

# Module 2: Introduction to UML

- ❑ Background
- ❑ What is UML for?
- ❑ Building blocks of UML
- ❑ Appendix: Process for Using UML

# UML History

- OO languages appear mid 70's to late 80's (cf. Budd: communication and complexity)
- Between '89 and '94, OO methods increased from 10 to 50.
- Unification of ideas began in mid 90's.
  - Rumbaugh joins Booch at Rational '94
  - v0.8 draft Unified Method '95
    - Jacobson joins Rational '95
  - UML v0.9 in June '96

} pre-UML

  - UML 1.0 offered to OMG in January '97
  - UML 1.1 offered to OMG in July '97
    - Maintenance through OMG RTF
  - UML 1.2 in June '98
  - UML 1.3 in fall '99
  - UML 1.5 <http://www.omg.org/technology/documents/formal/uml.htm>

} UML 1.x

  - UML 2.0 underway <http://www.uml.org/>

} UML 2.0
- IBM-Rational now has *Three Amigos*
  - Grady Booch - Fusion
  - James Rumbaugh – Object Modeling Technique (OMT)
  - Ivar Jacobson – Object-oriented Software Engineering: A Use Case Approach (Objectory)
  - ( And David Harel - StateChart)
- Rational Rose <http://www-306.ibm.com/software/rational/>

# UML is for Visual Modeling

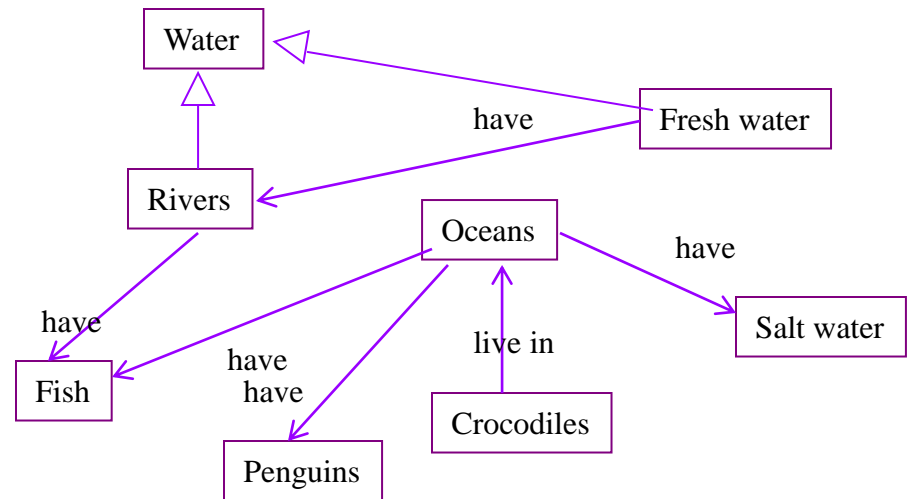
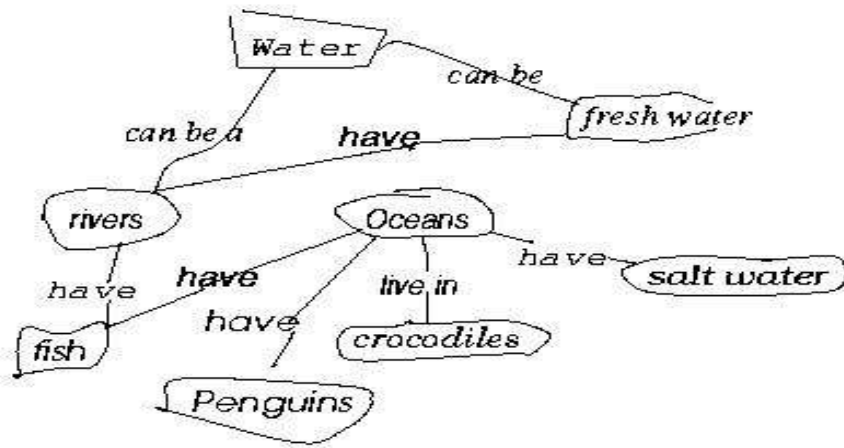
*A picture is worth a thousand words!*

- standard graphical notations: Semi-formal
- for modeling enterprise info. systems, distributed Web-based applications, real time embedded systems, ...



- **Specifying & Documenting:** models that are precise, unambiguous, complete
  - UML symbols are based on well-defined syntax and semantics.
  - analysis, architecture/design, implementation, testing decisions.
- **Construction:** mapping between a UML model and OOPL.

# Three (3) basic *building blocks* of UML (cf. Harry)



- Things - important modeling concepts
  - Relationships - tying individual things
  - Diagrams - grouping interrelated collections of things and relationships
- Just glance thru for now*

# 3 basic building blocks of UML - Things

## ■ UML 1.x

□ **Structural** — nouns/static of UML models (irrespective of time).

□ **Behavioral** — verbs/dynamic parts of UML models.

□ **Grouping** — organizational parts of UML models.

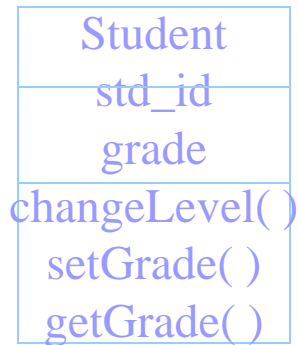
□ **Annotational** — explanatory parts of UML models.

*Main*

# Structural Things in UML- *7 Kinds (Classifiers)*

- ❑ Nouns.
- ❑ Conceptual or physical elements.

## *Class*



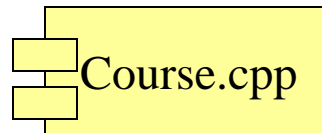
## *Active Class*

(processes/threads)



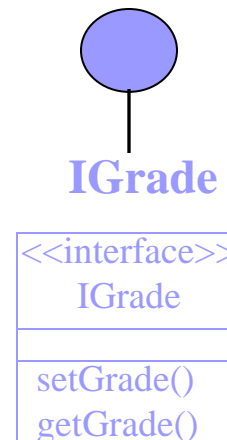
## *Component*

(replaceable part,  
realizes interfaces)



## *Interface*

(collection of externally  
Visible ops)



## *Node*

(computational  
resource at run-time,  
processing power  
w. memory)



## *Use Case*

(a system service  
-sequence of  
Interactions w. actor)



## *Collaboration*

(chain of responsibility  
shared by a web of interacting objects,  
structural and behavioral)

# Behavioral Things in UML

- Verbs.
- Dynamic parts of UML models: “behavior over time”
- Usually connected to structural things.

- Two primary kinds of behavioral things:

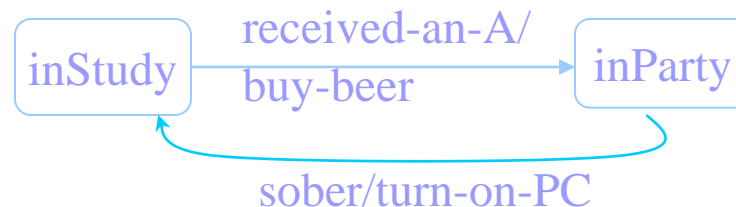
## □ *Interaction*

a set of objects exchanging messages, to accomplish a specific purpose.



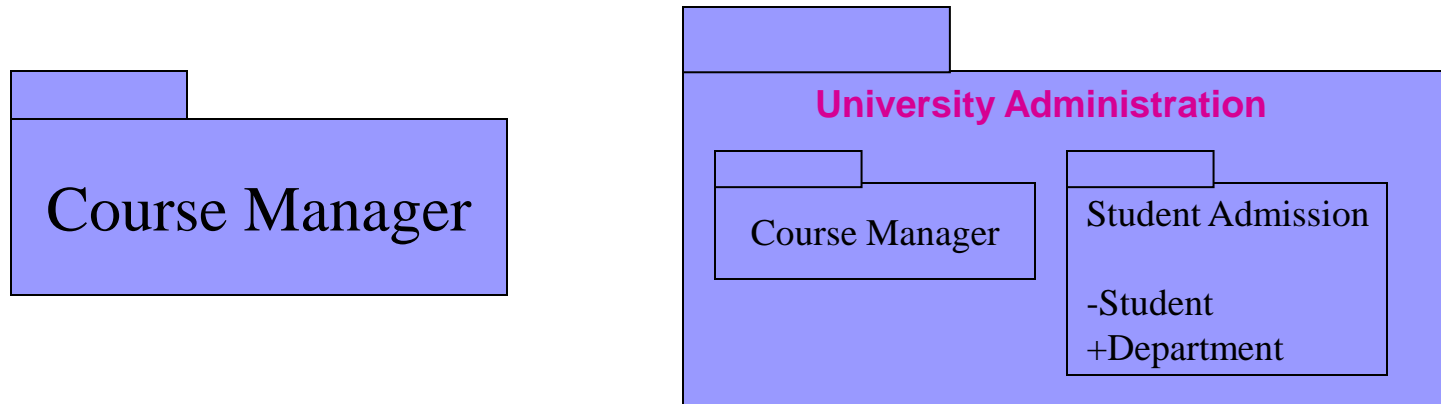
## □ *State Machine*

specifies the sequence of states an object or an interaction goes through during its lifetime in response to events.



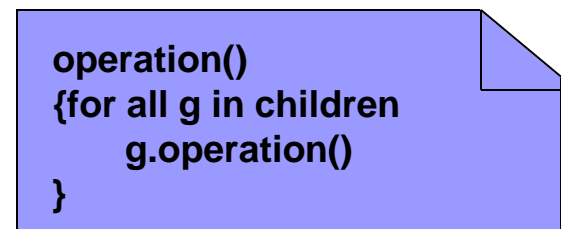
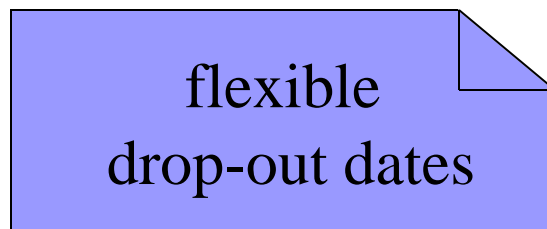
# Grouping Things in UML: *Packages*

- For organizing elements (structural/behavioral) into groups.
- Purely conceptual; only exists at development time.
- Can be nested.
- Variations of packages are: Frameworks, models, & subsystems.



## Annotational Things in UML: *Note*

- Explanatory/Comment parts of UML models - usually called adornments
- Expressed in informal or formal text.



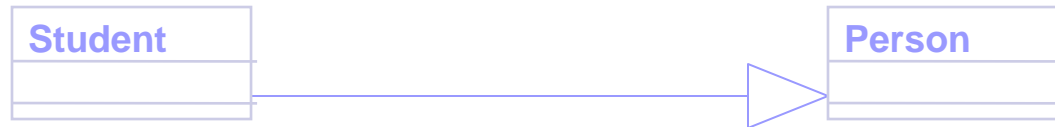


# 3 basic building blocks of UML - Relationships



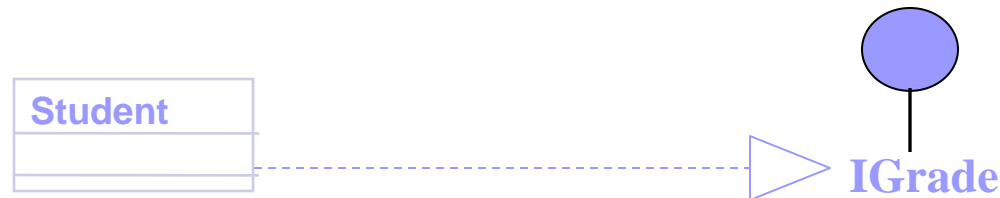
## 1. Associations

*Structural* relationship that describes a set of links, a link being a connection between objects. *variants: aggregation & composition*



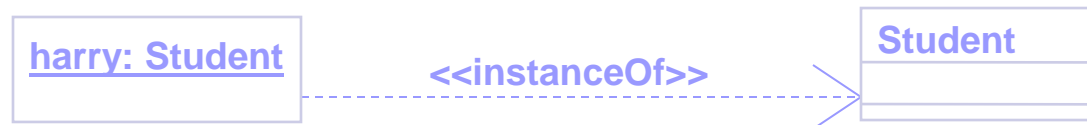
## 2. Generalization

a specialized element (the child) is more specific the generalized element.



## 3. Realization

one element guarantees to carry out what is expected by the other element.  
(e.g, interfaces and classes/components; use cases and collaborations)



## 4. Dependency

a change to one thing (independent) may affect the semantics of the other thing (dependent).  
(direction, label are optional)

# 3 basic building blocks of UML - Diagrams

A connected graph: Vertices are things; Arcs are relationships/behaviors.

## UML 1.x: 9 diagram types.

### Structural Diagrams

Represent the *static* aspects of a system.

- ☐ Class;  
Object
- ☐ Component
- ☐ Deployment

### Behavioral Diagrams

Represent the *dynamic* aspects.

- ☐ Use case
- ☐ Sequence;  
Collaboration
- ☐ Statechart
- ☐ Activity

## UML 2.0: 12 diagram types

### Structural Diagrams

- ☐ Class;  
Object
- ☐ Component
- ☐ Deployment
- ☐ Composite Structure
- ☐ Package

### Behavioral Diagrams

- ☐ Use case
- ☐ Statechart
- ☐ Activity

### Interaction Diagrams

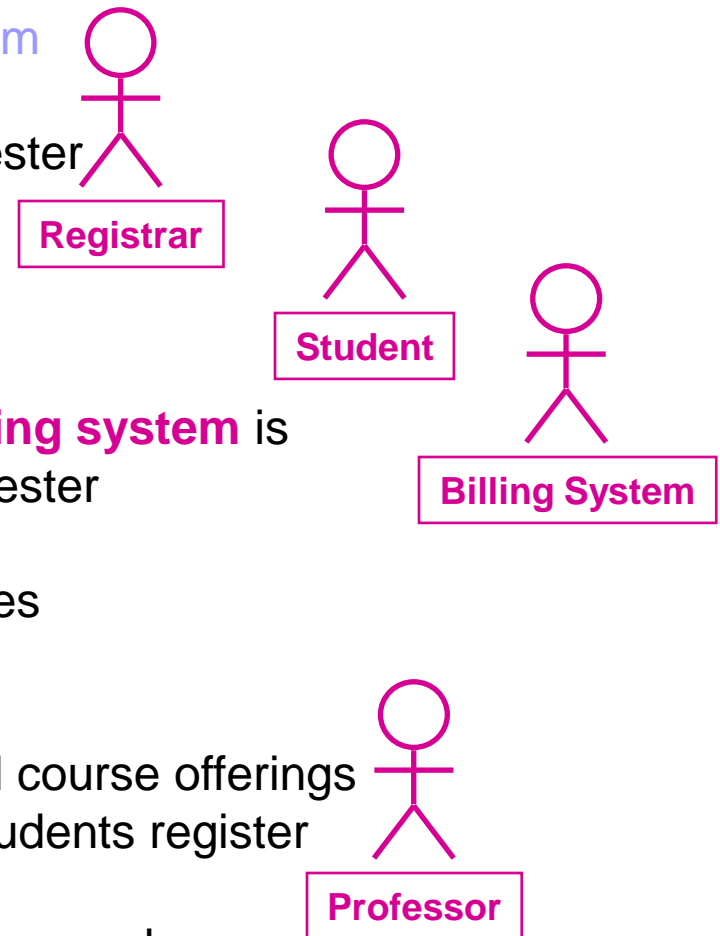
- ☐ Sequence;  
*Communication*
- ☐ Interaction Overview
- ☐ Timing

# Diagrams in UML – Actors in Use Case Diagram

- An **actor** is someone or some thing that must interact with the system under development

The UTD wants to computerize its registration system

- The **Registrar** sets up the curriculum for a semester
- **Students** select 3 core courses and 2 electives
- Once a student registers for a semester, the **billing system** is notified so the student may be billed for the semester
- Students may use the system to add/drop courses for a period of time after registration
- **Professors** use the system to set their preferred course offerings and receive their course offering rosters after students register
- **Users** of the registration system are assigned passwords which are used at logon validation

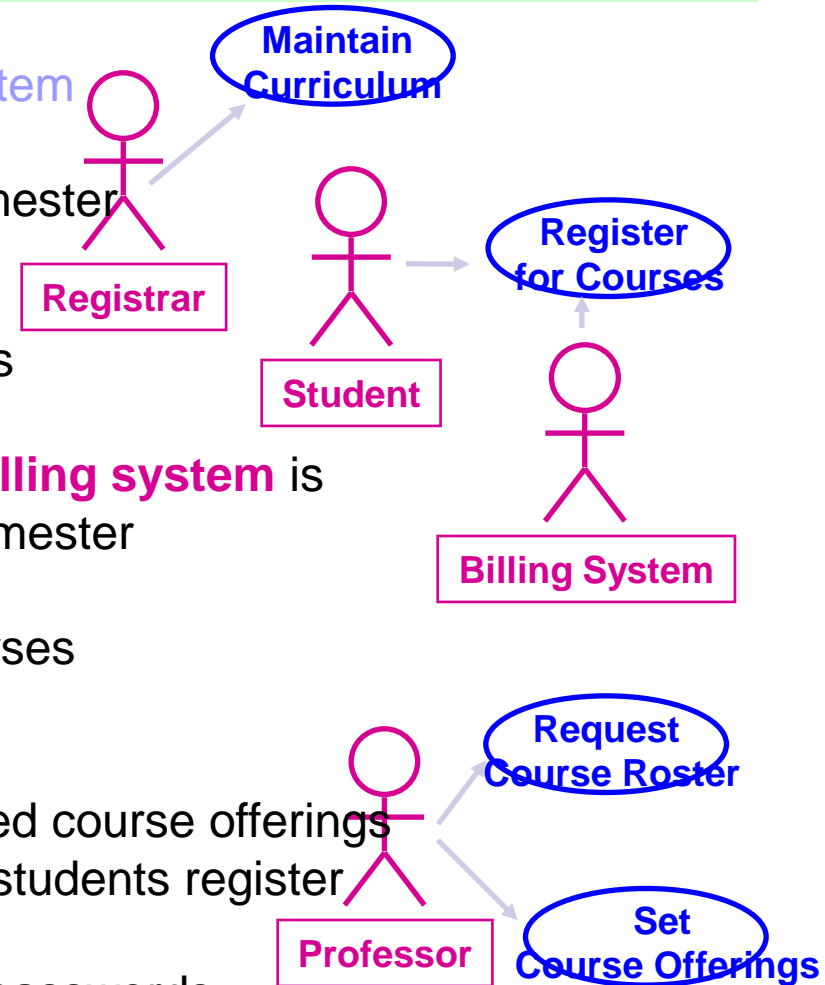


# Diagrams in UML – Use Cases in Use Case Diagram

- A **use case** is a sequence of interactions between an actor and the system

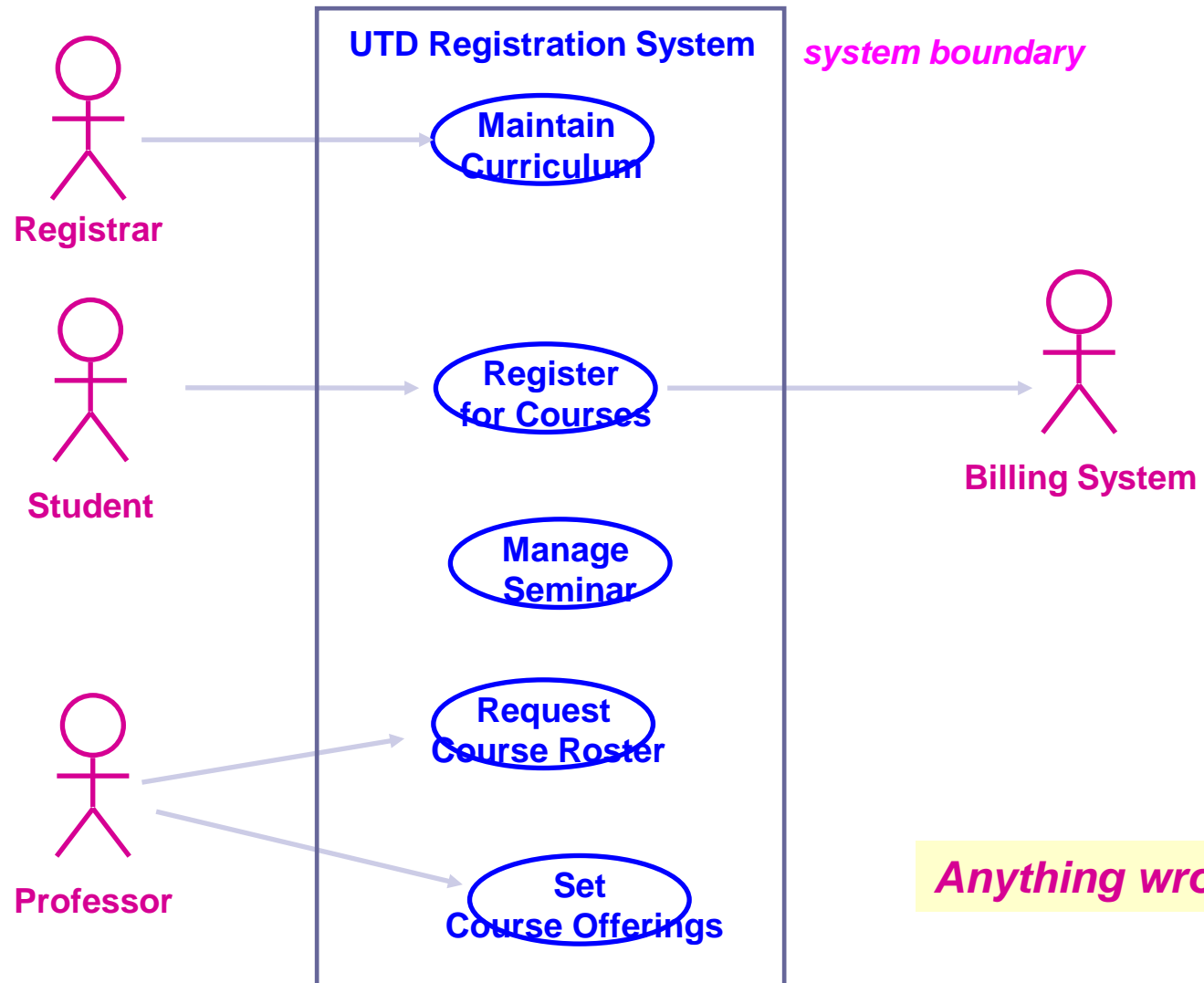
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# Diagrams in UML – Use Case Diagram

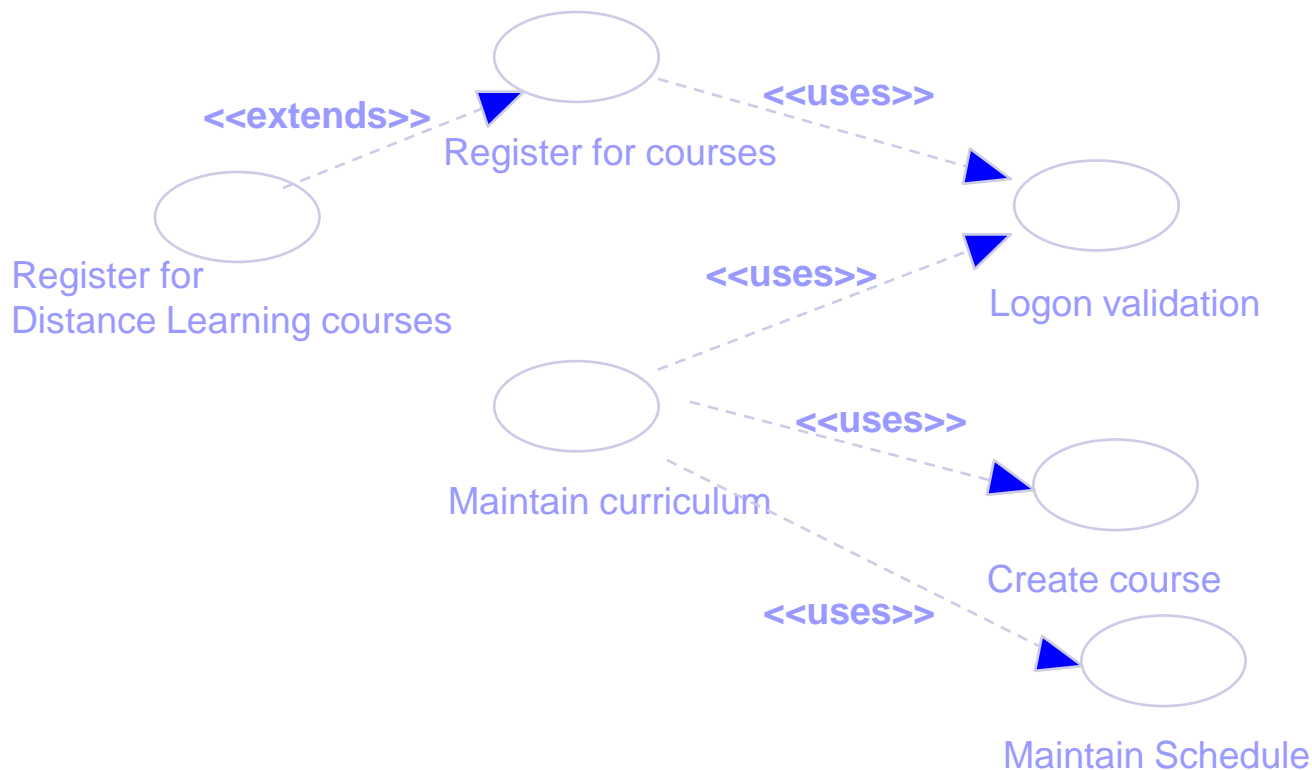
- Use case diagrams depict the relationships between actors and use cases



# Diagrams in UML - Uses and Extends in Use Case Diagram

A **uses** relationship shows behavior common to one or more use cases

An **extends** relationship shows optional/exceptional behavior



# Diagrams in UML – Flow of Events for each use case:

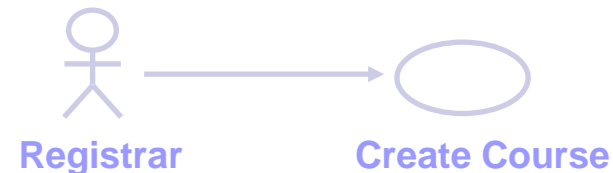
Typical contents:

How the use case starts and ends

Normal flow of events (focus on the normal first!)

Alternate/Exceptional flow of events

## *Flow of Events for Creating a Course*



- This use case begins after the Registrar logs onto the Registration System with a valid password.
- The registrar fills in the course form with the appropriate semester and course related info.
- The Registrar requests the system to process the course form.
- The system creates a new course, and this use case ends

# Diagrams in UML – Interaction Diagrams

*A use case diagram presents an **outside** view of the system.*

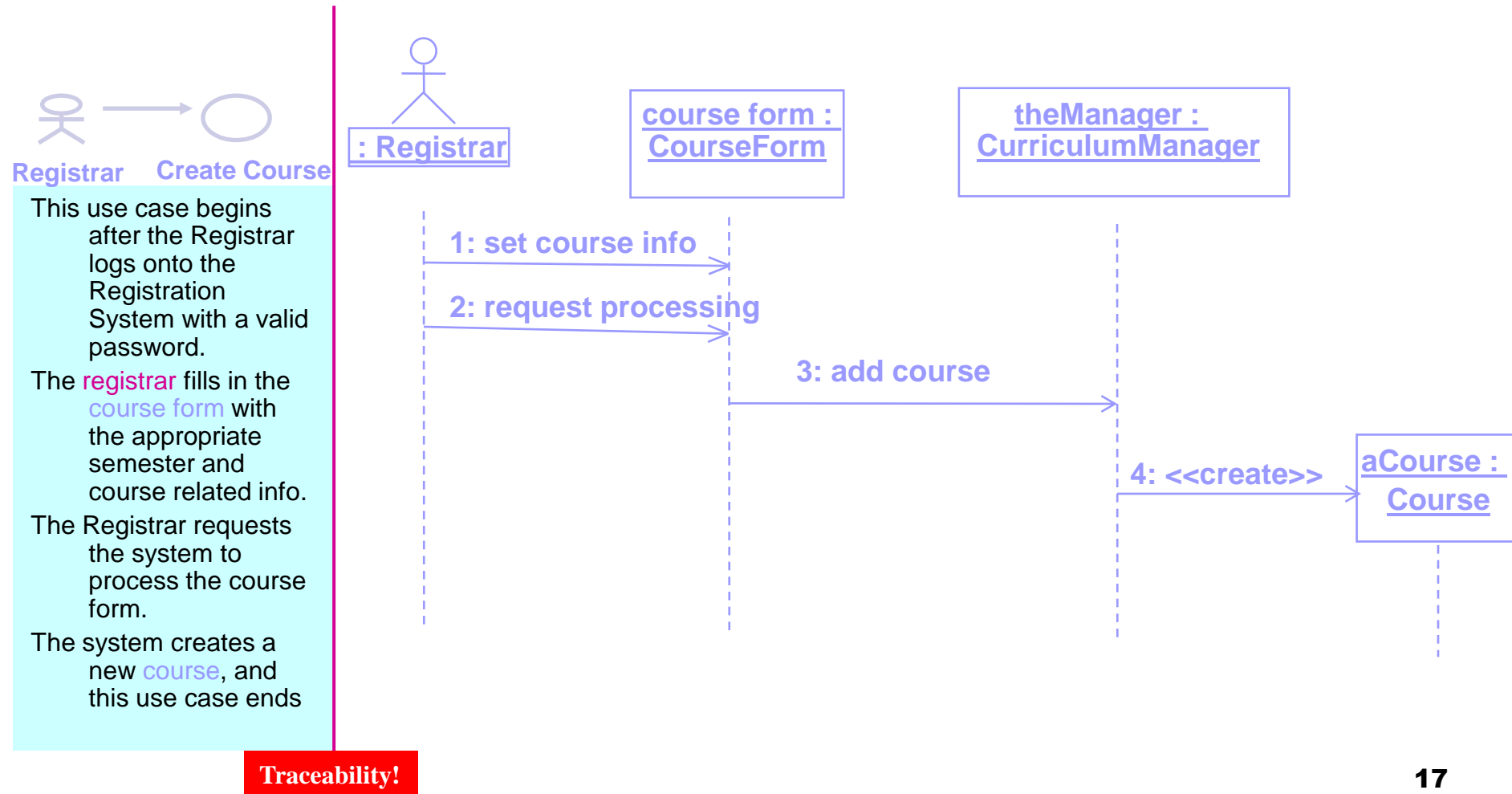
*Then, how about the **inside** view of the system?*

- **Interaction diagrams** describe how use cases are **realized** in terms of interacting objects.
- Two types of interaction diagrams
  - **Sequence diagrams**
  - **Collaboration (*Communication*) diagrams**

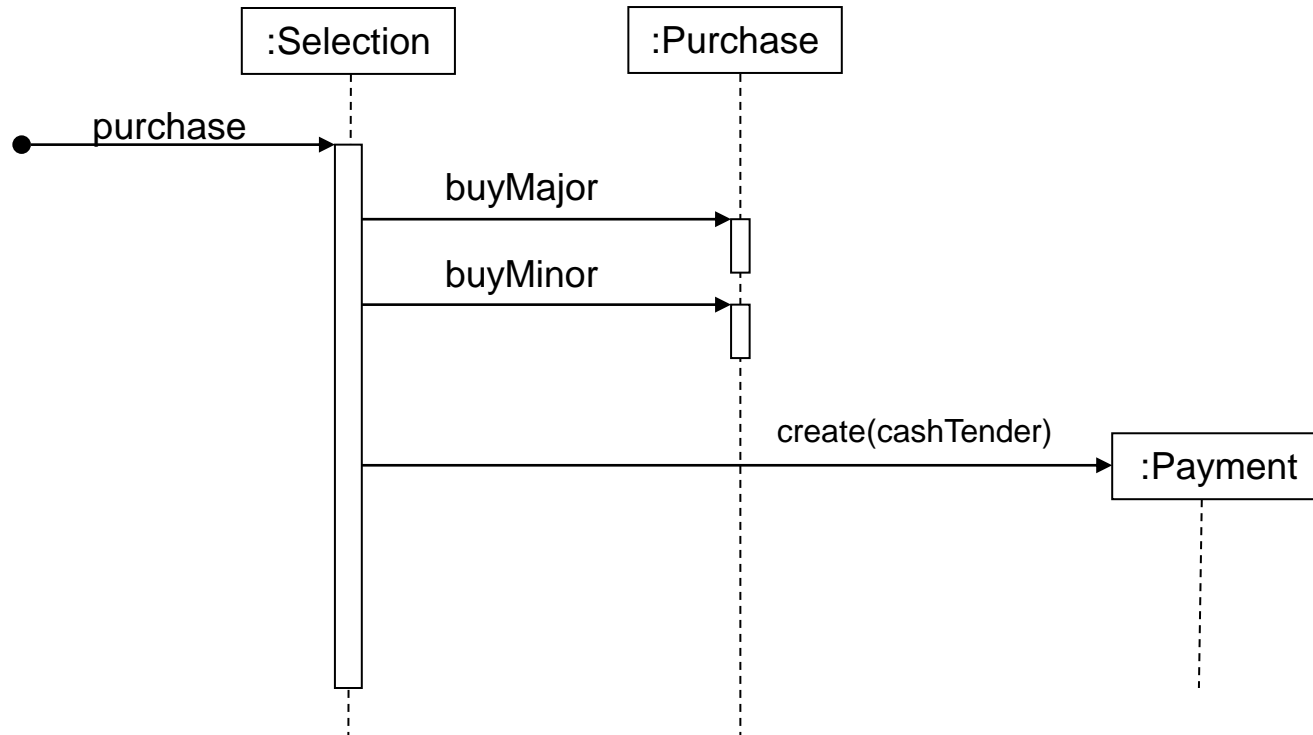


# Diagrams in UML - Sequence Diagram

- A sequence diagram displays object interactions arranged in a **time sequence**



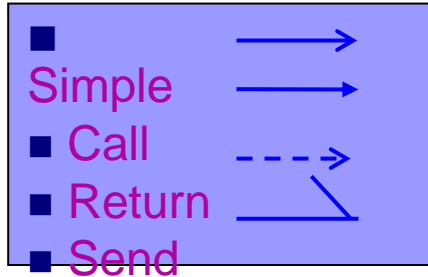
# Sequence Diagrams & Some Programming



**public Class Selection**

```
{ private Purchase myPurchase = new Purchase();
  private Payment myPayment;
  public void purchase()
  { myPurchase.buyMajor();
    myPurchase.buyMinor();
    myPayment = new Payment( cashTender );
    //..
  }
  //..
}
```

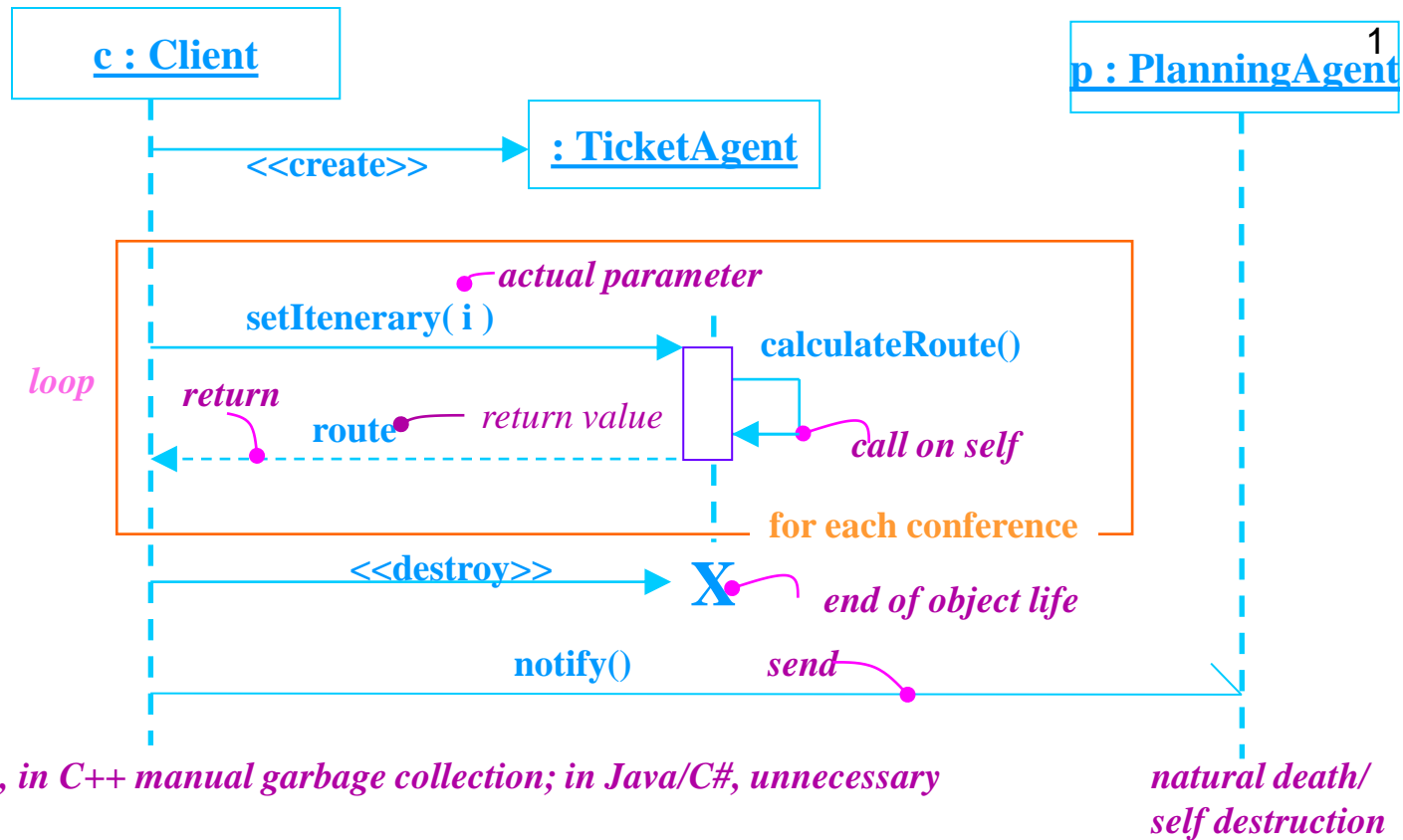
# Interactions - Modeling Actions



*asynchronous in 2.0 (stick arrowhead) – no return value expected at end of callee activation*

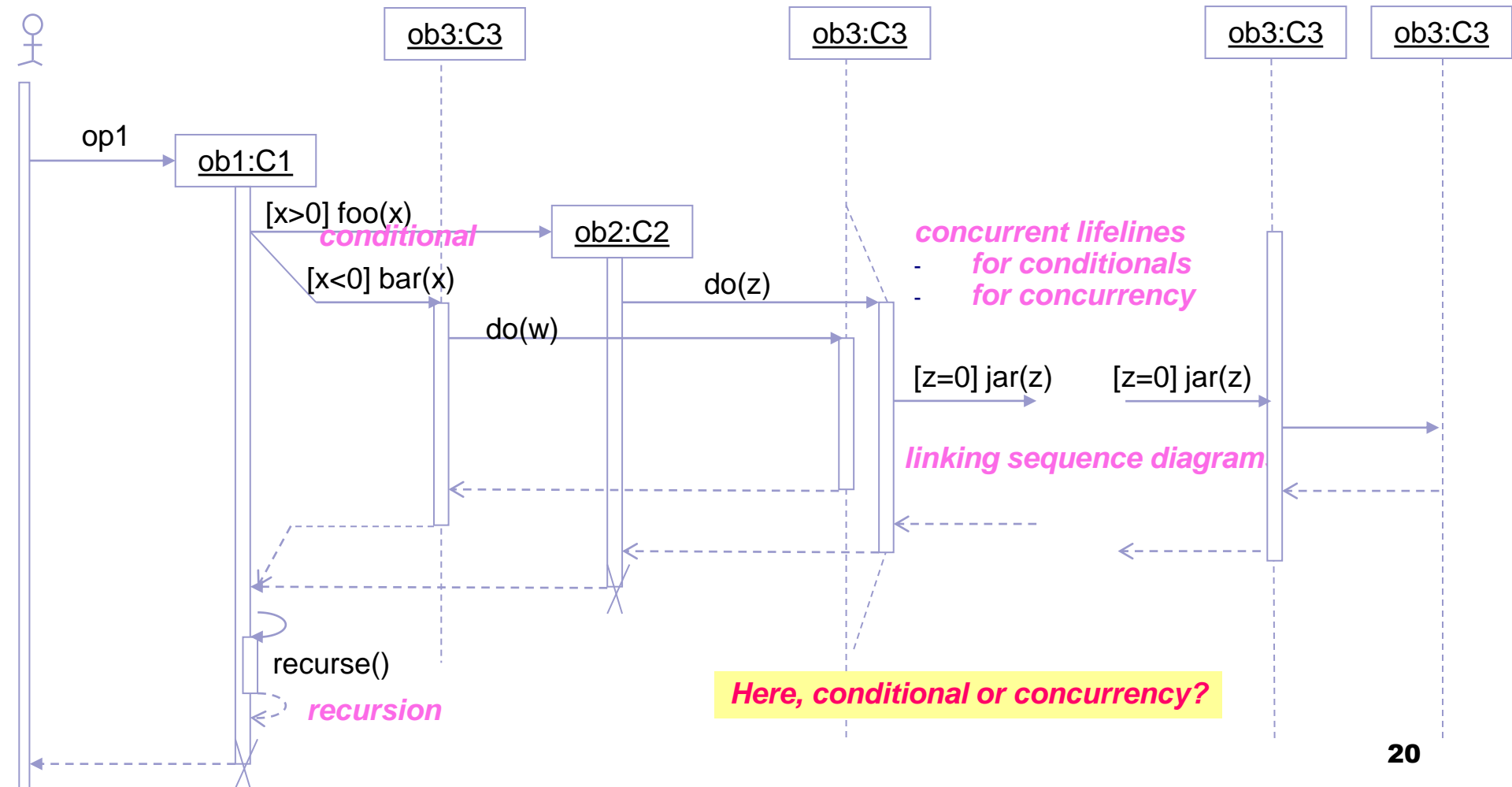
*activation of caller may end before callee's*

*half arrow in 1.x*

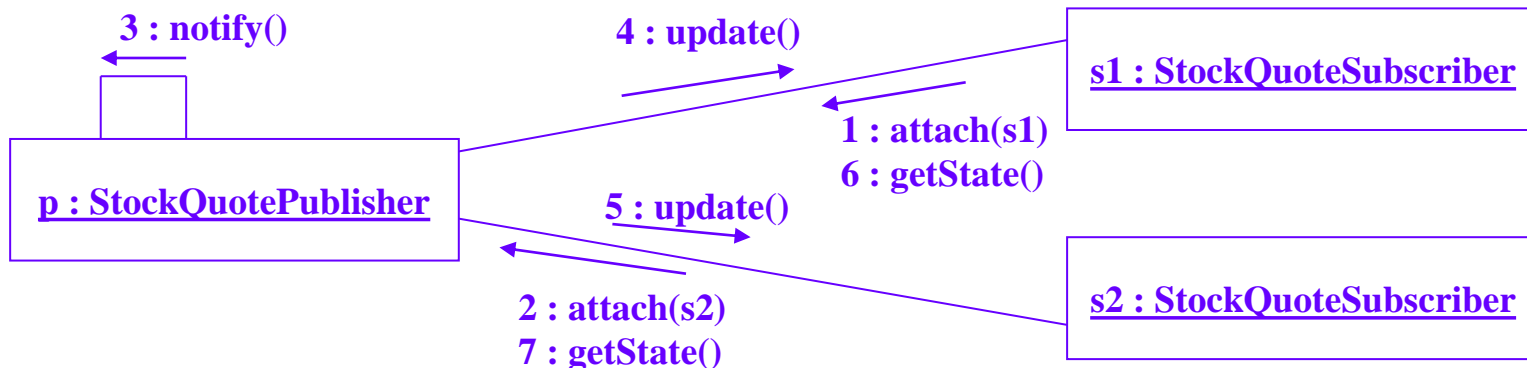
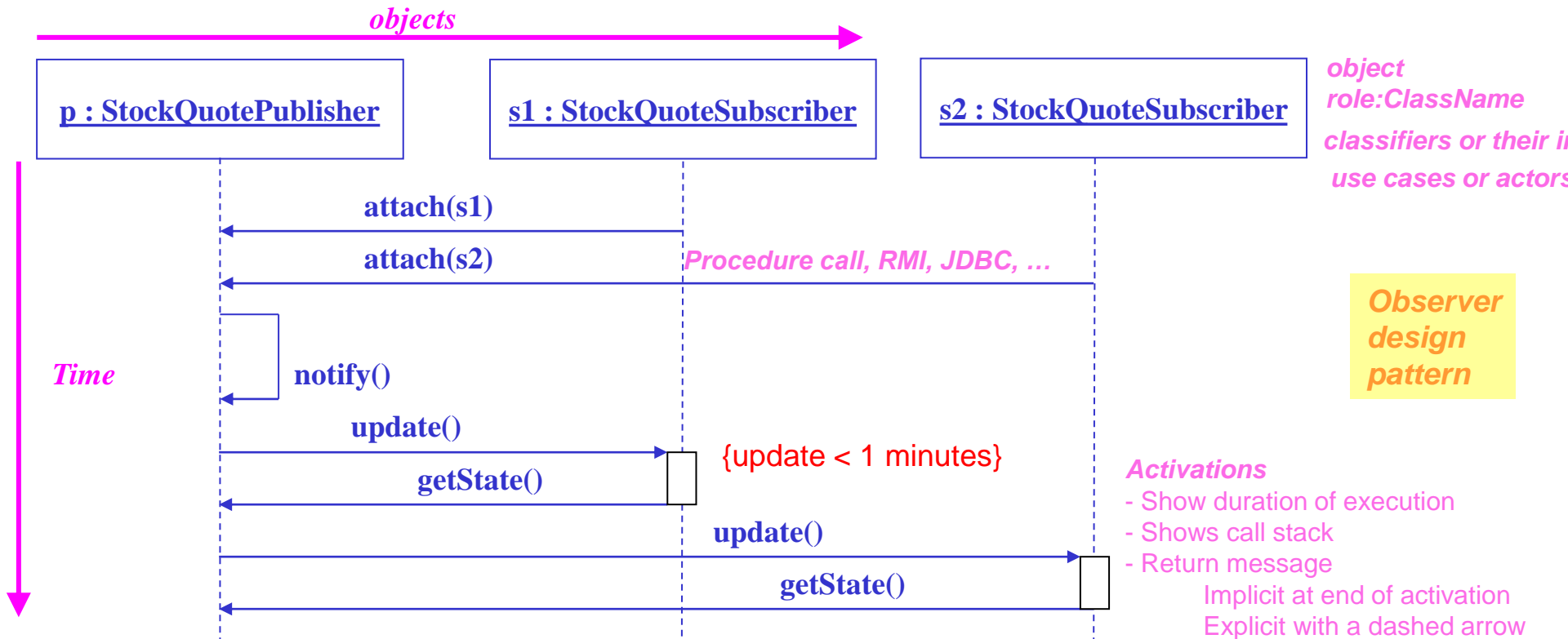


# Sequence Diagrams – Generic vs. Instance

- 2 forms of sd:
  - Instance** sd: describes a specific scenario in detail; no conditions, branches or loops.
  - Generic** sd: a use case description with alternative courses.



# Interaction Diagram: sequence vs communication

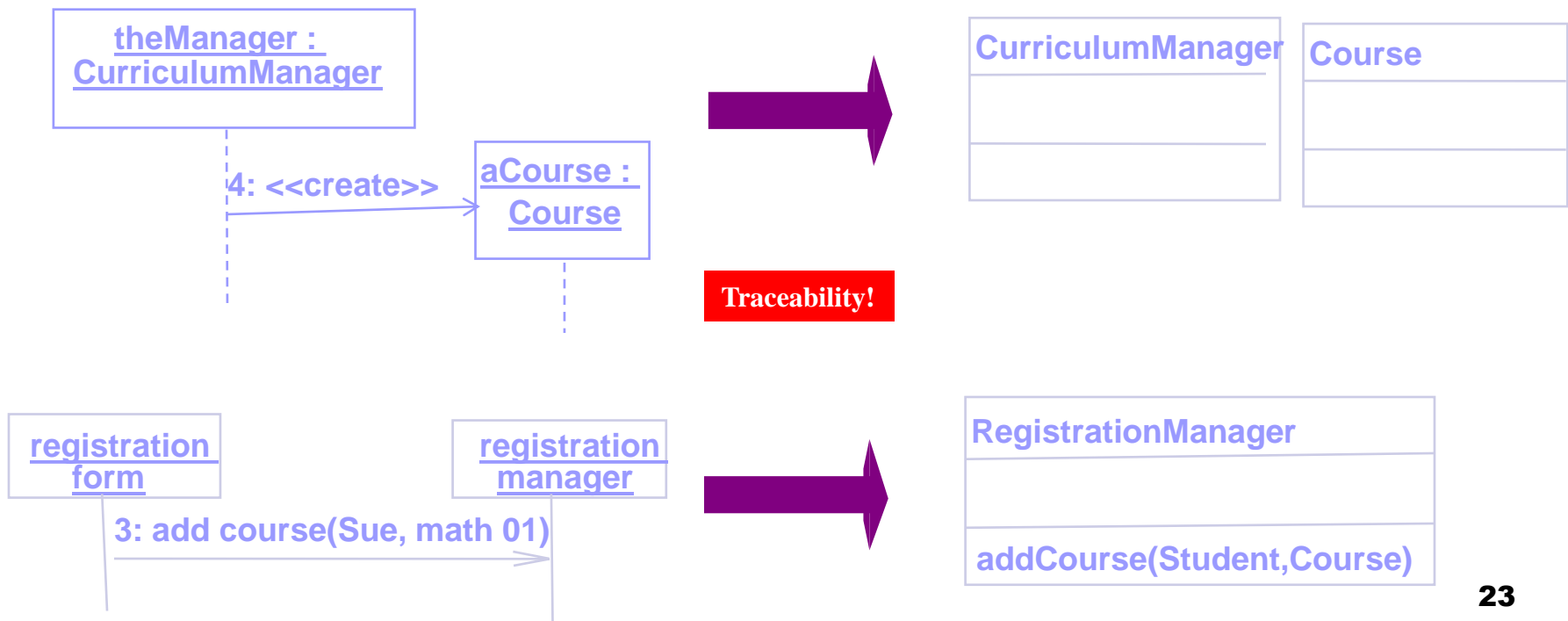




Skip end: resume

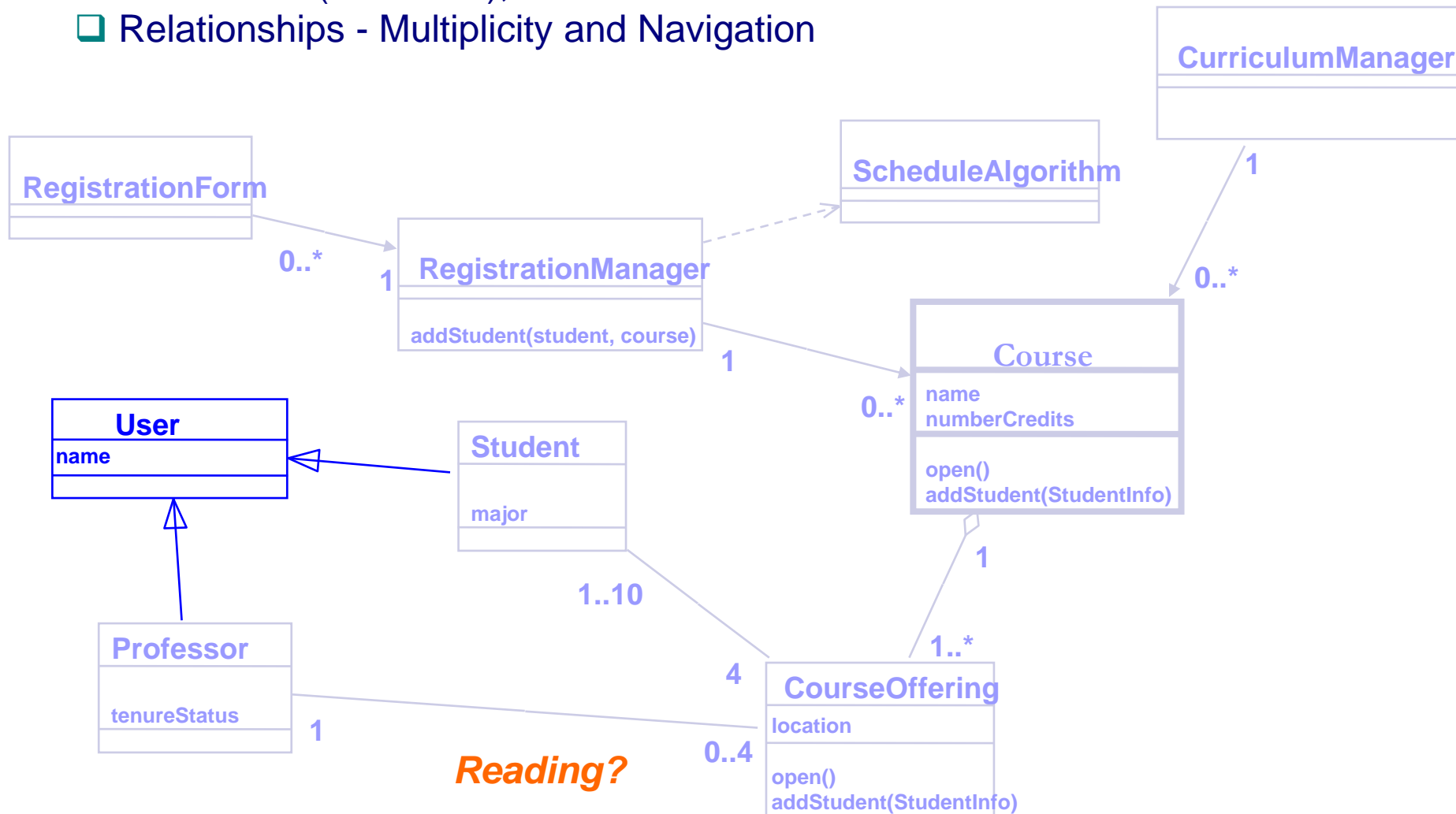
# Diagrams in UML - Class Diagrams

- A class diagram shows the existence of classes and their relationships
- Recall: A **class** is a collection of objects with common structure, common behavior, common relationships and common semantics
- Some classes are shown through the objects in sequence/collaboration diagram



# Diagrams in UML - Class Diagrams: static structure in the system

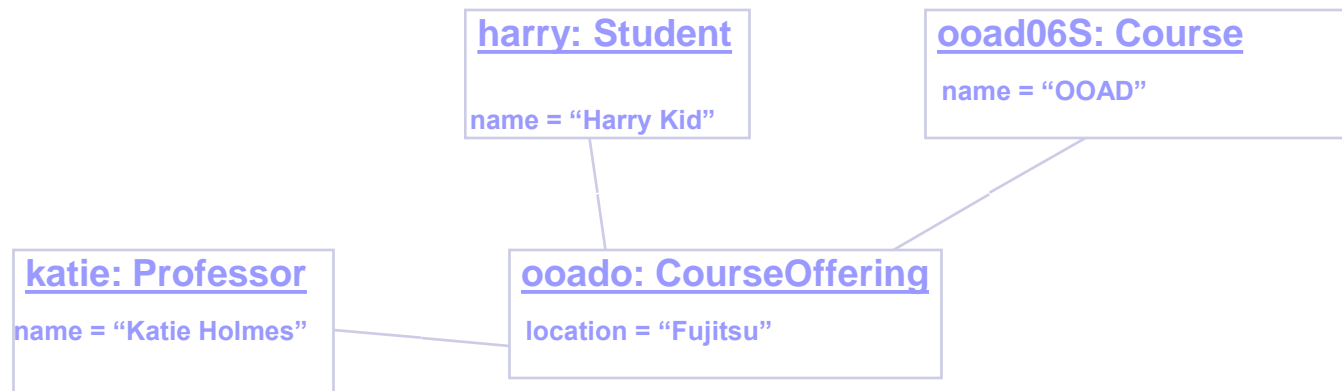
- ❑ Naming & (often) 3 Sections;
- ❑ Inheritance (as before);
- ❑ Relationships - Multiplicity and Navigation



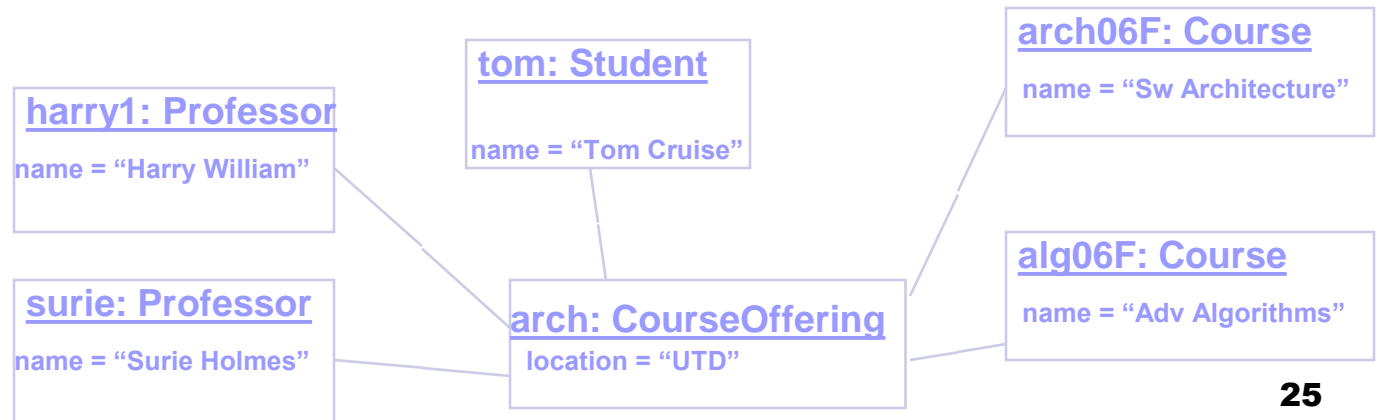


# Diagrams in UML – Object Diagrams

- ❑ Shows a set of objects and their relationships.
- ❑ As a static snapshot.

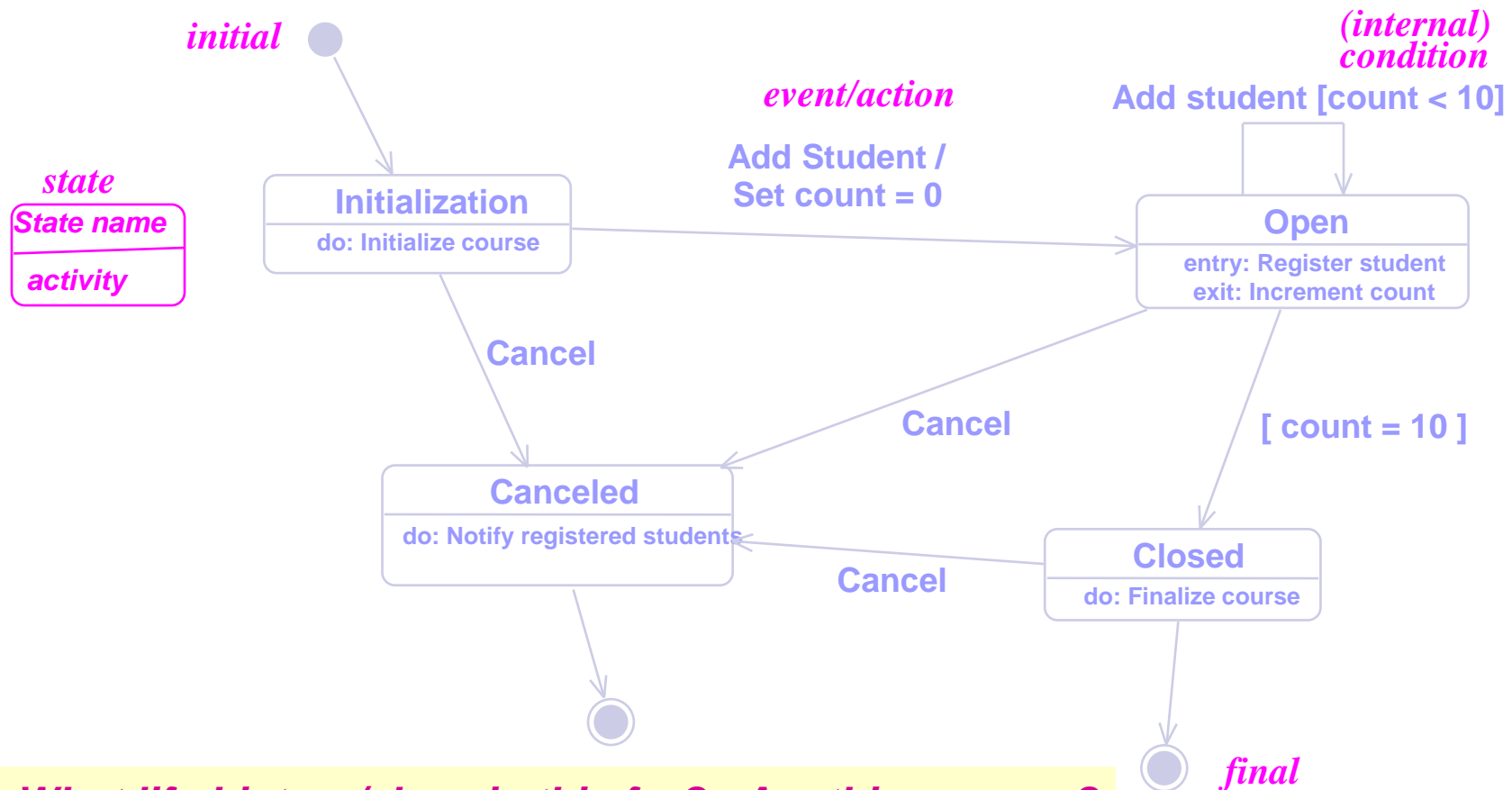


*Anything wrong?*



# Diagrams in UML – State Transition Diagram (Statechart Diagram)

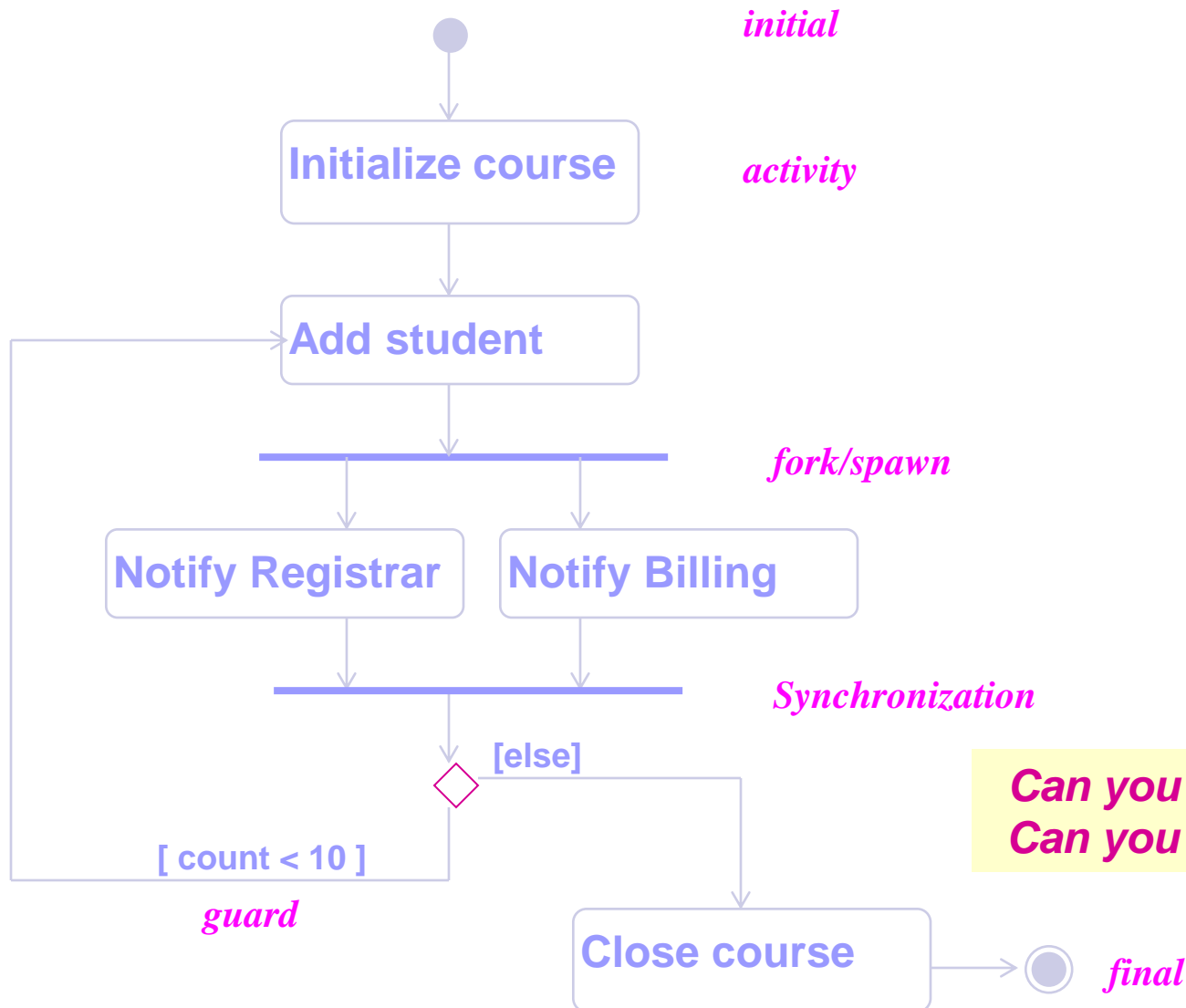
- The life history (often of a given class: from class to object behavior)
- States, transitions, events that cause a transition from one state to another
- Actions that result from a state change



**What life history/class is this for? Anything wrong?**  
...until the drop date?

# Diagrams in UML – Activity Diagrams

- A special kind of statechart diagram that shows the flow *from activity to activity*.



*What is this for?  
Traceability???*

*Can you model this using SD?  
Can you model this using CD?*

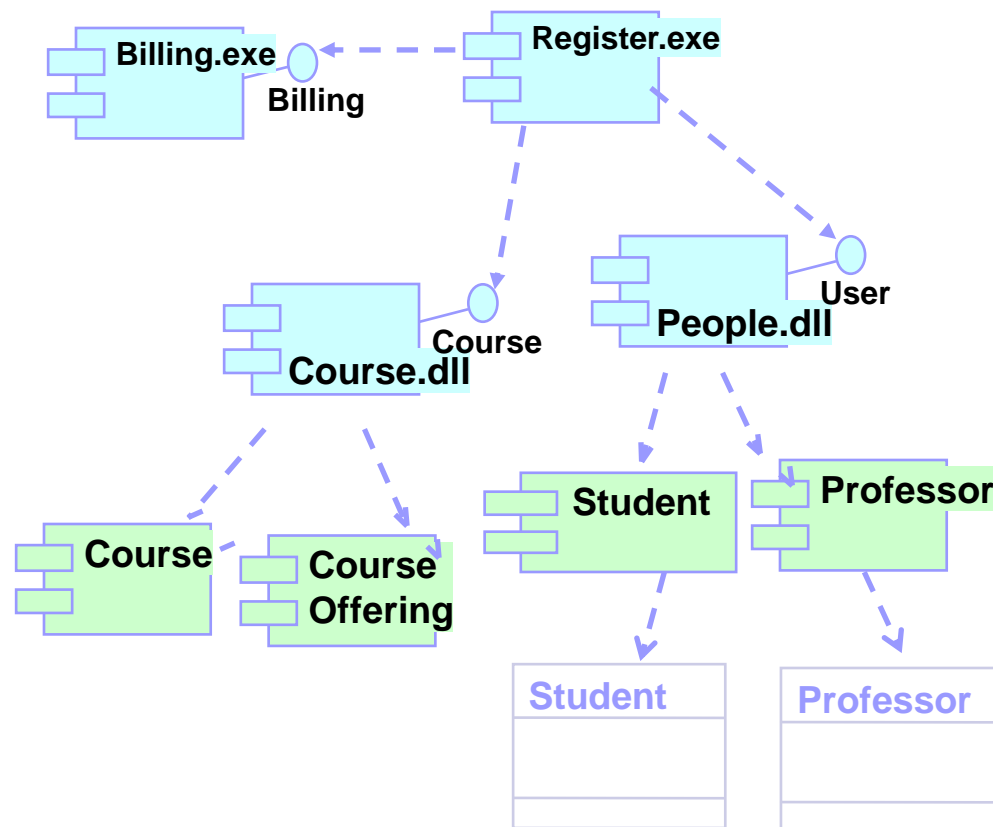
# Diagrams in UML – Component Diagram

shows the organizations and dependencies among a set of components (*mostly <<uses>>*).

In UML 1.1, a component represented implementation items, such as files and executables;

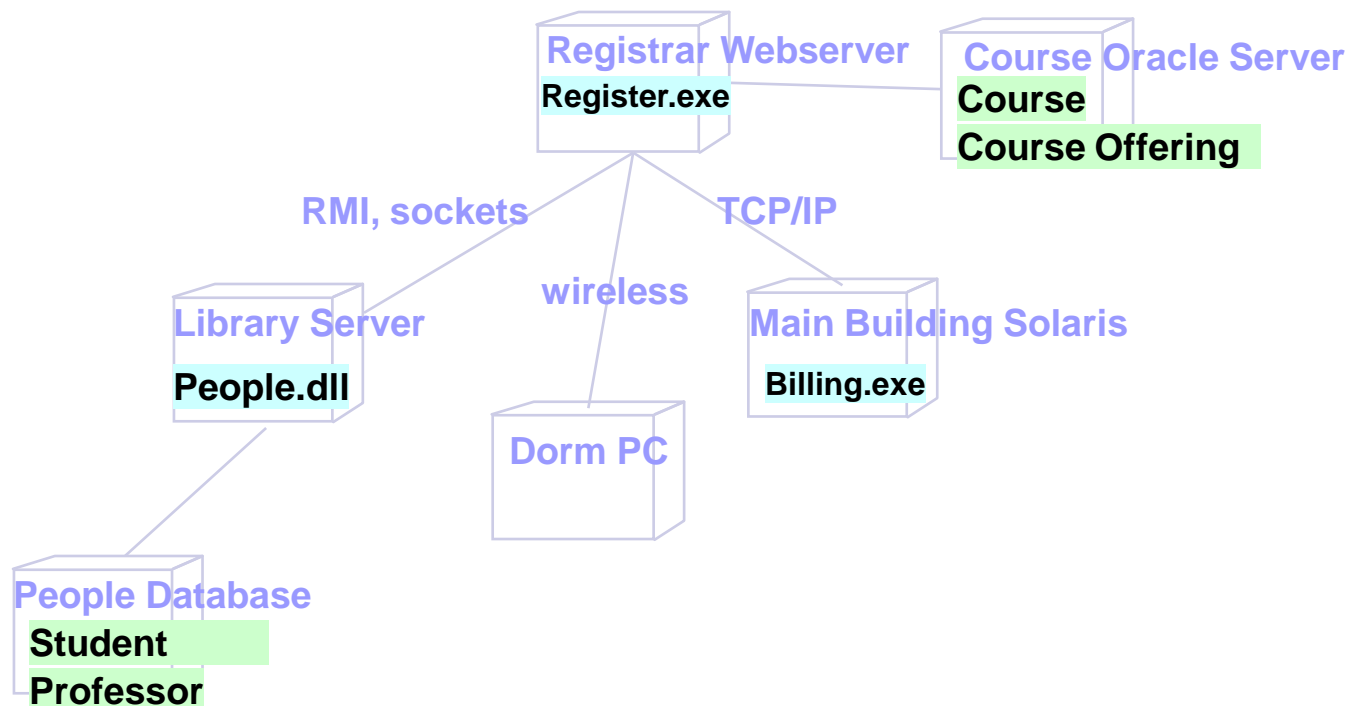
...

In **UML 2.0**, a component is a replaceable/reusable, **architecture**/design-time construct w. interfaces



# Diagrams in UML – Deployment Diagram

- shows the configuration of run-time processing elements and the software processes living on them.
- visualizes the distribution of components across the enterprise.



# 3 basic building blocks of UML - Diagrams

Here, UML 1.x first  
(UML 2.0 later)

Use case

Sequence;  
Collaboration  
(Communication)

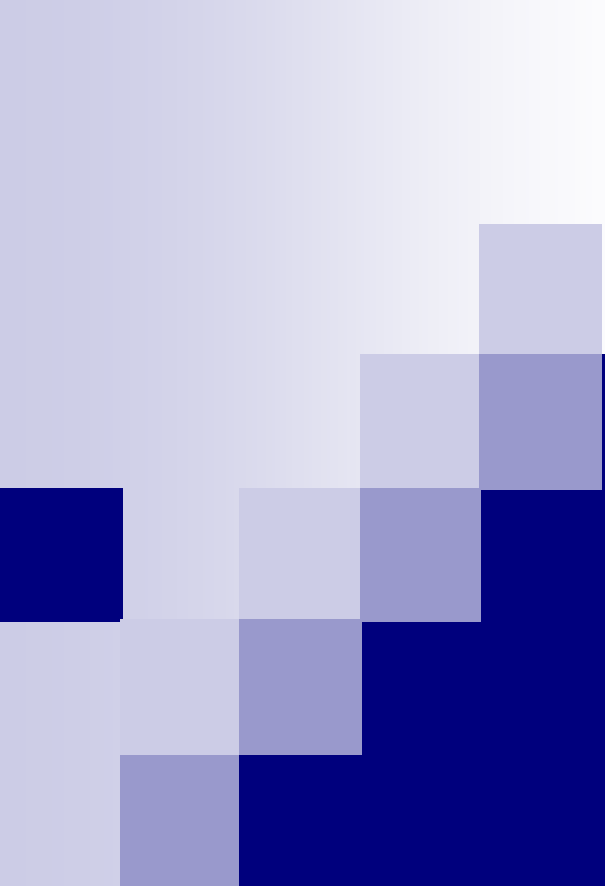
Class;  
Object

Statechart  
Activity

Component  
Deployment

## *Using UML Concepts in a Nutshell*

- ☐ Display the boundary of a system & its major functions using use cases and actors
- ☐ Illustrate use case realizations with interaction diagrams
- ☐ Represent a static structure of a system using class diagrams
- ☐ Model the behavior of objects with state transition diagrams
- ☐ Reveal the physical implementation architecture with component & deployment diagrams
- ☐ Extend your functionality with stereotypes



# Module 2: Introduction to UML - Appendix

# Extensibility of UML

- **Stereotypes** (<< >>) can be used to extend the UML notational elements
- Stereotypes may be used to classify and extend associations, inheritance relationships, classes, and components
- Examples:
  - Class stereotypes: boundary, control, entity, utility, exception
  - Inheritance stereotypes: uses and extends
  - Component stereotypes: subsystem

**Stereotypes** — extends vocabulary (metaclass in UML metamodel)

**Tagged values** — extends properties of UML building blocks (i.e., metamodel)

**Constraints** — extend the semantics of UML building blocks.

*More on this later*



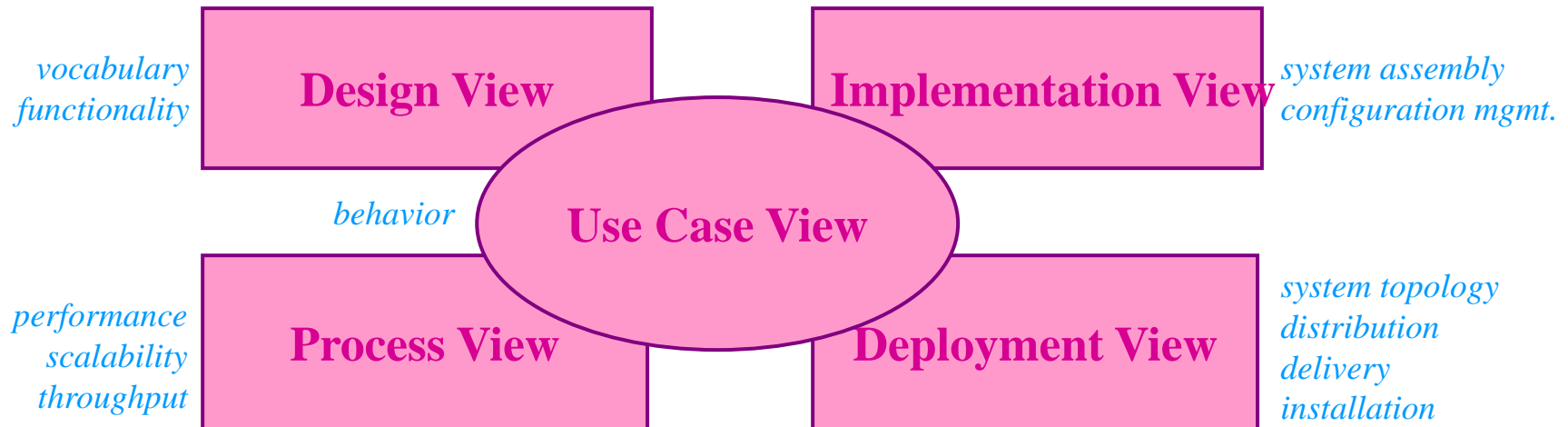
# Architecture & Views

(You can skip this part on the first reading)

UML is for visualizing, specifying, constructing, and documenting with emphasis on system architectures (things in the system and relationships among the things) from five different views

Architecture - set of significant decisions regarding:

- Organization of a software system.
- Selection of structural elements & interfaces from which a system is composed.
- Behavior or collaboration of elements.
- Composition of structural and behavioral elements.
- Architectural style guiding the system.





# Views

## ***Use Case View***

- Use Case Analysis is a technique to capture business process from user's perspective.
- Encompasses the behavior as seen by users, analysts and testers.
- Specifies forces that shape the architecture.
- Static aspects in use case diagrams; Dynamic aspects in interaction (statechart and activity) diagrams.

## ***Design View***

- Encompasses classes, interfaces, and collaborations that define the vocabulary of a system.
- Supports functional requirements of the system.
- Static aspects in class and object diagrams; Dynamic aspects in interaction diagrams.

## ***Process View***

- Encompasses the threads and processes defining concurrency and synchronization.
- Addresses performance, scalability, and throughput.
- Static and dynamic aspects captured as in design view; emphasis on active classes.

## ***Implementation View***

- Encompasses components and files used to assemble and release a physical system.
- Addresses configuration management.
- Static aspects in component diagrams; Dynamic aspects in interaction diagrams.

## ***Deployment View***

- Encompasses the nodes that form the system hardware topology.
- Addresses distribution, delivery, and installation.
- Static aspects in deployment diagrams; Dynamic aspects in interaction diagrams.

# Rules of UML

- Well formed models — *semantically self-consistent and in harmony with all its related models.*
- Semantic rules for:
  - Names — what you can call things.
  - Scope — context that gives meaning to a name.
  - Visibility — how names can be seen and used.
  - Integrity — how things properly and consistently relate to one another.
  - Execution — what it means to run or simulate a dynamic model.
- Avoid models that are
  - Elided — certain elements are hidden for simplicity.
  - Incomplete — certain elements may be missing.
  - Inconsistent — no guarantee of integrity.

# Process for Using UML

*How do we use UML as a notation to construct a good model?*

- **Use case driven** — use cases are primary artifact for defining behavior of the system.
- **Architecture-centric** — the system's architecture is primary artifact for conceptualizing, constructing, managing, and evolving the system.
- **Iterative and incremental** — managing streams of executable releases with increasing parts of the architecture included.

The Rational Unified Process (RUP)

# Process for Using UML - Iterative Life Cycle

- It is planned, managed and predictable ...almost
- It accommodates changes to requirements with less disruption
- It is based on evolving executable prototypes, not documentation
- It involves the user/customer throughout the process
- It is risk driven

## *Primary phases*

- **Inception** — seed idea is brought up to point of being a viable project.
- **Elaboration** — product vision and architecture are defined.  
([http://www.utdallas.edu/~chung/OOAD\\_SUMMER04/HACS\\_vision\\_12.doc](http://www.utdallas.edu/~chung/OOAD_SUMMER04/HACS_vision_12.doc))
- **Construction** — brought from architectural baseline to point of deployment into user community.
- **Transition** — turned over to the user community.

# Process for Using UML - Iterative Approach

## ***Three Important Features***

- Continuous integration - Not done in one lump near the delivery date
- Frequent, executable releases - Some internal; some delivered
- Attack risks through demonstrable progress - Progress measured in products, not documentation or engineering estimates

## ***Resulting Benefits***

- Releases are a forcing function that drives the development team to closure at regular intervals - Cannot have the “90% done with 90% remaining” phenomenon
- Can incorporate problems/issues/changes into future iterations rather than disrupting ongoing production
- The project’s supporting elements (testers, writers, toolsmiths, QA, etc.) can better schedule their work