

Piazza poll re: midterm review

# CS3000: Algorithms & Data

## Drew van der Poel

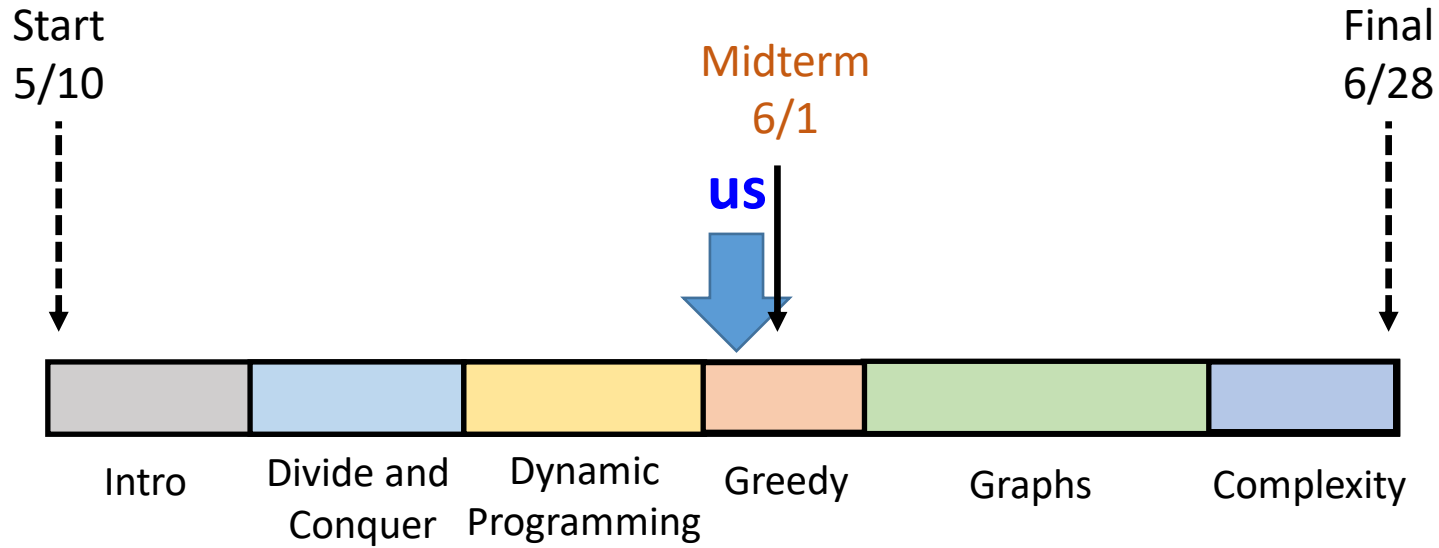
### Lecture 11

- Greedy algorithms: Proof techniques

May 26, 2021



# Outline



Last class: dynamic programming: SLS

Next class: midterm review



# Greedy Algorithms

- What's a greedy algorithm?



# Greedy Algorithms

- What's a greedy algorithm?

- Roughly, an algorithm that builds a solution by doing what is currently “best” and never looks back (compare to DP)
- Typically, make a single pass over the input (recall Knapsack – “bang for your buck”)
- You know it when you see it

→ rule: pick items w/ largest  $\frac{v_i}{w_i}$

- Why care about greedy algorithms?

- Greedy algorithms are the fastest and simplest algorithms imaginable, and sometimes they're optimal!
- Sometimes make useful heuristics when they don't
- Simplicity makes them easy to adapt to different domains



- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack
- Alg. techniques: divide & conquer, dynamic programming, **greedy**
- Analysis: asymptotic analysis, recursion trees, Master Thm.
- Proof techniques: (strong) induction, contradiction



# Interval Scheduling



# (Weighted) Interval Scheduling

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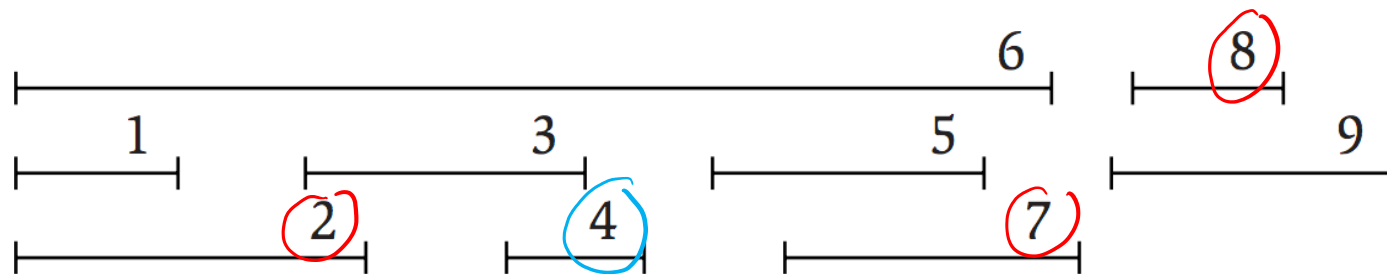
- **Input:**  $n$  intervals  $(s_i, f_i)$  with values  $v_i$
- **Output:** a compatible schedule  $S$  with the largest possible total value
  - A schedule is a subset of intervals  $S \subseteq \{1, \dots, n\}$
  - A schedule  $S$  is compatible if no two  $i, j \in S$  overlap
  - The total value of  $S$  is  $\sum_{i \in S} v_i$

DP



# (Unweighted) Interval Scheduling

- **Input:**  $n$  intervals  $(s_i, f_i)$
- **Output:** a compatible schedule  $S$  with the largest possible size
  - A schedule is a subset of intervals  $S \subseteq \{1, \dots, n\}$
  - A schedule  $S$  is compatible if no two  $i, j \in S$  overlap



$S = \{2, 7, 8\}$  compatible

not optimal

Opt is  $> 3$





# Possibly Correct Greedy Rules

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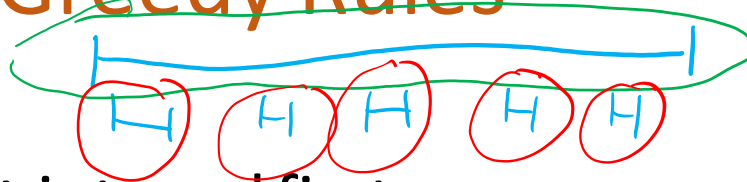
- Select the shortest intervals first

- Select the interval w/ earliest start

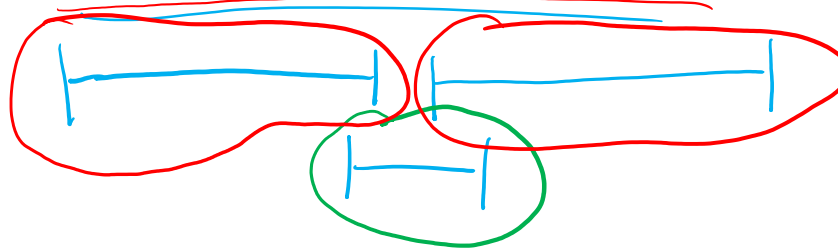
- " " " " finish



# Possibly Correct Greedy Rules



- Choose the shortest interval first



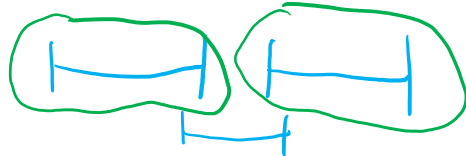
$OPT = 2 >$   
 $greedy = 1$

- Choose the interval with earliest start first



$OPT = 3 >$   
 $greedy = 1$

- Choose the interval with earliest finish first



$greedy = 2$   
 $= OPT!$



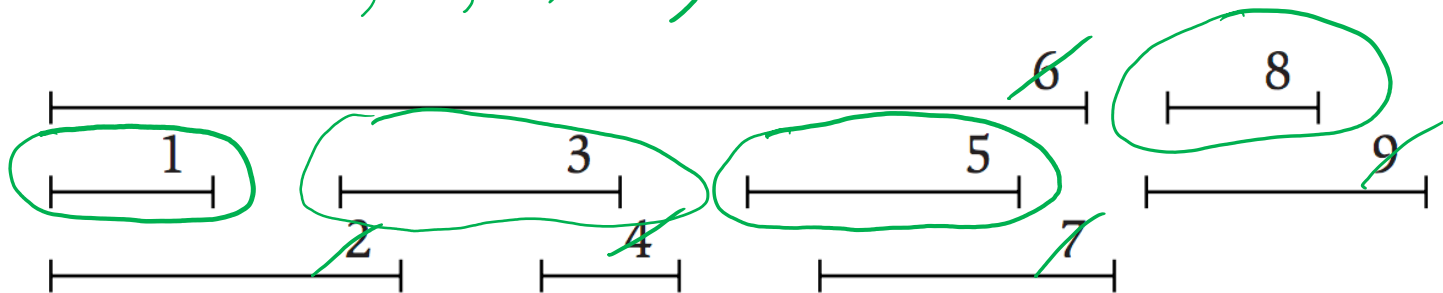
$greedy = 3$   
 $= OPT!$



# Greedy Algorithm: Earliest Finish First

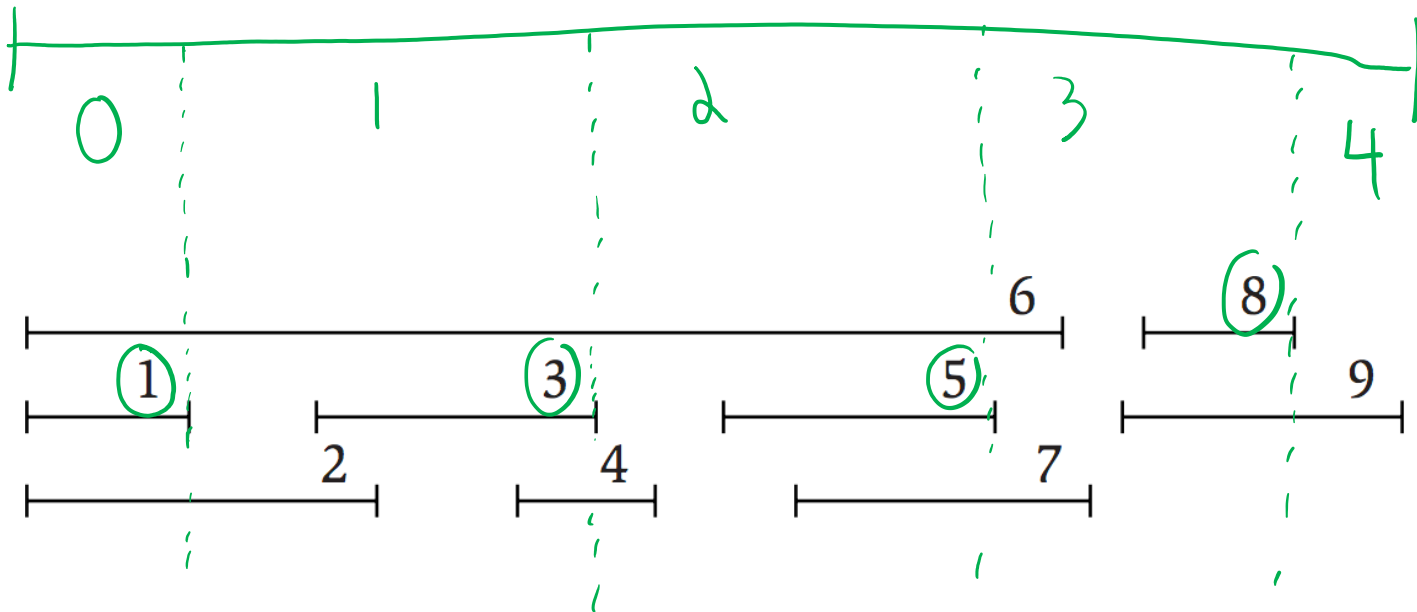
- Sort intervals so that  $f_1 \leq f_2 \leq \dots \leq f_n$
- Let  $S$  be empty
- For  $i = 1, \dots, n$ :
  - If interval  $i$  doesn't create a conflict, add  $i$  to  $S$
- Return  $S$

$$S = \{1, 3, 5, 8\}$$



# Greedy Stays Ahead

- How do we know we found an optimal schedule
- “Greedy Stays Ahead” strategy
  - We’ll show that at every point in time, the greedy schedule does better than any other schedule



# Greedy Stays Ahead

$$G = \{1, 3, 5, 8\}$$

$$r = 4$$

$$O = \{2, 4, 5, 8\}$$

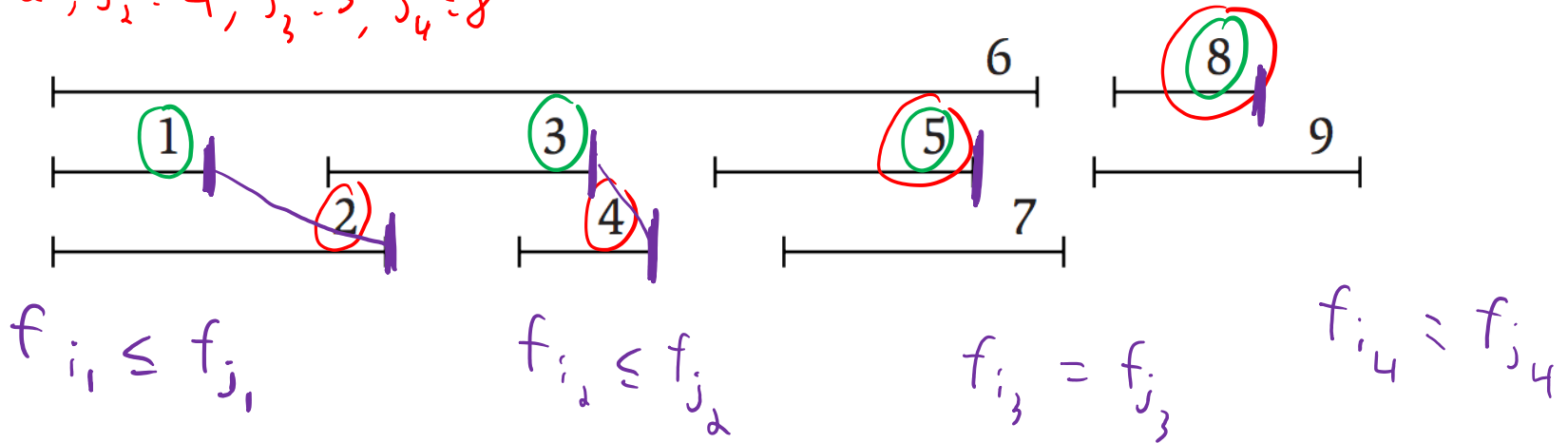
$$s = 4$$

$$|G| = r$$

- Let  $G = \{i_1, \dots, i_r\}$  be greedy's schedule
- Let  $O = \{j_1, \dots, j_s\}$  be some other schedule
- Key Claim:** for every  $t = 1, \dots, r$ ,  $f_{i_t} \leq f_{j_t}$

$$i_1 = 1, i_2 = 3, i_3 = 5, i_4 = 8$$

$$j_1 = 2, j_2 = 4, j_3 = 5, j_4 = 8$$



# Greedy Stays Ahead

- Let  $G = \{i_1, \dots, i_r\}$  be greedy's schedule
- Let  $O = \{j_1, \dots, j_s\}$  be some other schedule
- **Key Claim:** for every  $t = 1, \dots, r$ ,  $f_{i_t} \leq f_{j_t}$

Weak Induction

$$H(k): f_{i_k} \leq f_{j_k} \quad 1 \leq k \leq r$$

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Base:  $H(1)$  — greedy alg. chooses  
(direct) the first interval to finish

$$f_{i_1} \leq f_{j_1}$$

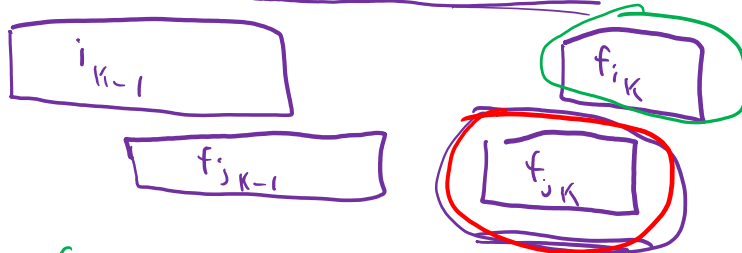


# Greedy Stays Ahead

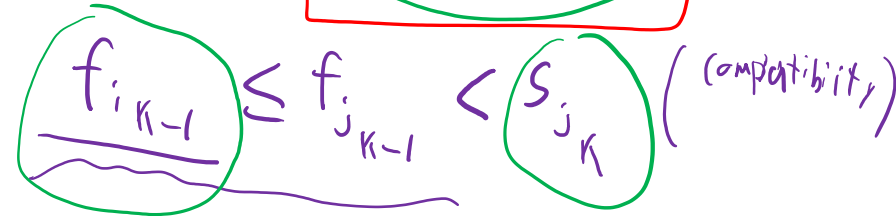
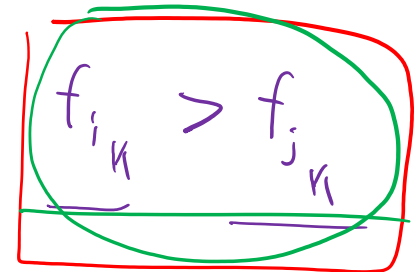
- Let  $G = \{i_1, \dots, i_r\}$  be greedy's schedule
- Let  $O = \{j_1, \dots, j_s\}$  be some other schedule
- **Key Claim:** for every  $t = 1, \dots, r$ ,  $f_{i_t} \leq f_{j_t}$  ✓

Ind.  $H(k-1) \rightarrow H(k)$

by IH,  $f_{i_{k-1}} \leq f_{j_{k-1}}$



Suppose



if  $f_{i_k} > f_{j_k}$ , greedy would have selected  $j_k$  instead!  
 $\therefore G$  is not actually greedy  $\rightarrow \leftarrow f_{i_k} \leq f_{j_k}$



# Greedy Stays Ahead

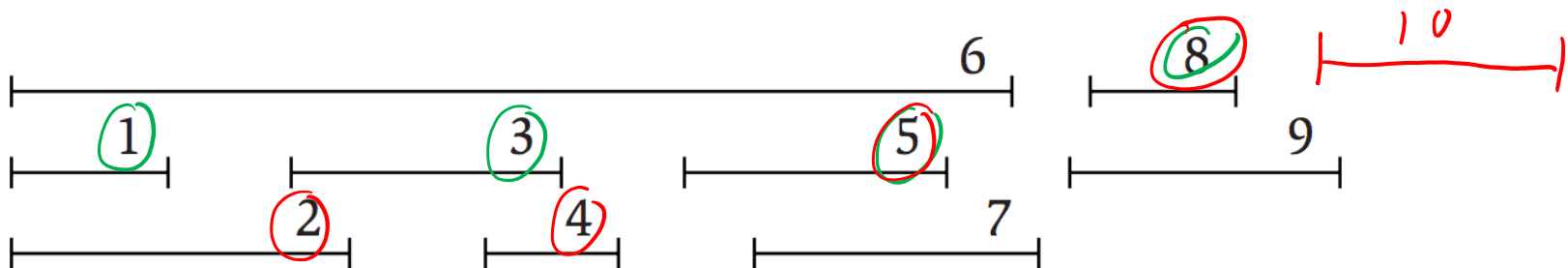
- Let  $G = \{i_1, \dots, i_r\}$  be greedy's schedule
- Let  $O = \{j_1, \dots, j_s\}$  be some other schedule
- **Lemma.** for every  $t = 1, \dots, r$ ,  $f_{i_t} \leq f_{j_t}$   $\rightarrow$   $G$  is optimal

Assume False,  $G$  is not optimal.  $r < s$

$s_{j_{r+1}} > f_{j_r} \geq f_{i_r}$   
 $\uparrow$  compatibility  
 by Lemma

$\therefore$  greedy can also select interval  $j_{r+1}$

$G$  is not actually the greedy soln.  $\rightarrow \leftarrow$





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- Analysis: asymptotic analysis, recursion trees, Master Thm.
- Proof techniques: (strong) induction, contradiction, **greedy stays ahead**



# Minimum Lateness Scheduling



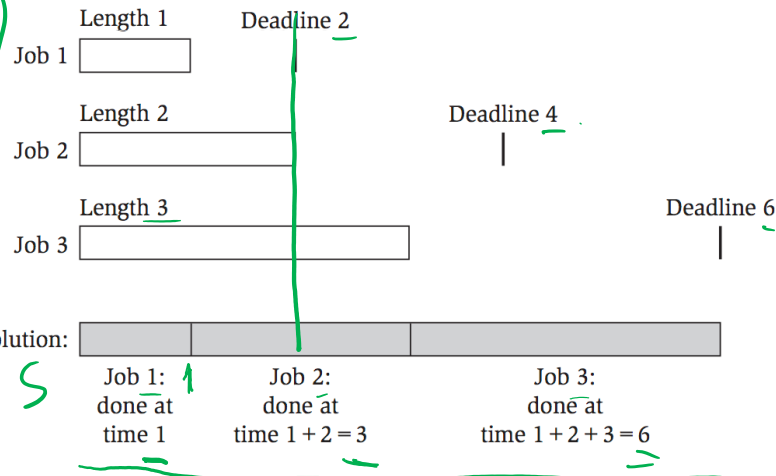
# Minimum Lateness Scheduling

- **Input:**  $n$  jobs with length  $t_i$  and deadline  $d_i$ 
  - Simplifying assumption: all deadlines are distinct
- **Output:** a minimum--lateness schedule for the jobs
  - Can only do one job at a time, no overlap
  - The lateness of job  $i$  is  $\max\{f_i - d_i, 0\}$
  - The lateness of a schedule is  $\max_i \{\max\{f_i - d_i, 0\}\}$

$$\text{Lateness}(1) = \max(1 - 1, 0) = 0$$

$$\text{Lateness}(2) = \max(3 - 4, 0) = 0$$

$$\text{Lateness}(3) = \max(6 - 6, 0) = 0$$



$$\text{lateness}(s) = 0$$



# Possible Greedy Rules

- Shortest time

- Shortest  $d_i/t_i$

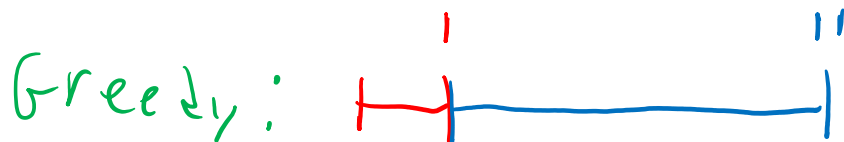
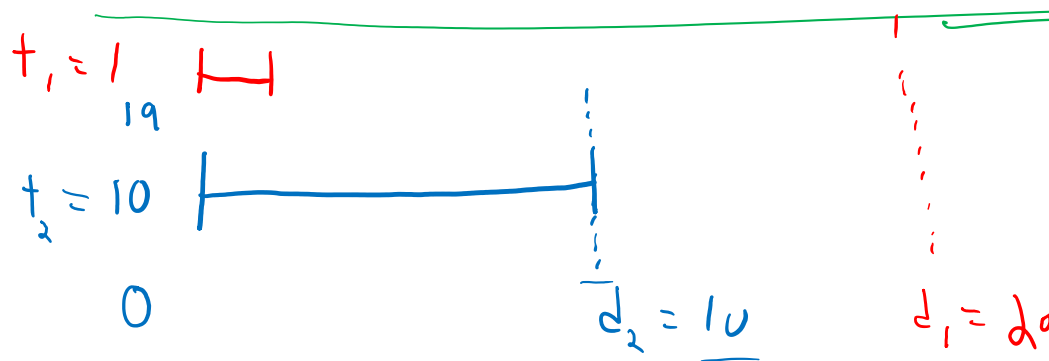
↳ Shortest  $d_i - t_i$

- Earliest Deadline First



# Possible Greedy Rules

- Choose the shortest job first (min  $t_i$ )?



$$\text{lateness}(1) = 0$$

$$\text{lateness}(2) = 1$$

1



$$\text{lateness}(1) = 0$$

$$\text{lateness}(2) = 0$$

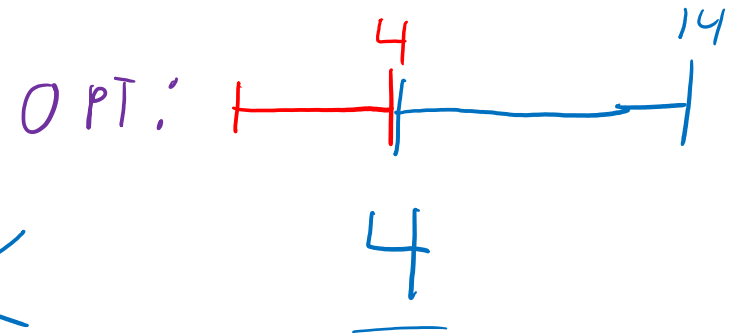
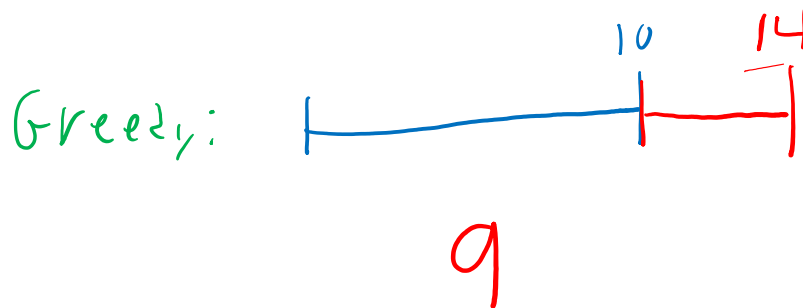
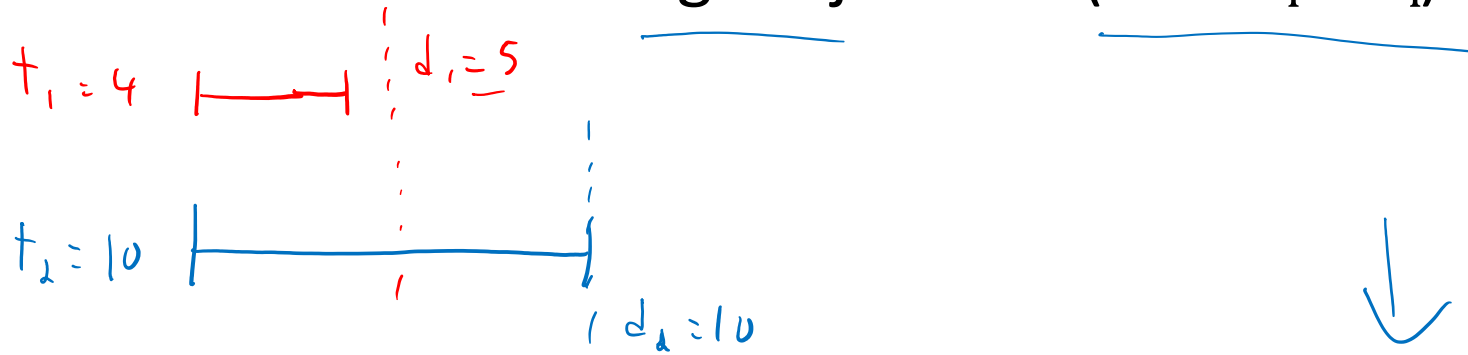
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# Possible Greedy Rules

- Choose the most urgent job first ( $\min d_i - t_i$ )?

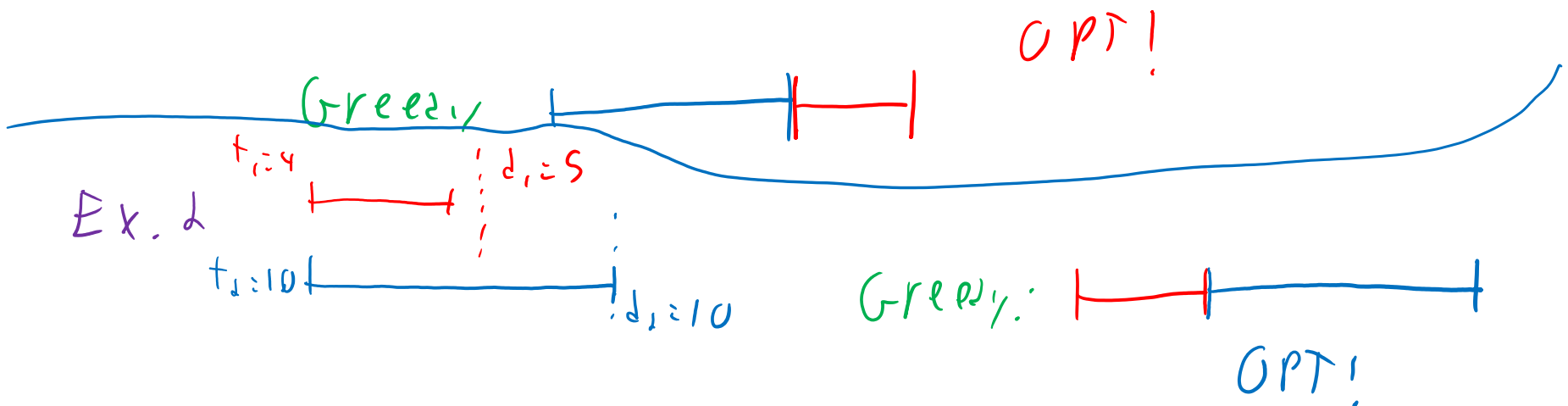
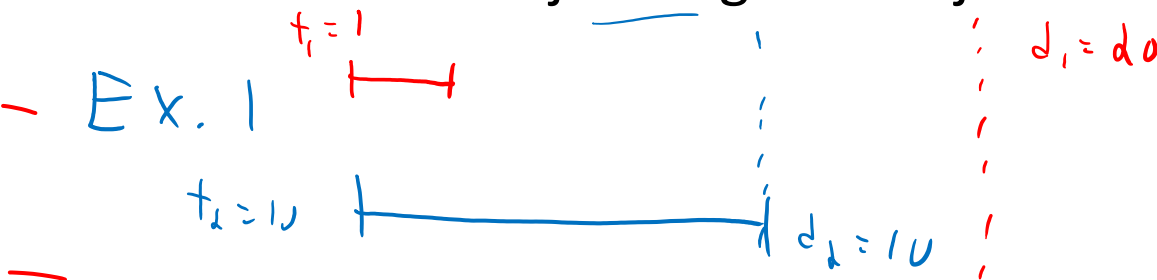


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# Greedy Algorithm: Earliest Deadline First

- Sort jobs so that  $d_1 \leq d_2 \leq \dots \leq d_n$
- For  $i = 1, \dots, n$ :
  - Schedule job  $i$  right after job  $i - 1$  finishes



# Exchange Argument

- $G$  = greedy schedule,  $O$  = (supposedly) optimal schedule
- Exchange Argument:
  - We can transform  $O$  to  $G$  by exchanging pairs of jobs
  - Each exchange only reduces the lateness of  $O$
  - Therefore the lateness of  $G$  is at most that of  $O$





# Exchange Argument

- $G$  = greedy schedule,  $O$  = (supposedly) optimal schedule
- Observation: the optimal schedule has no gaps
  - A schedule is just an ordering of the jobs, with jobs scheduled back-to-back



# Exchange Argument

- $G$  = greedy schedule,  $O$  = (supposedly) optimal schedule
- We say that two jobs  $i, j$  are **inverted** in  $O$  if  $d_i < d_j$  but  $j$  comes before  $i$  in the schedule
  - Observation: greedy has no inversions



# Exchange Argument

- We say that two jobs  $i, j$  are **inverted** in  $O$  if  $d_i < d_j$  but  $j$  comes before  $i$
- **Claim: an optimal schedule has no inversions**
  - Step 1: suppose  $O$  has an inversion, then it has an inversion  $i, j$  where  $i, j$  are **consecutive**



# Exchange Argument

- We say that two jobs  $i, j$  are **inverted** in  $O$  if  $d_i < d_j$  but  $j$  comes before  $i$
- **Claim: an optimal schedule has no inversions**
  - Step 1: suppose  $O$  has an inversion, then it has an inversion  $i, j$  where  $i, j$  are **consecutive**
  - Step 2: if  $i, j$  are a consecutive jobs that are inverted then flipping them only reduces the lateness



# Exchange Argument

- If  $i, j$  are a consecutive jobs that are inverted then flipping them only reduces the lateness



# Exchange Argument

- We say that two jobs  $i, j$  are **inverted** in  $O$  if  $d_i < d_j$  but  $j$  comes before  $i$
- **Claim: an optimal schedule has no inversions**
  - Step 1: suppose  $O$  has an inversion, then it has an inversion  $i, j$  where  $i, j$  are **consecutive**
  - Step 2: if  $i, j$  are consecutive jobs that are inverted then **flipping them only reduces the lateness**
- **$G$  is the unique schedule with no inversions,  $\text{lateness}(G) \leq \text{lateness}(O)$**



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- Proof techniques: (strong) induction, contradiction, greedy stays ahead, **exchange argument**

