



# basics of synthetic aperture radar

UNIS Glaciology Course

vår 2017



## Today's Topics

- ▶ microwave radiation
- ▶ radar geometry
- ▶ synthetic aperture principles
- ▶ comparison of optical and sar imagery
- ▶ sar sensors and missions



## why use sar/microwave images?

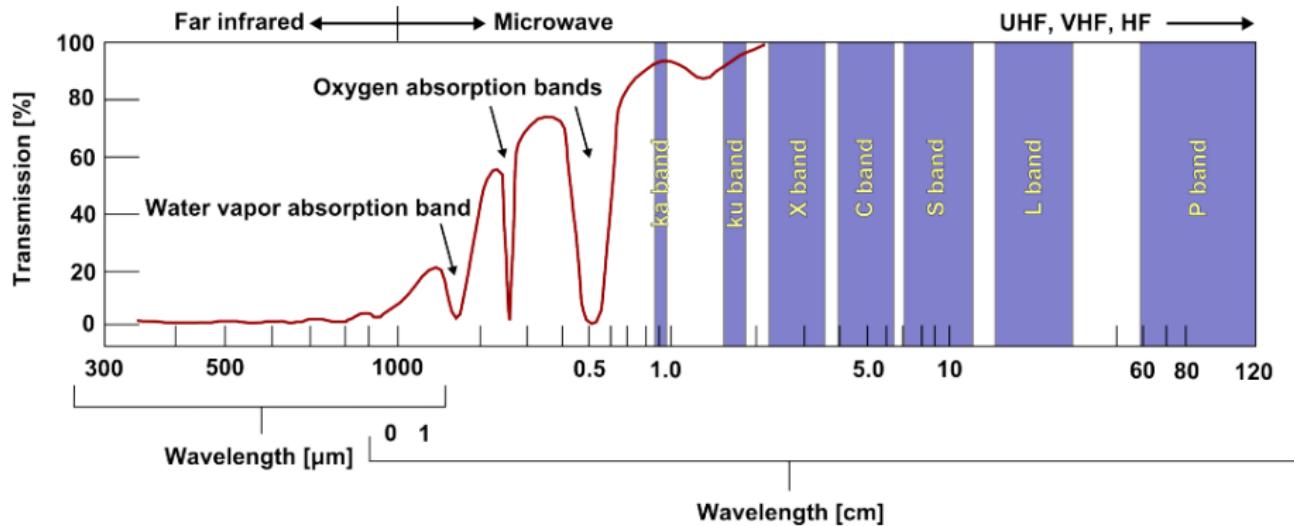
- ▶ does not require illumination (i.e., can take images at night)
- ▶ almost complete penetration of clouds/atmosphere
- ▶ frequent repeat coverage (usually every pass is good)
- ▶ typically high-resolution (<20 m)



## potential issues with microwave images

- ▶ interpretation can be difficult
- ▶ ability to “see” snow and ice depends on signal wavelength, properties of the surface
- ▶ some sensors are generally restricted to winter use for glaciological applications
- ▶ tends to require more specialized software, intense computing resources
- ▶ ionospheric effects

# the electromagnetic spectrum



R. Gens

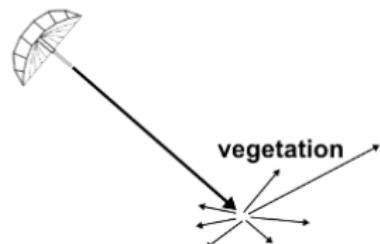
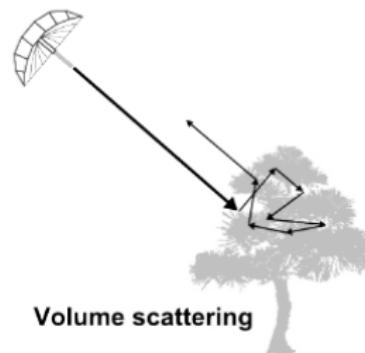
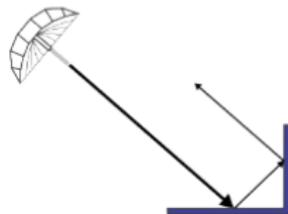
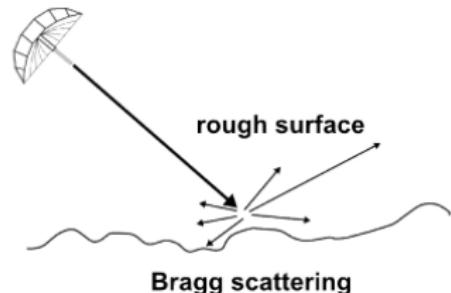
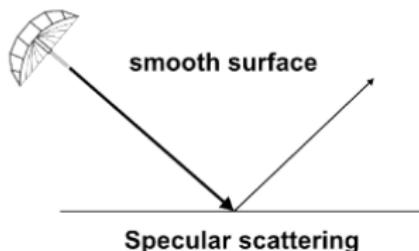
## microwave interactions with objects

- ▶ properties of the surface determine how much energy is reflected to sensor
- ▶ surface roughness (relative to the signal wavelength)
- ▶ three basic material properties:
  - ▶ electric permittivity ( $\epsilon$ )
  - ▶ magnetic permeability ( $\mu$ )
  - ▶ electric conductivity ( $g$ )
- ▶ most of the objects we are interested in non-conductive (so-called **dielectric** materials)
- ▶ generally only interested in  $\epsilon$

## microwave interactions with objects

- ▶ can generally split  $\epsilon$  into two components
- ▶ **real** component defines whether the signal penetrates or reflects at surface of material
- ▶ **imaginary** component describes energy loss (i.e., absorption) on surface, inside of material
- ▶ i.e., how deep signal penetrates, how much of incoming energy is re-emitted
- ▶ microwave signals will penetrate most materials somewhat (water, very wet snow)

# microwave interactions with objects



Diffuse scattering

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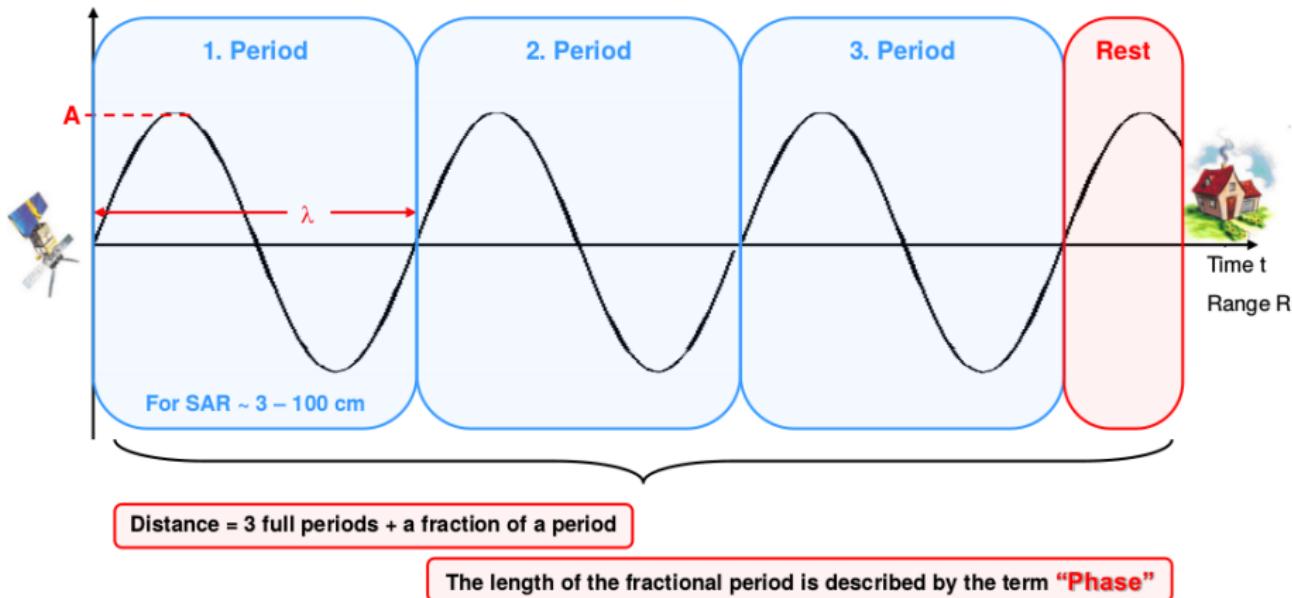
## microwave interaction with snow, ice

- ▶ electric permittivity of snow, ice ( $\epsilon$ ) is highly dependent on the liquid water content
- ▶ also dependent on the wavelength/frequency of the signal
- ▶ dry snow (cold): very low permittivity
- ▶ wet snow: less signal penetration (can still see ice lenses, superimposed ice)
- ▶ ice: relatively transparent (features like cracks, debris can increase backscatter)

## microwave signals

- ▶ radar (RAdio Detection And Ranging) is a way to measure distance to a target
- ▶ compared to passive remote sensing, we have more information about the signal that's being sent
- ▶ when we receive signal, we record **amplitude** (strength) and **phase**
- ▶ **amplitude** gives information about target
- ▶ **phase** gives information about distance to target\*

# amplitude and phase

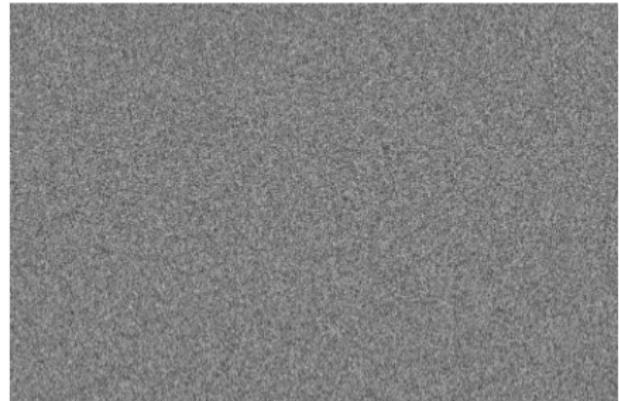
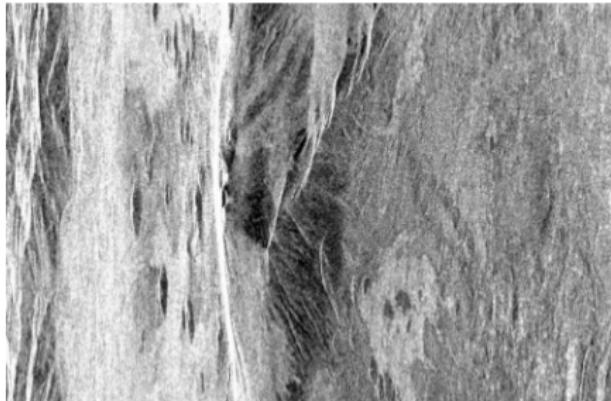


F. Meyer

## amplitude and phase

- ▶ so phase is sensitive to topography (i.e., changing distance between sensor and target)
- ▶ phase has a second component, based on the properties of scatterer(s) within a single pixel
- ▶ in other words, a sum of a **deterministic** and **random** component
- ▶ as a result, phase measured in a SAR scene looks like (almost) pure noise
- ▶ this also contributes to **speckle** (apparent noisiness in sar images)
- ▶ use **coherence** (correlation) to describe level of noise in phase data
- ▶ as long as the **random** component of phase is similar, we can measure topography, movement from two sar scenes (INterferometric SAR)

## amplitude and phase



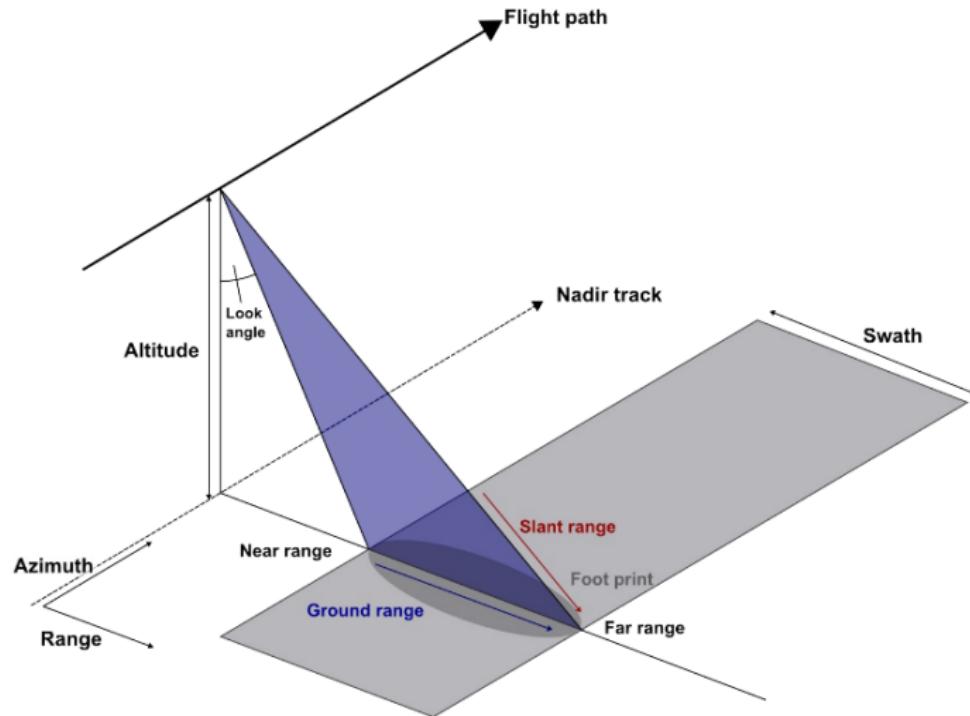
$$\psi = \psi(R) + \psi_{scatt}$$

F. Meyer

## polarity

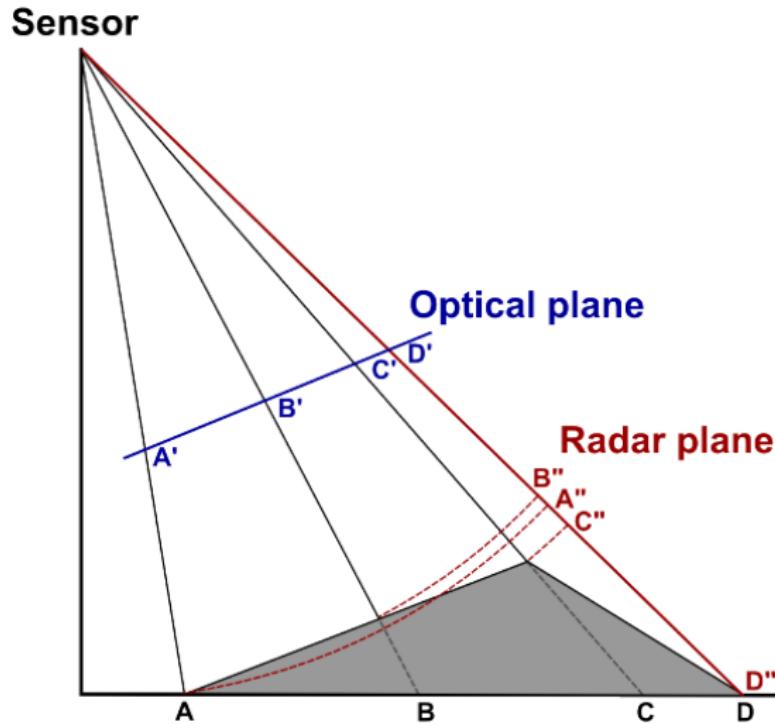
- ▶ recall that em radiation is two perpendicular waves oscillating in magnetic and electric field
- ▶ when describing the **polarity** of the signal, we refer to the orientation of electric field
- ▶ usually choose horizontal and vertical planes (relative to antenna, generally)
- ▶ can have **linear**, **circular**, and **elliptical** polarization
- ▶ sar sensors are typically labeled HH, VV, VH, or HV
- ▶ not generally used for glaciology, but very useful for studies of sea ice

# radar geometry



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slant range



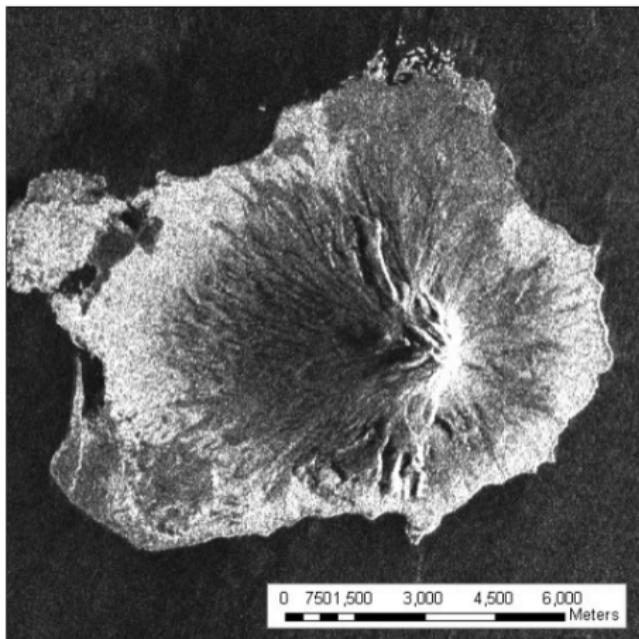
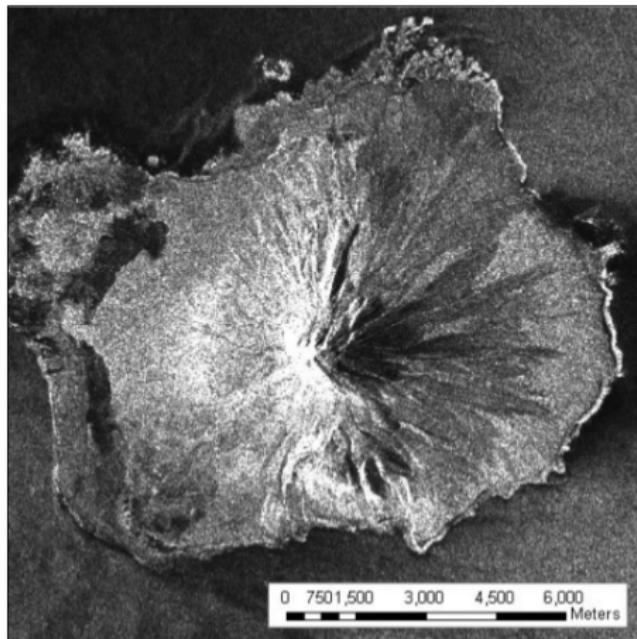
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## shadow, layover, foreshortening

due to steep/complex topography, we have image distortions:

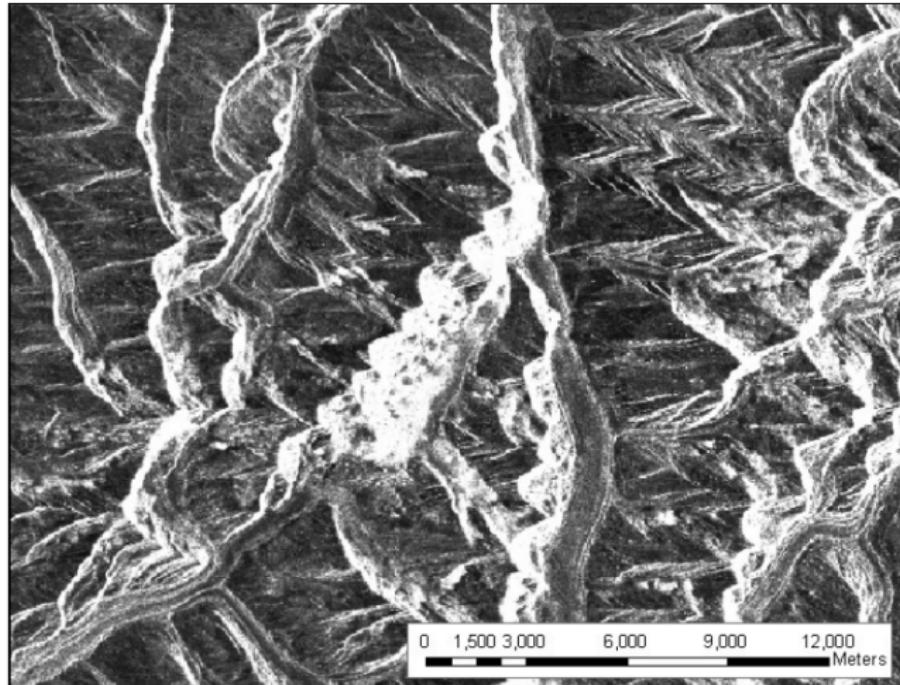
- ▶ **shadow**: points on the **lee** side of slope are not seen by signal
- ▶ **foreshortening**: points on the **stoss** appear closer to summit than they are
- ▶ **layover**: points on the **stoss** side of the slope appear farther away than summit

## shadow effects



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## layover effects



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## range resolution

a radar system sends out a signal (pulse) of duration  $\tau$  (s)

- ▶ range resolution proportional to  $\tau$
- ▶ so, for a high range resolution, we have to send a powerful, but short, signal
- ▶ this is difficult.

## range resolution

instead, we get very clever with our signals

- ▶ by sending a frequency-coded signal (**chirp**), we instead get a range resolution that is inversely proportional to the bandwidth of the signal
- ▶ when we receive a return (echo), we can decode by comparing with the original signal (correlation)
- ▶ enables us to send comparatively longer signals

## azimuth resolution

the question: given two scatterers, what is the smallest possible separation between the two that we can distinguish two objects?

- ▶ depends on the antenna beamwidth
- ▶ depends on the wavelength of the system
- ▶ depends on the distance to the object

## real aperture radar

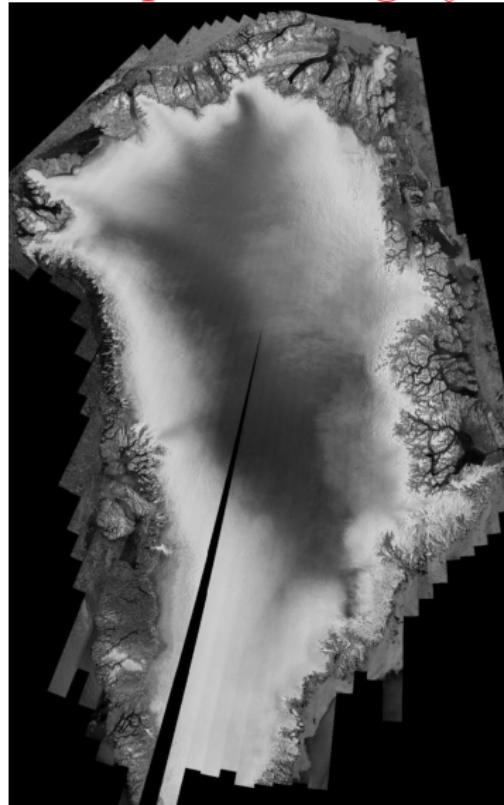
- ▶ for a radar system with wavelength  $\lambda$  and antenna size  $L$ , the antenna beamwidth  $\theta$  is given by  $\lambda/L$
- ▶ the azimuth resolution is  $\theta$  multiplied by the **range** (distance to the target)
- ▶ for a C-band radar with  $\lambda = 5.7\text{cm}$ , orbiting at  $\sim 800\text{ km}$ , we need an antenna nearly 10 km in length to obtain an azimuth resolution of 5 m
- ▶ not actually possible.

## synthetic aperture radar

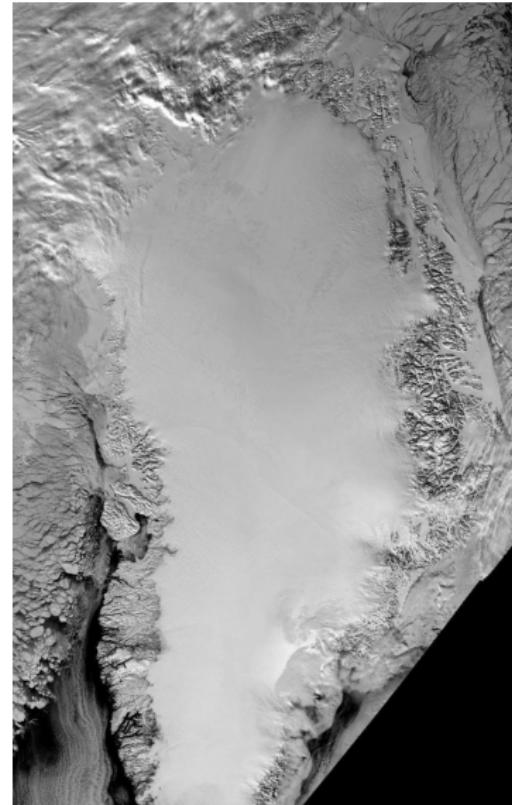
instead, we (again) get very clever with our signals

- ▶ by moving the antenna while transmitting coded signals, we get a much longer look at a given point target
- ▶ again, the resolution is inversely proportional to the bandwidth of the coded signal
- ▶ this bandwidth depends on how big the physical antenna is, and how fast the antenna is moving
- ▶ after some math, it turns out that the azimuth resolution is half of the physical antenna size.

## sar vs optical imagery

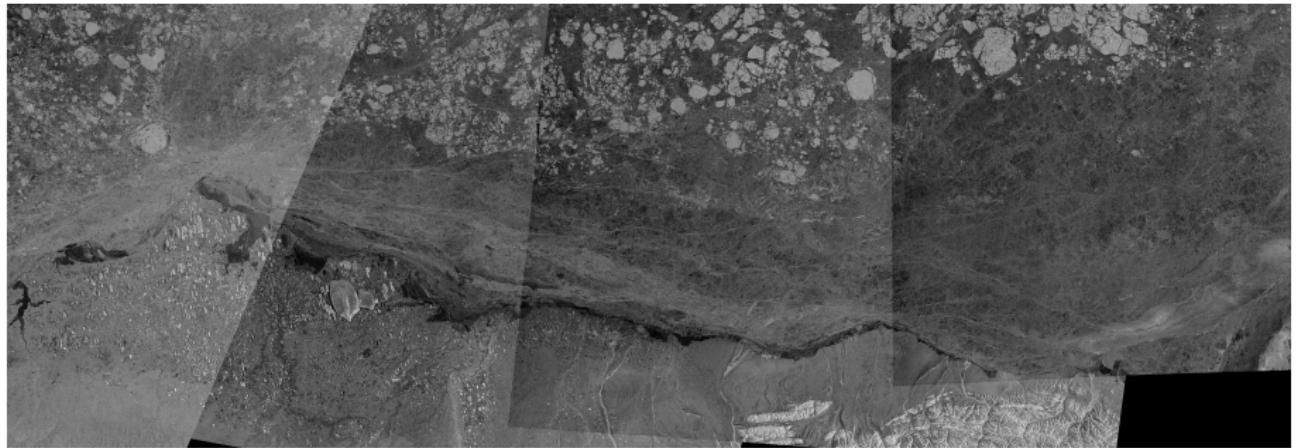


Greenland – RADARSAT-1 Mosaic  
C-band – HH polarization

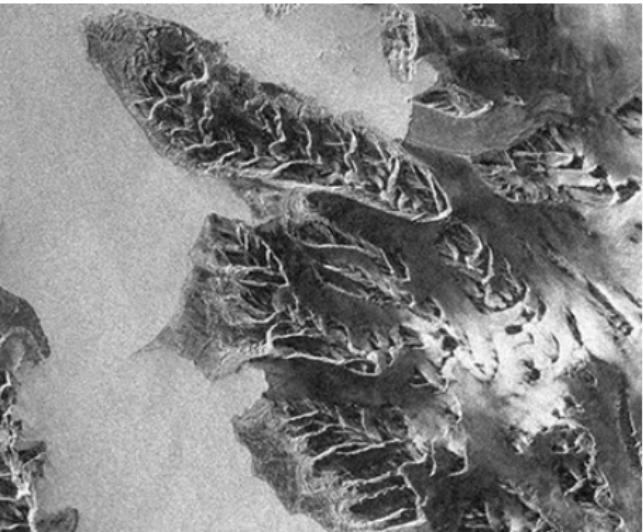


Greenland – MODIS Optical Mosaic

## sar vs optical imagery



## sar vs optical imagery



## sar vs optical imagery



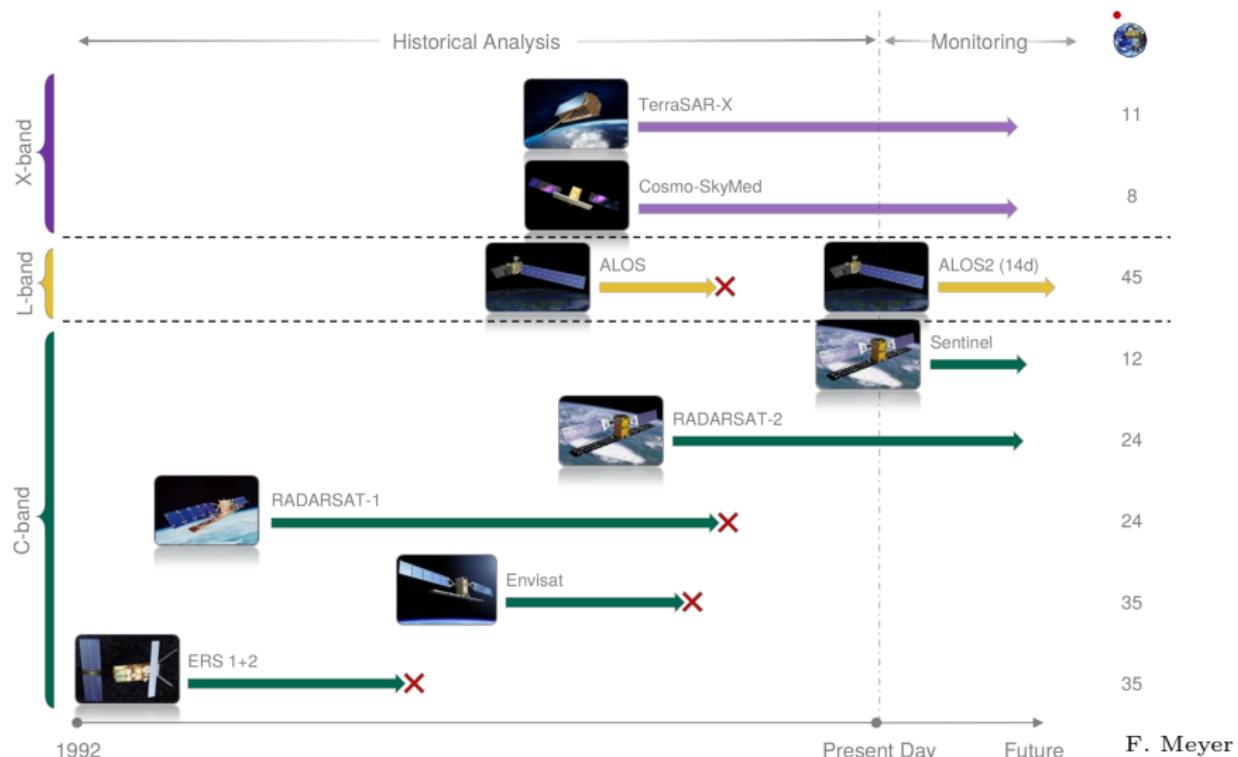
## sar satellites

- ▶ European Remote-Sensing Satellite (ERS) 1, 2: C-band, ~25m resolution, 100km swath, 35 day repeat\*
  - ▶ ERS-1: 1991-2000; ERS-2: 1995-2011
- ▶ Radarsat-1: C-band, 10m-100m resolution, 50-500km swath, 24 day repeat; 1995-2013
- ▶ Envisat ASAR: C-band, 25m-150m resolution, 100-400km swath, 35 day repeat; 2002-2012
- ▶ ALOS PALSAR: L-band, 10m-100m resolution, 30-350km swath, 46 day repeat; 2006-2011

## sar satellites

- ▶ TerraSAR-X: X-band, 1m-16m resolution, 10-100km swath, 11 day repeat; 2007-present
- ▶ Radarsat-2: C-band, 3m-100m resolution, 20-500km swath, 24 day repeat; 2007-present
- ▶ Sentinel-1: C-band, 5m-40m resolution, 20-400km swath, 12(6) day repeat; 2014-present
- ▶ ALOS-2 PALSAR-2: L-band, 1m-100m resolution, 25-490km swath, 14 day repeat; 2014-present

## sar satellites





questions?