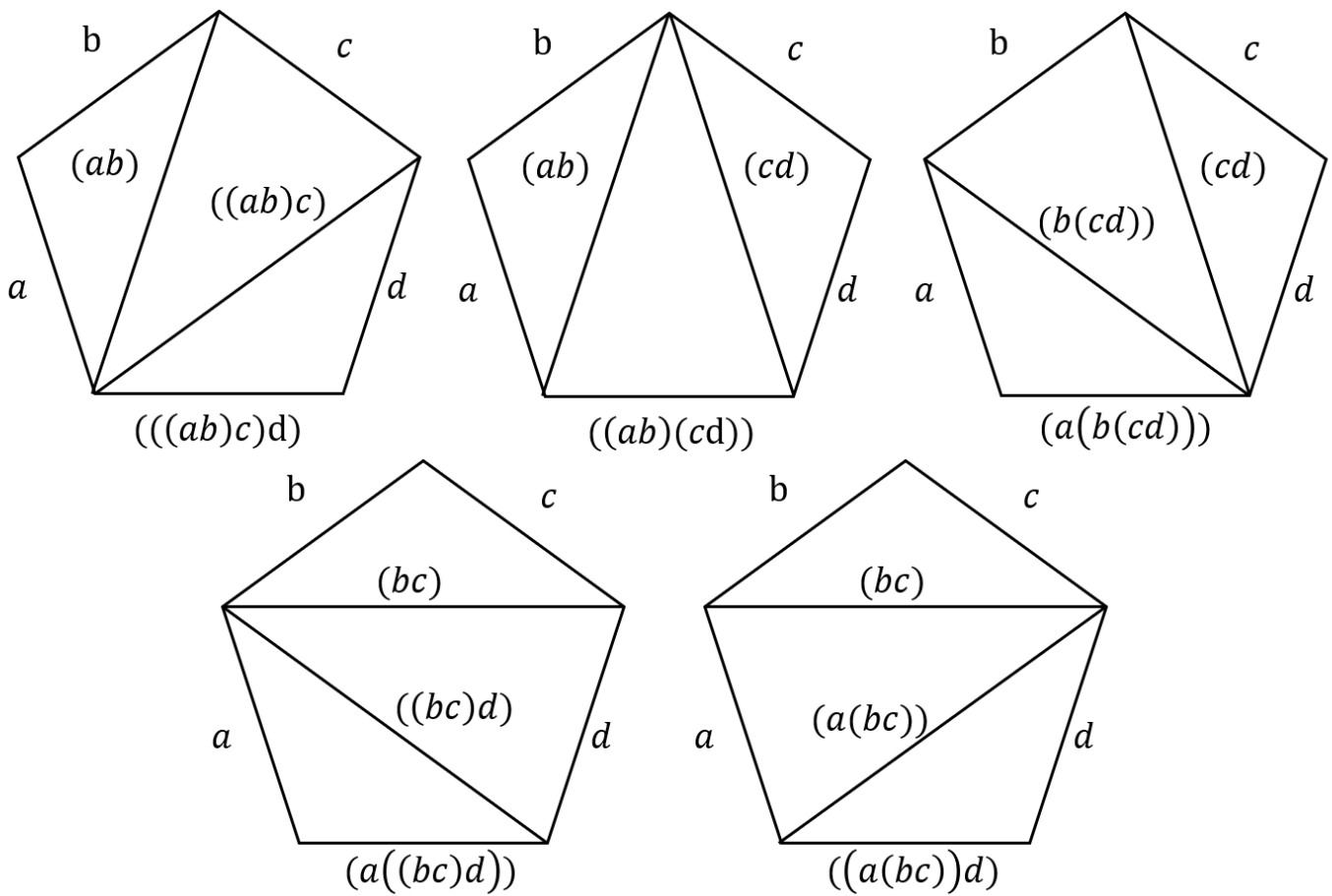
$$a_1 + a_2 + \cdots + a_k \geq 0$$

等价，该问题与卡特兰数的组合意义相同，解即为第*n*个卡特兰数。

### EX3

Write out all of the multiplication schemes for four numbers and the triangularization of a convex polygonal region of five sides corresponding to them.

考虑固定顺序的乘法，因此一共有 $C_{n-1} = C_3 = \frac{1}{4} \binom{6}{3} = 5$ 种方案，与之对应的三角形划分如图所示。



## EX4

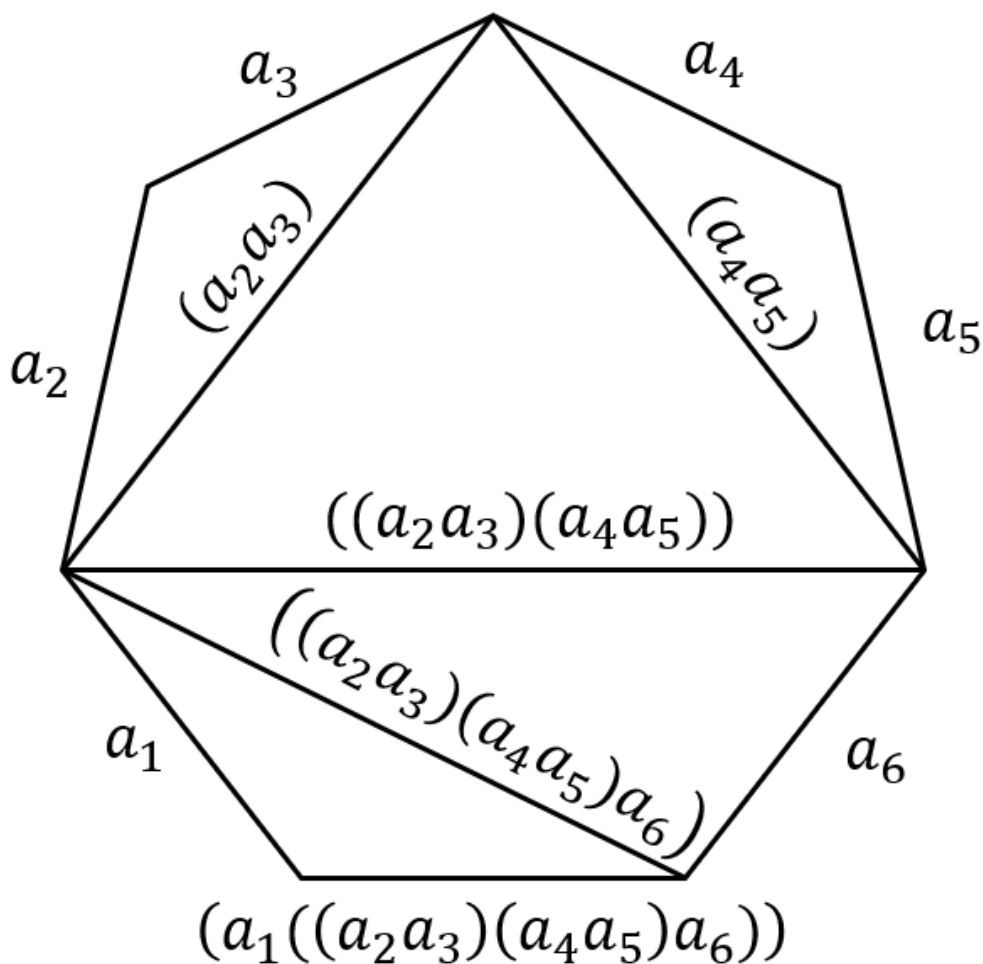
Determine the triangularization of a convex polygonal region corresponding to the following multiplication schemes:

(a)  $(a_1 \times (((a_2 \times a_3) \times (a_4 \times a_5)) \times a_6))$

(b)  $((((a_1 \times a_2) \times (a_3 \times (a_4 \times a_5))) \times ((a_6 \times a_7) \times a_8))$

### EX4(a)

以EX4(a)为例，步骤同上一题，



## EX5

加星题，略。

## EX6

Let the sequence  $h_0, h_1, \dots, h_n, \dots$  be defined by  $h_n = 2n^2 - n + 3, (n \geq 0)$ . Determine the difference table, and find a formula for  $\sum_{k=0}^n h_k$ .

$h_n$  是2次多项式，因此有  $\Delta^3 h_n = 0$ ,

计算  $h_0 = 3, h_1 = 4, h_2 = 9$ , 一阶差分  $\Delta^1 h_0 = 1, \Delta^1 h_1 = 5$ , 二阶差分  $\Delta^2 h_0 = 4$ , 即得到第0条对角线。

3	4	9	...
1	5	9	...
4	4	4	...
0	0	0	...

所以  $h_n = 3\binom{n}{0} + \binom{n}{1} + 4\binom{n}{2}$ , 进而

$$\begin{aligned}\sum_{k=0}^n h_k &= 3 \sum_{k=0}^n \binom{k}{0} + \sum_{k=0}^n \binom{k}{1} + 4 \sum_{k=0}^n \binom{k}{2} \\ &= 3 \binom{n+1}{1} + \binom{n+1}{2} + 4 \binom{n+1}{3} \quad n \geq 0\end{aligned}$$

## EX7

The general term  $h_n$  of a sequence is a polynomial in  $n$  of degree 3. If the first four entries of the 0th row of its difference table are 1, -1, 3, 10, determine  $h_n$  and a formula for  $\sum_{k=0}^n h_k$ .

由题意,  $h_n$  是3次多项式, 那么  $\Delta^4 h_n = 0$ , 求出差分表第0条对角线,

$$\begin{array}{ccccccc}1 & -1 & 3 & 10 & \cdots \\ -2 & 4 & 7 & \cdots \\ 6 & 3 & \cdots \\ -3 & \cdots \\ 0 & \cdots\end{array}$$

因此  $h_n = \binom{n}{0} - 2\binom{n}{1} + 6\binom{n}{2} - 3\binom{n}{3}$ , 进而

$$\begin{aligned}\sum_{k=0}^n h_k &= \sum_{k=0}^n \binom{k}{0} - 2 \sum_{k=0}^n \binom{k}{1} + 6 \sum_{k=0}^n \binom{k}{2} - 3 \sum_{k=0}^n \binom{k}{3} \\ &= \binom{n+1}{1} - 2 \binom{n+1}{2} + 6 \binom{n+1}{3} - 3 \binom{n+1}{4} \quad n \geq 0\end{aligned}$$

## EX8

Find the sum of the fifth powers of the first  $n$  positive integers.

设  $h_n = n^5$ , 那么它的六阶差分为0, 求出差分表,

$$\begin{array}{ccccccc}0 & 1 & 32 & 243 & 1024 & 3125 \cdots \\ 1 & 31 & 211 & 781 & 2101 \cdots \\ 30 & 180 & 570 & 1320 & \cdots \\ 150 & 390 & 750 & \cdots \\ 240 & 360 & \cdots \\ 120 & \cdots \\ 0 & \cdots\end{array}$$

$$k^5 = \binom{k}{1} + 30 \binom{k}{2} + 150 \binom{k}{3} + 240 \binom{k}{4} + 120 \binom{k}{5}$$

$$\begin{aligned}\sum_{k=1}^n k^5 &= \sum_{k=1}^n \binom{k}{1} + 30 \sum_{k=1}^n \binom{k}{2} + 150 \sum_{k=0}^n \binom{k}{3} + 240 \sum_{k=0}^n \binom{k}{4} + 120 \sum_{k=0}^n \binom{k}{5} \\ &= \binom{n+1}{2} + 30 \binom{n+1}{3} + 150 \binom{n+1}{4} + 240 \binom{n+1}{5} + 120 \binom{n+1}{6}\end{aligned}$$

## EX9

Prove that the following formula holds for the  $k$ th-order differences of a sequence

$h_0, h_1, \dots, h_n, \dots$ :

$$\Delta^k h_n = \sum_{j=0}^k (-1)^{k-j} \binom{k}{j} h_{n+j}$$

采用数学归纳法证明, 当 $k=0$ 时, 有

$$\Delta h_n = (-1)^0 \binom{0}{0} h_0 = h_0$$

成立, 假设当 $k = m$ 时结论成立, 即有

$$\Delta^m h_n = \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} h_{n+j}$$

当 $k = m + 1$ 时,

$$\begin{aligned} \Delta^{m+1} h_n &= \Delta^m h_{n+1} - \Delta^m h_n \\ &= \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} h_{n+1+j} - \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} h_{n+j} \\ &= \sum_{j=1}^{m+1} (-1)^{m-j+1} \binom{m}{j-1} h_{n+j} - \sum_{j=0}^m (-1)^{m-j} \binom{m}{j} h_{n+j} \\ &= (h_{n+(m+1)} - (-1)^m h_n) + \sum_{j=1}^m ((-1)^{m-j+1} \binom{m}{j-1} - (-1)^{m-j} \binom{m}{j}) h_{n+j} \\ &= (-1)^{(m+1)-(m+1)} h_{n+(m+1)} + (-1)^{(m+1)-0} h_{n+0} + \sum_{j=1}^m ((-1)^{m+1-j} \binom{m}{j-1} + (-1)^{m+1-j} \binom{m}{j}) h_{n+j} \\ &= (-1)^{(m+1)-(m+1)} h_{n+(m+1)} + (-1)^{(m+1)-0} h_{n+0} + \sum_{j=1}^m (-1)^{m+1-j} \binom{m+1}{j} h_{n+j} \\ &= \sum_{j=0}^{m+1} (-1)^{m+1-j} \binom{m+1}{j} h_{n+j} \end{aligned}$$

综上, 证毕。

## EX10

If  $h_n$  is a polynomial in  $n$  of degree  $m$ , prove that the constants  $c_0, c_1, \dots, c_m$  such that

$$h_n = c_0 \binom{n}{0} + c_1 \binom{n}{1} + \dots + c_m \binom{n}{m}$$

are uniquely determined. (Cf. Theorem 8.2.2.)

本题主要证明**唯一性**，假设存在不同的序列， $\{c_i\}_{i=0}^m$ 和 $\{d_i\}_{i=0}^m$ 使得存在i满足 $c_i \neq d_i, 0 \leq i \leq m$ ,

$$\begin{aligned} h_n &= c_0 \binom{n}{0} + c_1 \binom{n}{1} + \cdots + c_m \binom{n}{m} \\ &= d_0 \binom{n}{0} + d_1 \binom{n}{1} + \cdots + d_m \binom{n}{m} \\ \sum_{k=0}^m (c_k - d_k) \binom{n}{k} &= 0 \end{aligned}$$

显然 $\binom{n}{k} > 0$ , 那么只能是 $c_k - d_k = 0, 0 \leq k \leq m$ , 这与假设矛盾, 因此假设不成立。

## EX11

Compute the Stirling numbers of the second kind  $S(8, k)$ , ( $k = 0, 1, \dots, 8$ ).

第二类Stirling数的性质,

- 1.  $S(p, 0) = 0, p \geq 1$
- 2.  $S(p, p) = 1, p \geq 0$
- 3.  $S(p, k) = kS(p - 1, k) + S(p - 1, k - 1)$

进行打表,

$k$	0	1	2	3	4	5	6	7	8
$S(8, k)$	0	1	127	966	1701	1050	266	28	1

## EX11 验证程序

```

#include <iostream>
#include <vector>

using namespace std;
int main() {
    auto stirList = vector<vector<int>>(10, vector<int>(10, 0));
    for(int p = 1; p < 10; ++ p) {
        stirList[p][p] = 1;
    }
    for(int p = 2; p < 10; ++ p) {
        for(int k = 1; k < p; ++ k) {
            stirList[p][k] = stirList[p-1][k] * k + stirList[p-1][k-1];
        }
    }
    for(int p = 0; p < 10; ++ p) {
        for(int k = 0; k <= p; ++ k) {
            printf("%d\t", stirList[p][k]);
        }
        printf("\n");
    }

    printf("\n S(8, k), k = 0, 1, 2, ..., 8\n");
    for(int k = 0; k <= 8; ++ k) {
        printf("%d\t", stirList[8][k]);
    }
    return 0;
}

```

## EX12

Prove that the Stirling numbers of the second kind satisfy the following relations:

- (a)  $S(n, 1) = 1, \quad (n \geq 1)$
- (b)  $S(n, 2) = 2^{n-1} - 1, \quad (n \geq 2)$
- (c)  $S(n, n-1) = \binom{n}{n}, \quad (n \geq 1)$
- (d)  $S(n, n-2) = \binom{n}{3} + 3\binom{n}{4} \quad (n \geq 2)$

### EX12(a)

由定理8.2.5知 $S(p, k)$ 是把 $p$ 个元素集合划分到 $k$ 个不可区分的盒子且没有空盒子的划分个数。因此， $S(p, 1)$ 是把 $p$ 个元素划分到1个盒子且没有空盒子的划分个数，显然只有1种。

### EX12(b)

$$S(p, p) = 1, S(p, 1) = 1$$



$$\begin{aligned}
S(n, 2) &= 2S(n-1, 2) + S(n-1, 1) \\
&= 2S(n-1, 2) + 1 \\
&= 2(2S(n-2, 2) + S(n-2, 1)) + 1 \\
&= 2^2 S(n-2, 2) + (1+2) \\
&= 2^3 S(n-3, 2) + (1+2+2^2) \\
&= \dots \\
&= 2^{n-2} S(n-(n-2), 2) + (1+2+2^2+\dots+2^{n-3}) \\
&= \frac{1-2^{n-1}}{1-2} \\
&= 2^{n-1} - 1
\end{aligned}$$

## EX12(c)

使用数学归纳法证明，当 $n=1$ 时， $S(1, 0) = 0 = \binom{1}{2}$ ，显然成立。假设当 $n = k$ 时有 $S(k, k-1) = \binom{k}{2}$ ，当 $n = k+1$ 时有，

$$\begin{aligned}
S(k+1, k) &= kS(k, k) + S(k, k-1) \\
&= k + \binom{k}{2} \\
&= k + \frac{k(k-1)}{2} \\
&= \frac{k(k+1)}{2} \\
&= \binom{k+1}{2}
\end{aligned}$$

综上，证毕。

## EX12(d)

考虑问题：将 $n$ 个元素划分到 $n-2$ 个不可区分的盒子且没有空盒子的个数 $S(n, n-2)$ 。

如果有一个盒子中有三个元素，有 $\binom{n}{3}$ 种情况；如果有两个盒子各有两个元素，先从 $n$ 个元素中选出2个，再从剩余 $n-2$ 个元素中选出2个，两种情况对称，因此是 $\frac{\binom{n}{2}\binom{n-2}{2}}{2!} = 3\binom{n}{4}$ 。

因此有 $S(n, n-2) = \binom{n}{3} + 3\binom{n}{4}$ 。

## EX13

Let  $X$  be a  $p$ -element set and let  $Y$  be a  $k$ -element set. Prove that the number of functions  $f : X \rightarrow Y$  which map  $X$  onto  $Y$  equals

$$k!S(p, k) = S^\#(p, k)$$

X映射到Y是满射，映射函数等价于把p个元素放入到k个**可区分**的盒子中，即有 $S^\#(p, k)$ 个；同时由可区分盒子与不可区分盒子划分的关系，有 $S^\#(p, k) = k!S(p, k)$ ，因此映射函数的个数也等于 $k!S(p, k)$ 。

## EX13吐槽

到上函数是什么鬼？没想到**onto**竟然是满射的意思，学到了。

## EX14

加星题，略。

## EX15

The number of partitions of a set of  $n$  elements into  $k$  **distinguishable** boxes (some of which may be empty) is  $k_n$ . By counting in a different way, prove that

$$k^n = \binom{k}{1} 1! S(n, 1) + \binom{k}{2} 2! S(n, 2) + \cdots + \binom{k}{n} n! S(n, n)$$

If  $k \geq n$ , define  $S(n, k)$  to be 0.

方法一：考虑把n个元素分别放在k个盒子中，每个元素有k种放置放法，因此共 $k^n$ 种方法。

方法二：先区分盒子是否非空，从k个盒子中选出i个非空盒子，问题变为把n个元素放入i个可区分盒子且盒子非空的方法数，即为 $S^\#(n, i)$ ，i可能的取值为 $i = 1, 2, \dots, k$ ，

$$\sum_{i=1}^k \binom{k}{i} S^\#(n, i) = \sum_{i=1}^k \binom{k}{i} i! S(n, i)$$

方法一和方法二是同一问题的两种解决方法，因此等价，所以有，

$$k^n = \binom{k}{1} 1! S(n, 1) + \binom{k}{2} 2! S(n, 2) + \cdots + \binom{k}{n} n! S(n, n)$$

## EX15注

本题中文书中有翻译错误，把可区分写成了不可区分。

EX13应该也是翻译错误（onto）。

## EX16

Compute the Bell number  $B_8$ . (Cf. Exercise 11.)

Bell数 $B_p$ 是第p行的第二类Stirling数 $S(p, k)$ 之和。

$$0 + 1 + 127 + 966 + 1701 + 1050 + 266 + 28 + 1 = 4140$$

## EX16验证程序

```
#include <iostream>
#include <vector>

using namespace std;
int main() {
    auto stirList = vector<vector<int>>(10, vector<int>(10, 0));
    for(int p = 1; p < 10; ++ p) {
        stirList[p][p] = 1;
    }
    for(int p = 2; p < 10; ++ p) {
        for(int k = 1; k < p; ++ k) {
            stirList[p][k] = stirList[p-1][k] * k + stirList[p-1][k-1];
        }
    }
    for(int p = 0; p < 10; ++ p) {
        for(int k = 0; k <= p; ++ k) {
            printf("%d\t", stirList[p][k]);
        }
        printf("\n");
    }

    printf("\n S(8, k), k = 0, 1, 2, ..., 8\n");
    int bellNum = 0;
    for(int k = 0; k <= 8; ++ k) {
        printf("%d\t", stirList[8][k]);
        bellNum += stirList[8][k];
    }
    printf("\n Bell number B8 is %d\n", bellNum);
    return 0;
}
```

## EX17

Compute the triangle of Stirling numbers of the first kind  $s(n, k)$  up to  $n = 7$ .

第一类Stirling数的递推关系为,

$$s(p, k) = (p - 1)s(p - 1, k) + s(p - 1, k - 1)$$

初始条件与第二类Stirling数相同,  $s(p, p) = 1, s(p, 0) = 0, p \geq 1, s(0, 0) = 1$ .

## EX17验证程序

```

#include <iostream>
#include <vector>

using namespace std;
int main() {
    auto firstStirList = vector<vector<int>>(10, vector<int>(10, 0));
    for(int p = 1; p < 10; ++ p) {
        firstStirList[p][p] = 1;
    }
    for(int p = 2; p < 10; ++ p) {
        for(int k = 1; k < p; ++ k) {
            firstStirList[p][k] = firstStirList[p-1][k] * (p-1)
                                + firstStirList[p-1][k-1];
        }
    }
    for(int p = 0; p < 10; ++ p) {
        for(int k = 0; k <= p; ++ k) {
            printf("%d\t", firstStirList[p][k]);
        }
        printf("\n");
    }

    printf("\n s(7, k), k = 0, 1, 2, ..., 7\n");
    for(int k = 0; k <= 7; ++ k) {
        printf("%d\t", firstStirList[7][k]);
    }
    return 0;
}

```

## EX17考试说明

第一类Stirling数本次考试不考，当然是在我做完第一类Stirling数的题目后才通知的。感觉亏了一个亿。

总体来说不是很难，也是通过递推式做计算。

## EX18

Write  $[n]_k$  as a polynomial in  $n$  for  $k = 5, 6$ , and  $7$ .

由定义可以求出 $[n]_5$ ,

$$\begin{aligned}
 [n]_5 &= n(n-1)(n-2)(n-3)(n-4) \\
 &= n^5 - 10n^4 + 35n^3 - 50n^2 + 24n
 \end{aligned}$$

也可以通过查表写 $[n]_7$ ,

$$\begin{aligned}
 [n]_7 &= \sum_{k=0}^7 (-1)^{7-k} s(7, k) n^k \\
 &= n^7 - 21n^6 + 175n^5 - 735n^4 + 1624n^3 - 1764n^2 + 720n
 \end{aligned}$$

## EX19

Prove that the Stirling numbers of the first kind satisfy the following formulas:

$$(a) s(n, 1) = (n-1)!, \quad (n \geq 1)$$

$$(b) s(n, n-1) = \binom{n}{2}, \quad (n \geq 1)$$

结合递归式，易证。

## EX20

Verify that  $[n]_n = n!$ , and write  $n!$  as a polynomial in  $n$  using the Stirling numbers of the first kind. Do this explicitly for  $n = 6$ .

$[n]_p$ 的定义形式  $[n]_p = n(n-1)(n-2) \cdots (n-(p-1)), p \geq 1$ , 当  $n=0$  时,  $[n]_0 = 1$ 。

带入  $p = n$  显然有  $[n]_n = n!, n \geq 0$ 。

$[n]_p$ 与第一类Stirling数的关系,  $[n]_p = \sum_{k=0}^p (-1)^{k-p} s(p, k) n^k$ ,

带入  $p = n$  有,

$$n! = [n]_n = \sum_{k=0}^n (-1)^{n-k} s(n, k) n^k$$

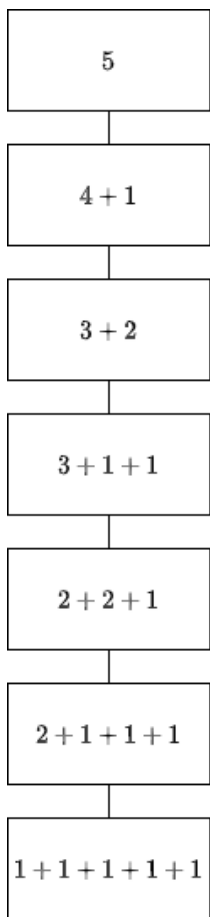
当  $n = 6$  时, 结合  $s(p, k)$  三角形有,

$$6! = 6^6 - 15 \times 6^5 + 85 \times 6^4 - 225 \times 6^3 + 274 \times 6^2 - 120 \times 6^1 + 0 \times 6^0$$

## EX21

For each integer  $n = 1, 2, 3, 4, 5$ , construct the diagram of the set  $\mathcal{P}_n$  of partitions of  $n$ , partially ordered by majorization.

这里的图 (diagram) 指的是 Ferrers 图, 这是很容易画出的, 以  $5 = 4 + 1$  为例,



## EX21注

本题应该是优超（majorize）关系的Hasse图。

## EX22

- (a) Calculate the partition number  $p_6$  and construct the diagram of the set  $\mathcal{P}_6$ , partially ordered by majorization.
- (b) Calculate the partition number  $p_7$  and construct the diagram of the set  $\mathcal{P}_7$ , partially ordered by majorization.

### EX22(a)

以(a)为例，6对应的分拆为，

$$6, 51, 42, 411, 33, 321, 3111, 222, 2211, 21111, 111111$$

因此  $p_6 = 11$ 。

## EX23

A total order on a finite set has a unique maximal element (a largest element) and a unique minimal element (a smallest element). What are the largest partition and smallest partition in the lexicographic order on  $\mathcal{P}_n$  (a total order)?

最大分拆为 $n$ ，最小分拆为 $n = 1 + 1 + \cdots + 1$ 。

## EX24

A partial order on a finite set may have many maximal elements and minimal elements. In the set  $\mathcal{P}_n$  of partitions of  $n$  partially ordered by majorization, prove that there is a unique maximal element and a unique minimal element.

## EX24说明

看了几份答案，似乎都不是严格的证明，只是稍微解释了 $n$ 比其它都大， $\underbrace{1 + 1 + \cdots + 1}_{n\uparrow}$ 比其它都小。

## EX25

Let  $t_1, t_2, \dots, t_m$  be distinct positive integers, and let

$$q_n = q_n(t_1, t_2, \dots, t_m)$$

equal the number of partitions of  $n$  in which all parts are taken from  $t_1, t_2, \dots, t_m$ . Define  $q_0 = 1$ . Show that the generating function for  $q_0, q_1, \dots, q_n, \dots$  is

$$\begin{aligned} \prod_{k=1}^m (1 - x^{t_k})^{-1} &= \frac{1}{1 - x^{t_1}} \frac{1}{1 - x^{t_2}} \cdots \frac{1}{1 - x^{t_m}} \\ &= \left( \sum_{n_1=0}^{\infty} x^{t_1 n_1} \right) \left( \sum_{n_2=0}^{\infty} x^{t_2 n_2} \right) \cdots \left( \sum_{n_m=0}^{\infty} x^{t_m n_m} \right) \\ &= \sum_{n_1=0}^{\infty} \sum_{n_2=0}^{\infty} \cdots \sum_{n_m=0}^{\infty} x^{n_1 t_1 + n_2 t_2 + \cdots + n_m t_m} \\ &= \sum_{n=0}^{\infty} q_n x^n \end{aligned}$$

由分拆数的性质， $q_n$ 等于方程 $n_1 t_1 + n_2 t_2 + \cdots + n_m t_m = n$ 非负整数解 $n_1, n_2, \dots, n_m$ 的个数，所以 $q_0, q_1, \dots, q_n, \dots$ 的生成函数为

$$\prod_{k=1}^m (1 - x^{t_k})^{-1}$$

## EX26

Determine the conjugate of each of the following partitions:

(a)  $12 = 5 + 4 + 2 + 1$

$$(b) 15 = 6 + 4 + 3 + 1 + 1$$

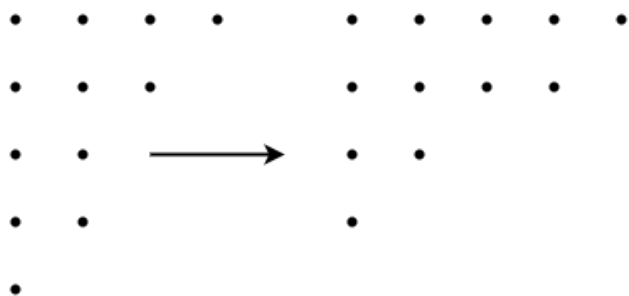
$$(c) 20 = 6 + 6 + 4 + 4$$

$$(d) 21 = 6 + 5 + 4 + 3 + 2 + 1$$

$$(e) 29 = 8 + 6 + 6 + 4 + 3 + 2$$

## EX26(a)

以(a)为例, 先画出Ferrers图, 再画出共轭分拆的图,



因此共轭分拆为  $12 = 4 + 3 + 2 + 2 + 1$ 。

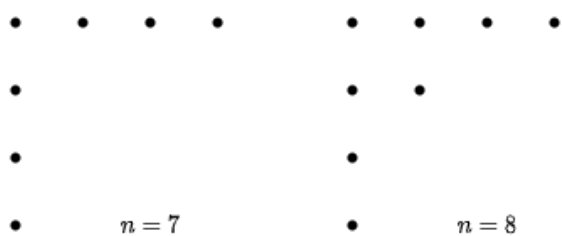
## EX27

For each integer  $n > 2$ , determine a self-conjugate partition of  $n$  that has at least two parts.

设  $\lambda$  是  $n$  的分拆  $n_1 + n_2 + \cdots + n_k$ , 当  $n$  为奇数时, 取  $n_1 = \frac{(n+1)}{2}, n_2 = n_3 = \cdots = n_k = 1, k = \frac{n+1}{2}$ 。

当  $n$  为偶数时, 取  $n_1 = \frac{n}{2}, n_2 = 2, n_3 = n_4 = \cdots = n_k = 1, k = \frac{n}{2}$ 。

以  $n=7$  和  $n=8$  分别为奇数和偶数的例子, 如图,



## EX28

Prove that conjugation reverses the order of majorization; that is, if  $\lambda$  and  $\mu$  are partitions of  $n$  and  $\lambda$  is majorized by  $\mu$ , then  $\mu^*$  is majorized by  $\lambda^*$ .

由题意, 当  $\lambda$  被  $\mu$  优超时, 有

$$\lambda_1 + \lambda_2 + \cdots + \lambda_i \leq \mu_1 + \mu_2 + \mu_i, \quad 1 \leq i \leq k \quad (1)$$



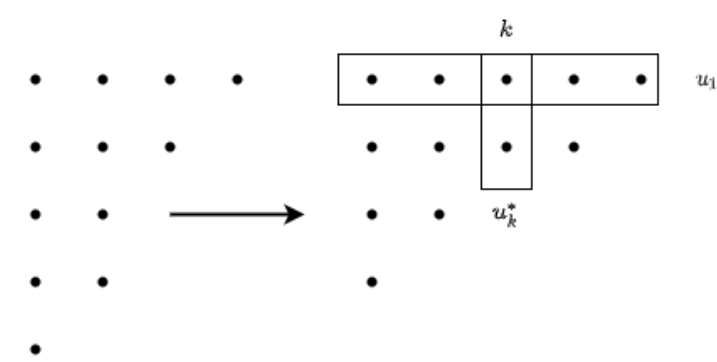
假设 $\mu^* \not\leq \lambda^*$ ，即存在 $k$ 使，

$$\begin{aligned}\mu_1^* + \mu_2^* + \cdots + \mu_i^* &\leq \lambda_1^* + \lambda_2^* + \cdots + \lambda_i^*, \quad 1 \leq i < k \\ \mu_1^* + \mu_2^* + \cdots + \mu_k^* &> \lambda_1^* + \lambda_2^* + \cdots + \lambda_k^*\end{aligned}$$

即有 $\mu_k^* > \lambda_k^*$ ，记 $u = \mu_k^*, v = \lambda_k^*$ 。又因为 $\mu^*$ 和 $\lambda^*$ 都是 $n$ 的分拆，所以有

$$\mu_{k+1}^* + \mu_{k+2}^* + \cdots \leq \lambda_{k+1}^* + \lambda_{k+2}^* + \cdots$$

如图，由互换行列前后的关系可得，



$$\mu_{k+1}^* + \mu_{k+2}^* \cdots = \sum_1^u (u_i - k), \lambda_{k+1}^* + \lambda_{k+2}^* + \cdots = \sum_{i=1}^v (\lambda_i - k)$$

有放缩，

$$\sum_{i=1}^v (\mu_i - k) < \sum_{i=1}^u \leq \sum_{i=1}^v (\lambda_i - k)$$

可得

$$\mu_1 + \mu_2 + \cdots + \mu_v < \lambda_1 + \lambda_2 + \cdots + \lambda_v \tag{2}$$

其中(1)式与(2)式矛盾，因此假设不成立， 综上， 证毕。

# EX29

Prove that the number of partitions of the positive integer  $n$  into parts each of which is at most 2 equals  $\lfloor n/2 \rfloor + 1$ .  
(Remark: There is a formula, namely the nearest integer to  $\frac{(n+3)^2}{12}$ , for the number of partitions of  $n$  into parts each of which is at most 3 but it is much more difficult to prove. There is also one for partitions with no part more than 4, but it is even more complicated and difficult to prove.)

当 $n = 2r$ 时，每一部分至多是2的分拆为

$$1^n, 2^1 1^{n-2}, 2^2 1^{n-4}, \cdots, 2^r$$

当  $n = 2r + 1$  时, 每一部分最多是2的分拆为

$$1^n, 2^1 1^{n-2}, 2^2 1^{n-4}, \dots, 2^r 1^1$$

不论奇偶, 都是分拆为  $r+1$  个部分, 当  $r$  为偶数时,  $r+1 = \frac{n}{2} + 1 = \lfloor n/2 \rfloor + 1$ , 当  $r$  为奇数时,  $r+1 = \frac{n-1}{2} + 1 = \lfloor (n+1)/2 \rfloor = \lfloor n/2 \rfloor + 1$ 。

综上, 分拆成每一部分至多是2的分拆数等于  $\lfloor n/2 \rfloor$ 。

## EX30

Prove that the partition function satisfies

$$p_n > p_{n-1} \quad (n \geq 2)$$

考虑  $n-1$  的分拆数和  $n$  的分拆数, 显然所有  $n-1$  的分拆数  $+1$  都是  $n$  的分拆数, 此外  $n$  还有它自己作为分拆数, 因此一定有  $p_n > p_{n-1}$ 。

## EX31

Evaluate  $h_{k-1}^{(k)}$  the number of regions into which  $k$ -dimensional space is partitioned by  $k-1$  hyperplanes in general position.

$$h_{k-1}^{(k)} = \binom{k-1}{0} + \binom{k-1}{1} + \dots + \binom{k-1}{k-1} + \binom{k-1}{k} = 2^{k-1}$$

## EX31注

超平面是8.4节的内容, 本次考试不涉及。

## EX32

Use the recurrence relation (8.31) to compute the small Schroder numbers  $s_8$  and  $s_9$ .

小Schroder数的性质:

1.  $s_1 = s_2 = 1$
2.  $(n+2)s_{n+2} - 3(2n+1)s_{n+1} + (n-1)s_n = 0, n \geq 1$

由递推关系和初始项, 可以计算出  $s_3 = 3, s_4 = 11, s_5 = 45, s_6 = 197, s_7 = 903$ ,

$$8s_8 - 3 \times 13 \times 903 + 5 \times 197 = 0$$

求出  $s_8 = 4279$ , 同理可以求出  $9s_9 - 3 \times 15 \times 4279 + 6 \times 903 = 0$ , 得  $s_9 = 20793$ 。

## EX33

Use the recurrence relation (8.32) to compute the large Schroder numbers  $R_7$  and  $R_8$ . Verify that  $R_7 = 2s_8$  and  $R_8 = 2s_9$ , as stated in Corollary 8.5.8.

大Schroder数的性质：

$$R_n = \sum_{r=0}^n \frac{1}{n-r+1} \frac{(2n-r)!}{r![(n-r)!]^2}$$

带入  $n = 7$  计算,

$$\begin{aligned} R_7 &= \sum_{r=0}^7 \frac{1}{8-r} \frac{(14-r)!}{r![(7-r)!]^2} \\ &= \frac{1}{8} \frac{14!}{0!(7!)^2} + \frac{1}{7} \frac{13!}{1!(6!)^2} + \frac{1}{6} \frac{12!}{2!(5!)^2} + \frac{1}{5} \frac{11!}{3!(4!)^2} + \frac{1}{4} \frac{10!}{4!(3!)^2} + \frac{1}{3} \frac{9!}{5!(2!)^2} + \frac{1}{2} \frac{8!}{6!(1!)^2} + \frac{1}{1} \frac{7!}{7!(0!)^2} \\ &= 8558 = 2 \times 4279 = 2s_8 \end{aligned}$$

### EX33注

题目要求使用递推关系计算，大Schroder数的递推关系为，

$$R_n = R_{n-1} + \sum_{k=1}^n R_{k-1} R_{n-k}$$

注意与Catalan数进行区分。

### EX34

Use the generating function for the large Schroder numbers to compute the first few large Schroder numbers.

大Schroder数序列的生成函数为

$$\sum_{n=0}^{\infty} R_n x^n = \frac{1}{2x} (-(x-1) - \sqrt{x^2 - 6x + 1})$$

$\sqrt{x^2 - 6x + 1}$  在  $x=0$  处的泰勒级数为  $1 - 3x - 4x^2 - 12x^3 - 44x^4 + \dots$ ,

因此,

$$\begin{aligned} \sum_{k=0}^{\infty} R_k x^k &= \frac{1}{2x} (-(x-1) - (1 - 3x - 4x^2 - 12x^3 - 44x^4 + \dots)) \\ &= \frac{2x + 4x^2 + 12x^3 + 44x^4 + \dots}{2x} \\ &= 1 + 2x + 6x^2 + 22x^3 + \dots \end{aligned}$$

综上, 有  $R_0 = 1, R_1 = 2, R_2 = 6, R_3 = 22$ 。

### EX35

Use the generating function for the small Schroder numbers to compute the first few small Schroder numbers.

小Schroder数序列的生成函数为

$$\sum_{n=1}^{\infty} s_n x^n = \frac{1}{4}(1 + x - \sqrt{x^2 - 6x + 1})$$

同上, 带入 $\sqrt{x^2 - 6x + 1}$ 的泰勒级数,

$$\begin{aligned}\sum_{k=1}^{\infty} s_k x^k &= \frac{4x + 4x^2 + 12x^3 + 44x^4 + \cdots}{4} \\ &= x + x^2 + 3x^3 + 11x^4 + \cdots\end{aligned}$$

综上, 有 $r_1 = 1, r_2 = 1, r_3 = 3, r_4 = 11$ 。

## EX36

Prove that the Catalan number  $C_n$  equals the number of lattice paths from  $(0,0)$  to  $(2n, 0)$  using only upsteps  $(1, 1)$  and downsteps  $(1, -1)$  that never go above the horizontal axis (so there are as many up steps as there are downsteps). (These are sometimes called *Dyck paths*.)

记上行步 $(1,1)$ 为 $-1$ , 下行步 $(1,-1)$ 为 $+1$ , 步行序列为 $a_1, a_2, \cdots, a_{2n}$ 。

因为起点 $y$ 坐标与终点 $y$ 坐标相同, 那么一定有 $n$ 个上行步  $(+1)$  和 $n$ 个下行步  $(-1)$ , 并且从不经过水平轴上方的格路径, 即前 $k$ 项和 $a_1 + a_2 + \cdots + a_k \geq 0, 1 \leq k \leq 2n$ , 该问题与第 $n$ 个Catalan数的组合意义相同, 因此等于 $C_n$ 。

## EX37

加星题, 略。