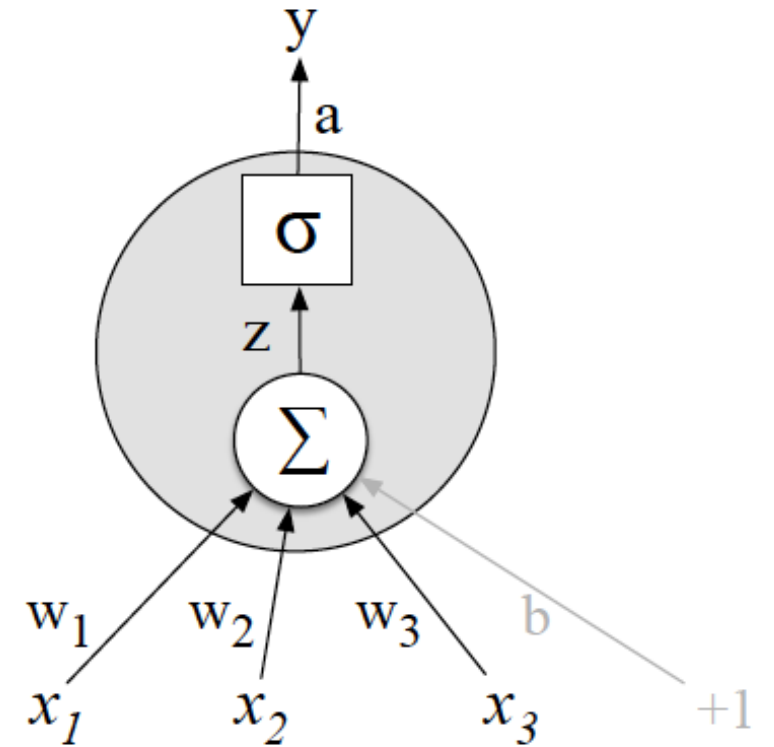


# NEURAL LANGUAGE MODELS



---

# Backgrounds

---

Computation  $\leftrightarrow$  Function

Computational Intelligence  $\leftrightarrow$  Learning the function

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : f(x) = y = x \times 2 + 1$$

$f$  is known

$f$  has two parameters ( $a=2, b=1$ )

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

$f$  is unknown!

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

$f$  is unknown! Might not exist!

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

$f$  is unknown! If exists, there may be more than one!

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

$f$  is unknown! Even more than one exists, we may not find one!

---

# Function

$$f: M \rightarrow N$$

---

Transferring elements of source space (M) to target space (N)

$$f: \mathbb{R} \rightarrow \mathbb{R} : \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

$f$  is unknown! We assume at least one exists, we try to find it!



---

# Transformation

$$f: M \rightarrow N$$

---

Transferring points (vectors) of source space (M) to target space (N)

$$[T][X] = [Y]; X \in M, Y \in N$$

T is a matrix that includes the parameters of  $f$

---

# Transformation (Linear Algebra)

$$f: M \rightarrow N$$

---

Transferring points (vectors) of source space (M) to target space (N)

$$\begin{bmatrix} 2 & 1 \end{bmatrix} \begin{bmatrix} x \\ 1 \end{bmatrix} = [2x + 1]$$

$$f(x) = 2x + 1$$

---

# Transformation (Linear Algebra)

$$f: M \rightarrow N$$

---

Transferring points (vectors) of source space (M) to target space (N)

$$(AB)^T = B^T A^T \rightarrow$$

$$[x \ 1] \begin{bmatrix} 2 \\ 1 \end{bmatrix} = [2x + 1]$$

$$f(x) = 2x + 1$$

---

# Transformation (Linear Algebra)

$$f: M \rightarrow N$$

---

Transferring points (vectors) of source space (M) to target space (N)

$$[x \ 1] \begin{bmatrix} \mathbf{w}_1 \\ \mathbf{w}_2 \end{bmatrix} = \{(x, f(x)) \mid (1,1), (2,4), (3,9), \dots\}$$

$$f(x) = ?$$

---

# Neural Network

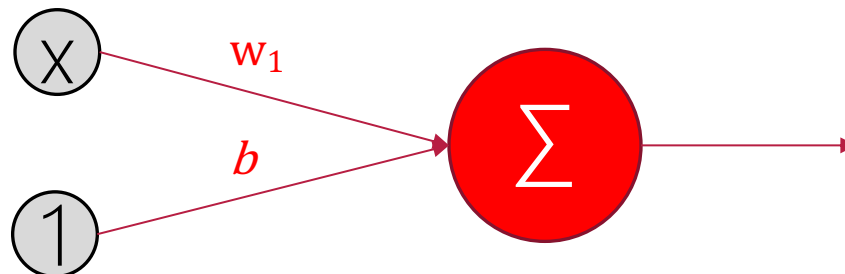
$$f: M \rightarrow N$$

*Perceptron*

---

Transferring points (vectors) of source space (M) to target space (N)

$$\begin{bmatrix} x & 1 \end{bmatrix} \begin{bmatrix} \mathbf{w}_1 \\ \mathbf{b} \end{bmatrix} = y$$
$$f(x) = y$$

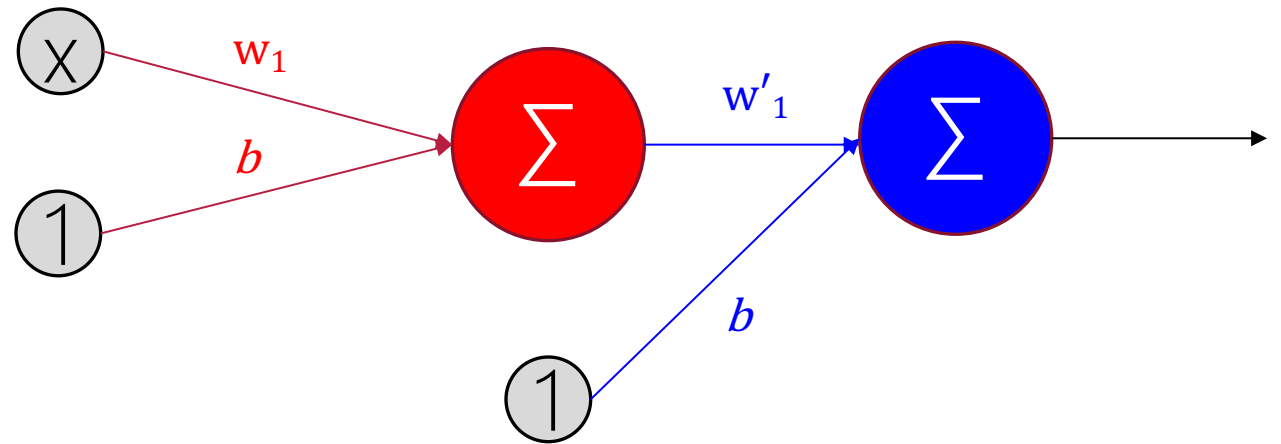


# Neural Network

$$g: (f: M \rightarrow N) \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

$$\begin{bmatrix} [x \ 1] \begin{bmatrix} w_1 \\ b \end{bmatrix} \end{bmatrix} \begin{bmatrix} 1 \end{bmatrix} \begin{bmatrix} w'_1 \\ b \end{bmatrix} = z$$
$$(g \circ f)(x) = g(f(x)) = z$$



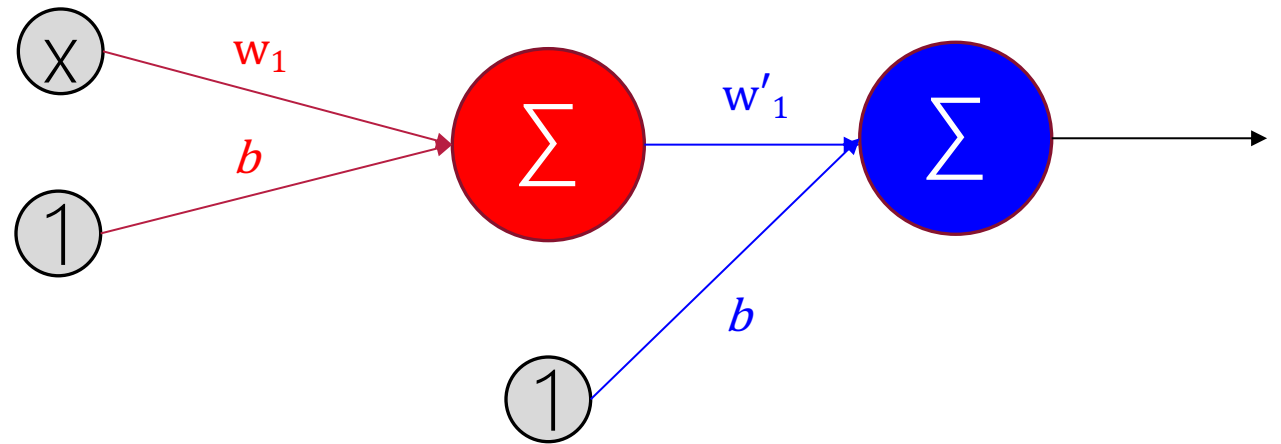
# Neural Network

---

$$g: (f: M \rightarrow N) \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

$$\begin{bmatrix} y & 1 \end{bmatrix} \begin{bmatrix} w'_1 \\ b \end{bmatrix} = z$$
$$g(f(x)) = g(y) = z$$



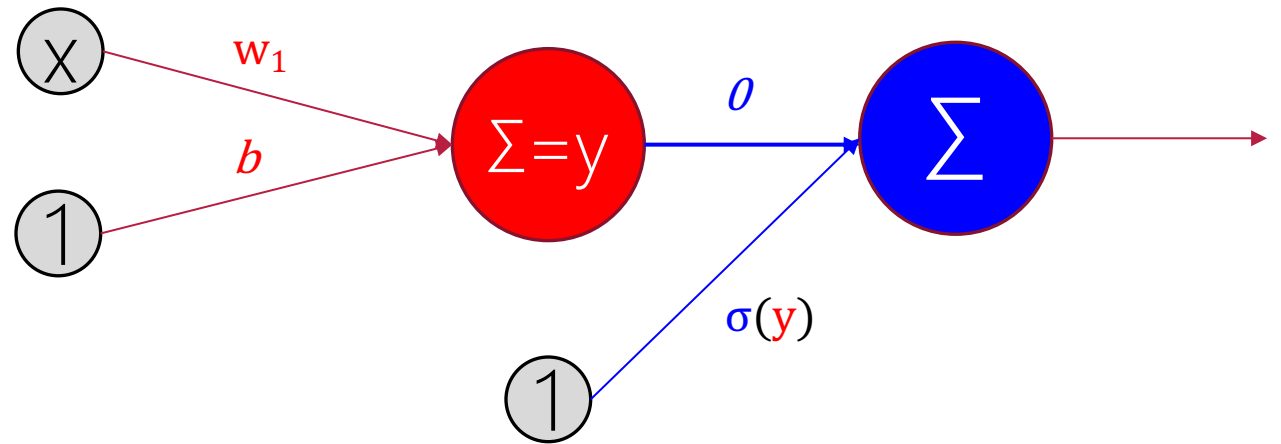
# Neural Network

$$g: (f: M \rightarrow N) \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

$$\begin{bmatrix} y & 1 \end{bmatrix} \begin{bmatrix} o \\ \sigma(y) \end{bmatrix} = y$$

$$g(f(x)) = g(y) = z$$



$$\begin{bmatrix} [x & 1] \begin{bmatrix} w_1 \\ b \end{bmatrix} & 1 \end{bmatrix} \begin{bmatrix} o \\ \sigma(x \times w_1 + b) \end{bmatrix} = z$$



# Neural Network

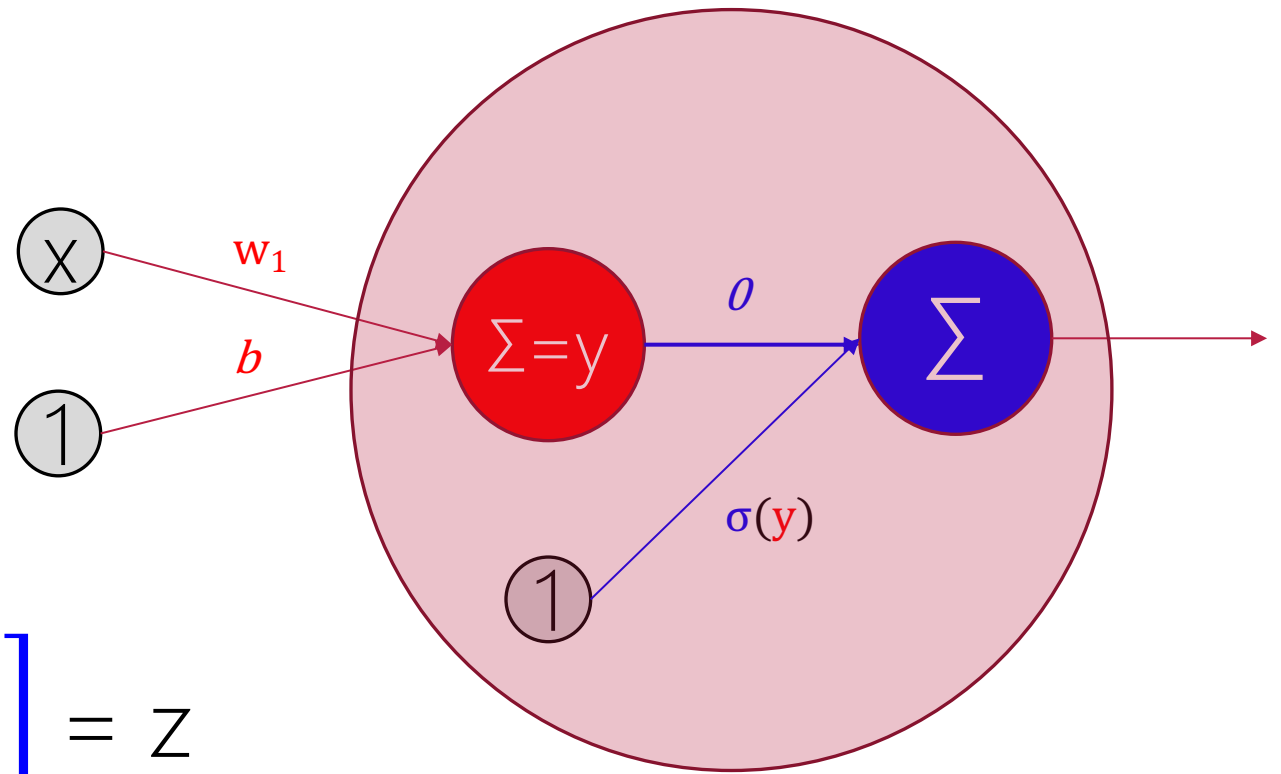
$$g: (f: M \rightarrow N) \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

$$\begin{bmatrix} y & 1 \end{bmatrix} \begin{bmatrix} o \\ \sigma(y) \end{bmatrix} = y$$

$$g(f(x)) = g(y) = z$$

$$\begin{bmatrix} x & 1 \end{bmatrix} \begin{bmatrix} w_1 \\ b \end{bmatrix} \begin{bmatrix} o \\ \sigma(x \times w_1 + b) \end{bmatrix} = z$$

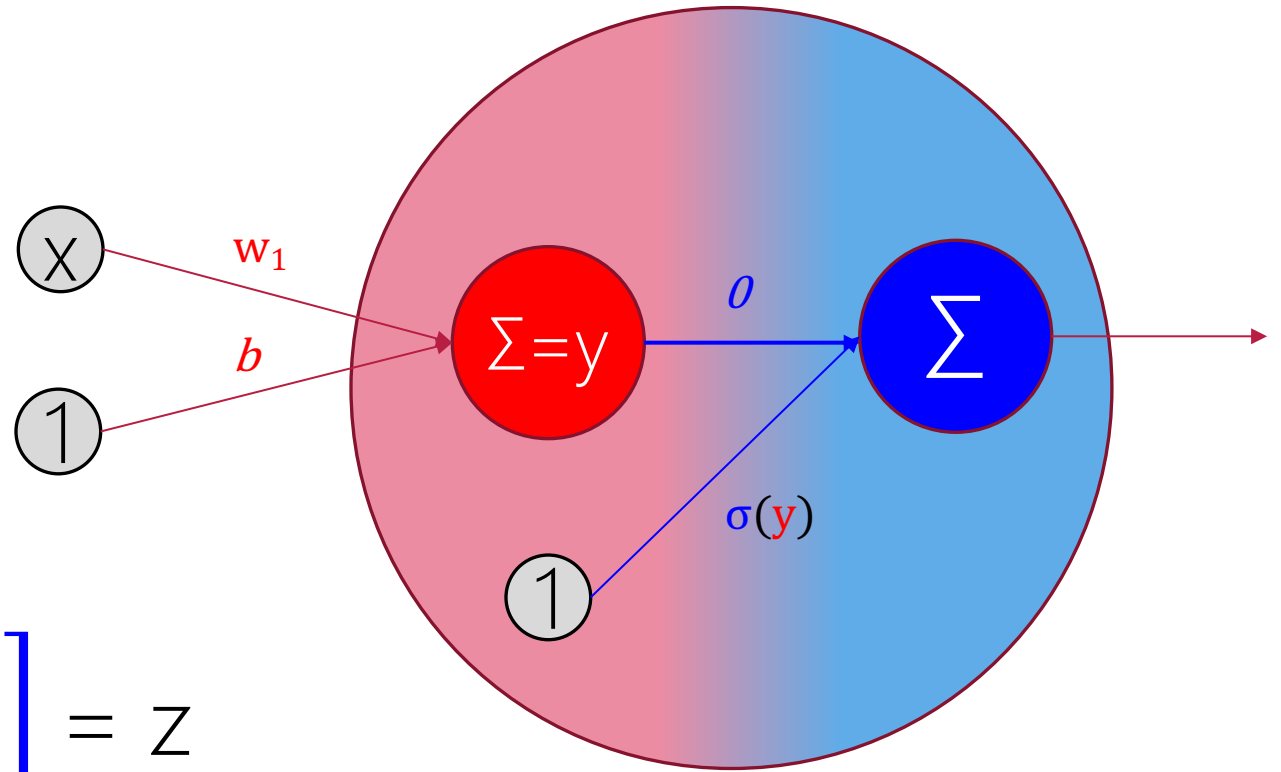


# Neural Network

$$h: M \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

$$h(x) = \sigma(x \times w_1 + b)$$



$$\begin{bmatrix} x & 1 \end{bmatrix} \begin{bmatrix} w_1 \\ b \end{bmatrix} + 1 \cdot \begin{bmatrix} o \\ \sigma(x \times w_1 + b) \end{bmatrix} = z$$

# Neural Network

---

$$h: M \rightarrow O$$

Transferring points (vectors) of source space (M) to target space (O)

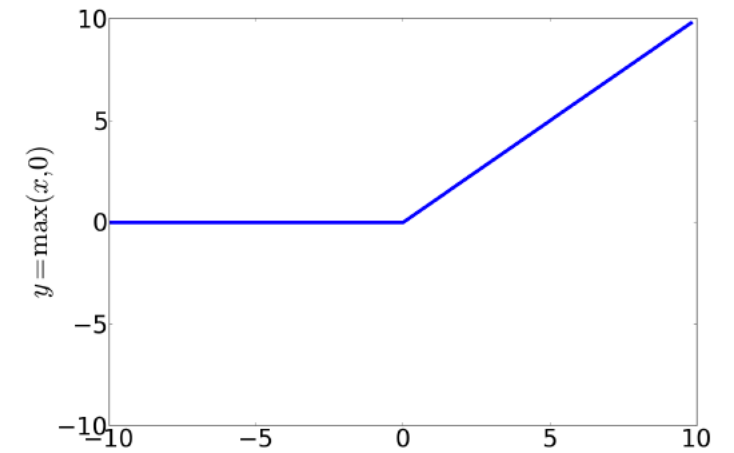
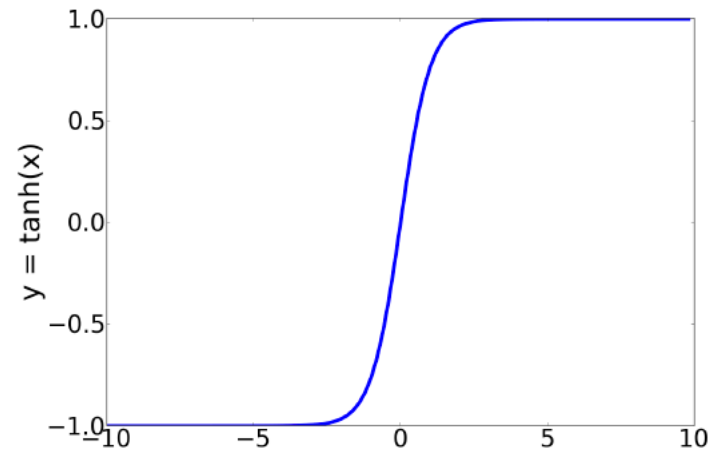
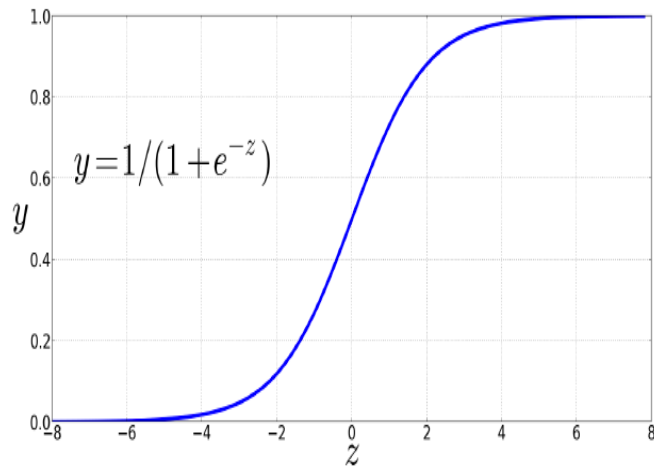
$$h(x) = \sigma(x \times w_1 + b)$$

$\sigma$  is a known non-linear function: Sigmoid, ReLU, Tanh

$$\begin{bmatrix} x & 1 \end{bmatrix} \begin{bmatrix} w_1 \\ b \end{bmatrix} = \sigma(x \times w_1 + b)$$

# Neural Network: Activation Function $\sigma$

---



# Neural Network

---

$$h: M=\{0,1\}^2 \rightarrow N=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\}$$

$$N = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 0), ((1,0), 0), ((1,1), 1)\}$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w_1 \\ w_2 \\ b \end{bmatrix}]^1 \begin{bmatrix} \sigma(x_1 \times w_1 + x_2 \times w_2 + b) \end{bmatrix} = z$$

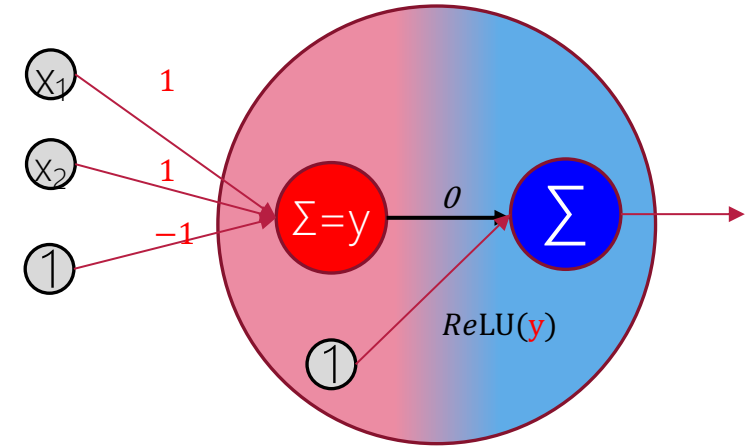
# Neural Network

$$AND: M=\{0,1\}^2 \rightarrow N=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\}$$

$$N = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 0), ((1,0), 0), ((1,1), 1)\}$$



$$[[x_1 \ x_2 \ 1] \begin{bmatrix} 1 \\ 1 \\ -1 \end{bmatrix}]^1 \begin{bmatrix} 0 \\ \max(0, x_1 \times 1 + x_2 \times 1 + -1) \end{bmatrix} = z$$

# Neural Network

---

$$OR: M = \{0,1\}^2 \rightarrow N = \{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\}$$

$$N = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 1), ((1,0), 1), ((1,1), 1)\}$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w_1 \\ w_2 \\ b \end{bmatrix}] \begin{matrix} 0 \\ \sigma(x_1 \times w_1 + x_2 \times w_2 + b) \end{matrix} = z$$

# Neural Network

---

$$XOR: M=\{0,1\}^2 \rightarrow N=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\}$$

$$N = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 1), ((1,0), 1), ((1,1), 0)\}$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w_1 \\ w_2 \\ b \end{bmatrix}]^T \begin{bmatrix} 0 \\ \sigma(x_1 \times w_1 + x_2 \times w_2 + b) \end{bmatrix} = z$$



# Neural Network

---

$$XOR: M=\{0,1\}^2 \rightarrow N=\{0,1,2\}^2 \rightarrow O=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\} \rightarrow N = \{(0,0), (0,1), \dots, (2,2)\} \rightarrow O = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 1), ((1,0), 1), ((1,1), 0)\}$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w_1 \\ w_2 \\ b \end{bmatrix}]^1 \left[ \sigma(x_1 \times w_1 + x_2 \times w_2 + b) \right] = y_1$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w'_1 \\ w'_2 \\ b' \end{bmatrix}]^1 \left[ \sigma(x_1 \times w'_1 + x_2 \times w'_2 + b') \right] = y_2$$

$$[[y_1 \ y_2 \ 1] \begin{bmatrix} w''_1 \\ w''_2 \\ b'' \end{bmatrix}]^1 \left[ \sigma(x_1 \times w''_1 + x_2 \times w''_2 + b'') \right] = z$$

# Neural Network

---

$$XOR: M=\{0,1\}^2 \rightarrow N=\{0,1,2\}^2 \rightarrow O=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\} \rightarrow N = \{(0,0), (0,1), \dots, (2,2)\} \rightarrow O = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 1), ((1,0), 1), ((1,1), 0)\}$$

$$[[x_1 \ x_2 \ 1] \begin{bmatrix} w_1 & w'_1 \\ w_2 & w'_2 \\ b & b' \end{bmatrix} 1] =$$

$$[x_1 \times w_1 + x_2 \times w_2 + b \quad x_1 \times w'_1 + x_2 \times w'_2 + b' \quad 1] \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ \sigma(x_1 \times w_1 + x_2 \times w_2 + b) & \sigma(x_1 \times w'_1 + x_2 \times w'_2 + b') \end{bmatrix} =$$

$$\underbrace{[\sigma(x_1 \times w_1 + x_2 \times w_2 + b)]}_{\alpha} \underbrace{[\sigma(x_1 \times w'_1 + x_2 \times w'_2 + b')]}_{\beta} \begin{bmatrix} w''_1 \\ w''_2 \\ b'' \end{bmatrix} 1] \begin{bmatrix} 0 \\ 0 \\ \sigma(\alpha \times w''_1 + \beta \times w''_2 + b'') \end{bmatrix} = z$$

# Neural Network

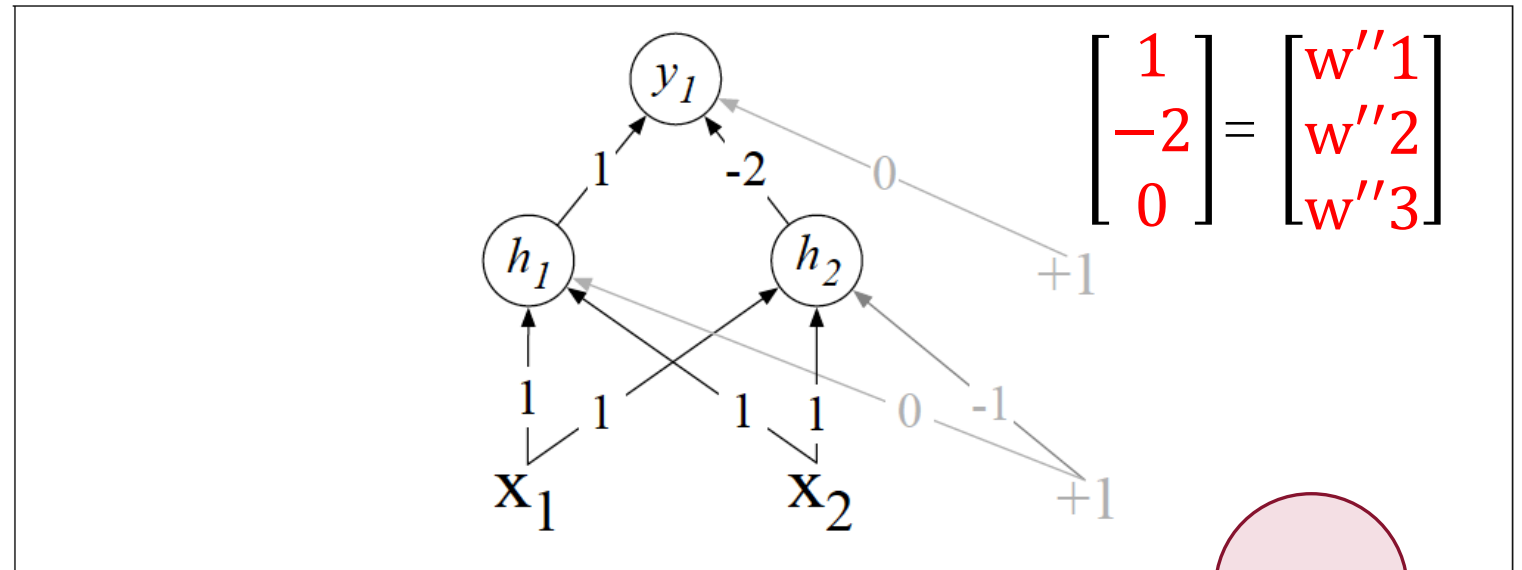
$$XOR: M=\{0,1\}^2 \rightarrow N=\{0,1,2\}^2 \rightarrow O=\{0,1\}$$

$$M = \{(0,0), (0,1), (1,0), (1,1)\} \rightarrow N = \{(0,0), (0,1), \dots, (2,2)\} \rightarrow O = \{0, 1\}$$

$$h = \{((0,0), 0), ((0,1), 1), ((1,0), 1), ((1,1), 0)\}$$

$$\begin{bmatrix} x_1 & x_2 & 1 \end{bmatrix} \begin{bmatrix} w_1 & w'_1 \\ w_2 & w'_2 \\ w_3 & w'_3 \end{bmatrix} =$$

$$\begin{bmatrix} x_1 & x_2 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 \\ 1 & 1 \\ 0 & -1 \end{bmatrix} =$$



**Figure 7.6** XOR solution after Goodfellow et al. (2016). There are three ReLU units, in two layers; we've called them  $h_1$ ,  $h_2$  ( $h$  for "hidden layer") and  $y_1$ . As before, the numbers on the arrows represent the weights  $w$  for each unit, and we represent the bias  $b$  as a weight on a unit clamped to +1, with the bias weights/units in gray.

$$[h_1 = \text{ReLU}(x_1 + x_2) \quad h_2 = \text{ReLU}(x_1 + x_2 - 1)]$$

# Neural Network

$$XOR: M = \{0,1\}^2 \rightarrow N = \{0,1,2\}^2 \rightarrow O = \{0,1\}$$

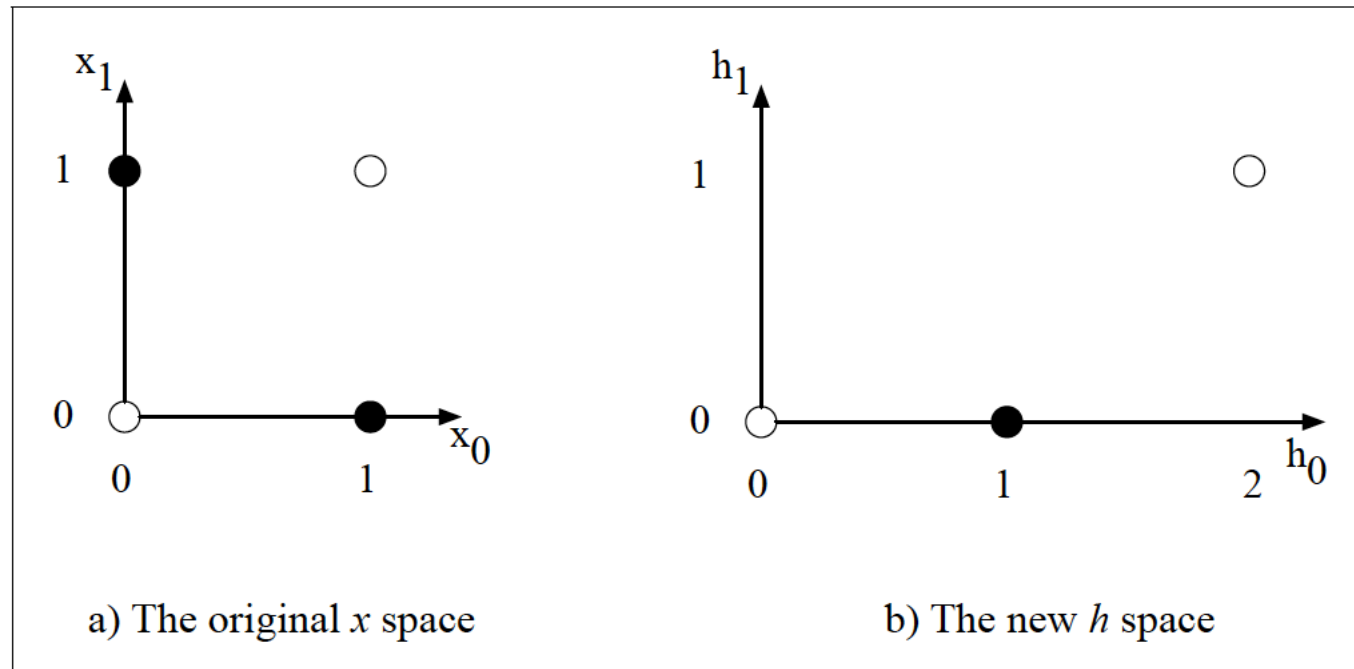
$$M = \{(0,0), (0,1), (1,0), (1,1)\} \rightarrow N = \{(0,0), (0,1), \dots, (2,2)\} \rightarrow O = \{0, 1\}$$

$$(0,0) \rightarrow (0,0) \rightarrow (0)$$

$$(0,1) \rightarrow (1,0) \rightarrow (1)$$

$$(1,0) \rightarrow (1,0) \rightarrow (1)$$

$$(1,1) \rightarrow (2,1) \rightarrow (0)$$



**Figure 7.7** The hidden layer forming a new representation of the input. Here is the representation of the hidden layer,  $h$ , compared to the original input representation  $x$ . Notice that the input point  $[0\ 1]$  has been collapsed with the input point  $[1\ 0]$ , making it possible to linearly separate the positive and negative cases of XOR. After [Goodfellow et al. \(2016\)](#).

---

# Universal Approximation Theorem

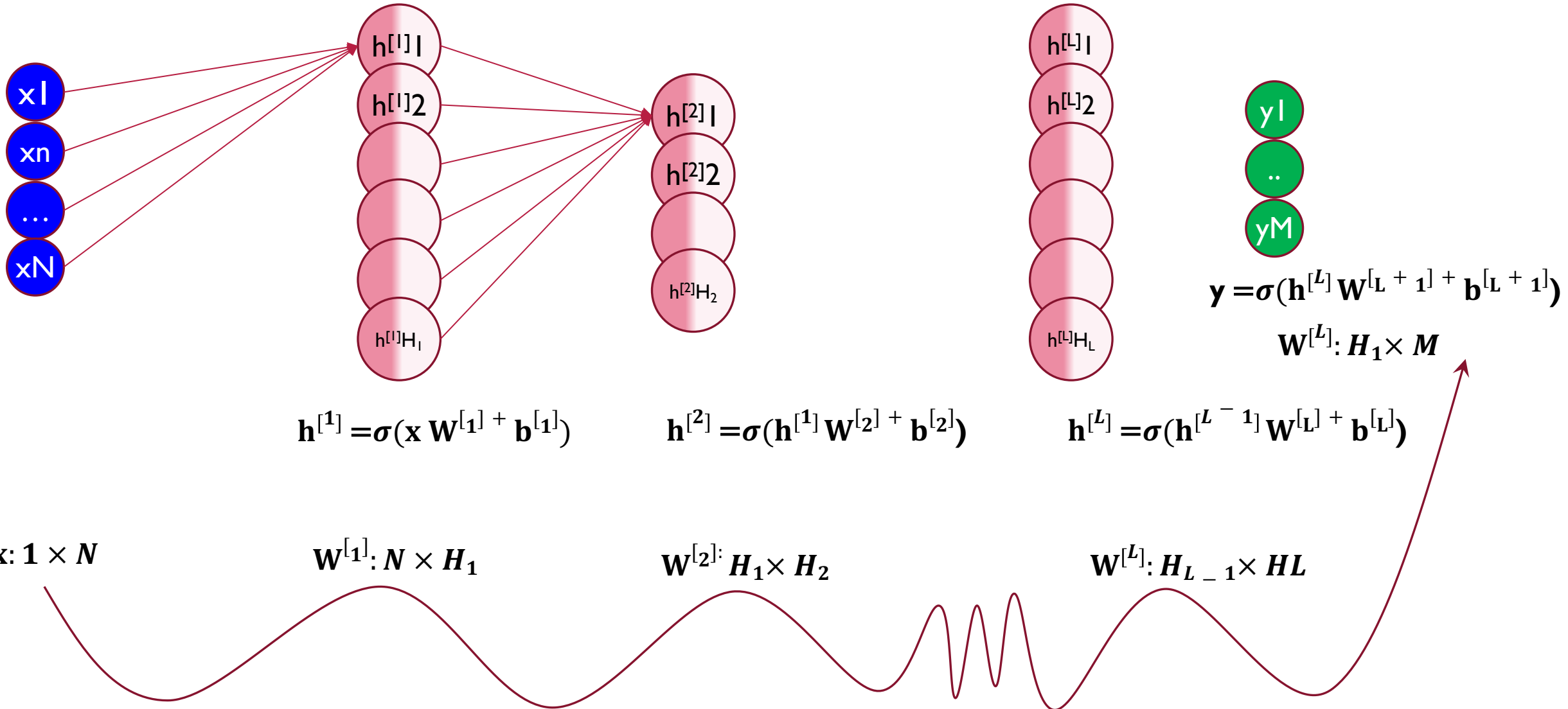
<http://neuralnetworksanddeeplearning.com/chap4.html>

---

Be careful, it shows the existence (power) of neural nets, but it does not show which architecture is the function!

How many transformation (#layers)?  
To what intermediate space (#nodes)?

# Neural Network: Feed-forward



# Neural Network: Feed-forward Train

---

The only known is the data (input:  $X$ , output:  $Y$ )  
Given the input point  $X$ , the net should land it to  $Y$ .

If the net should land it to  $f(X) = Y' \rightarrow$  error!  
Correct the net to make  $Y'$  close to  $Y$ .

How?

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$



# Neural Network: Feed-forward Train

---

How?

By minimizing the overall error.

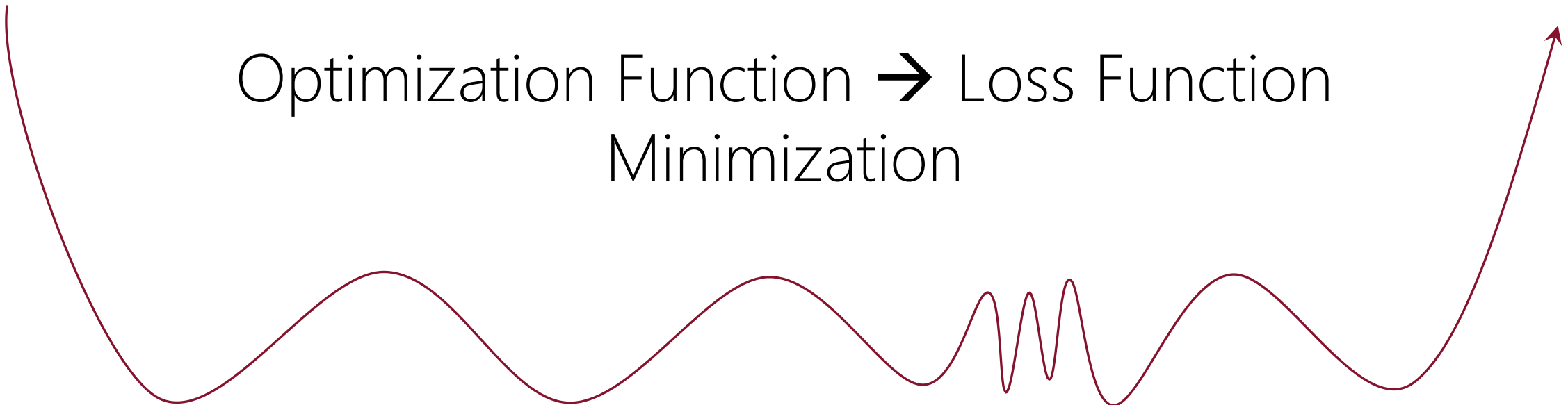
What is error?

$e = \text{distance}(Y, f(X)); \text{ for all } (X, Y)$

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

Optimization Function  $\rightarrow$  Loss Function  
Minimization





# Neural Network: Gradient

---

How?

By minimizing the overall error.

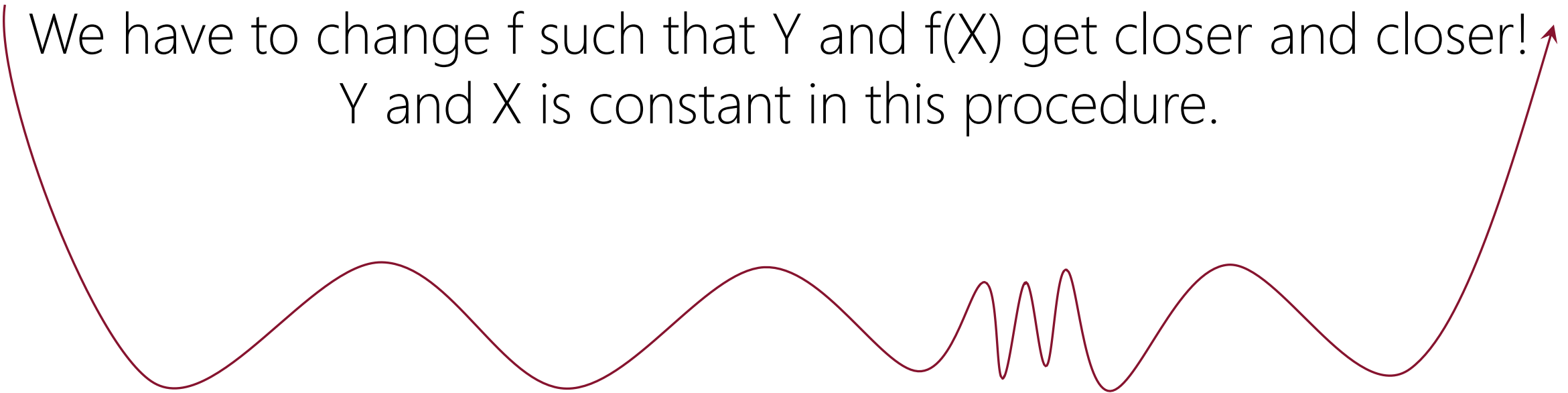
What is error?

$e = \text{distance}(Y, f(X));$  for all  $(X, Y)$

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

We have to change  $f$  such that  $Y$  and  $f(X)$  get closer and closer!  
 $Y$  and  $X$  is constant in this procedure.



# Neural Network: Gradient

---

How?

By minimizing the overall error.

What is error?

$e = \text{distance}(Y, f(X));$  for all  $(X, Y)$

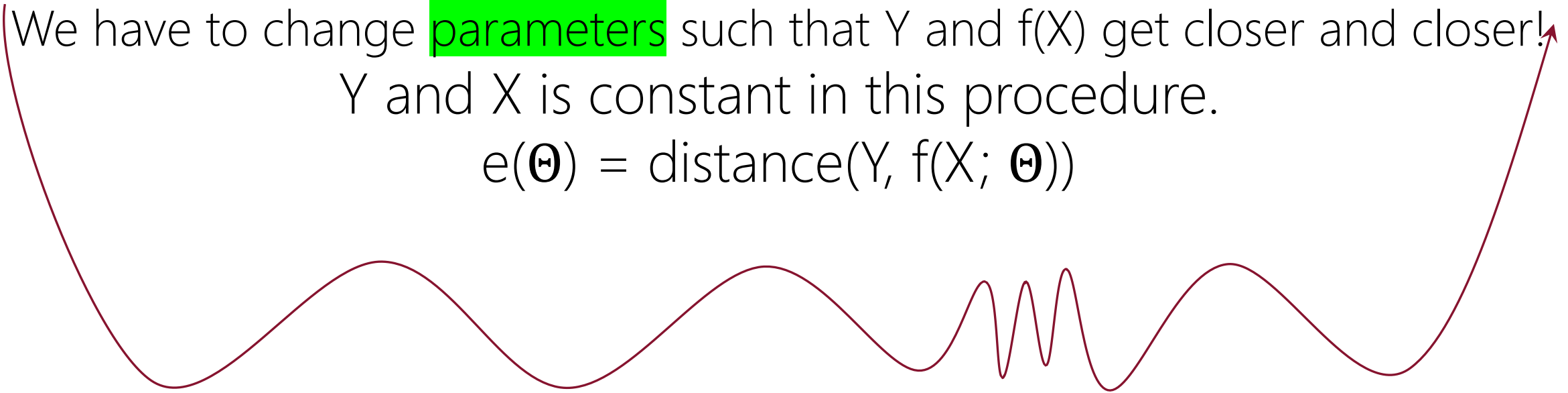
$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

We have to change **parameters** such that  $Y$  and  $f(X)$  get closer and closer!

$Y$  and  $X$  is constant in this procedure.

$$e(\Theta) = \text{distance}(Y, f(X; \Theta))$$



# Neural Network: Gradient

---

$$f(x) = 3x+1 \rightarrow f(x; \Theta) = f(x; [3,1]) = 3x+1$$

$$f(x; [w_1, w_2]) = w_1 x + w_2$$

$$(x, y) = (2, 1)$$

$$f(2; [w_1, w_2]) = w_1 \cdot 2 + w_2$$

$$e = e(w_1, w_2) = e(\Theta) = |f(2; [w_1, w_2]) - 1| = w_1 \cdot 2 + w_2 - 1$$

How to change  $w_1$  and  $w_2$  to reduce the error?

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

# Neural Network: Gradient Descent

---

$$f(x) = 3x+1 \rightarrow f(x; \Theta) = f(x; [3,1]) = 3x+1$$

$$f(x; [w_1, w_2]) = w_1 x + w_2$$

$$(x, y) = (2, 1)$$

$$f(2; [w_1, w_2]) = w_1 2 + w_2$$

$$e = e(w_1, w_2) = e(\Theta) = |f(2; [w_1, w_2]) - 1| = w_1 2 + w_2 - 1$$

$$w_1 = w_1 - \eta \frac{\partial e}{\partial w_1} = w_1 - 0.1 \times 2 = w_1 - 0.2$$

$$w_2 = w_2 - \eta \frac{\partial e}{\partial w_2} = w_2 - 0.1 \times 1 = w_2 - 0.1$$

x1

xn

...

xN

yl

..

yM

# Neural Network: Gradient Descent

---

$$f(x; \Theta) = f(x; [2.8, 0.9]) = 2.8x + 0.9 =$$

$$f(x; \Theta) = f(x; [2.6, 0.8]) = 2.6x + 0.8 =$$

$$f(x; \Theta) = f(x; [2.4, 0.7]) = 2.4x + 0.7 =$$

...

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

# Neural Network: Gradient Descent

---

Convex Error Function

Many Parameters (all matrices' elements)

$x_1$   
 $x_n$   
...  
 $x_N$

$y_1$   
..  
 $y_M$

Backpropagation!

Computation Graph

Forward Pass

Backward Differentiation.

---

# Neural Language Model

---

# Neural Language Model

---

## Bigram Language Model

$$P(w_i | w_{i-1}) = \frac{\#(w_i, w_{i-1})}{\#(w_i)}$$

$$f: (\{0,1\}^{|V|})^2 \rightarrow \mathbb{R}^{[0,1]}; f(w_i, w_{i-1}) = P(w_i | w_{i-1})$$

Input: one-hot vector

$$w_{i-1} = [0 \ 0 \ 0 \ \dots \ 1 \ 0 \dots 0 \ 0]$$

$$w_i = [0 \ 0 \ 0 \ \dots \ 0 \ 1 \ \dots 0 \ 0]$$

Output:

$$[y]$$



# Neural Language Model

---

## Bigram Language Model

$$P(w_i | w_{i-1}) = \frac{\#(w_i, w_{i-1})}{\#(w_i)}$$

$$f: (R^{|V|})^2 \rightarrow R^{[0,1]}; f(w_i, w_{i-1}) = P(w_i | w_{i-1})$$

Input: sparse semantic vector

$$w_{i-1} = [1 \ 2 \ 3 \ \dots \ 1 \ 2 \dots \ 1 \ 0]$$

$$w_i = [4 \ 0 \ 2 \ \dots \ 0 \ 5 \ \dots \ 3 \ 0]$$

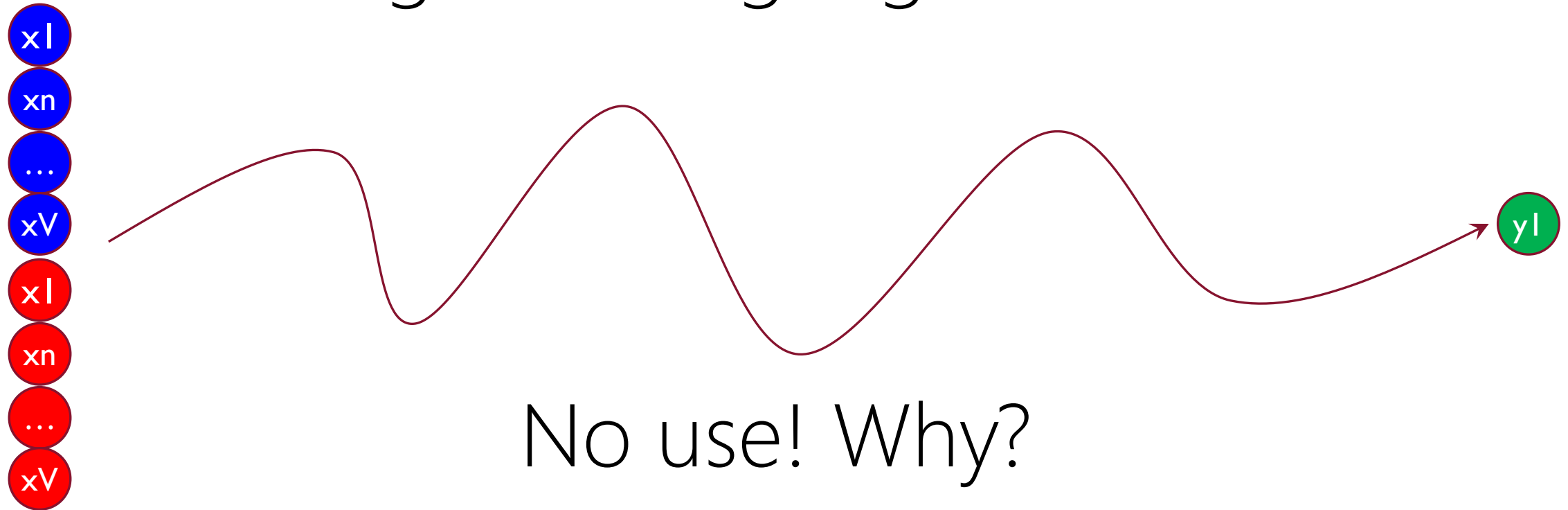
Output:

$$[y]$$

# Neural Language Model

---

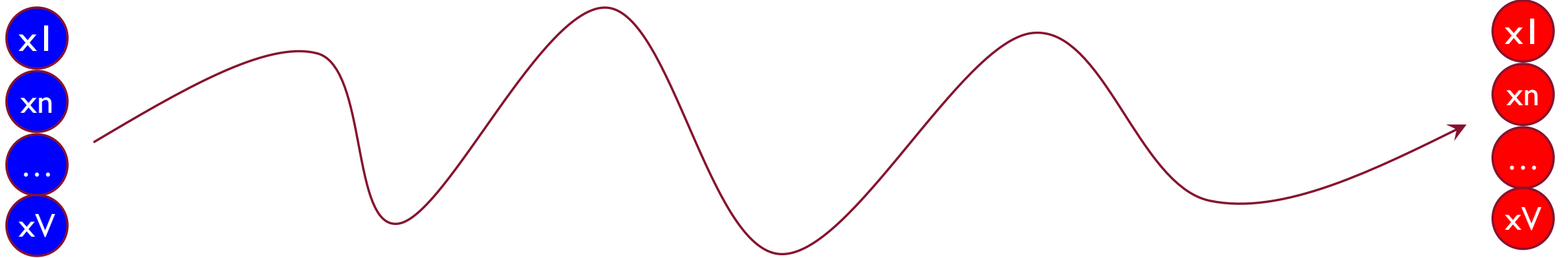
## Bigram Language Model



# Neural Language Model

---

## Bigram Language Model



$P(w_i | w_{i-1}) = ?$  Unknown Initially

While enumerating the text stream, when I see  $[w_{i-1} w_i]$  then

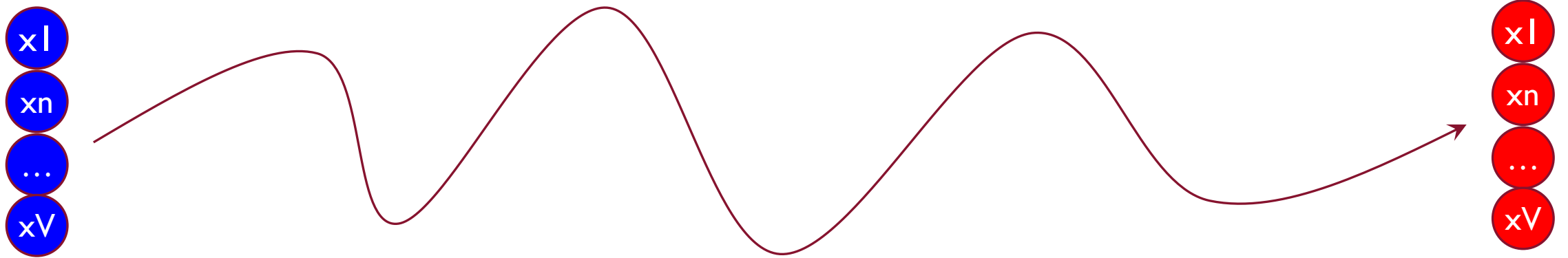
$$f: \{0,1\}^{|V|} \rightarrow \{0,1\}^{|V|}; f(w_{i-1}) \rightarrow w_i$$

$$[0 \ 0 \ 0 \ \dots \ 1 \ 0 \ \dots \ 0 \ 0 \ 0] \rightarrow [0 \ 0 \ 0 \ \dots \ 0 \ 1 \ \dots \ 0 \ 0 \ 0]$$

# Neural Language Model

---

## Bigram Language Model



$P(w_i | w_{i-1}) = ?$  Unknown Initially

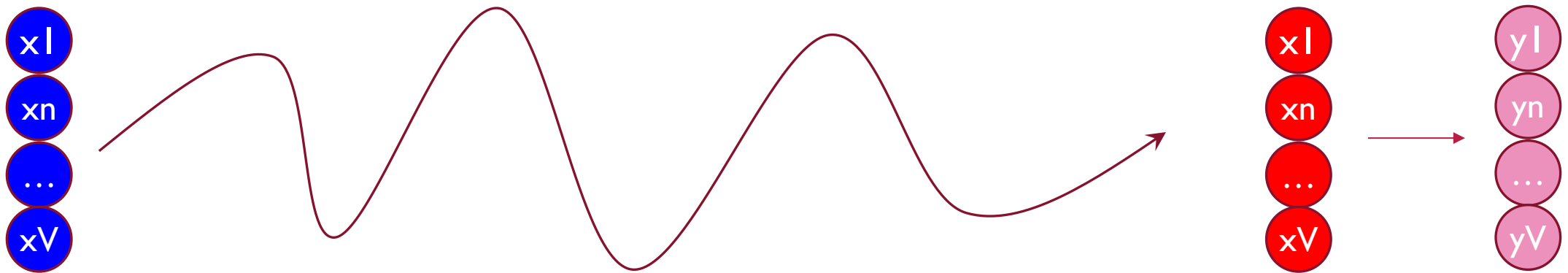
While enumerating the text stream, when I see  $[w_{i-1} w_i]$  then

$$f: \{0,1\}^M \rightarrow \mathbb{R}^M; f(w_{i-1}) \rightarrow \#(w_{i-1}w_i)$$

$$[0 \ 0 \ 0 \ \dots \ 1 \ 0 \ \dots \ 0 \ 0 \ 0] \rightarrow [0 \ 1 \ 0 \ \dots \ 0 \ 2 \ \dots \ 1 \ 0 \ 0]$$

# Neural Language Model

## Bigram Language Model



$P(w_i | w_{i-1}) = ?$  Unknown Initially

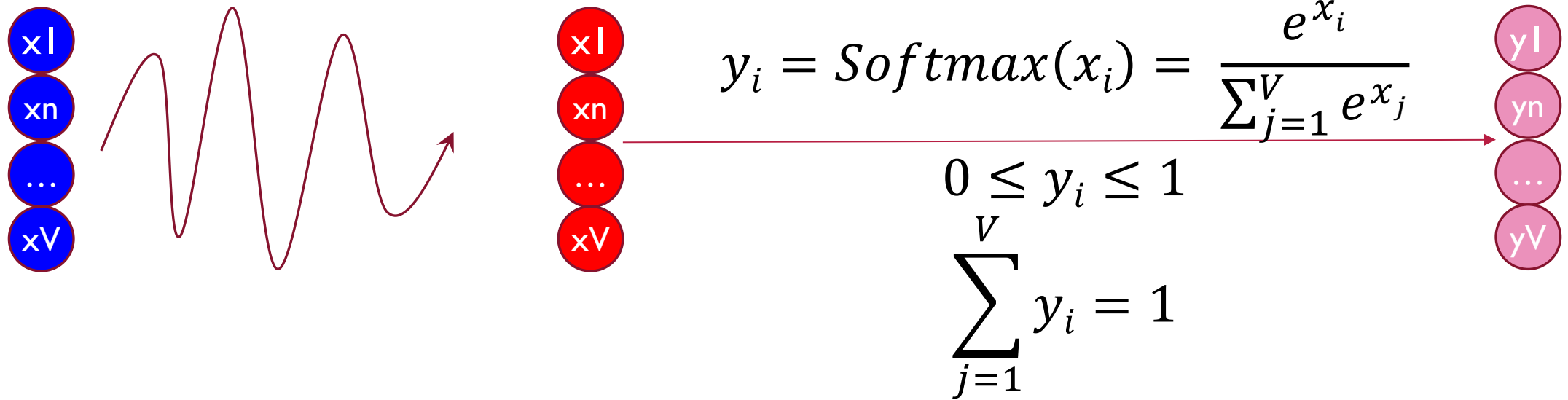
While enumerating the text stream, when I see  $[w_{i-1} w_i]$  then

$f: \{0,1\}^{|V|} \rightarrow \mathbb{R}^{|V|}; f(w_{i-1}) \rightarrow \#(w_{i-1}w_i) \rightarrow \text{Normalized}$

$[0 \ 0 \ 0 \ \dots \ 1 \ 0 \ \dots \ 0 \ 0 \ 0] \rightarrow [0 \ 1 \ 0 \ \dots \ 0 \ 2 \ \dots \ 1 \ 0 \ 0] \rightarrow \text{Softmax}$

# Neural Language Model

## Bigram Language Model

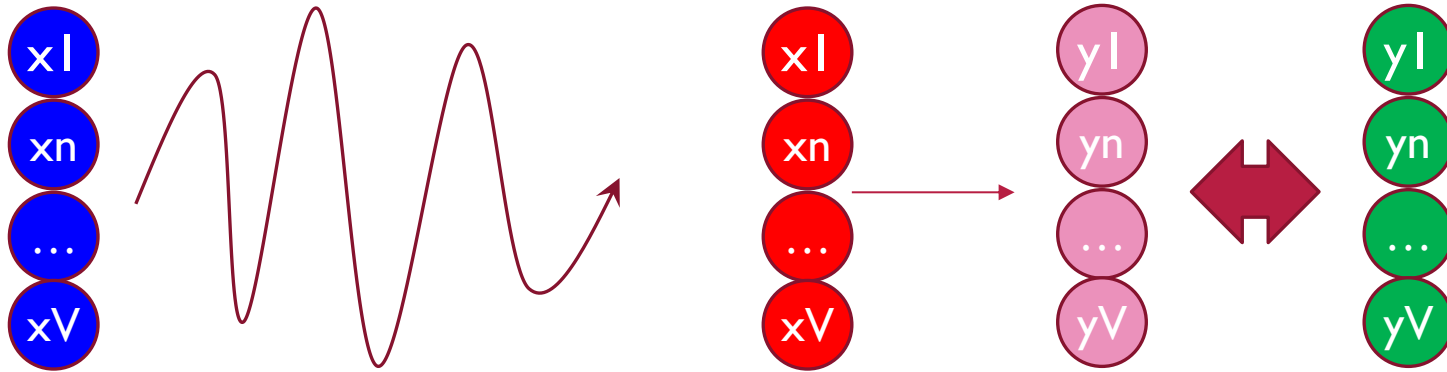


$$f: f: \{0,1\}^{|V|} \rightarrow [0,1]^{|V|}; f(w_{i-1}) = P(w_i | w_{i-1})$$

# Neural Language Model

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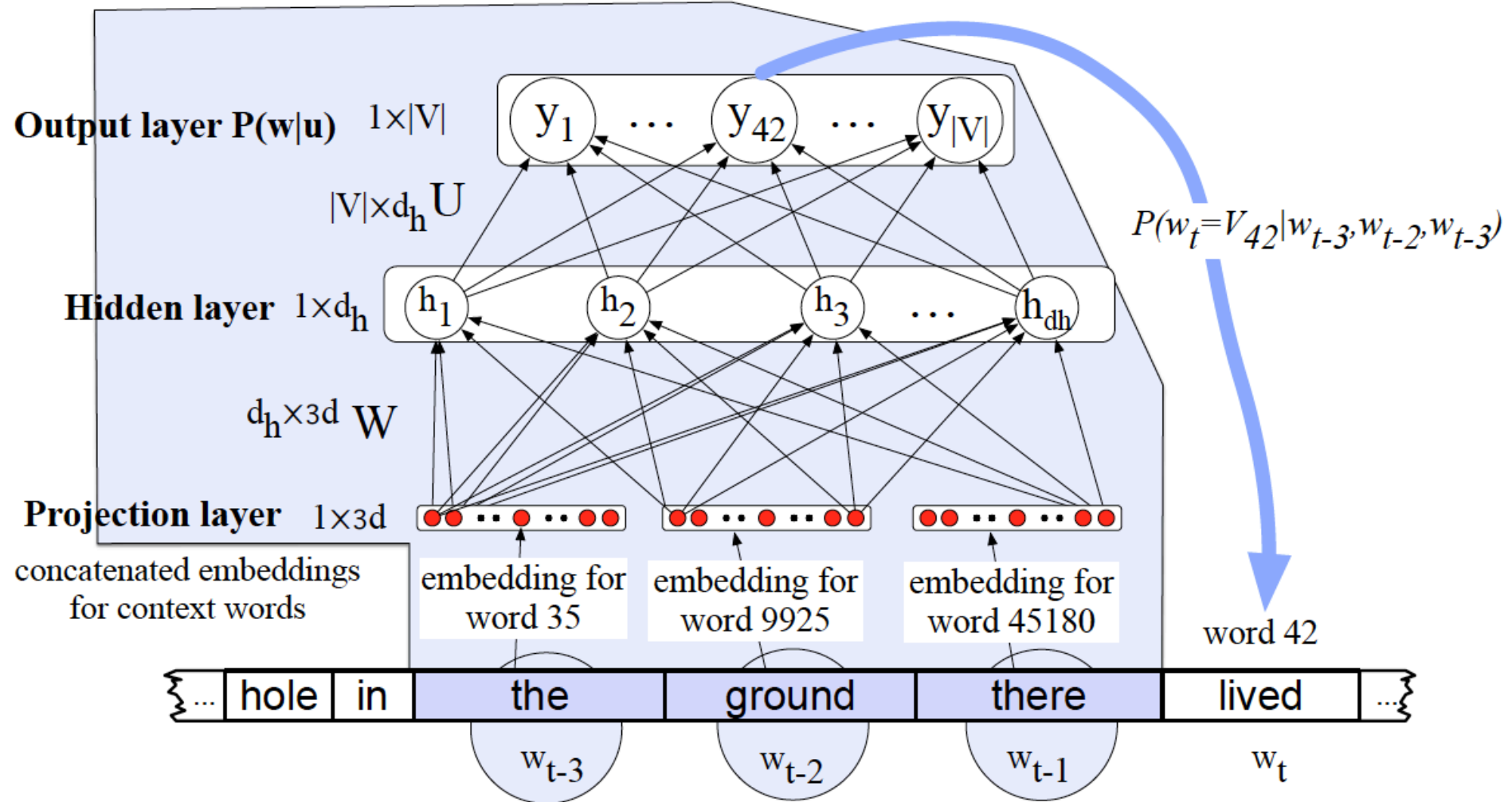
## Bigram Language Model



$$\text{Error} = \text{Loss} = -\log P(w_i | w_{i-1})$$

# Neural Language Model

Bengio, Yoshua, et al. "A neural probabilistic language model." The journal of machine learning research 3 (2003): 1137-1155.

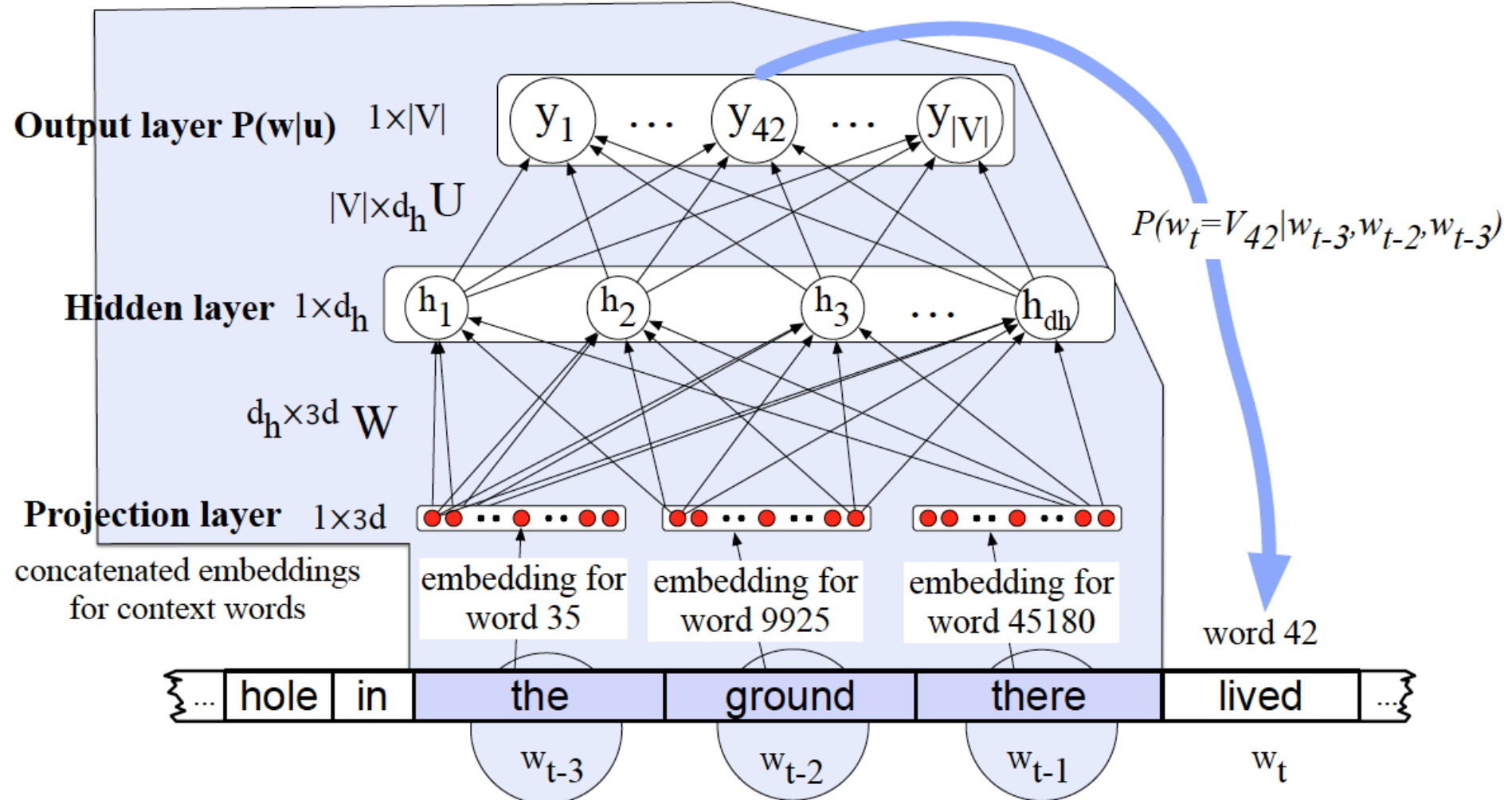


N-gram or Bag-of-Word?



# Neural Language Model

Bengio, Yoshua, et al. "A neural probabilistic language model." The journal of machine learning research 3 (2003): 1137-1155.



Bottleneck  $\rightarrow$  Softmax  $\rightarrow$  Hierarchical Softmax

# Neural Language Model

