DOE/ASCR and ASCR Research Priorities

Dr. Hal Finkel

Acting Director, Computational Research and Partnerships Division,
Advanced Scientific Computing Research
February 8, 2024

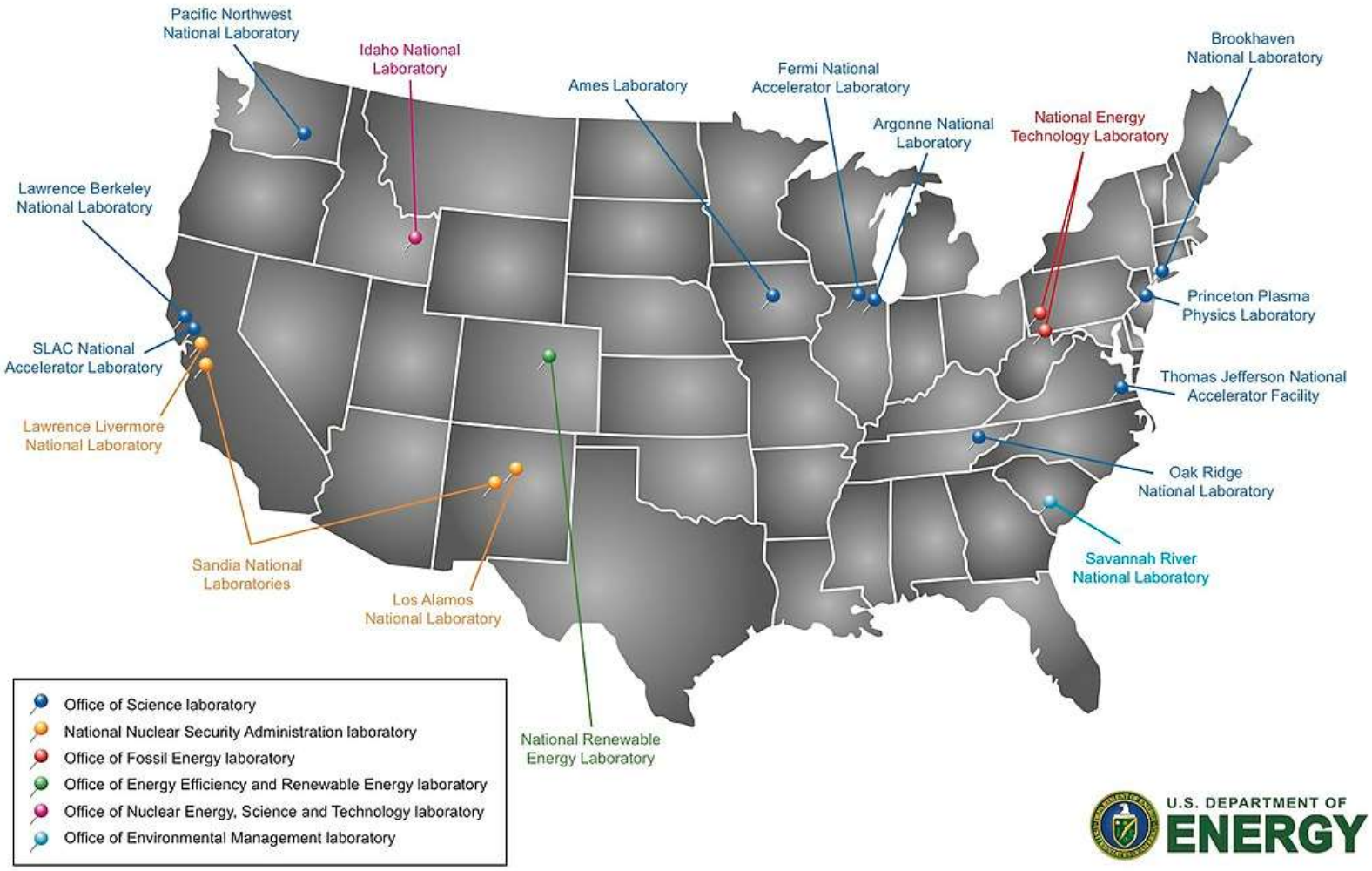
U.S. DEPARTMENT OF ENERGY | Office of Science

DOE Office of Science (SC) Mission

The mission of the DOE Office of Science, from 42 USC 7139(c-d), reads:

> (c) Mission
> The mission of the Office of Science shall be the delivery of scientific discoveries, capabilities, and major scientific tools to transform the understanding of nature and to advance the energy, economic, and national security of the United States.
>
> (d) User facilities
> The Director shall carry out the construction, operation, and maintenance of user facilities to support the mission described in subsection (c). As practicable, these facilities shall serve the needs of the Department, industry, the academic community, and other relevant entities for the purposes of advancing the missions of the Department, improving the competitiveness of the United States, protecting public health and safety, and addressing other national priorities including emergencies.

DOE National Laboratories



https://www.energy.gov/maps/doe-national-laboratories

DOE Science Programs

Basic Energy Sciences	• Understanding, predicting, and ultimately controlling matter and energy flow at the electronic, atomic, and molecular levels
Advanced Scientific Computing Research	• Advancing state-of-the-art computational, networking, data, and software capabilities that enable scientific discovery
Biological and Environmental Research	• Understanding complex biological, climatic, and environmental systems
Fusion Energy Sciences	• Building the scientific foundations for a fusion energy source
High Energy Physics	• Understanding how the universe works at its most fundamental level
Nuclear Physics	• Discovering, exploring, and understanding all forms of nuclear matter

The Office of Science also supports programs:

- Accelerator R&D and Production
- Isotope R&D and Production

ASCR Program Structure

US Department of Energy (DOE), Office of Science (SC), Advanced Scientific Computing Research (ASCR)
https://science.osti.gov/ascr

Facilities Division
National user facilities: ALCF, OLCF, NERSC, ESNet

Computational-Science Research and Partnerships Division

Advanced Computing Technologies (ACT) Division

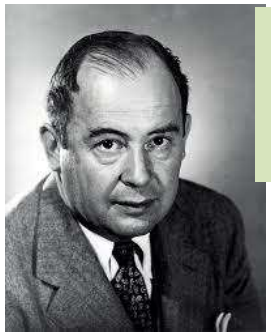
Computer Science

Applied Mathematics

Computational Partnerships

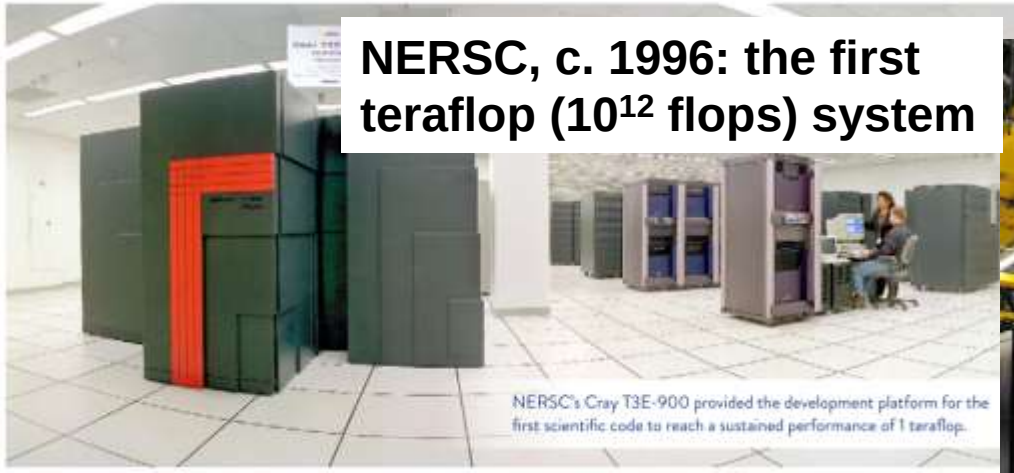
For more information, see our FY23 budget request.

ASCR – over 70 years of Advancing Computational Science



Beginnings: During the Manhattan Project, John Von Neumann advocated for the creation of a Mathematics program to support the continued development of applications of digital computing

ASCR has a rich history of investment in computational science and applied mathematics research, and revolutionary computational and network infrastructure.



NERSC, c. 1996: the first teraflop (10^{12} flops) system

Today, Frontier at OLCF: first to exascale (10^{18} flops)

WHY COMPUTATIONAL SCIENCE?

- Computational science added a third pillar to researcher's toolkit along side theory and experiments
- Valuable when experiments are too expensive, dangerous, time-consuming or impossible
- Facilitates idea-to-discovery that leads from equations to algorithms
- Virtually every discipline in science and engineering has benefited from DOE's sustained investments in computational science

FRONTIER

CONGRATS TO OUR COLLEAGUES AT THE OLCF AND VENDOR PARTNERS AT HPE & AMD

- **74 HPE Cray EX cabinets**
- **9,408 AMD EPYC CPUs, 37,632 AMD GPUs**
- **700 petabytes of storage capacity, peak write speeds of 11 terabytes per second using Cray Clusterstor Storage System**
- **90 miles of HPE Slingshot networking cables**

TOP500

#1*

1.2 exaflops of performance on the TOP500 List.

*May and November 2022

GREEN500

#2*

62 gigaflops/watt power efficiency on a single cabinet.

*November 2022

HPL-MxP

#1*

10 exaflops on the HPL-MxP (formerly HPL-AI) benchmark.

*November 2022

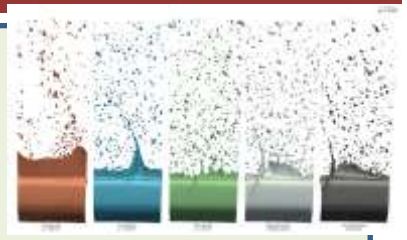
Sources: May 30, 2022, and November 14, 2022, Top500 releases

ASCR Research: Key To Enabling DOE and SC Scientific Enterprise

Capitalizing on decades of basic research investments in applied math, computer science and computational partnerships, the ASCR community is well-equipped to tackle scientific and societal crises.

Discovery Science

ASCR's 20+ year SciDAC partnership with NP confirmed the prediction of the existence of tetraneutrons.



Lowering Energy Costs

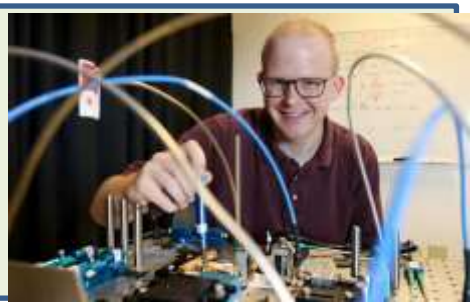
Multi-scale mathematics algorithms and models led to insights to reduce cost in applications from electric grid to automotive industry.

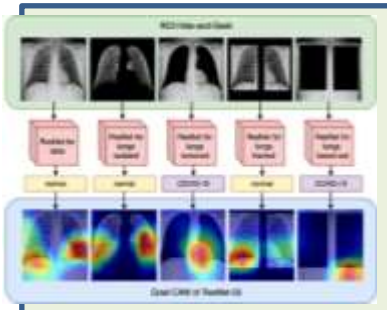
Optimizing Experiments

Optimization and machine learning methods provided real-time experiment steering at beamlines.

Foundations For the Future

Design and demonstration of the first ever Bell state analyzer enabled new quantum communication protocols.



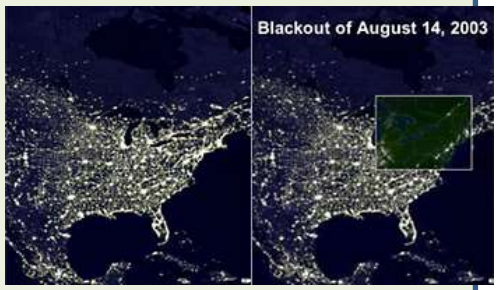


Emergency Response

ASCR CS community's expertise propelled the application of deep learning methods for pandemic response.

Decision Support

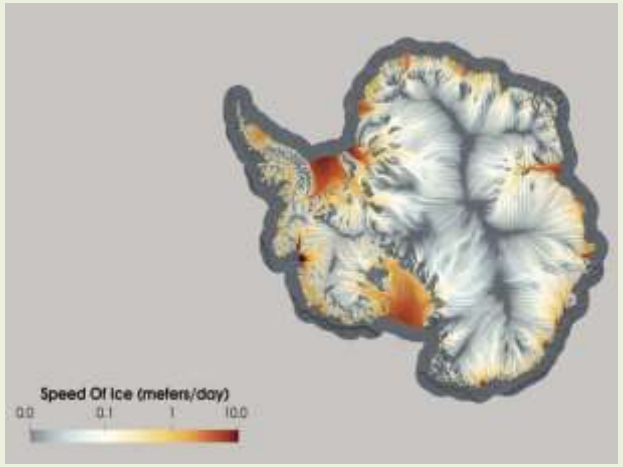
The first-ever physics based predictive models constrained the probability of cascading blackouts in power grid operations.



Understanding Changing Environmental Conditions: Sea & Fire

State-of-the-art research in simulation, modeling and data-driven discovery help us improve our understanding of fundamental processes and our projections for the changing global environment.

Projected Land Ice Contribution to 21st Century Sea Level Rise



By simulating the flow of ice across Antarctica using an improved ice-sheet model, the researchers projected 2015-2100 land ice contribution to sea level for a range of emissions scenarios.

- The most comprehensive projections of sea-level rise from land ice to date.
- Antarctica remains a critical focus for reducing future sea level uncertainty.
- Limiting global warming to 1.5°C reduces 21st century land ice contribution to sea-level rise from 25 to 13 cm.

An ASCR-BER SciDAC Partnership

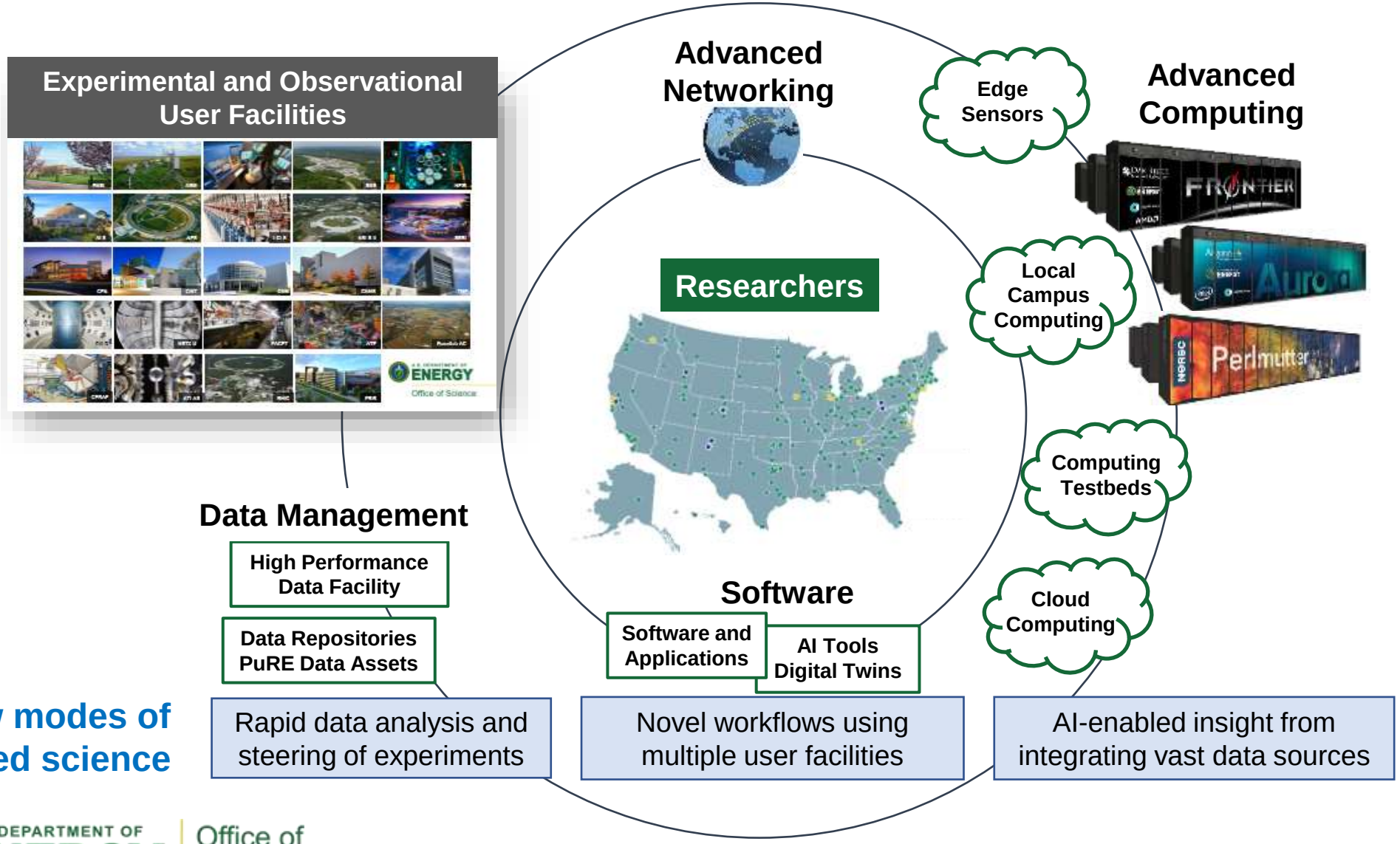
5G Drones: Real Time Data Assimilation to Transform Wildfire Predictability

5G drone data will lead to better predictions of smoke and fire spread.

- Use 5G drones to assess changes in fire behavior and smoke characteristics.
- Leverage data gathered via various sources such as citizen scientists.
- Coordinate with partners to integrate fire modeling into fire master plans.

The time evolution of the Rio Medio (NM) fire was captured by citizen images and videos from multiple angles and distances. The researchers are harnessing this unique data set to inform their simulations and improve their models to enable better forecasts.

DOE's Integrated Research Infrastructure (IRI) is a new effort to provide researchers with seamless interoperability of DOE's unique data, user facilities, & computing resources.

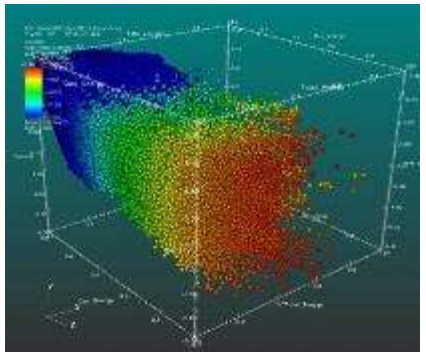


New modes of integrated science

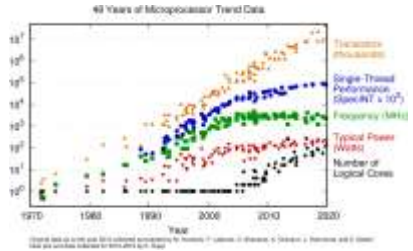
U.S. DEPARTMENT OF ENERGY | Office of Science

Critical Technology Trends Motivating ASCR Today

Data, Privacy, and Scientific Integrity

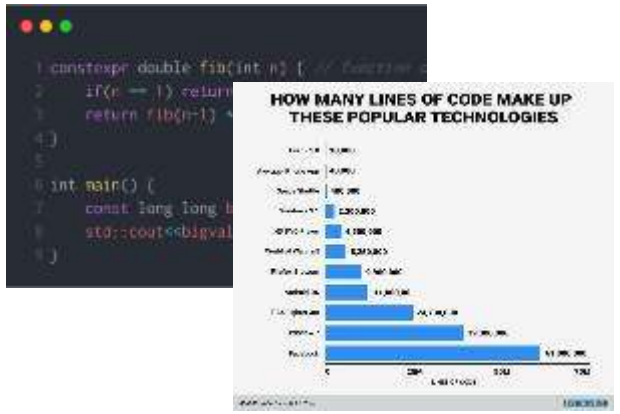


Artificial Intelligence



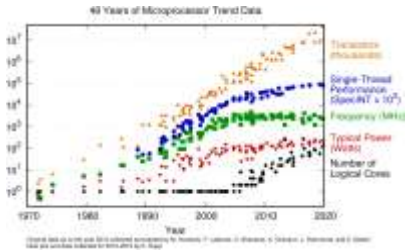
Heterogeneous, Distributed, Co-Designed, Energy-Efficient Computing

Exploding Software Complexity

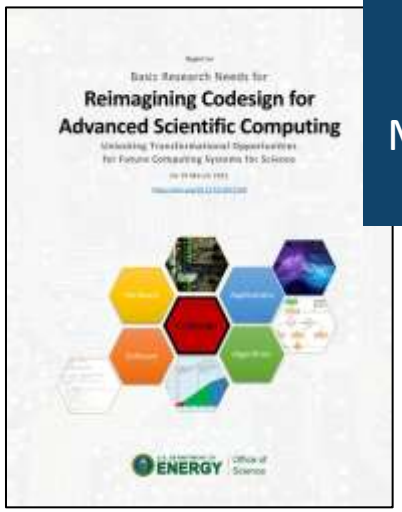


Scientific Computing and Networking: from Exascale to the Edge

Transforming the Fundamentals of Computing



Heterogeneous, Distributed, Co-Designed, Energy-Efficient Computing



ASCR Workshop on Reimagining Codesign, March 2021: https://doi.org/10.2172/1822199

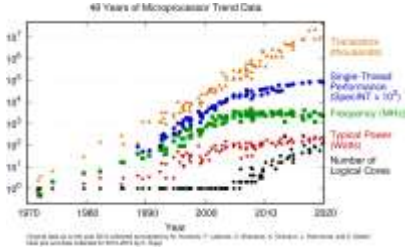
Quantum Computing for Biomedical Computational and Data Sciences Joint DOE NIH Quantum Roundtable March 2023

FY 2023

ASCR Basic Research Needs in Quantum Computing and Networking, July 2023: https://www.orau.gov/ASCR-BRN-Quantum (report forthcoming)

FY 2023

Transforming the Fundamentals of Computing

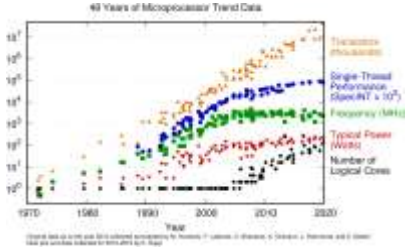


Heterogeneous, Distributed, Co-Designed, Energy-Efficient Computing

Past Solicitations (FY 2021 – 2022):

- Entanglement Management and Control in Transparent Optical Quantum Networks, 2021.
- Microelectronics Co-Design Research, 2021.
- Quantum Internet to Accelerate Scientific Discovery, 2021.
- Quantum Algorithms and Mathematical Methods, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022.
- Quantum Computing at the Edge, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022.

Transforming the Fundamentals of Computing



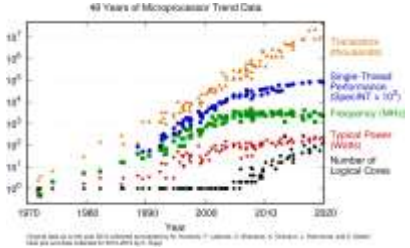
Heterogeneous, Distributed, Co-Designed, Energy-Efficient Computing

FY 2023

Solicitations (FY 2023):

- Accelerate Innovations in Emerging Technologies, 2023.
  - Two ASCR-funded projects, including: Thomas Jefferson National Accelerator Facility will lead a project to develop concepts for superconducting microelectronics to achieve ultra-energy-efficient computing (co-funding with NP).
- Modeling Future Supercomputing Systems, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2023.
  - Four projects: projects include modeling cryogenic and photonic beyond-exascale supercomputing systems.
- Programming Techniques for Computational Physical Systems, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2023.
  - Four projects: projects explore the fundamentals of analog computing in microelectronic and chemical systems toward next-generation computing and storage technologies.

Transforming the Fundamentals of Computing



Heterogeneous, Distributed, Co-Designed, Energy-Efficient Computing

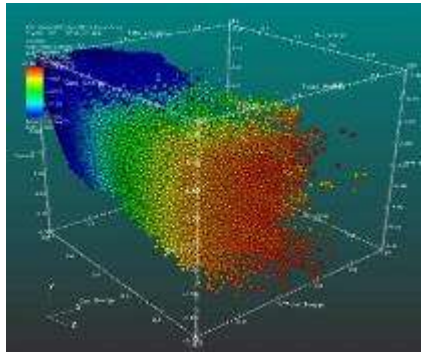
FY 2023

Solicitations (FY 2023):

- Quantum Algorithms across Models, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2023.
  - Seven projects: projects include innovative techniques for converting quantum circuits into dynamic quantum walks and map the converted circuits onto different kinds of quantum computers.
- Quantum Testbed Pathfinder, 2023.
  - Six projects: Projects explore the limitations of the noisy, intermediate-scale quantum processors available today and aim to develop tools for assessing whether a particular quantum processor may be able to advance the frontiers of computational science even in the absence of formal error correction on the device.
- Scientific Enablers of Scalable Quantum Communications, 2023.
  - A collaborative research effort led by Argonne National Laboratory following a heterogeneous, full-stack approach in codesigning scalable quantum networks.
  - A collaborative research effort led by Oak Ridge National Laboratory developing the architecture and protocols for a performance-integrated scalable quantum internet.
  - A collaborative research effort led by Fermi National Accelerator Laboratory developing hyper-entanglement-based networking and error noise-robust correction techniques for developing advanced quantum networks for science discovery.

Empowering Science Through Data Innovations

Data, Privacy, and Scientific Integrity

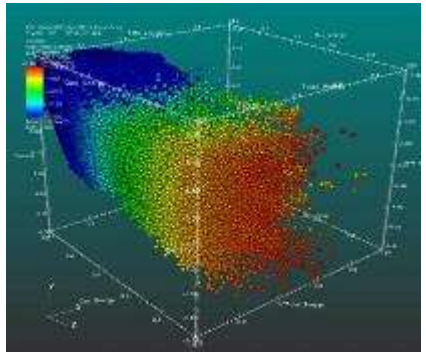


ASCR Workshop on Basic Research Needs for Management and Storage of Scientific Data, January 2022: https://doi.org/10.2172/1845707

ASCR Basic Research Needs Visualization for Scientific Discovery, Decision-Making, and Communication, January 2022: https://doi.org/10.2172/1845708 (brochure; report forthcoming)

Empowering Science Through Data Innovations

Data, Privacy, and Scientific Integrity



Past Solicitations (FY 2021 – 2022):

- Data Reduction for Science, 2021.
- Management and Storage of Scientific Data, 2022.
- Data Visualization for Scientific Discovery, Decision-Making, and Communication, 2022.

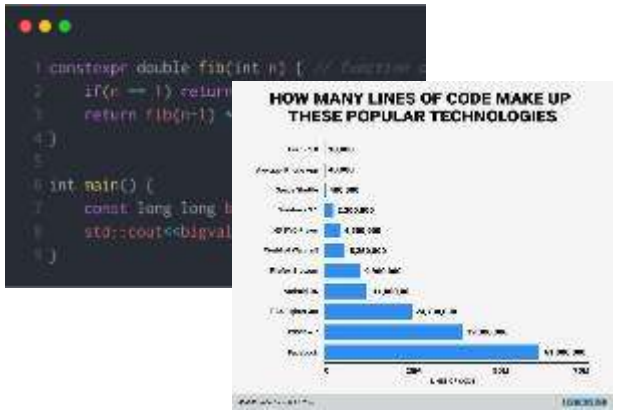
"Over the next decade, AI can help unlock world-leading simulation capabilities that can be augmented seamlessly with scalable, trusted, and efficient data-driven tools, including trusted and validated machine learning methods… but advantages in AI and machine learning can only be unlocked through powerful computing capability and commensurate amounts of good data."
- Deputy Secretary David Turk, testimony before the U.S. Senate Committee on Energy and Natural Resources

ECP EXASCALE COMPUTING PROJECT

Many projects are building on ECP investments!

Enhancing Scientific Programming

Exploding Software Complexity



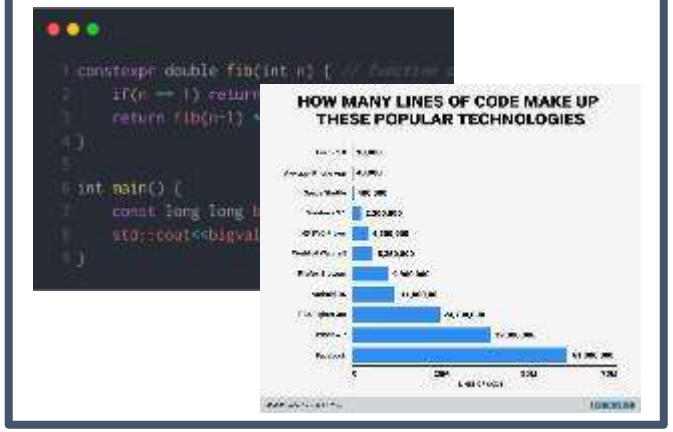
ASCR Workshop on Basic Research Needs in The Science of Scientific Software Development and Use, December 2021: https://doi.org/10.2172/1846009

FY 2023

DOE/NSF Workshop on Correctness in Scientific Computing, June 2023: https://pldi23.sigplan.org/home/csc-2023 (report forthcoming)

Enhancing Scientific Programming

Exploding Software Complexity



Past Solicitations (FY 2021 – 2022):

- X-STACK: Programming Environments for Scientific Computing, 2021
- Differentiable Programming, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022

Projects in this portfolio enhance the productivity of scientific programming, portable across current and future high-performance computers, and focus on challenges that will be critical as we integrate AI: Differentiable programming enables integrating modeling and simulation applications with AI training, and verification and testing methods seem essential to taking advantage of AI-generated source code.

Many projects are building on ECP investments!

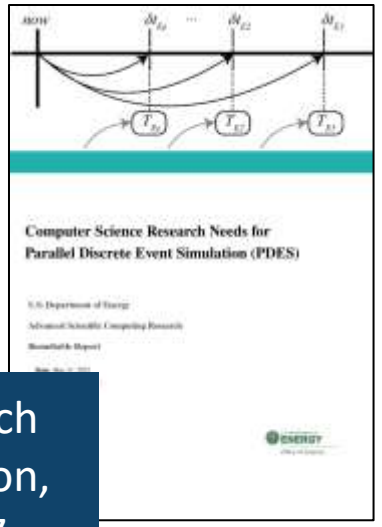
Accelerating Science from Exascale to the Edge

Scientific Computing and Networking: from Exascale to the Edge

Integrated Research Infrastructure Architecture Blueprint Activity, 2023: https://doi.org/10.2172/1984466

FY 2023

Roundtable on Foundational Science for Biopreparedness and Response, March 2022: Report available from https://science.osti.gov/ascr/Community-Resources/Program-Documents



Roundtable on Computer Science Research Needs for Parallel Discrete Event Simulation, 2022: https://doi.org/10.2172/1855247

Accelerating Science from Exascale to the Edge

Scientific Computing and Networking: from Exascale to the Edge

Past Solicitations (FY 2021 – 2022):

- 5G Enabled Energy Innovation Advanced Wireless Networks for Science, 2021.
- SciDAC: Partnerships in Basic Energy Sciences, 2021.
- Integrated Computational and Data Infrastructure for Scientific Discovery, 2021.
- EXPRESS: Randomized Algorithms for Extreme-Scale Science, 2021.
- SciDAC: Partnerships in Earth System Model Development, 2022.
- SciDAC: Partnership in Nuclear Energy, 2022.

ECP EXASCALE COMPUTING PROJECT

Many projects are building on ECP investments!

Accelerating Science from Exascale to the Edge

Scientific Computing and Networking: from Exascale to the Edge

Past Solicitations (FY 2021 – 2022):

- SciDAC: High Energy Physics, 2022.
- Advancing Computer Modeling and Epidemiology for Biopreparedness and Response, 2022.
- SciDAC: Partnership in Nuclear Physics, 2022.
- Mathematical Multifaceted Integrated Capability Centers (MMICCS), 2022.
- Randomized Algorithms for Combinatorial Scientific Computing, 2022.
- Parallel Discrete-Event Simulation, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022

ECP EXASCALE COMPUTING PROJECT

Many projects are building on ECP investments!

Accelerating Science from Exascale to the Edge

FY 2023

Scientific Computing and Networking: from Exascale to the Edge

Solicitations (FY 2023):

- Energy Earthshot Research Centers, 2023.
  - [Award announcement forthcoming.]
- Science Foundations for Energy Earthshots, 2023.
  - [Award announcement forthcoming.]
- Biopreparedness Research Virtual Environment (BRaVE), 2023.
  - Four ASCR-funded projects: Includes awards to Lawrence Berkeley National Laboratory and Oak Ridge National Laboratory will examine diverse data streams using DOE computational capabilities and AI-related tools to accurately detect the onset of emerging pandemics and help enable decision making for both healthcare and policy makers. Portfolio includes co-funding from BES and BER.

ECP EXASCALE COMPUTING PROJECT

Many projects will build on ECP investments!

Accelerating Science from Exascale to the Edge

FY 2023

Scientific Computing and Networking: from Exascale to the Edge

- Accelerate Innovations in Emerging Technologies, 2023.
  - Two ASCR-funded projects, including: Argonne National Laboratory will lead a project to develop innovations that combine robotics, human interfaces, digital twins, and artificial intelligence to replace 80-year-old technologies currently used to produce isotopes used in medical diagnostics and treatments, research, and industrial applications (co-funding with IP).

Solicitations (FY 2023):

- Advanced Scientific Computing Research for DOE User Facilities, 2023.
  - Two ASCR-funded projects:
  - A center for advanced mathematics for energy research applications led by Lawrence Berkeley National Laboratory to develop the algorithms, software, autonomous workflows, and real-time analysis at the edge for next-generation scientific user facilities.
  - A research project led by Argonne National Laboratory that addresses the technical challenges and tools needed to enhance the optimization, prediction, and experimentation capabilities at science facilities.

ECP EXASCALE COMPUTING PROJECT

Many projects will build on ECP investments!

Accelerating Science from Exascale to the Edge

FY 2023

Scientific Computing and Networking: from Exascale to the Edge

- SciDAC- FES Partnerships, 2023.
  - 12 projects (co-funded by FES): Projects funded through this program will use computing resources to model plasmas, study turbulence, and use artificial intelligence to predict and solve problems like energy losses.

Solicitations (FY 2023):

- Distributed Resilient Systems, 2023.
  - Five projects, including:
  - A collaboration led by the University of Southern California is developing novel methods in swarm intelligence to distributed resource allocation.
  - A collaboration led by the University of California, Merced is improving our understanding of scalable, federated, privacy-preserving machine learning.

Many projects will build on ECP investments!

Creating Trustworthy and Efficient AI For Science

Artificial Intelligence

Past Solicitations (FY 2021 – 2022):

- Bridge2AI And Privacy-Preserving Artificial Intelligence Research, 2021.
- Data-Intensive Scientific Machine Learning and Analysis, 2021.
- Federated Scientific Machine Learning, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022.
- Explainable Artificial Intelligence, in Exploratory Research for Extreme-Scale Science (EXPRESS), 2022.

Creating Trustworthy and Efficient AI For Science

Artificial Intelligence

FY 2023

Solicitations (FY 2023):

- Scientific Machine Learning for Complex Systems, 2023.
  - Four projects, including:
  - A collaboration led by Pacific Northwest National Laboratory, partnering with Spelman College, for quantifying uncertainties and improving predictions in atmospheric simulations and measurements.
  - A project led by Johns Hopkins University for research on the properties and behavior of additively manufactured composites in materials science and turbulent, high-speed fluid flow in aerospace engineering applications.
  - A project, led by Lawrence Berkeley National Laboratory, to develop a new generation of uncertainty quantification (UQ) tools to ensure optimal operation or accurate predictions for accelerators, reactors, climate science, and other complex systems or processes.

ECP EXASCALE COMPUTING PROJECT

Building on ECP investments!

Growing and Diversifying Our Research Community

Solicitations (FY 2023):

- Early Career Research Program, 2023.
  - Ten projects, focusing on reconfigurable computing; a programming framework for graph algorithms; intelligent scheduling for heterogeneous computing; data-driven discovery of dynamic models; model reduction using deep learning; Quasi-Trefftz methods for problems governed by vector-valued partial differential equation; randomized optimization; multi-linear representations for quantum characterization, control, and computation; Markov random fields for scientific machine learning, and statistical modeling of extreme events in complex systems.
- FY 2023 Funding for Accelerated, Inclusive Research (FAIR), 2023.
  - Five projects focusing on machine-learning-based surrogate modeling for stochastic multiscale simulations (University of California, Merced and Lawrence Berkeley National Laboratory), the performance and scalability of distributed deep learning (University of Texas at El Paso and Pacific Northwest National Laboratory), storage-driven machine-learning performance models (University of South Dakota and Lawrence Berkeley National Laboratory), computational storage using data-tasks and asynchronous I/O (Illinois Institute of Technology and Argonne National Laboratory), and entanglement estimation for quantum computing (Texas Tech University and Argonne National Laboratory).
- Reaching A New Energy Sciences Workforce (RENEW), 2023.
  - Two many-institution projects focusing on innovative workforce development in quantum information science and artificial intelligence.

ASCR R&D Funding (**)

Funding Opportunity Announcements (FOAs)

- https://science.osti.gov/ascr/Funding-Opportunities
- Announced on grants.gov (hint: sign up for email notifications for 'ASCR')
- Read each announcement carefully to understand who can apply and other restrictions/requirements
- Depending on the announcement, supports 2–5-year projects
- University researchers can apply directly (please coordinate with your organization's sponsored-research office)
- Subcontracting is often permitted, and sometimes collaborative applications are permitted

Early Career Research Program

- https://science.osti.gov/early-career
- Research grants for five years
- Stays with PI if PI changes institutions
- Eligible within 10 years of Ph.D. (can apply up to three times)
- University-based researchers receive about $150,000/year
- Topics released in the summer, pre-applications generally due in the fall

DOE National Laboratory Announcements

- https://science.osti.gov/ascr/Funding-Opportunities (bottom of the page)
- Open only to DOE Laboratories
- Often allow subcontracts to support collaborators at other organizations

Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR)

- https://science.osti.gov/sbir
- Grants to for-profit US businesses with 500 or fewer employees (including affiliates)
- Phase I: ~$200k for 6-12 months, Phase II: ~$1M for 2 years
- Subcontracting is permitted, STTR: requires collaboration with a research Institution
- Topics released in the summer, pre-applications generally due in the fall

Computational Science Graduate Fellowship (CSGF)

http://www.krellinst.org/csgf/

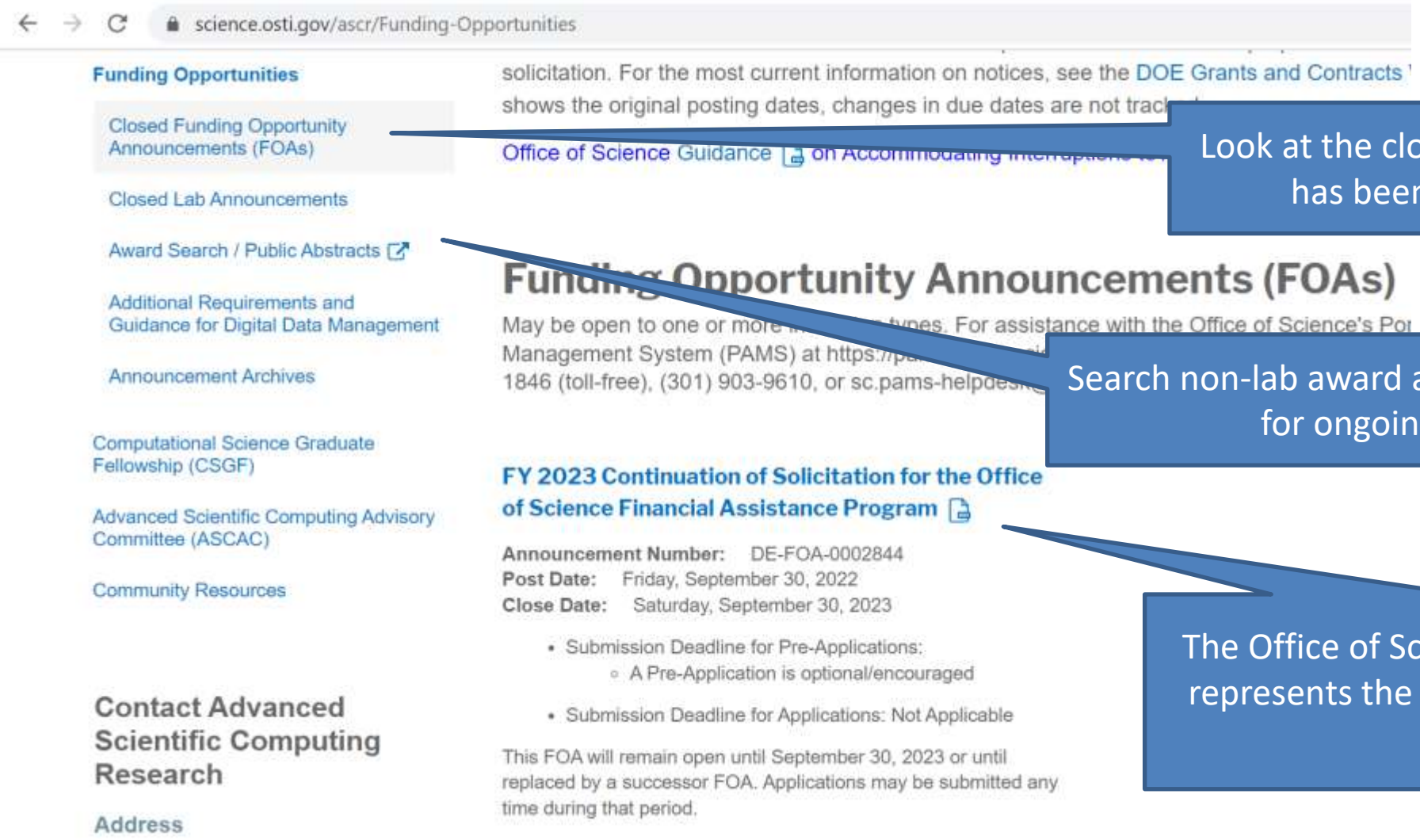
(**) For FY23, subject to change in future years

Office of Science ECRP Award History

- **785 ECRP awards have been made since the program's inception.**
  - **508** to universities and **277** to DOE National Laboratories
  - All states represented
  - Diversity continues to improve:
    - FY10-FY16: 25% awards to women*
    - FY22: 31% awards to women
  - Success rate varies by program office, but is about 14%**

*Linda G. Blevins, DOE Office of Science Early Research Program, presentation to ASCAC, Sep 2016
** FY21 data

Finding Out More About ASCR – FOAs

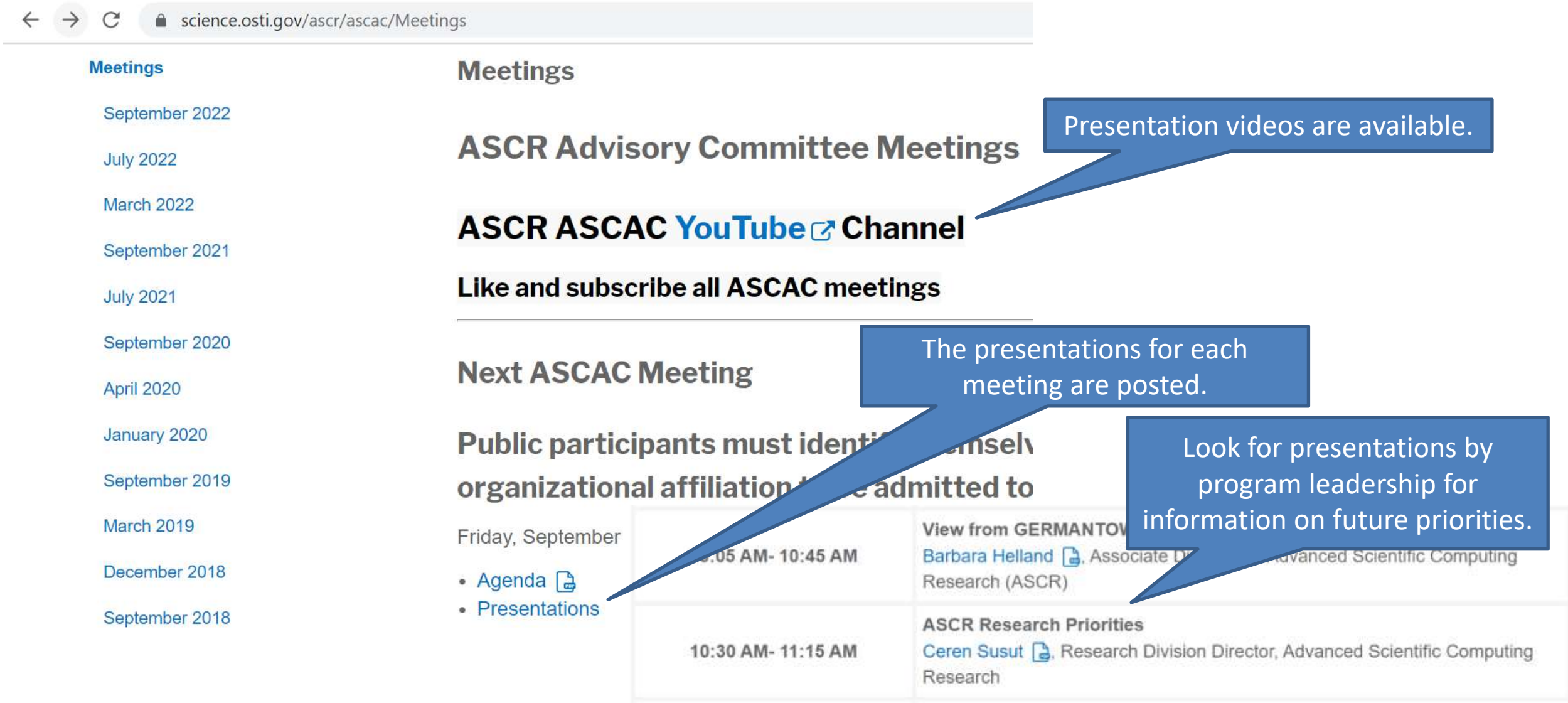


Look at the closed FOAs to see how funding has been structured in the past.

Search non-lab award abstracts to get a sense for ongoing projects.

The Office of Science's "Open Call" generally represents the scope interest of the various programs.

Finding Out More About ASCR – ASCAC



Finding Out More About ASCR – Program Documents

science.osti.gov/ascr/Community-Resources/Program-Documents

Community Resources

- ASCR Discovery
- **ASCR Program Documents**
- ASCR Workshops and Conferences
- ASCR Presentations
- 100Gbps Science Network
- Related Links

The "Program Documents" page has recent reports, with "Priority Research Directions" and "Priority Research Opportunities" in different areas of interest.

DOE

advance industry applic
Outreach and Assistanc
Transfer Programs Office.
Report: June, 2022

Envisioning Science in 2050

To explore future-focused questions that could impact the future of DOE, a Communit
(COI) on Future Scientific Methodologies, sponsored by the Office of Advanced Scien
Computing Research (ASCR), was held over three non-consecutive days in Novembe
COI's charge was to create a vision for how future computational fabrics might shape,
shaped by, scientific and technological advances over the next 10 to 30 years.

Workshop Report: March 22, 2022

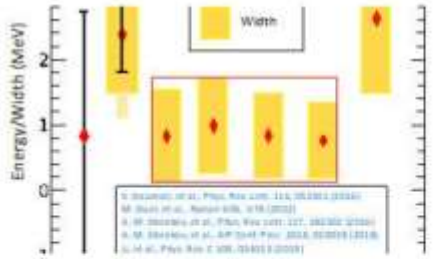
Basic Research Needs for the Science of Scientific-Software Development and Use

In December 2021, ASCR convened a workshop on basic research needs for the Scie
Scientific Software Development and Use (SSSDU). Workshop participants identified
research directions (PRDs) and three important crosscutting themes that center on th
overarching insight: software has become an essential part of modern science that im
discovery, policy, and technological development. To have full confidence in science d
software, we must improve the processes and tools that help us create and use it, and this enhancement
deep understanding of the diverse array of teams and individuals doing the work.

Workshop Brochure: January 1, 2022

Highlights

View all »

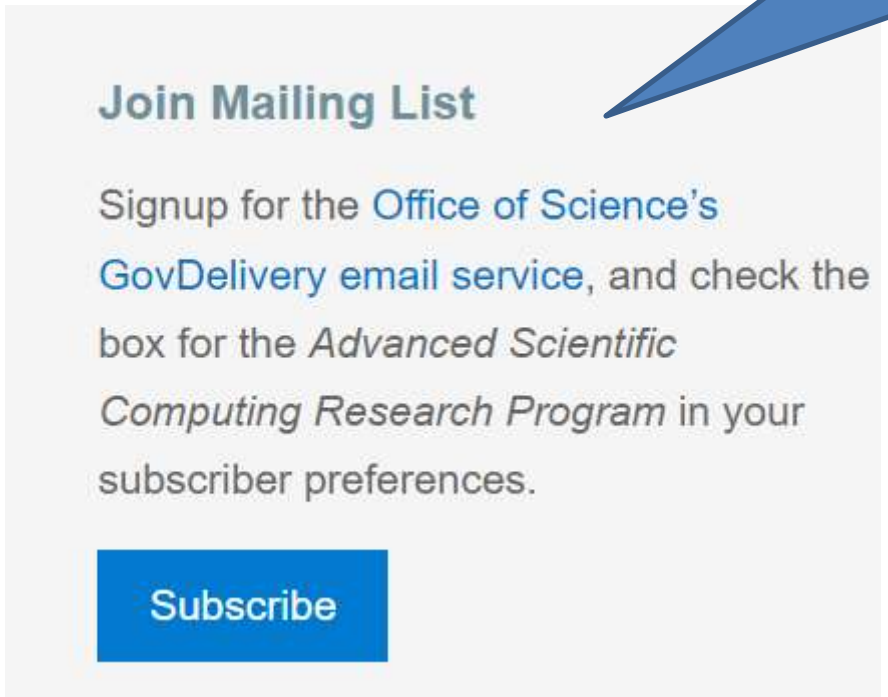


Discovered Tetraneutron Resonance Confirms Theoretical Predictions

Finding Out More About ASCR – GovDelivery

For updates from ASCR (announcements for ASCAC meetings, FOAs, awards, workshops, etc.) signup for the GovDelivery email service.

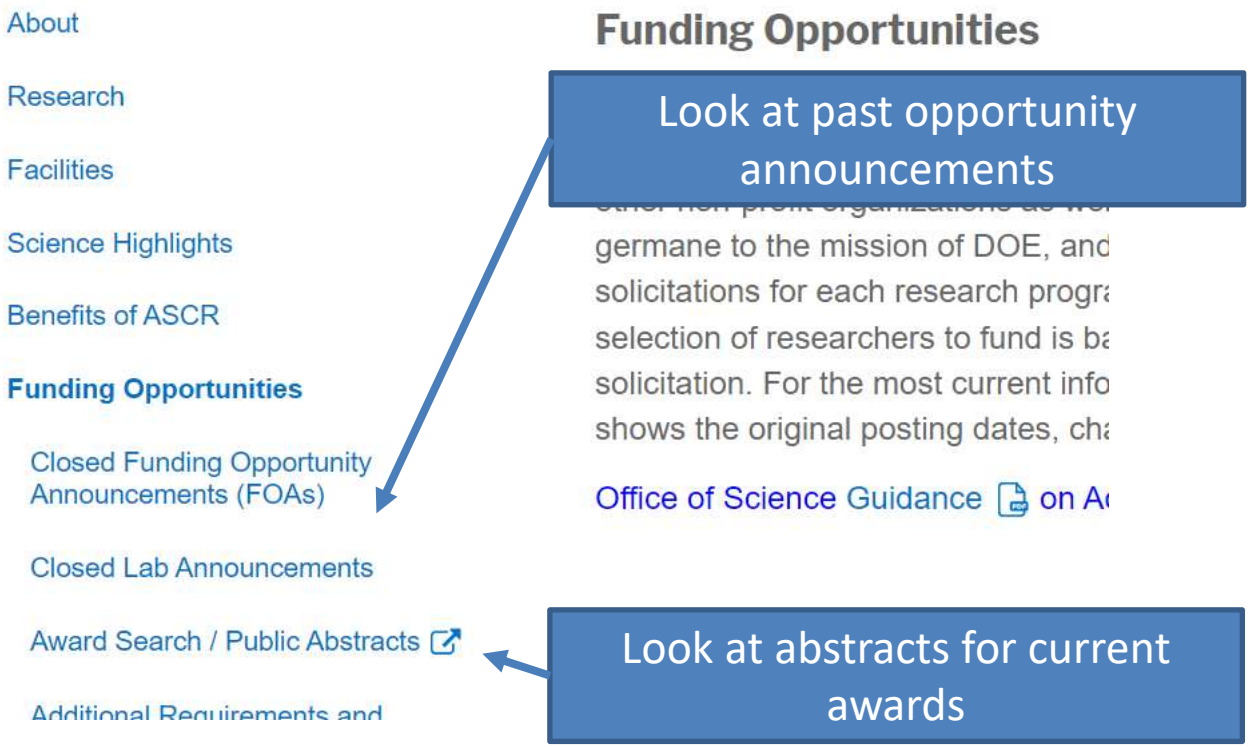
This link is near the bottom of https://science.osti.gov/ascr



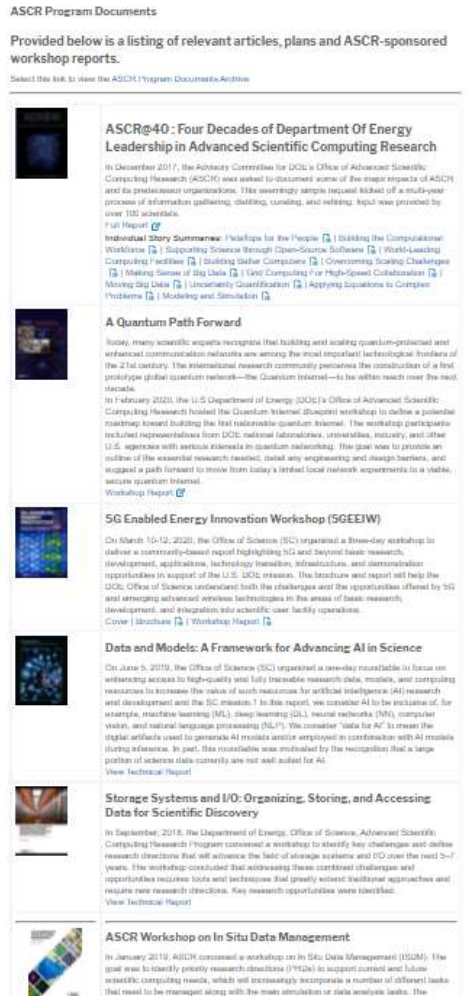
Additional Information on ASCR's Website

https://science.osti.gov/ascr/Funding-Opportunities

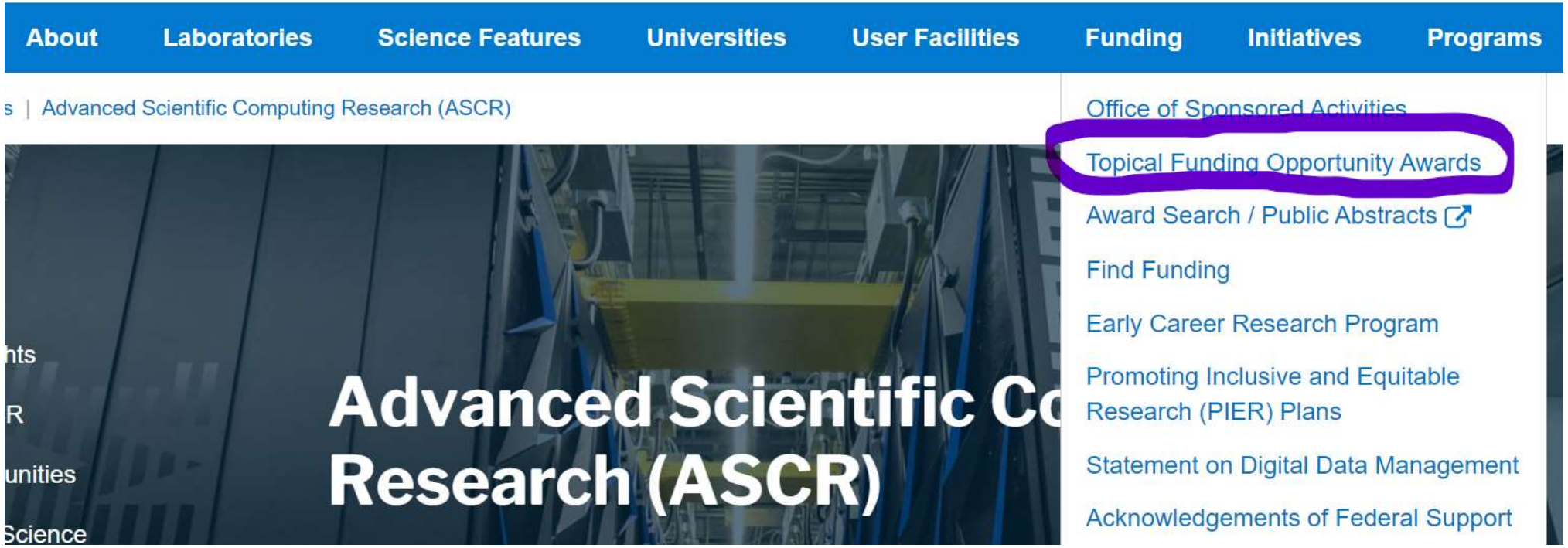
https://science.osti.gov/ascr/Community-Resources/Program-Documents



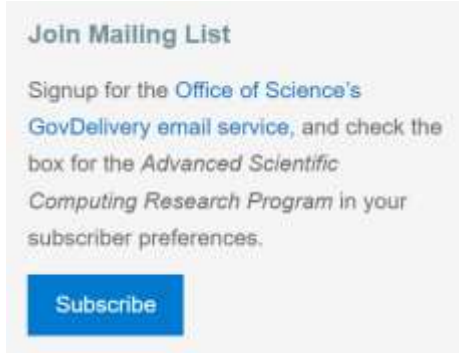
Look at recent reports from ASCR-sponsored workshops. These discuss priority research directions, as identified by the research community, along with relevant background information, in various areas.



Award Lists – A New Website Location



Award lists are now posted to https://science.osti.gov/Funding-Opportunities/Award along with other awards from the Office of Science. To receive award and solicitation announcements, and other ASCR-related news, signup for the Office of Science's GovDelivery email service, and check the box for the Advanced Scientific Computing Research Program in your subscriber preferences:



Anticipated Solicitations in FY 2024

- Compared to FY 2023, expect a smaller number of larger, more-broadly-scoped solicitations driving innovation across ASCR's research community.
- In appropriate areas, ASCR will expand its strategy of solicitating longer-term projects and, in most areas, encouraging partnerships between DOE National Laboratories, academic institutions, and industry.
- ASCR will continue to seek opportunities to expand the set of institutions represented in our portfolio and encourages our entire community to assist in this process by actively exploring potential collaborations with a diverse set of potential partners.
- Areas of interest include, but are not limited to:
  - Applied mathematics and computer science targeting quantum computing across the full software stack.
  - Applied mathematics and computer science focused on key topics in AI for Science, including scientific foundation models, decision support for complex systems, privacy-preserving federated AI systems, AI for digital twins, and AI for scientific programming.
  - Microelectronics co-design combining innovation in materials, devices, systems, architectures, algorithms, and software (including through Microelectronics Research Centers).
  - Correctness for scientific computing, data reduction, new visualization and collaboration paradigms, parallel discrete-event simulation, neuromorphic computing, and advanced wireless for science.
  - Continued evolution of the scientific software ecosystem enabling community participation in exascale innovation, adoption of AI techniques, and accelerated research productivity.

Overview of AI Executive Order : Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence

Issued October 30th, 2023 with directives to over 20 federal agencies, and 30-365 day deadlines.

DOE has a critical role to play in the highlighted sections:

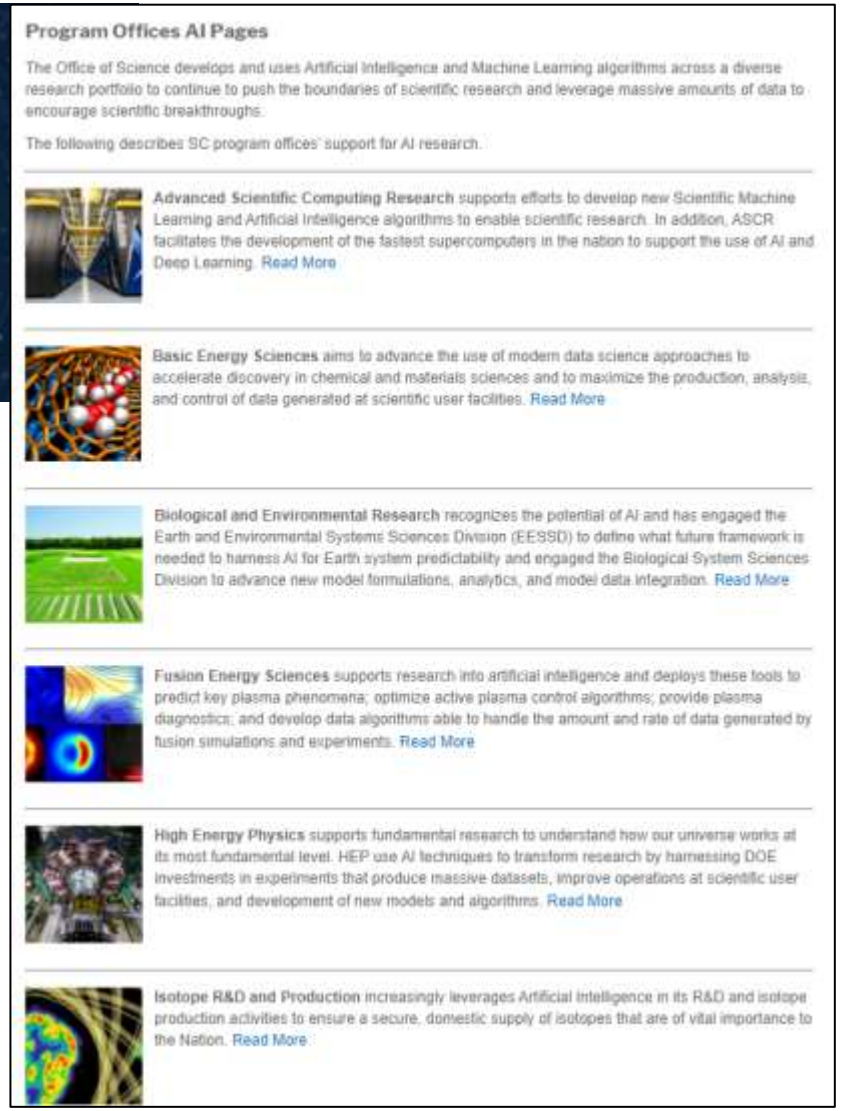
- **Guidelines, standards, best practices for AI safety and security**
- **Promoting innovation and competition**
- Supporting workers
- Advancing Equity and Civil Rights
- Protecting consumers, patients, passengers, students
- **Protecting privacy**
- **Federal Government use of AI**
- **Strengthening Leadership Abroad**

Partnerships – with other agencies, industry, academia, international partners– will be key to success.

Where to Learn More: SC AI Initiative

- SC AI Initiative (https://science.osti.gov/Initiatives/AI). $166M in FY23.

- Includes activities across SC, leveraging:
  - Sources of massive and/or complex science and engineering data from sensors, instruments, SC's national user facilities, and large-scale simulations
  - World-class high-performance computing (HPC) infrastructure
  - World-class high-performance network infrastructure
  - Exceptional workforce with large numbers of domain scientists, computer scientists, and mathematicians currently engaged in AI and related fields



Program Offices AI Pages

The Office of Science develops and uses Artificial Intelligence and Machine Learning algorithms across a diverse research portfolio to continue to push the boundaries of scientific research and leverage massive amounts of data to encourage scientific breakthroughs.

The following describes SC program offices' support for AI research.

Advanced Scientific Computing Research supports efforts to develop new Scientific Machine Learning and Artificial Intelligence algorithms to enable scientific research. In addition, ASCR facilitates the development of the fastest supercomputers in the nation to support the use of AI and Deep Learning. Read More

Basic Energy Sciences aims to advance the use of modern data science approaches to accelerate discovery in chemical and materials sciences and to maximize the production, analysis, and control of data generated at scientific user facilities. Read More

Biological and Environmental Research recognizes the potential of AI and has engaged the Earth and Environmental Systems Sciences Division (EESSD) to define what future framework is needed to harness AI for Earth system predictability and engaged the Biological System Sciences Division to advance new model formulations, analytics, and model data integration. Read More

Fusion Energy Sciences supports research into artificial intelligence and deploys these tools to predict key plasma phenomena; optimize active plasma control algorithms; provide plasma diagnostics; and develop data algorithms able to handle the amount and rate of data generated by fusion simulations and experiments. Read More

High Energy Physics supports fundamental research to understand how our universe works at its most fundamental level. HEP use AI techniques to transform research by harnessing DOE investments in experiments that produce massive datasets, improve operations at scientific user facilities, and development of new models and algorithms. Read More

Isotope R&D and Production increasingly leverages Artificial Intelligence in its R&D and isotope production activities to ensure a secure, domestic supply of isotopes that are of vital importance to the Nation. Read More

Where to Learn More: AI4SES Report

- AI for Science, Energy, and Security Report, released May 2023: https://www.anl.gov/ai-for-science-report

- Created by a confederation of laboratories, informed by a series of workshops held in 2022.

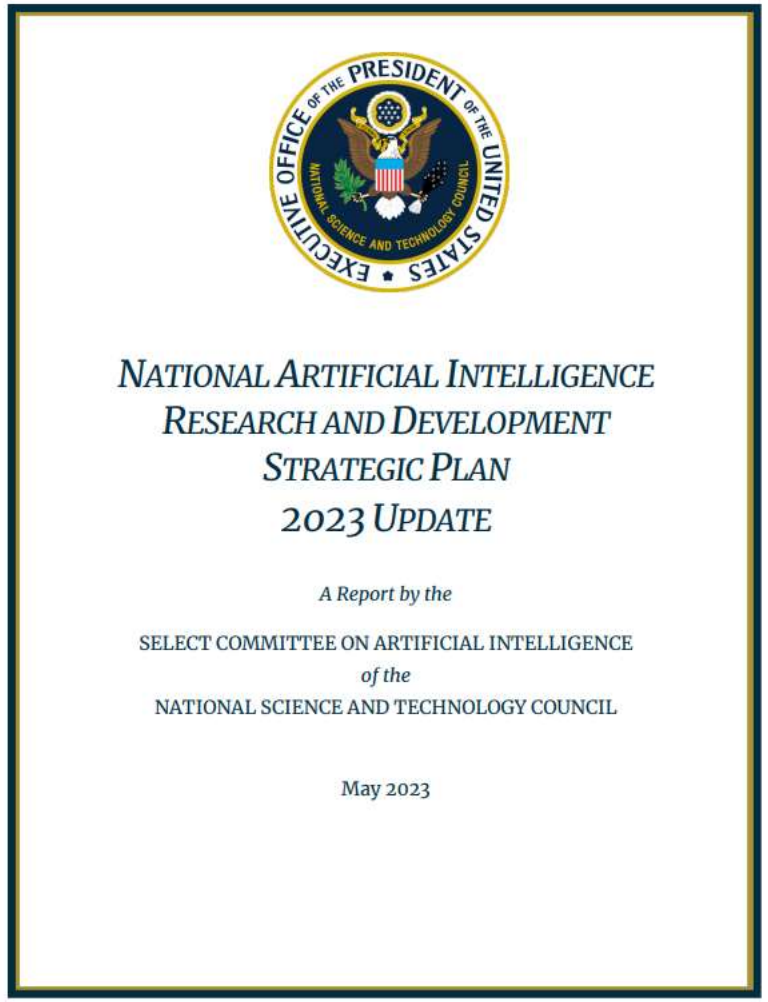
- Covers AI approaches:
  - AI and Surrogate Models for Scientific Computing
  - AI Foundation Models for Scientific Knowledge Discovery, Integration, and Synthesis
  - AI for Advanced Property Inference and Inverse Design
  - AI-Based Design, Prediction, and Control of Complex Engineered Systems
  - AI and Robotics for Autonomous Discovery
  - AI for Programming and Software Engineering
- Also covers crosscuts, including workflows, data, AI hardware, computing infrastructure, and workforce

Where to Learn More: National AI R&D Strategic Plan

- National AI R&D Strategic Plan, latest version from May 2023: https://www.whitehouse.gov/wp-content/uploads/2023/05/National-Artificial-Intelligence-Research-and-Development-Strategic-Plan-2023-Update.pdf

- Developed through The Networking and Information Technology Research and Development (NITRD) Program, AI R&D Interagency Working Group (IWG), under The National Science and Technology Council (NSTC).

- Six Strategies:
  - Make Long-Term Investments in Fundamental and Responsible AI Research
  - Develop Effective Methods for Human-AI Collaboration
  - Understand and Address the Ethical, Legal, and Societal Implications of AI
  - Ensure the Safety and Security of AI Systems
  - Develop Shared Public Datasets and Environments for AI Training and Testing
  - Measure and Evaluate AI Systems through Standards and
  - Benchmarks



Highlights Presented to ASCAC in September 2023

(The focus for highlights at that meeting was trustworthy and efficient AI)

Scalable Transformers on Frontier for Real-Time Experiment Steering

Scientific Achievement

ORNL developed a scalable transformer on OLCF Frontier for real-time decision-making in neutron diffraction experiments at the TOPAZ beamline of SNS. This work:

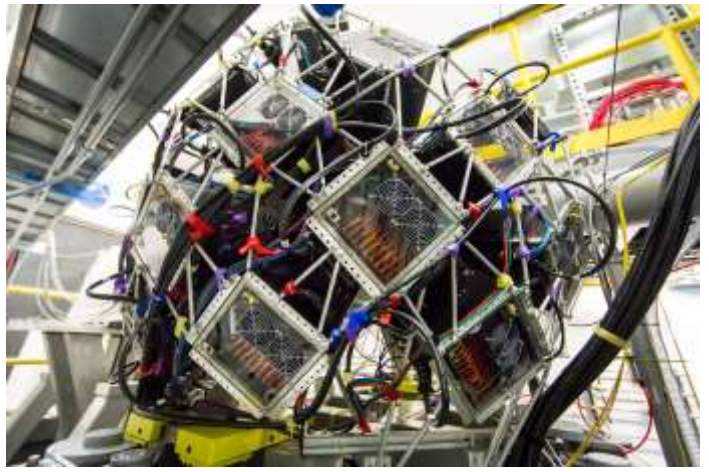
- Develops a stochastic process model for the time-of-flight neutron scattering data and exploits a temporal fusion transformer to **help reduce the experiment time**.
- Demonstrates outstanding scalability of the ML model on Frontier, which is necessary to synchronize neutron diffraction experiments, data analysis, and decision making.

Significance and Impact

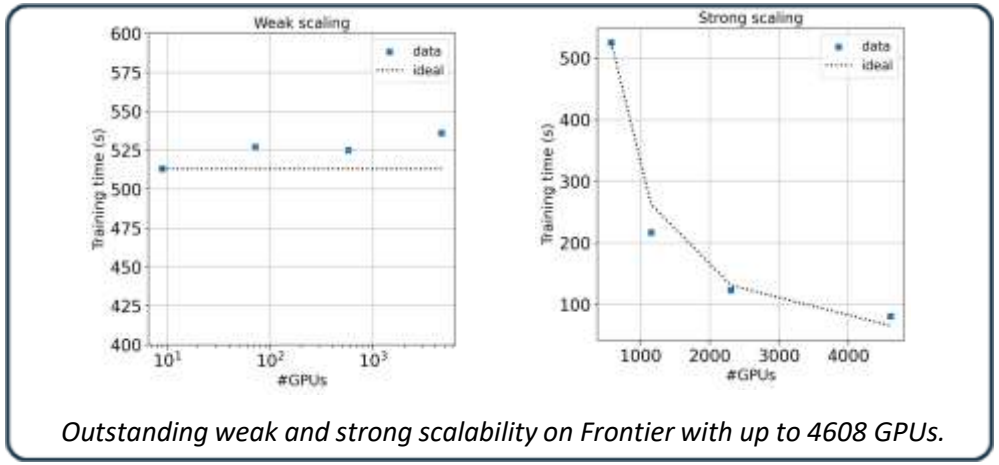
- The ML algorithm could help neutron scientists to **reduce the over-counting beamtime by around 30%** at TOPAZ, while achieving the similar data quality.
- This effort proves the concept of connecting BES's neutron facilities and ASCR's HPC facilities through AI/ML, **forming an integrated research infrastructure**.

Technical Approach

- The developed stochastic process model provides a novel and effective approach to describe the time-of-flight neutron scattering data.
- The hierarchical parallelization approach effectively uses ~60% of Frontier's computing power to keep up with the neutron experiment speed.



A single-crystal diffractometer on the TOPAZ beamline at SNS



Outstanding weak and strong scalability on Frontier with up to 4608 GPUs.

OAK RIDGE National Laboratory

PI : Guannan Zhang (ORNL); ASCR Program: Data-Intensive Scientific Machine Learning and Analysis; ASCR PM: Steve Lee
Publication: J. Yin, S. Liu, V. Reshniak, X. Wang, and G. Zhang, *A scalable transformer model for real-time decision making in neutron scattering experiments*, ***Journal of Machine Learning for Modelling and Computing***, Vol 4 (1), pp. 95-107, 2023

SuperNeuro: An Accelerated Neuromorphic Computing Simulator

Scientific Achievement

ORNL scientists have developed SuperNeuro, the world's fastest simulator for neuromorphic computing. It was designed for speed and scalability, and is capable of running **300 times faster** than its competitors, garnering the team the **2023 R&D 100 Award in the Software/Services Category**.

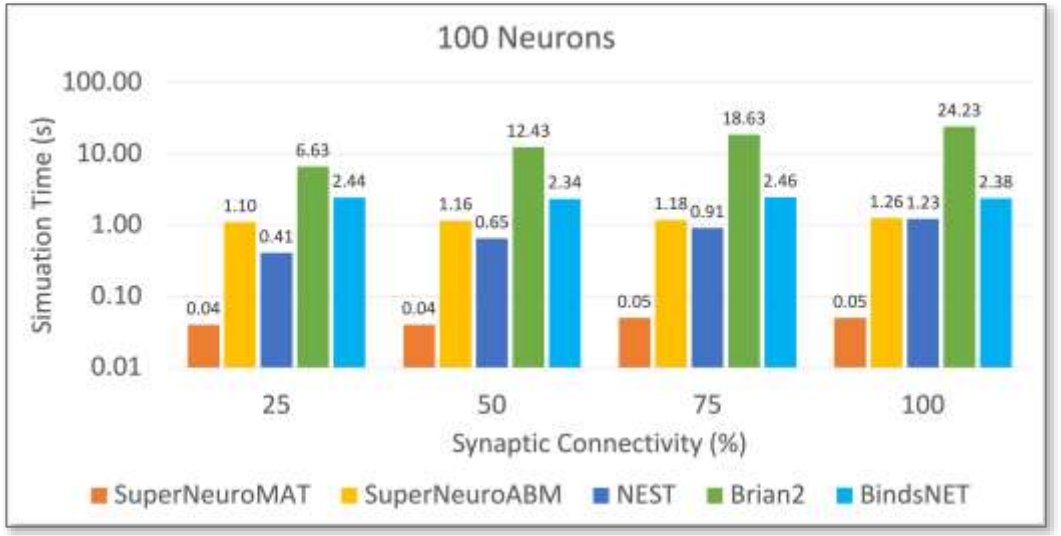
Significance and Impact

Neuromorphic architectures have the potential to increase computing power and efficiency, as well as advance AI applications. SuperNeuro provides an indispensable capability for this effort via the leveraging of GPU computing to provide superior performance for neuroscience, increased adaptability, spiking neural networks (SNNs), and general-purpose computing workloads.

Technical Approach

Two novel approaches used: matrix computation (MAT) and agent-based modeling (ABM).

- MAT Mode: Homogeneous simulations, built-in learning, CPU execution
- ABM Mode: Heterogeneous simulations, GPU acceleration



Simulating 100 neurons on 5 neuromorphic simulators with 4 different synaptic connectivites. SuperNeuroMAT performs 300 times faster than other neuromorphic simulators.

https://github.com/ORNL/superneuromat

OAK RIDGE National Laboratory

PI(s): Prasanna Date, Chathika Gunaratne, Shruti Kulkarni, Robert Patton, Mark Coletti, and Thomas Potok
Collaborating Institutions: Oak Ridge National Laboratory

ASCR Program: Neuromorphic Computing for Accelerating Scientific Discovery
ASCR PM: Robinson Pino

Publication(s) for this work: Date, Prasanna, Chathika Gunaratne, Shruti R. Kulkarni, Robert Patton, Mark Coletti, and Thomas Potok. "SuperNeuro: A Fast and Scalable Simulator for Neuromorphic Computing." In Proceedings of the 2023 International Conference on Neuromorphic Systems, pp. 1-4. 2023.

2023 R&D 100 WINNER

SUPERNEURO
ACCELERATING NEUROMORPHIC COMPUTING

Privacy-Preserving Federated Learning as a Service using APPFL

Scientific Achievement

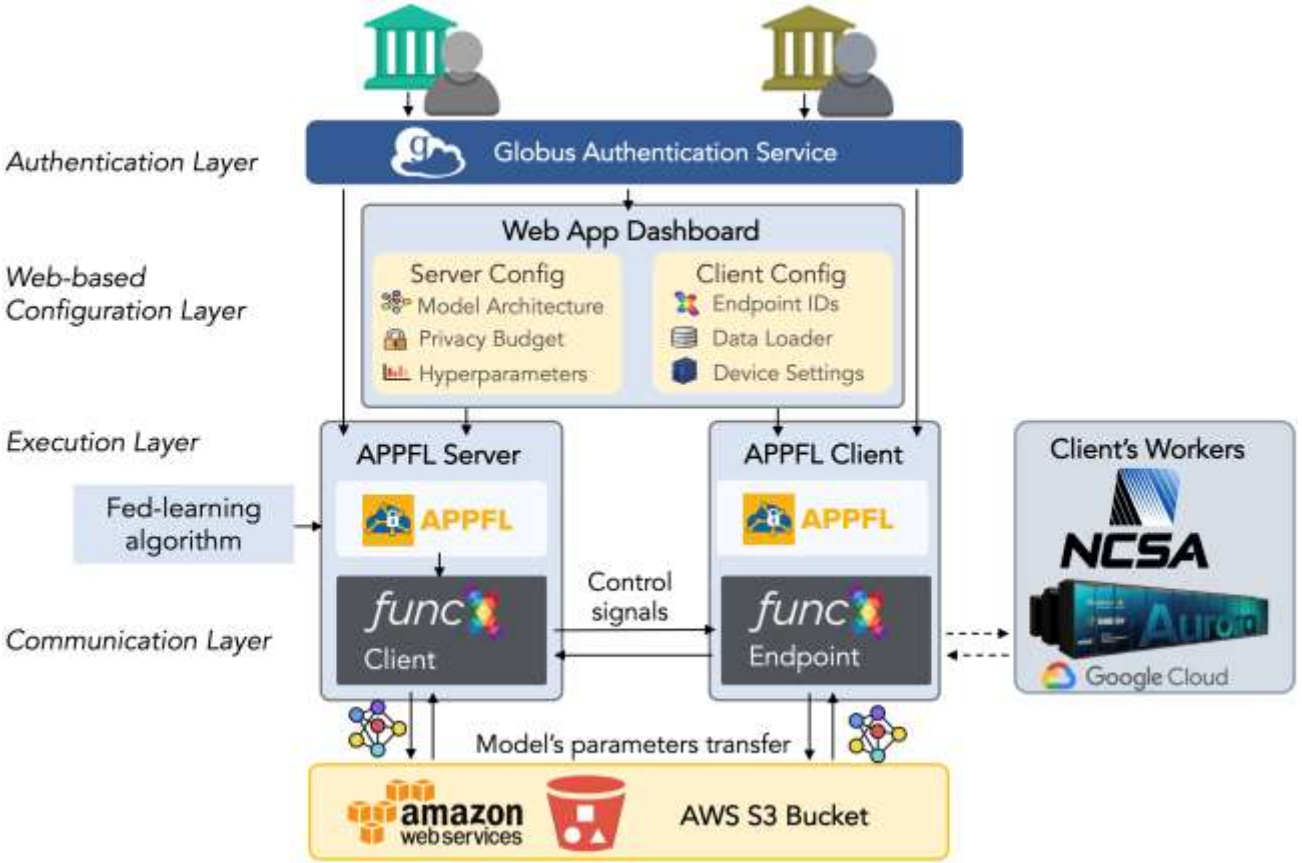
APPFL as a Service (APPFLaaS) enables end-to-end secure and privacy-preserving federated learning. Using APPFL and Globus services, the service provides supervised learning of a model on distributed sensitive datasets while preserving data privacy.

Significance and Impact

APPFLaaS will enable secure collaborations across countries and institutions while addressing the privacy and data shift challenges in many DOE applications (e.g., scientific machine learning, critical infrastructure) leading to fair and trust-worthy AI models

Research Details

- Integration with Globus Auth and Compute enables secure access controls and integration with heterogenous compute resources
- Novel distributed optimization algorithms with differential privacy result in better convergence and learning performance
- In collaboration with medical institutions, APPFL is used to train various ML models for disease prognosis, diagnosis and treatment planning
- APPFL used for federated control of power system operations maintaining data privacy against an adversary
- APPFLaaS provides comprehensive report for each federation learning experiment including training logs, hyperparameters, validation results, training metrics and Tensorboard visualization



PI(s): Ravi Madduri and Kibaek Kim; Argonne National Laboratory
ASCR Program: Bridge2AI And Privacy-Preserving Artificial Intelligence Research
ASCR PM: Steven Lee
Publication(s) for this work: Ryu, Kim, Kim, Madduri. “APPFL: Open-Source Software Framework for Privacy-Preserving Federated Learning” 2022 IEEE IPDPS Workshop

https://github.com/APPFL/APPFL

Argonne NATIONAL LABORATORY

Correctness of Autodiff on Machine-Representable Inputs

Scientific Achievement

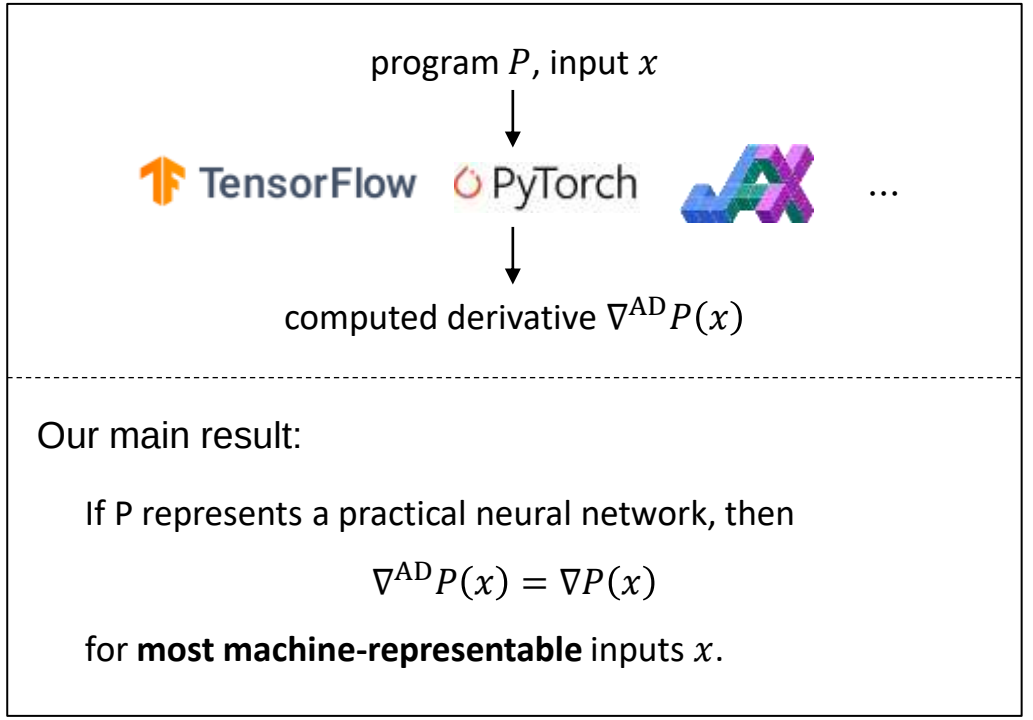
Automatic Differentiation (AD), "Autodiff", is a family of algorithms for computing derivatives of programs. Recent work has shown that AD over **real-valued** inputs is almost always correct in a mathematically precise sense. However, programs work with **machine-representable** floating-point numbers, not reals. We show that AD is almost always correct for neural networks with floating point arguments, and that AD is correct more often if network layers use bias parameters.

Significance and Impact

Our work provides the first theoretical understanding of when AD can be incorrect on machine-representable inputs. Our results also show that not all neural networks are the same with respect to AD and that certain network designs will give more reliable results from AD than others.

Technical Approach

- We study the set of machine-representable inputs where AD can be incorrect.
- We measure the density of this set over all machine-representable inputs.
- We derive simple conditions that decide whether a given input is in the set or not.



A main result of our work: AD is correct for most machine-representable inputs, when applied to programs denoting practical neural networks.

PI(s): Alex Aiken, SLAC
Collaborating Institutions: Stanford University
ASCR Program: EXPRESS, Differentiable Programming
ASCR PM: Hal Finkel
Publication(s) for this work: Lee, et al., "On the correctness of automatic differentiation for neural networks with machine-representable parameters," *International Conference on Machine Learning (ICML)*, 2023.

SyReNN: A Tool for Analyzing Deep Neural Networks

The Science

Deep Neural Networks (DNNs) are used in safety-critical applications such as image recognition and autonomous vehicle controllers. However, DNNs have been shown to be vulnerable to attacks and buggy behavior.

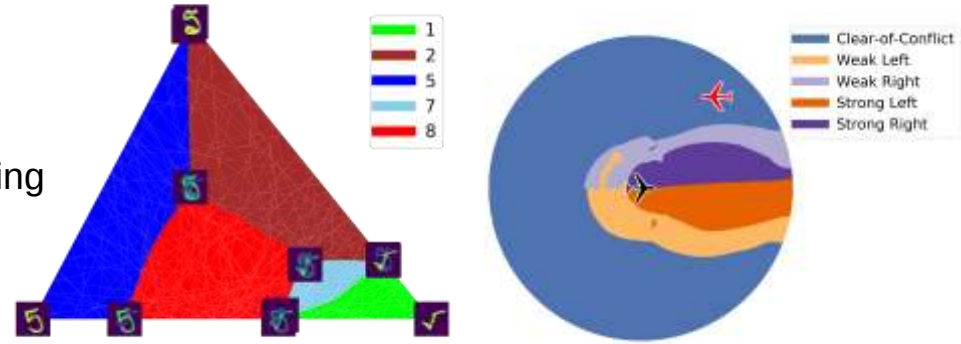
This paper introduces **SyReNN**, a tool for understanding and analyzing a DNN by computing its *symbolic representation*, which decomposes the DNN into linear functions.

The key insight to obtain scalability and precision is to restrict the analysis to *low-dimensional subsets* of the input space and design a novel algorithm that exploits *GPU parallelism*. (GPU: Graphics Processing Unit)

The Impact

SyReNN has been used in a variety of applications:

- Visualizing the *precision decision boundaries* of a DNN, enabling a human to understand its behavior. Prior to SyReNN one could only approximate decision boundaries via sampling.
- Enable *provable repair* of DNNs to precisely correct its behavior on an infinite set of points, which was not possible prior to SyReNN.
- Precise computation of Integrated Gradients (IG), a state-of-the-art measure to understand DNNs by determining which input dimensions (e.g., pixels in an image) were the most important in the final classification produced by the network. Prior to SyReNN, only imprecise approximations of IG were possible. This improvement is been incorporated by Google, the original inventors of IG.



Precise visualization of decision boundaries computed using **SyReNN** for the *(a) MNIST digit recognition network* and *(b) ACAS Xu network*. This is not a plot interpolating between finitely-many sampled points, instead **SyReNN** was used to quickly and *precisely* compute the exact decision boundaries.

https://github.com/95616ARG/SyReNN

PI(s) : Aditya V. Thakur (University of California, Davis)

ASCR Program: Early Career Research Program
ASCR PM: Hal Finkel
Publication(s) for this work: Sotoudeh, M., Tao, Z. & Thakur, A.V. SyReNN: A tool for analyzing deep neural networks. *Int J Software Tools Technol Transfer (STTT)* **25**, 145–165 (2023).

Dehallucination of LLMs for High-Level Planning

Scientific Achievement

- Large language models can generate plans for solving high-level planning problems, such as the operation of robots in DOE national laboratories.
- While the plans may appear to be of high quality, it is not uncommon for the produced plans to contain actions that cannot be executed in reality.
- We have developed a framework that mitigates hallucinations (generated errors) in LLM generated plans.

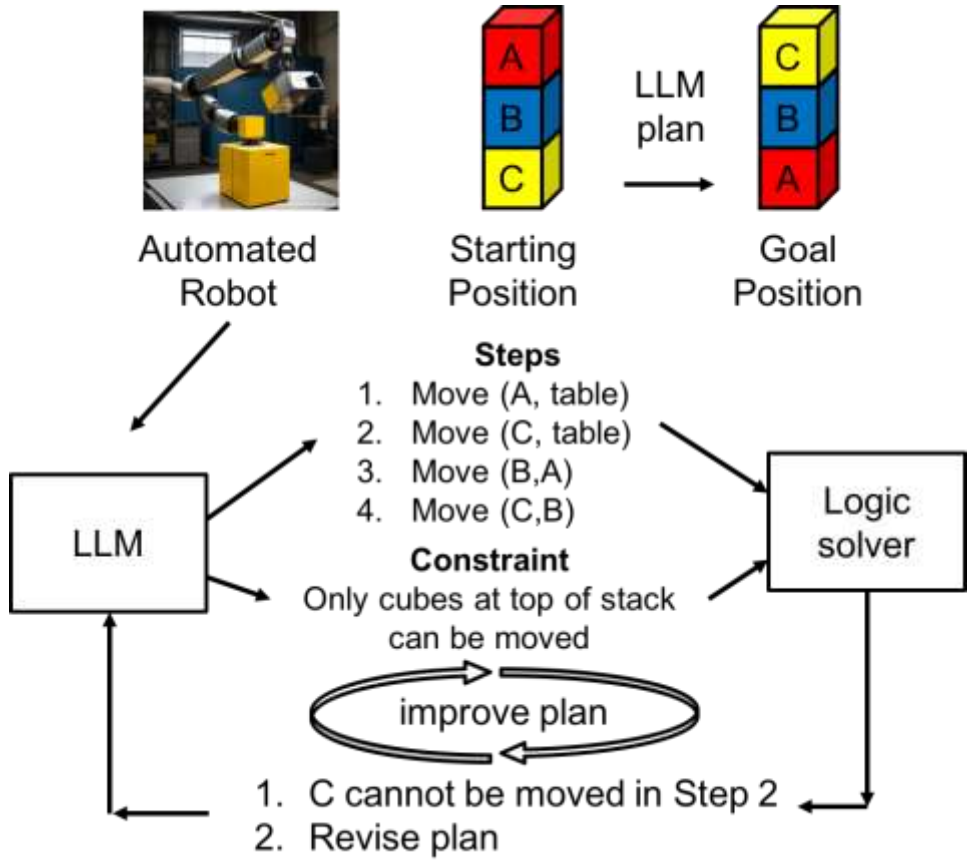
Significance and Impact

The project provides a solution to specifying scientific problems in natural languages (or text) while solving them using neuro symbolic methods. This is a step towards lowering technical barriers for future engineers and scientists.

Technical Approach

- The code generation capabilities of the LLM is used to specify logical constraints that every generated plan must satisfy.
- A solver is used to automatically check the adherence to the constraints and provide feedback to the AI model regarding unsatisfied constraints.
- The feedback allows the LLM to generate a new provably correct plan.

PI(s)/Facility Lead(s): Rickard Ewetz, Sumit Kumar Jha; University of Central Florida
Collaborating Institutions: Florida International University
ASCR Program: EXPRESS, Explainable AI
ASCR PM: Margaret Lentz
Publication(s) for this work: S. Jha, et al., "Counterexample Guided Inductive Synthesis Using Large Language Models and Satisfiability Solving," MILCOM, November, (2023). (to appear).



The LLM generates a high-level plan for moving the starting position to the goal position. The LLM also generates mathematical constrains describing how cubes are allowed to be moved. The plan and the constraints are fed into a logic solver, which determines that a constraint is violated in step 2. The C cube is attempted to be moved while cube B is on top. The solver provides feedback to the LLM why the plan is infeasible such that a new legal plan can be generated.