

HW3 due 6/6

No Quiz CS3000: Algorithms & Data

\*Tag Pags on HW\* Drew van der Poel

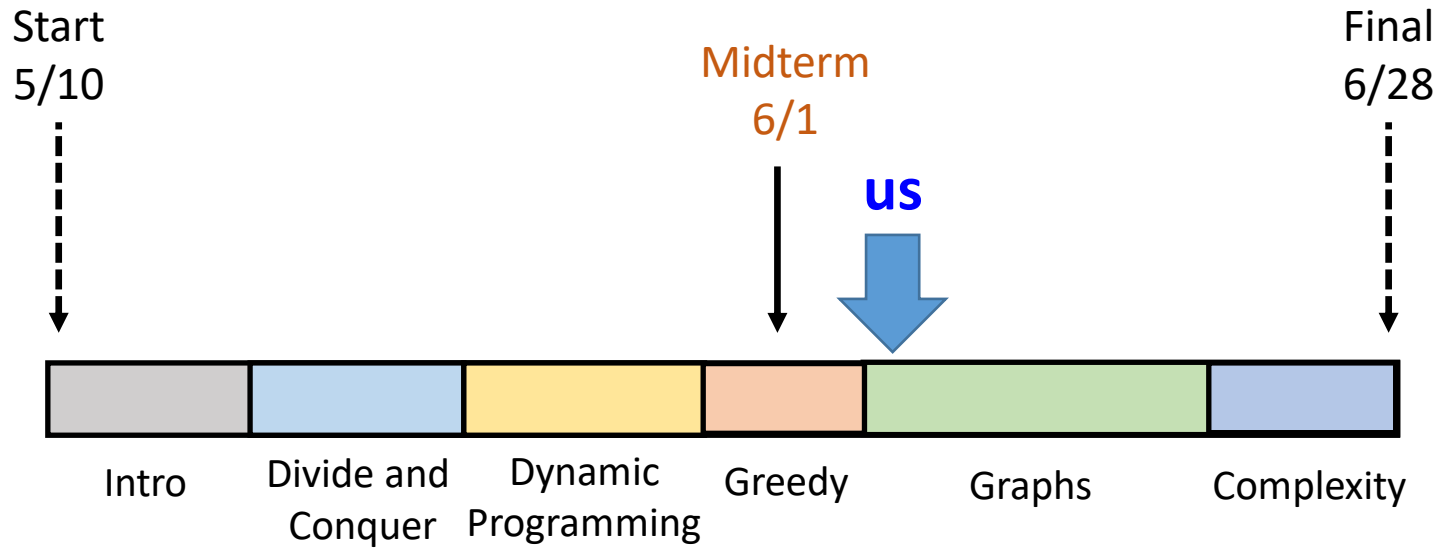
## Lecture 14

- Graphs
- Graph Traversals: BFS

June 3, 2021



# Outline

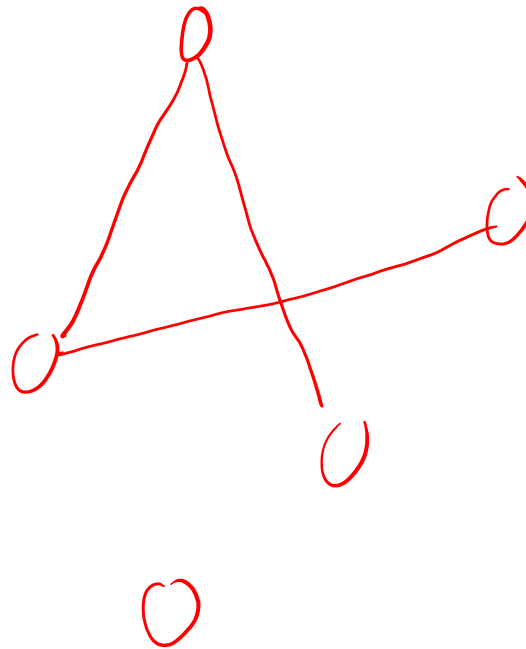


Last class: greedy: Huffman codes

Next class: Graphs: DFS, 2-Colorability & Topological Ordering

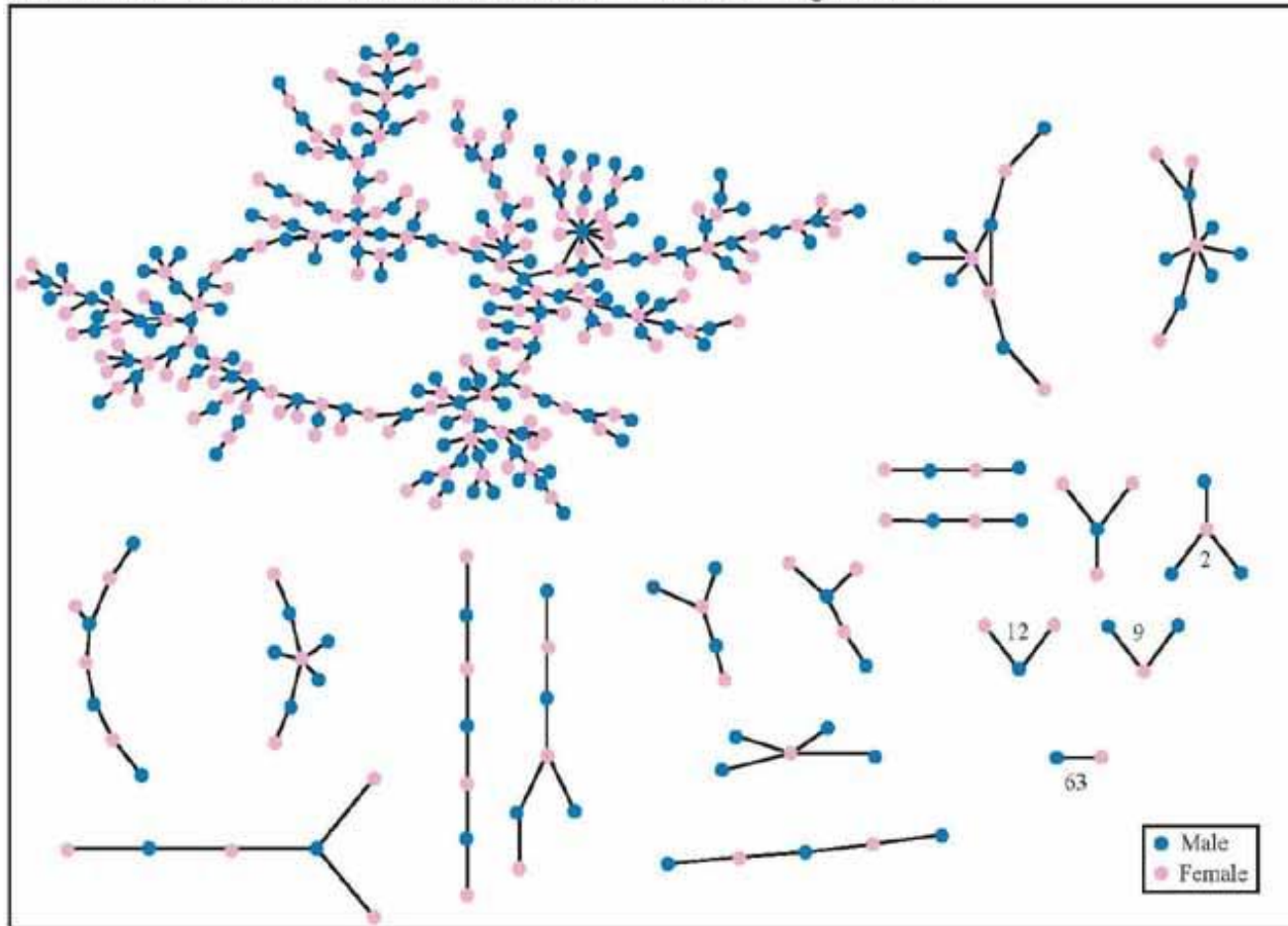


# Graphs



# Graphs Are Everywhere

The Structure of Romantic and Sexual Relations at "Jefferson High School"



Each circle represents a student and lines connecting students represent romantic relations occurring within the 6 months preceding the interview. Numbers under the figure count the number of times that pattern was observed (i.e. we found 63 pairs unconnected to anyone else).



# Graphs Are Everywhere

- Transportation networks
- On the internet
- Biological networks
- Citation networks
- Social networks
- ...



# On the horizon...

- **Graph Algorithms:**

Today

- **Graphs:** Key Definitions, Properties, Representations

- **Exploring Graphs:** Breadth/Depth First Search

- Applications: Connectivity, Bipartiteness, Topological Sorting, SCCs

- **Shortest Paths:**

- Dijkstra

- Bellman-Ford (Dynamic Programming)

- **Minimum Spanning Trees:**

- Borůvka, Prim, Kruskal

- **Network Flow:**

- Max Flow/Min Cut

- Ford-Fulkerson



# Graphs: Key Definitions



• **Definition:** An undirected graph  $G = (\underline{V}, \underline{E})$

•  $\underline{V}$  is the set of nodes/vertices

•  $\underline{E} \subseteq V \times V$  is the set of edges

• Edges are unordered  $\underline{e} = (\underline{u}, \underline{v})$  “between  $u$  and  $v$ ” ( $u$  and  $v$  are neighbors)

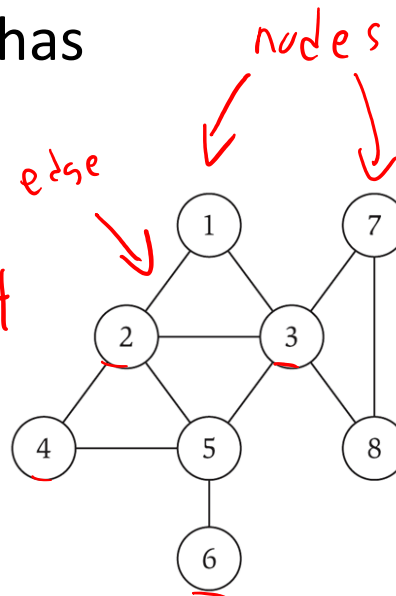
• Degree(v) = # of neighbors  $v$  has

•  $|V| = n, |E| = m$

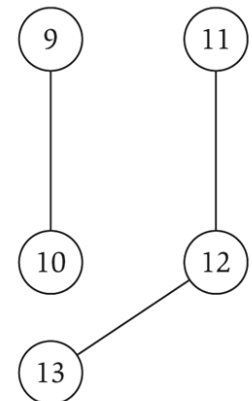
• Simple Graph:

• No duplicate edges

• No self-loops  $e = (u, u)$



$n = 13$   
 $m = 14$



# Graphs: Key Definitions



- **Definition:** A **directed graph**  $G = (\underline{V}, \underline{E})$

- $V$  is the set of **nodes/vertices**

- $E \subseteq V \times V$  is the set of **edges**



- An edge is an **ordered**  $e = (u, v)$  "from  $u$  to  $v$ " ( $u$  is an in-neighbor of  $v$ ,  $v$  is an out-neighbor of  $u$ )

- $\text{In/out-degree}(v) = \#$  of in/out neighbors of  $v$

$$(u, v) \neq (v, u)$$

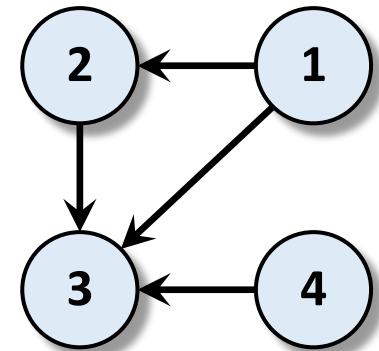
- $|V| = n, |E| = m$

$$\text{in-deg}(1) = 0$$

$$\text{Out-deg}(1) = 2$$

$$n = 4$$

$$m = 4$$



- **Simple Graph:**

- No duplicate edges

- No self-loops  $e = (u, u)$





- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding
- Alg. techniques: divide & conquer, dynamic programming, greedy
- Analysis: asymptotic analysis, recursion trees, Master Thm., **Graph Terminology**  

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- Proof techniques: (strong) induction, contradiction, greedy stays ahead, exchange argument



# Ask the Audience

- How many edges can there be in a **simple** directed/undirected graph?

Directed:  $O(n^2)$

$$(n)(n-1) = n^2 - n$$

Undirected:  $O(n^2)$

$$\frac{(n)(n-1)}{2}$$

$\binom{n}{2}$  Pairs of nodes

$$= \frac{n^2 - n}{2}$$



# Ask the Audience

- What is the **total degree** ( $\sum_{v \in V} \deg(v)$ ) in an undirected graph with  $m$  edges?

$2m$

- What is the **total in-degree** ( $\sum_{v \in V} \text{in-deg}(v)$ ) in a directed graph with  $m$  edges?

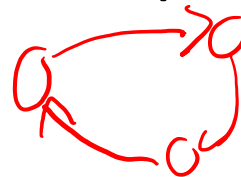
$m$



# Paths/Connectivity

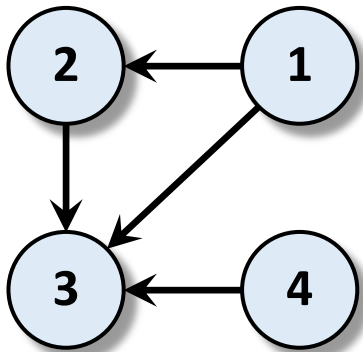


- A **path** is a sequence of consecutive edges in  $E$ 
  - $P = \{(u, w_1), (w_1, w_2), (w_2, w_3), \dots, (w_{k-1}, v)\}$
  - $P = \underline{u - w_1 - w_2 - w_3 - \dots - w_{k-1} - v}$   $\text{len}(P) = k$
  - The **length** of the path is the # of edges
  - A path is simple if all vertices on the path are unique
- An undirected graph is connected if for every two vertices  $u, v \in V$ , there is a path from  $u$  to  $v$
- A directed graph is strongly connected if for every two vertices  $\underline{u, v} \in V$ , there are paths from  $\underline{u}$  to  $\underline{v}$  and from  $\underline{v}$  to  $\underline{u}$



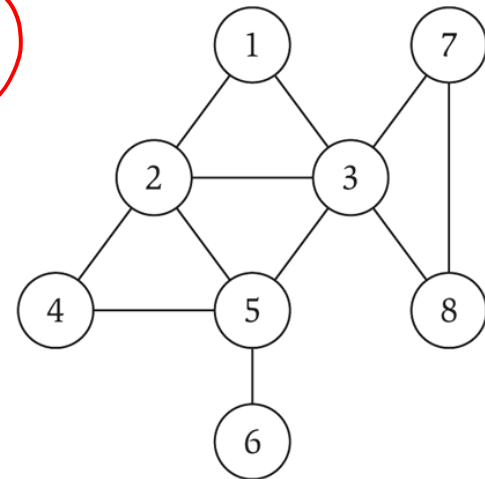
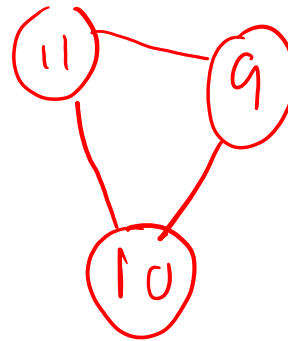
# Paths/Connectivity

- An **undirected** graph is **connected** if for every two vertices  $u, v \in V$ , there is a path from  $u$  to  $v$
- A **directed** graph is **strongly connected** if for every two vertices  $u, v \in V$ , there are paths from  $u$  to  $v$  and from  $v$  to  $u$



Strongly connected?

No - no path from  
3 to 2 (or 1 or 4)



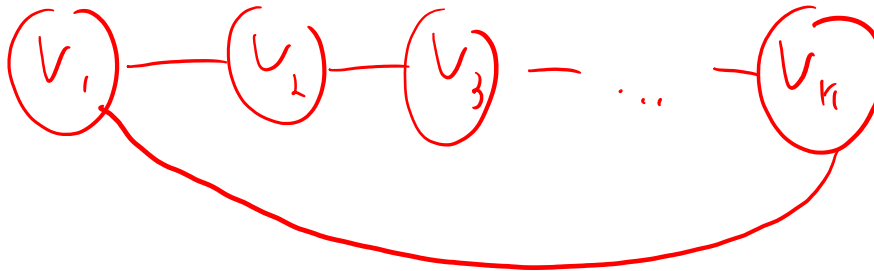
Connected?

yes

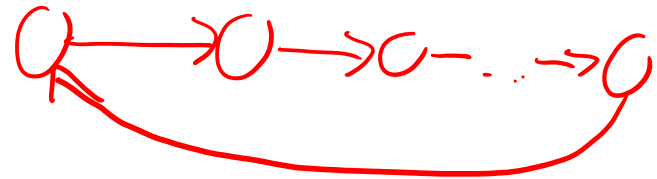
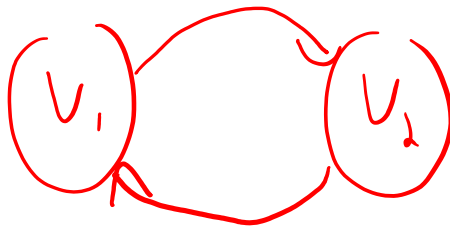


# Cycles

- An undirected cycle is a path  $\underline{v_1 - v_2 - \dots - v_k - v_1}$  where  $k \geq 3$  and  $v_1, \dots, v_k$  are distinct
- Directed cycles can have  $k \geq 2$



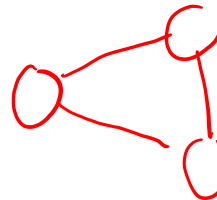
(1) — (2)  
Not a  
cycle



# Ask the Audience

- Suppose an undirected graph  $G$  is connected
  - True/False?  $G$  has at least  $n - 1$  edges

- Suppose an undirected graph  $G$  has  $n - 1$  edges
  - True/False?  $G$  is connected

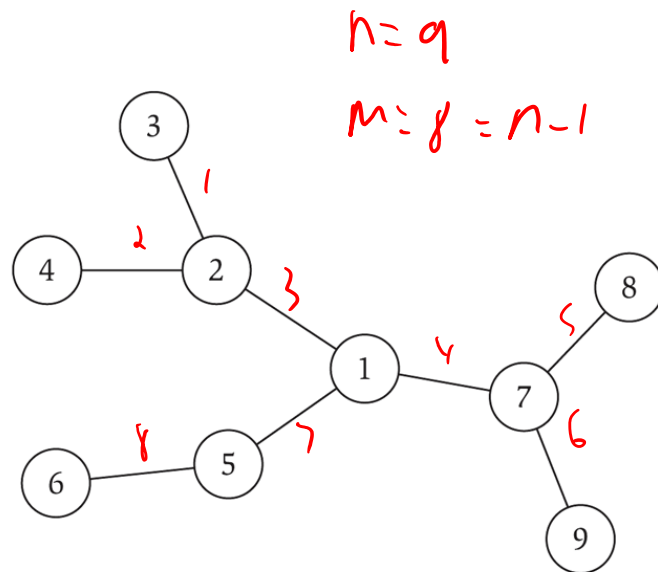


$$n = 4$$
$$m = 3 = n - 1$$



# Trees

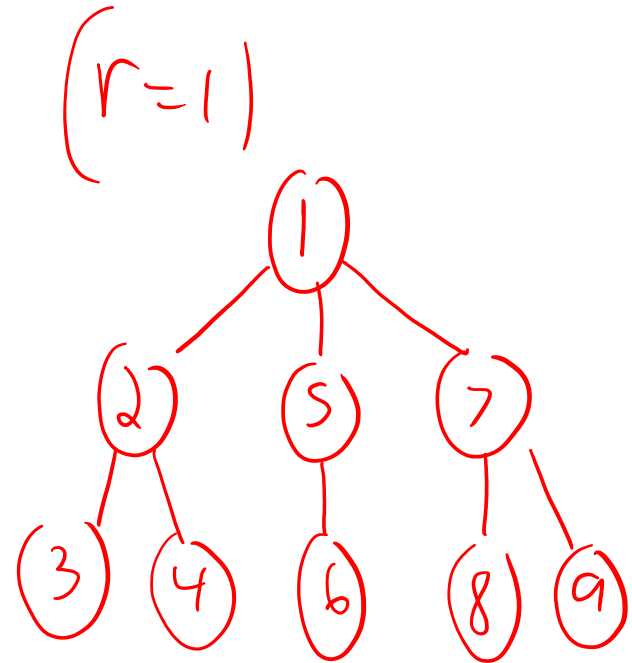
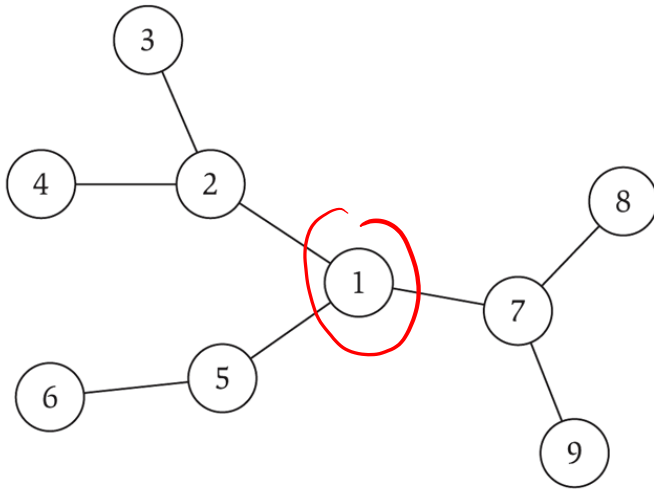
- A simple undirected graph  $G$  is a tree if:
  - $G$  is connected
  - $G$  contains no cycles (acyclic)
- **Theorem:** any two of the following implies the third
  - $G$  is connected
  - $G$  contains no cycles
  - $G$  has  $= n - 1$  edges





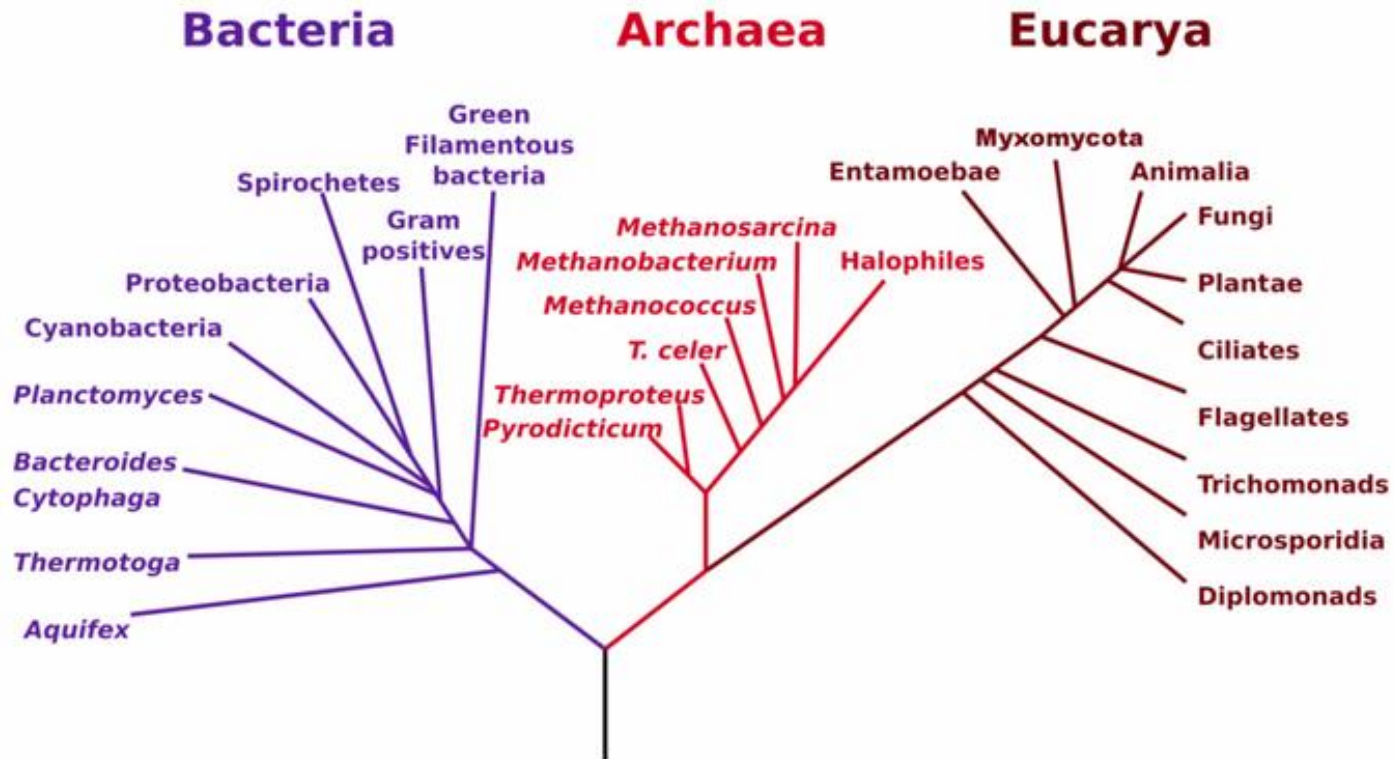
# Trees

- **Rooted tree:** choose a root node  $r$  and orient edges away from  $r$ 
  - Models hierarchical structure



# Phylogeny

## Phylogenetic Tree of Life



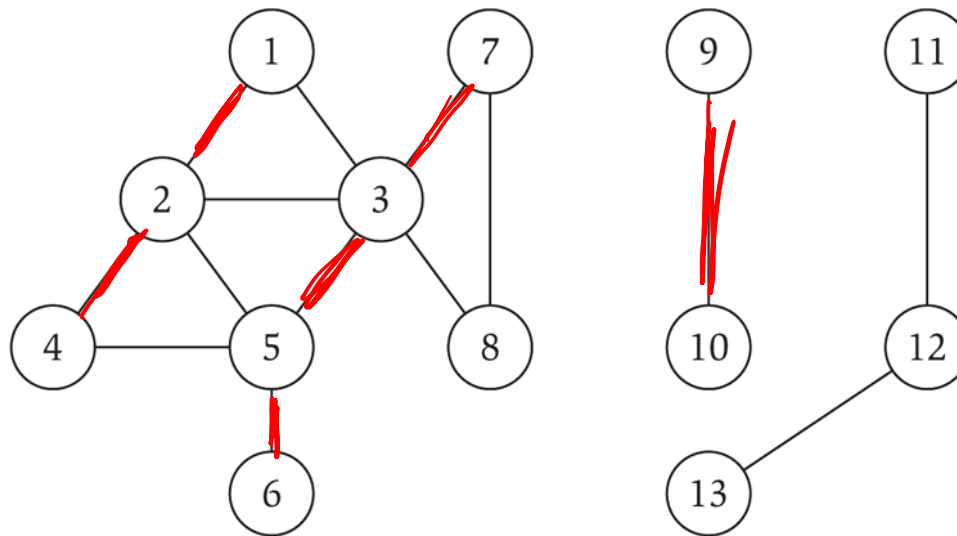
# Exploring a Graph

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# Exploring a Graph

- **Problem:** Is there a path from s to t?



From 6 to 7? 1 to 4? 9 to 10? 8 to 11?

yes   yes   yes   No → G is not connected



# Exploring a Graph

- **Problem:** Is there a path from  $s$  to  $t$ ?
- **Idea:** Explore all nodes reachable from  $s$ .
- Two different search techniques:
  - **Breadth-First Search:** explore nearby nodes before moving on to farther away nodes
  - **Depth-First Search:** follow a path until you get stuck, then go back



- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding, **graph exploration**
- Alg. techniques: divide & conquer, dynamic programming, greedy
- Analysis: asymptotic analysis, recursion trees, Master Thm., Graph Terminology
- Proof techniques: (strong) induction, contradiction, greedy stays ahead, exchange argument



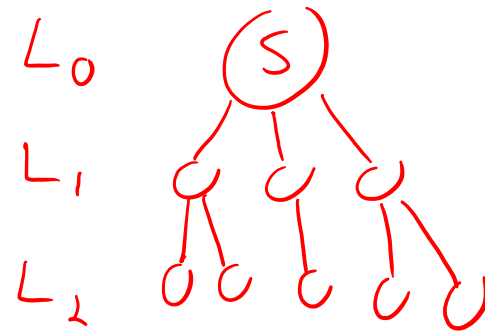
# Exploring a Graph

- **BFS/DFS** are general templates for graph algorithms
  - Extensions of **Breadth-First Search**:
    - 2-Coloring (Bipartiteness)
    - Shortest Paths
    - Minimum Spanning Tree (Prim's Algorithm)
  - Extensions of **Depth-First Search**:
    - Fast Topological Sorting



# Breadth-First Search (BFS)

- **Informal Description:** start at  $s$ , find neighbors of  $s$ , find neighbors of neighbors of  $s$ , and so on...



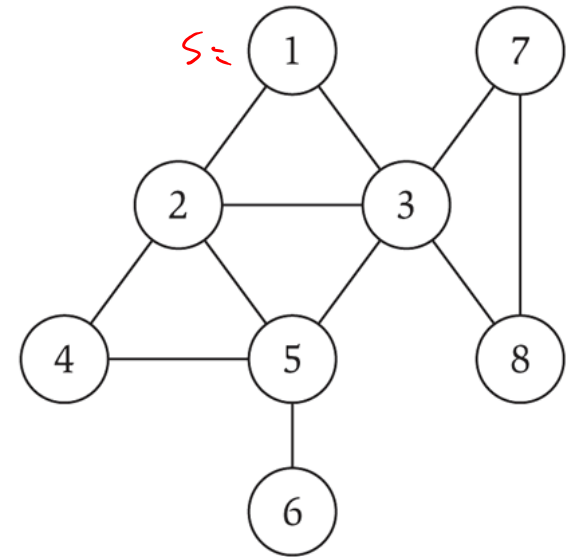
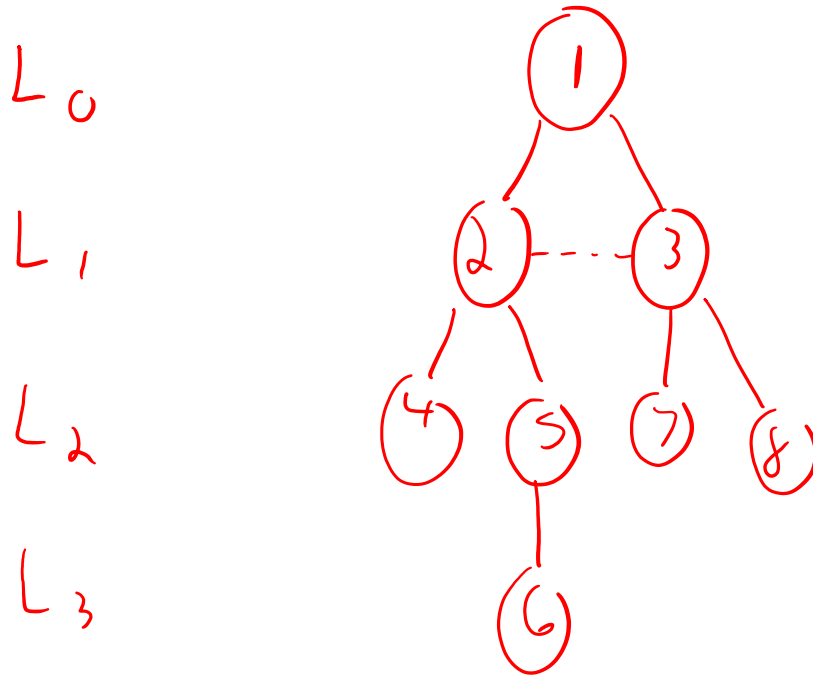
- **BFS Tree:**
  - $L_0 = \{s\}$
  - $L_1 =$  all neighbors of  $L_0$
  - $L_2 =$  all neighbors of  $L_1$  that are not in  $L_0, L_1$
  - $L_3 =$  all neighbors of  $L_2$  that are not in  $L_0, L_1, L_2$
  - ...
  - $L_d =$  all neighbors of  $L_{d-1}$  that are not in  $L_0, \dots, L_{d-1}$
  - Stop when  $L_{d+1}$  is empty





# Ask the Audience

- Run a BFS from  $s = 1$

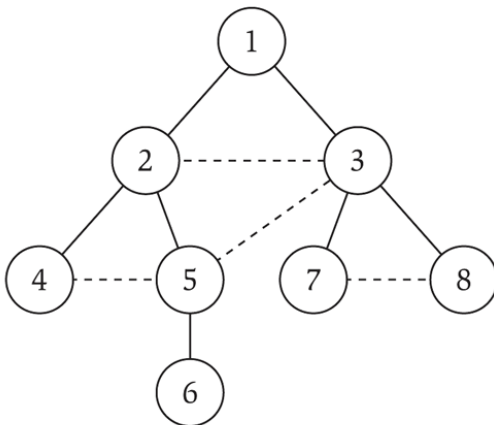


tree  
edges



# Breadth-First Search (BFS)

- **Definition:** the distance between  $s, t$  is the number of edges on the shortest path from  $s$  to  $t$
- **Thm:** BFS finds distances from  $s$  to all other nodes
  - $L_i$  contains all nodes at distance  $i$  from  $s$
  - Nodes not in any layer are not reachable from  $s$



$$d(1, 6) = 3$$



# (Sidebar) Graphs: Representations/Storage



# Adjacency Matrices

- The **adjacency matrix** of a graph  $G = (V, E)$  with  $n$  nodes is the matrix  $A[1:n, 1:n]$  where

$$A[i, j] = \begin{cases} 1 & (i, j) \in E \\ 0 & (i, j) \notin E \end{cases}$$

A	1	2	3	4
1	0	1	1	0
2	0	0	1	0
3	0	0	0	0
4	0	0	1	0

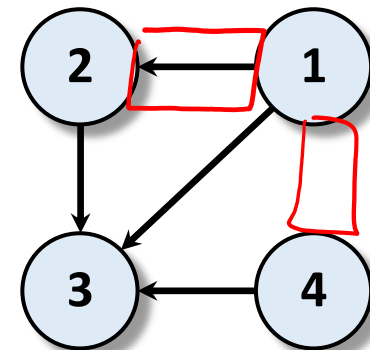
Cost

Space:

$O(n^2) \leftarrow n \times n = n^2$

Check if edge (u,v) exists:  $O(1)$

List(out/in)-Neighbors of  $v$ :  $O(n)$



# Adjacency Lists (Undirected)

$m = \#$  of edges,  $n = \#$  of nodes

- The **adjacency list** of a vertex  $v \in V$  is the list  $A[v]$  of all  $u$  s.t.  $(v, u) \in E$

each edge adds 2 elems. to the space

Cost

Space:  $O(m + n)$

$$A[1] = \{2, 3\}$$

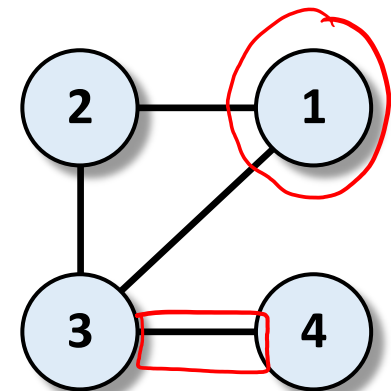
$$A[2] = \{1, 3\}$$

$$A[3] = \{1, 2, 4\}$$

$$A[4] = \{3\}$$

Check if edge  $(u, v)$  exists:  $O(\deg(u))$

List Neighbors of  $v$ :  $O(\deg(v))$



# Adjacency Lists (Directed)

- The **adjacency list** of a vertex  $v \in V$  are the lists
  - $A_{out}[v]$  of all  $u$  s.t.  $(v, u) \in E$
  - $A_{in}[v]$  of all  $u$  s.t.  $(u, v) \in E$

$$A_{out}[1] = \{2, 3\}$$

$$A_{in}[1] = \{\}$$

$$A_{out}[2] = \{3\}$$

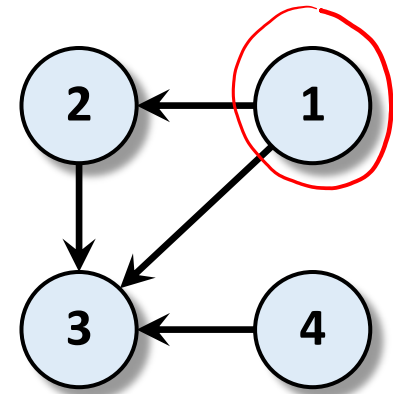
$$A_{in}[2] = \{1\}$$

$$A_{out}[3] = \{\}$$

$$A_{in}[3] = \{1, 2, 4\}$$

$$A_{out}[4] = \{3\}$$

$$A_{in}[4] = \{\}$$



Cost

Space:  $O(m + n)$

2 elems,  
per edge

2 lists per node

$O(out-deg(u))$

Check if edge  $(u, v)$  exists:  $O(in-deg(v))$

List (in-/out-)Neighbors of  $v$ :  $O(in-deg(v))$



- Problems: counting students, stable matching, sorting, n-digit multiplication, array searching, selection, weighted interval scheduling, segmented least squares, knapsack, prefix-free encoding, graph exploration
- Alg. techniques: divide & conquer, dynamic programming, greedy
- Analysis: asymptotic analysis, recursion trees, Master Thm., Graph Terminology/**representations**
- Proof techniques: (strong) induction, contradiction, greedy stays ahead, exchange argument



# BFS Running Time (Adjacency List)

BFS ( $G = (V, E)$ ,  $s$ ):

$O(n)$

Let found[ $v$ ]  $\leftarrow$  false  $\forall v$ , found[ $s$ ]  $\leftarrow$  true

Let layer[ $v$ ]  $\leftarrow \infty$   $\forall v$ , layer[ $s$ ]  $\leftarrow$  0

Let  $i$   $\leftarrow$  0,  $L_0$  = { $s$ },  $T$   $\leftarrow \emptyset$

While ( $L_i$  is not empty):

$O(1)$  Initialize new layer  $L_{i+1}$

$\rightarrow$  For ( $u$  in  $L_i$ ): *Adj. list of node  $u$*

For ( $v$  in  $A[u]$ ):

If (found[ $v$ ] = false):

found[ $v$ ]  $\leftarrow$  true, layer[ $v$ ]  $\leftarrow$   $i+1$

Add ( $u, v$ ) to  $T$  and add  $v$  to  $L_{i+1}$

$O(1)$

$O(1)$   $i \leftarrow i+1$

$O(1)$  Return  $T$ , layer

$O(n)$   
times  
in total

$\deg(u)$

$$\sum_{u \in V} \deg(u) = O(m)$$

Total:  $O(n + m)$





# Ask the Audience

- A **directed graph** is **strongly connected** if for every pair  $u, v \in V$ ,  $u$  is reachable from  $v$  and vice versa
- How can you (naively) use BFS to determine if a graph is strongly connected? What is the runtime of your approach?

