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In Partial Fulfillment of the Requirements for the
degree of
[Name of Degree]



INNOPOLIS UNIVERSITY
Innopolis, Russian Federation

[Year Degree Conferred]
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ABSTRACT

[This abstract must provide a succinct and informative condensation of your work. Candidates are welcome to prepare a lengthier abstract for inclusion in the dissertation.]

PUBLISHED CONTENT AND CONTRIBUTIONS

[Include a bibliography of published articles or other material that are included as part of the thesis. Describe your role with the each article and its contents. Citations must include DOIs or publisher URLs if available electronically.]

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Cahn, J. K. B., A. Baumschlager, et al. (2016). “Mutations in adenine-binding pockets enhance catalytic properties of NAD (P) H-dependent enzymes”. In: *Protein Engineering Design and Selection* 19.1, pp. 31–38. doi: 10.1093/protein/gzv057.

J.K.B.C participated in the conception of the project, solved and analyzed the crystal structures, prepared the data, and participated in the writing of the manuscript.

Cahn, J. K. B., S. Brinkmann-Chen, et al. (2015). “Cofactor specificity motifs and the induced fit mechanism in class I ketol-acid reductoisomerases”. In: *Biochemical Journal* 468.3, pp. 475–484. doi: 10.1042/BJ20150183.

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Chapter 1

INTRODUCTION

Start off all chapters with `chapter`. `\extrachapter` will give you an unnumbered chapter that's added to the Table of Contents.

Here's an example of a citation (Goresky and MacPherson, 1981). Here's another (Parusiński and Pragacz, 1998). These will appear in the big bibliography at the end of the thesis.

If you're new to \LaTeX and would like to begin by learning the basics, please see our free online course available at:

<https://www.overleaf.com/latex/learn/free-online-introduction-to-latex-part-1>

You can define nomenclatures as you talk about key terms in your thesis. So what's a galaxy?

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Chapter 2

SYSTEMATIC LITERATURE REVIEW

2.1 Rationale

As a part of this thesis work, the systematic literature review was performed. The objectives of the work done include the following: to know what technologies, methods, and concepts we are going to work with, to get familiar with existing research on related topics and achievements in related fields, as well as to assess results of previous works and extent of their applicability to development of the system that is a subject of this dissertation. Other few goals were analysis of used tools and strategies and their effectiveness and efficiency and retrieval of information regarding reliable ways to evaluate the work of the system.

Furthermore, there is quite an amount of justification of decision to perform the systematic literature review instead of a classical one, namely that it is convenient enough to have all the scholarly sources sorted, classified and analyzed rigorously enough to exploit the accumulated information efficiently to proceed further.

2.2 SLR protocol development

Research questions

In this literature review, it is attempted to answer such research questions that they include maximum relevant information needed to know in order to implement the proposed system. They are formulated as follows:

- “What technologies, tools and methods are suitable for implementing a computer vision and machine learning based environment modelling system?”
- “What is needed for it to be used as a part of a smart parking system?”
- “What measures of efficiency are best to evaluate the system and what results should be achieved to compete state-of-the-art approaches?”

Searching process

This section provides the specification of searching process, including used search resources, keywords and search queries, study inclusion and exclusion criteria, evaluation scheme and the related methodology.

Resources

The search included electronic sources only. The following sources and tools were used for the search:

- IEEExplore Digital Library
- ResearchGate
- Google Scholar
- ACM Digital Library
- Arxiv.org

This is the exhaustive list, as no more sources were used in the process.

Search queries

For the search, five key topics were identified:

- Computer vision
- Machine learning
- Software design
- Smart parking
- Data collection on vehicles

For each topic, key concepts were written down, then synonyms found. As the result, all searches were performed using OR-combinations of the following queries:

- (automatic OR smart OR IoT OR internet of things) AND (parking OR parking lot OR parking slot OR parking space) AND (utilization OR occupancy OR tracking OR detection OR monitor OR management) AND (sensor OR camera OR video)
- (trigger OR event OR conditional execution OR decision making) AND (patterns OR design principles OR implementation OR usability OR user-friendly OR gui OR user interface)

- (vehicle OR car OR moving object) AND (data OR dataset OR metrics OR information)
- (machine OR supervised OR unsupervised OR deep) AND (learning OR classification OR regression) AND (algorithm OR dataset OR evaluation OR application)
- (computer OR machine OR automated) AND (vision OR recognition OR image processing OR scene reconstruction) AND (moving object OR shape OR vehicle)
- parking AND lot AND occupancy AND detection AND computer AND vision
- license AND plate AND recognition

The queries were edited to fit in the search opportunities of each system, but overall the semantics was left the same.

Inclusion and exclusion criteria

To decide which papers will be included in the review the following inclusion criteria were employed:

- Found using search queries specified above
- Related to computer science or data collection
- Written in English
- Published not earlier than in 2000
- Journal and repository articles, conference proceedings, master's and doctoral degree dissertations
- Peer-reviewed
- Primary studies or systematic literature reviews

Papers that didn't fit at least one of inclusion criteria were excluded from the review, as well as duplicates.

Quality assessment

To assess the quality of included papers, a set of questions was developed. The ‘yes’ answer yields 1 point, ‘partially’ yields 0.5 points, ‘no’ yields 0 points. The points obtained on every question are then added up. The questions are:

1. Are objectives clear and specific?
2. Does the research satisfy the objectives appropriately?
3. Is research process clear and reproducible?
4. Were the results assessed properly in a paper?
5. Were the results summarized to provide a clear conclusion?
6. Are there any comparisons with alternatives?

So basically the score range is 0 (the worst) to 6 (the best).

Search and synthesis strategies

For papers found using resources and queries specified above, papers were evaluated according to inclusion and exclusion criteria by one of researchers each. After that, from included papers, 20 were chosen at random to be assessed against quality assessment criteria by both researchers. For differences in judgement, the mean result was taken and patterns in disagreement identified to perform systematic corrections of scores of remaining papers after they are reviewed by one of researchers.

The synthesis was performed both qualitatively and quantitatively. Statistics about the papers was gathered, such as key topics, years of publication and quality assessment. Also, we classified the found information by methods and results.

2.3 Results

Search Sources Overview

First of all, we are going to represent some information regarding the resources used to search papers, in order to aid assessment of the work done. In the Table 2.1, we discuss advantages and disadvantages of the sources.

Excluded Papers

Basically, there were thousands of paper found with our queries. Nevertheless, the time constraints didn’t allow us to look up them all, so we opted to only look through

Source	Advantages	Disadvantages
IEEEExplore Digital Library	Published papers can mostly be assumed to be peer-reviewed	Paywall
ResearchGate	Open access; well-formatted, full-color articles	Requires registration; problems with account confirmation
Google Scholar	Diverse sources, helpful in finding open access articles	Some sources can be unreliable, and some behind the paywall
ACM Digital Library	A good source of interesting and high-quality papers in IT, convenient means of search	Paywall
Arxiv.org	Open access, diverse papers	Very likely to be not peer-reviewed, sometimes outright poor quality, non-intuitive search

Table 2.1: Sources advantages and disadvantages

first 100 papers in every search, throwing out everything that did not fit inclusion criteria, that was fortunately possible to do due to the nature of criteria, that are generally possible to determine by metadata. As the result, we have gathered 93 papers, that were reviewed one more time during quality assessment. After review, 22 more papers were discarded, leaving us with 71 acceptable papers. In the table below, we present information on reasons the papers were excluded.

Reason to exclude	Quantity	Percentage (of 93 papers)
Not a primary study	4	4.3
It wasn't peer-reviewed/Draft	5	5.3
Duplicate	4	4.3
Not in English	1	1
Not conference proceedings or journal articles	3	3.2
Other reasons	5	5.3

Table 2.2: Reasons for exclusion

So, hereinafter we are only going to work with 71 remaining papers in this review.

Studies Classification

In this section, we will first present some statistics on quality and content of studied papers, and then the overview of said content.

Right below, we have a table that organizes information by major topics we have. Note that these topics are not exclusive, as one paper may belong to several major topics, so percentage will not add up.

Topic	Years	Number of papers	Percentage
Computer vision	2003-2017	35	49.3
Neural networks	2003-2017	11	15.5
Machine learning	2006-2017	16	22.5
Scene reconstruction	2009-2017	10	14
3D	2001-2016	8	11.3

Table 2.3: Key topics distribution

The next table describes statistics by years of publication. For the sake of simplicity, we split all papers to five-year periods, with the last one being 2016 to present time, or a shorter one.

Years	Quantity	Percentage
2000-2005	7	9.9
2006-2010	16	22.5
2011-2015	28	39.4
2016-now	20	28.2

Table 2.4: Distribution by year

As we can see, number of relevant papers grows approximately twice every five years, and since we got almost as many papers for 2016 and 2017 as for previous five years, it is safe to conclude that interest in the topic is rising rapidly. Furthermore, according to our observations, the overall quality of research is steadily improving over the years. Speaking of quality, in the next table we present statistics on quality assessment. Overall, the quality of research methodology and reporting tends to be quite poor, especially in earlier years, that can be explained off with the fact that computer science is a relatively new area, and the field of our research also lies in relatively novel topics.

QA score	Quantity	Percentage
0-2.5	34	47.9
3-3.5	13	18.3
4-6	24	33.8

Table 2.5: Quality assessment statistics

The good news are latest research papers have significantly improved in quality, so QA scores are generally 4 or above. Nevertheless, even papers with poor QA score can be useful in providing valuable information, even though we would not fully trust the results regarding efficiency of applied technologies.

2.4 Discussion

We have analyzed plenty of articles to determine which aspects and methods of computer vision and machine learning are used. In early 2000s, the following approaches were used:

- With use of Active Data Repository framework; vertex caching, approximate cube projection, density-based model fitting (results not well-reported) - human silhouette recognition (Borovikov and Sussman, 2003)
- Principal component analysis + Bayes-based classifier (False alarm rate 3.21%, mistake rate 1.01%) - parking cell detection (Deng, Jiang, and Wei, 2006)
- With use of MATLAB: Object placement relation + K-NN (Accuracy 67.55%) - scene construction (Subpa-asa, Futragoon, and Kanongchaiyos, 2009)
- With use of MATLAB: Fuzzy C-means classifier (Sensitivity up to 99.92%) - vehicle detection (Ichihashi et al., 2009)

These approaches are based on a variety of mathematical techniques and concepts. Thus, K-NN is a method that uses vectors of features by computing distances between them, and then choosing K nearest objects according to this distance and computing a sort of central value to predict classification label, while fuzzy C-means classifier implies non-strict clustering, where each object is assigned to several clusters with certain probability, in a way that minimizes sum of squares of distances to each cluster center. Later on, with development of new techniques, these approaches gave the way to newer and better ones, such as the following:

- Sift + Gist + SVM (accuracy up to 80%) - threat detection (Madikenova, Galimuratova, and Lukac, 2016)
- Sift + Extreme learning machine (Accuracy 86.05%) - general scene recognition (L. Wu, Yu, and Gu, 2016)
- Global features extraction + spatial transformer + CNN (accuracy 82.10%) - general scene recognition (Guo et al., 2016)
- Regions of interest + SVM - Boosting hybrid (accuracy 87%) - obstacle recognition in traffic scenes (Mocan and Dios, 2016)

- Principal component analysis + K-means clustering + Spatial Pyramid VLAD encoding + SVM (accuracy up to 96.15%) - traffic scene recognition (F.-Y. Wu et al., 2017)
- Deep convolutional neural networks (AUC up to 0.9997) - Parking lot vacancy indication (Valipour et al., 2017)

Overall, it seems that convolutional neural networks will give the best results, as well as that we might improve results using principal component analysis, K-means clustering and spatial pyramid VLAD encoding, or some combination of them.

Methods change over the years, yet there is one certain rule that still holds: the more data we use to train learning models and the more representative it is, the better the results are in the end, which leads to an obvious conclusion that we will need really large amounts of data in order to train our system well.

2.5 Conclusion

In this SLR, we tried to find out what methods and techniques are the most suitable for the system we are going to implement. A thorough analysis has shown that apparently, CNN is going to be the key method in use, along with certain preprocessing techniques such as principal component analysis or K-means clustering.

Considering datasets to use for training and testing, it would come in handy to collect our own custom dataset in addition to dozens of relevant open source datasets available online. As for measures of efficiency, accuracy seems to be the most appropriate since it is easily extendible to multiclass classification case and shows relevant statistics when datasets are not strongly skewed. The aim for efficiency should be set as high as 95% accuracy or higher, to beat state-of-the-art results.

Finally, these considerations are subject to further refinement, since a certain amount of small changes in methodology can basically lead to radical change in results. Nevertheless, the chosen methods form a good starting point we are going to use.

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Chapter 3

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Cahn, J. K. B. et al. (2015). “Cofactor specificity motifs and the induced fit mechanism in class I ketol-acid reductoisomerases”. In: *Biochemical Journal* 468.3, pp. 475–484. DOI: 10.1042/BJ20150183.

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Chapter 4

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If you'd like to have separate bibliographies at the end of each chapter, put a `refsection` around the material of each chapter, then cite as usual – e.g. (Goresky and MacPherson, 1981; Fulton, 1983; Yadav, Shukla, and Sethi, 2016). Then do a `\printbibliography` just before the `refsection` ends.

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Chapter 5

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Chapter 6

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Chapter 7

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Chapter 8

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Appendix A

QUESTIONNAIRE

Appendix B

CONSENT FORM

¹Endnotes are notes that you can use to explain text in a document.

POCKET MATERIAL: MAP OF CASE STUDY SOLAR
SYSTEMS