






# A Tidy Framework and Infrastructure to Systematically Assemble Spatio-temporal Indexes from Multivariate Data

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## ARTICLE HISTORY

Compiled August 15, 2023

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## ABSTRACT

- indexes, useful, quantify severity, early monitoring,
- A huge number of indexes have been proposed by domain experts, however, a large majority of them are not being adopted, reused, and compared in research or in practice.
- One of the reasons for this is the plenty of indexes are quite complex and there is no obvious easy-to-use implementation to apply them to user's data.
- The paper describes a general pipeline framework to construct indexes from spatio-temporal data,
- This allows all the indexes to be constructed through a uniform data pipeline and different indexes to vary on the details of each step in the data pipeline and their orders.
- The pipeline proposed aim to smooth the workflow of index construction through breaking down the complicated steps proposed by various indexes into small building blocks shared by most of the indexes.
- The framework will be demonstrated with drought indexes as examples, but applicable in general to environmental indexes constructed from multivariate spatio-temporal data

## KEYWORDS

indexes; data pipeline; software design

## 1. Introduction

Indexes are commonly used to combine and summarize different sources of information into a single number for monitoring, communicating, and decision-making. Examples of those include the Air Quality Index, El Niño-Southern Oscillation Index, Consumer Price Index, QS University Rankings or Human Development Index among many others.

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To construct an index, experts typically start by defining a concept of interest that requires measurement. This concept often lacks a direct measurable attribute or can only be measure as a composite of various processes, yet it holds social and public significance. To create an index, once the underlying processes involved are identified, relevant and available variables are then defined, collected, and combined using statistical methods into an index that aims at measure the process of interest. The construction process is often not straightforward, and decisions need to be made, such as the selection of variables to be included, which might depend on data availability and the statistical definition of the index to be used, among others. For instance, the indexes constructed from linear combination of variables need to decide on the weight assigned to each variable. Some indexes have a spatial and/or temporal components, and variables can be aggregated to different spatial resolutions and temporal scales, leading to various indexes for different monitoring purposes. Hence, all these decisions can result in different index values and have different practical implications.

To be able to test different decision choices systematically for an index, the index needs to be broken down into its fundamental building blocks to analyse the contribution and effect of each component. We call this process of breaking the index construction into different steps the index pipeline. Such decomposition of index components provides the means to standardise index construction via a pipeline and offers benefits for comparing among indexes and calculating index uncertainty. In social indexes for instance, the OECD handbook (OECD, European Union, and Joint Research Centre - European Commission 2008) has provided a set of steps and recommendations for constructing composite socio-economic indexes. However, there is still a need to extend these guidelines and methods to accommodate the inclusion of more methodological complex steps required for indexes in general.

In this work, we aim at providing statistical and computational methods to develop a data pipeline framework for constructing and customize indexes using data. As a companion the R package, `tidyindex`, is developed to construct and explore different. Furthermore, the `tidyindex` package can be used to explore the effects of changes on index definition, index construction steps, and construction methods. This work provides researchers actively developing new indexes or aiming at improving indexes with the tools to easily modify and evaluate indexes. It also helps index analysts diagnose indexes, carry out sensitivity analysis to assess small perturbations in the index, and identify potential weaknesses for methodology improvement.

The rest of the paper is structured as follows: Section 2 reviews the concept of data pipeline in R. The pipeline framework for index construction is presented in Section 3. Section 4 explains how to add new steps into the index methodology in the form of new building blocks inside the index construction pipeline. Examples are given in Section 5 to demonstrate the index construction with the pipeline built.

## 2. Tidy framework

The tidy framework consists of two key components: tidy data and tidy tools. The concept of tidy data (Wickham 2014) prescribes specific rules for organising data in an analysis, with observations as rows, variables as columns, and types of observational units as tables. Tidy tools, on the other hand, are concatenated in a sequence through which the tidy data flows, creating a pipeline for data processing and modelling. These

pipelines are data centric, meaning all the tidy tools or functions take a tidy data object as inputs and return a processed tidy data object, directly ready for the next operations to be applied. Also, the pipeline approach corresponds to the modular programming practice, which breaks down complex problems into smaller and more manageable pieces, as oppose to a monolithic design, where all the steps are predetermined and integrated into a single piece. The flexibility provided by the modularity makes it easier to modify certain steps in the pipeline and to maintain and extend the code base.

Examples of using a pipeline approach for data analysis can be traced back to the interactive graphics literature, including Buja et al. (1988); Sutherland et al. (2000); Xie, Hofmann, and Cheng (2014); Wickham et al. (2009). Wickham et al. (2009) argues that whether made explicit or not, a pipeline has to be presented in every graphics program and making them explicit is beneficial for understanding the implementation and comparing between different graphic systems. While this comment is made in the context of interactive graphics program, it is also applicable generally to any data analysis workflow. More recently, the tidyverse suite (Wickham et al. 2019) takes the pipeline approach for general-purpose data wrangling and has gained popularity within the R community. The pipeline-style code can be directly read as a series of operations applied successively on tidy data object, offering a method to document the data wrangling process with all the computational details for reproducibility.

Since the success of tidyverse, more packages have been developed to analyze data using the tidy framework for domains specific applications, a noticeable example of which is `tidymodels` for building machine learning models (Kuhn and Wickham 2020). To create a tidy workflow tailored to a specific domain, developers first need to identify the fundamental building blocks to create a workflow. These components are then implemented as modules, which can be combined to form the pipeline. For example, in supervised machine learning models, steps such as data splitting, model training and model evaluation are commonly used in most workflow. In the `tidymodels`, these steps are correspondingly implemented as package `rsample`, `parsnip`, and `yardstick`, agnostic to the specific model chosen. The uniform interface in `tidymodels` frees analysts from recalling model-specific syntax for performing the same operation across different models, increasing the efficiency to work with different models simultaneously.

For constructing indexes, the pipeline approach adopts explicit and standalone modules that can be assembled in different ways. Index developers can choose the appropriate modules and arrange them accordingly to generate the data pipeline that is needed for their purpose. The pipeline approach provides many advantages:

- makes the computation more transparent, and thus more easily debugged.
- allows for rapidly processing new data to check how different features, like outliers, might affect the index value.
- provides the capacity to measure uncertainty by computing confidence intervals from multiple samples as generated by bootstrapping to original data.
- enables systematic comparison of surrogate indexes designed to measure the same phenomenon.
- it may even be possible to automate diagramatic explanations and documentation of the index.

Adoption of this pipeline approach would provide uniformity to the field of index development, research and application.

### 3. Details of the index pipeline

In constructing various indexes, the primary aim is to transform the data, often multivariate, into a univariate index. Spatial and temporal considerations are also factored into the process when observational units and time periods are not independent. However, despite the variations in contextual information for indexes in different fields, the underlying statistical methodology remains consistent across diverse domains. Each index can be represented as a series of modular statistical operations on the data. This allows us to decompose the index construction process into a unified pipeline workflow with a standardized set of data processing steps to be applied across different indexes.

An overview of the pipeline is given in Figure 1 to illustrate the construction from raw data to the final indexes. The pipeline includes eight modules for operations in the spatial, temporal, and multivariate aspects of the data as well as modules for comparing and communicating indexes. Analysts can choose a subset of modules and reorder them as needed to construct an index.

Data pre-processing may happen before the start of the index pipeline to prepare multivariate data from different locations/ countries ready for constructing an index. For remote sensing data, it includes aligning the spatial resolution and temporal frequency of data collected from different satellite products, or merging in-situ stations with the satellite data.

Now, we introduce the notation used for describing pipeline modules. Consider multivariate spatio-temporal process,

$$\mathbf{x}(s; t) = \{x^1(s; t), x^2(s; t), \dots, x^p(s; t)\} \quad s \in D_s \subseteq \mathbb{R}^m, t \in D_t \subseteq \mathbb{R}^n \quad (1)$$

where:

- $x^i(s, t)$  represents a variable of interest for example precipitation,  $i = 1, \dots, p$  and
- $s$  represents the geographic locations in the space  $D_s \subseteq \mathbb{R}^m$ . Examples of geographic locations include a collection of countries, longitude and latitude coordinates or regions of interest and,
- $t$  denotes the temporal order in  $D_t \subseteq \mathbb{R}^n$ . For instance, time measurements could be recorded hourly, yearly, monthly, quarterly or by season.

In what follows when geographic or temporal components of the  $x(s, t)$  process are fixed we will be using suffix notation. For example,  $x_s(t)$  represents the data for a fixed location  $s$  as a function of time  $t$ . While  $x_t(s)$  denotes the spatial varying process for a fixed  $t$ . An overview of the notation for pipeline input, operation, and output is present in Table 1.

Table 1. An notation overview of the input, operation, and output of each pipeline module.

No.Module	Input	Operation	Output
1 Temporal processing	$x_s^i(t)$	$f[x_s^i(t)]$	$\tilde{x}_s^i(t')$ , where $t' \in D_{t'}$

No.Module		Input	Operation	Output
2	Spatial processing	$x_t^i(s)$	$g[x_t^i(s)]$	$x_t^i(s')$ , where $s' \in D_{s'}$ ????
3	Variable transformation	$x^i(s; t)$	$T[x^i(s; t)]$	$Tx^i(s; t)$ ????
4	Scaling	$x^i(s; t)$	$[x^i(s; t) - \alpha]/\gamma$	$Sx^i(s; t)$ ????
5	Dimension reduction	$\mathbf{x}(s; t)$ , where $\mathbf{x} \subseteq \mathbb{R}^q$ , $q \leq p$	$h[\mathbf{x}(s; t)]$	$\mathbf{y}(s; t)$ , where $\mathbf{y} \subseteq \mathbb{R}^d$ and $d < q$
6	Distribution fit	$x^i(s; t)$	$F[x^i(s; t)]$ , where $F$ is the CDF	$p^i(s; t)$ , where $p(\cdot) \in [0, 1]$ is a probability value
7	Normalising	$x^i(s; t)$	$\Phi^{-1}[x^i(s; t)]$	$z^i(s; t)$ , where $z$ has a normal distribution
8	Benchmarking	$x^i(s; t)$	$u[x^i(s; t)]$	$b^i(s; t)$
9	Simplification	$x^i(s; t)$	$v[x^i(s; t)]$	$A^i(s; t) \in \{a_1, a_2, \dots, a_j\}$

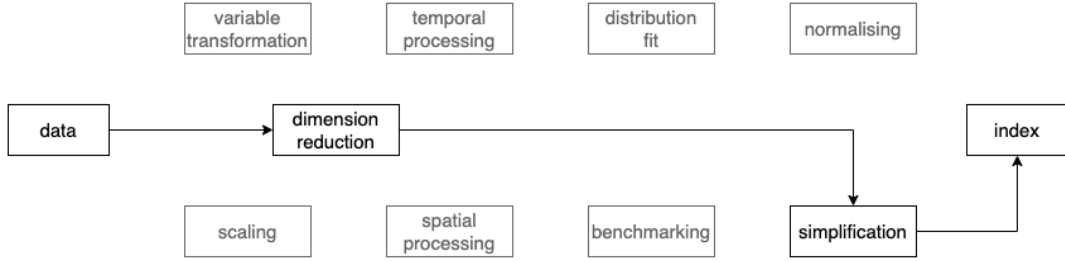


Figure 1. Diagram of pipeline modules for index construction. The highlighted path illustrates one possible construction using the dimension reduction and simplification module.

### 3.1. Temporal processing

The temporal processing module takes as input argument a single variable  $x_s^i(t)$  at location  $s$  as a function of time. In this step the original time series can be transformed or summarized into a new one via time aggregation, the transformation is represented by the function  $f$ ,  $\tilde{x}_s^i(t') = f[x_s^i(t)]$  where  $t'$  refers to the new temporal resolution after aggregation. An example of a temporal processing done in the computation of the Standardized Precipitation Index (SPI), consists of summing the monthly precipitation series over a rolling time window of size  $k$ . That is also known as the time scale. For SPI, the choice of the time scale  $k$  is used to control the accumulation period for the water deficit, enabling the assessment of drought severity across various types (meteorological, agricultural, and hydrological).

### 3.2. Spatial processing

The spatial processing module takes a single variable with a fixed temporal dimension,  $x_t(s)$ , as input. This step transforms the variable from the original spatial dimension

$s$  into the new dimension  $s' \in D_{s'}$  through  $x^\#(s; t) = g[x(s; t)]$ . The change of spatial dimension allows for the alignment of variables collected from different measurements, such as in-situ stations and satellite imagery, or originating from different resolutions. This also includes the aggregation of variables into different levels, such as city, state, and countries scales.

### 3.3. Variable transformation

Variable transformation takes input of a single variable  $x^i(s; t)$  and reshapes its distribution using the function  $T[\cdot]$  to produce  $x^i(s; t)$ . When a variable has a skewed distribution, transformations such as log, square root, or cubic root can adjust the distribution towards normality. For example, in Human Development Index (HDI), a logarithmic transformation is applied to the variable Gross National Income per capita (GNI), to reduce its impact on HDI, particularly for countries with high GNI values.

### 3.4. Scaling

Unlike variable transformation, scaling maintains the distributional shape of the variable. It includes techniques such as centering, z-score standardisation, and min-max standardisation and can be expressed as  $[x^i(s; t) - \alpha]/\gamma$ . In Human Development Index (HDI), the three dimensions (health, education, and economy) are converted into the same scale (0-1) using min-max standardisation.

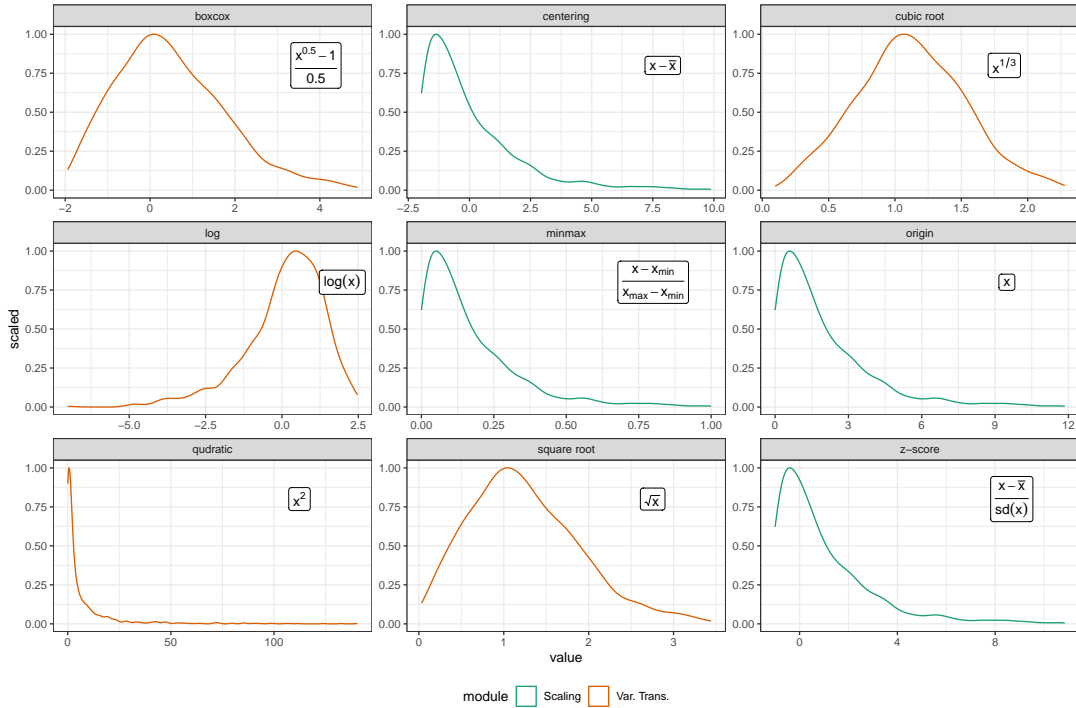


Figure 2. Comparison of the module scaling (green) and variable transformation (orange). While both modules change the variable range, scaling maintains the same distributional shape, which is not the case with variable transformation.

### 3.5. *Dimension reduction*

Dimension reduction takes the multivariate information  $\mathbf{x}(s; t)$ , where  $\mathbf{x} \subseteq \mathbb{R}^q$ ,  $q \leq p$ , as the input. It summarises the high-dimensional information into a lower dimension representation  $\mathbf{y}(s; t)$ , where  $\mathbf{y} \subseteq \mathbb{R}^d$  and  $d < q$ , as the output. The transformation can be based on domain-specific knowledge, originating from theories describing the underlying physical processes, or guided in statistical methods. For example, the Standardized Precipitation-Evapotranspiration Index (SPEI) calculates the difference  $D$  between precipitation ( $P$ ) and potential evapotranspiration (PET), using a water balance model ( $D = P - \text{PET}$ ). This is the only step that differs from the Standardized Precipitation Index (SPI).

### 3.6. *Distribution fit*

Distribution fit applies the cumulative distribution function  $F$  of a distribution on the variable  $x^i(s; t)$  to obtain the probability values  $p^i(s; t) \in [0, 1]$ . In SPEI, many distributions, including log-logistic, pearson III, lognormal, and general extreme distribution, are candidates for the aggregated series. Different fitting methods, and different goodness of fit tests may be used to compare the distribution choice on the index value.

### 3.7. *Normalising*

### 3.8. *Benchmarking*

Benchmark sets a value  $b^i(s, t)$  for comparing against the original variable  $x^i(s; t)$ . This benchmark can be a fixed value consistently across space and time or determined by the data through the function  $u[x^i(s; t)]$ . Once a benchmark being set, observations can be highlighted for adjustments in other modules or can serve targets for monitoring and planning.

### 3.9. *Simplification*

Simplification takes a continuous variable  $x^i(s; t)$  and categorises it into a discrete set  $A^i(s; t) \in \{a_1, a_2, \dots, a_j\}$  through a piecewise function,

$$v[x_i(s; t)] = \begin{cases} a_0 & C_1 \leq x^i(s; t) < C_0 \\ a_1 & C_2 \leq x^i(s; t) < C_1 \\ a_2 & C_3 \leq x^i(s; t) < C_2 \\ \dots & \\ a_z & C_z \leq x^i(s; t) \end{cases} \quad (2)$$

This is typically used at the end of the index pipeline to simplify the index to communicate to the public the severity of the concept of interest measured by the index. An example of simplification is to map the calculated SPI to four categories: mild, moderate, severe, and extreme drought.

## 4. Software design

## 5. Examples

This section uses the example of drought and social indexes to show the analysis made possible with the index pipeline. The drought index example computes two indexes with various time scales and distributions simultaneously using the pipeline framework to understand the flood and drought events in Queensland. The social index example focuses on the dimension reduction in Global Gender Gap Index to explore the impact of weight changes in linear combination on index value and country ranking.

### 5.1. *Every distribution, every scale, every index all at once*

A common task for drought researchers is to compute indexes at different parameter combinations. This can be used to identify the spatial and temporal extent of drought events, recommend the best parameter choice, or compare the effectiveness of indexes for monitoring drought. The example below computes two indexes: SPI and SPEI, at various time scales and fitted distributions, for stations in the state of Queensland in Australia. The purpose of the example is to demonstrate the interfaces the tidyindex package built to allow easy computing at different parameter combinations.

The state of Queensland in Australia is frequently affected by natural disaster events such as flood and drought, which can have significant impacts on its agricultural industry. This study uses daily data from Global Historical Climatology Network Daily (GHCND), accessed via the package `rnoaa` to examine drought/flood condition in Queensland. Daily data is average into monthly and stations are excluded if monthly data contains missings, which is required for calculating both SPI and SPEI. This gives 29 stations with complete records from 1990 January to 2022 April.

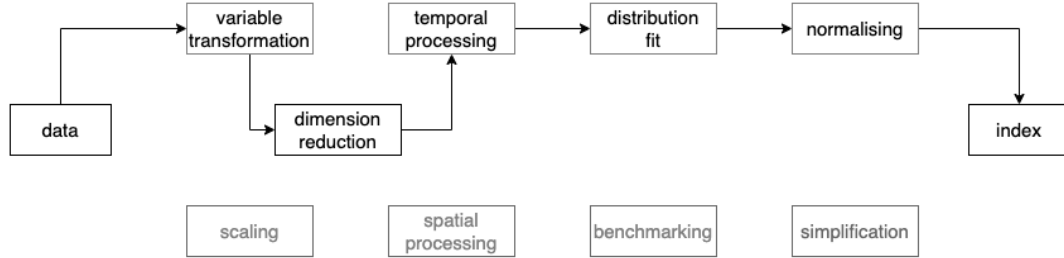


Figure 3. Diagram of pipeline steps for index construction. will need to be updated with better design and the distribution fitting step.

The function `compute_indexes()` can be used to collectively compute multiple indexes. The tidyindex offers wrapper functions, with the prefix `idx_`, that simplify the calculation of commonly used indexes by combining a set of pipeline steps into a single function. For example, the function `idx_spei()` includes the five steps previously described in `?@sec-toy-example` (variable transformation, dimension reduction, temporal aggregation, distribution fit, and normalise). Each `idx_xxx()` function specifies the relevant parameters relevant to the index: the thornthwaite method is used to calculate PET in SPEI, with the average temperature (`tavg`) and latitude (`lat`) used as inputs. The SPEI is computed at four time scales (6, 12, 24, and 36 months) and fitted with two distributions (Log-logistic and General Extreme Value (GEV)). The SPI is also



computed at the same four time scales and uses the default gamma distribution to fit the aggregated series.

```
.scale <- c(6, 12, 24, 36)
idx <- queensland %>%
  init(id = id, time = ym) %>%
  compute_indexes(
    spei = idx_spei(
      .pet_method = "thornthwaite", .tavg = tavg, .lat = lat,
      .scale = .scale, .dist = c(gev(), loglogistic())),
    spi = idx_spi(.scale = .scale)
  )
```

The output from `compute_indexes()` contains index values and associated parameter in a long tibble. It includes the original variables (`id`, `ym`, `prcp`, `tmax`, `tmin`, `tavg`, `long`, `lat`, and `name`), index parameters (`.idx`, `.scale`, `.method`, and `.dist`), intermediate variables (`.pet`, `.agg`, and `.fitted`), and the final index (`.index`). This data can be visualised across space or time, or simultaneously, to explore the wet/dry condition in Queensland. Figure 4 visualises the spatial distribution of SPI at two periods (2010 October - 2011 March and 2019 October - 2020 March) with significant natural disaster events: 2010/11 Queensland flood and 2019 Australia drought, which contributes to the notorious 2019/20 bushfire. Figure 5 displays the sensitivity of the SPEI series for one particular station, Texas post office, at different time scales and fitted distributions. These two plots demonstrate some possibilities to explore the indexes after they are computed from `compute_indexes()`.

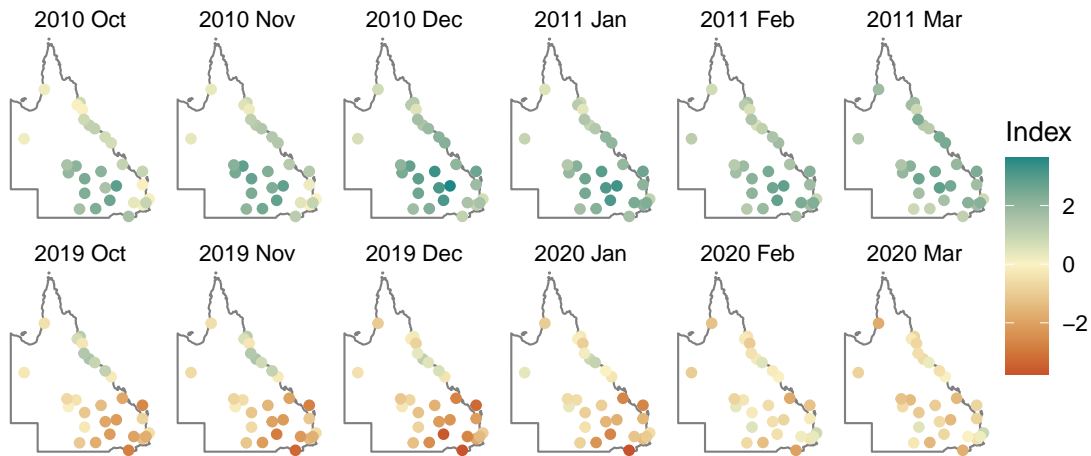


Figure 4. Spatial distribution of Standardized Precipitation Index (SPI-12) in Queensland, Australia during two major flood and drought events: 2010/11 and 2019/20. The map shows a continous wet period during the 2010/11 flood period and a mitigated drought situation, after its worst in 2019 December and 2020 Janurary, likely due to the increased rainfall in February from the meteorological record.

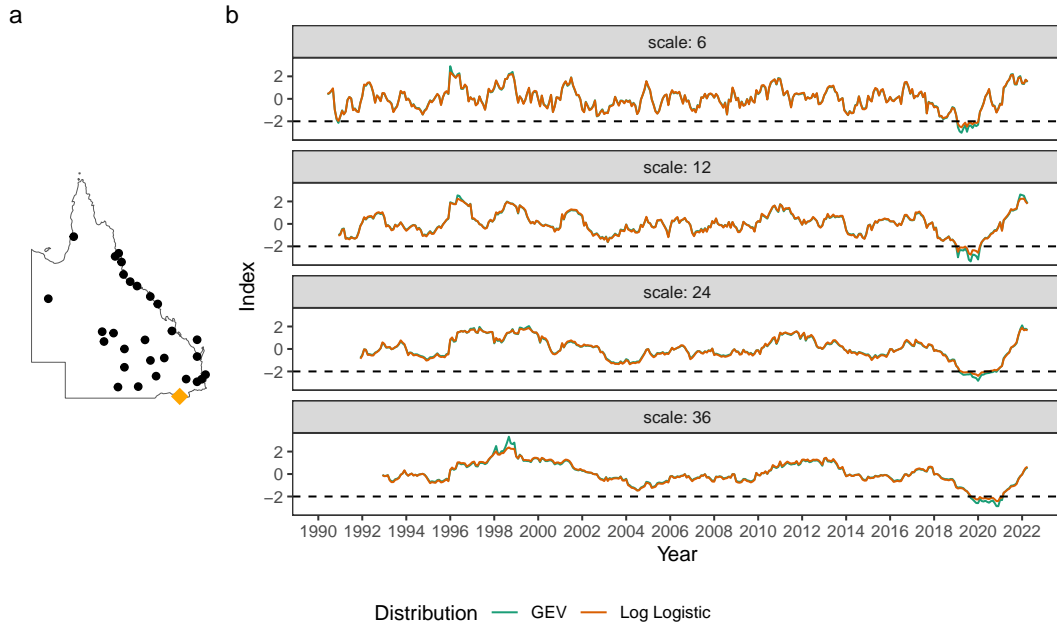


Figure 5. Time series plot of Standardized Precipitation-Evapotranspiration Index (SPEI) at the Texas post office station (highlighted by a diamond shape in panel a). The SPEI is calculated at four time scales (6, 12, 24, and 36 months) and fitted with two distributions (Log Logistic and GEV). The dashed line at -2 represents the class “extreme drought” by the SPEI. A larger time scale gives a smoother index series, while also takes longer to recover from an extreme situation as seen in the 2019/20 drought period. The SPEI values from two distribution fits mostly agree, while GEV can results in more extreme values, i.e. in 1998 and 2020.

## 5.2. Does a minor change in variable weights cause a tornado?

The Global Gender Gap Index (GGGI), published annually by the World Economic Forum, measures gender parity by assessing relative gaps between men and women in four key areas: Economic Participation and Opportunity, Educational Attainment, Health and Survival, and Political Empowerment (World Economic Forum 2023). The index is composed of 14 variables, expressed as female-to-male ratios, which are first aggregated in a linear combination into the four dimensions using the weight from the **V-weight** column in Table 2. The weight is calculated as the inverse of the standard deviation of each variable and scaling to sum to 1 within each dimension to allow a one percentage point change in the standard deviation of each variable to contribute equally to the index. The four dimensions are then aggregated in another linear combination with equal weight to obtain the index. The 2023 GGGI data is available from the Global Gender Gap Report 2023 in the country’s economy profile and can be accessed in R via the `tidyindex` package as `gggi`, along with the corresponding weights `gggi_weights`.

Table 2. Weights of the fourteen variables in Global Gender Gap Index

Variable	Dimension	V-weight	D-weight	Weight
Labour force participation	Economy	0.199	0.25	0.050
Wage equality for similar work	Economy	0.310	0.25	0.078
Estimated earned income	Economy	0.221	0.25	0.055
Legislators senior officials and managers	Economy	0.149	0.25	0.037
Professional and technical workers	Economy	0.121	0.25	0.030
Literacy rate	Education	0.191	0.25	0.048
Enrolment in primary education	Education	0.459	0.25	0.115
Enrolment in secondary education	Education	0.230	0.25	0.058
Enrolment in tertiary education	Education	0.121	0.25	0.030
Sex ratio at birth	Health	0.693	0.25	0.173
Healthy life expectancy	Health	0.307	0.25	0.077
Women in parliament	Politics	0.310	0.25	0.078
Women in ministerial positions	Politics	0.247	0.25	0.062
Years with female head of state	Politics	0.443	0.25	0.111

(Moved from the pipeline module to here) When a physical process is absent to describe the phenomenon, multivariate data are often combined using statistical methods, which can be further classified into linear and non-linear techniques. Many social indexes use a linear combination in an additive structure,  $y(s; t) = \sum_{i=1}^p \lambda_i x_i(s; t)$ , where  $\lambda_i$  is the weight assigned to each individual variable  $x_i$ . A linear combination can also be preceived as a linear projection of multivariate information through the weight vector. Projecting data from higher to lower dimension unavoidably leads to information loss and the used weights need careful examination to understand their effects on the index and implications for interpretation and decision-making. One can visualise the data projection across different sets of weights through animation using a method called *tour*.

A natural thing to do when provided with the index data is to reproduce the index. This helps index analysts to verify the index calculation and become familiar with the methodology. For GGGI, the construction can be simplified as a single linear aggregation step in the dimension reduction module, with the **Weight** column in Table 2, which is the product of the variable weight (**V-weight**) and dimension weight (**D-weight**).

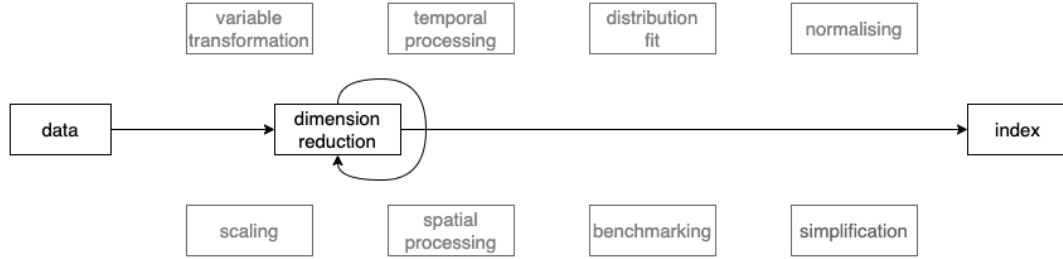


Figure 6. Diagram of pipeline steps for index construction. will need to be updated with better design and the distribution fitting step.

```

gggi %>%
  init(id = country) %>%
  add_meta(gggi_weights, var_col = variable) %>%
  dimension_reduction(
    index_new = aggregate_linear(
      ~labour_force_participation:years_with_female_head_of_state,
      weight = weight))

```

The result can be compared with the GGGI values available in the report, validating the reproducibility of the index for country with no missing variables.

To understand the uncertainty of this dimension reduction step from combining four dimensions (Economy, Education, Health, and Politics), we run a local tour [TODO: reference to local tour] that varies the weight of each dimension slightly to observe how index value and country ranking changes. The local tour produces an animation that gradually increases the weight of one variable and reduces it back to equal weight, one at a time. A set of countries are selected for this exercise, which includes 1) the top four with GGGI > 0.85, 2) a set of countries with GGGI between 0.72 and 0.73 (Brazil, Panama, Poland, Bangladesh, Kazakhstan, Armenia, and Slovakia), and 3) the bottom five countries with GGGI < 0.6. Five frames (equal weights and one for each dimension with a relatively higher weight) are selected from the local tour animation to show in Figure 7 and the full animation is available at <https://vimeo.com/847874016>.

Figure 7 helps to understand the how different dimension reduction alternatives affect the whole index distribution and individual countries.

- Bangladesh
- when decreasing the weight on politics, difference between countries narrower.
- increase politics -> all the country has lower index value

## 6. Conclusion

The paper presents a data pipeline with nine modules for constructing and analysing indexes. The pipeline increases transparency in the practice for index analysts to experiment with different index design and parameter choices to better design and apply their indexes. The significance of this work is its ability to provide a universal framework for index construction, which can be applied across different domains.

Examples have been given in the drought indexes and human development index to



Figure 7. Five frames selected from varying the linear weights of four dimensions in Global Gender Gap Index. The weights vary slightly from the official simple average weights (0.25, 0.25, 0.25, 0.25) to observe how the index and ranking response. Full animation is available at <https://vimeo.com/847874016>.

demonstrate computing of indexes with different parameters combinations and how alternative index design can provide insights to understand distinctive country characteristics that could sometimes be overlooked. The accompanied package, *tidyindex*, is not meant to provide comprehensive implementation for all indexes across all domains. Instead, it demonstrates implementing individual pipeline steps that are versatile to multiple indexes and composing new indexes from existing steps. Domain experts are welcomed to adopt the pipeline approach to develop specialised packages for specific-domains indexes.

Future work: - integrate more complex dimension reduction methods to calculate weights  
 - strengthen the spatial processing module

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