

Consistency and Fault Tolerance Considerations for the Next Iteration of the DOE Fast Forward Storage and IO Project

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Abstract—With phase 1 of the Fast Forward Storage and IO Stack project complete, it is an excellent opportunity to evaluate many of the decisions made to feed into the phase 2 effort. The initial effort to define a next generation file system has made admirable contributions in architecture and design. Formalizing the general idea of data staging as burst buffers for the file system will help manage the performance variability and offer the data processing opportunities outside the main compute and file system. Adding a transactional mechanism to manage faults and data visibility helps enable effective analytics without having to work around the IO stack semantics.

While these and other their contributions are valuable, similar efforts made elsewhere may offer attractive alternatives or differing semantics that could yield a more feature rich environment with little to no additional overhead. For example, the Doubly Distributed Transactions (D²T) protocol offers an alternative approach for incorporating transactional semantics into the data path. The PreData project examined how to get the best throughput for data operators can offer some insights for refining the Burst Buffer concept.

This paper examines some of the choices made by the Fast-Forward team and compares them with other options and offers observations and suggestions based on these other efforts. This will include some non-core contributions of other projects, such as some of the demonstration metadata and data storage components generated while implementing D²T, to make suggestions that may help the next generation design for how the IO stack works as a whole.

I. INTRODUCTION

Current production HPC IO stack design is unlikely to offer sufficient features and performance to adequately serve the needs of an extreme scale platform. To address these limitations, the US Department of Energy commissioned an effort to develop a design and prototype for an IO stack suitable for the extreme scale environment. This is a joint effort led by the Intel Lustre team, Los Alamos National Laboratory, EMC, DDN, and the HDF Group. This team has developed a specification set [6] for a future IO stack to address the identified challenges. The first phase recently completed with a second phase getting underway. The core focus of the first phase was basic functionality and design. The second phase will refine this design incorporating fault recovery and other features missing from the first phase.

The overall design seeks to offer a byte-granular, multi-version concurrency control. Through the use of a copy-on-write style mechanism, multiple versions of a file can

be stored in potentially greatly reduced space. It assumes the client interface will be through an IO library affording a more complicated interface that offers richer functionality without requiring user code changes. Managing most data access in a machine-local layer rather than requiring writing to centralized storage will better support the performance and energy requirements of extreme scale integrated application workflows. At a more detailed view, the various layers of the IO stack each contribute different functionality and performance implications.

The basic architecture incorporates five layers. The top layer is a high level IO library, such as the demonstration HDF-5 library. The intent is to only have access to the storage stack through such an API to manage the complexity of working with the lower layers. Below the user API is an IO forwarding layer that redirects IO calls from the compute nodes to the IO dispatching layer. This IO forwarding layer is analogous to the function of the IO nodes in a BlueGene machine. The next two layers have considerable functionality. The IO dispatcher (IOD) serves as the primary storage interface for the IO stack and is the only way to reach the persistent storage array in lower layers. The Data Access Object Storage (DAOS) layer serves as the persistent storage interface. At the bottom is the Versioning Object Storage Device (VOSD). It serves as the interface for storing objects of all types efficiently.

The focus of this paper is primarily the IOD layer given the critical role it has in the performance and functionality of the entire stack. Most of the key features explored in this paper all have a strong presence in the IOD layer motivating the focus of this examination.

The core idea for IOD is to provide a way to manage the IO load that is separate from the compute nodes and the storage array. Communication intensive activities, such as two-phase, data sieving IO's data rearrangement process, can be moved to the IOD layer offloading the communication load from the compute nodes. IOD has three main purposes. First, if the optional burst buffer is available, it works as a fast cache absorbing write operations for the slower trickle out to the central storage array. It can also be used to retrieve objects from the central storage array for more efficient read operations and offer data filtering to make client reads more efficient. Second, it offers the transaction mechanism for controlling data set visibility and to manage faults that would prevent a data set from being used. Third, data processing operations can be placed in the IOD. These operations are intended to offer data

rearrangement, filtering, and similar operations prior to data reaching the central storage array.

While these ideas are not necessarily new, they are new twists on best of class efforts for these technologies. For example, offloading the collective two-phase data sieving from the compute nodes to reorganize data has proven effective at reducing the total time for writing data due to fewer participants involved in the communication patterns [10]. Beyond these broad items, there are many important details some of which are examined in more detail below.

Along with the analysis of the published design documents, a discussion of the design philosophy representing the overall intent is presented. This information represents information that may or may not have been written down, but is the intent of ultimate product. These insights were gained based on personal conversations with the team members discussing some of the potential challenges with the design as written. These ideas are presented to give a fuller picture of where the project is going rather than dwelling on the limitations of the published documents.

The rest of the paper is organized as follows. Section II discusses some of the features of incorporating burst buffers as designed and suggests some considerations and alternatives for the next generation of this project. Section III discusses the transactions approach offered in the IOD layer and the corresponding epochs in the DAOS layer. It also offers a comparison to the D²T system given the very similar high-level design and motivating use case. Section IV discusses the system overall with recommendations on what design elements should be considered based on broader issues with current HPC data centers. The paper is concluded in Section V with a summary of the broad issues covered in the paper.

II. BURST BUFFERS

The idea of burst buffers were initially explored in the context of data staging [2], [1], [11], [14]. These initial designs all use extra compute nodes to represent the data storage buffer given the lack of any dedicated hardware support for this functionality. The desired outcome of these initial studies is to motivate how such functionality might be incorporated and the potential benefits. Later, these concepts were proposed to be incorporated as part of the IO stack [4], [3]. The current FastForward IOD design recommends incorporating SSDs, but specifically lists these devices as optional. Unfortunately, both incorporating burst buffers and the use of SSDs in the IOD layer may be problematic. First, the IOD and DAOS designs currently are written assuming burst buffers. This means that the bulk of IO operations will only hit the IOD layer and proposed functionality, such as the function operators, do not discuss the impact on the DAOS layer or the operators themselves should a burst buffer not be available. Consider the important functionality of data rearrangement and doing things like changing the fast array dimension on shared, spinning media. The design of DAOS assumes that it will only be involved when persisting a completed transaction and only for a fraction of the total transactions created.

One of the bigger concerns is the observation that the original data staging proposals all used compute nodes while the newer proposals seek not only to make them a fixed portion of the IO stack, but also shared across all machine users. The PreData [14] paper in particular examines the

potential costs and advantages of where to place operators similar to the IOD proposed function shipping. There are two key takeaways from PreData. First, placement matters. Depending on the communication intensity vs. computation intensity, where along the IO path to place the operation can matter significantly. Second, and more importantly, the amount of time spent processing for the operators was stretched to the point where it consumed nearly all of the time between IO operations. The given ratios of compute processes to staging process examined is representative for future extreme scale platforms. If anything, the ratios offer more staging processes than IOD processes would be available.

In the case of the written IOD design, it describes a fixed-sized staging area shared across all users simultaneously. This is unlikely to be useful because of the limited compute and communication capacity to spare to perform these operations at a bottleneck in the IO path. The use of a separate data staging area intentionally separate from the IO path allows using operators on limited resources leaving the IO path clear for strictly data movement. A nuance of this design is discussed in the Design Philosophy below.

By concentrating this functionality into the storage stack, three problems arise. First, the amount of network bandwidth, IO bandwidth, and compute power consumed for example operations from a single application is likely to completely monopolize the IOD processes. Second, if space and time partitioning is used instead, the functionality risks being too small to be useful. Third, the hardware performance advantage for SSDs is questionable. Current NAND-based flash devices top out at around 400 MB/sec. The key spec that is missing from this number is that 400 MB/sec is a measure of the fixed number of available IOPS multiplied by the block size. This represents the ideal streaming performance possible. The problem is that it costs an IOP to read 1 byte or 1 block (4KB or 8KB, depending on the device). It costs 1 IOP to write a full block—usually. In some cases, it will cost 2 IOPs. This accounts for the required pre-erase write prior to writing to a reused block. In the worst case, it can be 3 IOPS per write. This would be for a partial block write (read the old block, erase, write the modified block). One-third of 400 MB/sec, about 133 MB/sec, is well below the streaming performance of HDDs. Granted, there is still rotational and seek latency to deal with for HDDs, but the advantage for SSDs has evaporated and potentially turned into a penalty at a considerable cost premium. There are faster SSD solutions on the market that incorporate DRAM for caching and using the PCIe bus, for example, but their price precludes them from use in an extreme scale platform.

Given these features, the optionality and even incorporation of burst buffers in the current design should be carefully considered. Much of the advanced, key functionality proposed as they are currently designed ultimately relies on the existence of burst buffers to work. Further thought about how to have an IOD layer both with and without a burst buffer is required before they can be considered optional. As the design stands today, they are a required part of the IOD layer for proper functioning. Unfortunately, it is not clear that they can address the performance concerns they are intended to cover.

A. Design Philosophy

The burst buffers design, as presented in the IOD documents, limits the placement of the function operators and SSDs for buffers to the IO nodes. The team does acknowledge the limitations of this design and intend to ultimately focus on spreading the IOD layer from the IO nodes into the compute area as well. This is intended both to help address the limitations of the IO bandwidth and compute capability of these few nodes for data processing, but also to take advantage of new layers in the storage hierarchy. By incorporating NVRAM into compute nodes, new options for buffering data prior to being moved to centralized storage become available and addresses some of the concerns about SSD performance. This lessens the impact of some operators while offering additional options for places to store data.

Burst buffers being optional is a high level goal, but not one considered at a detailed level with the design. While this forces some serious thought on how to adapt the functionality proposed for IOD to work directly on DAOS will have to be considered. With the additional desire to support using compute node resources for these operations, serious work will be required to make a fully functional end-to-end IOD layer implementation for a production system.

Another concern that is acknowledged, but no thought as been applied to is the requirement that a single IOD process be the master for any operation. Should the number of concurrent applications exceed the available nodes, sharing an IOD process will be required. Since partitioning of the IOD processes for exclusive use by particular applications is the assumed operating mode, should insufficient IOD resources be available, either a job could be delayed or IOD resources could be reallocated from a different process could be redeployed for use by the new job. These sorts of considerations still need to be made for a full production system.

III. TRANSACTIONS AND EPOCHS

The transaction mechanism manifests in two forms. At the IOD layer, they are called transactions and are used to judge whether or not an output is complete or not and control access through treating the transaction ID as a version identifier. At the DAOS layer, they are called epochs and represent persisted (durable) transactions from the IOD layer. Each of these offers different functionality, but are connected as is explained below. How these differ from the D²T approach is also explored. While IOD's and D²T's transactions are seemingly very different, they use a similar high-level design, but very different implementation, to solve the same problem.

A. IOD Transactions

To understand how transactions are used in the IOD layer, some terminology and concepts must be explained first. At the coarsest grain level is a container. Each container serves to host a transaction and contains a collection of objects. Conceptually, containers corresponds to a file in a traditional file system. The objects in each container represent different data within a file. The three initially defined object types are key-value store, array shard, and blob. The easiest way to understand these types is to evaluate these from the perspective of an HDF-5 file, the initial user interface layer. The key-value store represents a collection of attributes. The array shard represents part of a potentially multi-dimensional array. It is referred to as

a shard because it is likely a small piece of a globally defined array. The blob represents a byte stream of arbitrary contents. The fundamental difference between an array shard and a blob is that the array shard has metadata identifying its portion within the global, logical space while the blob is simply a 1-dimensional array of bytes that is not shared across IOD nodes. Should an operation be deployed into IOD to manipulate an array within a container, it would operate on the array shards rather than on blobs. Given this context, the transactions come in two forms.

First is a single leader transaction where the IOD manages based on calls from a single client. The underlying assumption is that the client side will manage the transactional operations itself and the single client is capable of reporting to the IOD how to evolve the transaction state.

The second form is called multi-leader and has the IOD layer manage the transactions. In this case, when the transaction is created, a count of clients is provided to the IOD layer. As clients write to the container, the reference count is reduced. Once the count reaches 0, the transaction is automatically committed.

1) *Design Philosophy*: Undocumented, but inherent in the design of these transactions is how faults are detected. The initial design assumes the current Lustre fault detection mechanism that can determine if a process or node is no longer reachable. This defines how a fault will be detected and what will trigger a passive fault recovery (i.e., transaction abort).

A new term, "Primary", is used to define the process(es) that contact the IOD layer directly to open a container. Unfortunately, this term is never used nor defined within any of the published documents. There are some very positive and negative performance implications of this approach. On the positive side, like PVFS, it is possible for a single process to open a container and share that handle with other processes reducing the load on the IOD layer. The key idea is that the single leader vs. multi-leader transaction mode is automatically defined based on the number of Primary processes that open a container. This greatly simplifies any additional client-side load in using the different styles of transactions. Also on the positive side, it offers the ability to have a subset of processes that are considered transaction participants affording reduced load on the IOD processes and more localized transaction management on the client side. On the negative side, for a fully IOD managed transaction, ALL processes must open the container forcing a potentially immense number of simultaneous calls to the IOD layer to open a container. This sort of onslaught is what is dealt with on metadata servers today with mass file opens. The reality is that this ends up having the same sort of performance implications as distributed denial of service attacks on the IOD processes.

Ultimately, with the passive detection of faults for Primaries, the transaction mechanism can work very well. A mostly unstated restriction that will be relaxed in the next phase is that every sequential transaction on a container is considered dependent on the earlier transaction. Should one output be delayed and the subsequent five succeed, when the delayed process finally fails, all six transactions are rolled back. The thought of using this mechanism to store subsequent checkpoint outputs in the same container to both save space, but not care if one fails, cannot work in the current form. This

has been acknowledged and is planned on being relaxed in phase two.

B. DAOS Epochs

The Epoch mechanism differs from transactions. Instead of focusing on when a particular output is complete, an epoch represents incremental persisted copies of a container. To simplify the mapping between an IOD transaction and the DAOS epochs, when an IOD transaction is persisted to DAOS, the IOD transaction ID is used as the epoch ID. The key difference is that at the DAOS layer, some transaction (epoch) IDs will not be represented.

C. Metadata Management

Eliminating metadata management as a special case and instead treating it just as data is a central design goal of the Fast Forward project. Unfortunately, it is not completely achieved.

Eliminating metadata as a core component of a file system is not new. It has been explored as part of the Light Weight File Systems project [12]. In LWFS, the metadata service is explicitly limited to a user task with the storage layer limited to data storage/retrieval, authorization, and authentication. This approach proved workable. Using this hybrid approach is less common [13] and introduces other issues.

IOD and DAOS both share a philosophy that they will have to maintain the metadata about how objects are striped and where they are placed. It was decided that the additional data to track the namespace as well as a small enough additional increment that it was worth maintaining within the system.

1) *Design Philosophy:* While the metadata design is not fully defined, there are a few things that are intended. For example, there is a standard, well-known container that is the system metadata. This includes the list of all other containers. This container is treated like any other data in the system and striped as appropriate at both the IOD and the DAOS layers. Unfortunately, this still couples the metadata to a single object that must serialize access. If the metadata, including information about striping and other data layout operations were separated completely from the data path, more scalable throughput could be achieved. The real challenge of this is introduced by the IOD, DAOS, and VOSD layers. Each of these requires some different metadata storage and the migration is transparent to the user. Supporting fully independent metadata with this model is difficult. Serious thought on how to do this effectively outside the data path should be considered for phase two.

Based on the lessons from the D²T metadata service [7] construction and the prior experiments with LWFS, having a completely separate metadata service is workable. Rather than making it a bottleneck in the IO path, it is another service that users must interact with if they need those services. Users can manage everything by maintaining the metadata including the list of objects themselves. However, there are drawbacks to this 100% client-side approach.

With a client-side only approach, there is a serious risk of the metadata service and the object store getting out of sync. While having a metadata-less object storage service is desirable, the different semantics from traditional file systems requires some considerations. In this case, should these services get out of sync, three particular risks introduced.

First, a client could create an entry in the metadata service that does not correspond to any objects in the object store. Second, a client could create objects that have no associated metadata entries. Third, updates to the metadata or object store service should be an atomic operation, but due to a lack of coordination, a window where the system is inconsistent appears. Ultimately, the consistency semantics required must be determined. If a metadata service is required and it must be in sync with the object storage service, then additional work must be performed. In traditional file systems, the metadata and object storage updates are atomic. With decoupling metadata from object storage, should this atomicity still be desired, it requires both the ability for the services to participate in a task that is part of a larger atomic operation and a higher-level mechanism to manage the atomic operation. Overall, while additional work is required to maintain a client-side only metadata service, it eliminates any potential bottlenecks related to updating metadata related to the object storage. The burden of tracking striping and other metadata that has traditionally been part of the metadata associated with the file system will have to be maintained by the object storage service. The lack of a centralized, serialized bottleneck to store that information improves concurrency.

D. Comparison to D²T

The D²T project [9] sought to develop an efficient approach for handling ACID-style transactions in an environment with parallel clients and multiple servers (doubly distributed). Rather than being aimed solely at data movement operations, D²T seeks to address the general problem of managing any operation with multiple clients and servers. Consider the management of the analysis/visualization area, potentially similar to the IOD concept. The transaction protocol is used to help manage resizing of the resource allocation to the various analysis and visualization components. For the purposes of this discussion, D²T could also be used to manage changing how IOD processes and/or nodes are used without exposing these changes to the client processes prematurely. This has been described and analyzed previously [5].

The example metadata and data storage services created as part of the D²T project have no dependencies between transactions that prevent visibility should an older version be incomplete. This additional, intentional requirement by IOD offers different functionality than D²T's example services. In the case of D²T, the functionality is more minimal, but also avoids some of the concerns outlined below.

The second iteration of the protocol [8] fixed scalability issues and demonstrated a scalable client-side coordination model with excellent performance. The performance measured for a complex transaction with D²T is illustrated in Figure 1. This performance is explored in detail in a previous paper [8]. The breakdown of the number of participants in each role is shown in Table I. For comparison, consider the Number of Sub-Coordinators equivalent to IOD processes. The Processes Per Sub-Coordinator represents the number of clients that use a particular IOD process. For these tests, we maintained a balanced distribution and always used at least two sub-coordinators to slow down the processing.

At a high level, both D²T and the IOD transactions have the same design. In both cases, a hierarchical model is employed.

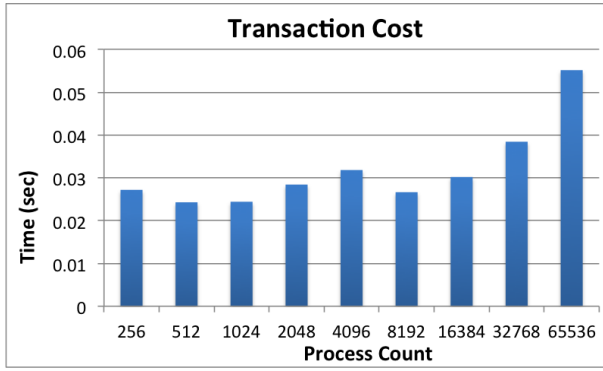


Fig. 1. Total Transaction Overhead
TABLE I. PERFORMANCE TESTS SCALING CONFIGURATION

Processes	Number of Sub-Coordinators	Processes Per Sub-Coordinator
256	2	128
512	2	256
1024	4	256
2048	8	256
4096	16	256
8192	32	256
16384	64	256
32768	128	256
65536	256	256

In the case of D²T, it is a purely client-side tree using semi-synchronous messaging. The messaging itself, in the current implementation, uses asynchronous MPI messages. The synchronous component comes from the timeout mechanism used to detect faults. It forces a level of coordination and synchronization for the protocol. For IOD, it is a server-side tree and fully asynchronous relying on the existing Lustre fault detection mechanism for failure detection. In both cases, there is a master in charge of managing the transaction and a collection of workers that aggregate into the master through second-level leaders. Beyond that, there are some significant differences. Some of the different choices made by IOD raise some possible concern.

In the multi-leader model, using a count of client connections to determine if transaction is complete is problematic. First, the aggregation of completion messages are based on the local aggregation point reporting to the transaction leader. There is no ability to detect or recover from a failure of this IOD process that would report to the transaction leader. The current assumption that the IOD layer will be an MPI program is not workable until MPI can fully support fault detection and recovery including removing and adding processes. Second, because a simple count of participating processes is sent with the beginning of the transaction, each process is strictly limited to a single output operation. This precludes a process writing multiple array shards, for example. To put this in concrete terms, it means that a file could only contain a single, globally distributed variable (at the root level of HDF5) OR an attribute per process. If this count actually represents a begin/end transaction pair instead, it suffers from a lack of accountability for missing object (shard) writes. All it would indicate is that a process started and ended a transaction connection. There is no validation that a process has done everything required of it.

D²T has addressed these issues in a couple of ways. First, the sub-coordinators each have a list of processes from which they expect messages. Should a message be missed, it is no-

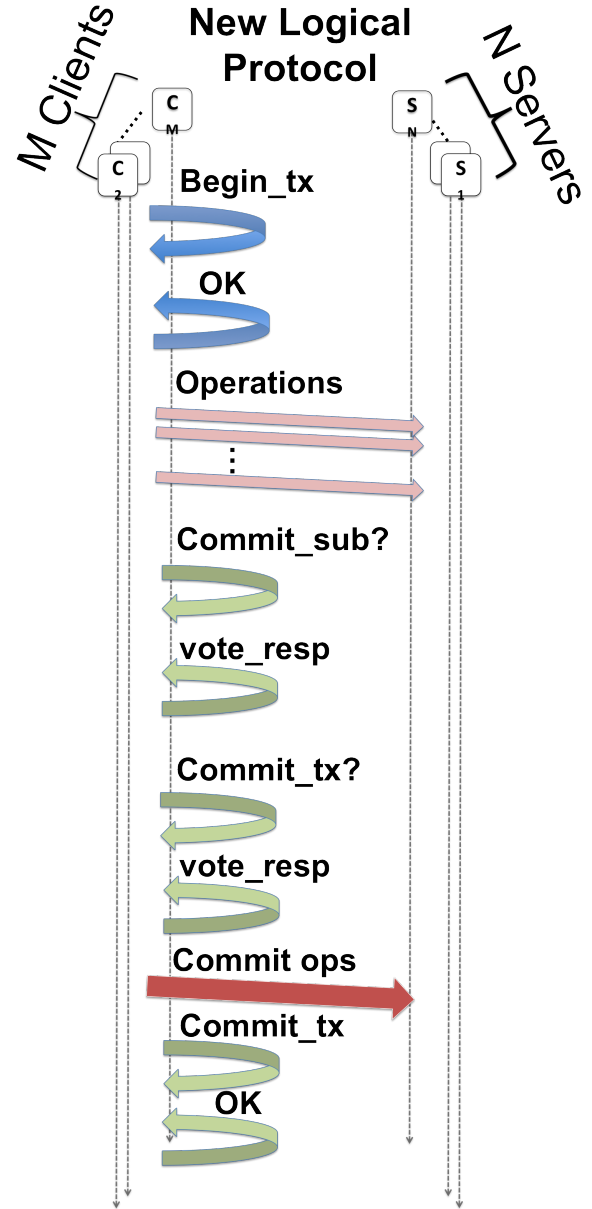


Fig. 2. Optimized Protocol

ticed and corrective action can be taken. Second, D²T has the concept of sub-transactions. The messaging requirements are illustrated in Figure 2. Sub-transactions represent finer grained operations than the entire output, D²T can manage multiple writes per client by using a sub-transaction to represent the output for any item to the file (container). Because of how the sub-transactions are managed, the singleton sub-transactions, ones in which only a single process participates, must be declared before the transaction begins so that its existence can be broadcast as part of the begin transaction message. This ensures there is global knowledge that the sub-transaction is expected. That way if the coordinator (transaction leader) fails, which ever process takes over that role knows to expect a completion message for that sub-transaction or the overall transaction cannot complete. Global sub-transactions can be defined at any time since they are a global, synchronized operation broadcasting their existence. While this additional

layer does introduce messaging, the overhead is quite small.

The advantages of eliminating these messages is not performance as demonstrated by the performance of D²T. Instead, it offers a much less synchronous model that matches with different programming models, such as Charm++ or other task-based approaches. Since it can work for the bulk-synchronous model also, it is a more broadly applicable approach. This assumes that the observed potential issues can be addressed successfully.

IV. BROADER DESIGN

At a broader level, there are some concerns that were partially clarified through conversations with the team. Consider a shared file system across an HPC data center. The current design maintains the metadata in its own container. Since copying data from the IOD layer to the DAOS layer requires an explicit persist call, how and when synchronizing the metadata across the layers and potentially across machines occurs is undefined. By delaying the synchronization until an explicit persist is called will reduce the update frequency, it does delay the visibility of data. Ideally, the metadata object would need to be automatically persisted every time a container transaction is persisted to the DAOS layer.

The implication of this is that every transaction persist is double operation to account for the metadata persist. More importantly, the IOD-layer version of the metadata container may contain readable transactions that have not been persisted to the DAOS layer. How to handle this inconsistency between the two layers still needs to be explored.

A point of confusion rather than a potential design challenge is the change in definitions between the IOD layer and the DAOS layer. For the IOD layer, a container is a collection of objects. For the DAOS layer, a container is a collection of shards. For the IOD an object may be a shard of a global array. For DAOS, a shard can host a set of DAOS objects. Having the same names with locally correct, globally conflicting definitions serves to confuse how the system should work.

V. CONCLUSIONS

The Fast Forward Storage and IO Stack project has designed a good first pass at addressing the requirements for an extreme scale data storage mechanism. The split between the IOD layer and the DAOS layer offers a fast place for intermediate data without requiring the overhead of writing to persistent storage. The envisioned transaction mechanism, while not perfect in the current form, is another good attempt to address both failures and prevent access to incomplete or incorrect data by downstream data consumers. Integrated with the IOD functionality, this concept represents the consensus approach for what will be required.

The partial metadata management incorporated into the IOD layer and the lack of consideration for how to handle and recover from failures are oversights in the current documents. It is our understanding that these will be addressed in the next phase and we hope to help inform that effort with our experiences.

We hope that the efforts made in the D²T, Lightweight File Systems, and other efforts to explore the requirements for this space, along with the analysis presented in this paper will prove useful for the next phase of the Fast Forward project.

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