

HW 3 due tonight!

# CS3000: Algorithms & Data

## Drew van der Poel

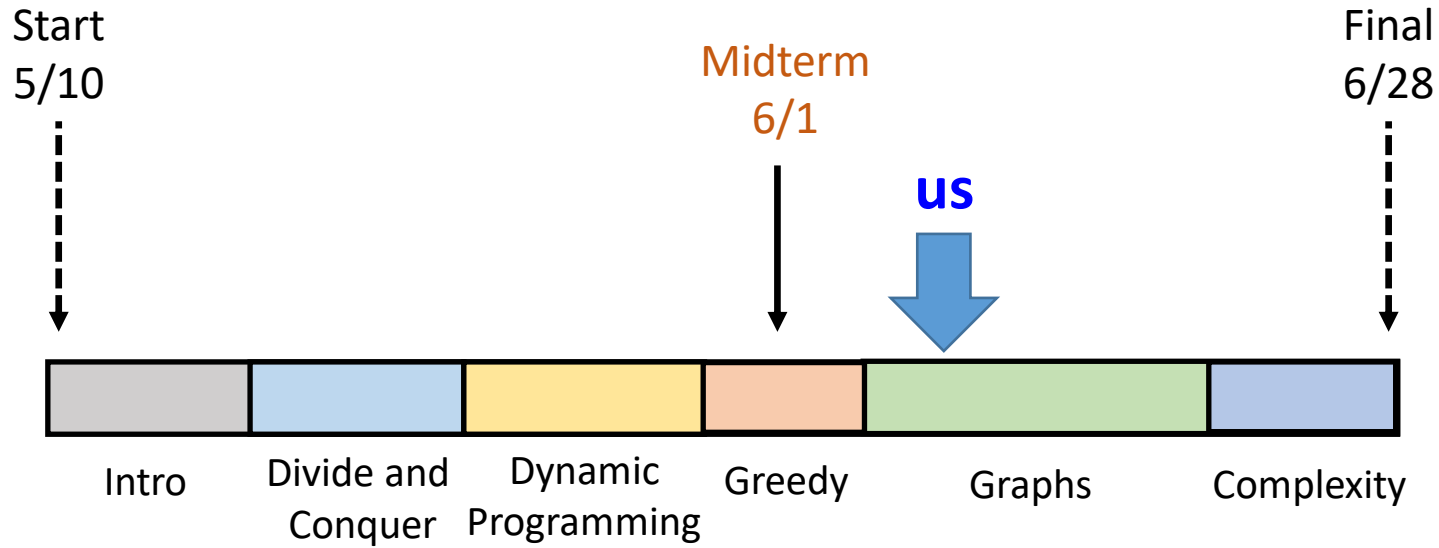
### Lecture 15

- Graph Traversals: DFS
- 2-coloring
- Topological Sorting

June 7, 2021



# Outline



**Last class:** Graphs: BFS, terminology

**Next class:** Graphs: strongly connected components



# BFS Running Time (Adjacency List)

BFS ( $G = (V, E)$ ,  $s$ ):

$O(n)$

Let found[ $v$ ]  $\leftarrow$  false  $\forall v$ , found[ $s$ ]  $\leftarrow$  true

Let layer[ $v$ ]  $\leftarrow \infty$   $\forall v$ , layer[ $s$ ]  $\leftarrow$  0

Let  $i$   $\leftarrow$  0,  $L_0$  = { $s$ },  $T$   $\leftarrow \emptyset$

While ( $L_i$  is not empty):

$O(1)$  Initialize new layer  $L_{i+1}$

$\rightarrow$  For ( $u$  in  $L_i$ ): *Adj. list of node  $u$*

For ( $v$  in  $A[u]$ ):

If (found[ $v$ ] = false):

found[ $v$ ]  $\leftarrow$  true, layer[ $v$ ]  $\leftarrow$   $i+1$

Add ( $u, v$ ) to  $T$  and add  $v$  to  $L_{i+1}$

$O(1)$

$O(1)$   $i \leftarrow i+1$

$O(1)$  Return  $T$ , layer

$O(n)$   
times  
in total

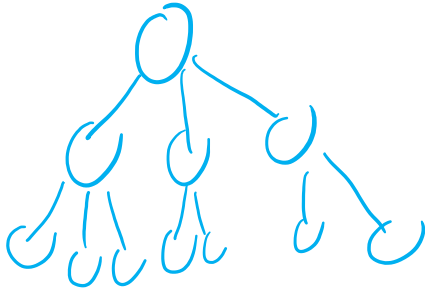
$\deg(u)$

$$\sum_{u \in V} \deg(u) = O(m)$$

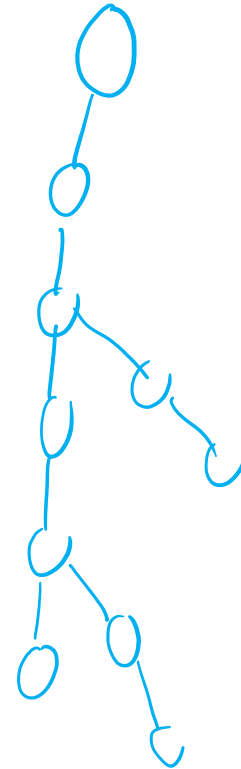
Total:  $O(n + m)$



BFS



DFS



## Depth-First Search (DFS)



# Exploring a Graph

- **Problem:** Is there a path from  $s$  to  $t$ ?
- **Idea:** Explore all nodes reachable from  $s$ .
- Two different search techniques:
  - **Breadth-First Search:** explore nearby nodes before moving on to farther away nodes
  - **Depth-First Search:** follow a path until you get stuck, then go back



# Depth-First Search

$A_{out}[u]$

$G = (V, E)$  is a graph (adj. list)

$O(n)$   $\boxed{\text{explored}[u] = 0 \ \forall u}$

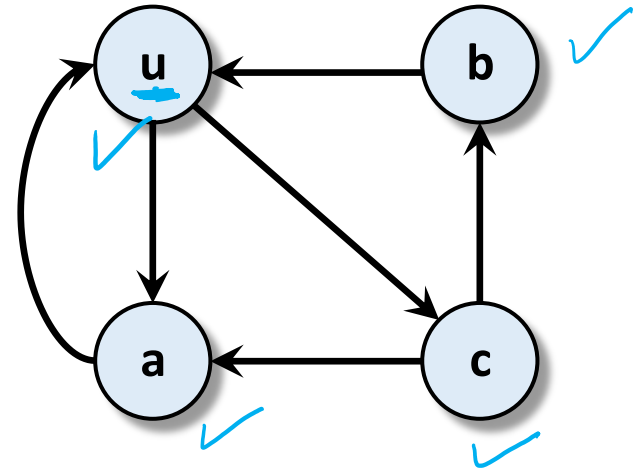
$O(n)$   $\boxed{\text{Let } u \text{ be a vertex in } V}$   
 $\boxed{\text{parent}[u] = \text{null}}$

$O(1)$   $\boxed{\text{DFS}(u)}$   $\leq n$  times, each node is explored  $\leq 1$  time  
 $\rightarrow O(n)$

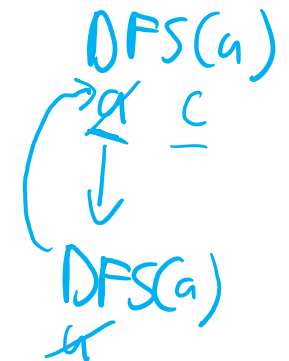
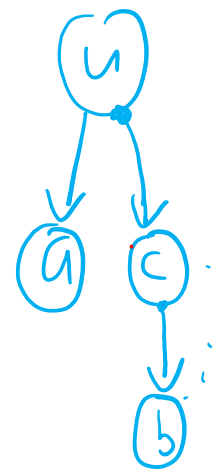
$\boxed{\text{DFS}(u):}$   
 $\boxed{\text{explored}[u] = 1}$   $O(1)$  each,  $O(n)$  in total

each:  $\text{Out-deg}(u)$  times  
 $O(m)$  in total

$\boxed{\text{for } (v \text{ in } \text{OUT}[u]): (*)}$   
 $\boxed{\text{if } (\text{explored}[v] = 0):}$   
 $\boxed{\text{parent}[v] = u}$   
 $\boxed{\text{DFS}(v)}$   $O(1)$   
 $\boxed{\text{Return parent}}$



Parent  
 u (null)  
 a u  
 c u  
 b c



$(*) \sum_{u \in V} \text{Out-deg}(u) = m = O(n)$

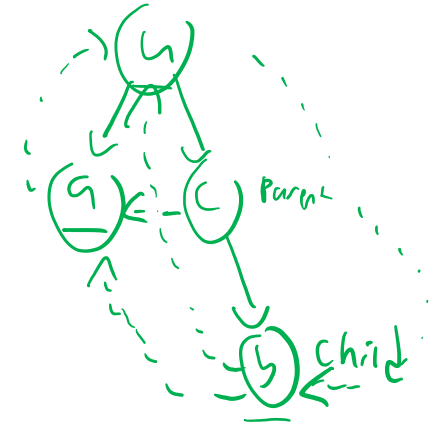
TOTAL R/T:  
 $O(n + m)$

- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding, graph **exploration**
- Alg. techniques: divide & conquer, dynamic programming, greedy
- Analysis: asymptotic analysis, recursion trees, Master Thm., Graph Terminology/representations
- Proof techniques: (strong) induction, contradiction, greedy stays ahead, exchange argument



# Depth-First Search

- **Fact:** The parent-child edges form a (directed) tree



- **Each edge has a type:**

- **Tree edges (parent-child edges):**  $(u, a)$ ,  $(u, c)$ ,  $(c, b)$

- These are the edges that explore new nodes

- **Forward edges:**  $(u, b)$

- Ancestor to descendant (excl. tree edges)

- **Backward edges:**  $(a, u)$ ,  $(b, u)$

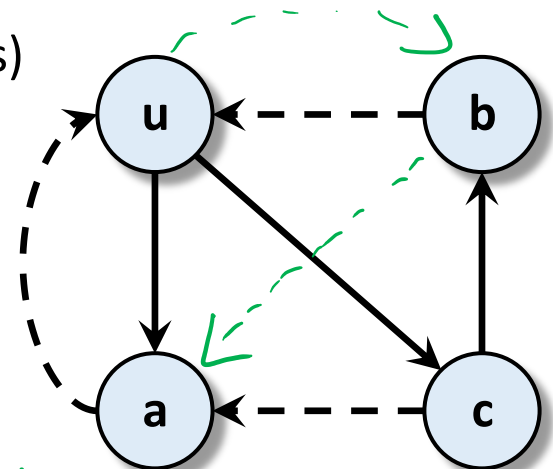
- Descendant to ancestor

- Every back edge lies on a

(directed)  
cycle

- **Cross edges:**  $(c, a)$ ,  $(b, a)$

- No ancestral relation



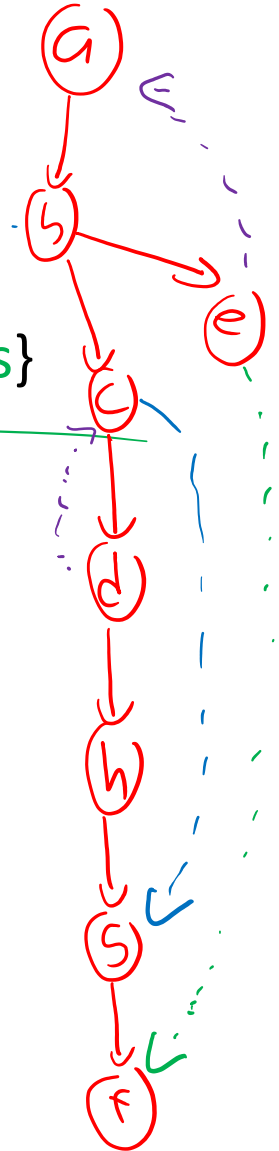
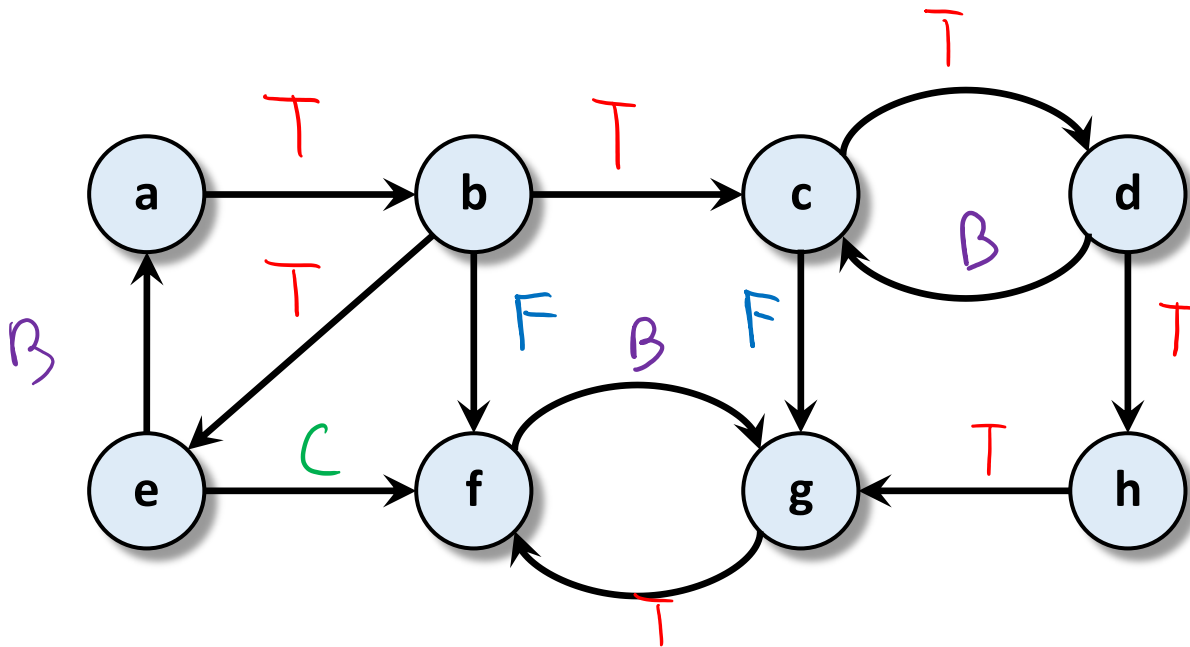
tree edges  
non-tree edges





# Ask the Audience

- DFS this graph starting from node *a*
  - Search in alphabetical order
  - Label edges as { **tree** , **forward** , **backward** , **cross** }



# Discovery and Finish Times

$G = (V, E)$  is a graph  
 $\text{discovered}[u] = 0 \ \forall u$

DFS( $u$ ):

$\text{discovered}[u] = 1$

$d[u] = \text{clock}, \text{clock}++$

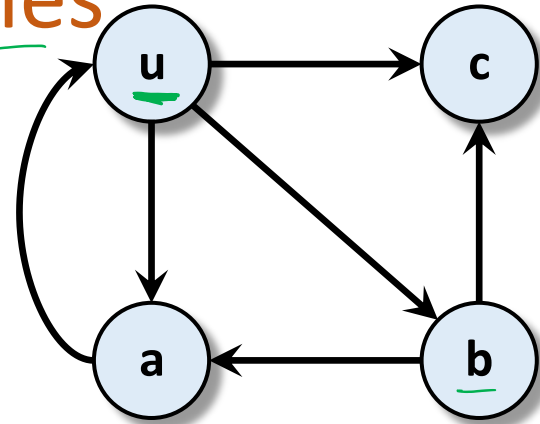
for ( $v$  in OUT[ $u$ ]):

if ( $\text{discovered}[v] = 0$ ):

parent[ $v$ ] =  $u$

DFS( $v$ )

$f[u] = \text{clock}, \text{clock}++$

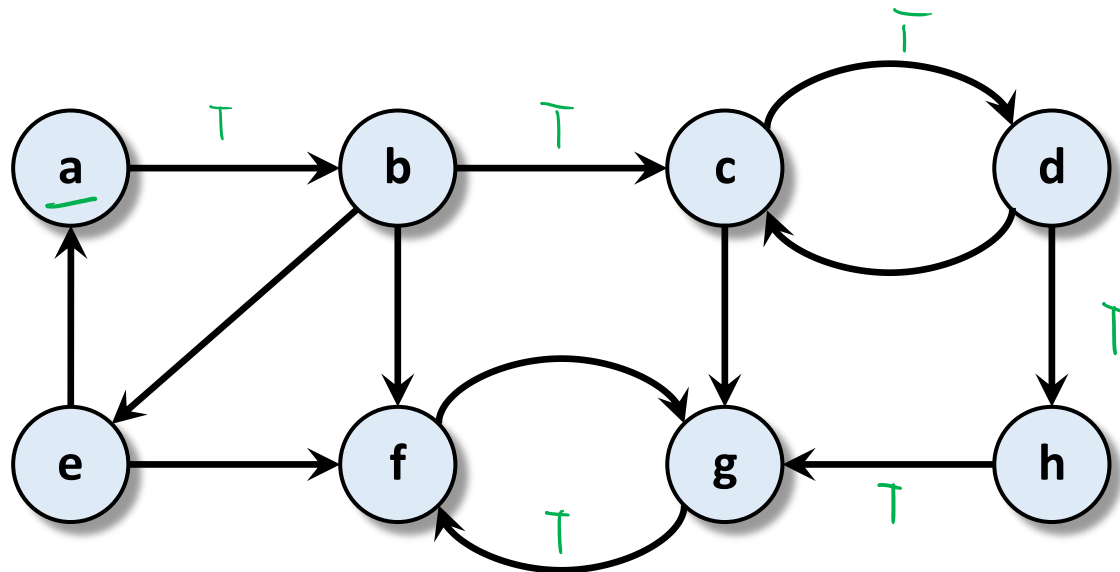


Vertex	Discovery	Finish
u	1	8
a	2	3
b	4	7
c	5	6

- Maintain a counter clock, initially set clock = 1

# Ask the Audience

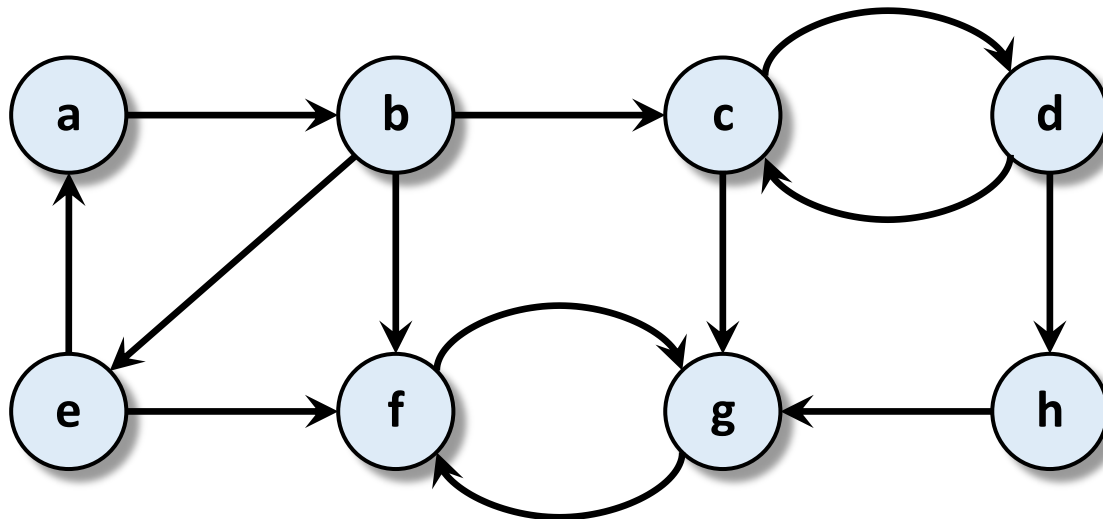
- Compute the **discovery** and finish times for this graph
  - DFS from **a**, search in alphabetical order



Vertex	a	b	c	d	e	f	g	h
<u>Discovery d[]</u>	1	2	3	4	13	7	6	5
<u>Finish f[]</u>	16	15	12	11	14	8	9	10

# Ask the Audience

- Compute the **discovery** and finish times for this graph
  - DFS from **a**, search in alphabetical order



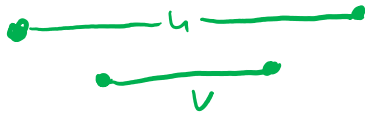
Vertex	a	b	c	d	e	f	g	h
Discovery d[]	1	2	3	4	13	7	6	5
Finish f[]	16	15	12	11	14	8	9	10

# Discovery & Finish Times and Edge Types



- For any (directed) edge  $(u, v)$ :

- $d[u] < d[v] < f[v] < f[u] \Rightarrow$  tree or forward



- $d[v] < d[u] < f[u] < f[v] \Rightarrow$  back

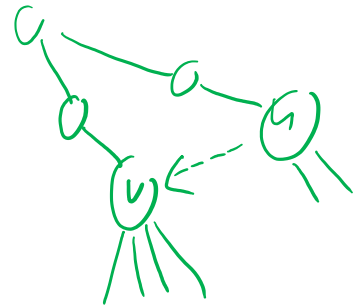
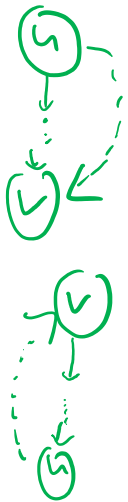


- $d[v] < f[v] < d[u] < f[u] \Rightarrow$  cross



- $d[u] < f[u] < d[v] < f[v] \Rightarrow$  **cannot happen**

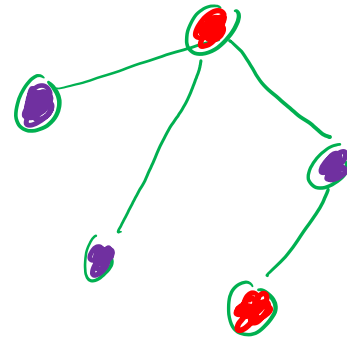
- u cannot finish before v if  $d[u] < d[v]$



# Bipartiteness / 2-Coloring



# 2-Coloring

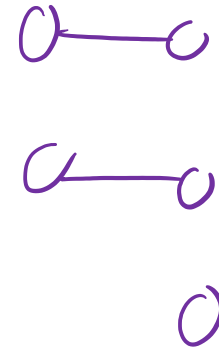
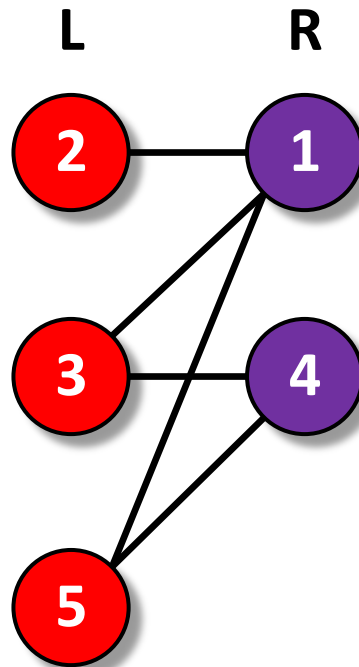
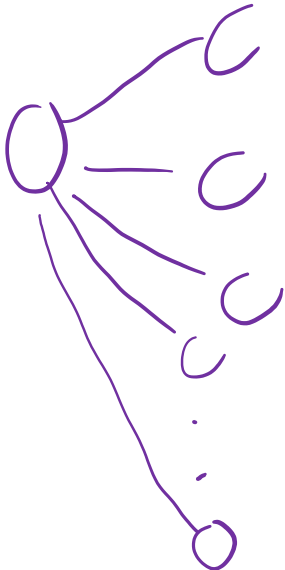


- **Problem:** Tug-of-War
  - Need to form two teams  $R, P$
  - Some students just don't get along
- **Input:** Undirected graph  $G = (V, E)$ 
  - $(u, v) \in E$  means  $u, v$  won't be on the same team
- **Output:** Split  $V$  into two sets  $R, P$  so that no pair in either set is connected by an edge



# 2-Coloring (Bipartiteness)

- **Equivalent Problem:** Is the graph  $G$  bipartite?
  - A graph  $G$  is bipartite if I can split  $V$  into two sets  $L$  and  $R$  such that all edges  $(u, v) \in E$  go between  $L$  and  $R$



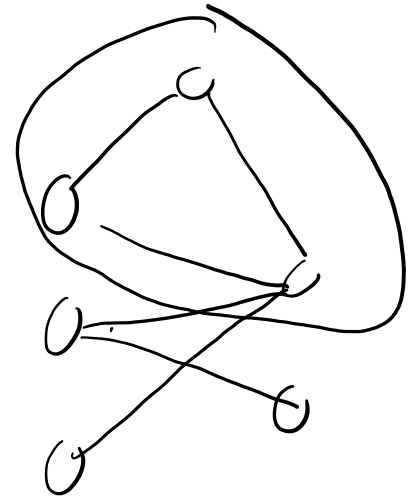
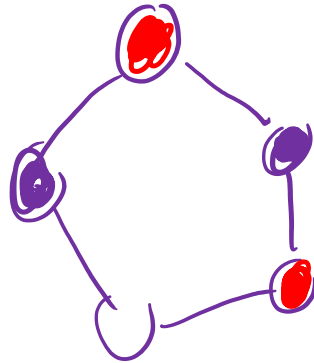


- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding, graph exploration, **bipartiteness**
- Alg. techniques: divide & conquer, dynamic programming, greedy
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# Key Fact

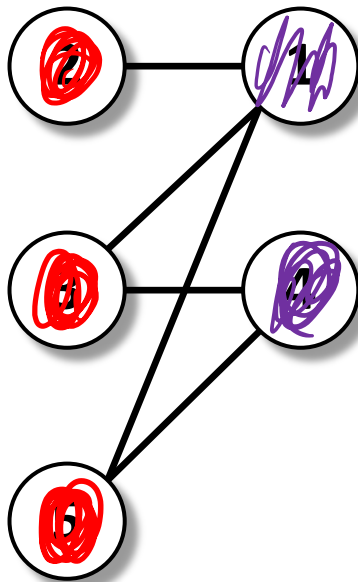
- **Key Fact:** If  $G$  contains a cycle of odd length, then  $G$  is not 2-colorable/bipartite



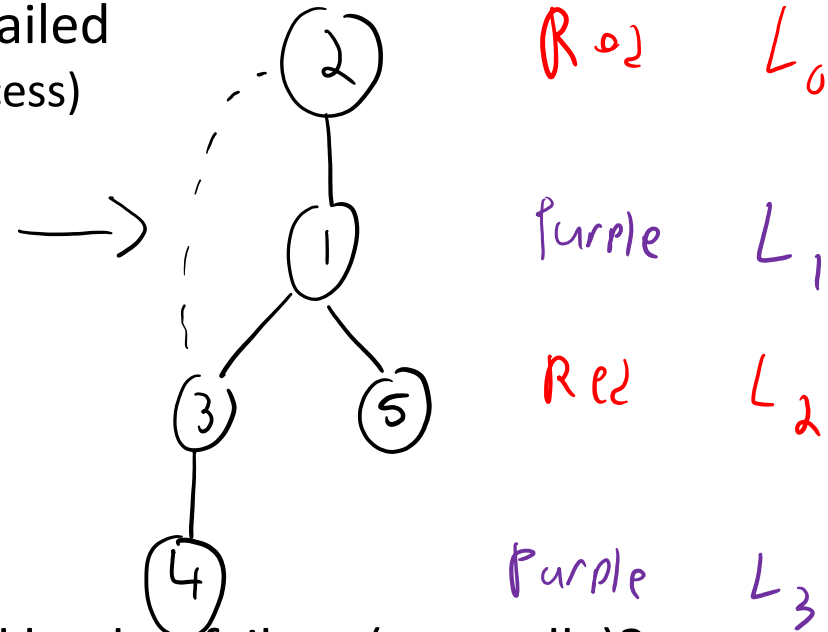
# Designing the Algorithm

- **Idea for the algorithm:**

- BFS the graph, coloring nodes as you find them
- Color nodes in layer  $i$  **purple** if  $i$  <sup>odd</sup> even, **red** if  $i$  <sup>even</sup> odd
- See if you have succeeded or failed
  - (all edges have 2 colors  $\rightarrow$  success)



don't  
need  
to  
worry  
about  
(can't happen)



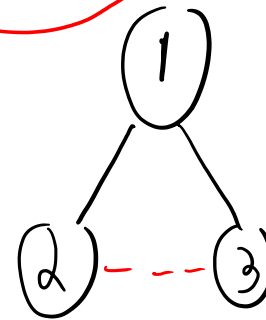
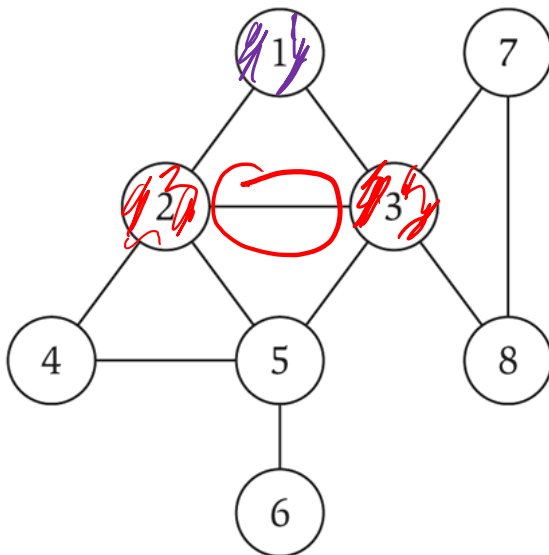
- What edges would lead to failure (generally)?  
edges between nodes on same layer



# Designing the Algorithm

- **Idea for the algorithm:**

- BFS the graph, coloring nodes as you find them
- Color nodes in layer  $i$  **purple** if  $i$  even, **red** if  $i$  odd
- See if you have succeeded or **failed**



$L_0$  Purple

$L_1$  Red

No, not bipartite!



# Designing the Algorithm

- **Claim:** If BFS 2-colored the graph successfully, the graph has been 2-colored successfully
- **Key Question:** Suppose you have not 2-colored the graph successfully, maybe someone else can do it? (i.e. is there a chance of getting a false negative?)

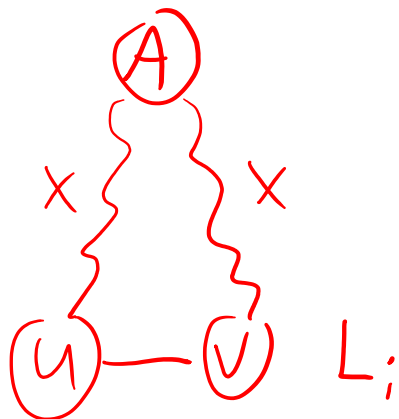
No!



# Designing the Algorithm

- **Claim:** If BFS fails, then  $G$  contains an odd cycle
  - If  $G$  contains an odd cycle then  $G$  can't be 2-colored!

pf.



$\exists (u, v)$  s.t.  $u$  and  $v$  are on the same layer in the BFS tree

Let  $A$  be the closest common ancestor of  $u$  and  $v$ .

$$\text{dist}_{\text{BFS}}(A, u) = \text{dist}_{\text{BFS}}(A, v) = x$$

$\therefore \exists$  cycle  $A \rightsquigarrow u \rightarrow v$

of length  $2x + 1$

$\therefore \exists$  odd length cycle

□

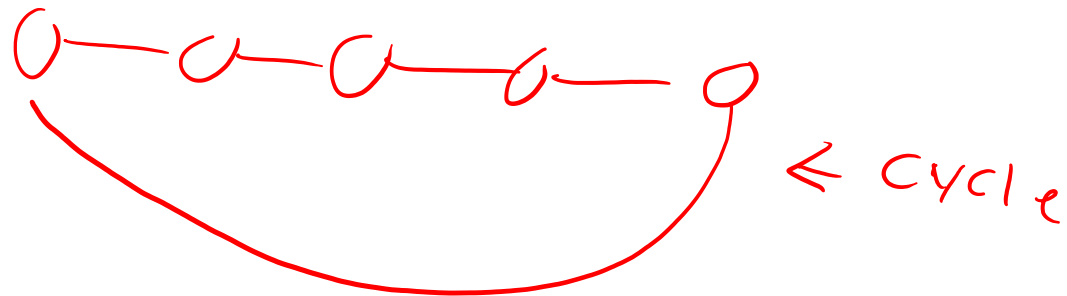


# Topological Sort



# Acyclic Graphs

- **Acyclic Graph:** has no cycles





# Acyclic Graphs

- **Acyclic Graph:** An undirected graph with no cycles
  - Also known as a **forest**
  - If it's connected then it's known as a **tree**
- Can test if a graph has a cycle in  $O(n + m)$  time
  - How?

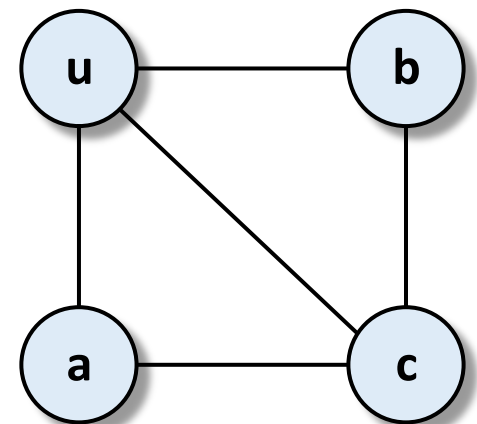
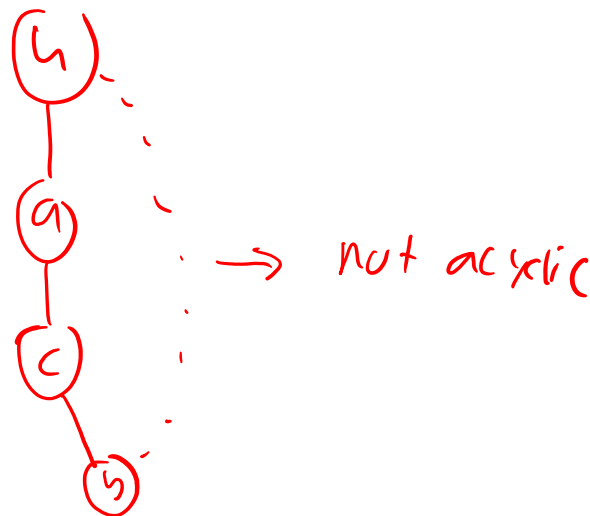
run DFS

Check for back edges



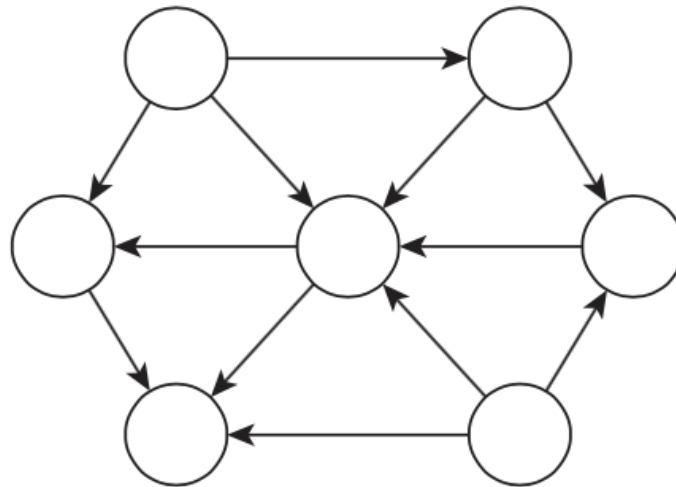
# Acyclic Graphs

- **Acyclic Graph:** An undirected graph with no cycles
  - Also known as a **forest**
  - If it's connected then it's known as a **tree**
- Can test if a graph has a cycle in  $O(n + m)$  time
  - Run DFS
  - If there are any edges **back edges**, then they form a cycle



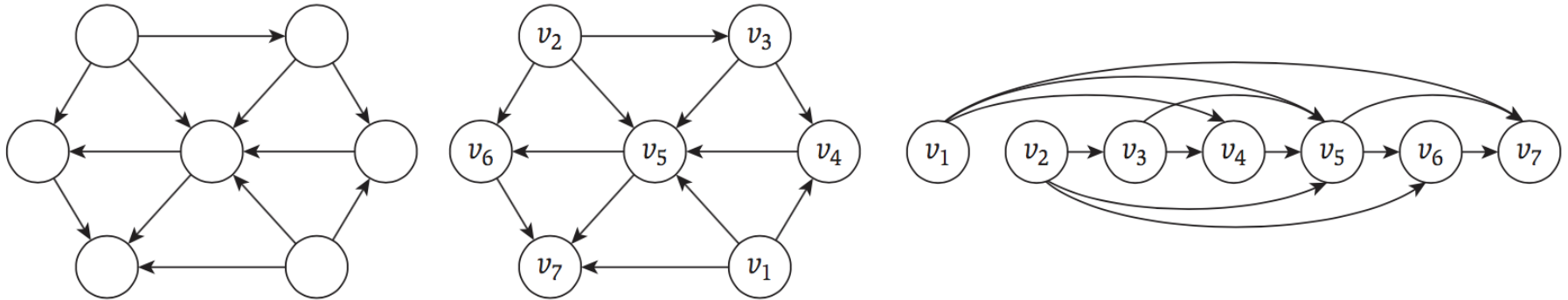
# Directed Acyclic Graphs (DAGs)

- **DAG**: A directed graph with no directed cycles
- Can be much more complex than a forest



# Directed Acyclic Graphs (DAGs)

- **DAG:** A directed graph with no directed cycles
- DAGs represent **precedence** relationships



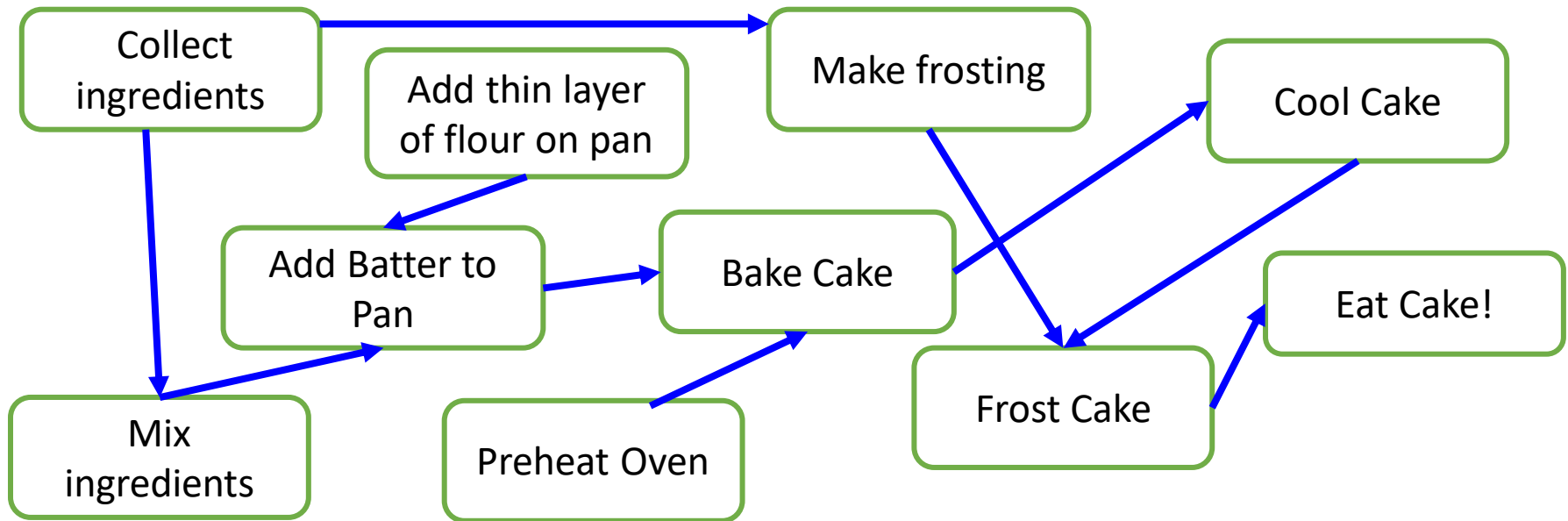
- A **topological ordering** of a directed graph is a labeling of the nodes from  $v_1, \dots, v_n$  so that all edges go “forwards”, that is  $(v_i, v_j) \in E \Rightarrow j > i$ 
  - $G$  has a topological ordering  $\Rightarrow G$  is a DAG

**\*\*** $G$  cannot be top. ordered if \_\_\_\_\_



# Directed Acyclic Graphs (DAGs)

- **DAG:** A directed graph with no directed cycles
- DAGs represent **precedence** relationships



- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding, graph exploration, bipartiteness, **topological sorting**
- Alg. techniques: divide & conquer, dynamic programming, greedy
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# Directed Acyclic Graphs (DAGs)

- **Problem 1:** given a digraph  $G$ , is it a DAG?
- **Problem 2:** given a digraph  $G$ , can it be topologically ordered?
- **The answers to P1 and P2 are:**
  - Always the same
  - Sometimes different



# Directed Acyclic Graphs (DAGs)

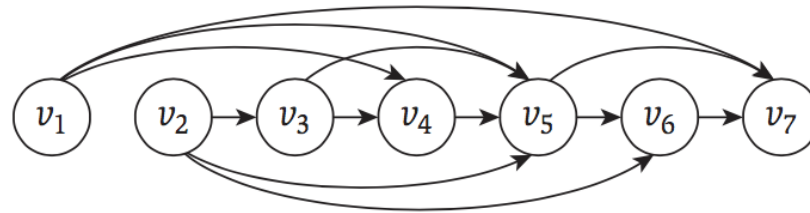
- **Problem 1:** given a digraph  $G$ , is it a DAG?
- **Problem 2:** given a digraph  $G$ , can it be topologically ordered?
- **Thm:**  $G$  has a topological ordering  $\iff G$  is a DAG
  - We will design one algorithm that either outputs a topological ordering or finds a directed cycle





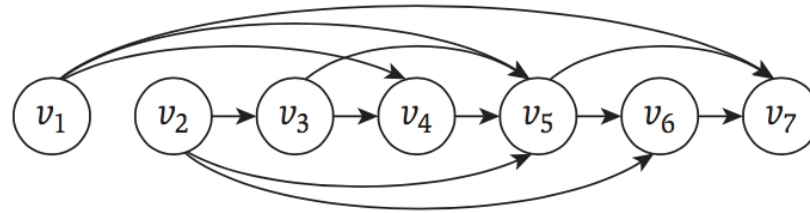
# Topological Ordering

- What can we say about the first node in the top. ordering?



# Topological Ordering

- **Observation:** the first node must have no in-edges

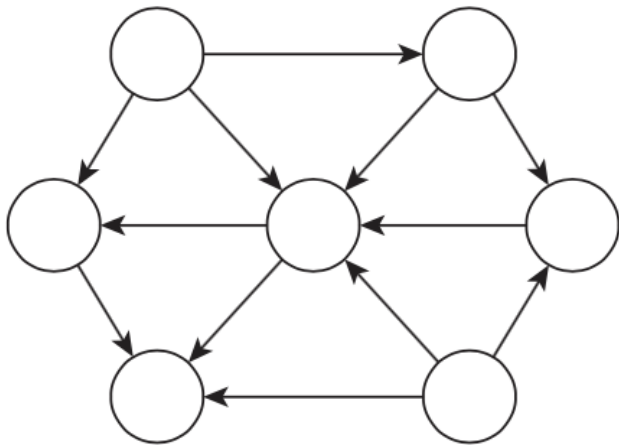


- **Observation:** In any DAG, there is always a node with no incoming edges



# Topological Ordering

- **Fact:** In any DAG, there is a node with no incoming edges
- **Thm:** Every DAG has a topological ordering
- **Proof (Induction):**



# Topological Ordering

- **Fact:** In any DAG, there is a node with no incoming edges
- **Thm:** Every DAG has a topological ordering
- **Proof (Induction):**

