

# 第7章 递推关系和生成函数

## EX1

Let  $f_0, f_1, f_2, \dots, f_n, \dots$ , denote the Fibonacci sequence. By evaluating each of the following expressions for small values of  $n$ , conjecture a general formula and then prove it, using mathematical induction and the Fibonacci recurrence:

(a)  $f_1 + f_3 + \dots + f_{2n-1}$

(b)  $f_0 + f_2 + \dots + f_{2n}$

(c)  $f_0 - f_1 + f_2 - \dots + (-1)^n f_n$

(d)  $f_0^2 + f_1^2 + \dots + f_n^2$

## EX1(a)

先打表找规律,

```

#include <iostream>
#include <vector>

using namespace std;

int main()
{
    int p = 0, q = 1;
    vector<int> fibList {p, q};
    for(int i = 0; i < 50; ++ i) {
        fibList.emplace_back(p+q);
        p = q;
        q = fibList.back();
    }

    int odd_total = 0, even_total = 0, alter_total = 0, square_total;
    int flag = 1;
    printf("idx \t sum_odd \t sum_total \t sum_alter \t sum_square \n");
    for(int i = 0; i < 10; ++ i) {
        odd_total += fibList[max(2*i-1, 0)];
        even_total += fibList[2*i];
        alter_total += flag * fibList[i];
        flag *= -1;
        square_total += fibList[i] * fibList[i];
        printf("%d \t %d \t %d \t %d \t %d \n", i, odd_total, even_total,
            alter_total, square_total);
    }
    return 0;
}

```

可以发现:  $f_1 + f_3 + \cdots + f_{2n-1} = f_{2n}$ ,  $f_0 + f_2 + \cdots + f_{2n} = f_{2n+1} - 1$ ,  $f_0 - f_1 + f_2 - \cdots + (-1)^n f_n = -1 + (-1)^n f_{n-1}$ ,  $f_0^2 + f_1^2 + \cdots + f_n^2 = f_n f_{n+1}$ 。

数学归纳证明过程略。

## EX1(C)

$$f_0 - f_1 + f_2 - \cdots + (-1)^n f_n = -1 + (-1)^n f_{n-1}, \quad (n \geq 1)$$

对于特殊的 $f_0$ 有 $f_0 = 0$ 。

## EX1(d)

这个强调一下特殊的构造方法，辅助猜测递推公式，后面也会用到这个构造法。

$$\begin{aligned}
\sum_{i=0}^n f_i &= f_0^2 + \sum_{i=1}^n f_i^2 \\
&= f_0 f_1 + \sum_{i=1}^n f_i (f_{i+1} - f_{i-1}) \\
&= f_0 f_1 + (f_1 f_2 - f_1 f_0) + (f_2 f_3 - f_2 f_1) + \cdots + (f_n f_{n+1} - f_n f_{n-1}) \\
&= f_n f_{n+1}
\end{aligned}$$

## EX2

Prove that the  $n$ th Fibonacci number  $f_n$  is the integer that is closest to the number

$$\frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n$$

设  $a = \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n$ ，并且知道  $f_n = \frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n$ ，那么  $f_n$  与  $a$  的距离为，

$$\begin{aligned}
|f_n - a| &= \left| \frac{1}{\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^n \right| \\
&= \frac{1}{\sqrt{5}} \left( \frac{(\sqrt{5} - 1)(\sqrt{5} + 1)}{2(\sqrt{5} + 1)} \right)^n \\
&= \frac{1}{\sqrt{5}} \left( \frac{2}{\sqrt{5} + 1} \right)^n \\
&\leq \frac{1}{\sqrt{5}} \frac{2}{\sqrt{5} + 1} \\
&= \frac{2}{5 + \sqrt{5}} \\
&\leq \frac{2}{5}
\end{aligned}$$

因此， $f_n$  是最接近  $\frac{1}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^n$  的整数。

## EX3

Prove the following about the Fibonacci numbers:

- (a)  $f_n$  is even if and only if  $n$  is divisible by 3.
- (b)  $f_n$  is divisible by 3 if and only if  $n$  is divisible by 4.
- (c)  $f_n$  is divisible by 4 if and only if  $n$  is divisible by 6.

## EX3(b)

$f_0 = 0, f_1 = f_2 = 1, f_3 = 2, f_4 = 3, \dots$ ,  $f_0$ 能被3整除, 且 $n=0$ 可被4整除。并且 $f_1, f_2, f_3$ 均不能被3整除,  $f_4 = 3$ 可被3整除, 且 $n=4$ 被4整除。

下面使用数学归纳法证明, 当 $n$ 被4整除时,  $f_n$ 一定被3整除。

设 $f_{4m}$ 被3整除, 且 $m \geq 1$ 。

$$\begin{aligned}f_n &= f_{n-1} + f_{n-2} \\&= 2f_{n-2} + f_{n-3} \\&= 3f_{n-3} + f_{n-4}\end{aligned}$$

因此 $f_n$ 与 $f_{n-4}$ 模三同余, 即 $f_n \equiv f_{n-4} \pmod{3}$ , 并且 $f_0 = 0, f_1 = f_2 = 1, f_3 = 2, f_4 = 3$ , 因而 $f_n$ 被3整除当且仅当 $n$ 可被4整除。

## EX3PS

从「周期性」的角度入手, 验证了当 $n$ 为4的倍数时 $f_n$ 可被3整除, 并且当 $n$ 不是4的倍数时,  $f_n$ 也一定不能被3整除。

## EX4

Prove that the Fibonacci sequence is the solution of the recurrence relation

$$a_n = 5a_{n-4} + 3a_{n-5}, \quad (n \geq 5),$$

where  $a_0 = 0, a_1 = 1, a_2 = 1, a_3 = 2$ , and  $a_4 = 3$ . Then use this formula to show that the Fibonacci numbers satisfy the condition that  $f_n$  is divisible by 5 if and only if  $n$  is divisible by 5.

连续使用 $a_n = a_{n-1} + a_{n-2}$ 进行代换,

$$\begin{aligned}a_n &= a_{n-1} + a_{n-2} \\&= 2a_{n-2} + a_{n-3} \\&= 3a_{n-3} + 2a_{n-4} \\&= 5a_{n-4} + 3a_{n-5}\end{aligned}$$

因此 $a_n$ 与 $a_{n-5}$ 模5同余, 即 $a_n \equiv a_{n-5} \pmod{5}$ 。并且由 $a_0 = 0, a_1 = 1, a_2 = 1, a_3 = 2, a_4 = 3$ 可知, 当且仅当 $n$ 被5整除时,  $f_n \pmod{5} = 0$ 。

## EX5

By examining the Fibonacci sequence, make a conjecture about when  $f_n$  is divisible by 7 and then prove your conjecture.

对斐波那契数列  $f_n$  能被7整除，当且仅当  $n$  能被8整除。

## EX6-7

越来越懒了，加星题，题目都不想抄了。

## EX8

Consider a 1-by- $n$  chessboard. Suppose we color each square of the chessboard with one of the two colors red and blue. Let  $h_n$  be the number of colorings in which no two squares that are colored red are adjacent. Find and verify a recurrence relation that  $h_n$  satisfies. Then derive a formula for  $h_n$ .

如果第一个方块着成红色，那么第二个方块只能着成蓝色，问题转化为  $1 \times (n-2)$  棋盘着色问题；如果第一个方块着成蓝色，问题转化为  $1 \times (n-1)$  棋盘着色问题；因此， $h_n = h_{n-1} + h_{n-2}$ 。

并且有初始项  $h_0 = 1, h_1 = 2$ ，显然  $h_n = f_{n+2}$ 。

## EX9

Let  $h_n$  equal the number of different ways in which the squares of a 1-by- $n$  chessboard can be colored, using the colors red, white, and blue so that no two squares that are colored red are adjacent. Find and verify a recurrence relation that  $h_n$  satisfies. Then find a formula for  $h_n$ .

考虑第一个方框放红色，那么第二个方块只能放白色或蓝色，问题转化为  $h_{n-2}$ ；考虑第一个方块放白色或蓝色，那么问题转化为  $h_{n-1}$ 。

$$h_n = 2h_{n-1} + h_{n-2}, \quad h_0 = 1, h_1 = 3$$

求解方程  $x^2 = 2x + 1$ ，对应  $h_n$  的通解为  $h_n = c_1(1 + \sqrt{3})^n + c_2(1 - \sqrt{3})^n$ 。

带入初始值  $h_0 = 1, h_1 = 3$  可以求出常系数  $c_1 = \frac{1}{2} + \frac{\sqrt{3}}{3}, c_2 = \frac{1}{2} - \frac{\sqrt{3}}{3}$ 。

$$h_n = \frac{3 + 2\sqrt{3}}{6}(1 + \sqrt{3})^n + \frac{3 - 2\sqrt{3}}{6}(1 - \sqrt{3})^n, \quad n = 0, 1, 2, \dots$$

## EX10

Suppose that, in his problem, Fibonacci had placed two pairs of rabbits in the enclosure at the beginning of a year. Find the number of pairs of rabbits in the enclosure after one year. More generally, find the number of pairs of rabbits in the enclosure after  $n$  months.

设第 $t$ 个月时有 $g_t$ 个兔子, 满足 $g_t = g_{t-1} + g_{t-2}$ ,  $g_0 = 0, g_1 = 2$ , 可以求出通解,

$$g_t = \frac{2}{\sqrt{5}} \left( \frac{1 + \sqrt{5}}{2} \right)^t - \frac{2}{\sqrt{5}} \left( \frac{1 - \sqrt{5}}{2} \right)^t = 2f_t$$

第一个月再过 $n$ 个月是第 $n+1$ 月, 因此有 $g_{n+1}$ 对兔子。

## EX10注

本题以 $n$ 作为一个常量 ( $n$ 个月后), 为了区分就需要把未知量设为 $t$ 。

## EX11

The Lucas numbers  $l_0, l_1, l_2, \dots, l_n, \dots$  are defined using the same recurrence relation defining the Fibonacci numbers, but with different initial conditions:

$$l_n = l_{n-1} + l_{n-2}, \quad (n \geq 2), l_0 = 2, l_1 = 1$$

Prove that

(a)  $l_n = f_{n-1} + f_{n+1}$  for  $n \geq 1$

(b)  $l_0^2 + l_1^2 + \dots + l_n^2 = l_n l_{n+1} + 2$  for  $n \geq 0$

### EX11(a)

设 $Z_n = f_{n-1} + f_{n+1} - l_n, n \geq 1$ ,  $Z_1 = f_0 + f_2 - l_1 = 0 + 1 - 1 = 0$ ;  $Z_2 = f_1 + f_3 - l_2 = 1 + 2 - 3 = 0$ 。

又因为 $Z_n = Z_{n-1} + Z_{n-2}, n \geq 3$ , 所以 $Z_n = 0, n \geq 1$ 。即有,

$$l_n = f_{n-1} + f_{n+1}, \quad n \geq 1$$

### EX11(b)

因为 $l_{n+1} = l_n + l_{n-1}$ , 所以 $l_n^2 = l_n(l_{n+1} - l_{n-1}), n \geq 1$ 。

$$\begin{aligned} l_0^2 + l_1^2 + \dots + l_n^2 &= l_0^2 + \sum_{i=1}^n l_i^2 \\ &= 4 + (l_1 l_2 - l_1 l_0) + (l_2 l_3 - l_2 l_1) + \dots + (l_n l_{n+1} - l_n l_{n-1}) \\ &= 4 + l_n l_{n+1} - l_1 l_0 \\ &= l_n l_{n+1} + 2 \end{aligned}$$

## EX12

Let  $h_0, h_1, h_2, \dots, h_n, \dots$  be the sequence defined by

$$h_n = n^3, \quad (n \geq 0)$$

Show that  $h_n = h_{n-1} + 3n^2 - 3n + 1$  is the recurrence relation for the sequence.

带入  $h_{n-1} = (n-1)^3$  化简。

## EX13

Determine the generating function for each of the following sequences:

(a)  $c^0 = 1, c, c^2, \dots, c^n, \dots$

(b)  $1, -1, 1, -1, \dots, (-1)^n, \dots$

(c)  $\binom{\alpha}{0}, -\binom{\alpha}{1}, \binom{\alpha}{2}, \dots, (-1)^n \binom{\alpha}{n}, \dots$  ( $\alpha$  is a real number)

(d)  $1, \frac{1}{1!}, \frac{1}{2!}, \dots, \frac{1}{n!}, \dots$

(e)  $1, -\frac{1}{1!}, \frac{1}{2!}, \dots, (-1)^n \frac{1}{n!}, \dots$

无穷数列  $h_0, h_1, h_2, \dots, h_n, \dots$  对应的生成函数为

$$g(x) = h_0 + h_1x + h_2x^2 + \dots + h_nx^n \dots$$

### EX13(a)

$$\begin{aligned} g(x) &= 1 + cx + c^2x^2 + \dots + c^nx^n + \dots \\ &= 1 + (cx) + (cx)^2 + \dots + (cx)^n + \dots \\ &= \frac{1}{1 - cx} \end{aligned}$$

### EX13(b)

$$\begin{aligned} g(x) &= 1 - x + x^2 + \dots + (-1)^nx^n + \dots \\ &= 1 + (-x) + (-x)^2 + \dots + (-x)^n + \dots \\ &= \frac{1}{1 + x} \end{aligned}$$

### EX13(c)

$$\begin{aligned}
 g(x) &= \binom{\alpha}{0} - \binom{\alpha}{1}x + \binom{\alpha}{2}x^2 + \cdots + (-1)^n \binom{\alpha}{n}x^n + \cdots \\
 &= \sum_{k=0}^{\infty} \binom{\alpha}{k} (-x)^k \\
 &= (1-x)^{\alpha}
 \end{aligned}$$

### EX13(d)

$$\begin{aligned}
 g(x) &= 1 + \frac{1}{1!}x + \frac{1}{2!}x^2 + \cdots + \frac{1}{n!}x^n + \cdots \\
 &= e^x
 \end{aligned}$$

### EX13(e)

$$\begin{aligned}
 g(x) &= 1 - \frac{1}{1!}x + \frac{1}{2!}x^2 - \cdots + (-1)^n \frac{1}{n!}x^n + \cdots \\
 &= e^{-x}
 \end{aligned}$$

## EX14

Let S be the multiset  $\{\infty \cdot e_1, \infty \cdot e_2, \infty \cdot e_3, \infty \cdot e_4\}$ . Determine the generating function for the sequence  $h_0, h_1, h_2, \dots, h_n, \dots$ , where  $h_n$  is the number of n-combinations of S with the following added restrictions:

- (a) Each  $e_i$  occurs an odd number of times.
- (b) Each  $e_i$  occurs a multiple-of-3 number of times.
- (c) The element  $e_1$  does not occur, and  $e_2$  occurs at most once.
- (d) The element  $e_1$  occurs 1, 3, or 11 times, and the element  $e_2$  occurs 2, 4, or 5 times.
- (e) Each  $e_i$  occurs at least 10 times.

### EX14(a)

$$\begin{aligned}
 g(x) &= (x + x^3 + x^5 + \cdots)(x + x^3 + x^5 + \cdots)(x + x^3 + x^5 + \cdots)(x + x^3 + x^5 + \cdots) \\
 &= \left( \frac{x}{1-x^2} \right)^4
 \end{aligned}$$

### EX14(b)



$$g(x) = (1 + x^3 + x^6 + \cdots)(1 + x^3 + x^6 + \cdots)(1 + x^3 + x^6 + \cdots)(1 + x^3 + x^6 + \cdots)$$

$$= \frac{1}{(1 - x^3)^4}$$

### EX14(c)

$$g(x) = (1)(1 + x)(1 + x + x^2 + x^3 + \cdots)(1 + x + x^2 + x^3 + \cdots)$$

$$= \frac{1 + x}{(1 - x)^2}$$

### EX14(d)

$$g(x) = (x + x^3 + x^{11})(x^2 + x^4 + x^5)(1 + x + x^2 + x^3 + \cdots)(1 + x + x^2 + x^3 + \cdots)$$

$$= \frac{(x + x^3 + x^{11})(x^2 + x^4 + x^5)}{(1 - x)^2}$$

### EX14(e)

$$g(x) = (x^{10} + x^{11} + \cdots)(x^{10} + x^{11} + \cdots)(x^{10} + x^{11} + \cdots)(x^{10} + x^{11} + \cdots)$$

$$= x^{40}(1 + x^2 + x^3 + \cdots)(1 + x^2 + x^3 + \cdots)(1 + x^2 + x^3 + \cdots)(1 + x^2 + x^3 + \cdots)$$

$$= \frac{x^{40}}{(1 - x)^4}$$

## EX15

Determine the generating function for the sequence of cubes

$$0, 1, 8, \dots, n^3, \dots$$

由第5章EX20知,

$$n^3 = 6\binom{n}{3} + 6\binom{n}{2} + \binom{n}{1}$$

$$\begin{aligned}
g(x) &= x + 8x^2 + \cdots + n^3 x^2 + \cdots \\
&= \sum_{n=0}^{\infty} n^3 x^n \\
&= \sum_{n=0}^{\infty} 6 \binom{n}{3} x^n + \sum_{n=0}^{\infty} 6 \binom{n}{2} x^n + \sum_{n=0}^{\infty} \binom{n}{1} x^n \\
&= 6x^3 \sum_{n=3}^{\infty} \binom{n}{3} x^{n-3} + 6x^2 \sum_{n=2}^{\infty} \binom{n}{2} x^{n-2} + x \sum_{n=1}^{\infty} \binom{n}{1} x^{n-1} \\
&= 6x^3 \sum_{n=0}^{\infty} \binom{n+3}{3} x^n + 6x^2 \sum_{n=0}^{\infty} \binom{n+2}{2} x^n + x \sum_{n=0}^{\infty} \binom{n+1}{1} x^n
\end{aligned}$$

由第5章EX43知,

$$\frac{1}{(1-z)^m} = \sum_{n=0}^{\infty} \binom{m+n-1}{n} z^n, \quad |z| < 1$$

因此,

$$\begin{aligned}
g(x) &= 6x^3 \sum_{n=0}^{\infty} \binom{n+3}{3} x^n + 6x^2 \sum_{n=0}^{\infty} \binom{n+2}{2} x^n + x \sum_{n=0}^{\infty} \binom{n+1}{1} x^n \\
&= 6x^3 \frac{1}{(1-x)^4} + 6x^2 \frac{1}{(1-x)^3} + x \frac{1}{(1-x)^2} \\
&= \frac{x(x^2 + 4x + 1)}{(1-x)^4}
\end{aligned}$$

## EX16

Formulate a combinatorial problem for which the generating function is

$$(1 + x + x^2)(1 + x^2 + x^4 + x^6)(1 + x^2 + x^4 + \cdots)(x + x^2 + x^3 + \cdots)$$

果篮中苹果、香蕉、西瓜和桃子, 求苹果不超过2个, 香蕉为不超过6个且为偶数, 西瓜是偶数个, 桃子至少有1个的组合数。

## EX17

Determine the generating function for the number  $h_n$  of bags of fruit of apples, oranges, bananas, and pears in which there are an even number of apples, at most two oranges, a multiple of three number of bananas, and at most one pear. Then find a formula for  $h_n$  from the generating function.

$$\begin{aligned}
 g(x) &= (1 + x^2 + x^4 + \cdots)(1 + x + x^2)(1 + x^3 + x^6 + \cdots)(1 + x) \\
 &= \frac{1}{(1 - x)^2} \\
 &= \sum_{n=0}^{\infty} (n + 1)x^n
 \end{aligned}$$

因此  $h_n = (n + 1)$ 。

## EX17注

注意原书题干中的印刷错误，至多有两个橙子。

## EX18

Determine the generating function for the number  $h_n$  of nonnegative integral solutions of

$$2e_1 + 5e_2 + e_3 + 7e_4 = n$$

令  $f_1 = 2e_1, f_2 = 5e_2, f_3 = e_3, f_4 = 7e_4$ ，所以有  $f_1 + f_2 + f_3 + f_4 = n$ ，其中  $f_1$  是 2 的倍数， $f_2$  是 5 的倍数， $f_3$  是 1 的倍数， $f_4$  是 7 的倍数。有生成函数，

$$\begin{aligned}
 g(x) &= (1 + x^2 + x^4 + \cdots)(1 + x^5 + x^{10} + \cdots)(1 + x + x^2 + x^3 + \cdots)(1 + x^7 + x^{14} + \cdots) \\
 &= \frac{1}{1 - x^2} \frac{1}{1 - x^5} \frac{1}{1 - x} \frac{1}{1 - x^7}
 \end{aligned}$$

## EX19

Let  $h_0, h_1, h_2, \dots, h_n, \dots$  be the sequence defined by  $h_n = \binom{n}{2}, (n \geq 0)$ . Determine the generating function for the sequence.

$$\begin{aligned}
 g(x) &= \sum_{n=0}^{\infty} h_n x^n \\
 &= \sum_{n=0}^{\infty} \binom{n}{2} x^n \\
 &= x^2 \sum_{n=2}^{\infty} \binom{n}{2} x^{n-2} \\
 &= x^2 \sum_{n=0}^{\infty} \binom{n+2}{2} x^n \\
 &= \frac{x^2}{(1 - x)^3}
 \end{aligned}$$

## EX19注

本题可以算是EX15的一个子问题

## EX20

Let  $h_0, h_1, h_2, \dots, h_n, \dots$  be the sequence defined by  $h_n = \binom{n}{3}, (n \geq 0)$ . Determine the generating function for the sequence.

$$\begin{aligned} g(x) &= \sum_{n=0}^{\infty} h_n x^n \\ &= \sum_{n=0}^{\infty} \binom{n}{3} x^n \\ &= x^3 \sum_{n=3}^{\infty} \binom{n}{3} x^{n-3} \\ &= x^3 \sum_{n=0}^{\infty} \binom{n+3}{3} x^n \\ &= \frac{x^3}{(1-x)^4} \end{aligned}$$

## EX21

加星题，略。

## EX22

Determine the exponential generating function for the sequence of factorials:  $0!, 1!, 2!, 3!, \dots, n!, \dots$

$$\begin{aligned} g^{(e)}(x) &= \sum_{n=0}^{\infty} h_n \frac{x^n}{n!} \\ &= \sum_{n=0}^{\infty} n! \frac{x^n}{n!} \\ &= \sum_{n=0}^{\infty} x^n \\ &= \frac{1}{1-x} \end{aligned}$$

## EX23

Let  $\alpha$  be a real number. Let the sequence  $h_0, h_1, h_2, \dots, h_n, \dots$  be defined by  $h_0 = 1$ , and  $h_n = \alpha(\alpha - 1) \cdots (\alpha - n + 1)$ , ( $n \geq 1$ ). Determine the exponential generating function for the sequence.

$$\begin{aligned} g^{(e)}(x) &= \sum_{n=0}^{\infty} h_n \frac{x^n}{n!} \\ &= \sum_{n=0}^{\infty} \alpha(\alpha - 1) \cdots (\alpha - n + 1) \frac{x^n}{n!} \\ &= \sum_{n=0}^{\infty} \binom{\alpha}{n} x^n \\ &= (1 + x)^\alpha \end{aligned}$$

## EX24

Let  $S$  be the multiset  $\{\infty \cdot e_1, \infty \cdot e_2, \infty \cdot e_3, \dots, \infty \cdot e_k\}$ . Determine the exponential generating function for the sequence  $h_0, h_1, h_2, \dots, h_n, \dots$ , where  $h_0 = 1$  and, for  $n \geq 1$ ,

- (a)  $h_n$  equals the number of  $n$ -permutations of  $S$  in which each object occurs an odd number of times.
- (b)  $h_n$  equals the number of  $n$ -permutations of  $S$  in which each object occurs at least four times.
- (c)  $h_n$  equals the number of  $n$ -permutations of  $S$  in which  $e_1$  occurs at least once,  $e_2$  occurs at least twice, ...,  $e_k$  occurs at least  $k$  times.
- (d)  $h_n$  equals the number of  $n$ -permutations of  $S$  in which  $e_1$  occurs at most once,  $e_2$  occurs at most twice, ...,  $e_k$  occurs at most  $k$  times.

### EX24(a)

$$\begin{aligned} g^{(e)}(x) &= \left( \frac{x}{1!} + \frac{x^3}{3!} + \frac{x^5}{5!} + \cdots \right) \left( \frac{x}{1!} + \frac{x^3}{3!} + \frac{x^5}{5!} + \cdots \right) \cdots \left( \frac{x}{1!} + \frac{x^3}{3!} + \frac{x^5}{5!} + \cdots \right) \\ &= \left( \frac{x}{1!} + \frac{x^3}{3!} + \frac{x^5}{5!} + \cdots \right)^k \\ &= \left( \frac{e^x - e^{-x}}{2} \right)^k \end{aligned}$$

### EX24(b)

$$\begin{aligned} G^{(e)}(x) &= \frac{x^4}{4!} + \frac{x^5}{5!} + \frac{x^6}{6!} + \cdots \\ &= e^x - 1 - x - \frac{x^2}{2!} - \frac{x^3}{3!} \end{aligned}$$

并且指数生成函数为  $g^{(e)}(x) = (G^{(e)}(x))^k$ 。

## EX24(c)

$$\begin{aligned}
 G_k^{(e)}(x) &= \frac{x^k}{k!} + \frac{x^{k+1}}{(k+1)!} + \frac{x^{k+2}}{(k+2)!} + \cdots \\
 &= e^x - \sum_{j=0}^{k-1} \frac{x^j}{j!}
 \end{aligned}$$

指数生成函数为  $g^{(e)}(x) = G_1^{(e)}(x)G_2^{(e)}(x) \cdots G_k^{(e)}(x)$ 。

## EX24(d)

$$\begin{aligned}
 G_k^{(e)}(x) &= 1 + \frac{x}{1!} + \frac{x^2}{2!} + \cdots + \frac{x^k}{k!} \\
 &= \sum_{j=0}^k \frac{x^j}{j!}
 \end{aligned}$$

指数生成函数为  $g^{(e)}(x) = G_1^{(e)}(x)G_2^{(e)}(x) \cdots G_k^{(e)}(x)$ 。

## EX25

Let  $h_n$  denote the number of ways to color the squares of a 1-by- $n$  board with the colors red, white, blue, and green in such a way that the number of squares colored red is even and the number of squares colored white is odd. Determine the exponential generating function for the sequence  $h_0, h_1, h_2, \dots, h_n, \dots$ , and then find a simple formula for  $h_n$ .

$$\begin{aligned}
 g^{(e)}(x) &= (1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \cdots) (\frac{x}{1!} + \frac{x^3}{3!} + \frac{x^5}{5!} + \cdots) (1 + \frac{x}{1!} + \frac{x^2}{2!} + \cdots) (1 + \frac{x}{1!} + \frac{x^2}{2!} + \cdots) \\
 &= \frac{e^x + e^{-x}}{2} \frac{e^x - e^{-x}}{2} e^x e^x \\
 &= e^{2x} \frac{e^{2x} - e^{-2x}}{4} \\
 &= \frac{e^{4x} - 1}{4} \\
 &= \frac{1}{4} \left( 4x + \frac{(4x)^2}{2!} + \cdots \right) \\
 &= \sum_{n=1}^{\infty} 4^{n-1} \frac{x^n}{n!}
 \end{aligned}$$

因此  $h_n = 4^{n-1}, (n \geq 1)$ , 当  $n=0$  时,  $h_0 = 1$ 。

## EX26

Determine the number of ways to color the squares of a 1-by-n chessboard, using the colors red, blue, green, and orange if an even number of squares is to be colored red and an even number is to be colored green.

$$\begin{aligned}
 g^{(e)}(x) &= \left(1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \cdots\right) \left(1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \cdots\right) \left(1 + \frac{x}{1!} + \frac{x^2}{2!} + \cdots\right) \left(1 + \frac{x}{1!} + \frac{x^2}{2!} + \cdots\right) \\
 &= \frac{e^x + e^{-x}}{2} \frac{e^x + e^{-x}}{2} e^x e^x \\
 &= e^{2x} \frac{e^{2x} + e^{-2x} + 2}{4} \\
 &= \frac{e^{4x} + 2e^{2x} + 1}{4} \\
 &= \frac{1}{4} \left(1 + (4 + 2 \times 2)x + \frac{(4^2 + 2 \times 2^2)x^2}{2!} + \cdots\right) \\
 &= \frac{1}{4} + \sum_{n=1}^{\infty} (4^{n-1} + 2^{n-1}) \frac{x^n}{n!}
 \end{aligned}$$

因此  $h_n = 4^{n-1} + 2^{n-1}$ , ( $n \geq 1$ ), 当  $n=0$  时,  $h_0 = 1$ 。

## EX27

Determine the number of n-digit numbers with all digits odd, such that 1 and 3 each occur a nonzero, even number of times.

问题等价于  $\{\infty \cdot 1, \infty \cdot 3, \infty \cdot 5, \infty \cdot 7, \infty \cdot 9\}$  的多重集合 n 排列数,

$$\begin{aligned}
 G_1^{(e)}(x) &= G_3^{(e)}(x) = \frac{x^2}{2!} + \frac{x^4}{4!} + \cdots = \frac{e^x + e^{-x}}{2} - 1 \\
 G_5^{(e)}(x) &= G_7^{(e)}(x) = G_9^{(e)}(x) = e^x
 \end{aligned}$$

指数生成函数为,

$$\begin{aligned}
 g^{(e)}(x) &= G_1^{(e)}(x) G_3^{(e)}(x) \cdots G_9^{(e)}(x) \\
 &= \left( \frac{e^x + e^{-x} - 2}{2} \right)^2 e^{3x} \\
 &= \frac{e^{5x} - 4e^{4x} + 6e^{3x} - 4e^{2x} + e^x}{4}
 \end{aligned}$$

$$\text{因此 } h_n = \frac{5^n - 4 \times 4^n + 6 \times 3^n - 4 \times 2^n + 1}{4}.$$

## EX28

Determine the number of n-digit numbers with all digits at least 4, such that 4 and 6 each occur an even number of times, and 5 and 7 each occur at least once, there being no restriction on the digits 8 and 9.

问题等价于 $\{\infty \cdot 4, \infty \cdot 5, \infty \cdot 6, \infty \cdot 7, \infty \cdot 8, \infty \cdot 9\}$ 的多重集合n排列数。

$$G_4^{(e)}(x) = G_6^{(e)}(x) = 1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \cdots = \frac{e^x + e^{-x}}{2}$$
$$G_5^{(e)}(x) = G_7^{(e)}(x) = e^x - 1$$

指数生成函数为，

$$g^{(e)}(x) = G_4^e(x)G_5^e(x) \cdots G_9^e(x)$$
$$= \left(\frac{e^x + e^{-x}}{2}\right)^2 (e^x - 1)^2 e^{2x}$$
$$= \frac{e^{6x} - 2e^{5x} + 3e^{4x} - 4e^{3x} + 3e^{2x} - 2e^x + 1}{4}$$

因此 $h_n = \frac{6^n - 2 \times 5^n + 3 \times 4^n - 4 \times 3^n + 3 \times 2^n - 2}{4}, (n \geq 0), \quad h_0 = 0.$

EX28强调

根据题目的意义来决定初始项 $h_0$ 是什么， 本题 $h_0$ 是0位数中满足性质的个数， 而0位数不可能满足**5和7至少出现一次**。

EX29

We have used exponential generating functions to show that the number  $h_n$  of  $n$ -digit numbers with each digit odd, where the digits 1 and 3 occur an even number of times, satisfies the formula

$$h_n = \frac{5^n + 2 \times 3^n + 1}{4}, \quad (n \geq 0)$$

Obtain an lalternative derivation of this formula.

根据n位数中1和3出现数量的奇偶性可以分类，

种类	1的数量	3的数量
$a_n$	奇数	奇数
$b_n$	奇数	偶数
$c_n$	偶数	奇数
$h_n$	偶数	偶数

对于 $a_n$ 考虑移除最低位的数字，可以划分为三种情况：移除的数字为1， 问题变为 $c_{n-1}$ ； 移除的数字为3， 问题变为 $b_{n-1}$ ， 移除的数字是5、7或9， 问题变为 $3a_{n-1}$ 。



即有 $a_n = c_{n-1} + b_{n-1} + 3a_{n-1}$ 。此外所有的可能总数是 $5^n$ 。可以得到方程组,

$$\begin{cases} a_n = c_{n-1} + b_{n-1} + 3a_{n-1} \\ b_n = h_{n-1} + a_{n-1} + 3b_{n-1} \\ c_n = a_{n-1} + h_{n-1} + 3c_{n-1} \\ h_n = b_{n-1} + c_{n-1} + 3h_{n-1} \\ a_n + b_n + c_n + h_n = 5^n \end{cases}$$

初始条件,  $a_0 = b_0 = c_0 = 0, h_0 = 1$ 。由对称性知 $b_n = c_n$ , 可得 $a_n + 2b_n + h_n = 5^n, h_n - 3h_{n-1} = 2b_{n-1}$ , 用后式消去前式中的 $b_n$ 得 $a_n + h_{n+1} - 2h_n = 5^n$ 。

写出该式子的下一项 $a_{n-1} + h_n - 2h_{n-1} = 5^{n-1}$ , 递推一项做差并且调整 $h_n$ 为最高次, 进而得到 $h_n - 4h_{n-1} + 3h_{n-2} = 2 \times 5^{n-2}$ 。

解方程略, 可得其次递推关系的解 $H_n = c_1 3^n + c_2$ , 非齐次递推关系的特解为 $H_n^* = \frac{x^n}{4}$ 。

所以通解为 $h_n = c_1 3^n + c_2 + \frac{5^n}{4}$ 。

对于1位数,  $h_1 = 3$ 。带入 $h_0 = 1, h_1 = 3$ , 解得 $c_1 = \frac{1}{2}, c_2 = \frac{1}{4}$ 。进而求出,

$$h_n = \frac{5^n + 2 \times 3^n + 1}{4}$$

## EX30

We have used exponential generating functions to show that the number  $h_n$  of ways to color the squares of a 1-by- $n$  board with the colors red, white, and blue, where the number of red squares is even and there is at least one blue square, satisfies the formula

$$h_n = \frac{3^n - 2^n + 1}{2}, \quad (n \geq 1)$$

with  $h_0 = 0$ . Obtain an alternative derivation of this formula by finding a recurrence relation satisfied by  $h_n$  and then solving the recurrence relation.

种类	红色	蓝色
$a_n$	奇数	无限制
$b_n$	偶数	$= 0$
$h_n$	偶数	$\geq 1$

以 $a_n$ 为例, 考虑删除第一格。如果第一格为蓝色或白色, 问题变为 $2a_{n-1}$ ; 如果第一格为红色, 问题转化为从剩余 $n-1$ 格中选出偶数个红格, 总染色方案数为 $3^{n-1}$ , 其中红色为奇数的方案是 $a_{n-1}$ , 所以有 $3^{n-1} - a_{n-1}$ 种方案选出偶数个红格。所以有 $a_n = 2a_{n-1} + 3^{n-1} - a_{n-1} = a_{n-1} + 3^{n-1}$ 。

对于 $b_n$ ，如果第一格为白色，问题转化为 $b_{n-1}$ ，如果第一格为红色，同上有 $2^{n-1} - b_{n-1}$ ，因此得 $b_n = 2^{n-1}$ 。

$a_n + b_n + h_n$ 为总方案数 $3^n$ ，可以递推做差得 $h_n - h_{n-1} = 3^{n-1} - 2^{n-1}$ 。解方程过程略。

初始值 $h_0 = 1$ 。

## EX30注

1. 利用好奇偶两种对立状态。
2. 题目强调要写出 $h_n$ 的递推式，实际上容易先求出 $a_n$ ，然后带入 $a_n + b_n + h_n = 3^n$ 求出 $h_n$ ，但这样不满足题目要求的解法。

## EX31

Solve the recurrence relation  $h_n = 4h_{n-2}, (n \geq 2)$  with initial values  $h_0 = 0$  and  $h_1 = 1$ .

$$h_n = c_1 2^n + c_2 (-2)^n$$

带入初始值得， $h_n = \frac{2^n - (-2)^n}{4}, (n \geq 0)$ 。

## EX32

Solve the recurrence relation  $h_n = (n+2)h_{n-1}, (n \geq 1)$  with initial value  $h_0 = 2$ .

$$\begin{aligned} h_n &= \frac{h_n}{h_{n-1}} \frac{h_{n-1}}{h_{n-2}} \cdots \frac{h_1}{h_0} h_0 \\ &= (n+2) \times (n+1) \times \cdots \times (1+2) \times 2 \\ &= (n+2)! \quad n \geq 0 \end{aligned}$$

## EX33

Solve the recurrence relation  $h_n = h_{n-1} + 9h_{n-2} - 9h_{n-3}, (n \geq 3)$  with initial values  $h_0 = 0, h_1 = 1$ , and  $h_2 = 2$ .

方程 $x^3 = x^2 + 9x - 9 = 0$ 的解为 $x_1 = 3, x_2 = -3, x_3 = 1$ 。

$h_n = c_1 3^n + c_2 (-3)^n + c_3$ ，带入初始值有 $h_n = 3^{n-1} + \frac{(-3)^{n-1}}{4} - \frac{1}{4}, (n \geq 0)$ 。

## EX34

Solve the recurrence relation  $h_n = 8h_{n-1} - 16h_{n-2}, (n \geq 2)$  with initial values  $h_0 = -1$  and  $h_1 = 0$ .

$h_n = c_1 4^n + c_2 n \cdot 4^n$ ，带入初始值有 $h_n = (n-1)4^n, (n \geq 0)$ 。

## EX35

Solve the recurrence relation  $h_n = 3h_{n-2} - 2h_{n-3}, (n \geq 3)$  with initial values  $h_0 = 1, h_1 = 0$ , and  $h_2 = 0$ .

$$h_n = (c_1 + c_2n) + c_3(-2)^n, \text{ 代入初始值有 } h_n = \left(\frac{8}{9} - \frac{2n}{3}\right) + \frac{(-2)^n}{9}, (n \geq 0).$$

## EX36

Solve the recurrence relation  $h_n = 5h_{n-1} - 6h_{n-2} - 4h_{n-3} + 8h_{n-4}, (n \geq 4)$  with initial values  $h_0 = 0, h_1 = 1, h_2 = 1$ , and  $h_3 = 2$ .

方程  $x^4 = 5x^3 - 6x^2 - 4x + 8$  的解为  $x_1 = x_2 = x_3 = 2, x_4 = -1$ 。

$$h_n = (c_1n^2 + c_2n + c_3)2^n + c_4(-1)^n, \text{ 代入初始值有 } h_n = \left(-\frac{n^2}{24} + \frac{7n}{72} + \frac{8}{27}\right)2^n - \frac{8 \cdot (-1)^n}{27}, (n \geq 0)$$

## EX36注

分解方程和求解四元一次方程组的计算量很大。

## EX37

Determine a recurrence relation for the number an of ternary strings (made up of 0s, 1s, and 2s) of length n that do not contain two consecutive 0s or two consecutive 1s. Then find a formula for  $a_n$ .

对长度为n且符合题目要求的字符串 $T_n$ 进行切片，按照前两个字符是否相同进行分类；如果前两个字符相同，那么只能切出2和 $T_{n-2}$ ，如果前两个字符不同，每一个字符（0、1或2）都有两种符合题意的 $T_{n-1}$ 。

因此得到递推公式： $a_n = a_{n-2} + 2a_{n-1}, (n \geq 2)$ ，容易知道初始值 $a_0 = 1, a_1 = 3$ 。

$$\text{因此求出 } a_n = \frac{(1 + \sqrt{2})^{n+1}}{2} + \frac{(1 - \sqrt{2})^{n+1}}{2}, (n \geq 0).$$

## EX37注

对比EX37、EX40和EX9

## EX38

Solve the following recurrence relations by examining the first few values for a formula and then proving your conjectured formula by induction.

$$(a) h_n = 3h_{n-1}, \quad (n \geq 1); h_0 = 1$$

$$(b) h_n = h_{n-1} - n + 3, \quad (n \geq 1); h_0 = 2$$

$$(c) h_n = -h_{n-1} + 1, \quad (n \geq 1); h_0 = 0$$

$$(d) h_n = -h_{n-1} + 2, \quad (n \geq 1); h_0 = 1$$

$$(e) h_n = 2h_{n-1} + 1, \quad (n \geq 1); h_0 = 1$$

以EX38(b)为例，先求出通项，再用数学归纳法验证，其余同理。

## EX38(b)

先求其次递推关系的解， $H_n = c_1(1)^n$ ，后面的非齐次部分是一次多项式，同时隐含也是以1为底的指数。因此设  $H_n^* = (An + B)n$ 。

带入递推关系求出  $A = -\frac{1}{2}, B = \frac{5}{2}$ ，带入初始量得  $h_n = \frac{4+5n-n^2}{2}$ 。

数学归纳法证明过程略。

## EX39

Let  $h_n$  denote the number of ways to perfectly cover a 1-by- $n$  board with monominoes and dominoes in such a way that no two dominoes are consecutive. Find, but do not solve, a recurrence relation and initial conditions satisfied by  $h_n$ .

容易验证初始值  $h_0 = h_1 = 1, h_2 = 2$ ，当  $n \geq 3$  时，如果第一块为单牌，问题变为  $h_{n-1}$ ，如果第一块为多米诺骨牌（ $1 \times 2$ 牌），那么临界的块只能是单牌，问题转化为  $h_{n-3}$ 。

综上有，

$$h_n = h_{n-1} + h_{n-3}$$

## EX39吐槽

头一次看到专门强调只推出关系不求解的题目。

## EX40

Let  $a_n$  equal the number of ternary strings of length  $n$  made up of 0s, 1s, and 2s, such that the substrings 00, 01, 10, and 11 never occur. Prove that

$$a_n = a_{n-1} + 2a_{n-2}, \quad (n \geq 2),$$

with  $a_0 = 1$  and  $a_1 = 3$ . Then find a formula for  $a_n$ .

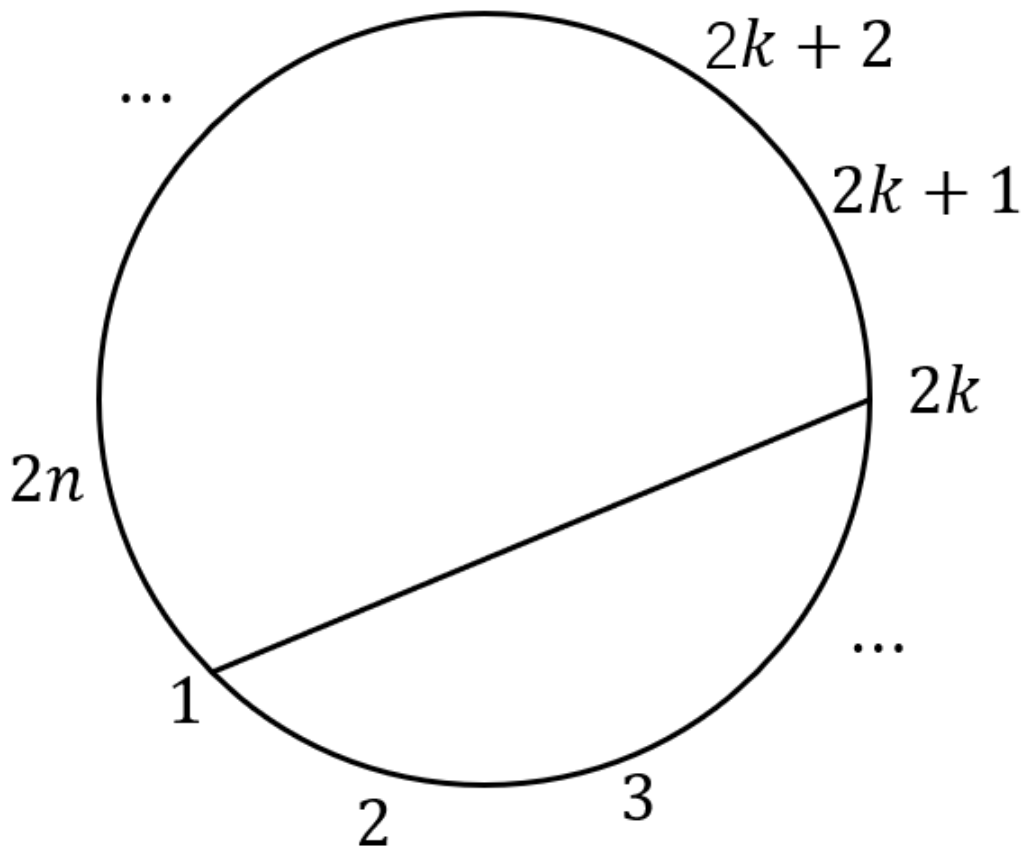
按照第一字符是否为2进行划分，由题意，长度为 $n$ 的串第一个字符是2，问题变为 $a_{n-1}$ ；如果第一个字符不是2，那么只能是0或1，如果为0，则第二个字符只能是2（因为不存在00和01），同理第一格字符如果为1，则第二个字符只能为2，因此问题转化为 $2a_{n-2}$ 。综上有，

$$a_n = a_{n-1} + 2a_{n-2}$$

长度为0的串，长度为1的串显然都不含有00、01、10和11， $a_0 = 1, a_1 = 3$ 。

## EX41

\* Let  $2n$  equally spaced points be chosen on a circle. Let  $h_n$  denote the number of ways to join these points in pairs so that the resulting line segments do not intersect. Establish a recurrence relation for  $h_n$ .



选择一端固定在1上的线段为基线，另一端指向 $2k$ ，圆上的 $2n$ 个点被分为两组，一组有 $2k-2$ 个，另一组有 $2n-2k$ 个，同时问题 $h_n$ 被划分为 $h_{k-1}$ 和 $h_{n-k}$ 。所以有，

$$h_n = \sum_{k=1}^n h_{k-1} h_{n-k}, \quad n \geq 1, h_0 = h_1 = 1$$

显然 $h_n$ 与卡特兰数 $C_n$ 有相同的递推关系和初始项，因此，

$$h_n = \frac{1}{n+1} \binom{2n}{n}$$

## EX41吐槽

为什么这个加星题抄题了呢？做到第8章的题目就知道了。

## EX42

Solve the monhomogeneous recurrence realtion

$$\begin{aligned}h_n &= 4h_{n-1} + 4^n, \quad (n \geq 1) \\h_0 &= 3\end{aligned}$$

其次递推关系的解为 $H_n = c_1 4^n$ ，因此设特解为 $H_n^* = An4^n$ ，求出 $A = 1$ ，带入初始项求出，

$$h_n = (n + 3)4^n, \quad n \geq 0$$

## EX42注

虽然参考答案秀了技巧，把非齐次方程转化为 $h_n - 8h_{n-1} + 16h_{n-2} = 0$ 。但着实没有必要，按照非齐次的模板解题速度一样很快。

## EX43

Solve the monhomogeneous recurrence realtion

$$\begin{aligned}h_n &= 4h_{n-1} + 3 \times 2^n, \quad (n \geq 1) \\h_0 &= 1\end{aligned}$$

略。

## EX44

Solve the monhomogeneous recurrence realtion

$$\begin{aligned}h_n &= 3h_{n-1} - 2, \quad (n \geq 1) \\h_0 &= 1\end{aligned}$$

略

## EX45

Solve the monhomogeneous recurrence realtion

$$h_n = 2h_{n-1} + n, \quad (n \geq 1)$$

$$h_0 = 1$$

略

## EX46

Solve the monhomogeneous recurrence realtion

$$h_n = 6h_{n-1} - 9h_{n-2} + 2n, \quad (n \geq 2)$$

$$h_0 = 1$$

$$h_1 = 0$$

略

## EX47

Solve the monhomogeneous recurrence realtion

$$h_n = 4h_{n-1} - 4h_{n-2} + 3n + 1, \quad (n \geq 2)$$

$$h_0 = 1$$

$$h_1 = 2$$

略

## EX48

Solve the following recurrence relations by using the method of generating functions as described in Section 7.4:

(a)  $h_n = 4h_{n-2}, (n \geq 2); h_0 = 0, h_1 = 1$

(b)  $h_n = h_{n-1} + h_{n-2}, (n \geq 2); h_0 = 1, h_1 = 3$

(c)  $h_n = h_{n-1} + 9h_{n-2} - 9h_{n-3}, (n \geq 3); h_0 = 0, h_1 = 1, h_2 = 2$

(d)  $h_n = 8h_{n-1} - 16h_{n-2}, (n \geq 2); h_0 = -1, h_1 = 0$

(e)  $h_n = 3h_{n-2} - 2h_{n-3}, (n \geq 3); h_0 = 1, h_1 = 0, h_2 = 0$

(f)  $h_n = 5h_{n-1} - 6h_{n-2} - 4h_{n-3} + 8h_{n-4}, (n \geq 4); h_0 = 0, h_1 = 1, h_2 = 1, h_3 = 2$

以EX48(b)和EX48(f)为例，其余题目略。

## EX48(b)

设生成函数为 $g(x) = h_0 + h_1x + h_2x^2 + \cdots$ , 分别用 $-x$ 和 $-x^2$ 乘以 $g(x)$ 得到,

$$\begin{aligned}-xg(x) &= -h_0x - h_1x^2 - h_2x^3 - \cdots \\ -x^2g(x) &= -h_0x^2 - h_1x^3 - h_2x^4 - \cdots\end{aligned}$$

两边求和化简得,

$$(1 - x - x^2)g(x) = h_0 + (h_0 - h_1)x + (h_2 - h_1 - h_0)x^2 + \cdots + (h_n - h_{n-1} - h_{n-2})x^n + \cdots$$

再由 $h_n - h_{n-1} - h_{n-2} = 0, (n \geq 2)$ 知,

$$\begin{aligned}g(x) &= \frac{1 + 2x}{1 - x - x^2} \\ &= \frac{r}{1 - rx} + \frac{s}{1 - sx} \\ &= \frac{(r + s) - 2rsx}{1 - (r + s)x + rsx^2} = \sum_{n=0}^{\infty} (r^{n+1} + s^{n+1})x^n\end{aligned}$$

$$\text{其中, } r = \frac{1 + \sqrt{5}}{2}, s = \frac{1 - \sqrt{5}}{2}, r + s = 1, rs = -1.$$

$$\text{因此, } h_n = r^{n+1} + s^{n+1}, (n \geq 0)$$

## EX48(f)

设生成函数为 $g(x) = h_0 + h_1x + h_2x^2 + \cdots$ , 分别用 $-5x$ 、 $6x^2$ 、 $4x^3$ 和 $-8x^4$ 乘以 $g(x)$ 化简得到,

$$\begin{aligned}g(x) &= \frac{x - 4x^2 + 3x^3}{1 - 5x + 6x^2 + 4x^3 - 8x^4} \\ &= \frac{x - 4x^2 + 3x^3}{(1 - 2x)^3(1 + x)} \\ &= \frac{ax^2 + bx + c}{(1 - 2x)^3} + \frac{d}{1 + x} \\ &= \frac{(a - 8d)x^3 + (a + b + 12d)x^2 + (b + c - 6d)x + (c + d)}{(1 - 2x)^3(1 + x)}\end{aligned}$$

因此可以得到方程组,

$$\begin{cases} a - 8d = 3 \\ a + b + 12d = -4 \\ b + c - 6d = 1 \\ c + d = 0 \end{cases}$$



解得,  $a = \frac{17}{27}, b = -\frac{29}{27}, c = -\frac{8}{27}, d = \frac{8}{27}$ 。

$$g(x) = \frac{1}{27} \frac{17x^2 - 29x - 8}{(1 - 2x)^3} + \frac{8}{(1 + x)}$$

### EX48(f)注

从上面的过程可以看出使用生成函数求解的计算量极大, 因此考试如果不是强调使用该方法, 不要考虑使用它。

### EX48说明

上课的时候听老师说不推荐使用该方法, 不清楚到底考不考这个方法。并且也在(f)题中看到结果很难算出来(我也只是算了一半), 不过流程还是要掌握一下。

### EX49

(q-binomial theorem) Prove that

$$(x + y)(x + qy)(x + q^2y) \cdots (x + q^{n-1}y) = \sum_{k=0}^n \binom{n}{k}_q x^{n-k} y^k,$$

where

$$n!_q = \frac{\prod_{j=1}^n (1 - q^j)}{(1 - q)^n}$$

is the q-factorial (cf. Theorem 7.2.1 replacing q in (7.14) with x) and

$$\binom{n}{k}_q = \frac{n!_q}{k!_q (n - k)!_q}$$

is the q-binomial coefficient.

采用数学归纳法证明, 当n=1时, 左边等于 $(x + y)$ , 右边等于 $\sum_{k=0}^1 \binom{1}{k}_q x^{1-k} y^k = \binom{1}{0}_q x + \binom{1}{1}_q y = x + y$ , 左右两边相等, 成立。

假设取n时等式成立, 那么取n+1时, 左右两边同时乘 $(x + q^n y)$ 有,

$$\begin{aligned}
\sum_{k=0}^n \binom{n}{k}_q x^{n-k} y^k (x + q^n y) &= \sum_{k=0}^n \binom{n}{k}_q x^{n+1-k} y^k + q^n \sum_{k=0}^n \binom{n}{k}_q x^{n-k} y^{k+1} \\
&= \sum_{k=0}^n \binom{n}{k}_q x^{n+1-k} y^k + q^n \sum_{k=1}^{n+1} \binom{n}{k-1}_q x^{n+1-k} y^k \\
&= \binom{n}{0}_q x^{n+1} + \sum_{k=1}^n \left( \binom{n}{k}_q + q^n \binom{n}{k-1}_q \right) x^{n+1-k} y^k + \binom{n}{n}_q y^{n+1} \\
&= \binom{n+1}{0}_q x^{n+1} + \sum_{k=1}^n \left( \binom{n}{k}_q + q^n \binom{n}{k-1}_q \right) x^{n+1-k} y^k + \binom{n+1}{n+1}_q y^{n+1} \\
&= \sum_{k=0}^{n+1} \binom{n+1}{k}_q x^{n+1-k} y^k
\end{aligned}$$

即取 $n+1$ 时等式仍成立，综上，证毕。

## EX49注

下面验证，

$$\binom{n+1}{k}_q = \binom{n}{k}_q + q^n \binom{n}{k-1}_q$$

## EX49参考

[q-binomial coefficients](#)

## EX50

Call a subset  $S$  of the integers  $\{1, 2, \dots, n\}$  extraordinary provided its smallest integer equals its size:

$$\min\{x : x \in S\} = |S|$$

For example,  $S = \{3, 7, 8\}$ , is extraordinary. Let  $g_n$  be the number of extraordinary subsets of  $\{1, 2, \dots, n\}$ .

Prove that

$$g_n = g_{n-1} + g_{n-2} \quad (n \geq 3),$$

with  $g_1 = 1$  and  $g_2 = 1$ .

如果子集 $S$ 是非凡的 $k$ 子集，那么 $S$ 中的最小元素是 $k$ ，其余 $k-1$ 个元素均比 $k$ 大，因此非凡 $k$ 子集的个数为 $\binom{n-k}{k-1}$ 个，那么集合 $\{1, 2, 3, \dots, n\}$ 的所有非凡集为，

$$\begin{aligned}
g_n &= \sum_{k=1}^n \binom{n-k}{k-1} \\
&= \sum_{k=1}^n \binom{n-k-1}{k-1} + \sum_{k=1}^n \binom{n-k-1}{k-2} \\
&= \sum_{k=1}^n \binom{(n-1)-k}{k-1} + \sum_{k=1}^n \binom{(n-2)-k-1}{(k-1)-1} \\
&= \sum_{k=1}^n \binom{(n-1)-k}{k-1} + \sum_{h=1}^n \binom{(n-2)-h}{h-1} \\
&= \sum_{k=1}^{n-1} \binom{(n-1)-k}{k-1} + \sum_{h=1}^{n-2} \binom{(n-2)-h}{h-1} \\
&= g_{n-1} + g_{n-2}
\end{aligned}$$

由题意容易求出 $\{1\}$ 的非凡集为 $\{1\}$ ,  $\{1, 2\}$ 的非凡集为 $\{1\}$ , 因此 $g_1 = g_2 = 1$ 。

## EX51

Solve the recurrence relation

$$h_n = 3h_{n-1} - 4n, (n \geq 1) \quad h_0 = 2$$

from Section 7.6 using generating functions.

要求使用生成函数求解非齐次递推关系。生成函数为 $g(x) = h_0 + h_1x + h_2x^2 + \cdots + h_nx^n + \cdots$ , 计算

$$\begin{aligned}
g(x) - 3xg(x) &= h_0 + (h_1 - 3h_0)x + \cdots + (h_n - h_{n-1})x^n + \cdots \\
&= 2 + (-4)x + (-8)x^2 + \cdots + (-4n)x^n + \cdots \\
&= 2 - 4(x + 2x^2 + \cdots + nx^n + \cdots) \\
&= 2 - 4x \sum_{n=0}^{\infty} (n+1)x^n \\
&= 2 - 4 \frac{x}{(1-x)^2}
\end{aligned}$$

$$\begin{aligned}
g(x) &= \frac{2}{1-3x} - \frac{4x}{(1-3x)(1-x)^2} \\
&= \frac{2}{1-3x} - \left( \frac{A}{1-3x} + \frac{Bx+C}{(1-x)^2} \right) \\
&= \frac{2}{1-3x} - \left( \frac{3}{1-3x} + \frac{x-3}{(1-x)^2} \right) \\
&= \frac{-1}{1-3x} + \frac{3-x}{(1-x)^2} \\
&= -\sum_{n=0}^{\infty} (3x)^n + 3\sum_{n=0}^{\infty} (n+1)x^n - \sum_{n=0}^{\infty} nx^n \\
&= \sum_{n=0}^{\infty} (2n+3-3^n)x^n
\end{aligned}$$

所以  $h_n = 2n + 3 - 3^n, (n \geq 0)$ 。

## EX52

Solve the following two recurrence relations:

(a)  $h_n = 2h_{n-1} + 5^n, (n \geq 1)$  with  $h_0 = 3$

(a)  $h_n = 5h_{n-1} + 5^n, (n \geq 1)$  with  $h_0 = 3$

非齐次递推关系求解，略。

## EX53

Suppose you deposit \$500 in a bank account -that pays 6% interest at the end of each year (compounded annually).

Thereafter, at the beginning of each year you deposit \$100.

Let  $h_n$  be the amount in your account after  $n$  years (so  $h_0 = \$500$ ).

Determine the generating function  $g(x) = h_0 + h_1x + \dots + h_nx^n + \dots$  and then a formula for  $h_n$ .

$$h_n = 1.06h_{n-1} + 100, n \geq 1, h_0 = 500$$

$$g(x) = h_0 + h_1x + h_2x^2 + \dots$$

$$(1 - 1.06x)g(x) = h_0 + (h_1 - 1.06h_0)x + \dots + (h_n - h_{n-1})x^n + \dots$$

$$= 500 + 100x + 100x^2 + \dots + 100x^n + \dots$$

$$= 500 + 100(x + x^2 + \dots) \quad (*)$$

$$= 500 + \frac{100x}{1-x}$$

$$\begin{aligned}
g(x) &= \frac{500}{1-1.06x} + \frac{100x}{(1-x)(1-1.06x)} \\
&= \frac{500}{1-1.06x} + \frac{100}{0.06} \left( \frac{1}{1-1.06x} - \frac{1}{1-x} \right) \\
&= \left( 500 + \frac{100}{0.06} \right) \frac{1}{1-1.06x} - \frac{100}{0.06} \frac{1}{1-x} \\
&= \left( 500 + \frac{100}{0.06} \right) \sum_{n=0}^{\infty} (1.06x)^n - \frac{100}{0.06} x^n \\
&= \left[ \left( 500 + \frac{100}{0.06} \right) (1.06)^n - \frac{100}{0.06} \right] x^n
\end{aligned}$$

因此,

$$h_n = 500(1.06)^n + \frac{100}{0.06} ((1.06)^n - 1)$$

## EX53说明

(\*)式不留常数凑成 $\frac{x}{1-x}$ 的形式方便下面的分解。

## EX53另解

$$\begin{aligned}
h_n &= 500(1.06)^n + \sum_{k=0}^{n-1} 100(1.06)^k \\
&= 500(1.06)^n + 100 \frac{(1.06)^n - 1}{0.06}
\end{aligned}$$