Comprehension Categories and the Semantics of Type Dependency

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Анотація

A comprehension category is defined as a functor $\mathcal{P}: \mathbf{E} \to \mathbf{B}^{\to}$ satisfying (a) $\operatorname{cod} \circ \mathcal{P}$ is a fibration, and (b) f is cartesian in \mathbf{E} implies that \mathcal{P} f is a pullback in \mathbf{B} . This notion captures many structures used to describe type dependency, such as display-map categories, categories with attributes, D-categories, and comprehensive fibrations. It also encompasses comprehension as occurring in topos theory and Lawvere's hyperdoctrines. This paper introduces comprehension categories, defining a closed comprehension category as one with dependent products and sums. Examples are provided, with further details in Jacobs (1991) and applications in Jacobs et al. (1991).

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1 Introduction

The term "type dependency" refers to the ability in a calculus of types and terms to have types that depend on term variables, as studied by de Bruijn [4] and Martin-Löf [22]. In computer science, type dependency is useful, e.g., to define List(n) as the type of lists of length n. Unlike polymorphic calculi, languages with type dependency blur the distinction between compile time and run time. This paper focuses on the categorical semantics of type dependency, referring to [22, 31] for syntactic details.

A key challenge in categorical semantics is modeling contexts, which cannot be simple cartesian products due to dependencies among types. Specifically, we address context extension, i.e., the transition from $\Gamma \vdash \sigma$: Type to the extended context $\Gamma, x : \sigma$. In categorical logic, statements $\Gamma \vdash \sigma$: Type are viewed as objects fibred over contexts Γ , requiring a fibration $\mathfrak{p} : E \to B$. Context extension is modeled by a functor $\mathcal{P}_0 : E \to B$, equipped with a natural transformation $\mathcal{P}_0 \to \mathfrak{p}$, where components are projections $\Gamma, x : \sigma \to \Gamma$. This structure corresponds to a functor $E \to B^{\to}$, where B^{\to} is the arrow category of B. By requiring projections to be stable under substitution (see Lemma 4), we define comprehension categories.

Various categorical structures for type dependency have been proposed over the past 15 years [5, 28, 30, 19, 15, 23, 26]. Despite differences, context extension is a common feature. Comprehension categories provide a minimal, clean categorical framework, further developed in [16, 17], where they serve as building blocks for arbitrary type systems.

Comprehension categories involve a weak form of comprehension, described by disjoint unions (see after Lemma 4), handling context extension in $\Gamma, \kappa : \sigma$. Other notions of comprehension (Pavlović, Ehrhard, Lawvere) fit within this framework.

We view category theory as an assembly language, requiring detailed handling of substitution and isomorphisms, while type theory acts as a higher-level language for parts of category theory, with interpretation akin to compilation. Category theory thus provides a variable-free formalism for logic and type theory, central to categorical abstract machines [6, 7].

The paper begins with fibred category theory (Sections 2 and 3), covering standard material from Grothendieck and Bénabou. Fibrations are the backbone of comprehension categories, and fibred adjunctions ensure substitution properties like $(\lambda x:\sigma.P)[x:=M]=\lambda x:\sigma[x:=M].(P[x:=M])$. Section 4 introduces comprehension categories, showing how examples fit, while Section 5 addresses quantification.

2 Fibrations

We present basic facts about fibrations; see [2, 11, 12, 13] for details. Parentheses are often omitted for readability.

Definition 1. Let $p : E \to B$ be a functor.

- (i) A morphism $f: D \to E$ in **E** is *cartesian* over $u: A \to B$ in **B** if:
 - (a) pf = u,
 - (b) for every $f':D'\to E$ with $\mathfrak{p}f'=\mathfrak{u},$ there is a unique $\varphi:D'\to D$ with $\mathfrak{p}\varphi=\mathrm{id}_A$ and $f'=f\circ\varphi.$
- (ii) Dually, $g:D\to E$ is *cocartesian* over $\mathfrak u$ if g in E^{op} is cartesian over $\mathfrak u$ in B^{op} , i.e.:
 - (a) pg = u,
 - (b) for every $g':D\to E'$ with pg'=u, there is a unique $\psi:E\to E'$ with $p\psi=\mathrm{id}_B$ and $g'=\psi\circ g$.

This is shown in Figure 1. A cartesian f is a *terminal lifting*, and a cocartesian g is an *initial lifting* of u.

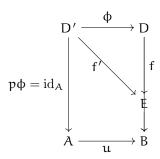


Рис. 1: Cartesian morphism diagram.

- (iii) The functor $p : E \to B$ is a *fibration* if:
 - (a) for every $E \in E$ and $u : A \to pE$ in B, there is a cartesian $f : D \to E$ over u in E;
 - (b) the composition of two cartesian morphisms is cartesian.

B is the *base category*, and **E** is the *total category*. Dually, \mathfrak{p} is a *cofibration* if $\mathfrak{p}^{\mathrm{op}}: \mathsf{E}^{\mathrm{op}} \to \mathsf{B}^{\mathrm{op}}$ is a fibration. A *bifibration* is both a fibration and a cofibration.

The arrow category B^{\rightarrow} has arrows of B as objects and commuting squares as morphisms. The functor dom: $B^{\rightarrow} \rightarrow B$ is a fibration. If B has pullbacks, cod: $B^{\rightarrow} \rightarrow B$ is a bifibration, with cartesian morphisms as pullback squares. Modules over rings provide another bifibration example [12].

Cartesian (cocartesian) morphisms are denoted $\bar{u}(E): u^*(E) \to E(\underline{u}(D): D \to u_*(D))$, unique up to isomorphism. A morphism $f: D \to E$ is strong cartesian over $u: A \to B$ if pf = u and for any $f': D' \to E$ with $pf' = u \circ v$, there is a unique $\varphi: D' \to D$ with $p\varphi = v$ and $f' = f \circ \varphi$. For fibrations, cartesian and strong cartesian morphisms coincide.

Definition 2. Let $p: E \to B$ be a functor. For $B \in B$, the *fibre* E_B is the category with objects $E \in E$ such that pE = B and arrows f in E with $pf = \mathrm{id}_B$ (vertical morphisms).

For $E,D\in E$ and $u:pE\to pD$, define $E_u(D,E)=\{f\in E(D,E)\mid pf=u\}$. If p is a fibration, $E_u(D,E)\cong E_{pD}(D,u^*(E))$; if a cofibration, $E_u(D,E)\cong E_{pE}(u_*(D),E)$.

For a fibration p and $u:A\to B$, define $u^*(f):u^*(E)\to u^*(D)$ in E_A for $f:E\to D$ in E_B using the cartesian morphism $\bar u(D):u^*(D)\to D$ (see Figure 2). This yields a pullback in E, and $u^*:E_B\to E_A$ is the *reindexing functor*.

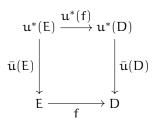


Рис. 2: Reindexing functor diagram.

A cleavage is a collection $\{u^*, \bar{u}\}$ satisfying certain natural isomorphisms. A fibration is split if $\bar{v} \circ \bar{u}(E) = \bar{v}(E) \circ \bar{u}(v^*(E))$ and $i\bar{d}(E) = id_E$.

The Grothendieck construction yields a split fibration from a functor $\Psi: \mathbf{B}^{\mathrm{op}} \to \mathrm{Cat}$, with objects $(A,X), \ X \in \Psi A$, and morphisms $(\mathfrak{u},\mathfrak{f}): (A,X) \to (B,Y)$, where $\mathfrak{u}: A \to B$ and $\mathfrak{f}: X \to \Psi(\mathfrak{u})(Y)$.

Proposition 1. Let $p : E \to B$ be a fibration.

- (i) p is a bifibration if and only if every u^* has a left adjoint Σ_u .
- (ii) If $r: B \to A$ is a fibration, then $rp: E \to A$ is a fibration.
- **Definition 3.** (i) For fibrations $\mathfrak{p}: E \to B$ and $\mathfrak{q}: D \to B$, a functor $H: E \to D$ is *cartesian* if $\mathfrak{q} \circ H = \mathfrak{p}$ and H preserves cartesian morphisms. This defines a category Fib(B). More generally, Fib has morphisms $(H,K): (\mathfrak{p}: E \to B) \to (\mathfrak{q}: D \to A)$ where $\mathfrak{q} \circ H = K \circ \mathfrak{p}$ and H preserves cartesian morphisms.

(ii) Fib(B) and Fib are 2-categories with 2-cells $\sigma: H \to H'$ (in Fib(B)) or $(\sigma, \tau): (H, K) \to (H', K')$ (in Fib) as natural transformations with vertical components.

Lemma 1. Let $p:E\to B,\ q:D\to B$ be fibrations, and $F:p\to q$ a cartesian functor.

- (i) F restricts to $F|_A : E_A \to D_A$. F is full (faithful) if and only if every $F|_A$ is full (faithful).
- (ii) If F is full and faithful, f is p-cartesian if and only if Ff is q-cartesian.
- $\begin{array}{ccc} \textbf{Proposition 2.} & \text{(i) The pullback in Cat of a fibration } p:E \to B \text{ and } K:A \to B \text{ yields a fibration } K^*(p):A\underset{K,p}{\times} E \to A \text{ and a morphism } K^*(p) \to p. \end{array}$
 - (ii) The functor Fib \to Cat, mapping a fibration to its base, is a fibration with fibres Fib(**B**).
- (iii) Fib(B) has finite products, preserved under change-of-base.

3 Category Theory over a Basis

Since Fib(B) is a 2-category, we define fibred adjunctions.

Definition 4. For fibrations $p: E \to B$, $q: D \to B$, and cartesian functors $F: p \to q$, $G: q \to p$, F is a *fibred left adjoint* of G if $F \dashv G$ with a vertical unit n.

Definition 5. For adjunctions $F \dashv G$ $(F : E \to D)$ and $F' \dashv G'$ $(F' : E' \to D')$, a *pseudo map* from $F \dashv G$ to $F' \dashv G'$ is a quadruple (K, L, φ, ψ) with functors $K : E \to E', L : D \to D'$, and natural isomorphisms $\varphi : F'K \to LF, \psi : G'L \to KG$, preserving units and counits (see Figure 3).

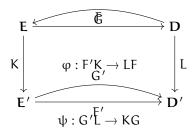


Рис. 3: Pseudo map of adjunctions.

Lemma 2. In Definition 5, φ and ψ determine each other: an isomorphism $F'K \cong LF$ induces a pseudo map if and only if the canonical transformation $KG \to G'L$ is an isomorphism, and similarly for $G'L \cong KG$.

Proposition 3. For a cartesian functor $F: p \to q$ in Fib(B) with right adjoints G_A for each $F|_A$, the following are equivalent:

- (i) F has a fibred right adjoint G underlying $\{G_A\}$.
- (ii) For every $\mathfrak{u}:A\to B$, reindexing functors $\mathfrak{u}^{*p},\,\mathfrak{u}^{*q}$ determine a pseudo map $F|_B\dashv G_B\to F|_A\dashv G_A$.
- (iii) For every $u:A\to B$, the canonical transformation $u^{*p}G_B\to G_Au^{*q}$ is an isomorphism.

Definition 6. A fibration $p: E \to B$ admits a terminal object if the unique morphism $p \to \text{terminal in Fib}(B)$ has a fibred right adjoint. Thus, each fibre E_A has a terminal object 1A, and $u^*(1B) \to 1A$ is an isomorphism for $u: A \to B$.

Definition 7. A fibration $p: E \to B$ admits cartesian products if the morphism $\Delta: p \to p \times p$ in Fib(B) has a fibred right adjoint. Thus, each fibre E_A has products $(-) \times_A (-)$, and the canonical map $\mathfrak{u}^*(E \times_B D) \to \mathfrak{u}^*(E) \times_A \mathfrak{u}^*(D)$ is an isomorphism.

Definition 8. A fibration $p : E \to B$ admits equalizers if the morphism $\Delta : p \to p^{2+}$ in Fib(B) has a fibred right adjoint, where p^{2+} is defined via change-of-base.

Lemma 3. For a bifunctor $F: A \times P \to B$, the following are equivalent:

- (i) For each $p \in P$, F(-,p) has a right adjoint G(-,p).
- (ii) For every groupoid subcategory |P| of P with $\mathrm{Obj}|P| = \mathrm{Obj}P$, the functor $\tilde{F}: A \times |P| \to B \times |P|$ has a right adjoint \tilde{G} .
- (iii) There exists such a groupoid |P| satisfying (ii).

Definition 9. A fibration $p : E \to B$ with cartesian products *admits exponents* if the functor $\widetilde{prod} : p \times |p| \to p \times |p|$ in Fib(B) has a fibred right adjoint.

Definition 10. Let $p : E \to B$ be a fibration, where B has pullbacks.

- (i) p has sums if every u^* has a left adjoint Σ_u , and the Beck-Chevalley condition holds: for a pullback in B, $\Sigma_u s^* \to r^* \Sigma_v$ is an isomorphism.
- (ii) p has products if $u^* \dashv \Pi_u$ and $r^*\Pi_v \cong \Pi_u s^*$ canonically.

For a category B with finite limits, $\operatorname{cod}: B^{\to} \to B$ has fibred finite limits and sums. B is a locally cartesian-closed category (LCCC) if $\operatorname{cod}: B^{\to} \to B$ is a fibred CCC.

4 Comprehension Categories

Definition 11. A comprehension category is a functor $\mathcal{P}: \mathsf{E} \to \mathsf{B}^{\to}$ satisfying:

- (i) $cod \circ \mathcal{P} : \mathbf{E} \to \mathbf{B}$ is a fibration.
- (ii) If f is cartesian in E, then Pf is a pullback in B.

It is *full* if \mathcal{P} is full and faithful, and *cloven* or *split* if the fibration is cloven or split.

Notation 1. For a comprehension category $\mathcal{P}: E \to B^{\to}$, write $\mathfrak{p} = \operatorname{cod} \circ \mathcal{P}$, $\mathcal{P}_0 = \operatorname{dom} \circ \mathcal{P}$. The object part of \mathcal{P} is a natural transformation $\mathcal{P}: \mathcal{P}_0 \to \mathfrak{p}$. For $E \in E$, $\mathcal{P}E$ are *projections*, $\mathcal{P}E^*$ are *weakening functors*, and $|E| = \{u : \mathfrak{p}E \to \mathcal{P}_0 E \mid \mathcal{P}E \circ u = \operatorname{id}\}$ are *terms* of type E.

Example 1. (Term model) For a calculus with type dependency [22, 31], define a full comprehension category $\mathcal{P}: E \to B^{\to}$. Objects of B are equivalence classes $[\Gamma]$ of contexts. Morphisms $[\Gamma] \to [\Delta]$, with $\Delta \equiv y_1 : \tau_1, \ldots, y_n : \tau_n$, are n-tuples $\langle [M_1], \ldots, [M_n] \rangle$ where $\Gamma \vdash M_i : \tau_i[x_1 := M_1, \ldots, x_{i-1} := M_{i-1}]$. Objects of E are $[\Gamma \vdash \sigma : \mathrm{Type}]$, and arrows are pairs $([\bar{M}], [N])$ with $[\bar{M}] : [\Gamma] \to [\Delta]$ and $\Gamma, x : \sigma \vdash N : \tau[\hat{y} := \bar{M}]$. Then $\mathcal{P}: [\Gamma \vdash \sigma : \mathrm{Type}] \mapsto ([\Gamma, x : \sigma] \to [\Gamma])$.

Lemma 4. For a comprehension category $\mathcal{P}: E \to B^{\to}$, for every $E \in E$ and $u: A \to pE$, there is a pullback as in Figure 4. Thus, a pullback functor $\mathcal{P}E^*: B/pE \to B/\mathcal{P}_0E$ is defined by $u \mapsto \mathcal{P}_0\bar{u}(E)$.

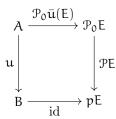


Рис. 4: Pullback for Lemma 4.

For $E \in E$ above $B \in B$ and $u : A \to B$, there is an isomorphism $B/B(u, \mathcal{P}E) \cong |u^*(E)|$, encoding a disjoint union.

Example 2. (Display-map categories) If **B** has pullbacks, the identity $\mathbf{B}^{\to} \to \mathbf{B}^{\to}$ is a full comprehension category. For a category **B** with a collection \mathcal{D} of display maps closed under pullbacks [30, 15, 19], the inclusion $\mathbf{B}^{\to}(\mathcal{D}) \subset \mathbf{B}^{\to}$ is a full comprehension category.

Example 3. (Full internal subcategories) For an LCCC **B** and morphism τ , the fibration $\Sigma(\tau) \to B$ has a full and faithful cartesian functor $\Sigma(\tau) \to B^{\to}$, forming a full comprehension category [27, 18].

Example 4. (Topos comprehension) For a topos **B** with subobject classifier $\top: t \to \Omega$, the functor $B/\Omega \to B^{\to}$ mapping $\phi: A \to \Omega$ to its extension is a comprehension category, full and faithful on $\operatorname{Cart}(B)$.

5 Quantification

A comprehension category is *closed* if it has a unit, products, and strong sums (Definition 16). Products and sums are defined via adjoints to weakening functors, using fibred or fibrewise adjunctions with Beck-Chevalley conditions.

For a comprehension category $\mathcal{P}: E \to B^{\to}$, define $\operatorname{Cart}(E) \subset E$ with cartesian arrows, yielding fibrations $|p|^*: \operatorname{Cart}(E) \times E \to \operatorname{Cart}(E)$ and $|\mathcal{P}_0|^*(p)$. The natural transformation $\mathcal{P}: \mathcal{P}_0 \to p$ lifts to a cartesian functor $\langle \mathcal{P} \rangle : |p|^*(p) \to |\mathcal{P}_0|^*(p)$. \mathcal{P} has products (sums) if $\langle \mathcal{P} \rangle$ has a fibred right (left) adjoint.

Fibrewise, \mathcal{P} has products (sums) if every $\mathcal{P}E^*: E_{pE} \to E_{\mathcal{P}_0E}$ has a right adjoint Π_E (left adjoint Σ_E), and the Beck-Chevalley condition holds: for cartesian $f: E \to E'$, $(pf)^*\Pi_{E'} \to \Pi_E(\mathcal{P}_0f)^*$ (or $\Sigma_E(\mathcal{P}_0f)^* \to (pf)^*\Sigma_{E'}$) is an isomorphism.

Definition 12. For a comprehension category with products, objects $E \in E$ are *types*, and |E| are *terms*. For $E, D \in E$ with $pD = \mathcal{P}_0E$, the product type $\Pi_E.D$ above pE has a canonical map $|\Pi_E.D| \to |D|$, $u \mapsto u \cdot var^E$.

Lemma 5. For a comprehension category with products, $|\Pi_E.D| \cong |D|$ if and only if \mathcal{P} preserves products, i.e., $\mathbf{B}/\mathbf{p}E(\mathbf{u},\mathcal{P}(\Pi_E.D)) \cong \mathbf{B}/\mathcal{P}_0E(\mathcal{P}E^*(\mathbf{u}),\mathcal{P}D)$.

Lemma 6. A comprehension category with unit preserves products.

Lemma 7. A nonempty full comprehension category preserves products.

Definition 13. Weak sums follow the rules:

$$\frac{\Gamma \vdash \sigma \colon \mathrm{Type} \quad \Gamma\!\!, x \colon \sigma \vdash \tau \colon \mathrm{Type}}{\Gamma \vdash \Sigma x \colon \sigma.\tau \colon \mathrm{Type}}, \quad \frac{\Gamma \vdash M \colon \sigma \quad \Gamma \vdash N \colon \tau[x := M]}{\Gamma \vdash \langle M, N \rangle \colon \Sigma x \colon \sigma.\tau},$$

with weak elimination:

$$\frac{\Gamma \vdash P : \Sigma x : \sigma.\tau \quad \Gamma \vdash \rho : \mathrm{Type} \quad \Gamma, x : \sigma, y : \tau \vdash Q : \rho}{\Gamma \vdash Q \ \mathrm{where} \ \langle x, y \rangle := P : \rho}.$$

Strong sums allow ρ to depend on $w : \Sigma x : \sigma.\tau$.

Lemma 8. A full comprehension category with unit, products, and sums yields a fibred CCC.

Lemma 9. The comprehension category $\operatorname{Fam}(C) \to \operatorname{Cat}^{\to}$ has sums if C has infinite coproducts, and similarly for products.

Definition 14. A comprehension category has *strong sums* if for $E, D \in E$ with $pD = \mathcal{P}_0E$, the canonical map $\mathcal{P}_0D \to \mathcal{P}_0(\Sigma_E.D)$ is an isomorphism.

Definition 15. In a category C with terminal object t, a sum $\coprod_I X$ is *strong* if $(t \downarrow X) \to (t \downarrow \coprod_I X)$ is an isomorphism.

Lemma 10. If C has strong sums and small C(t,A), then $C(t,-):C\to \mathrm{Sets}$ has a full and faithful left adjoint.

Lemma 11. C has strong sums if and only if $Fam(C) \to Sets^{\to}$ has strong sums.

Proposition 4. In a distributive category **C**, strong sums exist if and only if the terminal object is indecomposable.

Definition 16. A closed comprehension category (CCompC) is a full comprehension category with unit, products, and strong sums.

Example 5. (i) For **B** with finite limits, $\operatorname{Id}_{B^{\to}}$ is a CCompC if and only if **B** is an LCCC.

- (ii) For B with finite products, $\mathrm{Cons}_B:\overline{B}\to B^\to$ is a CCompC if and only if B is a CCC.
- (iii) $Fam(Sets) \rightarrow Cat^{\rightarrow}$ is a CCompC.
- (iv) The term model (Example 1) with unit, products, and strong sums is a CCompC.
- (v) Realizability models in ω -Set and M yield CCompCs $\operatorname{Fam}_{\operatorname{eff}}(C) \to \omega$ -Set $^{\to}$.

Lemma 12. A CCompC $\mathcal{P}: E \to B^{\to}$ preserves units, sums, and products.

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