

# Relational Algebra

# Relational Algebra

**Relational Algebra** is a **collection of operations** that are used to **manipulate entire relations**.

The result of each operation is a new relation, which can be further manipulated.

Relational Algebra Operators are divided into two groups:

**Set Operations** like UNION, INTERSECTION, DIFFERENCE, and CARTESIAN PRODUCT.

**Relational Database Specific Operations** like SELECT, PROJECT, and JOIN.

# SELECT OPERATION ( $\sigma$ )

Selects the tuples (rows) from a relation R that satisfy a certain selection condition **c**

- Form of the operation  $\sigma_{\mathbf{c}}(R)$
- The condition **c** is an arbitrary Boolean expression on the attributes of R
- Resulting relation has the same set of attributes as R
- Resulting relation includes each tuple in  $r(R)$  whose attribute values satisfy the condition **c**

## Examples

$\sigma_{\mathbf{DNO=4}}(\text{Employee})$

$\sigma_{\mathbf{SALARY>3000}}(\text{Employee})$

$\sigma_{(\mathbf{DNO=4 \text{ AND } SALARY>25000}) \text{ OR } (\mathbf{DNO=5})}(\text{Employee})$

# PROJECT Operation ( $\Pi$ )

Keeps only certain attributes (columns) from a relation R specified in an attribute list **L**

Form of operation:  $\Pi_L(R)$

Resulting relation has only those attributes of R specified in **L**

**Example:**

$\Pi_{\text{FNAME, LNAME, SALARY}}(\text{EMPLOYEE})$

The project operation eliminates duplicate tuples in the resulting relation so that the result remains a mathematical set.

$\Pi_{\text{SEX, SALARY}}(\text{EMPLOYEE})$

If several male employees have salary 3000, only a single tuple  $\langle \text{M}, 3000 \rangle$  is kept in the resulting relation.

# Sequences of operations

Several operations can be combined to form a relational algebra expression (query)

**Example:** Retrieve the names and salaries of employees who work in department 4:

a)  $\Pi_{\text{FNAME, LNAME, SALARY}}(\sigma_{\text{DNO}=4}(\text{Employee}))$

Alternatively, intermediate relations for each step are specified

b)  $\text{Dept4\_Emps} \leftarrow \sigma_{\text{DNO}=4}(\text{Employee})$

$\text{Result} \leftarrow \Pi_{\text{FNAME, LNAME, SALARY}}(\text{Dept4\_Emps})$

Attributes can be renamed in the resulting relation:

$\text{Dept4\_Emps} \leftarrow \sigma_{\text{DNO}=4}(\text{Employee})$

$\text{R}(\text{FN, LN, Sal}) \leftarrow \Pi_{\text{FNAME, LNAME, SALARY}}(\text{Dept4\_Emps})$

# Set Operations

Binary operations from mathematical set theory

**Union:  $R \cup S$**

**Intersection:  $R \cap S$**

**Set Difference:  $R - S$**

**Cartesian Product:  $R \times S$**

The operand relations  $R(A_1, A_2, \dots, A_n)$  and  $S(B_1, B_2, \dots, B_n)$  must have the same number of attributes for operations  $\cup$ ,  $\cap$ , and  $-$ .

And the domains of corresponding attributes must be compatible; that is  $\text{dom}(A_i) = \text{dom}(B_i)$  for  $i = 1, 2, \dots, n$ . This condition is called **union compatibility**.

**The resulting relation for  $\cup$ ,  $\cap$ , and  $-$  has the same attribute names as the first operand relation  $R$  (by convention).**

# Examples

**STUDENT**

FN	LN
Amy	Wong
Jim	Lai
Cindy	Chan
Micheal	Chang

**INSTRUCTOR**

FN	LN
Amy	Wong
Jim	Lai
Abhay	Joshi
John	Right

**STUDENT  $\cup$  INSTRUCTOR**

FN	LN
Amy	Wong
Jim	Lai
Cindy	Chan
Micheal	Chang
Abhay	Joshi
John	Right

**INSTRUCTOR  $\cap$  STUDENT**

FN	LN
Amy	Wong
Jim	Lai

**INSTRUCTOR - STUDENT**

FN	LN
Abhay	Joshi
John	Right

**STUDENT - INSTRUCTOR**

FN	LN
Cindy	Chan
Micheal	Chang

# Cartesian Product

$R(A_1, A_2, \dots, A_m, B_1, B_2, \dots, B_n) \leftarrow R_1(A_1, A_2, \dots, A_m) \times R_2(B_1, B_2, \dots, B_n)$

A tuple  $t$  exists in  $R$  for each combination of tuples  $t_1$  from  $R_1$  and  $t_2$  from  $R_2$  such that:  $t[A_1, A_2, \dots, A_m] = t_1$  and  $t[B_1, B_2, \dots, B_n] = t_2$

If  $R_1$  has  $n_1$  tuples and  $R_2$  has  $n_2$  tuples, then  $R$  will have  $n_1 * n_2$  tuples.

Cartesian Product is meaningless operation on its own. It can combine related tuples from two relations if followed by an appropriate **Select** operation.

**Example:** Combine each Employee tuple with the Department tuple of the manager.

**EMP-DEPT** <- Department X Employee

**MGR-EMP** <-  $\sigma_{ENO = MgrENO}$ (**EMP-DEPT**)



# Example

**EMPLOYEE**

ENO	Name	EDNO
1	Cindy	10
2	Alice	20
3	Micheal	20

**DEPARTMENT**

DNO	DName	MgrENO
10	Sales	1
20	Accounts	3

**EMP-DEPT = EMPLOYEE X DEPARTMENT**

ENO	Name	EDNO	DNO	DName	MgrENO
1	Cindy	10	10	Sales	1
1	Cindy	10	20	Accounts	3
2	Alice	20	10	Sales	1
2	Alice	20	20	Accounts	3
3	Micheal	20	10	Sales	1
3	Micheal	20	20	Accounts	3

**MGR-EMP =  $\sigma_{(ENO = MgrENO)}$ (EMPLOYEE X DEPARTMENT)**

ENO	Name	EDNO	DNO	DName	MgrENO
1	Cindy	10	10	Sales	1
3	Micheal	20	20	Accounts	3

# Join Operations

**Theta Join:** Similar to a cartesian product followed by a select. The condition **c** is called a join condition.  $R_1(A_1, A_2, \dots, A_m)$  and  $R_2(B_1, B_2, \dots, B_n)$ ,  $R_1 \bowtie_{A \theta B} R_2$ , where  $\theta$  in  $\{=, <, >, \leq, \geq, <>\}$ .

**Example:** Given relations Emp(Name, Sal); MGR(Mname, Bonus)  
 $\text{Emp} \bowtie_{\text{Sal} < \text{Bonus}} \text{MGR}$ ; lists Emps with Salary < Bonus of MGRs

**Equijoin:** The join condition c includes one or more equality comparisons involving join attributes from  $R_1$  and  $R_2$ .

That is, **c** is  $(A_i = B_j) \text{ AND } \dots \text{ AND } (A_k = B_l)$

where A's and B's are distinct attributes of relations  $R_1$  and  $R_2$ .

**Example:** Retrieve each Department's name and its Manager's name:  $T \leftarrow \text{Department} \bowtie_{\text{MGRSSN} = \text{SSN}} \text{Employee}$

**Result**  $\leftarrow \Pi_{\text{DNAME}, \text{NAME}}(T)$

# Join Operations (Cont.)

**Natural Join (\*):** In Equijoin  $R \leftarrow R1 \bowtie_c R2$ , the **join attribute of R2 appear redundantly in the result relation R**. In a natural join, the **redundant join attributes of R2 are eliminated from R**. The equality condition is implied and need not be specified.

$R \leftarrow R^*_{(\text{join attributes of } R), (\text{join attributes of } S)} S$

**Note:** In the original definition of natural join, the join attributes were required to have same names in both relations.

**Example:** Retrieve each **Employee(ENO, Name, SuperENO)** name and the **name of his/her Supervisor**:

**Supervisor(SEN0, SName) <-  $\Pi_{\text{ENO, Name}}$ (Employee)**

**T <- Employee \* Supervisor**

**Result <-  $\Pi_{\text{Name, SName}}$  (T)**

# Join Operations (Cont.)

There can be a more than one set of join attributes with a different meaning between the same two relations. For example:

Employee.SSN= Department.MgrSSN meaning Employee manages Department, and Employee.Dno = Department.Dnumber meaning Employee works for the Department

**Example:** Retrieve each Employee's name and the name of the Department he/she **works** for:

**T** <- **Employee** \* **EDNO=DNO** **Department**; **Result** <-  $\Pi_{\text{Name, DName}}$  (**T**)

A relation can have a set of join attributes to join it with itself.

Employee(1).SuperSSN=Employee(2).SSN meaning Employee(2) supervises Employee(1)

One can think of this as joining two distinct copies of the relation, although only one relation actually exists.

# Example Database

STUDENT			
Name	Student Number	Class	Major
Smith	17	1	COSC
Brown	18	2	COSC

GRADE-REPORT		
Student Number	Section-Identifier	Grade
17	85	A
18	102	B+

PREREQUISITE	
Course Number	Prerequisite Number
COSC3380	COSC3320
COSC3320	COSC1310

SECTION					COURSE			
Section-Identifier	Course Number	Semester	Year	Instructor	Course Name	Course Number	Credit Hours	Department
85	MATH2410	Fall	91	King	Intro to CS	COSC1310	4	COSC
92	COSC1310	Fall	91	Anderson	Data Structures	COSC3320	4	COSC
102	COSC3320	Spring	92	Knuth	Discrete Mathematics	MATH2410	3	MATH
135	COSC3380	Fall	92	Stone	Data Base	COSC3380	3	COSC

# QUIZ

For those students who received Grade 'A' in a course, get the student name and course name (see example database in slide 24)

## Answer:

Student name is in relation STUDENT, Course name is in relation COURSE, while grade is in relation GRADE-REPORT. For a student to retrieve the Course name, one has to join STUDENT with GRADE-REPORT to get Section number, and then with SECTION to get the course number, and then from COURSE the Course name. Since we want only those students who got grade 'A', a selection operation on relation GRADE-REPORT is needed. Since we want only student Name and Course Name (and not all attributes), a project operation is required.

$$\Pi_{\text{Name, Course Name}}(\sigma_{\text{Grade} = \text{'A'}}(\text{STUDENT} * \text{GRADE-REPORT} * \text{SECTION} * \text{COURSE}))$$

The result is:

Name	Course Name
SMITH	DISCRETE MATHEMATICS

# Divide operation

Divide operation is useful for special queries, such as, **retrieve the names of employees who work on all the projects that Lee works on.**

ID PNOS	
ID	PNOS
1	10
1	20
2	10
2	20
3	5
3	10
4	20
5	10

Lee\_PNOS

PNOS
10
20

ID\_PNOS/Lee\_PNOS

ID
1
2

$R(Z) / S(X)$ , where  $X \subseteq Z$ ; let  $Y = Z - X$ .

The result of **DIVISION** is a relation  $T(Y)$  that includes **a tuple  $t$  in  $T(Y)$  if a tuple  $t_R$  in  $R(Z)$  whose  $t_R[Y] = t$  appears in  $R$ , with  $t_R[X] = t_S$  for every tuple  $t_S$  in  $S(X)$**

Relational Algebra expression for Division:

$$T_1 \leftarrow \Pi_Y(R); T_2 \leftarrow \Pi_Y((S \times T_1) - R); T \leftarrow T_1 - T_2$$

# QUIZ

Find the names of employees who work on all projects controlled by department number 5  
get all projects controlled by department number 5

$\text{DEPT5\_PROJS(PNO)} \leftarrow \Pi_{\text{PNUMBER}}(\sigma_{\text{DNUM}=5}(\text{PROJECT}))$

get all employees and projects they work on.

$\text{EMP\_PROJ(SNN,PNO)} \leftarrow \Pi_{\text{ESSN, PNUMBER}}(\text{WORKS\_ON})$

get all ESSNs that work on all projects controlled by department 5

$\text{RESULT\_EMP\_SSNS} \leftarrow \text{EMP\_PROJ} / \text{DEPT5\_PROJS}$

project the employee name

$\text{RESULT} \leftarrow \Pi_{\text{LNAME,FNAME}}(\text{RESULT\_EMP\_SSNS} * \text{EMPLOYEE})$

See text book Pages 170-172 for more examples.



# Relational Algebra: Completeness

**All the operations discussed so far can be described as a sequence of only the operations SELECT, PROJECT, UNION, SET DIFFERENCE, and CARTESIAN PRODUCT.**

**Hence, the set  $\{\Pi, \sigma, U, -, X\}$  is called a complete set of relational algebra operations. Any query language equivalent to these operations is called relationally complete.**

**For database applications, additional operations are needed that were not part of the original relational algebra. These include:**

**Aggregate functions and grouping  
OUTER JOIN and OUTER UNION.**

# Aggregate Relational Operations

Aggregate Functions - functions such as SUM, COUNT, AVERAGE, MIN, MAX are often applied to sets of values or sets of tuples in database applications

**<grouping attributes>  $\bowtie$  <function list> (R)**

The grouping attributes are optional

**Example1:** Retrieve the **average salary** of all employees (no grouping needed)  $R(\text{AVGSAL}) \leftarrow \bowtie_{\text{Average salary}}(\text{Employee})$

**Example2:** For each department, retrieve the **department number**, the number of employees, and the average salary (in the department):

$R(\text{DNo}, \text{NumEmps}, \text{AVGSAL}) \leftarrow \bowtie_{\text{DNo}} \bowtie_{\text{Count SSN, Average salary}}(\text{Employee})$

**DNo** is called the grouping attribute in the above example.

# OUTER JOIN & OUTER UNION

## OUTER JOIN

- In a regular equijoin or natural join operation, tuples in  $R_1$  or  $R_2$  that do not have matching tuples in the other relation do not appear in the result
- Some queries require all tuples in  $R_1$  (or  $R_2$  or both to appear in the result)
- When no matching tuples are found, nulls are placed for the missing attributes

# OUTER JOIN & OUTER UNION

**Left Outer Join:**  $R_1 \bowtie \sqsupset R_2$  lets every tuple in  $R_1$  appear in the result

**Right Outer Join:**  $R_1 \sqsupset \bowtie R_2$  lets every tuple in  $R_2$  appear in the result

**Full Outer Join:**  $R_1 \bowtie \sqsupset \sqsupset R_2$  lets every tuple in  $R_1$  and  $R_2$  appear in the result.

**Example:** List of all employee names and also names of the departments they manage if they happen to manage

$R \leftarrow \Pi_{Fname, Minit, LName, Dname} (Employee \bowtie \sqsupset Department)$

**Outer Union** operation was developed to take the union of two relations that are not union compatible.