

# CSCI 4470 Algorithms

## Part I Foundations

- 1 The Role of Algorithms in Computing
- 2 Getting Started
- **3 Characterizing Running Times**
- 4 Divide-and-Conquer
- 5 Probabilistic Analysis and Randomized Algorithms

## Chapter 3 Characterizing Running Times

- 3 Characterizing Running Times
  - 3.1  $O$ -notation,  $\Omega$ -notation, and  $\Theta$ -notation
  - 3.2 Asymptotic notation: formal definitions
  - 3.3 Standard notations and common functions

## Using Three Properties of Loop Invariant in Insertion Sort Algorithm

### Three Properties of Loop Invariant:

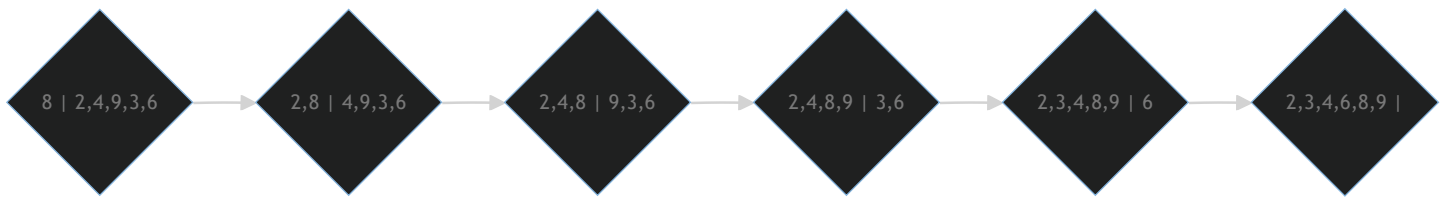
1. Initialization: It's true prior to the first iteration of the loop.
2. Maintenance: If it's true before an iteration of the loop, it remains true before the next iteration.
3. Termination: When the loop terminates, the invariant provides a useful property to help show that the algorithm is correct.

## Insertion Sort Algorithm Analysis

### Insertion Sort Algorithm for Array $a[8,2,4,9,3,6]$

1. Initially, 8 is considered sorted.
2. Consider 2. Since 2 is less than 8, we swap them, resulting in: 2,8,4,9,3,6.
3. Next is 4. It's greater than 2 but less than 8, so it's placed between them, resulting in: 2,4,8,9,3,6.
4. 9 is already in the correct position.

5. 3 is less than all preceding numbers except for 2, so it's placed after 2 : 2,3,4,8,9,6 .
6. 6 is less than 9 and 8 but greater than 4, so it's placed between 4 and 8 : 2,3,4,6,8,9 .
7. Thus, the sorted result is a[2,3,4,6,8,9] .



### Loop Invariant Example with Insertion Sort

Consider the array  $a = [8, 2, 4, 9, 3, 6]$ . Let's apply the loop invariant to prove the correctness of the insertion sort.

#### Initialization:

Before the first iteration, the subarray  $a[1]$  (containing only 8) is trivially sorted.

#### Maintenance:

If  $a[1]$  to  $a[i-1]$  are sorted, the loop places  $a[i]$  in its correct position, ensuring  $a[1]$  to  $a[i]$  are sorted at the end of the  $i$ -th iteration.

#### • Examples:

- After the 2nd iteration, the first two elements (2,8) are sorted.
- After the 3rd iteration, the first three elements (2,4,8) are sorted.

#### Termination:

After  $n$  iterations, the first  $n$  elements are sorted, implying the entire array is sorted.

#### • Explanation:

- The loop invariant, proven by induction, confirms the algorithm's correctness.
- At loop termination,  $j = n + 1$ , confirming that  $a[1, \dots, n]$  is sorted.

### Key Points:

- Loop invariants are essential to prove algorithm correctness.
- The loop invariant concept can be applied to analyze various algorithms.

### Examination Points:

- Definition of loop invariant.
- How to apply loop invariant in the Insertion Sort algorithm.
- For instance, when we move to  $A[2]$  (which is 2), it might get inserted before '8', making '2,8' the sorted subarray.

## Example Loop Invariants

To find the maximum element of an array using loop invariants:

### Pseudocode:

```
Find_Max(A, n) // Added 'n' to represent the length of array A
{
    m = A[1]
    for i = 2 to n
    {
        if (A[i] > m) // Corrected 'a[i]' to 'A[i]'
            m = A[i] // Corrected the assignment from 'A[1]' to 'A[i]'
    }
    return m
}
```

### Loop Invariant Proof:

Consider the loop invariant: At the start of each iteration of the loop,  $m$  is the maximum element in the sub-array  $A[1, \dots, i-1]$ .

#### 1. Initialization:

- Before the loop starts (at  $i=2$ ),  $m$  equals the maximum of the sub-array  $A[1]$ , which is  $A[1]$ .
- The loop invariant holds true before the loop begins.

#### 2. Maintenance:

- Assume that the loop invariant holds true at the start of an arbitrary iteration  $i$  (meaning,  $m$  is the maximum of  $A[1, \dots, i-1]$ ).
- During this iteration, if  $A[i]$  is greater than  $m$ , we update  $m$  to be  $A[i]$ .
- At the start of the next iteration (i.e.,  $i+1$ ),  $m$  will be the maximum of the sub-array  $A[1, \dots, i]$ .
- This ensures the loop invariant holds true for the next iteration.

#### 3. Termination:

- The loop ends when  $i=n+1$ .
- At this juncture, because of our loop invariant,  $m$  is the maximum of the sub-array  $A[1, \dots, n]$ , which covers the whole array.
- Thus, at termination,  $m$  represents the highest value in the entire array.

## Analysis of Insertion Sort

Insertion sort's complexity largely depends on the number of comparisons and swaps made. Let's derive the best, average, and worst cases step by step:

1. **Best Case:** The list is already sorted.

- **Shortest running time for a given input size**

Every new element we consider (starting from the second) only needs one comparison to ascertain that the list remains sorted.

Total comparisons =  $1 + 1 + 1 + \dots + 1$  (for  $n-1$  times) =  $n - 1$ . Complexity is  $O(n)$ .

2. **Worst Case:** The list is sorted in the reverse order.

- **Longest running time for given input size**

For every new element we consider, we might have to compare and move it past all the elements that came before it.

Total comparisons =  $1 + 2 + 3 + \dots + (n - 1)$ .

Using summation,  $\sum_{i=2}^n (i - 1) = 1 + 2 + \dots + (n - 1)$ .

As per the formula for sum of the first  $n$  natural numbers:  $\frac{n(n-1)}{2}$ . This is  $O(n^2)$ .

3. **Average Case:** We make an assumption that for every element, it has an equal chance of being placed in any position.

- **Average running time of all possible inputs of a given size**

On average, an element will be compared against half of the elements that came before it.

Average comparisons =  $\frac{1}{2} + \frac{2}{2} + \frac{3}{2} + \dots + \frac{n-1}{2}$ .

This is equivalent to  $\frac{1}{2} \sum_{k=1}^{n-1} k$ , which equals  $\frac{1}{2} \times \frac{n(n-1)}{2}$ . This is also  $O(n^2)$ .

4. **Amortized Analysis:**

- **Worst case sequence of  $n$  consecutive operations**

**Definition:**

- Amortized analysis is used to determine the time-averaged cost of each operation in the worst case over a sequence of operations, rather than the worst-case time for a single operation.

**Key Idea:**

- While an individual operation might be expensive, the average cost per operation might be small when averaged over a sequence of operations.

**Common Techniques:**

1. **Aggregate Analysis:**

- Analyze the sequence of operations as a whole to determine the average operation cost.

2. **Accounting Method:**

- Assign different costs (tokens) to different operations, ensuring the total cost remains under the specified limit.

3. **Potential Method:**

- Use a hypothetical potential energy to represent saved-up work. The difference in potential between two points in time represents the saved-up cost.

# Asymptotic Notations

Asymptotic notation, often used in algorithm analysis, describes the limiting behavior of a function. The most common notations in this domain are

- Big O Notation ( $O$ ):** Represents an upper bound.
  - $O$  notation: asymptotic “less than” or “upper bound”
    - $f(n) = O(g(n))$  implies:  $f(n) \leq g(n)$
- Omega Notation ( $\Omega$ ):** Represents a lower bound.
  - $\Omega$  notation: asymptotic “greater than” or “lower bound”
    - $f(n) = \Omega(g(n))$  implies:  $f(n) \geq g(n)$
- Theta Notation ( $\Theta$ ):** Represents asymptotic bounds that are both upper and lower, signifying that a function grows at the same rate as another, up to constant factors.
  - $f(n) = \Theta(g(n))$  implies that there exist constants  $c_1, c_2 > 0$  and  $n_0$  such that  $c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$  for all  $n \geq n_0$ . This indicates that  $f(n)$  grows neither faster nor slower than  $g(n)$  by more than a constant factor.
  - $\Theta$  notation: asymptotic “bounded by” or “tight bound”
- Little o Notation ( $o$ ):** Describes an upper bound that is not tight.
  - $f(n) = o(g(n))$  if for every positive constant  $c$ , there exists a value  $n_0$  such that  $0 \leq f(n) < c \cdot g(n)$  for all  $n > n_0$ .
- Little omega Notation ( $\omega$ ):** Describes a lower bound that is not tight.
  - $f(n) = \omega(g(n))$  if for every positive constant  $c$ , there exists a value  $n_0$  such that  $0 \leq c \cdot g(n) < f(n)$  for all  $n > n_0$ .

Big-O	Big- $\Omega$	Big- $\Theta$	Little- $o$	Little- $\omega$
1. $O(n)$	2. $\Omega(n)$	3. $\Theta(n)$	4. $o(n)$	5. $\omega(n)$
$\leq$	$\geq$	$=$	$>$	$<$

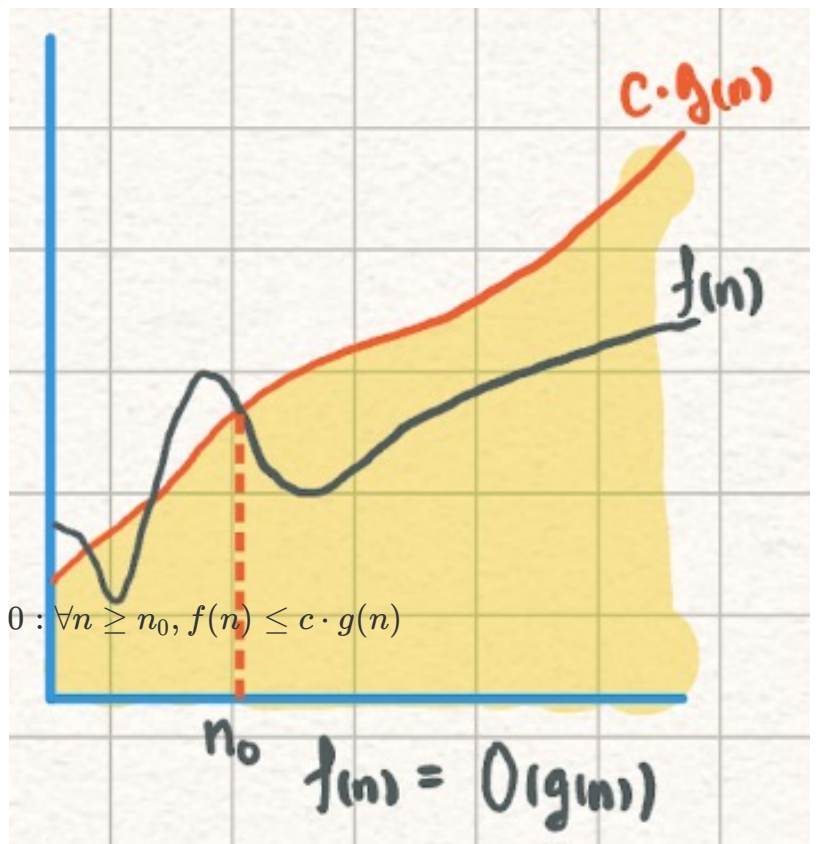
- For Little  $o$  and Little  $\omega$ , The table entries  $>$  and  $<$  can be replaced with “dominated by” and “dominates” respectively, or provide their formal definitions.

## Big-O Notation

Big-O notation (Provides an UPPER BOUND on a function  $f(n)$ , typically for the Worst Case)

### Definition of Big-O:

- $O(g)$  represents the set of all functions for which there exist constants  $c > 0$  and  $n_0 \geq 0$  such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .



Big - O :  $f(n) \in O(g(n)) \equiv \exists c > 0, \exists n_0 \geq 0 : \forall n \geq n_0, f(n) \leq c \cdot g(n)$

- $f(n)$  is  $O(g(n))$  implies :  $f(n) \leq g(n)$

$f(n) \leq c \cdot g(n)$  is the UPPER BOUND

### Big-O notation:

$f(n) \in O(g(n))$  if and only if there exist constants  $c > 0$  and  $n_0$  such that for all  $n \geq n_0$ ,  $f(n) \leq c \cdot g(n)$ .

- Stating  $f(n)$  is  $O(g(n))$  implies that, for some constant  $c$  and beyond a certain point  $n_0$ ,  $f(n)$  is always bounded above by  $c \cdot g(n)$ .

### Big-O Rules:

- If  $f(n)$  is a polynomial of degree  $i$ , then  $f(n)$  is  $O(n^i)$ .
- Drop lower-order terms: When you have multiple terms, drop terms with smaller growth rates. For example, if you have  $O(n^2 + n)$ , it is  $O(n^2)$ .
  - Drop constant factors: Constants don't affect the rate of growth. Hence,  $2n$  is  $O(n)$  and not  $O(2n)$ .
  - Aim for the simplest expression:
    - It's preferable to say " $2n$  is  $O(n)$ " rather than " $2n$  is  $O(n^2)$ ".
    - Likewise, state  $3n + 5$  is  $O(n)$  rather than  $3n + 5$  is  $O(3n)$ ".

## Big-Omega ( $\Omega$ ) Notation

Big-Omega notation (Provides a LOWER BOUND on a function  $f(n)$ , typically for the Best Case)

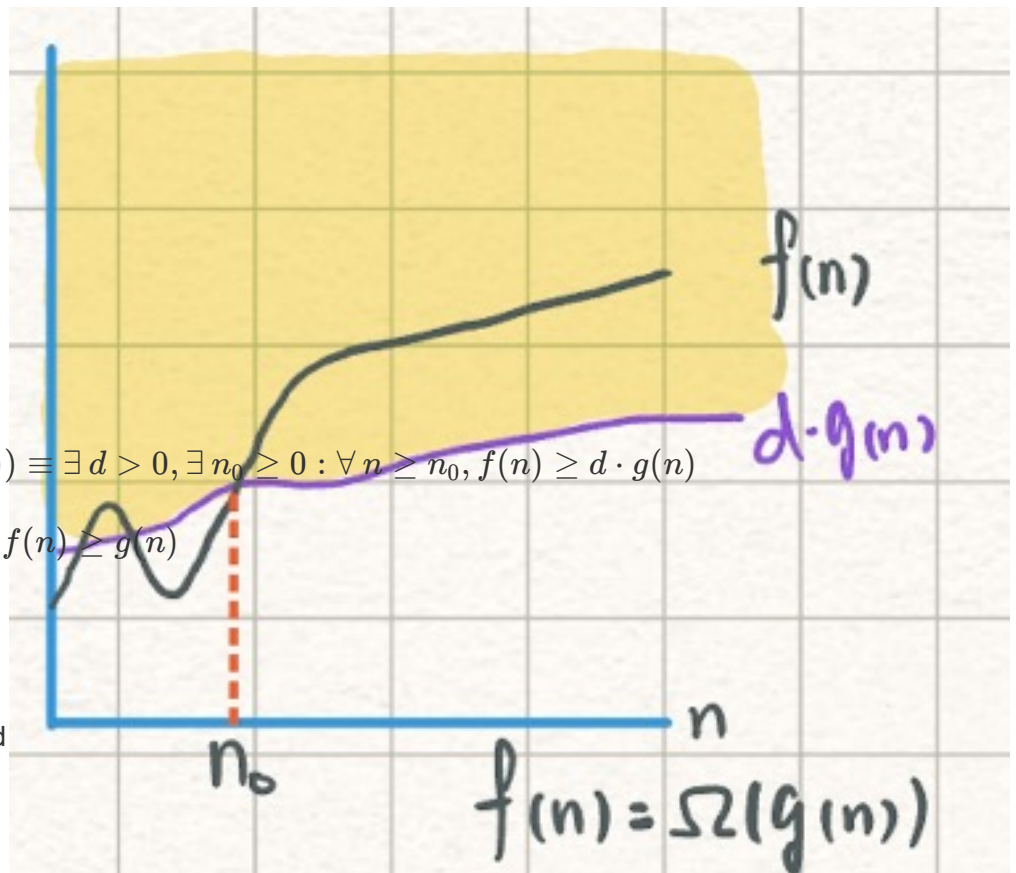
## Definition of Big-Omega ( $\Omega$ ):

- $\Omega(g)$  represents the set of all functions for which there exist constants  $d > 0$  and  $n_0 \geq 0$  such that  $f(n) \geq d \cdot g(n)$  for all  $n \geq n_0$ .

Big - Omega :  $f(n) \in \Omega(g(n)) \equiv \exists d > 0, \exists n_0 \geq 0 : \forall n \geq n_0, f(n) \geq d \cdot g(n)$

- $f(n)$  is  $\Omega(g(n))$  implies :  $f(n) \geq g(n)$
- $f(n) \geq d \cdot g(n)$  is the LOWER BOUND

$\Omega$  notation:  $f(n) \in \Omega(g(n))$  if and only if there exist constants  $d > 0$  and  $n_0$  such that for all  $n \geq n_0$ ,  $d \cdot g(n) \leq f(n)$ .



- Stating  $f(n)$  is  $\Omega(g(n))$  implies that, for some constant  $d$  and beyond a certain point  $n_0$ ,  $f(n)$  is always bounded below by  $d \cdot g(n)$ .

## Big-Theta ( $\Theta$ ) Notation

(Tight bound on  $f(n)$ , Average Case) Big-Theta ( $\Theta$ )

Big-Theta notation (Provides a tight bound on a function  $f(n)$ , capturing both UPPER and LOWER BOUNDS)

### Definition of Big- $\Theta$ :

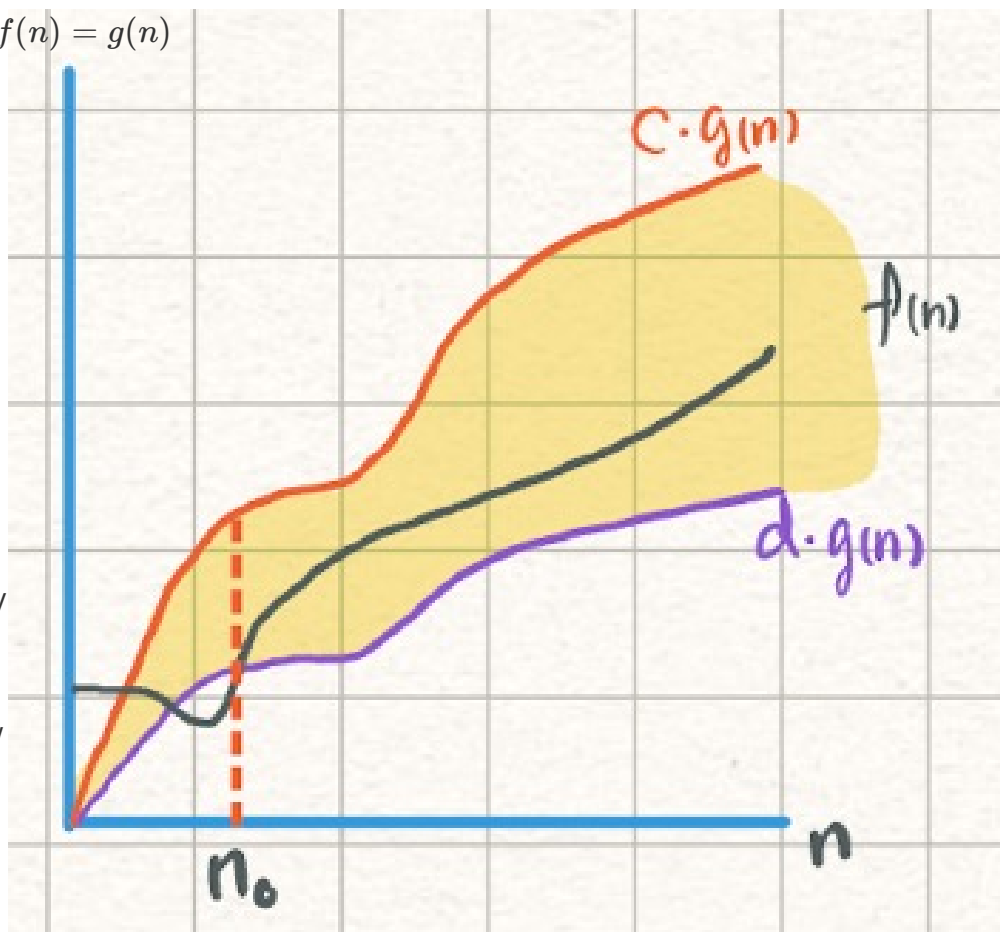
- $\Theta(g)$  represents the set of all functions for which there exist constants  $c, d > 0$  and  $n_0 \geq 0$  such that  $d \cdot g(n) \leq f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .
- $\Theta(g)$  exists if there are positive constants  $c, d$ , and  $n_0$  such that for all  $n \geq n_0$ , we have  $0 \leq d \cdot g(n) \leq f(n) \leq c \cdot g(n)$ .

$$\text{Big} - \Theta : f(n) \in \Theta(g(n)) \equiv f(n) \in \Omega(g(n)) \cup O(g(n))$$

$$\text{Big} - \Theta : f(n) \in \Theta(g(n)) \equiv f(n) \in \Omega(g(n)) \wedge f(n) \in O(g(n))$$

- $f(n)$  is  $\Theta(g(n))$  implies :  $f(n) = g(n)$

- This means that for a function  $f(n)$  to be  $\Theta(g(n))$ , it should satisfy both the conditions of being  $O(g(n))$  (upper bound) and  $\Omega(g(n))$  (lower bound).
- To find the Big- $\Theta$  notation  $\Theta(g(n))$ , we need to find the Big- $O$  notation  $c \cdot g(n)$  and Big- $\Omega$  notation  $d \cdot g(n)$  both, which are the upper bound and the lower bound
- $f(n)$  is  $\Theta(g(n))$  doesn't imply that  $f(n) = g(n)$  exactly, but rather it means that  $f(n)$  is bounded both above and below by  $g(n)$  within a constant factor.
- To determine the Big- $\Theta$  notation  $\Theta(g(n))$ , one needs to establish both the Big- $O$  notation (upper bound)  $c \cdot g(n)$  and the Big- $\Omega$  notation (lower bound)  $d \cdot g(n)$ .



- From  $n_0$ , the function  $c \cdot g(n)$  is an “upper bound” that covers all of  $f(n)$ . This is because in the proof, it is shown that  $c \cdot g(n)$  is always greater than  $f(n)$ .
- From  $n_0$ , the function  $d \cdot g(n)$  is a “lower bound” that is covered by all of  $f(n)$ . This is because in the proof, it is shown that  $d \cdot g(n)$  is always less than  $f(n)$ .

## Transitivity

If  $f(n) = \Theta(g(n))$  and  $g(n) = \Theta(h(n))$ , then  $f(n) = \Theta(h(n))$ .

If  $f(n) = O(g(n))$  and  $g(n) = O(h(n))$ , then  $f(n) = O(h(n))$ .

If  $f(n) = \Omega(g(n))$  and  $g(n) = \Omega(h(n))$ , then  $f(n) = \Omega(h(n))$ .

If  $f(n) = o(g(n))$  and  $g(n) = o(h(n))$ , then  $f(n) = o(h(n))$ .

If  $f(n) = \omega(g(n))$  and  $g(n) = \omega(h(n))$ , then  $f(n) = \omega(h(n))$ .

## Reflexivity

$$f(n) = \Theta(f(n))$$



$$f(n) = O(f(n))$$

$$f(n) = \Omega(f(n))$$

## Example of Big-O

Show that  $3n^3 + 20n^2 + 5$  is  $O(n^3)$ .  $f(n) \in O(n^3)$  is TRUE

### Proof:

To prove that  $3n^3 + 20n^2 + 5$  is  $O(n^3)$ , we need to find constants  $c$  and  $n_0$  such that:

$$f(n) \leq c \cdot g(n)$$

for all  $n \geq n_0$ .

Where:

- $f(n) = 3n^3 + 20n^2 + 5$
- $g(n) = n^3$

Let's examine the terms of  $f(n)$ :

$$3n^3 \leq 3n^3$$

$$20n^2 \leq 20n^3$$

for  $n \geq 1$

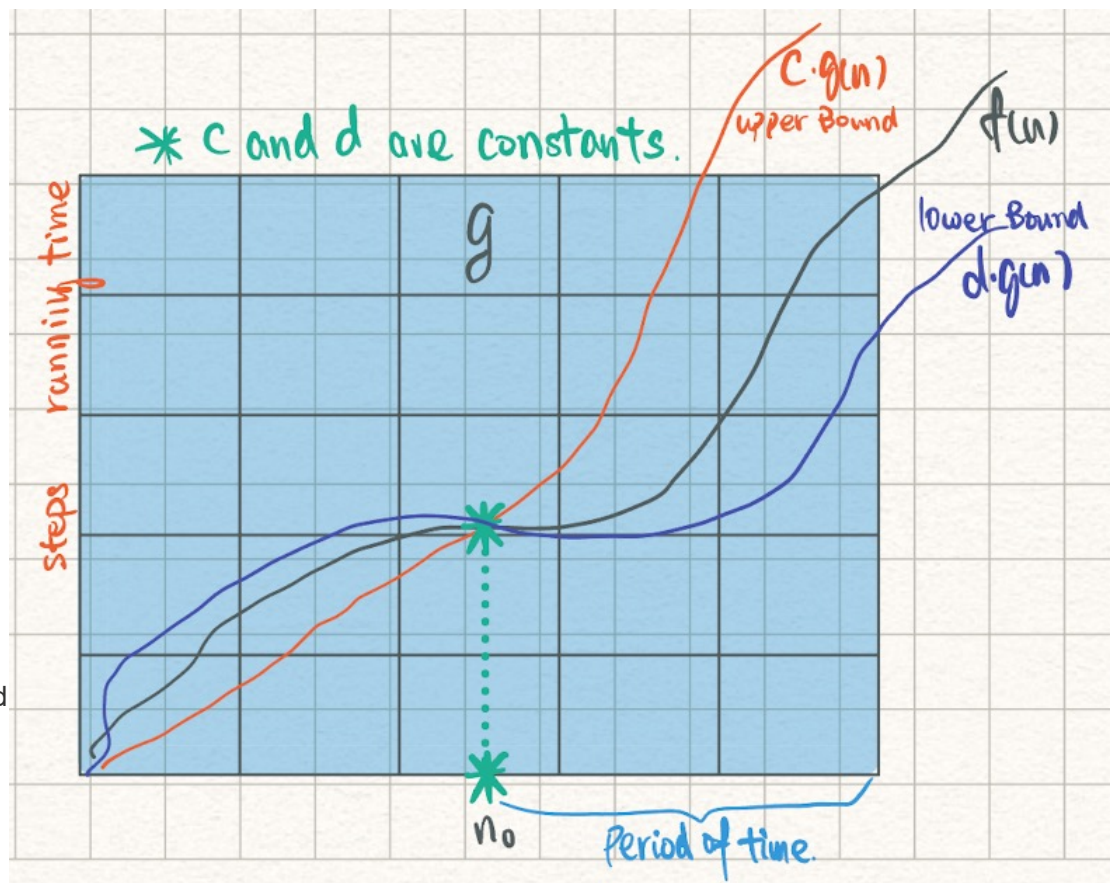
$$5 \leq 5n^3$$

for  $n \geq 1$

Adding these inequalities:

$$3n^3 + 20n^2 + 5 \leq 3n^3 + 20n^3 + 5n^3$$

$$3n^3 + 20n^2 + 5 \leq 28n^3$$



From the above inequality, we can see that  $f(n) \leq 28g(n)$  for all  $n \geq 1$ . Thus, we have:

$$f(n) \in O(n^3)$$

with  $c = 28$  and  $n_0 = 1$ .

## Example 02 of Big-O

Show that  $3 \log(n) + 5$  is  $O(\log(n))$

## Example 03 of Big-Theta

Prove:  $f(x) = 3x^2 + 8x \log(x)$  is  $\Theta(x^2)$  Let's begin by analyzing the process

### 1. Prove $f(x) \in O(x^2)$

- To prove  $f(x) \in O(x^2)$ , we must show  $f(x) \leq c_1 x^2$  for some positive constant  $c_1$  and for all  $x$  beyond some threshold.
- Considering  $f(x)$ ,  $3x^2 + 8x \log(x) \leq 3x^2 + 8x^2$  (because  $\log(x) \leq x$  for all  $x > 0$ ).
- Thus,  $f(x) \leq 11x^2$  when  $x \geq 1$ .
- Therefore,  $f(x) \in O(x^2)$  with  $c_1 = 11$  and  $x_0 = 1$ .

### 2. Prove $f(x) \in \Omega(x^2)$

- To prove  $f(x) \in \Omega(x^2)$ , we must show  $f(x) \geq c_2 x^2$  for some positive constant  $c_2$  and for all  $x$  beyond some threshold.
- For  $x \geq 2$ ,  $\log(x)$  is at least 1.
- Hence,  $3x^2 + 8x \log(x) \geq 3x^2$ .
- Thus,  $f(x) \geq 3x^2$  when  $x \geq 2$ .
- Therefore,  $f(x) \in \Omega(x^2)$  with  $c_2 = 3$  and  $x_0 = 2$ .

### 3. Conclusion

- Given the proofs for  $f(x) \in O(x^2)$  and  $f(x) \in \Omega(x^2)$ , we can conclude  $f(x) \in \Theta(x^2)$  with  $c_1 = 11$ ,  $c_2 = 3$ , and  $x_0 = 2$ .

## Little-o Notation

### Definition:

- The little-o notation represents an upper bound that is not asymptotically tight.

## Mathematical Representation:

- $o(g(n)) = \{f(n)\}$ : For any constant  $c > 0$ , there exists a constant  $n_0 > 0$  such that  $0 \leq f(n) < c \cdot g(n)$  for all  $n \geq n_0$ .

## Explanation:

- In little-o notation,  $f(n)$  becomes arbitrarily small compared to  $g(n)$  as  $n$  approaches infinity.
- The little-o notation is used in mathematics to describe an asymptotic relationship between two functions. Specifically,  $f(x) = o(g(x))$  means:

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = 0$$

- In plain language, this means that  $f(x)$  grows slower than  $g(x)$  as  $x$  approaches infinity.

## Examples Method 1:

- For instance,  $2n = o(n^2)$  because  $2n$  grows much slower than  $n^2$ , but  $2n^2 \neq o(n^2)$  because they grow at comparable rates.

## Proof Steps:

1. Define little-o notation:
  - $f(n) \in o(g(n))$  if  $f(n) < c \cdot g(n)$  for all  $c > 0$  and for sufficiently large  $n \geq n_0$ .
2. Proving  $2n$  is little-o of  $n^2$ :
  - $2n < c \cdot n^2$  for all  $c > 0$  and for sufficiently large  $n \geq n_0$ .
  - For example, choose  $c = 1$ , then:
    - $2n < n^2$ , this is true for  $n > 2$ .
    - So, one valid  $n_0$  could be 3.
3. Analyzing  $2n^2$  relative to  $n^2$ :
  - $2n^2$  does not satisfy  $f(n) < c \cdot g(n)$  for all  $c > 0$  because when you choose  $c = 1$ , you get  $2n^2 = n^2$ , which does not meet the little-o criteria.

The limit notation

$$\lim_{x \rightarrow \infty} \frac{f(x)}{g(x)} = 0$$

is a direct way to prove that  $f(n) = o(g(n))$ , meaning  $f(n)$  grows strictly slower than  $g(n)$ . Let's use this notation to validate the given example.

### Example Method 2: Using Limit Notation:

Given  $2n$  and  $2n^2$ , Evaluate if they are  $\in o(n^2)$ .

1. For  $f(n) = 2n$  and  $g(n) = n^2$  to verify  $2n = o(n^2)$ :

- Compute the following limit:

$$\lim_{n \rightarrow \infty} \frac{2n}{n^2}$$

- After simplification:

$$\lim_{n \rightarrow \infty} \frac{2}{n} = 0$$

Since the limit is 0, this confirms that  $2n = o(n^2)$ .

2. For  $f(n) = 2n^2$  and  $g(n) = n^2$  to verify  $2n^2 = o(n^2)$ :

- We compute:

$$\lim_{n \rightarrow \infty} \frac{2n^2}{n^2}$$

- Simplifying:

$$\lim_{n \rightarrow \infty} 2 = 2$$

Since the limit is 2 and not 0, it confirms that  $2n^2$  is not  $o(n^2)$ .

### Examples 02 Method 1:

Prove that  $f(n) = 3n + 4$  is  $o(n^2)$ .

#### Proof:

We want to show  $f(n) = o(g(n))$  if for any  $c > 0$ , there exists  $n_0$  such that  $f(n) < c \cdot g(n)$  for all  $n \geq n_0$ .

Steps:

1. Given  $f(n) = 3n + 4$ , we want  $f(n) \in o(n^2)$ . That is,  $3n + 4 < c \cdot n^2$  for some constant  $c > 0$  and for all  $n \geq n_0$ .
2. Multiply everything by  $c$ :  
 $3nc + 4c < c^2n^2$
3. Rearrange the terms:  
 $4c < c^2n^2 - 3nc$

4. Using  $(a + b)^2 = a^2 + b^2 + 2ab$ , consider  $a = cn$  and  $b = \frac{-3}{2}$  to give  $a^2 = c^2n^2$  and  $2ab = -3cn$
- $$4c + \frac{9}{4} < c^2n^2 - 3cn + \frac{9}{4}$$
- (which is equivalent to  $(cn - \frac{3}{2})^2$ )
5. Finally, we have

$$cn - \frac{3}{2} > \sqrt{4c + \frac{9}{4}}$$

This shows that as  $n$  approaches infinity,  $3n + 4$  grows strictly slower than  $n^2$ , and therefore,  $f(n) = 3n + 4$  is  $o(n^2)$ .

## Examples 02 Method 2 Limit Notation:

Let's prove  $f(n) = 3n + 4$  is  $o(n^2)$  using the limit notation.

**Proof:** To prove that  $f(n) = 3n + 4$  is  $o(n^2)$ , we need to show

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n^2} = 0$$

Steps:

1. Plug in  $f(n) = 3n + 4$  into the formula 將  $f(n) = 3n + 4$ :

$$\lim_{n \rightarrow \infty} \frac{3n + 4}{n^2}$$

2. Split the fraction into two terms:

$$\lim_{n \rightarrow \infty} \frac{3n}{n^2} + \lim_{n \rightarrow \infty} \frac{4}{n^2}$$

3. Simplify:

$$\lim_{n \rightarrow \infty} 3 \cdot \frac{1}{n} + \lim_{n \rightarrow \infty} \frac{4}{n^2}$$

4. As  $n$  approaches infinity, both  $\frac{1}{n}$  and  $\frac{4}{n^2}$  tend towards 0

$$3 \cdot 0 + 0 = 0$$

5. Hence, we have shown

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n^2} = 0$$

6. This confirms that  $f(n) = 3n + 4$  is  $o(n^2)$

## Tricky Little-o Notation Question

**Question:** Prove or disprove:  $f(n) = n^2 + n$  is  $o(n^2)$ .

**Solution:** To prove or disprove  $f(n) = n^2 + n$  is  $o(n^2)$ , we need to find the limit:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n^2}$$

**Steps:**

1. Substitute  $f(n) = n^2 + n$  into the formula:

$$\lim_{n \rightarrow \infty} \frac{n^2 + n}{n^2}$$

2. Break the fraction into two terms:

$$\lim_{n \rightarrow \infty} 1 + \lim_{n \rightarrow \infty} \frac{n}{n^2}$$

3. Simplify:

$$\lim_{n \rightarrow \infty} 1 + \lim_{n \rightarrow \infty} \frac{1}{n}$$

4. As  $n$  goes to infinity,  $\frac{1}{n}$  approaches 0:

$$1 + 0 = 1$$

5. Thus, we find:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n^2} = 1$$

**Conclusion:**

Since the limit is not 0,  $f(n) = n^2 + n$  is **not**  $o(n^2)$ .

## Little-omega Notation

**Definition:**

- The little-omega notation represents a lower bound, indicating that one function grows strictly faster than another as they approach infinity.

**Comparison:**

- $\omega$  notation is analogous to  $\Omega$  notation in the way that  $o$ -notation is analogous to  $O$ -notation.

**Examples:**

- $n^2/2 = \omega(n)$  but  $n^2/2 \neq \omega(n^2)$ .

### Explanation:

- In  $\omega$ -notation, the function  $f(n)$  grows strictly faster than  $g(n)$  as  $n$  approaches infinity.

### Mathematical Representation:

- If  $f(n) > c \cdot g(n)$  for all constants  $c > 0$  and for all sufficiently large  $n (n \geq n_0)$ , then  $f(n)$  is in  $\omega(g(n))$ .
- Another way to represent this relationship is:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$$

## Tricky Little-omega Notation Question

**Question:** Prove or disprove:  $f(n) = n^2 \log n$  is  $\omega(n^2)$ .

**Solution:** To prove or disprove  $f(n) = n^2 \log n$  is  $\omega(n^2)$ , we need to find the limit:

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n^2}$$

### Steps:

1. Substitute  $f(n) = n^2 \log n$  into the formula:

$$\lim_{n \rightarrow \infty} \frac{n^2 \log n}{n^2}$$

2. Simplify the expression:

$$\lim_{n \rightarrow \infty} \log n$$

3. As  $n$  approaches infinity,  $\log n$  also approaches infinity:

$$\lim_{n \rightarrow \infty} \log n = \infty$$

### Conclusion:

Since the limit is infinity, we can conclude that  $f(n) = n^2 \log n$  is  $\omega(n^2)$ .

### Example: Prove and Disprove:

Question: Is  $2^{n+1}$  in  $O(2^n)$ ?

**Proof:** First, let's express  $2^{n+1}$ :

$$2^{n+1} = 2 \cdot 2^n$$

To prove  $2^{n+1}$  is in  $O(2^n)$ , we need to show there exists constants  $c$  and  $n_0$  such that:

$$2^{n+1} \leq c \cdot 2^n$$

for all  $n \geq n_0$ .

Take  $c = 2$  and any  $n_0 \geq 0$ . We have:

$$2 \cdot 2^n \leq 2 \cdot 2^n$$

Which is clearly true for all  $n$ .

Therefore, we can conclude that  $2^{n+1}$  is in  $O(2^n)$  as  $2 \cdot 2^n = O(2^n)$ .

This is aligned with a general principle: for any positive constant  $k$ ,  $k \cdot f(n) = O(f(n))$ . In the example  $2 \cdot 2^n \in O(2^n)$ , because,  $2 \cdot f(n) \in O(f(n))$

In essence, you were on the right track. This proof highlights that  $2^{n+1}$  grows at most twice as fast as  $2^n$ , but in terms of big  $O$  notation, they belong to the same complexity class.

**Example: Prove whether  $2^{2n}$  is in  $\Theta(2^n)$ :**

**Question:** Is  $2^{2n} \in \Theta(2^n)$ ? To do this, we need to verify if  $2^{2n}$  is in both  $O(2^n)$  and  $\Omega(2^n)$ .

**Proof:**

1. **Define the functions:**

- $f(n) = 2^{2n} = 4^n$
- $g(n) = 2^n$

2. **Check for  $f(n) \in O(g(n))$ :**

To prove or disprove this, we need to determine if there exist constants  $c > 0$  and  $n_0$  such that:

$$f(n) \leq c \cdot g(n)$$

for all  $n \geq n_0$ .

Checking this, we see that for  $4^n \leq c \cdot 2^n$ , there's no constant  $c$  for which this is true as  $n$  grows large.

3. **Check for  $f(n) \in \Omega(g(n))$ :**

Observing that  $f(n) = 4^n$  grows strictly faster than  $g(n) = 2^n$ , we can conclude  $f(n)$  is in  $\Omega(g(n))$ .

4. **Check for  $f(n) \in \omega(g(n))$ :**

For  $f(n) \in \omega(g(n))$ , for any given constant  $c > 0$ , there exists a  $n_0$  such that:



$$f(n) > c \cdot g(n)$$

for all  $n \geq n_0$ .

Given  $f(n) = 4^n$  and  $g(n) = 2^n$ , it's evident that for any positive  $c$ ,  $4^n$  will eventually surpass  $c \times 2^n$ .

#### 5. Final Decision for $\Theta$ :

$f(n)$  is not in  $O(g(n))$  but is in  $\Omega(g(n))$  and  $\omega(g(n))$ . Therefore,  $f(n)$  is not in  $\Theta(g(n))$ .

Overall,  $2^{2n}$  (or  $4^n$ ) is not in  $\Theta(2^n)$  because it grows much faster than  $2^n$ .

**Example: To determine if  $2^{f(n)} = O(2^{g(n)})$  when  $f(n) = O(g(n))$ .**

1. **Given:**  $f(n) = 2n$  and  $g(n) = 4n$ .

$$2^{f(n)} = 2^{2n} = 4^n \text{ and } 2^{g(n)} = 2^{4n} = 16^n.$$

- $4^n$  is not  $O(16^n)$ . Because, as  $n$  grows,  $16^n$  grows much faster than  $4^n$ .

2. **Given:**  $f(n) = 4n$  and  $g(n) = \frac{n}{2}$ . **給定:**  $f(n) = 4n$  和  $g(n) = \frac{n}{2}$ .

$$2^{f(n)} = 2^{4n} = 16^n \text{ and } 2^{g(n)} = 2^{\frac{n}{2}} = \sqrt{2^n}.$$

- $16^n$  grows significantly faster than  $2^{\sqrt{n}}$ . Thus,  $2^{f(n)}$  is not  $O(2^{g(n)})$ .

#### 3. Table values:

The table aims to compare values of  $16^n$  and  $2^{\sqrt{n}}$ :

$n$	$16^n$	$2^{\sqrt{n}}$
2	$16^2$	$2^{\sqrt{2}}$
4	$16^4$	$2^2$
6	$16^6$	$2^{\sqrt{6}}$
8	$16^8$	$2^{\sqrt{8}}$
10	$16^{10}$	$2^{\sqrt{10}}$

#### 1. The last lines:

$$f(n) \in \Omega(n)$$

means that  $f(n)$  grows at least as fast as a linear function of  $n$ .

$$f(n) \in O(n)$$

means  $f(n)$  grows at most as fast as  $n$ .

In essence, the exponential growth in  $2^{f(n)}$  and  $2^{g(n)}$  isn't always reflective of the relation between  $f(n)$  and  $g(n)$  when considering Big O notation. The core of the problem is to remember that the exponential function drastically

magnifies growth differences between  $f(n)$  and  $g(n)$ .

This example is illustrating a crucial concept in the analysis of algorithms and Big O notation. It's emphasizing that even if one function  $f(n)$  is  $O(g(n))$ , it doesn't necessarily mean that  $2^{f(n)}$  is  $O(2^{g(n)})$ . The exponential function can greatly amplify the growth differences between two functions.

Let's break it down:

1. **The first part** is showing that even though  $f(n) = 2n$  is clearly  $O(4n)$ , when you take the exponential of both sides, the relationship doesn't hold.  $4^n$  is not  $O(16^n)$ . This is because the exponential function greatly magnifies the growth rate difference between the two functions.
2. **The second part** is another example to emphasize the point. Even though  $4n$  grows faster than  $\frac{n}{2}$ , when you take the exponential,  $16^n$  grows much faster than  $2^{\sqrt{n}}$ .
3. **The table** is a practical demonstration of the growth rates of the two functions from the second part. As you can see, as  $n$  increases, the value of  $16^n$  grows much more rapidly than  $2^{\sqrt{n}}$ .
4. **The last lines** are definitions of the Big O and Big Omega notations. They're there to provide context and remind you of the meaning of these notations.

## $\lfloor \text{Flooring} \rfloor$ and $\lceil \text{Ceiling} \rceil$

- **Floor and Ceiling Functions:**

The floor function of  $x$ , represented as  $\lfloor x \rfloor$ , is the biggest integer less than or equal to  $x$ .

The ceiling function of  $x$ , represented as  $\lceil x \rceil$ , is the smallest integer more than or equal to  $x$ .

- **Properties of Floor and Ceiling:**

For any real number  $x$ :  $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$

- Examples:  $\lfloor 3.4 \rfloor = 3$ ,  $\lceil 3.5 \rceil = 4$

$-\lfloor x \rfloor = \lceil -x \rceil$ . For instance,  $-\lfloor 3.5 \rfloor = \lceil -3.5 \rceil = -3$

- **Properties of the Floor Function:**

$\lfloor x \rfloor > x - 1$ . This suggests that the floor function is at minimum  $x - 1$ . Hence,  $\lfloor x \rfloor \in \Omega(x)$ .

$\lfloor x \rfloor \leq x$ . This indicates that the floor function is at most  $x$ . Thus,  $\lfloor x \rfloor \in O(x)$ .

Because  $\lfloor x \rfloor$  is both an upper and lower bound for  $x$  up to a constant factor,  $\lfloor x \rfloor \in \Theta(x)$ .

- **Properties of the Ceiling Function:**

$\lceil x \rceil \leq x + 1$ . This denotes that the ceiling function is no more than  $x + 1$ . Thus,  $\lceil x \rceil \in O(x)$ .

$\lceil x \rceil > x$ . This means the ceiling function is strictly larger than  $x$ . Hence,  $\lceil x \rceil \in \Omega(x)$ .

Given that  $\lceil x \rceil$  is both an upper and lower bound for  $x$  up to a constant factor,  $\lceil x \rceil \in \Theta(x)$ .

- **Translation Property:**

$$\lfloor n + x \rfloor = n + \lfloor x \rfloor$$

$$\lceil n + x \rceil = n + \lceil x \rceil$$

## $\lfloor \text{Flooring} \rfloor$ and $\lceil \text{Ceiling} \rceil$

- Properties of Flooring and Ceiling

$$x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1, \text{ x is a real number}$$

- e.g.  $\lfloor 3.4 \rfloor = 3, \lceil 3.5 \rceil = 4$
- e.g.  $-\lfloor x \rfloor = \lceil -x \rceil$
- e.g.  $-\lfloor 3.5 \rfloor = \lceil -3.5 \rceil$
- e.g.  $-\lfloor -3 \rfloor = \lceil -3 \rceil$

- Properties of Flooring

$$\lfloor x \rfloor > x - 1, \lfloor x \rfloor \in \Omega(x)$$

$$\lfloor x \rfloor \leq x, \lfloor x \rfloor \in O(x), \text{ Linear Growth which is always true}$$

$$\lfloor x \rfloor \in \Theta(x)$$

$$\lfloor n + x \rfloor = n + \lfloor x \rfloor$$

$$\lceil n + x \rceil = n + \lceil x \rceil$$

## Properties of Log Function

- $\log_a(a) = 1$
- $\log_a(a^x) = x$
- $\log(ab) = \log(a) + \log(b)$
- $\log_a(b^x) = x \log_a(b)$
- $a^{\log_a(x)} = x$
- $\log_a(x) = \frac{\log_b(x)}{\log_b(a)}$

## Some Notation For Logs

- $\lg(n) = \log_2(n)$
- $\ln(n) = \log_e(n)$
- $\log(n) = \log_{10}(n)$
- $\lg^k(n) = (\lg(n))^k$
- $\lg \lg n = \lg(\lg(n))$
- $\lg n + k = (\lg(n))$
- $+k \neq \lg(n + k)$

## Fact's about Factorials

- Stirling's approximation ,  
$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \Theta\left(\frac{1}{n}\right)\right), \text{ or equivalently } \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \leq n! \leq e\sqrt{n} \left(\frac{n}{e}\right)^n$$
- From this, we can deduce the following
  - $n! = o(n^n)$
  - $n! = \omega(2^n)$
  - $\log(n!) = \Theta(n \log(n))$

### Example of Factorial:

$$4! = 4 \cdot 3!$$

$$4! = 4 \cdot 3 \cdot 2!$$

$$4! = 4 \cdot 3 \cdot 2 \cdot 1!$$

## Properties of Factorial

$$0! = 1$$

## Functional Iteration

- This is like function composition, but you are composing the function with itself

$$f^{(i)}(n) = \begin{cases} n & \text{if } i = 0 \\ f(f^{(i-1)}(n)) & \text{if } i > 0 \end{cases}$$

$$f(n) = 2n \text{ then } f^{(i)}(n) = ?$$