

# re\_clim: calculating climate scaling factors for the ICBM SOC model

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## 1 Introduction

The package **re\_clim** is a collection of functions to calculate the climate scaling effect (due to soil moisture and temperature) on the decomposition of soil organic matter (SOC). The functions are intended as companions of the ICBM model (Thomas Kätterer and Andrén 2001) where they are used to rescale the two pools' kinetics, but can be utilized to rescale any SOC decomposition process kinetic (for example as in Menichetti et al. (2019) where the same scaling has been applied to the microbial kinetic term in the Q decomposition model) or to normalize for the effects of such covariates in laboratory studies.

The calculation presented here can be easily updated by substituting specific functions with alternatives (for example by considering local pedotransfer functions in case this would be more appropriate), but this would require to follow the calculations steps manually and not using the main wrapper function **reclim**. In this vignette we will first describe the steps of the calculation, and then introduce an example of possible usage, based on an example dataset. Please refer to the package manual for more specific details.

## 2 The steps in the calculation

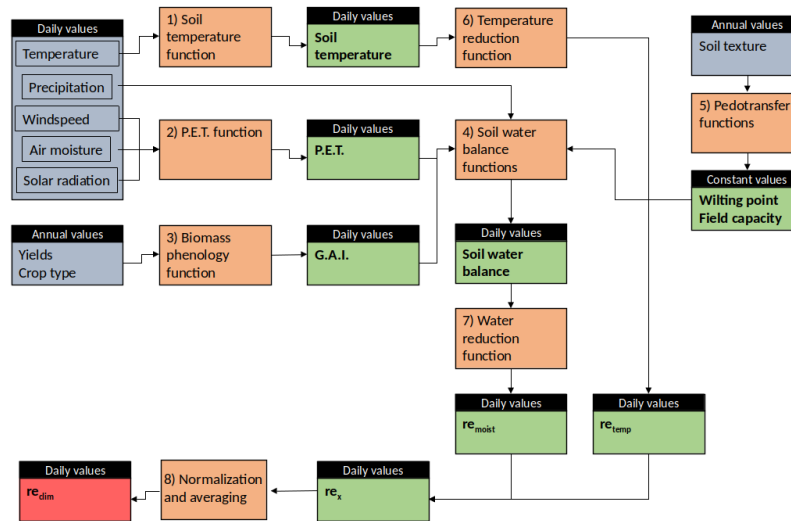


Figure 1: A bird's eye view of the procedure to calculate the climatic reduction coefficients

### 2.1 Soil temperature

The soil temperature is calculated from the air temperature according to T. Kätterer and Andrén (2009). The calculation is based on a semi-empirical model considering heat transfer according to:  $T_t(z) =$

$$T_{t-1}(z) + [T_{surf} - T_{t-1}(z) \cdot e^{-z(\frac{\pi}{k_s p})^{\frac{1}{2}}} \cdot e^{-k_{LB} LAI_t}.$$

where  $t$  is time (day),  $T_{surf}$  is the apparent temperature at the soil surface,  $k_s$  is the thermal diffusivity ( $\text{cm}^2 \text{s}^{-1}$ ),  $p$  is the period of temperature variation ( $s$ , in this case one year), and  $k_{LB}$  is the radiation extinction coefficient according to Lambert-Beer's Law, which governs the radiation transfer between atmosphere and soil as a function of leaf area (LAI) or an equivalent thickness of litter on the soil surface.

Please note that the soil temperature model calculates each time step based also on the previous (based on the idea of energy balance.)

## 2.2 Biomass phenology functions (G.A.I. estimates)

The biomass growth functions are different for each of the class considered by the calculation, which are:

```
#> [1] "spring_small_grains" "spring_oil_seeds"      "winter_small_grains"
#> [4] "winter_oil_seeds"    "root_crop"
```

Plus four additional experimental classes with specific functions;

```
#> [1] "fodder"          "fodder_maize" "ley"           "missing"
```

The latter were added during the last revision of the function and are still experimental features (in particular ley). The function is used to simulate the development of crops and their green area index (G.A.I.). The function uses among the inputs a vector of different crops, which will be simulated with different parameters. The function loops in annual steps through the years of the simulation and then runs a nested loop to simulate the crop growth in daily steps. The functions returns also the LAI, calculated as  $0.8 \cdot GAI$  until maximum GAI. After maximum GAI, before harvest the LAI is set to never fall below  $0.7 \cdot \max(LAI)$ , and between harvest and tillage never below  $0.2 \cdot \max(LAI)$  according to Kätterer & Andrén 2009. First of all the function checks if the crop of that year is not “fodder”, “fodder maize” or “ley”. if not, then sets the maximum GAI ( $j$  is the index used in the main function loop, looping through the simulation years):  $GAI_{max} = 0.0129 \cdot (\frac{yield_j}{1000})^2 + 0.1964 \cdot (\frac{yield_j}{1000})$ . For root crops the maximum GAI is set differently (see below the specific section). The function then proceeds to simulate the growth according to a gaussian function subsequently modified. The gaussian function is controlled by the parameters defining where it is centered and its variance. Its center is calculated according to seeding and harvest dates, which are in the input data. Then the GAI outside the area covered by such function is either set to zero or to the minimum coverage specified in the input data. The main function used to simulate the crop growth, after first having calculated the center of the gaussian with  $middle = seeding_j + \frac{harvest_j - seeding_j}{2}$  is the following:  $GAI = GAI_{max} \cdot \exp(-\frac{(day - middle)^2}{(2 \cdot variance_j)})$ . Most crops are considered covering the soil even after being fully mature, except root crops fodder (including silage maize). Please not that this does not imply that such crops are returned as C inputs to the soil in the ICBM model, this concerns just the calculation of the climatic reduction coefficients.

### 2.2.1 Specific crop functions

Root crops have a specific function, which is based on the average yields ( $yield\_vec$ ) and maximum LAI ( $LAI\_max\_vec$ ) obtained in the Ultuna experiment during the three years when root crops were planted. The maximum GAI is also calculated with a different function:  $GAI_{max} = \min(5.6, \frac{1}{0.8} \cdot \text{mean}(\frac{LAI_{max\_vec}}{yield\_vec}) \cdot \frac{1}{0.75} \cdot yield_j)$

For fodder, the maximum GAI is calculated according to data for fodder rape ([https://www.agronomysociety.org.nz/files/2010\\_11.\\_Seed\\_yield\\_of\\_forage\\_rape.pdf](https://www.agronomysociety.org.nz/files/2010_11._Seed_yield_of_forage_rape.pdf))  $GAI_{max} = \min(10, 0.0004615385 \cdot yield_j)$

For silage (fodder) maize, the maximum GAI is calculated according to data from the Ultuna experiment, where silage maize has been planted since 2000  $GAI_{max} = \min(10, \frac{1}{0.8} \cdot 0.000533 \cdot yield_j)$

Leys are complicated by the fact that there might be two subsequent cuts, so two harvests. The command considers this possibility with 2 optional parameters, `harvest2` and `yields2`, which are otherwise set to NULL. If these two parameters are present another if condition takes care of them when they are not set to zero.

## 2.3 Potential evapotranspiration

The evapotranspiration is calculated according to Allen et al. (n.d.). Specifically, the potential evapotranspiration (PET or  $ET_0$  according to FAO terminology) is calculated based on radiation, air temperature, humidity and wind speed according to the Penman-Monteith equation. The function implemented in this package can utilize either direct solar radiation (energy, MJ m<sup>-2</sup> day<sup>-1</sup>) measurements, or sunlight/cloudiness.

## 2.4 Soil water balance

The steps of the calculation are already described in the package manual, but here we will examine some of them in more detail.

Step 1: Soil water  $W$  is initialized assuming saturation, based on the depth  $L$  and volumetric capacity

$$W[1] = \Theta_f \cdot L$$

Step 2: The single crop coefficient  $K_c$  is calculated based on GAI

$$K_c = 1.3 - 0.5 \cdot \exp(-0.17 \cdot GAI)$$

Step 3: calculation of crop evapotranspiration ( $ET_c$ ) under standard condition

$$ET_c = ET_0 \cdot K_c$$

Step 4: the intercepted water  $It$  is calculated based on crop  $ET$ , GAI and precipitation  $P$

$$It = \min(P, ET_c, 0.2 \cdot GAI)$$

Step 5: potential evapotranspiration is calculated

$$E_{pot} = (ET_c - It)$$

Step 6: Calculation of the percolation. Water ( $W_b$ , water bypass) is lost when above field capacity, but allowing saturation for one day

$$W_b = \max(0, W - (\Theta_f \cdot L))$$

Step 7: Soil evaporation reduction coefficient

$$Kr = \left(1 - \frac{0.95 \cdot \Theta_{field} - \Theta}{0.95 \cdot \Theta_{field} - \alpha \cdot \Theta_{wilt}}\right)^2$$

This function expresses the actual evaporation, and in it it is considered that even below wilting point there is some biological activity. The function is zero below wilting point.

A subsequent conditions is applied so that  $Kr$  cannot be above one.

Step 8: Actual evapotranspiration is calculated

$$E_{act} = E_{pot} \cdot Kr$$

Step 9: The water balance is calculated (stepwise)

$$W[i+1] = W[i] + P[i] - E_{act}[i] - It - W_b[i]$$

## 2.5 Pedotransfer functions

Pedotransfer functions are calculated according to T. Kätterer and Andrén (2009)

## 2.6 Temperature reduction function

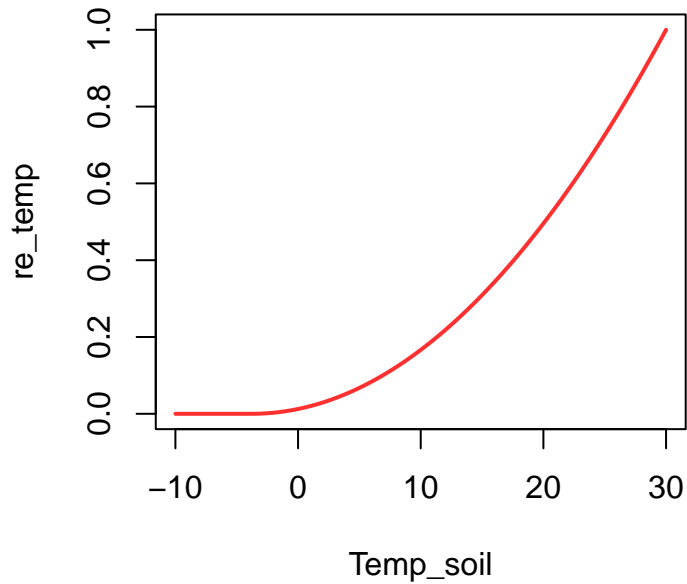
The temperature reduction function is taken from T. Kätterer et al. (1998)

```
Temp_soil=seq(from=-10, to=30, by=0.1)
```

```
re_temp=re_temperature(Temp_soil)
```

```
plot(Temp_soil, re_temp, main="Shape of the temperature reduction function", type="l", col="firebrick1")
```

## Shape of the temperature reduction functi



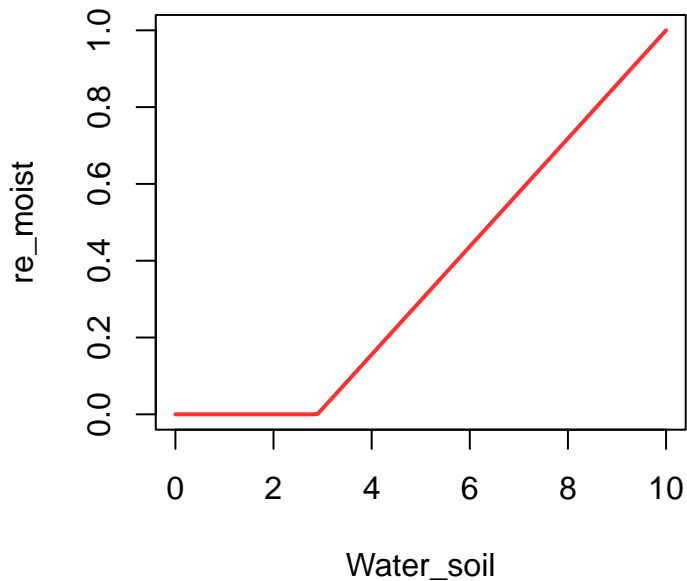
### 2.7 Water reduction function

The water reduction function was updated from previous ICBM iterations with a function able to represent also the reduction in activity with approaching soil saturation, according to moyanoMoistureResponseSoil2012

The temperature reduction function is taken from T. Kätterer et al. (1998)

```
Water_soil=seq(from=0, to=10, by=0.1)
re_moist=re_water(twilt=0.2, tfield=0.5, water=Water_soil, porosity=0.7, L=20)
plot(Water_soil, re_moist, main="Shape of the moisture reduction function", type="l", col="firebrick1",
```

## Shape of the moisture reduction function



## 2.8 Normalization and averaging

The terms from the two reduction functions are multiplied as  $r_{x_1} = r_{temp} \cdot r_{moist}$ , and the term  $r_{x_1}$  is then rescaled so that the treatment G of the Ultuna long term frame trial (Andrén and Kätterer (1997)) to obtain the scaled value  $r_e = r_{x_1} \cdot 0.1056855$ . This particular experiment is where the kinetics of the ICBM model were initially calibrated, and the G treatment is the one approximating the equilibrium.

The scaling can be adapted to different models or sites, if needed, provided that the calibration is updated.

## 3 Example

First we need to install the package from its GitHub repository, for which we need to rely on the package devtools.

We can then proceed to load the test site data and reorganize them averaging by treatment (since in this example we are interested in calculating the average  $r_{clim}$  factor. The test data are included in the /data folder of this package.

Now we run the reclim command to calculate the  $r_{clim}$  factor:

```
# #calculate the re_clim (daily values, all treatments)
Test_reclim_out<-reclim(weather=weather_testdata,
                        aboveground=aboveground_testdata,
                        latitude=58,
                        altitude=83,
                        sand=8,
                        clay=43,
                        ave_SOC=1.9,
                        depth=20,
                        sun.mode="Rsolar")

#> Hi there! we're going to try our best to calculate your ICBM climatic reduction factors...
#> please forgive me for any issue, and write me an email at ilmenichetti@gmail.com, we can maybe try
#>
#> performing basic data integrity check on weather data
#> ## basic data integrity check on weather data cleared
#> performing basic data integrity check on aboveground data
#> ## basic data integrity check on aboveground data cleared
#> performing data integrity check on the consistency of the two dataset
#> ## datasets appear to cover the same period
#> checking if basic soil phisical data are available
#> ## basic soil phisical data are present
#> porosity calculation
#> wilting point calculation
#> field capacity calculation
#> PET calculation
#> sun mode: Rsolar - calculating from directly measured Rsolar
#>
#> performing calculations for treatment CONVENTIONAL
#> GAI calculation for treatment CONVENTIONAL
#> Soil T calculation for treatment CONVENTIONAL
#> Water balance calculation for treatment CONVENTIONAL
#> re_wat calculation for treatment CONVENTIONAL
#> re_temp calculation for treatment CONVENTIONAL
#>
#> performing calculations for treatment ORGANIC
#> GAI calculation for treatment ORGANIC
```

```
#> Soil T calculation for treatment ORGANIC
#> Water balance calculation for treatment ORGANIC
#> re_wat calculation for treatment ORGANIC
#> re_temp calculation for treatment ORGANIC
```

Ok, the calculation seems to be running.

The output of the function is fairly complicated list with most outputs from the internal functions. We can for example have a look at the structure of the rescaled  $r_{clim}$  value, which is usually the main target value:

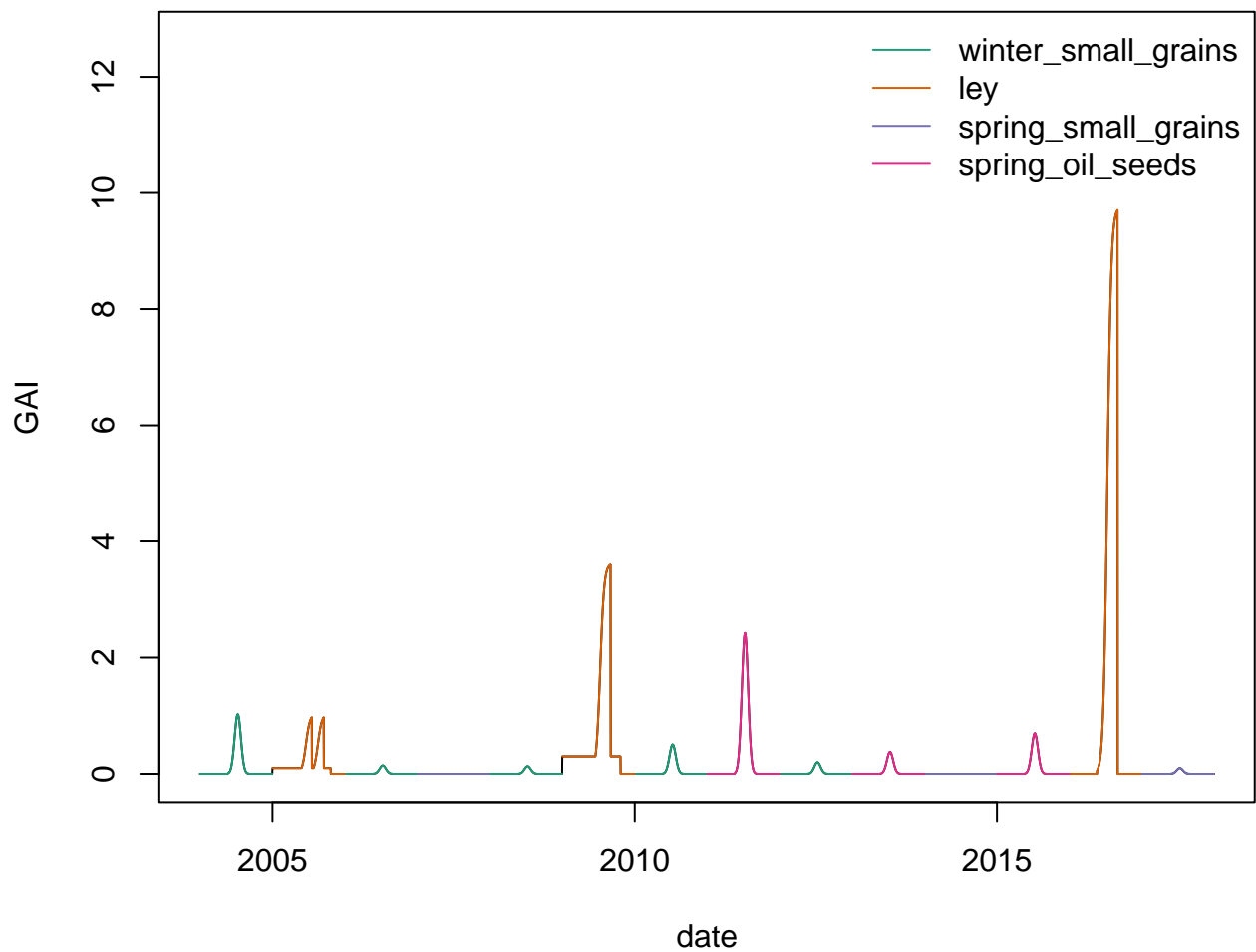
```
str(Test_reclim_out$re_x1)
#>  num [1:2, 1:5114] 0.0125 0.0125 0.0476 0.0476 0.0381 ...
#>   - attr(*, "dimnames")=List of 2
#>    ..$ : chr [1:2] "CONVENTIONAL" "ORGANIC"
#>    ..$ : NULL
```

Now we can try to plot some of the values we calculated, for example the GAI simulation:

```
crop_id_used<-unique(Test_reclim_out$crop_id)
palette_crop_id_used<-brewer.pal(length(crop_id_used), "Dark2")

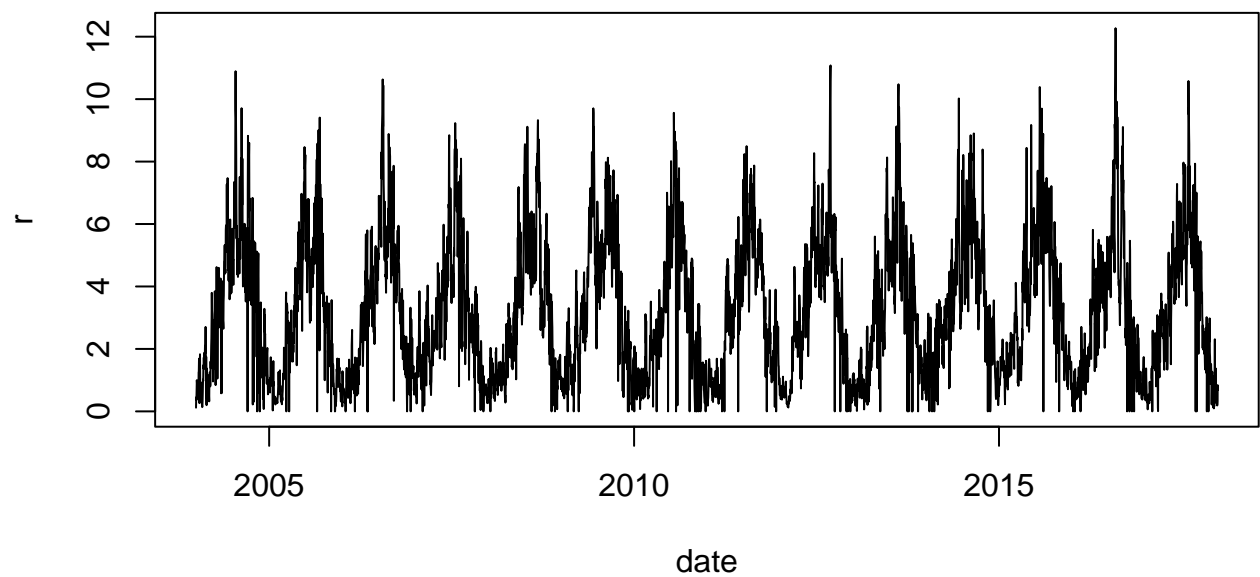
plot(Test_reclim_out$PET$date, Test_reclim_out$GAI[2,], type="l", ylim=c(0, max(Test_reclim_out$GAI[2,]

#coloring the output based on the crop
for(i in 1:length(crop_id_used)){
  which_ones<-which(!Test_reclim_out$crop_id==crop_id_used[i])
  GAI_crop<-Test_reclim_out$GAI[2,]
  GAI_crop[which_ones]<-NA
  lines(Test_reclim_out$PET$date, GAI_crop, col=palette_crop_id_used[i])
}
legend("topright", as.character(crop_id_used), col=palette_crop_id_used, bty="n", lty=1)
```



```
plot(Test_reclim_out$PET$date, Test_reclim_out$re_crop[1,], type="l", xlab="date", ylab="r", main="First
```

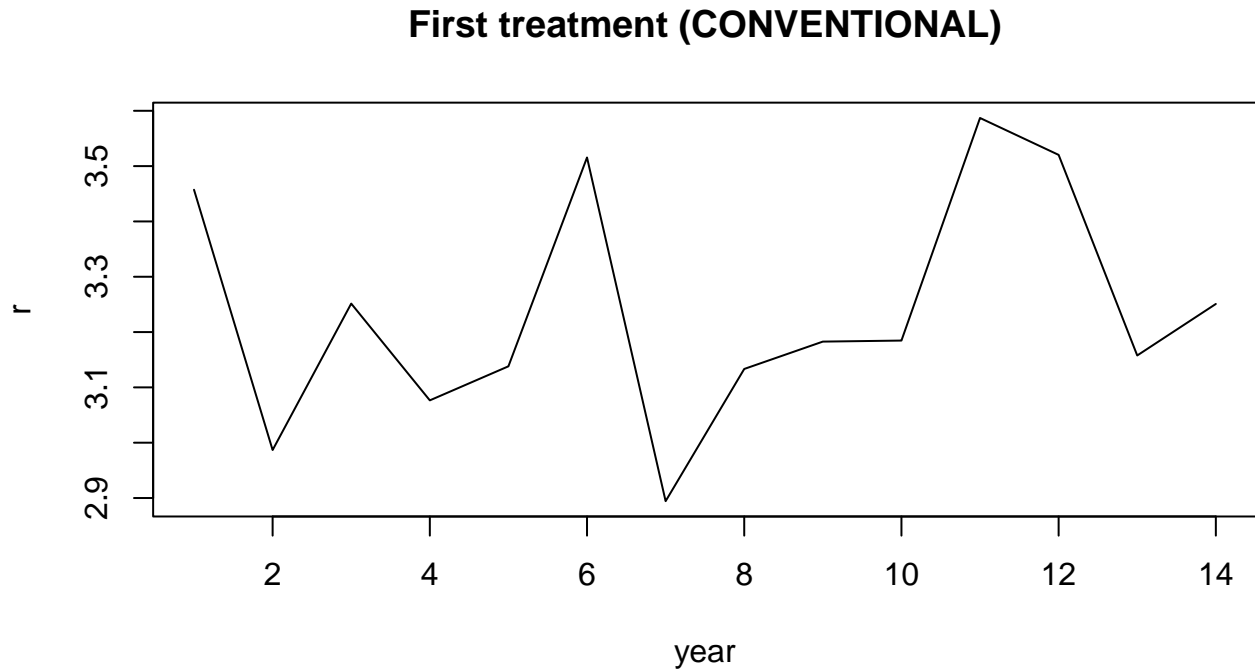
### First treatment (CONVENTIONAL)



The package includes also a function to calculate the annual averages from the inputs of the main function:

```
#calculate annual re-clim values
Test_annual<-reclim_annual(Test_reclim_out$results_daily)
where_re_crop<-grepl("re_crop.", as.character(colnames(Test_annual)))
Test_annual_re_crop<-(Test_annual[where_re_crop])

plot(Test_annual_re_crop$re_crop_treat.CONVENTIONAL, type="l",xlab="year", ylab="r", main="First treatment (CONVENTIONAL)")
```



## References

- Allen, Richard G, Luis S Pereira, Dirk Raes, and Martin Smith. n.d. "Crop Evapotranspiration - Guidelines for Computing Crop Water Requirements - FAO Irrigation and Drainage Paper 56," 15.
- Andrén, Olof, and Thomas Kätterer. 1997. "ICBM: THE INTRODUCTORY CARBON BALANCE MODEL FOR EXPLORATION OF SOIL CARBON BALANCES." *Ecological Applications* 7 (4): 1226–36. [https://doi.org/10.1890/1051-0761\(1997\)007%5B1226:iticbm%5D2.0.co;2](https://doi.org/10.1890/1051-0761(1997)007%5B1226:iticbm%5D2.0.co;2).
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- Kätterer, Thomas, and Olof Andrén. 2001. "The ICBM Family of Analytically Solved Models of Soil Carbon, Nitrogen and Microbial Biomass Dynamics — Descriptions and Application Examples." *Ecological Modelling* 136 (2-3): 191–207. [https://doi.org/10.1016/s0304-3800\(00\)00420-8](https://doi.org/10.1016/s0304-3800(00)00420-8).
- Kätterer, T., M. Reichstein, O. Andrén, and A. Lomander. 1998. "Temperature dependence of organic matter decomposition: a critical review using literature data analyzed with different models." *Biology and Fertility of Soils* 27 (3): 258–62. <https://doi.org/10.1007/s003740050430>.
- Menichetti, Lorenzo, Göran I. Ågren, Pierre Barré, Fernando Moyano, and Thomas Kätterer. 2019. "Generic Parameters of First-Order Kinetics Accurately Describe Soil Organic Matter Decay in Bare Fallow Soils over a Wide Edaphic and Climatic Range." *Scientific Reports* 9 (1): 20319. <https://doi.org/10.1038/s41598-019-55058-1>.