

# Mathematical Model and Analysis of the Effects of Overfishing on Coral Reef Ecosystems

Coral Reefsearchers

Aaron Bumagat, Michelle Luces, Henry Song

University of Guam

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## 1 Background

- General Background
- Question
- Definitions

# Background

- Coral Reefs are large underwater structures composed of the skeletons of colonial marine invertebrates known as coral<sup>[13]</sup>.

# Background

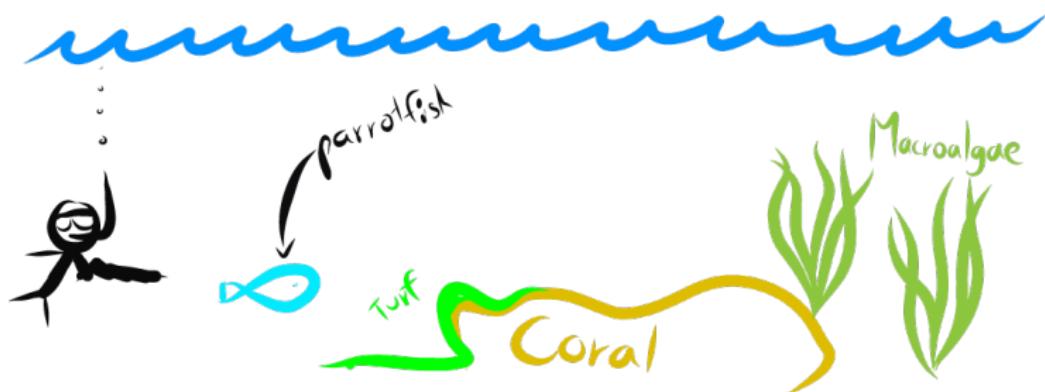
- Coral Reefs are large underwater structures composed of the skeletons of colonial marine invertebrates known as coral<sup>[13]</sup>.
- They play a crucial role in the marine ecosystem's biodiversity along with other functions, such as coastal defense from storms and economic benefits from tourism or local fisheries<sup>[8]</sup>.

# Background

- Coral Reefs are large underwater structures composed of the skeletons of colonial marine invertebrates known as coral<sup>[13]</sup>.
- They play a crucial role in the marine ecosystem's biodiversity along with other functions, such as coastal defense from storms and economic benefits from tourism or local fisheries<sup>[8]</sup>.
- Factors that affect coral reefs include climate change, coral reef resilience<sup>[12]</sup>, and exploitative fishing practices<sup>[11]</sup>.

# Our Question

- Specific Question: How will overfishing affect Guam's coral reef ecosystem over time?



# Identify Our Terms



(a) Corals<sup>[1]</sup>



(b) Algal Turfs<sup>[2]</sup>



(c) Macroalgae<sup>[3]</sup>

Figure 1: Images of Ecosystem

# Identify Our Terms (Cont.)



Figure 2: Parrot Fish<sup>[4]</sup>

- Parrot fish are common reef fish found in many tropical reefs<sup>[5]</sup> and are known to feed on algal turfs and macroalgae.
- Their bites on corals have been shown to improve and promote coral growth<sup>[9]</sup>.
- Parrot fish are one of the most overfished reef fish in the Caribbean, and potentially on Guam as well<sup>[5]</sup>.

## 2 Mathematical Model

- Assumptions
- Compartments
- Parameters
- Compartment Model
- Differential Equations
- Plots

# Assumptions

- Ecosystem:
  - is closed (i.e. no migration).
  - consists of only corals (C), algal turfs (T), and macroalgae (M).
  - supports maximum carrying capacity of parrotfish.
- Macroalgae is the only predator of corals.
- Coral recruit to and overgrow algal turfs<sup>[8]</sup>.
- Corals are overgrown by macroalgae<sup>[8]</sup>.
- Macroalgae colonize dead coral by spreading vegetative over algal turfs<sup>[8]</sup>.
- Corals do not naturally die.

# Coral Reef Ecosystem Model Compartments

## Compartments

The Ecosystem Model consists of 4 compartments:

- $C$ : Corals
  - $T$ : Algal Turfs
  - $M$ : Macroalgae
  - $P$ : Parrotfish

where  $C + T + M = 1$ .

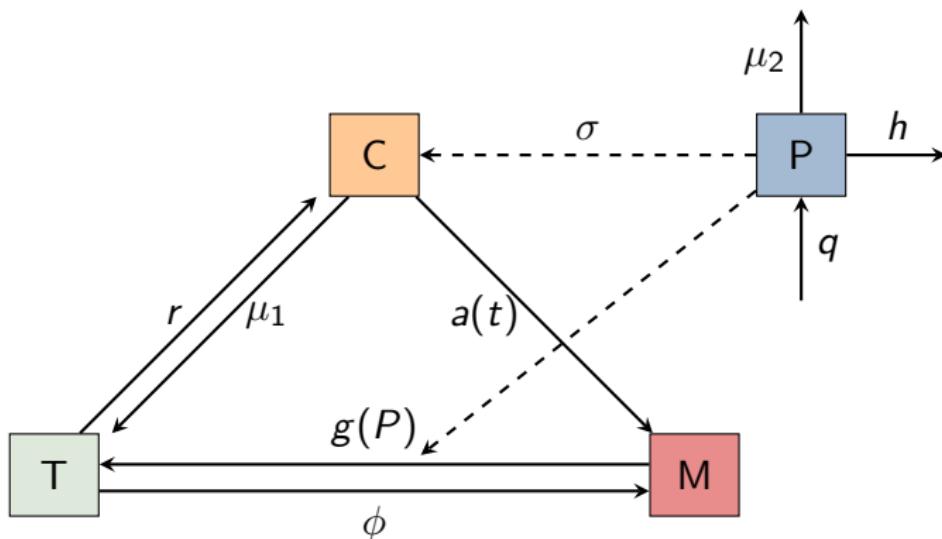
# Coral Reef Ecosystem Model Parameters

Parameter	Description	Value	Units
$\mu_1$	death rate of coral reefs	0.15 [14]	$year^{-1}$
$\mu_2$	natural death rate of parrotfish	0.22 [10]	$year^{-1}$
$r$	rate that coral recruit to over-grow algal turfs	0.5 [14]	$year^{-1}$
$\phi$	rate that macroalgae spread vegetative over algal turfs	0.8 [8]	$year^{-1}$
$q$	intrinsic growth rate for parrotfish	0.47 [10]	$year^{-1}$
$h$	harvesting rate for parrotfish	0.14 [10]	$year^{-1}$
$\sigma$	rate that parrot fish bite coral	0.01*	$bites \cdot year^{-1}$
$\omega$	maximum grazing intensity	1 [5]	-
$\beta$	carrying capacity of parrotfish	1	-
$a_0$	control variable to simulate seasonal changes	0.99	-

\* = estimated value

# = Guam Data

# Coral Reef Ecosystem Model<sup>[8]</sup>



## Differential Equations (Modified from [5])

System of differential equations derived from compartment model:

$$\frac{dC}{dt} = rTC + \sigma PC - (a(t)M + \mu_1)C$$

$$\frac{dT}{dt} = \mu_1 C + \frac{g(P)M}{M+T} - T(rC + \phi M)$$

$$\frac{dM}{dt} = (a(t)C + \phi T)M - \frac{g(P)M}{M + T}$$

$$\frac{dP}{dt} = qP \left(1 - \frac{P}{\beta C}\right) - P(h + \mu_2)$$

$$\text{where } g(P) = \frac{\omega P}{\beta}, \quad a(t) = \left| \frac{a_0(9 \sin(\pi t) + 1)}{10} \right|.$$

# Simulating $a(t)$

$$a(t) = \left| \frac{a_0(9 \sin(\pi t) + 1)}{10} \right|$$

Figure 3: Amplitude of  $a(t)$  as  $a_0$  changes

# Parameter Changes: $a_0$

Figure 4: Initial Conditions:  $C = T = M = \frac{1}{3}$ , and  $P = \frac{3}{4}$

# Parameter Changes: $h$

Figure 5: Initial Conditions:  $C = T = M = \frac{1}{3}$ , and  $P = \frac{3}{4}$

# Parameter Changes: $\phi$

Figure 6: Initial Conditions:  $M = \frac{1}{2}$ ,  $C = T = \frac{1}{4}$ , and  $P = \frac{3}{4}$

### 3 Equilibria

- Disease Free Equilibrium
- Endemic Equilibrium
- Basic Reproduction Number

# Disease Free Equilibrium

## Definition

- The disease-free equilibrium is the point at which no disease is present in the system.
- In our model, we classify macroalgae ( $M$ ) as our "disease" compartment, and since the system is disease free, we set  $M^0 = 0$ .

# Disease Free Equilibrium

$$C^0 = 1 - \frac{\mu_1}{r}$$

$$T^0 = \frac{\mu_1}{r}$$

$$M^0 = 0$$

$$P^0 = -\frac{\beta(1 - \frac{\mu_1}{r})(h - \mu_2 - q)}{q}$$

# Endemic Equilibrium

## Definition

- The Endemic Equilibrium determines at what point will the disease not spread nor will it be fully eradicate.
- In order to find the endemic equilibrium, we have to set each differential equation equal to 0 and solve for each respective compartment variable.

# Endemic Equilibrium

$$C^* = 1 - \left( \frac{\mu_1 + a(t)M^*}{r} + M^* \right)$$

$$T^* = \frac{\mu_1 + a(t)M^*}{r}$$

$$P^* = \beta \left( 1 - \left( \frac{\mu_1 + a(t)M^*}{r} + M^* \right) \right) \left( \frac{q - (h + \mu_2)}{q} \right)$$

# Endemic Equilibrium

Calculation for  $M^*$ :

$$\frac{-k \pm \sqrt{k^2 - 4jn}}{2j}$$

where

$$j = (a(t)q - 2a(t)r + r\phi) - q(r^2 + a(t)^3)$$

$$k = a(t)q(r(r - 2\mu_1 + a(t)) - 2\mu_1(a(t) + \phi)) \\ + r(q(\phi\mu_1 + r\omega) - \omega(hr + a(t)\mu_2 + r\mu_2))$$

$$n = -qr^2\omega + qr\mu_1\omega + hr^2\omega - hr\mu_1\omega + r^2\mu_2\omega \\ - r\mu_1\mu_2\omega + a(t)qr\mu_1 - a(t)q\mu_1^2 + q\phi\mu_1^2$$

# Basic Reproduction Number: $\mathcal{R}_0$

## Definition

- A metric used to describe the contagiousness or transmissibility of infectious agents<sup>[7]</sup>, i.e. the number of secondary infections.
- $\mathcal{R}_0$  is defined as the expected number of secondary cases produced by a single macroalgae (M) in a completely coral (C) population.

# Basic Reproduction Number: Next Generation Matrix

## Definition

- $i$  : Set of infectious compartments {M}
- $\mathcal{F}_i$  : Rate of new infections in compartment  $i$
- $\mathcal{V}_i$  : Rate of transfer individuals out of compartment  $i$  minus rate into compartment  $i$
- $F$  : Jacobian Matrix( $\mathcal{F}_i$ )
- $V$  : Jacobian Matrix( $\mathcal{V}_i$ )
- $FV^{-1}$  : Next Generation Matrix

# Basic Reproduction Number: $\mathcal{R}_0$

$$\mathcal{F} = [a(t)CM + \phi TM]$$



$$F = [a(t)C^0 + \phi T^0]$$

$$\mathcal{V} = \left[ \frac{g(P)M}{M+T} \right]$$



$$V = \left[ \frac{g(P)T^0}{(M^0+T^0)^2} \right]$$

$$\mathcal{R}_0 = \rho(\det(FV^{-1} - \lambda I))$$



$$\mathcal{R}_0 = \frac{\beta\mu_1 q(a(t)(1 - \frac{\mu_1}{r}) + \frac{\mu_1}{r})}{\omega r(\beta h(\frac{\mu_1}{r} - 1) + \beta\mu_2(\frac{\mu_1}{r} - 1) - \beta q(\frac{\mu_1}{r} - 1))}$$

## 4 Harvesting Game Theory

- Harvesting Threshold
- Sensitivity Analysis
- Expected Payoff - Harvesting
- Nash Equilibrium

# Harvesting Threshold

## Definition (Harvesting Threshold)

The proportion of parrotfish that can be harvested in order for macroalgae growth to maintain stability in the ecosystem.

When  $\mathcal{R}_0 = 1$ ,

$$h_{TH} = q - \mu_2 + \frac{\mu_1 q(a(t)\mu_1 - a(t)r - \phi\mu_1)}{\omega r(r - \mu_1)}$$

$h_{pop} < h_{TH}$  : Macroalgae growth is stable.

$h_{pop} > h_{TH}$  : Macroalgae growth is unstable.

# Harvesting Threshold Graph

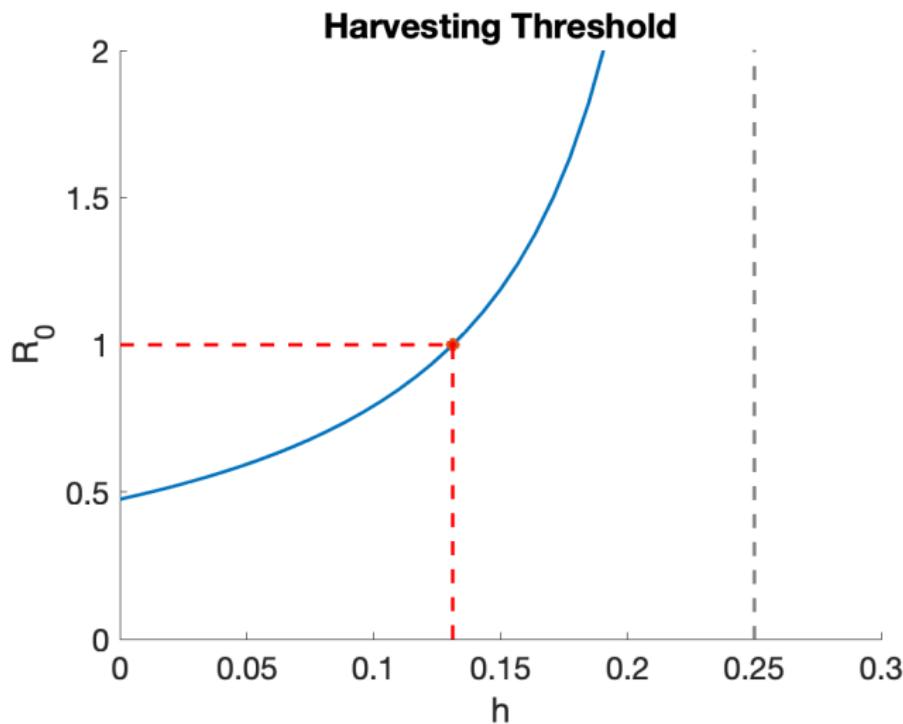


Figure 7: When  $\mathcal{R}_0 = 1$ ,  $h = 0.1312$

# Sensitivity Analysis for $\mathcal{R}_0$

The Sensitivity Analysis for  $\mathcal{R}_0$  determines the most influential parameters affecting  $\mathcal{R}_0$ . It is defined by

$$S_\lambda = \frac{\frac{\Delta \mathcal{R}_0}{\mathcal{R}_0}}{\frac{\Delta x}{x}} = \frac{\lambda}{\mathcal{R}_0} \cdot \frac{\partial \mathcal{R}_0}{\partial \lambda}$$

where  $\lambda$  is a parameter in the quantity  $\mathcal{R}_0$ .

$\lambda$	$S_\lambda$
$\mu_1$	<b>8.3513</b>
$\mu_2$	<b>5.2819</b>
$q$	-3.5962
$\omega$	-0.7923
$\sigma$	0
$r$	-2.5054
$\phi$	0.4029
$\beta$	0
$h$	<b>5.2819</b>
$a(t)$	0.94

Table 1: Sensitivity Analysis

# Sensitivity Analysis for $\mathcal{R}_0^{\mu_1}$

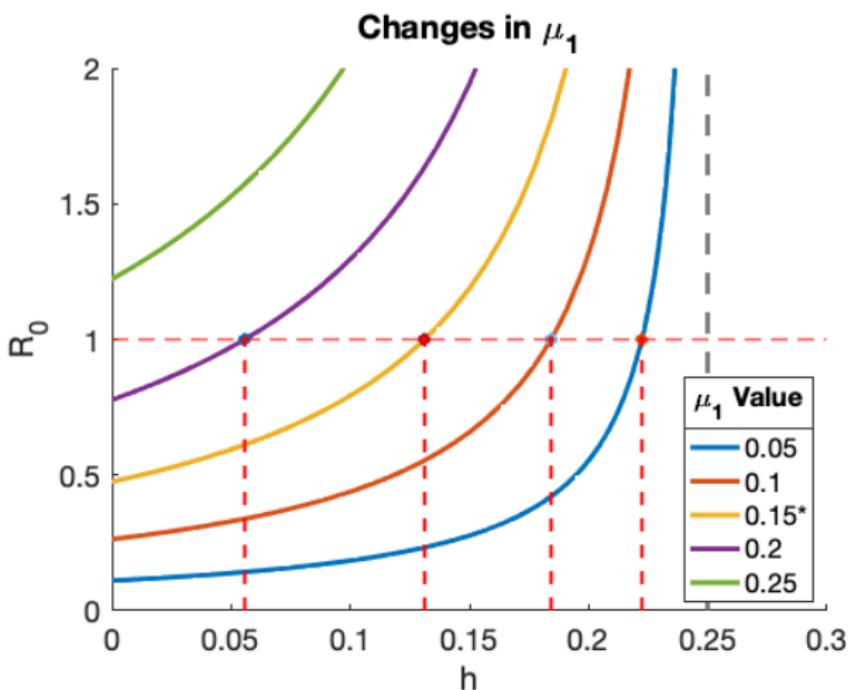


Figure 8: Effects of various  $\mu_1$  values on  $\mathcal{R}_0$

\* = our harvesting threshold( $h_{TH}$ )

# Sensitivity Analysis for $\mathcal{R}_0^{\mu_1}$

$\mu_1$	$h_{TH}$
0.05	0.223
0.1	0.1842
0.15	0.1312
0.2	0.0557
0.25	n/a

Table 2:  $h_{TH}$  when  $\mathcal{R}_0 = 1$  while  $\mu_1$  changes

# Harvesting Game

## Game of Harvesting

- **Player:** Individual
- **Strategy:**
  - Proportion of Individual Harvesting ( $h$ ) based on the proportion the population is harvesting ( $h_{pop}$ )

# Expected Payoff - Harvesting

Let  $h \in [0,1]$  be the proportion at which an individual can harvest parrotfish.

$$E(h, h_{pop}) = -hC_h - \left( \frac{h_{pop}}{h_{pop} + \mu_2} \cdot \frac{g(P^*)(1-h)M^*}{M^* + T^*} \right) C_D$$

Symbol	Definition
$E(h, h_{pop})$	Expected payoff for an individual to harvest based on the harvesting proportion of the population
$C_h$	Cost of harvesting
$C_D$	Cost of coral disease (i.e. less protection from storms)

# Expected Payoff - Harvesting

$$E(h, h_{pop}) = -hC^h - \frac{h_{pop}}{h_{pop} + \mu_2} \cdot \frac{g(P^*)(1-h)M^*}{M^* + T^*}$$

where  $C^h = \frac{C_h}{C_D}$

# Expected Payoff - Harvesting

$E(h, h_{pop})$  is a convex function since  $\frac{\partial^2 E(h, h_{pop})}{\partial h^2} > 0$

$$\frac{\partial^2 E}{\partial h^2} = \frac{2\omega P \mu 2(M^* + T^*)^2(\mu_1 + 1)}{\beta(h(M^* + T^*) + \mu_2(M^* + T^*))^3} > 0$$

Thus,  $E$  achieves a maximum value at  $h = 0$  or  $h = 1$ .

$$\Delta E = E(1, h_{pop}) - E(0, h_{pop})$$

$\Delta E > 0$  : Harvest

$\Delta E < 0$  : Do Not Harvest

# What is Nash Equilibrium?

## Definition<sup>[6]</sup>

- The **Nash equilibrium** is a decision-making theorem within game theory that states a player can achieve the desired outcome by not deviating from their initial strategy.
- Each player's strategy is optimal when considering the decisions of other players. Every player wins because everyone gets the outcome they desire.

# Nash Equilibrium - Harvesting

To obtain the Nash Equilibrium, we find  $h_{NE}$  such that  
 $E(0, h_{pop}) = E(1, h_{pop})$ .

$$E(0, h_{pop}) = -\frac{h_{pop}}{h_{pop} + \mu_2} \cdot \frac{g(P^*)M^*}{M^* + T^*}$$
$$E(1, h_{pop}) = -C^h$$



$$\frac{h_{pop}}{h_{pop} + \mu_2} \cdot \frac{g(P^*)M^*}{M^* + T^*} = C^h$$

# Nash Equilibrium - Graph

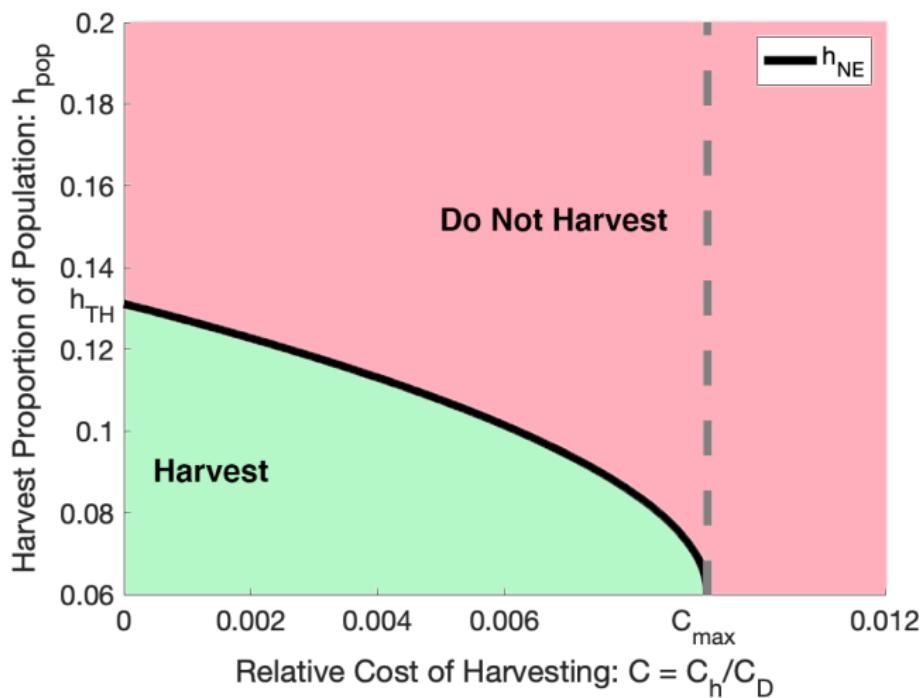


Figure 9: Nash Equilibrium Graph

## 5 Discussion

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- Based on our mathematical model:
  - A higher  $a_0$  will result in higher macroalgae growth over corals, especially over warmer months of the year.
  - A higher  $h$  will result in the increased dominance of macroalgae in the ecosystem.
  - A lower  $\phi$  will result in decreased proportion of macroalgae and increase in algal turfs, and subsequently corals.

# Discussion

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- Based on our mathematical model:
  - A higher  $a_0$  will result in higher macroalgae growth over corals, especially over warmer months of the year.
  - A higher  $h$  will result in the increased dominance of macroalgae in the ecosystem.
  - A lower  $\phi$  will result in decreased proportion of macroalgae and increase in algal turfs, and subsequently corals.
- Our sensitivity analysis indicates that our coral death rate ( $\mu_1$ ), parrotfish natural death rate ( $\mu_2$ ), and harvesting rate ( $h$ ) have the largest impact on our basic reproduction number.

# Discussion

- The maximum sustainable harvest proportion is approximately 0.131157 (13.1157%) of the parrot fish population.

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- The maximum sustainable harvest proportion is approximately 0.131157 (13.1157%) of the parrot fish population.
- According to our harvesting game theory, as the relative cost of fishing increases, the harvesting Nash equilibrium decreases until it reaches the maximum cost.

## 6 Closing Remarks

- Future Research
- Acknowledgements
- Q & A
- Bibliography

# Future Research

- Collect data from Guam sources to more accurately model Guam's Reef Ecosystem.
- Application of game theory on  $\phi$  parameter control measures.
- Incorporate more elements of coral reef ecosystems (i.e. other types of plant and aquatic life) to our compartment model.

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# Questions or Comments?

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# Thank you!